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Review

Air-Filtering Masks for Respiratory Protection from PM_{2.5} and Pandemic Pathogens

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SUMMARY

Air-filtering masks, also known as respirators, protect wearers from inhaling fine particulate matter ($PM_{2.5}$) in polluted air, as well as airborne pathogens during a pandemic, such as the ongoing COVID-19 pandemic. Fibrous medium, used as the filtration layer, is the most essential component of an air-filtering mask. This article presents an overview of the development of fibrous media for air filtration. We first synthesize the literature on several key factors that affect the filtration performance of fibrous media. We then concentrate on two major techniques for fabricating fibrous media, namely, meltblown and electrospinning. In addition, we underscore the importance of electret filters by reviewing various methods for imparting electrostatic charge on fibrous media. Finally, this article concludes with a perspective on the emerging research opportunities amid the COVID-19 crisis.

INTRODUCTION

Particulate matter (PM) air pollution poses significant risks to public health and adversely affects visibility, climate, and ecosystems.¹⁻³ PM is categorized into PM_{2.5} and PM₁₀, referring to PM with particle sizes below 2.5 and 10 μ m, respectively. PM_{2.5} is particularly harmful because it can penetrate into the lungs and is sometimes small enough to enter the bloodstream; therefore, long-term exposure to PM_{2.5} is associated with a higher risk of lung cancer and cardiovascular disease.⁴ A model¹ estimated that 8.9 million deaths in 2015 were associated with long-term exposure to PM2.5 air pollution. Ninety-five percent of the world population live in areas with annual average concentration of PM2.5 above the World Health Organization safe level of 10 μ g·m⁻³ (Figure 1A); the situation is most dire in Central Africa, Middle East, India, and China.⁵ Consequently, wearing masks has become an increasingly common method for the public to reduce their exposure to air pollution, particularly in developing countries.

The ongoing COVID-19 pandemic, caused by the SARS-CoV-2 virus, has led to more than 40 million confirmed cases globally, with more than 1 million deaths, as of October 20, 2020.⁷ The rapid spread of COVID-19 is driven by an important route of human-to-human transmission via viral saliva droplets (>10 μ m) and aerosols (<10 μ m) (Figure 1B), which are released into the air by infected individuals when they breathe, speak, cough, or sneeze.⁸ Viral aerosols smaller than 5 μ m in diameter are critically dangerous since they remain suspended in the air for long periods of time and can reach the lower respiratory tract of a susceptible host.⁹ Therefore, air-filtering masks, such as N95 masks (also known as N95 filtering facepiece respirators), are the most essential personal protective equipment for frontline health care workers fighting the pandemic. Regarding the general public, wearing masks and social distancing have become the most effective practices to protect vulnerable people and prevent hospitals being overwhelmed when mandatory quarantine is lifted. As the ripple effect of the COVID-19 pandemic continues to unfold worldwide, wearing masks in common areas is no doubt one of the many changes to which the public need to adapt.

Although we have witnessed a dramatic decline in the anthropogenic emissions of PM2.5 due to the travel restrictions and economic shutdowns during the COVID-19 pandemic, governments around the world have relaxed environmental regulations indefinitely to stimulate economic recovery.^{10,11} In addition, commuters may adopt more polluting transportation modes out of fear of exposure to SARS-CoV-2 in crowded public transport.¹² Consequently, air pollution may overshoot the prepandemic level once the economy fully reopens. More significantly, numerous studies^{13–16} have suggested that air pollution can increase the transmission and susceptibility of COVID-19 as well as worsen the severity of the infection. At a time of unprecedented global change, masks that protect people by filtering out PM_{2.5} and airborne pathogens have never been more important. Therefore, it is necessary to write a review on the past development of air-filtering masks to identify recent progress and remaining knowledge gaps, and it is essential to envision emerging research opportunities amid the pressing challenges of air pollution and COVID-19.

A mask is usually composed of multiple layers (Figure 1C). The most essential component of a mask is the middle filtration layer, also known as the filter medium. The filtration layers of almost all masks are fibrous media, which are made up of a large number of sparsely distributed fibers that are bonded to one another. A typical cross-sectional scanning electron microscope (SEM) image of an N95 mask is shown in Figure 1D. The filtration layer is

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Figure 1. Masks Protecting People from PM_{2.5} and Pandemic Pathogens

(A) Annual average concentration of PM_{2.5} around the globe in 2016. Image courtesy of Ritchie et al.,⁵ CC BY 4.0, via Our World in Data. Data source, World Bank. (B) Transmission of the SARS-CoV-2 virus through viral aerosols.

(C) Peeling apart an N95 mask revealing multiple layers.

(D) Cross-sectional SEM image of an N95 mask showing that the filtration layer is sandwiched between the supportive layers.

(B), (C), and (D) are adapted from Liao et al. $^{\rm 6}$ with permission.

protected from abrasion by the mechanically supportive top and bottom layers. The supportive layers also add key functions to a mask (e.g., a hydrophobic outer layer to repel water, a hydrophilic inner layer to absorb moisture from respiration, skin-friendly textile to ensure wearer comfort, a rigid frame to provide fit, etc.), yet contribute little to the filtration efficiency. Due to the lack of N95 and surgical masks during the COVID-19 pandemic, individuals are encouraged to wear facial coverings made of household fabrics, which are also based on fibrous materials and have been carefully studied recently.^{17,18}

Although an emphasis is put on masks here, the discussions in this review also provides a valuable reference for other air filtration applications where fibrous media play a major role, such as building ventilation, engine air filters, and industrial pollution control. The review consists of four sections. (1) We start with a summary of the key factors that affect the filtration performance of fibrous media. Both intrinsic factors, such as the material properties, and extrinsic factors, such as the environmental conditions, are included. (2) We then review the development of the two main techniques for fabricating fibrous media (i.e., meltblown and electrospinning) and identify their advantages and disadvantages. (3) We further discuss techniques to impart electrostatic charge on fibrous media, which is the most effective strategy to improve the filtration performance. (4) We conclude with an outlook on the future development of air-filtering masks, with topics including anti-pathogens, thermal comfort, wearable electronics, and eco-friendliness.

