

Review

Heteroatom Substitution at Amide Nitrogen—Resonance Reduction and HERON Reactions of Anomeric Amides

Stephen A. Glover *  and Adam A. Rosser

Department of Chemistry, School of Science and Technology, University of New England, Armidale, NSW 2351, Australia; arosser3@une.edu.au

* Correspondence: sglover@une.edu.au

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Abstract: This review describes how resonance in amides is greatly affected upon substitution at nitrogen by two electronegative atoms. Nitrogen becomes strongly pyramidal and resonance stabilisation, evaluated computationally, can be reduced to as little as 50% that of *N,N*-dimethylacetamide. However, this occurs without significant twisting about the amide bond, which is borne out both experimentally and theoretically. In certain configurations, reduced resonance and pronounced anomeric effects between heteroatom substituents are instrumental in driving the HERON (Heteroatom Rearrangement On Nitrogen) reaction, in which the more electronegative atom migrates from nitrogen to the carbonyl carbon in concert with heterolysis of the amide bond, to generate acyl derivatives and heteroatom-substituted nitrenes. In other cases the anomeric effect facilitates S_N1 and S_N2 reactivity at the amide nitrogen.

Keywords: amide resonance; anomeric effect; HERON reaction; pyramidal amides; physical organic chemistry; reaction mechanism

1. Introduction

Amides are prevalent in a range of molecules such as peptides, proteins, lactams, and many synthetic polymers [1]. Generically, they are composed of both a carbonyl and an amino functional group, joined by a single bond between the carbon and nitrogen. The contemporary understanding of the resonance interaction between the nitrogen and the carbonyl in amides is that of an interaction between the lowest unoccupied molecular orbital (LUMO) of the carbonyl, $\pi^*_{C=O}$, and the highest occupied molecular orbital (HOMO) of the amide nitrogen ($N2p_z$) (Figure 1). This molecular orbital model highlights the small contribution of the carbonyl oxygen to the LUMO, which indicates that limited charge transfer to oxygen occurs, in line with the resonance model presented in Figure 2, which signifies that charge at oxygen is similar to that in polarized ketones or aldehydes and nitrogen lone pair density is transferred to electron deficient carbon rather than to oxygen. The major factor in the geometry of amides, and the restricted rotation about the amide bond, is the strong π -overlap between the nitrogen lone pair and the $C2p_z$ component of the LUMO, which dominates the $\pi^*_{C=O}$ orbital, on account of the polarisation in the $\pi_{C=O}$ [2,3].

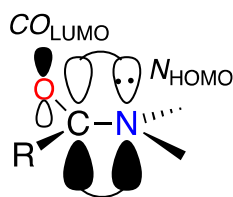


Figure 1. The interaction between the nitrogen highest occupied molecular orbital (HOMO) and the carbonyl lowest unoccupied molecular orbital (LUMO) in amides.

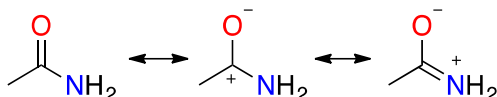
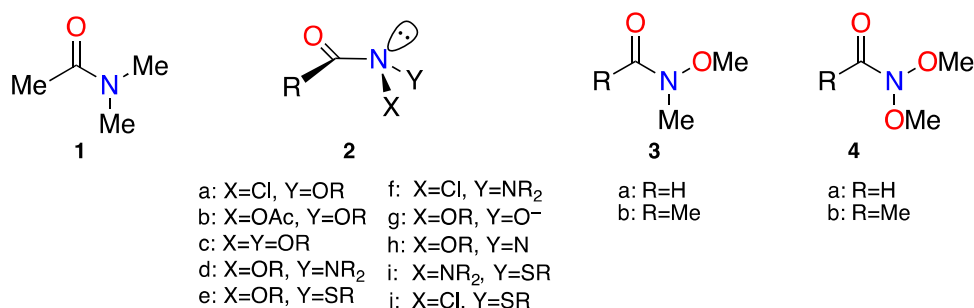


Figure 2. Resonance hybrid contributions in amides, showing that the majority of charge transfer occurs between nitrogen and carbon.



Amide resonance can be diminished by limiting the overlap between the nitrogen lone pair, n_N , and $\pi^*_{C=O}$ orbitals. In most instances, this can occur by twisting the amino group about the N–C(O) bond and/or pyramidalising the nitrogen, which amounts to introducing “s” character into the $N2p_z$ orbital.

Computational modelling of N–C(O) rotation and nitrogen pyramidalisation in *N,N*-dimethylacetamide **1**, at the B3LYP/6-31G(d) level, illustrates the energetic changes (Figure 3) [4]. Distortion of the amide linkage can be quantified by Winkler–Dunitz parameters χ and τ , where $\chi = 60^\circ$ for a fully pyramidal nitrogen, and $\tau = 90^\circ$ for a completely twisted amide where the lone pair orbital on nitrogen is orthogonal to the $C2p_z$ orbital [5,6]. Deformation from the non-twisted, sp^2 planar ground state (Figure 3a) through rehybridisation of nitrogen to sp^3 (Figure 3b) is accompanied by an increase in energy ($\sim 27 \text{ kJ mol}^{-1}$), but the majority of amide resonance remains intact. A much larger increase in energy ($\sim 100 \text{ kJ mol}^{-1}$) results from twisting the N–C(O) bond through 90° whilst maintaining sp^2 planarity at nitrogen (Figure 3d), and as the nitrogen is allowed to relax to sp^3 hybridisation (Figure 3c), a fully twisted amide devoid of resonance is obtained. The loss of $\sim 31 \text{ kJ mol}^{-1}$ in this final step is indicative of the concomitant twisting and pyramidalisation observed in a variety of twisted amides.

