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## Altered expression of glutamate signaling, growth factor and glia genes in the locus coeruleus of patients with major depression

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### Abstract

Several studies have proposed that brain glutamate signaling abnormalities and glial pathology play a role in the etiology of major depressive disorder (MDD). These conclusions were primarily drawn from postmortem studies in which forebrain brain regions were examined. The locus coeruleus is the primary source of extensive noradrenergic innervation of the forebrain and as such exerts a powerful regulatory role over cognitive and affective functions, which are dysregulated in MDD. Furthermore, altered noradrenergic neurotransmission is associated with depressive symptoms and is thought to play a role in the pathophysiology of MDD. In the present study we used laser-capture microdissection to selectively harvest locus coeruleus (LC) tissue from postmortem brains of MDD patients, patients with bipolar disorder (BPD), and from psychiatrically-normal subjects. Using microarray technology we examined global patterns of gene expression. Differential mRNA expression of select candidate genes was then interrogated using quantitative real-time PCR and *in situ* hybridization. Our findings reveal multiple signaling pathway alterations in the LC of MDD, but not BPD subjects. These include glutamate signaling

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genes SLC1A2, SLC1A3, GLUL, growth factor genes FGFR3 and TrkB, and several genes exclusively expressed in astroglia. Our data extend previous findings of altered glutamate, astroglial and growth factor functions in MDD for the first time to the brainstem. These findings indicate that such alterations: 1) are unique to MDD and distinguishable from BPD, and 2) affect multiple brain regions, suggesting a whole-brain dysregulation of such functions.

## Keywords

laser-capture microdissection; human; monoamine; norepinephrine; postmortem; microarray

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## Introduction

Major depression (MDD) is a chronic and debilitating mood disorder with a 16% lifetime prevalence<sup>1</sup> and is associated with excess mortality, especially from cardiovascular disease and through suicide<sup>2</sup>. Mood disorders, including MDD and bipolar disorder (BPD) are characterized by dysfunction of noradrenergic neurotransmission. Drugs that increase brain norepinephrine (NE)/serotonin (5-HT) levels act as antidepressants<sup>3, 4</sup>, whereas drugs that deplete NE/5-HT stores induce a depressive state<sup>5, 6</sup>. Currently, most antidepressant drugs directly or indirectly target 5-HT and/or NE systems of the brain, as these neurotransmitters have been suggested to be mechanistically relevant to the etiology of mood disorders<sup>7</sup>. However, drugs such as selective 5-HT reuptake inhibitors only produce remission in 37% of all patients, and if they show therapeutic responses, then only after 2-5 weeks<sup>8</sup>. These observations suggest that brain monoamine deficiency may be part of a much more complex pathogenesis of major depression that requires further investigation.

The locus coeruleus (LC), which is located in the rostral pontine tegmentum<sup>9, 10</sup>, possesses the largest number of NE-producing neurons in the mammalian brain. These neurons contain the pigment neuromelanin that gives the nucleus its characteristic dark color. LC neurons project widely throughout the entire central nervous system, including the cerebral cortex, thalamus, septum, hippocampus, hypothalamus, cerebellum, and spinal cord<sup>11-13</sup>. In addition there are intense reciprocal connections between LC and the 5-HT producing dorsal raphe neurons, which provide the basis for neurochemical communication between both monoamine systems<sup>14</sup>. With their wide-ranging and overlapping projections arising from the brainstem, both monoamine systems act in concert to modulate vigilance, sleep-wake cycle, memory, adaptive response to stress, and pain modulation<sup>15</sup>. These behaviors are disturbed in patients with MDD, and as a consequence their LC displays molecular abnormalities. Therefore, the current study focused on the locus coeruleus (LC) which synthesizes the majority of NE released in the forebrain<sup>16</sup>. The study is an attempt to more clearly understand the biology of cells in the LC (neurons and glia) in depression.

Previous postmortem studies focused on the LC have shown increased protein levels of presynaptic alpha 2 adrenoreceptors in MDD, which inhibits the firing of LC neurons and subsequent norepinephrine secretion<sup>17</sup>. Protein levels of tyrosine hydroxylase, the rate limiting enzyme in the synthesis of norepinephrine, are likewise elevated in the LC of MDD patients<sup>18</sup>, whereas binding to norepinephrine transporter is reduced<sup>19</sup>. These results are

consistent with the interpretation that: 1) noradrenergic neurotransmission is dysregulated in MDD, and 2) the LC represents a key region in the etiology of this disorder. The majority of post mortem studies involving the analysis of LC in MDD have focused on analyses of proteins involved in noradrenergic neurotransmission. Little is known, however, about mRNA expression, especially of non-adrenergic genes, in the LC of MDD patients.

Therefore, in the present study we used gene expression microarray technology in combination with laser-capture microdissection (LCM) to profile gene expression in the LC from post mortem brains of patients with MDD. These profiles were contrasted to those obtained from the LC of BPD patients and psychiatrically-normal subjects. Our approach yielded a number of candidate genes that may play a key role in the LC dysfunction in depression. Potentially altered gene transcripts were examined using quantitative real-time PCR (qPCR) and/or *in situ* hybridization (ISH). The results implicate MDD-specific dysfunctions in glutamate and growth factor signaling with special emphasis on altered astroglial transcripts, which is in strong agreement with previous studies from dorsolateral prefrontal cortex (DLPFC) and anterior cingulate cortex (AnCg) of MDD patients<sup>20, 21</sup>.

## Methods

### Human Brain Tissue Acquisition and Preparation

All brains used in this study were collected by the Brain Donor Program at the University of California, Irvine with the consent of the decedents' relatives. Information regarding physical health, medication use, psychopathology, substance use, and details about the final hours of the decedents were obtained from medical records, coroner's investigation, medical examiner's conclusions, and interviews with relatives. Table 1 lists subjects in the current study and includes their age, gender, agonal state, postmortem interval, and brain pH. No significant differences in age, brain pH, or postmortem interval among the three diagnostic groups were detected ( $p > 0.05$ , one-way ANOVA). Previous post mortem studies concluded that brain tissue pH and a patient's agonal state (brief vs. protracted deaths) are among the most important factors in determining global gene expression patterns<sup>22,24</sup>. Therefore, the present study only used brain samples with a pH  $> 6.7$ , and an agonal factor of zero, indicating a brief death.