FACTORS AFFECTING FILTRATION PERFORMANCE

Tremendous efforts have been devoted to identifying the key factors that affect the filtration performance of a fibrous medium. These key factors can be divided into two classes: (1) intrinsic factors, such as the geometric properties, the electrostatic charge density, and the chemical composition of the fibrous medium, and (2) extrinsic factors, such as inhalation flow rate, humidity, and long-term storage. The relationships between these key factors and the filtration performance serve as guidelines for the development and design of filter media. In this section, we first give a brief summary of filtration mechanisms, which provides the foundation for the further discussion. We then review the effects of the key factors as mentioned above on the filtration performance. In view of the COVID-19 pandemic, we also provide a discussion on the effect of different sterilization methods on the filtration performance at the end of this section.

Filtration Mechanisms

The single-fiber model^{19,20} is the most prevalent model for air filtration; although we would like to point out that this simple model is insufficient, and there is a good amount of work on



Figure 2. Filtration Mechanisms

(A) Schematic illustration of the single-fiber model. Inertial impaction, interception, and diffusion are collectively known as mechanical filtration mechanisms. (B) Electrostatic filtration mechanisms for electret filters.

(C) Contribution of each mechanism toward the total filtration efficiency. Note: Only mechanical filtration mechanisms are included here. Refer to Figure 3E for the contribution of electrostatic filtration mechanisms. Adapted from Mukhopadhyay et al.²⁰ with permission.

the way to translate the single-fiber model to more realistic fiber configurations.^{21–23} The capture of a particle by an isolated fiber follows one or a combination of three mechanisms: inertial impaction, interception, and diffusion (Figure 2A). Particles larger than 10 µm rapidly settle due to gravity and are not considered here. Inertial impaction occurs when a particle has a high momentum due to a larger size (>3 µm), which allows the particle to deviate from the air streamline and impact a fiber along a straighter path. Interception applies to smaller particles (1-3 µm) that do not have sufficient inertia to break away from the air streamline. Therefore, these particles can only be captured when the air streamlines that they follow are close enough to a fiber. Diffusion becomes the dominant mechanical capture mechanism for very fine particles (<1 µm). These particles can move across air streamlines by Brownian motion, which greatly enhances the probability of their collision with fibers.

Two more filtration mechanisms, Coulombic attraction (or repulsion) and electrostatic induction (Figure 2B), should also be considered for electret filters.²⁴ which are made of fibers embedded with electrostatic charge. Coulombic attraction applies to oppositely charged particles, while the particles with the same polarity of charge as the fibers are repelled before getting close. Particles can be charged by many natural factors such as cosmic rays, radiation, lightning, triboelectric charging via particle collision, etc.²⁵ Charge-neutral particles can be captured by electrostatic induction; i.e., they are polarized into dipoles by the electric field and thus become attracted to the fiber surface that has the largest electric field gradient. Both electrostatic filtration mechanisms work simultaneously with the aforementioned mechanical filtration mechanisms. and the amount that each contributes toward the total filtration efficiency depends on the particle size (Figure 2C). The particle size corresponding to the lowest filtration efficiency is termed the most penetrating particle size (MPPS), which is in the range of 0.1–0.3 µm for mechanical filtration mechanisms. However, the MPPS decreases with increasing fiber charge density²⁶ and is in the range of 30–60 nm for commercial electret filters,²⁷ such as many N95 masks.

In addition to the filtration efficiency, the pressure drop of a filter medium is another important parameter that determines the breathability of a mask. There is a trade-off between improving the filtration efficiency and decreasing the pressure drop. Therefore, the quality factor is usually used as the criterion for comparing different filter media. The quality factor is defined in Equation 1, where η is the filtration efficiency, and ΔP is the pressure drop:

Quality factor =
$$-\frac{\ln(1-\eta)}{\Delta P}$$
. (Equation 1)

Intrinsic Factors Affecting Filtration Performance

The thickness of a fibrous medium has the simplest relationship with its filtration performance. Increasing the thickness of a fibrous medium improves the filtration efficiency (i.e., decreases aerosol penetration) but also increases the pressure drop. The aerosol penetration $(1 - \eta)$ decreases multiplicatively, and the pressure drop (ΔP) increases additively.²² Therefore, according to Equation 1, the quality factor of a fibrous medium is independent of its thickness because the changes in the denominator and the numerator cancel out.²⁸

The average fiber diameter of a fibrous medium significantly influences the filtration performance. Under the same packing density (i.e., the same porosity) and thickness, nanofibers provide a much higher surface area and a smaller pore size than microfibers (Figure 3A), both of which contribute to a higher filtration efficiency. In other words, a nanofiber medium can achieve the same filtration efficiency as a microfiber medium with much less material. On the other hand, decreasing the fiber diameter increases the pressure drop because the increased surface area leads to more air friction.^{22,29} However, the effect of the fiber diameter on the quality factor (i.e., for a nanofiber medium and a microfiber medium of the same filtration efficiency, which one offers a lower pressure drop?) is still under debate in the literature: A simulation²⁶ based on the classic single-fiber model showed that decreasing the fiber diameter is detrimental to the quality factor for aerosol particles smaller than 0.1 µm; however, experimental studies³⁰ reported that decreasing the fiber diameter to the nanoscale (~100 nm) can actually improve the quality factor (Figure 3B). This contradiction stems from the assumption in the classic single-fiber model that the air velocity at the fiber surface is zero. This assumption breaks down when the fiber diameter is comparable with the mean free path of air molecules, which is 65 nm in ambient conditions. Thereby, the

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Figure 3. Intrinsic Factors Affecting Filtration Performance

(A) Schematic comparison of a microfiber medium (1-μm fiber diameter) and a nanofiber medium (100-nm fiber diameter) at the same packing density (2.5%).
(B) Quality factor of fibrous media with different average fiber diameters. Adapted from Leung et al.³⁰ with permission.

(C) Schematic illustration of the slip flow effect.

(D) Quality factor, packing density, and thickness of nanofiber media with different fabrication times (i.e., with different total mass of nanofibers per unit area). Adapted from Leung et al.²⁸ with permission.

(E) Filtration efficiency of three commercial electret filters, initially and after discharge in isopropyl alcohol. Adapted from Kilic et al.²⁴ with permission. (F) Filtration efficiency of fibrous media made of different materials and the calculated dipole moment of the repeating unit of each polymer. All the samples have

the same transmittance (~70%). Error bars, mean \pm SD (n = 3). Adapted from Liu et al.³² with permission.

slip flow effect must be taken into account for nanofibers³¹ (Figure 3C) and has two beneficial effects: (1) there is less air drag on nanofibers than microfibers because fewer molecules exchange their momentum with the fibers, which translates into a lower pressure drop; (2) a larger proportion of air stream-

lines pass close to the surface of nanofibers than in the case of non-slip flow, resulting in a higher filtration efficiency via the interception mechanism.