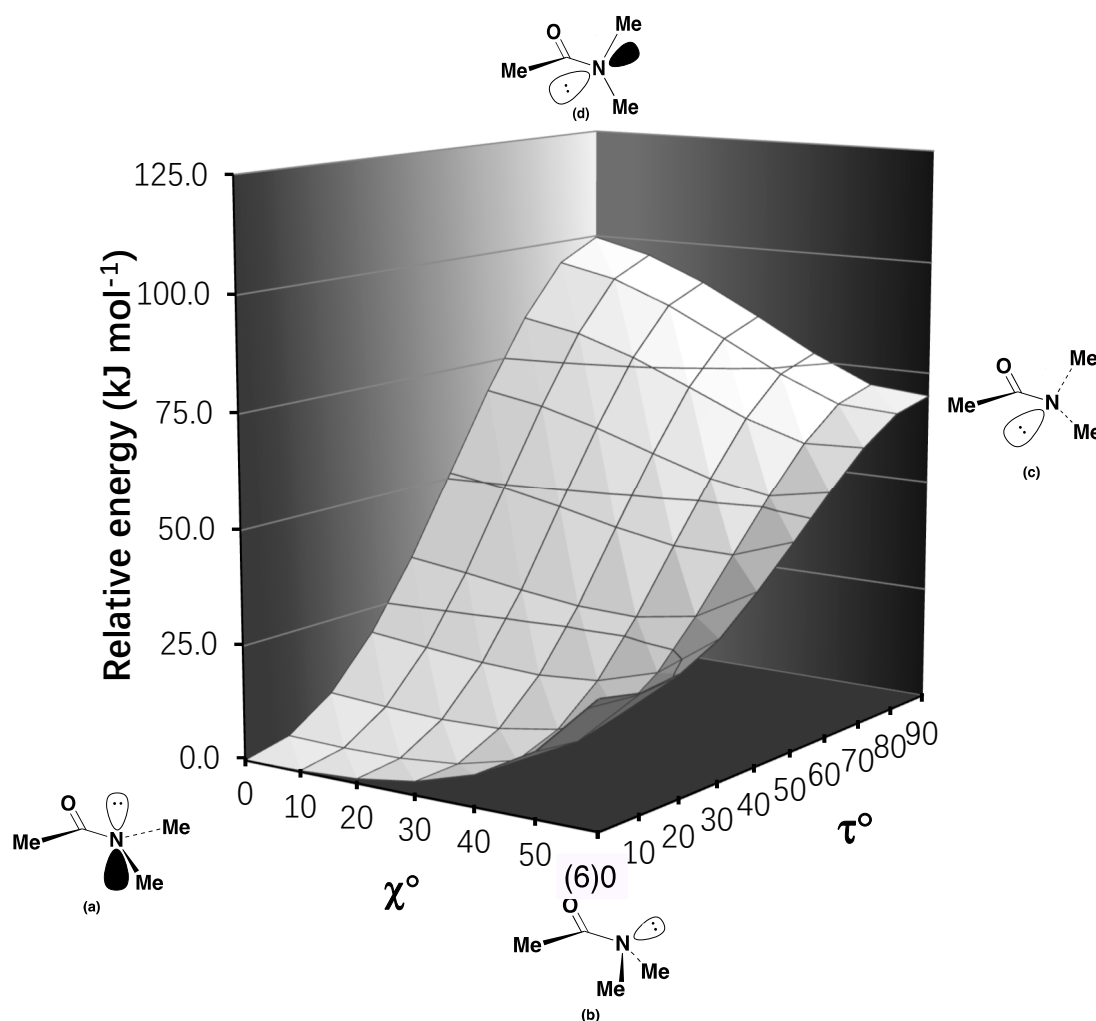


Figure 3. A B3LYP/6-31G(d) generated energy surface for the deformation of the amide moiety in *N,N*-dimethylacetamide 1 [4]. χ and τ are Winkler–Dunitz parameters for pyramidalisation and twist, respectively [5,6]. (a) The ground state planar structure for *N,N*-dimethylacetamide; (b) untwisted system with sp^3 hybridised nitrogen; (c) 90° rotation about N–C(O), whilst maintaining sp^3 hybridisation at nitrogen; and (d) the fully twisted moiety, 90° N–C(O) rotation and sp^2 hybridised nitrogen.

Typically, amides exhibit restricted rotation about the N–C(O) bond, in the order of 67–84 kJ mol^{−1}, along a sigmoid pathway with little change in energy upon moderate pyramidalisation ($\chi = 0$ – 40°) and minor twisting ($\tau = 0$ – 20°). Clearly, rotation without pyramidalisation is energetically unfavourable and many examples of twisted amides are testament to this. The shift to sp^3 hybridisation at the amidic nitrogen is clearly demonstrated when twisting of the N–C(O) bond is geometrically enforced by tricyclic and bicyclic bridged lactams [7–13]. Kirby’s “most twisted amide” 1-aza-2-adamantanone, synthesised in 1998 [10,12], and Tani and Stoltz’s 2-quinuclidone, synthesised in 2006 [14], exemplify the fully twisted amide, geometrically and chemically. Intramolecular steric hindrance is another source of non-planar twisted amides [15,16], as exemplified by the thioglycolurils [17,18] and other systems. Ring strain in nontwisted amides, such as 1-acylaziridines [19,20] and *N*-acyl-7-azabicyclo[2.2.1]heptanes [21–24] can result in pyramidality at the amide nitrogen, despite retaining a noticeable $n_N-\pi^*_{C=O}$ interaction.