Brains were removed at autopsy, quickly chilled to approximately 4°C and then cut into series of 0.75 cm thick coronal slices that were quickly frozen and stored at -80°C as previously described<sup>25</sup>. Human brain slabs were then placed on dry ice blocks and dissected using fine-toothed saw to generate tissue blocks for subsequent cryostat sectioning. Such tissue blocks were approximately 4 × 3 cm in size and were stored at -80°C.

Tissue blocks containing LC were cryostat-cut into 10 μm coronal sections at -20°C, immediately thaw-mounted onto glass slides (1 section/slide), and then stored at -80°C. Every fiftieth section from each subject's slide set was processed for the detection of norepinephrine transporter (NET) mRNA via radiolabeled ISH as previously described<sup>26</sup>. NET mRNA is highly enriched within the boundaries of the LC and can be reliably used to map its location<sup>27</sup>. Following ISH, slides were stained with luxol fast blue combined with cresyl violet to visualize brainstem anatomy. Using these landmarks in combination with

shape of the LC (as determined by NET ISH) and NET signal intensity and distribution, sections from all subsections were aligned to match along the anterior-posterior brain axis.

We used the ISH signal obtained from neighboring sections as guide to microdissect the LC by the means of laser capture (ISH-guided LCM). For each subject we collected a total of 4 slides 500  $\mu\text{m}$  apart from within a 2 mm common region of the mid-rostral portion of the nucleus ( $\sim +25$  to  $+27$  mm from obex<sup>28</sup>), which resulted in processing of 8 bilateral LCs. Selected slides were removed from  $-80^\circ\text{C}$ , thawed for 30 sec at room temperature, then dehydrated and defatted as previously described<sup>29</sup>. ISH-guided LCM procedure was used to microdissect individual LC nuclei which is described in detail elsewhere<sup>26</sup>.

### RNA isolation and amplification

RNA isolation, including DNase treatment, was performed using the PicoPure RNA Isolation kit (Molecular Devices, Sunnyvale, CA) according to the manufacturer's protocol. The final RNA elution volume was 15  $\mu\text{l}$ . To assess RNA quality and concentration, 1  $\mu\text{l}$  of each subject's isolated RNA sample was evaluated with on a 2100 BioAnalyzer (Agilent Technologies, Palo Alto, CA) and resulting electropherograms were quantified according to the method of Schoor et al.<sup>30</sup>. This method has been applied to human brain tissue obtained through ISH-guided LCM<sup>26</sup>.

RNA samples were subject to two rounds of RNA amplification (RiboAmp OA RNA kit, Molecular Devices). After the first round of amplification a portion of amplified double-stranded cDNA was saved for qPCR. Following two amplification rounds, 15  $\mu\text{g}$  of biotinylated amplified RNA from each subject was then hybridized to HG-U133 Plus 2.0 arrays (Affymetrix, Santa Clara, CA) per manufacturer's instructions.

### Microarray data analysis

Robust Multi-Chip Average (RMA) and Affymetrix Microarray Suite 5 (MAS5) calls algorithms were employed for Affymetrix CEL files analysis. Affymetrix chip description files were replaced by a custom probe set mapping files ([http://brainarray.mbni.med.umich.edu/Brainarray/Database/CustomCDF/genomic\\_curated\\_CDF.asp](http://brainarray.mbni.med.umich.edu/Brainarray/Database/CustomCDF/genomic_curated_CDF.asp)), which independently reassigned all Affymetrix probe sets to an updated UniGene cluster (Build No. 199). Log 2-transformed intensity values of all transcripts from RMA output were statistically analyzed using Microsoft Excel 2003 software (Microsoft, Redmond, WA). Disease specific gene expression differences from controls were evaluated using Student's t-tests. Genes were considered to be significantly altered if: (1) the p values were  $\leq 0.05$ , (2) the mRNA was detected in  $\geq 50\%$  of arrays in C, BPD, or MDD groups according to MAS5CALLS algorithm, and (3) a gene's  $\log_2$  intensity value was  $\geq 4$  according to RMA algorithm. Pairwise fold change differences were calculated from group means of microarray intensity values. Pearson correlation calculations (two-tailed p-values) between microarray and qPCR data were made with GraphPad Prism 4 software (Graphpad, La Jolla, CA).

## Canonical Pathway Analysis

Canonical pathway analysis was generated through the use of Ingenuity Pathways Analysis (Ingenuity® Systems, Redwood City, CA). Microarray data were filtered to only include genes that: a) had  $\log_2$  intensity value of  $\geq 4$ ; b) were detected on at least 50% of microarrays in any of the diagnostic groups; and c) had Student t-test p-values of  $\leq 0.1$  for either MDD vs. Control or BPD vs. Control comparisons; and d) and were altered in their mRNA expression either  $\geq 1.05$  or  $\leq -1.05$ - fold. These relaxed parameters were used to maximize the number of candidate genes for downstream analyses. To investigate potential involvement of different functional pathways selected candidate genes were evaluated using Canonical Pathway analysis tool in the Ingenuity Pathways Knowledge Base (Ingenuity Pathways Analysis software (IPA), Ingenuity® Systems, [www.ingenuity.com](http://www.ingenuity.com)) The significance of the association between these data sets and each canonical pathway was evaluated using: 1) a ratio of the number of genes in the data set that belonged to a particular pathway relative to the total number of genes in such canonical pathway and 2) a p-value (Fischer's exact test) to determine the probability that the association is due to chance.