The packing density, which is defined as the volume of the fibers divided by the total volume of the filter medium, is another



important geometric property that can affect the filtration performance. A higher packing density leads to less interstitial space and thus a higher filtration efficiency. However, an exceedingly high packing density leads to an extremely small pore size and thus an unpractical pressure drop.²² For example, an expanded polytetrafluoroethylene membrane,³³ which has a much higher packing density than fibrous media, can provide a higher filtration efficiency at the cost of poor breathability, which limits its application in masks. The packing density is especially important for nanofiber media because the quality factor drops significantly as the packing density increases (blue and red curves in Figure 3D).²⁸ Furthermore, during the fabrication process of a nanofiber medium, the packing density first increases before the thickness starts to accumulate (red and green curves in Figure 3D).²⁸ Consequently, nanofiber media are usually used at very low packing density and small thickness to get a high quality factor, which in turn leads to two problems: (1) short service life and (2) mechanical fragility. The limited service life of a fibrous medium is because aerosol particles gradually accumulate on the fiber surface during filtration, causing clogging of the filter and resulting in a sharp increase in the pressure drop.³⁴ Leung et al.³⁵ reported that under continuous loading of submicrometer aerosols, the pressure drop of a nanofiber medium increases much faster than that of a microfiber medium. A solution to this is to put a microfiber medium upstream of the nanofiber medium, which prevents the nanofiber medium from clogging up too soon by filtering out larger particles and also provides mechanical support.³

Imparting electrostatic charge on a fibrous medium is one of the most effective ways to improve its quality factor because the electrostatic charge can enhance the filtration efficiency without increasing the pressure drop. A higher fiber charge density leads to a higher filtration efficiency.²⁶ These filters are called electret filters. Nearly all N95 masks on today's market are electret filters. The contribution of mechanical filtration mechanisms to the total filtration efficiency of an electret filter can be as low as 20%, depending on the size of the challenging particles, because electret filters that rely solely on mechanical filtration mechanisms. As shown in Figure 3C, the filtration efficiency of three commercial electret filters decreases significantly after removing the electrostatic charge.²⁴ Therefore, the reliability of an electret filter depends on the stability of the electrostatic charge.

Unlike the geometric properties and charge density, the effect of the chemical composition (i.e., polymer species) of a fibrous medium on the filtration performance has been much less studied in the literature. The classic single-fiber model assumes a 100% adhesion efficiency, meaning that all the particles colliding with a fiber are captured. However, this is not true in reality because (1) a particle can bounce away when its impact velocity exceeds the critical sticking velocity,36 or (2) a particle can slip around the surface of a fiber and then be released if the air drag force is higher than the friction force.²³ Therefore, a fibrous medium that has a stronger adhesive interaction with the aerosol particles provides a higher filtration efficiency. Liu et al.³² compared the filtration efficiencies of fibrous media made of different polymers (Figure 3D) and found that the filtration efficiency increases with an increasing dipole moment of the polymer repeating units. They suggested that a dipole-dipole or induced-dipole force,

which is the basis of most adhesion, enhanced the binding of the captured particles to the polymer surface.

Extrinsic Factors Affecting Filtration Performance

The face velocity, which is calculated as the volume flow rate divided by the area of a filter medium, is the most significant extrinsic factor that can have an impact on the filtration performance. A higher face velocity leads to a lower filtration efficiency and a higher pressure drop, both resulting in a lower quality factor. Therefore, increasing the area of a filter medium by pleating it in a confined space is a very effective way to improve the quality factor.³⁷ For example, a filtering facepiece respirator, such as an N95 mask, normally has a filtration area of about 180 cm². An elastomeric respirator normally has two cartridges with the filter medium pleated inside, resulting in a filtration area of about 400 cm² for the two cartridges. Therefore, an elastomeric respirator theoretically performs better yet is more expensive than a filtering facepiece, if the same filter medium is used. On the other hand, the volume flow rate of air exchanging through a mask can also directly affect the filtration efficiency. The inhalation flow rate of an adult ranges from 8 L•min⁻¹ at rest to 120 L•min⁻¹ during exercise. Instantaneous peak inhalation flow rates in the range of 300-400 L·min⁻¹ were also recorded for certain activities that demand high workloads.³⁸ Eninger et al.³⁹ measured the aerosol penetration through an N95 mask under different inhalation flow rates (Figure 4A) and demonstrated that the N95 mask failed to maintain a minimum filtration efficiency of 95% under inhalation flow rates higher than 85 L•min⁻¹. In addition, a high inhalation flow rate can lead to more aerosol particles penetrating through mask-face seal leakage.40 Moreover, several studies^{41,42} raised the concern that the test methods under constant air flow rates might overrate the filtration performance of a mask in actual pulsatile breathing conditions.

Humidity is another critical extrinsic factor that can affect the filtration performance, especially for electret filters. As discussed earlier, the filtration efficiency of an electret filter relies on its charge retention ability. However, the electrostatic charge of an electret filter inevitably decays in ambient conditions (Figure 4B).⁴³ Initially, the charges from shallow traps, such as the physical disorders originating from different polymer chain conformations,⁴⁵ are compensated by the intrinsic charge carriers, resulting in a rapid decay of the surface potential.⁴⁶ Afterward, the surface potential stabilizes because the remaining charge cannot escape from the deep traps, such as the chemical imperfections including double bond, hydroxyl, and carbonyl defects.⁴⁷ A higher humidity leads to more loss of the electrostatic charge and a shorter shelf life. This is because the absorbed water increases the electrical conductivity of the polymer fibers and thus facilitates the dissipation of the electrostatic charge.⁴⁴ Lee et al.⁴⁴ compared the charge decay phenomena of four electret filters made of different polymers and demonstrated that the extent of charge decay and performance reduction was directly proportional to the level of moisture regain after humidity aging (Figure 4C). Therefore, nonpolar polymers such as polyolefins are good candidates for making electret filters due to their high electrical resistivity and hydrophobicity, both of which are desirable for a better charge retention ability.