The structural changes accompanying the loss of amide resonance include the lengthening of the N–C(O) bond and minor shortening of the (N)C=O bond. Comparing the fully twisted 1-aza-2-adamantanone to an analogous unstrained tertiary δ -lactam, the N–C(O) bond shortens from 1.475 Å to 1.352 Å, and the (N)C=O bond lengthens from 1.196 Å to 1.233 Å [12,25]. Spectroscopically

and chemically, the amide carbonyl trends towards ketonic behaviour at large twist angles. In tricyclic 1-aza-2-adamantanone, the carbonyl carbon ^{13}C NMR resonance is at 200.0 ppm and the IR carbonyl vibrational frequency (1732 cm^{-1}) is significantly higher than regular amides (1680 cm^{-1}) [10,12].

2. Properties of Anomeric Amides

2.1. Structural Properties

Another way in which amides may be dispossessed of their planarity and resonance is through bisheteroatom-substitution by electronegative heteroatoms at the amide nitrogen in **2**. We named this class ‘anomeric amides’ on account of the pronounced anomeric effects that can and do occur between the heteroatoms [26–35]. However, the physical, theoretical, and chemical properties of various congeners differ from those of conventional primary, secondary, and tertiary alkylamides.

Electronegative atoms demand an electron density redistribution which is facilitated by a shift in the hybridisation at nitrogen towards sp^3 , in accordance with Bent’s rule [36,37]. Reduced $n_{\text{N}}-\pi^*_{\text{C=O}}$ overlap due to pyramidalisation (Figure 4) together with the increased ‘ $2s$ ’ character of the lone pair on nitrogen results in structural, electronic, spectroscopic, and chemical differences in comparison to traditional amides. Their unique properties of have been reviewed in recent years [31,35].

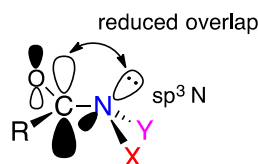


Figure 4. Reduced $n_{\text{N}}-\pi^*_{\text{C=O}}$ overlap due to pyramidalisation.

Much of our interest into, and indeed the discovery of, anomeric amide chemistry emanates from our investigations of the biological activity, structure, and reactivity of *N*-acyloxy-*N*-alkoxyamides (NAA’s) **2b** [31,38–49] a class of anomeric amides. Readily synthesised by treatment of *N*-alkoxy-*N*-chloroamides **2a**, themselves a form of anomeric amide, with silver or sodium carboxylate salts in anhydrous solvents [45,46,48,49], NAA’s are direct-acting mutagens which react with nucleophilic centres in DNA [31,39–46,49,50]. Additionally, they react with a variety of nucleophiles to produce other congeners, including, reactive anomeric amides in the form of *N*-alkoxy-*N*-aminoamides **2d** [44,47,51] and *N*-alkoxy-*N*-thioalkylamides **2e** [52]. We have also encountered several anomeric reactive intermediates through reactions of *N*-acyloxy-*N*-alkoxyamides: reaction of **2b** with base generates *N*-alkoxy-*N*-hydroxamate anions **2g** [45] and reaction with azide ultimately generates 1-acyl-1-alkoxydiazenes, which are aminonitrenes **2h** [53,54]. We have generated *N,N*-dialkoxyamides **2c** in related studies by solvolysis of *N*-alkoxy-*N*-chloroamides **2a** in aqueous alcohols and through the reaction of hydroxamic esters with hypervalent iodine reagents in appropriate alcohols [30,55,56]. Other anomeric amides of theoretical interest to us are *N*-amino-*N*-chloroamides **2f** [34], as well as *N*-amino-*N*-thioalkylamides **2i** and *N*-chloro-*N*-thioalkylamides **2j**.

The impact of heteroatom substitution at amide nitrogen is clearly demonstrated by comparing *N,N*-dimethylacetamide **1** to *N*-methoxy-*N*-methylacetamide **3b** and *N,N*-dimethoxyacetamide **4b**. In 1996, we reported on the B3LYP/6-31G(d) theoretical properties of the corresponding formamides **3a** and **4a** [27]. The substitution of hydrogen by methoxyl in **3a** led to an increase in N–C(O) bond length from 1.362 Å to 1.380 Å and a second substitution at nitrogen in **4a** resulted in a similar increase to 1.396 Å; the carbonyl bond contracted marginally by 0.006 Å. Nitrogen in *N*-methoxy-*N*-methylformamide and *N,N*-dimethoxyformamide becomes distinctly pyramidal with average angles at nitrogen of $\sim 114^\circ$. With similar degrees of pyramidity (χ_{N}), the increased N–C(O) bond length in *N,N*-dimethoxyformamide could not solely be attributed to deformation at nitrogen. Energetic lowering of the lone pair electrons is also responsible for reduced overlap with the adjacent $\text{C}2p_z$ orbital. This is dramatically exemplified by the rotational barriers for *N,N*-dimethylformamide,

N-methoxy-*N*-methylformamide, and *N,N*-dimethoxyformamide, which were computed to be of the order of 75, 67, and 29 kJ mol⁻¹, respectively [27].