## Quantitative Real-Time PCR (qPCR)

QPCR confirmation of microarray results utilized SYBR Green chemistry. A Bio-Rad iCycler (BioRad, Hercules, CA) along with SYBR-488 detection protocol combined with a touchdown PCR approach<sup>26, 29</sup> was employed for performance of amplification reactions and fluorescence quantification. Reactions were performed in 96 well PCR plates (Bio-Rad) with each well containing 5  $\mu$ l of amplified double-stranded cDNA (aDNA; 50 pg/ $\mu$ l) that was set aside following the first round of RNA amplification. The concentration of aDNA was quantified for each sample using Quant-iT PicoGreen dsDNA kit (Invitrogen, Carlsbad, CA) according to manufacturer's instructions. To each well 5  $\mu$ l of forward and reverse strand primers (final concentration: 500 nM) and 10  $\mu$ l of iQ SYBR Green Supermix (Bio-Rad) were added. Amplifications of all samples were carried out in triplicate and the average cycle threshold (Ct) was then calculated for each sample. Replicates that were  $\geq 1$  Ct away from the mean Ct were excluded; the mean Ct was calculated from the remaining duplicates. Subjects with only one Ct value were excluded from further analysis. Because input amount of aDNA was equivalent across all samples, raw Ct values were inversely proportional to the intensity levels of gene expression. We chose this approach rather than the  $\Delta$  Ct method, which relies on normalization to housekeeping genes, because of the potential for differential mRNA expression of such reference transcripts<sup>31, 32</sup>. A similar approach in which standardized DNA input amounts for qPCR were used has recently been validated<sup>26, 33</sup>.

The following formula was used to calculate relative fold changes:  $2^{-(C_{ta} - C_{tb})}$  in which  $C_{ta}$  is cycle threshold in experimental subjects (MDD or BPD) and  $C_{tb}$  is cycle threshold in control subjects. The specificity of each reaction was then confirmed by the presence of a single peak on the melting curve, plotted as the negative derivative of fluorescence during incremental increases in well temperature. No template controls, in which aDNA was replaced with distilled H<sub>2</sub>O, did not yield amplification products. Primer design details are described elsewhere<sup>26</sup>. Sequences of PCR primer pairs used in the present study are listed in Table S1.

## In Situ Hybridization (ISH)

Detailed ISH methods using postmortem human brain tissue have been described elsewhere<sup>34</sup>. Briefly, slides adjacent to the ones used for LCM were removed from -80°C storage, fixed in 4% paraformaldehyde at room temperature, rinsed in standard saline citrate buffer, incubated in a solution containing acetic anhydride in triethanolamine, and dehydrated in aqueous solutions with increasing alcohol concentrations. Sections were then hybridized with <sup>35</sup>S-UTP- and <sup>35</sup>S-CTP-doublelabeled cRNA probes, produced using standard in vitro transcription methodology. Riboprobes for NET (NM\_001043) pos.1-1974 and TH (NM\_000360) pos. 336-734 were synthesized from human cDNA fragments cloned in house. Clones for probes of glutamate-ammonia ligase (glutamine synthetase; GLUL/GLNS), solute carrier family 1 (glial high affinity glutamate transporters) members 2 and 3 (SLC1A2, SLC1A3) were a kind gift from Dr. Choudary (University of California – Davis). Probe sequences and information regarding their specificities have been previously published<sup>20</sup>.

Following overnight incubation at 55°C, slides were washed, rinsed, dehydrated, and exposed to Kodak Biomax MR film (Eastman Kodak, Rochester, NY, USA). Exposure time was empirically determined using test slides to optimize the specific signal for densitometric analysis. Specificity of the hybridization was confirmed in control experiments using sense riboprobes.

## Quantification of the Radioactive Signal

ISH autoradiograms were digitized and captured using ScanMaker 1000XL Pro Flatbed Scanner (Microtek, Carson, CA) in combination with SilverFast Ai Imaging Software (LaserSoft Imaging, Inc., Sarasota, FL). Adobe Photoshop CS software (Adobe Systems, San Jose, CA) was used to import images of adjacent autoradiograms labeled for TH, SLC1A2, SLC1A3, and GLUL mRNAs into individual layers. These digitized images were overlaid in Photoshop and registered to each other using major anatomical landmarks in each image as guides. Afterwards, 4 individual layers consisting of aligned TH, SLC1A2, SLC1A3, and GLUL autoradiogram images were imported into ImageJ software, version 1.37 ([rsbweb.nih.gov/ij/](http://rsbweb.nih.gov/ij/))<sup>35</sup>. In the TH autoradiogram anatomical boundaries of LC were identified, outlined, and transferred to the exactly matching position in the SLC1A2, SLC1A3, and GLUL labeled images where optical density within the whole area of the LC (as defined by TH mRNA distribution) was measured. Gray scale values were converted into relative optical density (ROD) measurements using standards from an optical density step tablet. Background was measured and subtracted from each specific LC measurement. Mean ISH signals were statistically analyzed using one-tailed Student's t-tests in Prism 4.0 software (GraphPad). Pearson correlation coefficients (two-tailed p-values) of ISH signals and qPCR Ct values were also computed with Prism 4.0 software (GraphPad).

## Results

### RNA quality

RNA quality was assessed by evaluating electropherogram signals prior to the appearance of the 18S peak and the AUC of the 28S peak. RNA samples with less than 65% of their total



signal in the pre-18S peak area of the electropherogram and more than 4% of total signal under the 28S peak have been demonstrated to produce accurate and reliable results in microarray- and qPCR-based gene expression experiments<sup>26, 30</sup>. Such analysis of our samples showed that the pre-18S peak area contained: 47.9±1.7% (Control), 47.8±1.4% (MDD), and 48.9±2.7% (BPD) of total signal, while the 28S peak region contained: 11.8±1.0% (Control), 10.8±0.9% (MDD), and 12.0±1.7% (BPD) of total signal. For technical reasons we are unable to obtain these parameters in three (1 control, 2 MDD) of all 27 samples. However, downstream gene expression results were not significantly different in these three samples. Our results indicate that the RNA extracted from all samples was suitable for valid analysis of microarray- and qPCR based gene expression data<sup>26, 30</sup>

### Overall Microarray results

Averaged microarray array intensity values derived from log<sub>2</sub> RMA data were as followed (mean±S.E.M.): 6.75± 0.02 (control); 6.76± 0.04 (MDD); 6.77± 0.02 (BPD). Raw detection call rates calculated from the MAS5CALLS output were (mean±S.E.M.): 46.1 ± 0.9% (control); 45.0 ± 1.2% (MDD); 46.6 ± 0.4% (BPD). There were no significant differences (p>0.05) among diagnosis groups for RMA or MAS5CALLS, indicating an overall expression homogeneity among groups.