Sterilization methods that have minimum impact on the filtration performance are needed for the safe reuse of masks. This



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Figure 4. Extrinsic Factors Affecting Filtration Performance

(A) Percentage of particle penetration through an N95 mask under different inhalation flow rates. Error bars, mean \pm SD (n = 3). Adapted from Eninger et al.³⁹ with permission.

(B) Decay of the electrostatic charge, reflected by the surface potential, of an electret filter in ambient conditions. Adapted from Motyl et al.⁴³ with permission.

(C) Reduction of the quality factor and the surface potential versus moisture regain of electret filters made of different polymers. Error bars, mean \pm SD (n = 3). Adapted from Lee et al.,⁴⁴ CC BY 4.0.

(D) Change of the filtration efficiency of an N95 mask after cycles of sterilization treatment with different sterilization methods. Error bars, mean \pm SD (n = 3). Adapted from Liao et al.⁶ with permission.

and high cost. In view of this, we provide a discussion on the key challenges facing the industrial upscaling of electrospinning at the end of this section.

Meltblown

Meltblown is a cost-effective and highthroughput method to produce microfibers

becomes extremely important during a pandemic spread by the respiratory route, such as the ongoing COVID-19 pandemic, because health care workers often face shortages of N95 masks. Liao et al.⁶ investigated the effects of five sterilization methods on the filtration efficiency of an N95 mask (Figure 4D), including (1) heat under various humidity levels, (2) steam, (3) 75% alcohol, (4) household diluted chlorine-based solution, and (5) ultraviolet germicidal irradiation (UVGI). They concluded that heat and UVGI are the best sterilization methods in terms of preserving the filtration performance. However, the sterilization efficiency of UVGI can be hampered by shadowing. Liao et al.⁶ also advised against applying liquid, such as alcohol solutions, chlorine-based solutions, or soaps, to clean an N95 mask because this will lead to the dissipation of the electrostatic charge,⁴ which is necessary for the mask to meet the N95 standard. Another promising way to sterilize N95 masks without sacrificing the filtration performance is fumigation with hydrogen peroxide;⁴⁹ however, this method requires special equipment that many hospitals do not possess.

FABRICATION OF FIBROUS MEDIA

Fibrous media can be fabricated by a wide variety of methods, such as airlaid, wetlaid, spunbond, meltblown, electrospinning, etc. Among these, meltblown and electrospinning are the most widely used techniques for making microfibers and nanofibers, respectively. The fabrics produced by other techniques have large average fiber diameter and thus poor filtration performance within a reasonable thickness. Therefore, meltblown and electrospinning are the main techniques for making filter media. In this section, we review the basic principles, the key processing parameters, and the recent development of meltblown and electrospinning. It is well known that the main disadvantages of electrospinning compared with meltblown are its low production rate

and is widely used in industry for manufacturing the filtration layers in masks.⁵⁰ Figure 5A illustrates a typical meltblown process: An extruder melts the polymer resin and delivers the melt to a die assembly, with a gear pump controlling the flow rate. At the tip of the die assembly, the melted polymer is extruded through small orifices and attenuated by the drag force of convergent streams of hot air. Turbulent air further fractures the polymer stream and creates microfibers, which begin to entangle. The entrainment of ambient air leads to fiber solidification as they fall toward the collector and form a self-bonded web. The die assembly is the most important part of a meltblown system and has been actively developed in the past decades (see Drabek et al.⁵¹ for a recent review). Most commercial meltblown systems use a slot design⁵² (Figure 5B), where numerous die orifices with a diameter of 0.2-0.6 mm are lined up at an interval of 10–20 orifices \cdot cm⁻¹.

Polypropylene is by far the most popular polymer used in meltblown due to its high melt flow index (MFI), low melting point (160°C), low glass transition temperature (-10°C), low cost, and versatility in making a wide range of products. In principle, all thermoplastics can be meltblown, and indeed a wide variety of polymers (e.g., polyethylene, polystyrene, polyesters, polyamides, etc.) have been successfully demonstrated. However, the unsatisfactory rheological behaviors, especially the low MFI, of many polymers restrict them from forming a uniform web via meltblown.⁵⁵

The average fiber diameter produced by commercial meltblown systems is, at best, in the range of 1–10 μ m^{56,57} (Figure 5C), and a typical attenuation ratio between the orifice diameter and the final fiber diameter is 100 (from 0.38 mm to 3.8 μ m).⁵⁷ Six key processing parameters can affect the fiber diameter. (1) Increasing the air velocity decreases the fiber diameter by increasing the aerodynamic drag force;⁵⁸ however, a too high air velocity causes fiber breakage.⁵⁹ (2) Similarly, a lower



Figure 5. Fabrication of Fibrous Media

(A) Schematic illustration of meltblown.

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- (B) Photograph of a working slot die in a commercial meltblown system. Image courtesy of 4FFF, CC BY-SA 4.0, via Wikimedia Commons.
- (C) SEM image of typical meltblown microfibers. Adapted from Liao et al.⁶ with permission.

(D) Schematic illustration of electrospinning.

- (E) Photograph of the whipping electrified jet in an electrospinning process. Adapted from Han et al.⁵³ with permission.
- (F) SEM image of typical electrospun nanofibers. Adapted from Xu et al.⁵⁴ with permission.

polymer throughput decreases the fiber diameter because the same drag force is applied on less polymer mass.⁶⁰ (3) A higher MFI decreases the fiber diameter, and a common strategy to increase the MFI is to mix the polymer raw materials with a small amount of radical initiator, which induces thermomechanical degradation of the polymer along the extruder.⁶¹ (4) A higher processing temperature decreases the fiber diameter by increasing the MFI as well as allowing additional attenuation before solidification, but the upper limit is prescribed by the degradation temperature of the polymer.⁵⁸ (5) A smaller orifice size reduces the fiber diameter, but an exceedingly small orifice leads to an uneconomical production rate, a high pressure needed to extrude the polymer, and a high probability of clogging by foreign particles present in the polymer feedstocks. (6) Increasing the die-to-collector distance decreases the fiber diameter but has little effect after exceeding a certain distance (~12 cm), because fiber attenuation occurs within a relatively short distance. 62,63

Although there is a huge motivation to make finer meltblown fibers to achieve higher filtration efficiency, submicrometer-diameter meltblown fibers have only been reported on a laboratory scale. For example, Ellison et al.⁶⁴ achieved an average fiber diameter of 300 nm by carefully modifying the processing parameters (lowering the throughput, increasing the processing temperature, and increasing the air velocity) on a conventional 0.2-mm single-orifice die. Hassan et al.⁶⁵ reported the design of a die with 0.127-mm orifices, which could produce polypropylene fibers with an average diameter of 330 nm at a production rate of 2.23 kg \cdot h⁻¹ per meter of die width. Pu et al.⁶⁶ introduced a static

electric field into a commercial meltblown apparatus and reduced the average fiber diameter from 1.69 μ m to 0.96 μ m. Soltani et al.⁶⁷ achieved a record low average fiber diameter of 36 nm based on a new concept of the islands-in-the-sea meltblown process (an immiscible polymer blend was meltblown, and nanofibers were obtained by extracting the sacrificial polymer matrix with an appropriate solvent), but the nanofibers tended to bundle together and were too dense for use in air filtration.

