



Ovarian cancer risk assessment in the era of next-generation sequencing

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Abstract: Ovarian cancer is one of the cancers most influenced by hereditary factors. Testing for hereditary susceptibility genes is recommended for every woman with epithelial ovarian cancer (EOC). Pathogenic germline variants in *BRCA1* and *BRCA2* genes are responsible for a substantial fraction of hereditary ovarian cancer. However, alterations in other genes, such as *BRIP1*, *RAD51C*, *RAD51D*, and mismatch repair genes, also enhance ovarian cancer risk. Other genes may also participate in ovarian carcinogenesis, but their role as ovarian cancer susceptibility genes still needs to be clarified. With several genes involved, the complexity of genetic testing increases. In this context, next-generation sequencing (NGS) allows testing for multiple genes simultaneously, with rapid turn-around time. However, the incorporation of this technology into clinical practice faces some challenges. In this review, we will discuss the ovarian cancer risk assessment in the era of NGS.

Keywords: Ovarian cancer; cancer risk; hereditary; next-generation sequencing (NGS)

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Introduction

Next-generation sequencing (NGS) is the term used to describe technologies that can sequence multiple genes simultaneously. In the Oncology field, NGS has an enormous contribution to the understanding of tumors biology.

Through tumor samples sequencing, NGS provides somatic mutations profile, allowing a comprehension of their molecular features. Some of these characteristics importantly influence clinical practice nowadays. For instance, patients with ovarian cancer with BRCA mutations or homologous recombination deficiency (HRD) benefit from PARP inhibitors, and immune checkpoint inhibitors

have efficacy for tumors with microsatellite instability (1-3). Tumor sequencing may identify germline DNA variants associated with cancer susceptibility. However, some strategies are needed to differentiate between germline and somatic mutations, including analysis with large panels, comparison with normal tissue, and estimations of loss of heterozygosity (LOH) and somatic mosaicism (4,5).

For the assessment of hereditary cancer risk, the sequencing of germline lineage is well-validated. Initially, the traditional Sanger sequencing method usually provided single-genes sequencing. However, hereditary cancer syndromes, such as Hereditary Breast and Ovarian Cancer syndrome, can be explained by germline pathogenic variants

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in several genes. Consequently, NGS also revolutionized cancer susceptibility genes sequencing, allowing testing of multiple genes simultaneously with fast turn-around time and at lower costs than sequential single-gene testing.

With the rapid integration of these new technologies into clinical practice, health providers should continuously update the knowledge on their applicability and limitations. This review will focus on the genetic risk assessment for ovarian cancer in the era of NGS.

Hereditary ovarian cancer

A considerable proportion of ovarian cancers are associated with genetic risk. Around 24% of cases are related to germline mutations in genes known or suspected to be involved in ovarian cancer pathogenesis. Germline *BRCA1/2* mutations are the main alterations involved, accounting for 18% of ovarian cancer cases. Several different genes are responsible for the other 6% (6).

High-grade serous ovarian cancer (HGSOC) is the most common ovarian cancer histology. A DNA repair mechanism called homologous recombination plays an essential role in this tumor carcinogenesis. Homologous recombination repairs double-strand DNA (dsDNA) breaks efficiently using the sister chromatid as a template. Another mechanism called non-homologous end joining (NHEJ), which also repairs dsDNA break, does not use the sister chromatids as a template and is more error-prone. Thus, when homologous recombination is impaired, it leads to genomic instability, accumulation of DNA errors, and cancer susceptibility. *BRCA1* and *BRCA2* are involved in the homologous recombination process, as well as other genes implicated in HGSOC, such as *RAD51C*, *RAD51D*, and *BRIP1*. Germline mutations, somatic mutations, and methylation of the gene promoter may alter these genes. HRD occurs in approximately half of HGSOC through these different mechanisms (3).