### Glutamate signaling gene transcripts exhibit altered mRNA expression in LC of MDD subjects

Comparing mood disorder groups, microarray data revealed that at p 0.1 significance level 1094 and 695 genes transcripts were upregulated in MDD and BPD patients, respectively, whereas 474 transcripts in MDD and 454 transcripts in BPD were downregulated. We utilized Ingenuity pathway analysis (IPA) as a discovery science approach for examination of LC microarray and for the identification of significantly altered canonical pathways. The pathway that was most significantly (p=0.004) altered in MDD was the one for glutamate signaling (Figure 1). It is defined by 47 different genes, and we found significantly altered mRNA expression in 8 of such genes. Of these 8 genes three were downregulated, in their mRNA expression and consisted of transcripts that encode high affinity glutamate transporters SLC1A2 and SLC1A3, and GLUL, are all of which are specifically expressed in glial cells but not in neurons. In contrast, 4 of the post-synaptic glutamate receptor transcripts were upregulated – GRIA1, GRIK1, GRM1, GRM5, whereas the presynaptic vesicular glutamate transporter 2 (VGLUT2 or SLC17A6) was upregulated in its gene expression as well. Of the eight altered glutamate signaling genes in MDD, which are all individually altered at the alpha level of p<0.05, only GRM5 also exhibited significant transcript upregulation in BPD subjects.

Subsequently, four glutamate signaling genes transcripts were probed using qPCR. This approach confirmed microarray results for the transcripts SLC1A2, SLC1A3, and GLUL whereas VGLUT2 transcript did not show altered mRNA expression (Table 2).

Because of their profound impact on synaptic glutamate clearance SLC1A2, SLC1A3, and GLUL were selected for additional validation with ISH performed on sections adjacent to those used for LCM. Representative examples of LC ISH autoradiogram images are shown

in Figure S1. In MDD subjects ISH optical density for SLC1A3 and GLUL was significantly decreased whereas the decrease in optical density for SLC1A2 did not reach statistical significance (Table 2). For BPD subjects no statistical significant ISH density changes were observed. Mean GLUL and SLC1A3 ISH ROD values for each subject are significantly correlated with their respective qPCR cycle threshold values (Table 3B). These results are consistent with the interpretation that the gene transcripts for SLC1A3 and GLUL are downregulated in MDD, confirming microarray and qPCR results.

### **Glial deficits accompany glutamate signaling alterations in MDD**

Since we detected that the strongest gene expression changes of glutamatergic transmission transcripts have glial origin, we hypothesized that there may be a general deficit of glial function. To test this notion we specifically examined mRNA expression of other genes known to be exclusively expressed by glia. This analysis revealed significant reductions in the mRNA expression of such transcripts, including GFAP, S100B, GJA1, GJB6, and AQP4 in MDD but not BPD subjects. QPCR experiments confirmed these gene expression changes (Table 2) and individual microarray and qPCR results for all glia-associated genes are strongly correlated (Table 3A, Figure S2). In contrast, mRNA expression of genes exclusively expressed in neurons, such as NEFL, NEFM, NEF3, and ENO2 were not altered in MDD or BPD, according to microarray results. The ENO2 transcript was then additionally probed using qPCR, which confirmed microarray results. ENO1, a non-neuronal marker, displayed no microarray or qPCR gene expression differences in MDD and BPD. Taken together, these results suggest that there may be a selective insult to LC glial function in MDD.

### **Growth factor transcripts display altered gene expression in MDD**

Finally we examined the mRNA expression of gene transcripts, which have been previously shown to be dysregulated in MDD specifically those that regulate FGF and BDNF functions as both have been implicated in the pathophysiology of MDD<sup>21</sup>. Microarray gene expression of neurotrophic tyrosine receptor kinase receptor 2 (TrkB) and fibroblast growth factor receptor 3 (FGFR3) was significantly downregulated in the LC of MDD and were confirmed by qPCR. Additionally, the receptor ligands displayed altered microarray gene expression with FGF2 being downregulated whereas FGF9 as well as insulin-like growth factor 1 (IGF1) were upregulated. However, these microarray results were not confirmed by qPCR. All observed growth factor transcript alterations were specific to MDD subjects.

## **Discussion**

The present study examined alterations in gene expression in the LC of patients with antemortem diagnosis of either MDD or BPD as compared to psychiatrically-normal subjects. We chose ISH-guided LCM to dissect tissue from individual LC nuclei, because our previous study has shown that this approach, compared to micropunches, provides increased anatomical resolution and more precise sampling which enriches specific mRNAs and thus improves sensitivity and the dynamic range of microarray-based gene expression profiling<sup>26</sup>. Rather than individual LC cells, we laser-dissected the entire LC area to obtain transcriptional information from neurons and glia, as all cell types within a brain nucleus



form a functional, and signaling network. While previous studies focused on neurons in the LC, recent studies have emphasized the importance and vulnerability of glia in mood disorders, such as major depression<sup>20, 39, 40</sup>. Our initial studies were performed using high-density oligonucleotide microarrays in an unbiased discovery science approach to uncover potential alterations in the mRNA expression of functionally related genes. Changes in mRNA expression of selected candidate gene transcripts were then validated with qPCR and ISH. Based on microarray results, we thereby focused our efforts on genes that directly interface between astrocytes and components of glutamate signaling pathway. Our data indicate that several classes of genes were differentially expressed in MDD subjects, including those involved in: glutamate neurotransmission, glial function, and growth factor signaling. In contrast, none of these functional groups exhibited altered gene expression in the LC of BPD patients. These results suggest that dysregulation in these signaling systems in the LC may contribute to pathophysiological changes in MDD.