One Earth

Electrospinning

Electrospinning is a simple and versatile tool to fabricate nanofibers and has attracted increasing attention from academia for its application in air filtration.⁶⁸ Figure 5D illustrates the basic setup for electrospinning: A polymer solution is hosted in a syringe and fed through a metal needle, with a syringe pump controlling the flow rate. A high voltage (1-30 kV) is applied between the needle and a grounded collector, which is placed 10-20 cm away. At the tip of the needle, a pendent droplet of the polymer solution, held by its surface tension, is charged and elongated into a conical shape (known as the Taylor cone). Once the intensity of the electric field surpasses a threshold, the Coulombic force exerted by the electric field together with the electrostatic repulsion between the surface charges overcome the surface tension and force the ejection of an electrified jet from the tip of the Taylor cone. The electrified jet then undergoes a whipping process⁵³ (Figure 5E) with the solvent evaporated, leading to the formation of a charged polymer fiber, which is randomly deposited on the grounded collector.

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A wide variety of polymers have been successfully electrospun into nanofibers (see Huang et al.⁶⁹ for a comprehensive list). Polyacrylonitrile (using dimethylformamide as the solvent) and nylon (using formic acid as the solvent) are two commonly used polymers in air filtration research. However, electrospinning polyolefins, such as polypropylene and polyethylene, is challenging because they can only be dissolved in nonpolar solvents, and the corresponding polymer solutions cannot build up sufficient surface charge for the Taylor cone to form.⁷⁰ Although melt electrospinning has emerged as a promising alternative, the diameter of the resulting fibers is generally larger than 1 μ m.⁷¹

The biggest advantage of electrospinning compared with meltblown is that 100-nm-diameter fibers can be easily produced (Figure 5F). During the whipping process, the electrified jet attenuates as much as three orders of magnitude from the Taylor cone to the final fiber.⁷² Electrospun nanofibers with a diameter less than 10 nm were also reported.^{73,74} Three key processing parameters can affect the fiber diameter. (1) Decreasing the viscosity of the precursor solution can reduce the fiber diameter. This can be achieved by decreasing the polymer concentration, using polymers with lower molecular weight, or raising the processing temperature.⁷⁵ (2) Increasing the conductivity of the precursor solution decreases the fiber diameter because a higher charge density strengthens the electrostatic repulsion, leading to a smaller Taylor cone and enhanced stretch during the whipping process.⁷⁶ Adding salt is a common strategy to increase the solution conductivity.⁷⁷ (3) A stronger electric field reduces the fiber diameter by exerting a stronger electrostatic force.⁷⁸ This can be achieved by increasing the working voltage or decreasing the needle-to-collector distance. An often encountered problem in electrospinning is the formation of beads along the as-spun fibers or even the breakup of the electrified jet into droplets, which is usually caused by an insufficient solution viscosity or an exceedingly high electric field.⁷⁹ An excessive solution feed rate can also lead to beaded fibers.⁸⁰

The main disadvantages of electrospinning compared with meltblown are its low production rate and high cost. This is mainly due to four reasons. (1) Although a straightforward way to increase the throughput is to increase the number of needles, a primary challenge stems from the deterioration of the local electric field at the needle tip due to the presence of neighboring needles.⁸¹ Theron et al.⁸² demonstrated that an inter-needle distance larger than 1 cm is necessary for process stability and the uniformity of the as-spun fibrous medium. In comparison, the interval between the die orifices in meltblown is normally less than 1 mm. (2) Another challenge for high-throughput production is polymer clogging in needles, especially when a high-volatility solvent is used.⁸³ In this respect, needleless electrospinning, featuring the formation of multiple electrified jets directly from an open liquid surface, possesses higher scaling-up potential. A wide variety of spinnerets have been developed (see Niu et al.⁸⁴ for a recent review). However, because the jet initiation in needleless electrospinning is a self-organized process, the spinning process is hard to control, resulting in a wide distribution of fiber diameter and limited configurability (e.g., impracticality of core-shell fiber structures, etc.). (3) The use of a volatile organic solvent in electrospinning accounts for its higher cost compared with that of meltblown. The solvent takes up more than 80 wt % of the precursor solution and is usually more

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expensive than the dissolved polymer. Moreover, without proper treatment or recovery, the evaporated solvent poses a hazard to the operators and the environment. Although many solvent-free electrospinning methods have been developed (see Zhang et al.⁸⁵ for a recent review), only microfibers were successfully spun due to the high viscosity and low conductivity of the precursor liquids. (4) Another limitation of electrospinning is that the fiber collector must be conductive, whereas meltblown can lay fibers directly on a non-conductive fabric. Varesano et al.⁸⁶ showed that using a spunbond nonwoven fabric instead of an aluminum foil as the substrate results in a much lower production rate and sometimes even failure to produce uniform fibers. This is because the deposited charged fibers are not able to discharge themselves and will repel the incoming fibers by Coulombic force. A promising solution is electrospinning on a conductive substrate that has minimum adhesion to the spun fibers (e.g., copper foil with surface microstructure), followed by peeling off the nanofiber medium and transferring it to a desired substrate.54

ELECTROSTATIC CHARGING

As discussed earlier, imparting electrostatic charge on a fibrous medium is the most effective way to improve its filtration performance. An electrostatically charged filter medium is also called an electret filter. Electrostatic charging has been widely used in fabricating masks. Nearly all of the N95 masks on today's market are electrostatically charged. In this section, we first review the mechanisms and recent developments of two major electrostatic charging methods used in industry: corona discharge and triboelectric charging. We then discuss the residual charge in electrospun nanofibers, with a focus on its decay behavior. We end this section with a review on recent efforts using nanoparticle additives as charge enhancers, which we envision as a promising direction worth further exploration.