Deformation energy surfaces for *N*-methoxy-*N*-methylacetamide **3b** and *N,N*-dimethoxyacetamide **4b**, for comparison with that of *N,N*-dimethylacetamide **1**, are depicted in Figure 5. In contrast to *N,N*-dimethylacetamide (Figure 3), the lowest energy forms clearly deviate from planarity at nitrogen with χ_0 in the region of 40° and 50°, respectively. In both structures, the highest point corresponding to $\chi = 0^\circ$, $\tau = 90^\circ$ is metastable, and the planar fully twisted, and therefore nonconjugated forms, relax to fully pyramidal conformations ($\tau = 90^\circ$, $\chi = 60^\circ$), the energy of which reflects the B3LYP/6-31G(d) barriers to amide isomerisation in each case, which are approximately 67 and 44 kJ mol⁻¹, respectively. While the energy lowering for *N*-methoxyacetamide is modest, the attachment of two electronegative oxygens to the amide nitrogen radically lowers the isomerisation barrier by some 29 to 33 kJ mol⁻¹. Inversion barriers at nitrogen are low on account of the gain in resonance stabilisation in the planar form, though the barrier is higher for *N,N*-dimethoxyacetamide where the resonance capability would be less and a six π -electron repulsive effect would operate; planarisation in the hydroxamic ester is less costly than in *N,N*-dimethoxyacetamide (~6.3 vs. 14.6 kJ mol⁻¹, respectively).

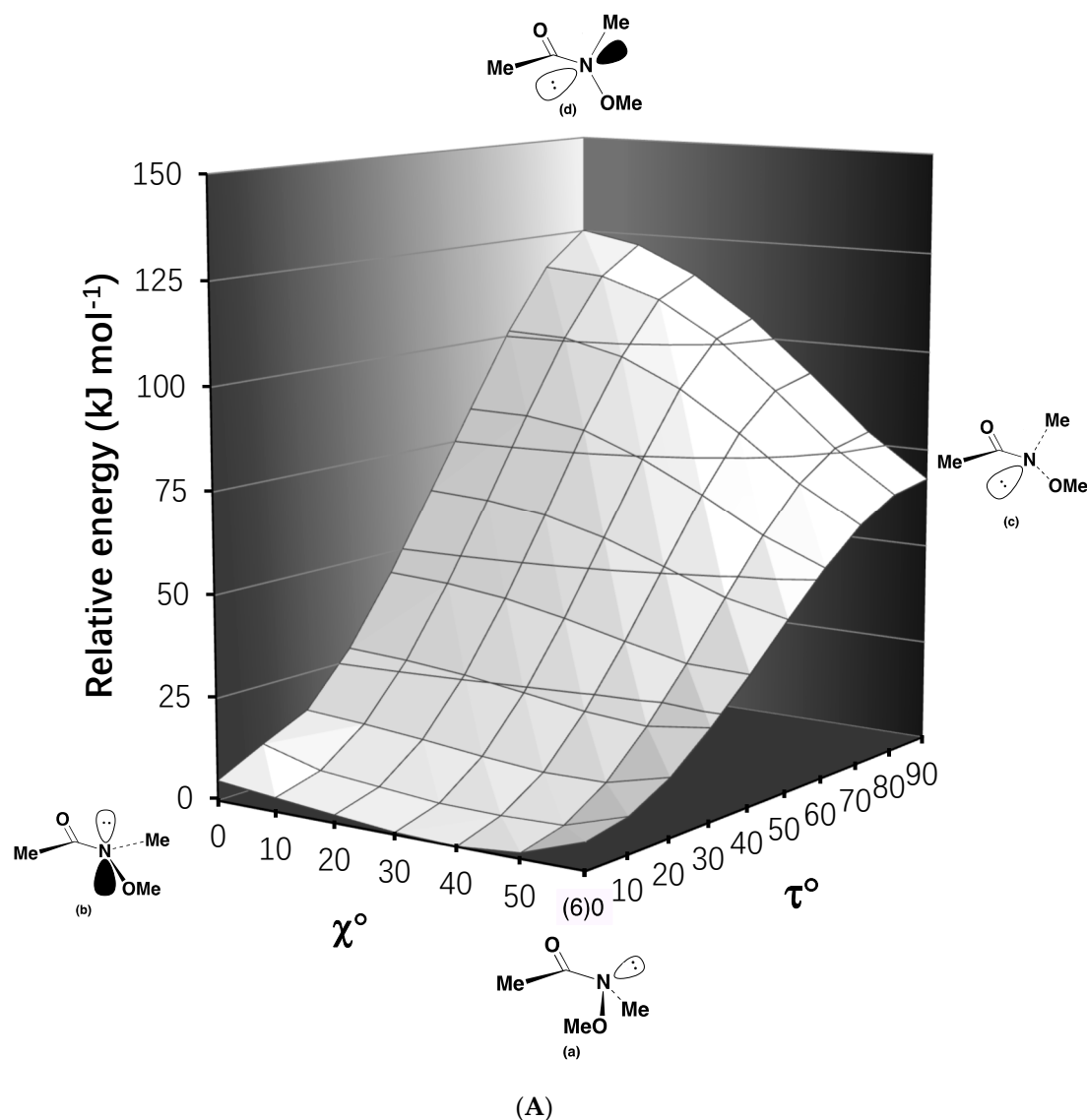


Figure 5. Cont.