Germline mutations in homologous recombination genes are the main responsible for hereditary HGSOC risk. The impact of mutations on cancer risk is variable according to the gene penetrance. In ovarian cancer, *BRCA1* and *BRCA2* are high-penetrance genes with carriers of germline mutations presenting an ovarian cancer risk of 39–63% and 17–27%, respectively (7–11). *BRIP1*, *RAD51C*, and *RAD51D* genes, also involved in homologous recombination, are considered moderate-penetrance genes for ovarian cancer. Lifetime ovarian cancer risk is around 5.2–9% for *RAD51C* mutations and 10–12% for *RAD51D*

mutations (12–14). Affected women usually develop ovarian cancer at younger ages than those with sporadic cancer. For carriers of *BRIP1* mutations, the estimated lifetime risk of ovarian cancer is 5.8% (15).

HRD has also been reported in ovarian cancer histology other than HGSOC, although in smaller frequencies (3). Moreover, other genes involved in homologous recombination, such as *PALB2*, *ATM*, *NBN*, and *CHEK2*, may be implied in ovarian carcinogenesis and are frequently included in multigene cancer panels. However, their real effect over ovarian cancer risk is still uncertain.

Finally, low-penetrance genes can also influence ovarian cancer risk. Genome-wide association studies (GWAS) identified single-nucleotide polymorphisms (SNPs) associated with susceptibility for epithelial ovarian cancer (EOC). The 27 loci associated with invasive EOC identified so far accounts for 6.4% of the polygenic risk for ovarian cancers (16).

Other hereditary cancer syndromes are also associated with ovarian cancer. Lynch syndrome occurs due to germline mutations in genes involved in the mismatch repair system (*MLH1*, *MSH2*, *MSH6*, *PMS2*). Mismatch repair deficiency or microsatellite instability is identified mainly in endometrioid and clear cell carcinomas, occurring in 10–20% of these cases (17). However, only a fraction of these cases are due to Lynch syndrome, while others occur due to somatic mutations or epigenetic mechanisms. Women with Lynch syndrome have a lifetime risk of ovarian cancer of 4–12% (18). In *Table 1*, we present the frequency of moderate and high-penetrance susceptibility genes for EOC and their associated ovarian cancer risk.

Although less prevalent, some non-EOC s also have their risk enhanced by genetic factors. For instance, germline mutations in *DICER1* increase the risk of Sertoli-Leydig cell tumors (19). Germline mutations in *STK* cause Peutz-Jeghers syndrome and are associated with ovarian sex cord tumors (20).

Criteria for genetic testing

Current guidelines suggest that all women with EOC should test for ovarian cancer susceptibility genes. This recommendation relies on the observation that many women with pathogenic variants have no other personal or family history that would suggest a hereditary syndrome. In a study with 360 women with ovarian, fallopian tube, or peritoneal carcinoma, among those with germline pathogenic variants, more than 35% were 65 years or older, and more than 30% had no family history of ovarian or

Table 1 Impact of moderate and high-penetrance genes for EOC

Gene	Main EOC histologic subtype	Frequency of germline pathogenic variants (%)	Lifetime risk of EOC (%)	References
<i>BRCA1</i>	HGSOC	3–15	39–63	(3,6–10)
<i>BRCA2</i>	HGSOC	3–6	17–27	(3,6–11)
<i>RAD51C</i>	HGSOC	0–2	5.2–9	(3,6,13,14)
<i>RAD51D</i>	HGSOC	0–1	10–12	(3,6,12,14)
<i>BRIP1</i>	HGSOC	0–2	5.8	(3,6,15)
MMR genes (<i>MLH1</i> , <i>MSH2</i> , <i>MSH6</i> , <i>PMS2</i>)	Endometrioid and clear-cell	0–1	4–12	(3,6,18)

EOC, epithelial ovarian cancer; HGSOC, high-grade serous ovarian cancer; MMR, mismatch repair.

breast cancer (6).

For EOC patients, guidelines recommend testing for *BRCA1*, *BRCA2*, mismatch repair genes (Lynch syndrome), *BRIP1*, *RAD51C*, and *RAD51D* (21,22). As previously exposed in this review, all these genes are moderate or high-penetrance genes for ovarian cancer risk, and clinical management recommendations are available for them (22). Cascade testing should be offered to relatives of carriers of pathogenic variants.