Corona Discharge

Corona discharge is the most popular method in industry for making electret filters due to the speed of charging and the simplicity of the setup. A corona discharge is the ionization of the gas molecules between a pair of electrodes under a sufficiently high potential difference. The output current is limited to prevent electrical breakdown or arcing. The pair of electrodes usually have asymmetric shapes, such as a biased fine wire above a grounded roller (Figure 6A).⁸⁷ A fibrous medium can be charged as it travels through the gap between the two electrodes. lons form near the emitting electrode, drift under the electric field, and then deposit on the surface of the fibers. Over time, the surface charges can move into the bulk and be trapped at depths of several micrometers, which is dependent on the material properties. Other electrode configurations⁸⁸ were also invented to be used at different stages⁸⁹ of the meltblown process. For example, a patent by Moosmayer et al.⁹⁰ applied corona discharge directly on the molten fibers before the web formation by putting two biased wire electrodes at the exit of a grounded slot die (Figure 6B). A patent by Deeds⁹¹ applied corona discharge upstream of the hot air pathway, and the ionized molecules were blown out by the hot air to charge



Figure 6. Conventional Methods for Making Electret Filters

(A) Schematic illustration of a typical electrode configuration for corona discharge: a biased wire above a grounded roller. A fibrous medium is charged as it travels through the gap.

(B) Schematic illustration of an electrode configuration for corona discharge, which is integrated with a meltblown process: two biased wire electrodes at the exit of a grounded slot die. Adapted from Moosmayer et al.⁹⁰

(C) Triboelectric series of textile yarns. Adapted from Smith et al.⁹² with permission.

(D) Schematic illustration of hydro-charging, which utilizes the triboelectric effect between water and polymers. Adapted from Angadjivand et al.93

the molten fibers. An ionic hairdryer can also be used to charge a mask via a similar mechanism.

Three key processing parameters can affect the stability and the density of the imparted charges. (1) A positive bias rather than a negative bias is usually used because the latter leads to more surface oxidation,⁹⁴ resulting in a higher moisture regain and a faster charge decay.⁹⁵ (2) A higher electric field leads to a higher charge density, which can be achieved by increasing the potential on the emitting electrode or decreasing the distance between the electrodes. However, an exceedingly high electric field generates sparks and causes pinholes in the fibrous medium,⁹⁶ which is detrimental to the filtration efficiency. (3) Prolonging the charging duration can also enhance the charge density, yet the imparted charge reaches saturation within a couple of minutes.⁹⁷

Triboelectric Charging

Triboelectric charging is another way to make electret filters, which relies on the spontaneous charge exchange between dissimilar materials on contact.⁹² The farther away two materials are from each other on the triboelectric series (Figure 6C), the greater the charge exchange. The earliest electret filter (the Hansen filter) was made by triboelectric charging: wool fibers and

resin particles were rubbed together via the carding process and then needle-punched to produce the filter medium, where the wool fibers were positively charged and the resin particles were negatively charges. A modern development uses a blend of polypropylene fibers and modacrylic fibers, where the polypropylene fibers are positively charged and the modacrylic fibers are negatively charged. Tsai et al.98 compared triboelectric charging with corona discharge and showed that triboelectric charging provided a higher charge density and a higher filtration efficiency. However, conventional triboelectric charging is incompatible with meltblown or electrospinning, because it relies on the carding process and thus cannot be directly applied on an as-formed fibrous medium. Hydro-charging was later invented to solve this problem by utilizing the triboelectric effect between water and polymers.⁹³ Specifically, high-pressure water jets are impinged onto a fibrous medium (Figure 6D), which is further dried at increased temperature to imbue an electrostatic charge.

There are also some recent research efforts on utilizing the triboelectric effect for making electret filters. For example, Gu et al.⁹⁹ used a rotating triboelectric nanogenerator (R-TENG) to charge a filter medium made of electrospun polyamide acid nanofibers on a metallic grid (Figure 7A). The rotator of the R-TENG was driven by an electric motor, and the output voltage



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Figure 7. Recent Development in Making Electret Filters

(A) Filter medium charged by an R-TENG. The working mechanism of the R-TENG relies on the triboelectric effect. Adapted from Gu et al.⁹⁹ with permission. (B) Working principle of a self-charged mask. The filter medium, which was made of electrospun polyvinylidene fluoride nanofibers (PVDF-ESNF), was charged by the contact electrification with a copper foil under the periodic force exerted by the air flow of breathing. Adapted from Liu et al.¹⁰⁰ with permission. (C) Decay of the residual charge, reflected by the surface potential, in electrospun polycarbonate nanofibers at 25°C and 50% relative humidity. Adapted from Cho et al.¹⁰³ with permission.

(D) Decay of the residual charge, reflected by the normalized surface potential, in electrospun polyetherimide (PEI) nanofibers doped with different electret nanoparticles. Adapted from Li et al.¹⁰⁴ with permission.

was rectified into a constant-polarity voltage (~480 V) and then applied to the metallic grid. Such design required external energy input for the electric motor. The same group later reported a self-charged version,¹⁰⁰ as shown in Figure 7B. The filter medium made of electrospun polyvinylidene fluoride nanofibers was charged by contact electrification with a copper foil. The repeating contact and separation between the fibrous medium and the copper foil was driven by the periodic force exerted by the airflow of breathing. Bai et al.¹⁰¹ reported a washable filter medium made of a woven polytetrafluoroethylene fabric and a woven nylon fabric. The filter medium can be charged after washing by rubbing the two fabrics against each other. Han et al.¹⁰² showed that a filter medium made of electrospun poly(vinylidene fluoride-co-trifluoroethylene) nanofibers can be triboelectrically charged by brushing with a microfiber nylon duster. A common limitation of the above works is that only the surface of the filter media can be charged.