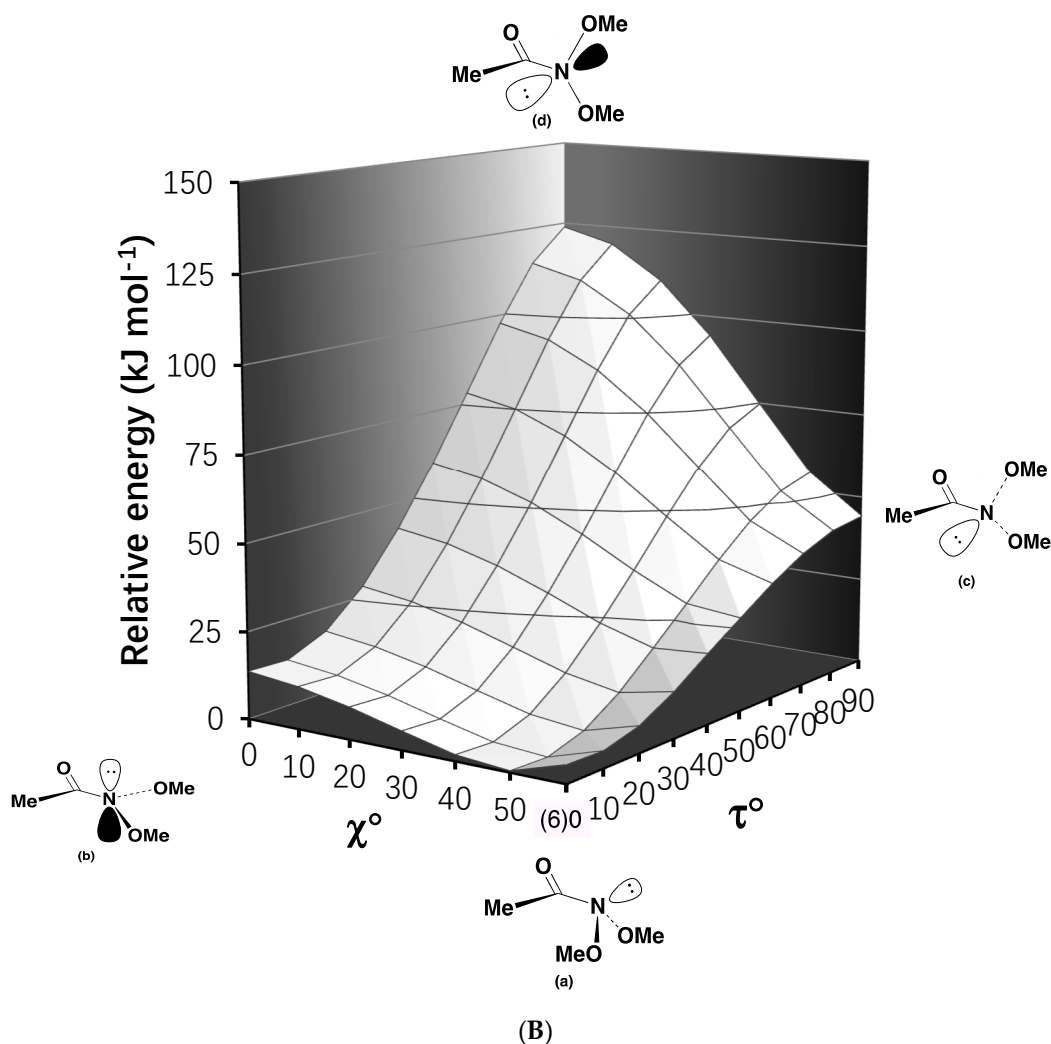
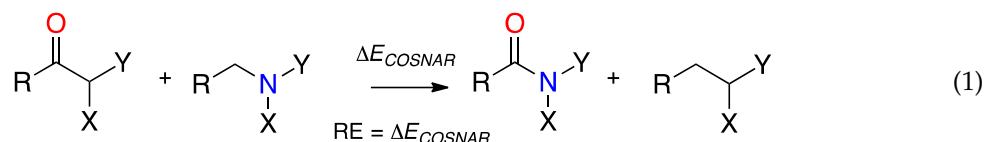


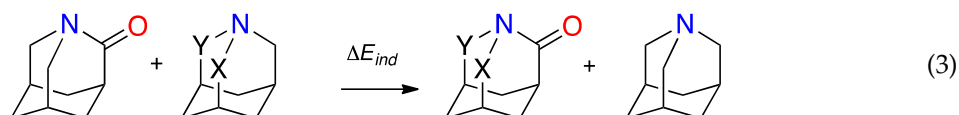
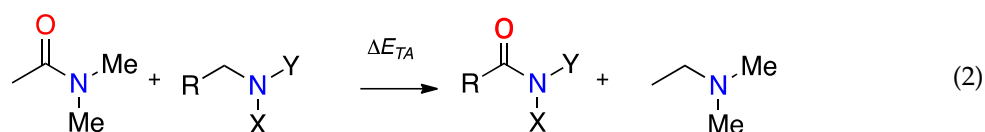
Figure 5. B3LYP/6-31G(d) energy surfaces for deformation of (A) *N*-methoxy-*N*-methylacetamide **3b** and (B) *N,N*-dimethoxyacetamide **4b**. (a) Untwisted system with sp^3 hybridised nitrogen; (b) planar untwisted structure; (c) 90° rotated structure with sp^3 hybridisation at nitrogen; and (d) the fully twisted moiety with sp^2 hybridised nitrogen.

In a recent publication, we outlined two concurring isodesmic methods for estimating the amidicity of amides and lactams [4]. Carbonyl substitution, nitrogen atom replacement (COSNAR), developed by Greenberg evaluates the energy stabilisation when the target amide is generated from corresponding ketone and amine according to isodesmic Equation (1) [57–59]. Steric or substituent effects are conserved throughout the reaction so steric corrections are not required.



In the second approach, the transamidation method (TA), the energy change is determined when *N,N*-dimethylacetamide **1** transfers the carbonyl oxygen to a target amine according to Equation (2). The limiting energy increase for formation of fully twisted, unstrained 1-aza-2-adamantanone by the corresponding reaction with 1-azaadamantane, constitutes complete loss of resonance stabilisation ($\Delta E_{\text{TA}} = 76.0 \text{ kJ mol}^{-1}$). However, where heteroatom substituents are present at nitrogen, ΔE_{TA} must

be corrected for any additional inductive destabilisation of the carbonyl (ΔE_{ind}) in the absence of resonance, which we obtain isodemically from reactions such as Equation (3) [33].