Nevertheless, no consensus is available on what genetic testing to use, and this decision is based on physicians, genetic counselors, and patients' preferences. Currently, the efficacy and facility of multigene panels make this an attractive choice, and this paper will discuss its advantages and disadvantages.

Gene sequencing interpretation

Gene sequencing can provide results with different biological meanings. Genetic alteration can be: (I) pathogenic or likely pathogenic; (II) benign or likely benign, or; (III) of uncertain significance. This classification is based on available evidence for each genetic alteration, and the laboratories usually provide these results (23).

A pathogenic variant is known to be associated with cancer risk. A benign variant, otherwise, represents a polymorphism, and the variant has a neutral effect over protein function.

The variant of uncertain significance (VUS) represents the main challenge when interpreting genetic alterations. A VUS result means that, based on current knowledge, it is not possible to say if that variant impacts the protein function or not. Thus, medical management should not change based on this result.

In a large retrospective cohort of individuals who had genetic testing, new evidence reclassified 7.7% of unique VUS. The reclassification considered the majority (91.2%) of VUS as benign or likely benign (24). Similarly, in a study of reinterpretation of *BRCA1* and *BRCA2* VUS, 93.7% of the reclassified variants were benign or likely benign (25). Despite this, a VUS result can be a great source of distress for patients and their families.

Many tools can help the interpretation of a variant clinical significance (23,26). Variant databases are valuable in providing current evidence. ClinVar (<https://www.ncbi.nlm.nih.gov/clinvar/>) is an example of a public database where it is possible to share genetic variants found and interpretations of its clinical significance. Another interesting strategy that can aid in the interpretation is the use of computational (in silico) prediction tools. They employ different algorithms to predict missense variants impact on proteins structure and function, and variant effects on splicing. Examples of these tools are PolyPhen2 (<http://genetics.bwh.harvard.edu/pph2>) and SIFT (<http://sift.jcvi.org>).

Importantly, the reclassification of variants occurs continuously due to the accumulation of available evidence. Follow-up of VUS carriers is essential as information on their variant may change with time. A global effort to clarify the impact of genetic alterations is ongoing. With this aim, individuals who had a gene panel testing can share their results in registries such as PROMPT (Prospective Registry of MultiPlex Testing) Registry (<http://promptstudy.info/>).

NGS for cancer risk assessment

The evolution of NGS turned large genetic testing panels available in clinical practice at affordable prices. Multigene cancer panels test for multiple genes related to hereditary

Table 2 Gynecologic cancer and cross-cancer panels available from different laboratories (in February 2020)

Laboratory	Gynecologic panel [number of genes tested]	Cross-cancer panel [number of genes tested]
Ambry Genetics	TumorNext-HRD [11]	CancerNext [34]
	OvaNext [25]	CustomNext – Cancer [81]
GeneDx	Breast/ Gyn Cancer Panel [24]	Comprehensive Common Cancer [47]
Invitae	Breast and Gyn Cancers Guidelines-Based [20]	Common Hereditary Cancer [47]
	Breast and Gyn Cancers [27]	Multi-Cancer [84]
Myriad	–	MyRisk [35]

cancer syndrome simultaneously. Thus, as an advantage, they allow for a broad investigation of cancer risk with only one test, at similar prices to that of single-gene testing. Besides, to test multiple genes in a cross-cancer panel costs lower than to test each gene separately. The need for additional tests is not unusual when investigating hereditary cancer after the negative results of single-gene testing. In addition to the higher costs of sequencing isolated genes sequentially, this approach is more time-consuming than a multigene cancer panel (7).

Another positive aspect of multigene panels is that it decreases the chances of missing out a pathogenic mutation. If a limited number of genes are tested based on clinical suspicion and results are negative, mutations in other genes are possible. This concern is especially relevant when family history is limited or in cases of moderate penetrance genes with a less clear clinical phenotype. In a study by Ricker *et al.*, 47.3% of the mutations identified by multigene panels would have been missed if clinical suspicion guided single-gene tests (27). Similarly, among 708 patients with clinical criteria for hereditary breast and ovarian cancer, Castéra *et al.* showed that around 40% of the deleterious mutations detected were in genes other than *BRCA1* or *BRCA2* (28).