Residual Charge in Electrospun Nanofibers

Electrospinning is often considered a good method for making electret filters because of the presence of residual charges in electrospun nanofibers, meaning that fabricating a fibrous medium and electrostatically charging it can be integrated into a single step.¹⁰⁵ However, the ability of electrospun nanofiber to retain the residual charge is usually unsatisfactory.98 This is because: (1) only polar polymers can be electrospun into nanofibers; although melt electrospinning is applicable to nonpolar polymers, the diameter of the resulting fibers is generally larger than 1 μ m;⁷¹ (2) fibrous media made of polar polymers are more prone to losing the electrostatic charge in humid air than those made of nonpolar polymers.⁴⁴

Many past studies have investigated the decay of the residual charge in electrospun nanofibers. For example, Cho et al.¹⁰³ showed that the surface potential of an electrospun polycarbonate sample decayed from 2,400 V to 400 V (83% decay) after 125 h of storage at 25°C and 50% relative humidity (Figure 7C). Schreuder-Gibson et al.¹⁰⁶ demonstrated that the surface potential of an electrospun polyacrylonitrile sample decayed from 1,950 V to 214 V (89% decay) after 3 months of storage in ambient conditions, and that of an electrospun polystyrene sample decayed from -3,332 V to -40 V (99% decay) under the same conditions. In comparison, the surface potential of a corona-charged meltblown polypropylene sample decayed from 1,100 V to 500 V (55% decay) after 40 days of storage in ambient conditions (Figure 4B).⁴³ These comparisons point out that the initial surface potential of fibrous media made of polar



Box 1. Open Questions Remaining to Be Resolved

- How will the chemical properties of aerosol particles affect the filtration efficiency? Most previous experimental studies used NaCl aerosol or dioctyl phthalate aerosol as the challenging agent for testing filtration efficiency. However, the chemical composition of the aerosols in real scenarios are much more complicated, especially considering the wide variety of emission sources of PM_{2.5}.¹¹⁴ Understanding the contribution of the chemical interactions between the aerosol particles and the fibers toward the filtration efficiency is of practical significance.
- 2. How to design and fabricate a nanofiber-microfiber composite filter for improving the quality factor? As discussed in the text (Figure 3D), a nanofiber medium offers a higher quality factor at a lower packing density; however, it is challenging to fabricate a thick (thick enough for an acceptable filtration efficiency) nanofiber medium with a low packing density because, during electrospinning, nanofibers tend to collapse into a thinner and more packed morphology due to their poor mechanical strength. A promising solution is to alternately arrange thin and low-packing-density nanofiber layers and microfiber layers into a composite filter. Although similar concepts have been demonstrated in the literature,^{30,115} questions such as how to tune the thickness ratio between each layer to maximize the quality factor and how to fabricate such composite filters at low cost still need to be answered.
- 3. What is the spatial distribution of the captured particles in a fibrous medium? We envision that a clear 3D image of the captured particle distribution through the thickness of a fibrous medium will provide vital insights into the filtration mechanism (e.g., to see if there are regions that capture notably more particles than other regions). X-ray computed tomography and cross-sectional electron microscopy might be appropriate tools to achieve this.
- 4. What is the spatial distribution of the electrostatic charge in an electret fibrous medium? Electrostatic voltmeters and electrostatic field meters are the two most commonly used tools described in the literature for characterizing the electrostatic charge. However, they can only measure the surface potential, which can be converted to the surface charge density; they fail to elucidate the charge density in the bulk of an electret fibrous medium. Further development of characterizing techniques with improved spatial resolution in 3D will enable us to resolve this question and motivate more advancements in electret filters.

polymers by electrospinning is usually higher yet decays faster than that of corona-charged polypropylene fibrous media. Such difference is not only caused by the charging methods. Polyolefins such as polypropylene intrinsically have a better charge retention ability than polar polymers due to their higher electrical resistivity and hydrophobicity, as discussed in earlier (Figure 4C).

Nanoparticle Additives as Charge Enhancers

As discussed earlier, the charge retention ability of an electret filter is important for its long-term reliability. A promising way to improve the charge retention ability is to incorporate electret nanoparticles into the fibers of a fibrous medium, which can be achieved by mixing the nanoparticles with the precursor solution for electrospinning or with the polymer resins for meltblown. Many different electret nanoparticles have been investigated, including boehmite,¹⁰⁷ BaTiO₃,^{108,109} SiO₂,¹⁰⁴ TiO₂,¹¹⁰ tourmaline,¹¹¹ etc. Li et al.¹⁰⁴ compared the effects of adding different electret nanoparticles on the charge retention ability of electrospun polyetherimide nanofibers and found that SiO₂ and boehmite were the most effective (Figure 7D). Although most past studies attributed the enhanced charge storage ability to the stable dipole orientation in the electret nanoparticles formed during the charging process, a scientifically proven mechanism is still lacking. Particularly, a theory that can explain the different degrees of enhancement of different nanoparticle additives is needed for future development.

In addition to inorganic nanoparticles, particles made of organic compounds can also be used as charge enhancers. Wang et al.¹¹² reported that incorporating 0.05 wt % polytetra-fluoroethylene nanoparticles effectively improved the stability and the density of the residual charge in electrospun polyvinyli-

dene fluoride nanofibers. They explained that the additional charge storage capacity originated from the interfacial polarization between the two polymers during the electrospinning process. Xiao et al.¹¹³ demonstrated that adding stearate or rosin improved the filtration efficiency and the storage stability of a corona-charged meltblown polypropylene fibrous medium. They found that with the presence of stearate or rosin during the meltblown process, the crystallinity of the resulting polypropylene fibers increased, and the crystallite size decreased. They thereby inferred that adding stearate or rosin resulted in more interfaces between the crystallite and the amorphous regions, which contributed to the enhanced charge storage capacity.

OUTLOOK ON FUTURE DIRECTIONS

In countries such as China and Japan, masks are societal norms that serve as the first line of defense against $PM_{2.5}$ pollution and pathogen transmission; however, in the wake of the COVID-19 pandemic, masks have become the "new normal" in many parts of the world. With a sudden increase in demand, a resurgence of interest is expected for the research and development of masks. On the one hand, improving the filtration performance and reducing the manufacturing cost will remain the main focus of research in this field, and several open questions are still to be answered, which are summarized in Box 1. On the other hand, new research opportunities will arise in response to the unprecedented changes brought on by the COVID-19 pandemic. The results of these findings could also lead to better protection against $PM_{2.5}$ air pollution. In this section, we highlight four research directions that are most needed and promising from our perspective.