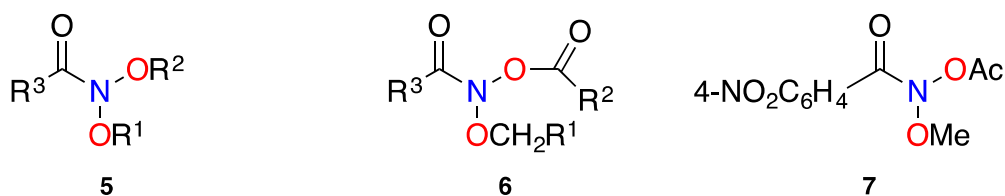


By the TA method, the residual resonance, RE_{TA} , is given by Equation (4).

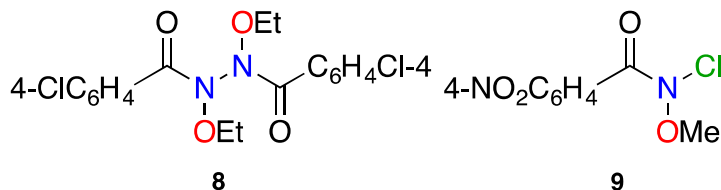
$$RE_{TA} = -76.0 \text{ kJ mol}^{-1} + (\Delta E_{TA} - \Delta E_{ind}) \quad (4)$$

In both the TA and COSNAR approaches, zero point energies largely cancel and meaningful results are obtained without the need for frequency calculations [58,60]. RE by both methods, the negative of the traditional representation of resonance stabilisation energy, should correlate [4,30,33,34,61,62] and RE as a percentage of $-76.0 \text{ kJ mol}^{-1}$ (or $-77.5 \text{ kJ mol}^{-1}$ in the case of COSNAR) yields the amidicity relative to *N,N*-dimethylacetamide **1** (by definition 100%).

The resonance energy and amidicity of *N*-methoxy-*N*-methylacetamide **3b** has been determined with COSNAR ($-62.1 \text{ kJ mol}^{-1}$, 80% amidicity) and TA ($-61.25 \text{ kJ mol}^{-1}$, 81% amidicity) and accords nicely with the lowest rotational barrier from Figure 5a of 67.5 kJ mol^{-1} . In contrast, for the bisoxyl-substituted acetamide, the RE_{COSNAR} and RE_{TA} were determined at $-35.9 \text{ kJ mol}^{-1}$, respectively just 47% or 46% that of *N,N*-dimethylacetamide [33]. From Figure 5b the rotational barrier was 44.4 kJ mol^{-1} and therefore most of the barrier can be accounted for by loss of resonance.



a: $R^1 = \text{Me}$, $R^2 = \text{Et}$, $R^3 = 4\text{-NO}_2\text{C}_6\text{H}_4$ a: $R^1 = R^2 = R^3 = 4\text{-Bu}^t\text{C}_6\text{H}_4$
 b: $R^1 = \text{Me}$, $R^2 = 4\text{-NO}_2\text{C}_6\text{H}_4\text{CH}_2$, $R^3 = \text{Ph}$ b: $R^1 = 4\text{-Bu}^t\text{C}_6\text{H}_4$, $R^2 = R^3 = \text{Ph}$



The unusual structure of a number of stable anomeric amides (5–9) has been confirmed by X-ray crystallography (Figure 6) and relevant structural parameters of these are given in Table 1. The X-ray structures of anomeric amides 5–9 provide clear evidence of reduced amide resonance. The data in Table 1 shows that as the combined electron demands of X and Y increase, N–C(O) bond length and pyramidalisation at nitrogen both increase. The reported average N–C(O) bond length in acyclic amides is 1.359 \AA (median 1.353 \AA), generated from Cambridge Structural Database (CSD) [29,63], which is significantly shorter than the average 1.418 \AA from these seven X-ray structures. While the (N)C=O bond (average 1.207 \AA) contracts slightly compared to simple acyclic amides (1.23 \AA), there is

little to no correlation seen between change in (N)C=O bond length and degree of lone pair dislocation; this may be attributed to bias towards carbon in the LUMO (Figure 1) [2,26,35,64]. Deviation from the usual sp^2 hybridisation at the amide nitrogen ($\chi_N = 0$) is significant and in line with the electron demands of substituents. *N*-acyloxy-*N*-alkoxyamides **6a**, **6b**, and **7** ($\chi_N = 65.33^\circ$, 65.62° and 59.7° , respectively) are pyramidalised at nitrogen to the extent of, and beyond, what is expected for a pure sp^3 hybridisation. The 4-nitrobenzamide **7** is less pyramidal than the benzamides **6a** and **6b** presumably on account of greater positive charge at the carbonyl carbon and attendant increase in nitrogen lone pair attraction. Both *N,N*-dialkoxyamides **5a** and **5b** ($\chi_N = 58.3^\circ$ and 55.6° , respectively) are also strongly pyramidalised. For Shtamburg's *N*-alkoxy-*N*-chloroamide **9**, X-ray data reveals a small χ_N of 52.5° , similar to *N,N*-dialkoxyamides **5a** and **5b**. Both amide nitrogens in hydrazine **34** are the least pyramidalised with χ_{N1} and χ_{N2} of 47° and 49° , respectively.

Despite high degrees of pyramidalisation in this set of anomeric amides, there is minimal twist about the N–C(O) bond ($\tau = 6.7$ – 15.5°). This indicates that lone pair orbital overlap with the carbonyl $C2p_z$ orbital, though clearly less effective on electronic and geometric grounds, remains a stabilizing influence (Table 1). As can be seen in the B3LYP/6-31G(d) deformation surface for *N,N*-dimethoxyacetamide **4b** (Figure 5b), the strongly pyramidal structure requires twist angles (τ) beyond 20° before there is significant loss of stabilization.