### Limitations

The results presented here do not reflect gene expression alteration of the entire locus coeruleus. After alignment of LC-containing brain sections from all subjects, the mid-rostral portion of the LC was sampled. This region is known to send projections to many forebrain structures including hippocampus, cortex and hypothalamus<sup>11,13</sup>; The functions of these regions are thought to be compromised by major depression. A MRI study has shown that neuromelanin signal intensity was selectively reduced in the medial and rostral part in locus coeruleus in depression in patients with major depression, implicating noradrenergic dysfunction<sup>41</sup>. However, a previous postmortem study found no difference in neuromelanin-containing cells between control and major depressive subjects at any level of the LC<sup>42</sup>.

Several postmortem studies have investigated LC nuclei of MDD patients for altered protein levels and found elevated immunoreactivity of TH<sup>18, 42</sup>, nNOS<sup>43</sup>, CRF<sup>44</sup> and reduced binding to NET<sup>19</sup>. Our results, however, did not show mRNA expression changes of TH, CRF, and NET in the LC of MDD or BPD subjects. In fact, none of the transcripts of the norepinephrine biosynthesis enzymes exhibited gene expression alterations in MDD or BPD (data not shown). Translational regulatory mechanisms at the protein level may be responsible for these discrepancies.

We observed significant differences in the mRNA expression of glial and glutamatergic transcripts in MDD, but not BPD subjects. This observation may indicate that such changes are specific to MDD; however, it is limited by the small number of BPD subjects. However, our power analysis calculations indicate that given effects and associated variability significantly larger number of BPD subjects would be required to replicate observed gene expression alterations in MDD. For example, for SLC1A3, SLC1A2, and GLUL gene expression as measured by qPCR, power analysis calculations predict that 14, 15, and 12 MDD subjects respectively, would be required to observe differences vs. Controls at the  $p < 0.05$  level. On the other, hand for BPD vs. Control comparisons 143, 159, and 90 BPD subjects would be required for each gene to observe the same effects. Similarly, we observed large differences (ranging from 2- to over 100- fold) in the power calculations for

potential effects in MDD and BPD groups for all of the other transcripts we assayed by qPCR, including: FGF-2, FGF-9, FGF-R3, TrkB, SLC17A6, GFAP, S100B, GJA1, GJB6, AQP4, and ENO1. In our future studies we plan to increase the number of BPD subjects to confirm the specificity of these findings to MDD.

Most of the MDD subjects included in this study died from suicide, which was very likely related to their mood disorder. Therefore, the observed changes in LC gene expression described here may not apply to mildly to moderately depressed patients but may be limited to severely depressed, suicidal patients.

### **Gene expression alterations in glutamate signaling and glial genes in the LC of MDD may be functionally and anatomically related**

Several human MRI studies have linked altered brain glutamate levels and major depression<sup>45, 46</sup> and have shown that therapeutic intervention can normalize brain glutamate concentrations<sup>46, 47</sup>, which in turn lessens the severity of depressive symptoms. Glutamatergic neurons, mainly originating from the nucleus paragigantocellularis, provide a major excitatory input to the locus coeruleus<sup>48</sup>. A second region, the medial prefrontal cortex, can also elicit glutamatergic responses in the LC<sup>49</sup>. Glutamate exerts its postsynaptic activity through a variety of ionotropic and metabotropic glutamate receptors in the LC. Studies in rhesus monkey showed that the LC mainly expresses mRNA subunits for AMPA, GRM3, GRM1 and, GRM5<sup>50</sup>. Local LC administration of AMPA elicits norepinephrine release from the LC<sup>51</sup> suggesting that glutamate in LC drives norepinephrine turnover. Excess levels of glutamate acting via glutamate receptors can cause neuronal damage (reviewed in<sup>52</sup>) and is hypothesized to contribute to depression. Conversely, several antagonists of NMDA receptors have antidepressant properties (reviewed in<sup>53</sup>). To prevent glutamate excitotoxicity, the glutamate transporters SLC1A2 and SLC1A3 take up excess glutamate into glia. Knockdown of glia glutamate transporters induces glutamate toxicity, whereas genetic deletion of neuronal glutamate transporters does not<sup>54, 55</sup>. This emphasizes the physiological importance of glial glutamate transporters as the main source to prevent neuronal damage from excess glutamate.

The results presented here are in agreement with the existing hypothesis of altered glutamate neurotransmission in major depression. We found that the glutamate signaling pathway was the most significantly altered canonical pathway in the LC of MDD patients implying that altered mRNA expression of several glutamate signaling genes significantly impacts the signaling of the entire glutamate pathway. Expression of glutamate signaling genes was altered in different compartments of the LC synapse. The largest and qPCR-confirmed mRNA expression changes of glutamate signaling genes were limited to genes transcripts exclusively expressed in glia cells, including the two glia high affinity glutamate transporters SLC1A2 and SLC1A3. In situ hybridization also confirmed the downregulation of the SLC1A3 transcript. Both transporters play a crucial role in terminating glutamatergic neurotransmission by glial glutamate uptake and thereby maintaining synaptic glutamate concentrations. Glutamate transporters can concentrate glutamate more than 10<sup>6</sup>-fold across cell membranes<sup>56</sup> to protect neurons from glutamate excitotoxicity<sup>57</sup>.