Additionally, a few individuals with hereditary cancer have mosaicism of the pathogenic gene mutation. If the mutation is present at low-levels, Sanger-based sequencing may miss it, while NGS is still efficient in this scenario (29,30).

Nevertheless, several factors should be considered when using panels. Patients should be aware of the range of information that multigene panels can provide. One disadvantage of the broader panels is that, for some test results, no guidelines and little literature are available on how to manage the patient. Notably, management for some low- and moderate-penetrance genes is unclear. Moreover, for some genes included in multigene panels, a lack of enough information on specific cancer risks may occur.

Patients should be aware of this possibility during pre-test counseling. On the other hand, a better comprehension of gene variants' clinical significance may soon be available as we test more patients and collect more information.

Another disadvantage of NGS cancer panels is the higher rates of VUS detection. The VUS rates of multigene panels range from 19.7% to as high as 88% (31-33). In comparison, VUS rates in a *BRCA1/2* alone testing and a breast-cancer panel were <1% and 14%, respectively, in a recent report (34). Once again, patients should be prepared for the possibility of this result when performing gene sequencing.

Gynecological and cross-cancer panels

Many gynecological and cross-cancer panels are currently available at clinical practice. Gynecological panels may focus on susceptibility genes for ovarian cancer alone, ovarian, and endometrial cancer, or ovarian and breast cancer. Cross-cancer panels are broader and include most or all of the known susceptibility genes for hereditary cancer. Some of the cross-cancer panels, such as CustomNext—Cancer from Ambry Genetics, allow flexibility to choose which genes the test will include.

The choice between each test will depend on patients and health providers' preferences, considering the advantages and disadvantages discussed previously. In *Table 2*, we list some of the gynecologic cancer panels and the cross-cancer panels available from different laboratories.

Despite numerous panels options, access to these tests varies hugely depending on its availability in public and private settings in different countries (35).

Upfront tumor sequencing

Another important topic to consider is how the

investigation of germline and somatic mutations should start. Tumor sample testing is important for ovarian cancer treatment nowadays since it can provide information on the existence of somatic *BRCA1/2* mutations and HRD, which are predictors of response to platinum agents and *PARP* inhibitors. Of note, phase III studies evaluating the efficacy of *PARP* inhibitors for ovarian cancer explored these biomarkers differently (36-41).

Tumor sequencing can identify mutations (germline or somatic) in homologous recombination genes (3). Additionally, HRD leads to the occurrence of genomic scars, represented by the LOH, large-scale transitions, and telomeric allele imbalance. A test that evaluates these three types of alterations and a test of LOH alone have been validated as predictors of HRD (42,43).

Tumor sample testing can provide all this information: HRD status and gene mutations (germline or somatic). If a mutation is identified, the sequencing of the normal cells is required to determine if the mutation is germline or somatic. However, if mutations in susceptibility genes are ruled out by tumor sequencing, additional testing could potentially be avoided. Otherwise, when initial healthy cell sequencing discards germline pathogenic variants, tumor testing is still required since somatic mutations (or HRD status) can influence treatment decisions (44).

Considering all this, in situations where information on germline and somatic mutations are necessary for patient management, starting with tumor sequencing may decrease the need for double testing (tumor testing and germline testing). For *BRCA1* and *BRCA2* genes, for instance, tumor sequencing would identify mutations in around 20–30% of HGSOC cases, and these individuals (20–30%) would need additional germline testing. Otherwise, germline sequencing would identify *BRCA1* and *BRCA2* pathogenic variants in 18–20% of the cases; around 80% would need additional tumor testing to evaluate *BRCA1* and *BRCA2* somatic mutations (or HRD status). Thus, upfront tumor sequencing could be an attractive alternative in these situations in which knowing the tumor mutational profile is relevant for clinical practice.