Table 1. Selected structural data for anomeric amides 5–9 from X-ray structures (Figure 6).

Structure	N–C(O)/Å	(N)C=O/Å	$\theta/^\circ$	$\chi_N/^\circ$	$\tau/^\circ$	Anomeric Twist $1/^\circ$
5a [33]	1.409	1.206	331.2	58.3	6.7	C10-O3-N1-O2: 95.9 C8-O2-N1-O3: –114.1
5b [33]	1.421	1.211	334.5	55.6	13.9	C8-O2-N1-O3: –101.6 C15-O3-N1-O2: –63.8
6a [29]	1.439	1.205	323.5	65.3	15.5	C18-O3-N1-O1: 96.2 C7-O1-N1-O3: –141.6
6b [29]	1.441	1.207	324.1	65.6	13.9	C14-O3-N1-O1: 96.7 C7-O1-N1-O3: –137.6
7 [65]	1.411	1.203	330.3	59.7	–14.0	C8-O1-N1-O5: –91.8 C9-O5-N1-O1: 116.2
8(N1) [32]	1.412	1.213	343.2	47.3	–8.5	LP (N2)-N2 -N1-O2: 47.3
8(N2)	1.410	1.207	341.1	48.9	–11.4	LP (N1)-N1-N2-O3: 178.6
9 [65]	1.408	1.204	337.5	52.5	–13.3	C8-O2-N1-C11: –84.2

¹ Anomeric alignments in bold-face.

Pyramidal nitrogens and corresponding anomeric interactions have also been observed in the structures of a number of urea and carbamate analogues of anomeric amides (**10–14**) studied by Shtamburg and coworkers and selected structural data are presented in Table 2 [66–69]. Where substituent electronic effects are largely similar as in **11b** and **13**, it can be deduced that the stronger conjugative effect of the α -nitrogen lone pair in the urea **11b**, relative to the α -oxygen in the carbamate **13**, results in significantly less amide resonance interaction and, hence, significant increase in pyramidality at the amide nitrogen. Comparison of the ONCl structures **9** and **10a** and **b**, again indicates greater pyramidality in the ureas where competing acyl nitrogen resonance reduces the anomeric amide resonance interaction.

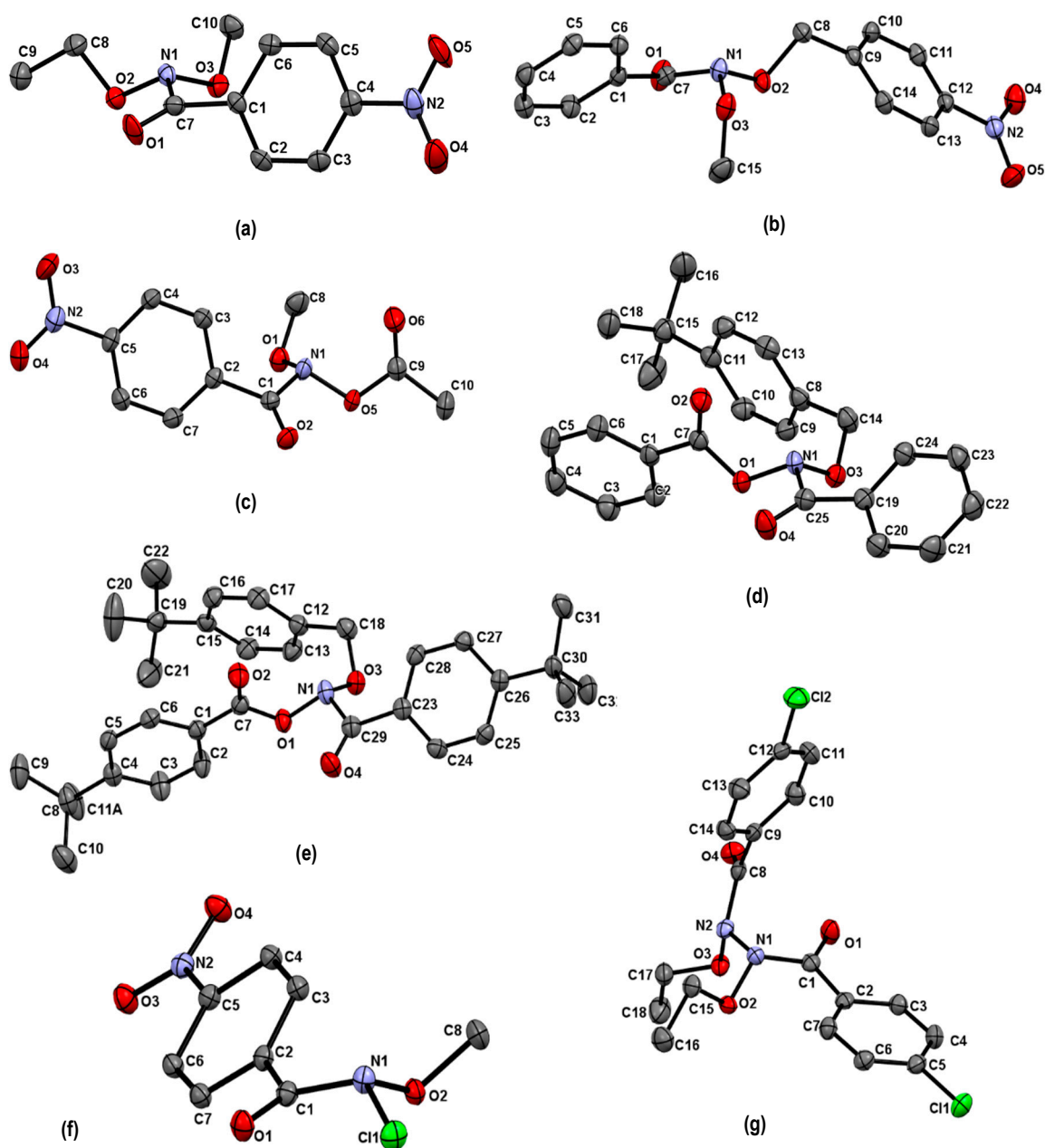
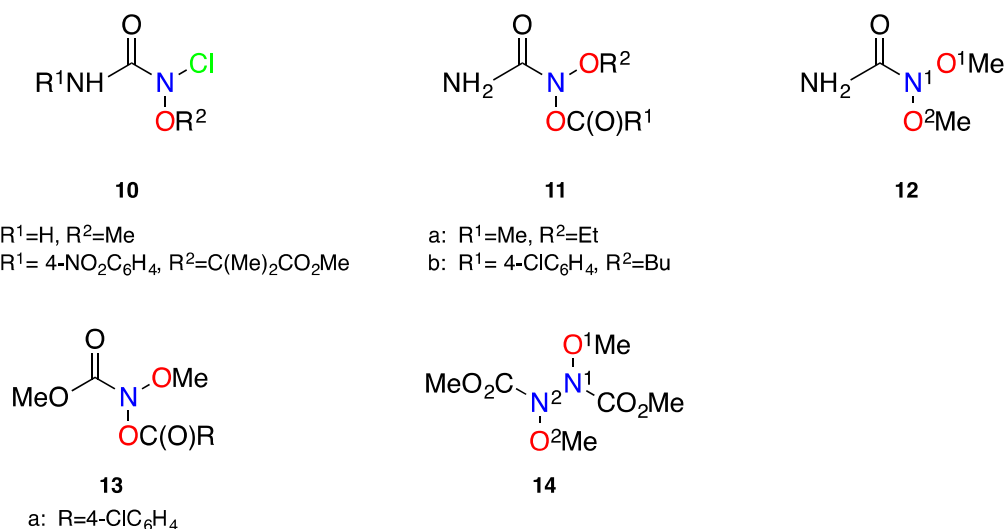