Pharmacological enhancement of glial glutamate transporter function has antidepressant effects in humans<sup>58</sup> and in mouse models of depression<sup>59</sup>. In addition to glutamate transporters, the gene expression of the enzyme that converts glutamate to glutamine, GLUL, also exhibited decreased mRNA expression in the LC of MDD patients. Gene expression of SLC1A2, SLC1A3, and GLUL have been shown to be significantly decreased in the anterior cingulate and dorsolateral prefrontal cortex of MDD patients<sup>20</sup>. GLUL gene expression is also downregulated in the inferior frontal gyrus of depressed suicide victims<sup>60</sup>. Downregulation of these three important glutamate signaling may not only perturb the balance between excitatory and inhibitory neurotransmitters but it can lead to cytotoxic glutamate concentrations affecting nearby neurons and glia<sup>61</sup>. Our results show that several markers of glia, not of neurons, show strongly reduced mRNA expression in the LC of MDD patients (Table 2), suggesting glial dysfunction in the LC of MDD, but not BPD patients. Similar glia-linked glutamate signaling impairments were recently reported in a rat model of depression<sup>62</sup>. Glia cells not only regulate and replenish the synaptic glutamate pool but glia also exchange signaling molecules with neurons and release neurotransmitters, including glutamate, to regulate the strength of synapses<sup>63</sup>. Several postmortem studies have demonstrated reduced glial cell number and glial density in cortical and limbic brain regions of depressed subjects<sup>39, 64-67</sup>. In our study, the non-neuronal marker ENO1 shows a trend ( $p=0.1$ ,  $FC=-1.3$ ) towards reduced gene expression in qPCR experiments, whereas mRNA expression of the astrocyte marker GFAP is significantly reduced in microarray and qPCR gene expression experiments in MDD subjects only (Table 2). This finding suggests that the observed gene expression changes of glial marker genes may reflect transcript expression alterations in astrocytes specifically. This notion is supported by the fact that nearly all glia transcripts in our study with significantly decreased mRNA expression in MDD subjects are mainly expressed in astrocytes<sup>68,70</sup>. These include several signaling members that are crucial for astroglia function, such as GJA1, GJB6, S100B, and AQP4 all of which show strongly reduced mRNA expression in MDD subjects. GJA1 and GJB6 form astrocytic gap junctions, which form large molecule-permeable hemichannels providing high-conductance communication pathways between adjacent glia cells that are necessary for the propagation of ATP-induced  $Ca^{2+}$  waves among neighboring astrocytes<sup>71</sup>. S100B is a peptide produced by CNS astroglia acting as trophic factor to promote axonal growth and synaptic remodeling<sup>72</sup>. S100B for some time has been implicated in major depression<sup>73</sup> and its transcript has been recently shown to be downregulated in the orbitofrontal cortex of suicide victims with a history of major depression<sup>60</sup>.

Recent reports of differential astrocytic distribution of glutamate transporters and receptors suggest that astrocytes form different subpopulations. In mouse hippocampus it has been shown that of these subgroups only astrocytes that express glutamate transporters participate in a gap junction network<sup>74</sup>. Gap junction uncoupling of cultured cortical astrocytes is associated with a substantial loss in SLC1A2 gene expression and reduced glutamate uptake<sup>75</sup>. In addition, the water transport regulating channel AQP4 is not only co-localized with SLC1A2 but loss of AQP4 function downregulates glutamate uptake and SLC1A2 gene expression in cultured astrocytes<sup>76</sup>. Thus, the strong concomitant decreases in gene expression of glutamate transporters and their co-localized astrocytic constituents in MDD subjects in the present study suggest a structural and functional linkage between glutamate

transporters, gap junctions, and aquaporin-4 channels and point to an overall glial deficit in the LC of MDD patients. Whether the reduced mRNA expression of these astroglia genes is accompanied by glia-related histological or morphological alterations in the LC needs to be investigated.

### **Neurotrophic receptor gene expression deficits in MDD as observed in the cortex are also present in the LC**

Neurotrophic growth factors, such as BDNF and FGF-2, regulating neuronal plasticity, survival, and axonal growth, have been implicated in the pathophysiology of major depression<sup>77</sup>. Centrally administered BDNF produced antidepressant effects in animal models of depression<sup>78</sup>. Antidepressants have previously been shown to increase BDNF<sup>79</sup>,<sup>80</sup> and FGF-2<sup>81</sup> levels and both growth factors promote and mediate antidepressant and anxiolytic effects in rodents<sup>82-84</sup>. Conversely, gene expression of some FGF-ligands and -receptors<sup>21</sup> and the BDNF receptor TrkB is downregulated in the AnCg, DLPFC, and hippocampus of depressed and suicidal subjects<sup>21</sup>,<sup>85</sup>. Our results are in strong agreement with these findings. LC microarray gene expression of growth factors FGF-R3 and TrkB receptor was decreased, whereas the FGF receptor ligand FGF-9 was upregulated (Table 2). This replicates the gene expression pattern observed in AnCg and DLPFC of MDD subjects, suggesting that similar growth factor dysregulation mechanisms may exist in multiple brain regions of the depressed patients. In addition our study revealed that the growth factor transcript IGF-1 was upregulated in LC of MDD subjects. This is consistent with the findings that IGF-1 serum levels are increased in MDD patients, and that IGF-1 concentrations only decrease in responders to fluoxetine treatment<sup>86</sup>.

### **Conclusion**

In summary, the present study is the first to use laser-capture microdissected LC from mood disorder patients for gene expression profiling. The results show that several gene families, such as glutamate signaling genes, growth factor genes, and astrocytic genes, which have been previously shown to display altered mRNA expression in forebrain of MDD patients or suicide victims<sup>20</sup>,<sup>21</sup>,<sup>60</sup>, are altered as well in LC of depressed subjects. However, also novel candidates such as gap junction genes exhibit a strongly reduced gene expression in MDD only. The results suggest that glutamate and astrocyte gene expression abnormalities in MDD are functionally linked and may contribute to the perturbation of the LC noradrenergic function. Whether the gene expression changes of glutamate and glia signaling genes reflect underlying pathophysiological changes or simply a diminished presence of certain glia constituents needs to be determined. Recent studies support the concept of altered glutamate transporter function in MDD<sup>58</sup>.