A multinational guideline suggests the use of tumor sequencing to evaluate both germline and somatic *BRCA1/2* mutations (44). However, guidelines from Oncology Societies, including the American Society of Clinical Oncology (ASCO) and the European Society of Medical Oncology (ESMO), provides a different recommendation (45,46). ASCO and ESMO guidelines suggest germline testing first, followed by somatic tumor testing for patients

who do not carry a germline pathogenic variant. ASCO guideline also highlights that although trials of ovarian cancer treatment stratified patients using HRD assays, they currently make no recommendations to support its routine use (46).

One reason to recommend upfront germline testing is the variable accuracy of tumor sequencing. DNA obtained from the blood has high quality, and germline sequencing methods are well-validated and accurate. Tumor sequencing accuracy, otherwise, is influenced by several technical factors, especially for large genes such as *BRCA*. For tumor sequencing, formalin-fixed, paraffin-embedded (FFPE) or fresh frozen specimens are preferred if available (44). Fresh frozen specimens provide DNA with high quality but are less available than FFPE specimens. The percentage of tumor cells in the sample should preferably be high (at least three times the limit of detection), and NGS is the recommended tumor sequencing method (44). Capoluongo *et al.* provide a series of recommendations to improve tumor sequencing accuracy (44).

Fortunately, when proper technical standards are applied, high success rates have been reported with tumor sequencing. In a study with 114 patients with HGSOC and a *BRCA1/2* pathogenic/likely pathogenic variant, tumor *BRCA1/2* NGS testing had an accuracy of 97% compared to Sanger sequencing for germline mutations (47). Similarly, in a study by Fumagalli *et al.*, with 23 EOC patients with *BRCA1/2* pathogenic/likely pathogenic variants, tumor NGS testing was able to identify all cases of germline *BRCA1/2* pathogenic/likely pathogenic variants (48).

Despite its high accuracy, germline sequencing also has limitations. A pitfall of germline sequencing recently described in another hereditary syndrome, the Li-Fraumeni syndrome (LFS), is the aberrant clonal expansion (ACE) phenomenon. LFS occurs due to germline *TP53* pathogenic variants. In the ACE phenomenon, clonal populations with somatic *TP53* mutations may be detected in the blood or saliva, confounding the germline testing results. With its high efficacy, NGS may detect even a small fraction of mutant alleles, resulting in a wrong conclusion of LFS (49). The impact of this phenomenon in other hereditary cancer syndromes is less known.

Pre- and post-test counseling

Previously, pre-test counseling addressed a discussion of the impact and management of the specific syndrome investigated. The complexity of genetic counseling

increased considerably with multigene panels. During pre-test counseling in the NGS era, individuals should receive information about all the range of results that can be provided by the panel.

A valuable strategy for genetic counseling is to group the genes into categories to help patients understand the extent of possible results. One classification proposed is the following: high penetrance genes with management guidelines available; moderate penetrance genes with little consensus on appropriate medical management and; genes for which the degree of cancer risk is still not well understood (50).

Genetic counseling should address the possibility of identifying cancer risk for different primary sites and the management strategies available or not for each of them. A discussion of the meaning of VUS and the high VUS rates expected is helpful to diminish the anxiety caused by these results. With proper pre-test counseling and information on the advantages and disadvantages of different genetic sequencing tests, patients can participate actively in the test choice, with an informed decision.

Post-test counseling will be guided by the results found. Recommendations for cascade relatives testing should be included in this stage, when appropriate. Since information on variants changes with the accumulation of new evidence, patients should be followed and notified about updates, such as VUS reclassifications and new clinical management guidelines (50).

Conclusions

Genetic risk has a crucial impact on ovarian cancer and is associated with at least one-fourth of ovarian cancer cases. The evolution of NGS allows a rapid evaluation of multiple cancer susceptibility genes at similar costs to single-gene sequencing. However, these broader panels are associated with some challenges. For some genes included, the ovarian cancer risk is not clear, and no medical management guidelines are available. Additionally, VUS rates increase with more genes tested, and information on variants pathogenicity is continuously generated. Thus, patients should be aware of all these aspects before the ordering of hereditary cancer panels. Besides, the complexity of multigene panels requires health providers' proper training and updating.

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