First, conferring masks with biocidal properties will further decrease the risk of pathogen transmission. A recent study¹¹⁶

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revealed that 72 h are required for the SARS-CoV-1 and SARS-CoV-2 viruses on plastic surfaces to be reduced by three orders of magnitude. Assuming a similar longevity on mask surfaces, improper use and disposal of masks could increase the risk of pathogen transmission rather than reducing it. In addition, the particles captured on mask surfaces can be re-aerosolized during exhalation and may still be infectious, especially when the wearer sneezes or coughs.¹¹⁷ There have been many studies on incorporating different biocidal additives into the fibers of filter media, such as silver,¹¹⁸⁻¹²⁰ copper oxide,¹²¹ N-halamines,^{122,123} quaternary ammonium salts,¹²⁴ and herbal extracts.¹²⁵⁻¹²⁷ However, most studies only investigated the antibacterial properties, and limited studies^{121,126} evaluated the effectiveness of the additives in deactivating pathogenic viruses. For example, Borkow et al.¹²¹ demonstrated that impregnation of CuO nanoparticles endowed masks with antiviral properties against the human influenza A virus (H1N1) and the avian influenza virus (H9N2) (Figure 8A). More research is needed to determine the antiviral potency of other biocidal additives, especially against the SARS-CoV-2 virus, as well as their impact on the filtration performance.

Second, improving the thermal comfort of masks will greatly benefit the health care workers fighting the pandemic and increase the public acceptance of wearing masks, especially in warmer and higher humidity environments. Exhalation valves were designed to improve thermal comfort by allowing unfiltered exhaled breath to flow out quickly, yet pathogens can also escape through the valves and potentially infect others. The US Centers for Disease Control and Prevention recommends hospitals not use masks with exhalation valves where a sterile field must be maintained, ¹³⁰ and wearing such masks does not comply with the mask mandates currently enacted in many US

Figure 8. Research Opportunities Amid the COVID-19 Pandemic

(A) Schematic illustration of an antiviral mask, which is composed of two external spunbond polypropylene layers (layers A and D) containing 2.2 wt % copper oxide particles, one internal meltblown polypropylene layer (layer B) containing 2 wt % copper oxide particles, and one mechanically supportive layer made of plain polyester. Adapted from Borkow et al.,¹²¹ CC BY 4.0.

(B) Thermal imaging of a bare face (left panel), a face covered with a radiative-cooling mask (middle panel), and a face covered with a commercial mask (right panel) demonstrating that the radiative-cooling mask is transparent to mid-infrared light. Adapted from Yang et al.¹²⁸ with permission.

(C) Schematic illustration of a mask for respiration monitoring with the embedded moisture sensor and electronics (left panel). Photograph of a tablet computer running an Android app that can display and analyze the incoming data stream from the data acquisition electronics (right panel). Adapted from Güder et al.¹²⁹ with permission.

states.¹³¹ Therefore, another heat dissipation mechanism is needed to prevent the uncomfortable buildup of heat inside masks. Radiation plays an indispensable role in the dissipation of human body heat; mid-infrared light can be emitted by

human skin in the form of black body radiation (7–14 μm with an output peak at 9.5 μm). 132 The concept of a radiative-cooling textile was first demonstrated by Hsu et al. 133 in 2016 based on a nanoporous polyethylene membrane. Yang et al. 128 later introduced this concept into masks by integrating electrospun nylon-6 nanofibers with a needle-punched nanoporous polyethylene membrane (Figure 8B). There is still a lot to improve following this route, and a recent review by Peng et al. 134 serves as a good foundation for future development.

Third, as masks become part of our daily lives, they also provide an emerging wearable platform for integrating functional electronics, especially sensors to record health-related data. One promising direction is to monitor respiration rate, which is an important metric for predicting cardiac arrest,¹³⁵ diagnosing sleep apnea,¹³⁶ and determining the anaerobic threshold during exercise.¹³⁷ Güder et al.¹²⁹ demonstrated a mask that could monitor respiration rate with a paper-based moisture sensor attached inside (Figure 8C). The moisture sensor converted the changes in humidity caused by cycles of inhalation and exhalation into electrical signals. Several other studies demonstrated similar concepts with different moisture-sensitive materials, including graphene oxide,¹³⁸ alginate,¹³⁹ and polydopamine.¹⁴⁰ Aside from monitoring respiration rate, in the literature, we found that other functions, such as the detection of formaldehyde and ammonia in the air,¹⁴¹ were also integrated into masks, yet the purpose of these functions in masks was not well justified. Nevertheless, with the study by Güder et al.¹²⁹ as an encouraging example, we envision that smart masks represent a promising direction for the development of wearable electronics.

Fourth, the increased popularity of wearing masks will bring significant environmental impacts. The manufacturing of masks generates considerable environmental footprints and



greenhouse gas emissions. For example, the volatile organic solvent used in electrospinning is usually toxic and could pose a hazard to the environment without proper treatment. Therefore, developing solvent-free electrospinning⁸⁵ is important for enhancing the sustainability of this manufacturing process. On the other hand, disposed masks contain contaminated waste and can lead to plastic waste on both land and in the ocean. $^{\rm 142,143}$ Thus, it is imperative to conduct research on discovering eco-friendly mask materials and exploring effective reuse and waste management systems to minimize the environmental impacts. A wide variety of biodegradable polymers, such as cellulose, starch, chitin, chitosan, etc., have been successfully electrospun into nanofibers, providing a promising path toward eco-friendly masks,¹⁴⁴ nevertheless, more research is needed to determine the filtration efficiency of fibrous media made of these biodegradable polymers, especially after longterm storage in different humidity levels.

Considering that $PM_{2.5}$ pollution will continue to be a major health concern in newly industrialized countries, and the current pandemic may create lasting cultural shifts in many parts of the world to increase mask usage, the pursuit of more efficient and cost-effective masks will be a cornerstone to maintaining public health. Just as masks are desperately needed for this ongoing fight against COVID-19, further development of masks is essential in the fight against $PM_{2.5}$ pollution and future global health emergencies. We hope this article helps researchers gain a comprehensive picture of the historic and current development of masks and motivates more technological advancements in the near future.

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AUTHOR CONTRIBUTIONS

J.X. and Y.C. conceived the outline. J.X. wrote the manuscript. X.X. and R.X. helped write the section on outlook on future directions. W.Z. helped write the section on factors affecting filtration performance. S.C.K. helped write the section on fabrication of fibrous media. Y.C. helped write the section on filtration mechanisms. T.T.H. helped write the section on electrostatic charging. E.W. helped write the Introduction.

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