Future experiments will need to stereologically quantify glia and neurons in the LC of MDD subjects to determine whether the ratio of glial cells to neurons is altered in patients with MDD. Equally important are studies designed to test whether the transcriptional gene expression changes in the LC of MDD patients are also found in corresponding translated proteins. LCM of single neurons and glia cells of the LC might be valuable tool to gain deeper insight in the signaling abnormalities in MDD in order to improve treatment options for mood disorders.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## List of abbreviations

<b>5-HT</b>	Serotonin
<b>AMPA</b>	$\alpha$ -amino-3-hydroxyl-5-methyl-4-isoxazole-propionate
<b>AnCg</b>	Anterior cingulate
<b>AQP4</b>	Aquaporin 4
<b>BDNF</b>	Brain-derived neurotrophic factor
<b>BPD</b>	Bipolar disorder
<b>CRF</b>	Corticotropin-releasing factor
<b>DLPFC</b>	Dorsolateral prefrontal cortex
<b>ENO</b>	Enolase
<b>FGF</b>	Fibroblast growth factor
<b>GFAP</b>	Glial fibrillary acidic protein
<b>GJA1</b>	Gap junction protein, alpha 1
<b>GJB6</b>	Gap junction protein, beta 6
<b>GLNS</b>	Glutamine synthetase
<b>GLUL</b>	Glutamine-ammonia ligase
<b>GRIA</b>	Glutamate receptor, ionotropic AMPA
<b>GRIK</b>	Glutamate receptor, ionotropic kainate
<b>GRM</b>	Glutamate receptor, metabotropic
<b>IPA</b>	Ingenuity Pathway Analysis
<b>ISH</b>	In situ hybridization
<b>LC</b>	Locus coeruleus
<b>LCM</b>	Laser capture microdissection
<b>MASS</b>	Affymetrix Microarray Suite 5

<b>MDD</b>	Major depressive disorder
<b>NE</b>	Norepinephrine
<b>NEF</b>	Neurofilament
<b>NEFL</b>	Neurofilament, light polypeptide
<b>NEFM</b>	Neurofilament, heavy polypeptide
<b>NET</b>	Norepinephrine transporter
<b>NMDA</b>	N-methyl-D-aspartic acid
<b>nNOS</b>	Neuronal nitric oxide synthase
<b>qPCR</b>	Quantitative real-time polymerase chain reaction
<b>RMA</b>	Robust Multi-Chip Average
<b>ROD</b>	Relative Optical Density
<b>S100B</b>	S100 calcium binding protein
<b>SLC1A2</b>	Solute carrier family 1, member 2
<b>SLC1A3</b>	Solute carrier family 1, member 3
<b>SLC17A6</b>	Solute carrier family 17, member 6
<b>TH</b>	Tyrosine hydroxylase
<b>TrkB</b>	Tyrosine kinase B receptor
<b>VGLUT</b>	Vesicular glutamate transporter

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**Table 1**

List of demographical and clinical characteristics of included subjects. Averages  $\pm$  S.E.M. are: age – Control (50.7 $\pm$ 5.6), MDD (49.6 $\pm$ 4.2), BPD (43.2 $\pm$ 7.4); PMI – Control (20.0 $\pm$ 1.3), MDD (25.5 $\pm$ 1.4), BPD (22.7 $\pm$ 4.4); pH – Control (6.94 $\pm$ 0.04), MDD (7.03 $\pm$ 0.04), BPD (6.95 $\pm$ 0.04). Abbreviations: F - female, M - male, PMI - post-mortem interval, med.cond. - medical condition, SSRI – selective serotonin reuptake inhibitor

diagnosis	subject	race	age	sex	PMI (hours)	agonal factor	brain pH	cause of death	medication
Control	2169	Caucasian	18	M	22	0	6.97	accident	No
Control	2292	Caucasian	55	M	15	0	6.89	sudden med. cond.	No
Control	2805	Caucasian	45	M	21	0	6.86	sudden med. cond.	No
Control	3228	Pacific Islander	39	M	18.15	0	6.81	sudden med. cond.	No
Control	3516	Caucasian	41	M	22.5	0	7.01	sudden med. cond.	No
Control	3519	African American	65	M	13.5	0	6.88	sudden med. cond.	No
Control	3520	Caucasian	74	F	18.5	0	7.21	sudden med. cond.	No
Control	3588	Caucasian	56	M	24.5	0	6.98	sudden med. cond.	No
Control	3706	Caucasian	63	M	24.5	0	6.88	sudden med. cond.	No
MDD	2208	Caucasian	72	F	21	0	7.13	suicide	Non-SSRI
MDD	2267	Caucasian	19	M	18	0	7.11	suicide	No
MDD	3064	Caucasian	46	M	27	0	6.91	sudden med. cond.	Non-SSRI
MDD	3169	Caucasian	35	M	24.75	0	7.04	accident	Non-SSRI
MDD	3365	Caucasian	47	M	29	0	7.25	suicide	No
MDD	3426	Caucasian	63	M	28.5	0	7.17	sudden med. cond.	No
MDD	3031	Caucasian	49	M	27	0	7.19	suicide	No
MDD	2315	Caucasian	58	M	24	0	6.93	suicide	SSRI
MDD	2944	Caucasian	52	M	16	0	6.82	sudden med. cond.	SSRI
MDD	3071	Caucasian	49	M	31	0	7	unknown	SSRI
MDD	3168	Caucasian	39	M	27.5	0	6.79	suicide	SSRI
MDD	3481	Caucasian	66	M	32	0	7.05	sudden med. cond.	SSRI
BPD	2311	Caucasian	23	M	9	0	7.12	suicide	Lithium
BPD	2466	Caucasian	26	M	19	0	6.92	suicide	Lithium
BPD	3085	Caucasian	63	M	40	0	6.87	accident	No



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diagnosis	subject	race	age	sex	PMI (hours)	agonal factor	brain pH	cause of death	medication
BPD	3241	Caucasian	59	M	15.5	0	6.99	sudden med. cond.	Lithium
BPD	2566	Caucasian	56	F	29	0	6.83	suicide	No
BPD	3079	Caucasian	32	M	23.75	0	6.94	suicide	SSRI

Table 2

Results of microarray, qPCR, and ISH experiments. List of genes of interest (subdivided into 4 groups) and their expression changes in MDD and BPD patients evaluated by gene expression microarray, qRT-PCR, and/or ISH. Significant ( $p < 0.05$ ) changes from Control determined by Student  $t$ -test are in bold. F-Change indicates the fold-change from control values.

RefSeq ID	Gene Name	Symbol	Gene Expression Microarray Results				qRT-PCR results				
			log <sub>2</sub> mean intensity	MD	BP	BP	MD	BP	MD	BP	
			p value	F-Change	p value	F-Change	p value	F-Change	p value	F-Change	
<i>Glutamate signaling genes</i>											
NM_004171	Glial high affinity glutamate transporter, member 2	SLC1A2	6.1	-1.26	0.370	-1.05	<b>0.036</b>	0.444	-1.72	0.470	-1.02
NM_004172	Glial high affinity glutamate transporter, member 3	SLC1A3	8.3	-1.59	0.297	-1.13	<b>0.034</b>	<b>0.008</b>	-2.07	0.341	-1.09
NM_000828	Glutamate receptor, ionotropic, AMPA 3	AMPA3	5.9	1.16	0.053	1.14	<b>0.026</b>				
NM_175611	Glutamate receptor, ionotropic, kainate 1	GRIK1	6.1	1.21	0.332	1.04	<b>0.024</b>				
NM_000838	Glutamate receptor, metabotropic 1	mGluR1	4.4	1.10	0.325	1.02	<b>0.031</b>				
NM_000842	Glutamate receptor, metabotropic 5	mGluR5	5.5	1.23	<b>0.038</b>	1.15	<b>0.010</b>				
NM_002065	Glutamate-ammonia ligase (glutamine synthetase)	GLUL	9.9	-1.28	0.265	-1.10	<b>0.020</b>	<b>0.026</b>	-2.15	0.059	-1.73
NM_020346	Solute carrier family 17, member 6, SLC17A6	VGLUT2	10.1	1.28	0.138	1.17	<b>0.018</b>	0.446	1.04	0.383	1.10
<i>Glia associated genes</i>											
NM_001650	Aquaporin 4	AQP4	6.0	-1.65	0.12	-1.22	<b>0.004</b>	<b>0.014</b>	-2.44	0.202	-1.32
NM_001428	Enolase 1 (non-neuronal)	ENO1	6.2	1.02	0.44	1.01	0.324	0.095	-1.31	0.075	-1.36
NM_000165	Gap junction protein, alpha 1, 43kDa (connexin 43)	GJA1	10.1	-1.86	0.44	-1.05	<b>0.038</b>	<b>0.015</b>	-2.10	0.489	-1.02
NM_006783	Gap junction protein, beta 6 (connexin 30)	GJB6	7.0	-1.93	0.24	-1.26	<b>0.024</b>	<b>0.025</b>	-1.95	0.361	-1.19
NM_002055	Glial fibrillary acidic protein	GFAP	10.0	-1.32	0.40	-1.05	<b>0.054</b>	<b>0.034</b>	-1.83	0.289	-1.20
NM_006272	S100 calcium binding protein, beta	SB100	10.3	-1.21	0.06	1.11	<b>0.020</b>	<b>0.014</b>	-1.62	0.306	1.07
<i>Growth factor genes</i>											
NM_002006	Fibroblast growth factor 2 (basic)	FGF2	5.4	-1.08	0.193	-1.05	0.069	0.335	-1.11	0.223	1.19
NM_002010	Fibroblast growth factor 9 (glia-activating factor)	FGF9	8.9	1.18	0.072	1.09	<b>0.011</b>	0.121	1.22	0.460	-1.02
NM_000142	Fibroblast growth factor receptor 3	FGFR3	5.9	-1.17	0.983	-1.02	<b>0.032</b>	<b>0.010</b>	-2.19	0.292	-1.18
NM_000618	Insuline-like growth factor 1	IGF1	5.5	1.10	0.218	1.05	<b>0.060</b>				
NM_001007097	Neurotrophic tyrosine kinase, receptor, type 2	TrkB	6.6	-1.45	0.375	-1.07	<b>0.027</b>	<b>0.009</b>	-1.66	0.462	-1.01

RefSeq ID	Gene Name	Symbol	Gene Expression Microarray Results				qRT-PCR results				
			log <sub>2</sub> mean intensity	MD	BP	BP	MD	BP	MD	BP	
<i>Glutamate signaling genes</i>											
<i>Neuronal markers genes</i>											
NM_001975	Enolase 2 (gamma, neuronal)	ENO2	10.0	0.234	1.07	0.169	1.10	0.460	1.03	0.466	1.03
NM_005382	Neurofilament 3 (150kDa medium)	NEF3	12.6	0.460	-1.01	0.406	1.02				
NM_021076	Neurofilament, heavy polypeptide 200kDa	NEFM	12.2	0.398	1.02	0.422	1.02				
NM_006158	neurofilament, light polypeptide 68kDa	NEFL	12.5	0.396	-1.03	0.461	-1.01				

**Table 3**

Correlation between gene expression validation methods for differentially expressed genes. Table A) Pearson correlations between microarray and qPCR expression data with correlation-associated p-values for C, MDD, and BPD subjects. Table B) Pearson r correlations between qPCR expression and ISH signal intensity data with correlation-associated p-values for C, MDD, and BPD subjects.

<b>A</b>		
<b>Gene</b>	<b>Pearson r</b>	<b>p value</b>
AQP4	0.750	<0.0001
FGFR3	0.697	<0.0001
GFAP	0.492	0.0091
GJA1	0.906	<0.0001
GJB6	0.852	<0.0001
GLUL	0.741	<0.0001
OXTR	0.743	<0.0001
S100B	0.748	<0.0001
SLC1A2	0.860	<0.0001
SLC1A3	0.766	<0.0001
TrkB	0.725	<0.0001

<b>B</b>		
<b>Gene</b>	<b>Pearson r</b>	<b>p value</b>
GLUL	0.461	0.036
SLC1A2	0.417	0.085
SLC1A3	0.661	0.001