Figure 6. X-ray structures for (a) *N*-ethoxy-*N*-methoxy-4-nitrobenzamide **5a** [33], (b) *N*-methoxy-*N*-(4-nitrobenzyloxy)benzamide **5b** [33], (c) *N*-acetoxy-*N*-methoxy-4-nitrobenzamide **7** [65], (d) *N*-benzyloxy-*N*-(4-*tert*-butylbenzyloxy) benzamide **6b** [29], (e) *N*-(4-*tert*-butylbenzyloxy)-*N*-(4-*tert*-butylbenzyloxy)-4-*tert*-butylbenzamide **6a** [29], (f) *N*-chloro-*N*-methoxy-4-nitrobenzamide **9** [65], and (g) *N,N'*-4-chlorobenzoyl-*N,N'*-diethoxyhydrazine **8** [32].

**Table 2.** Selected structural data for ureas 10–12 and carbamates 13–14 from X-ray structures.

Structure	N–C(O)/Å	(N)C=O/Å	θ/°	χ _N /°	τ/°	Anomeric Twist ² /°
10a [66]	1.443 ¹	1.226	329.1	59.9	8.2	C–O–N–Cl: –90.9
10b [66]	1.472 ¹	1.210	325.8	61.9	–13.4	C–O–N–Cl: –100.1
11a [67]	1.426 ¹	1.222	333.6	–57.1	–6.8	C–O–N–Oacyl: –104.0
11b [68]	1.441 ¹	1.233	323.7	64.6	11.8	C–O–N–Oacyl: –98.2
12 [68]	1.438 ¹	1.220	331.8	57.4	0.8	C–O2–N1–O1: –89.3 C–O1–N1–O2: 55.2
13 [67]	1.424	1.198	334.2	–56.3	2.9	C–O–N–Oacyl: –95.5
14 [69] (N1)/(N2) ³	1.408	1.194	340.0	59.8	–9.4	LP (N1)–N1–N2–O: 189.2

¹ Anomeric amide bond; ² Anomeric alignments in bold-face; ³ Equivalent nitrogens.

These and other anomeric amides have been modelled at B3LYP/6-31G(d) level in the simplified acetamide system and ground state models of **15a–d** systems display high degrees of pyramidality, little N–C(O) twist, long N–C(O) bonds, and slightly shortened (N)C=O bonds, in line with the electron demands of substituents and, where applicable, are reasonable approximations of their respective X-ray structure counterparts (Figure 7, Table 3). ONS and NNCl analogues, **15d** and **15e**, have only been generated as intermediates in reactions but their theoretical structures are in line with those of anomeric systems **15a–d**; N–C(O) bond lengths and pyramidality at nitrogen (χ_N) are broadly in line with the gross electronegativity of substituents at nitrogen. Sulphur, with its low electronegativity, results in a less pyramidal nitrogen, while the NNCl system **15e** is completely planar (and untwisted) for steric reasons. Nonetheless, its N–C(O) bond is comparatively long. The carbamate and the urea **16a** (χ_N = 46.3°) and **16b** (χ_N = 49.6°) are both more pyramidal than the corresponding acetamide **15c** (χ_N = 41.8°), presumably as a result of competing resonance from the α-oxygen and α-nitrogen lone pairs.

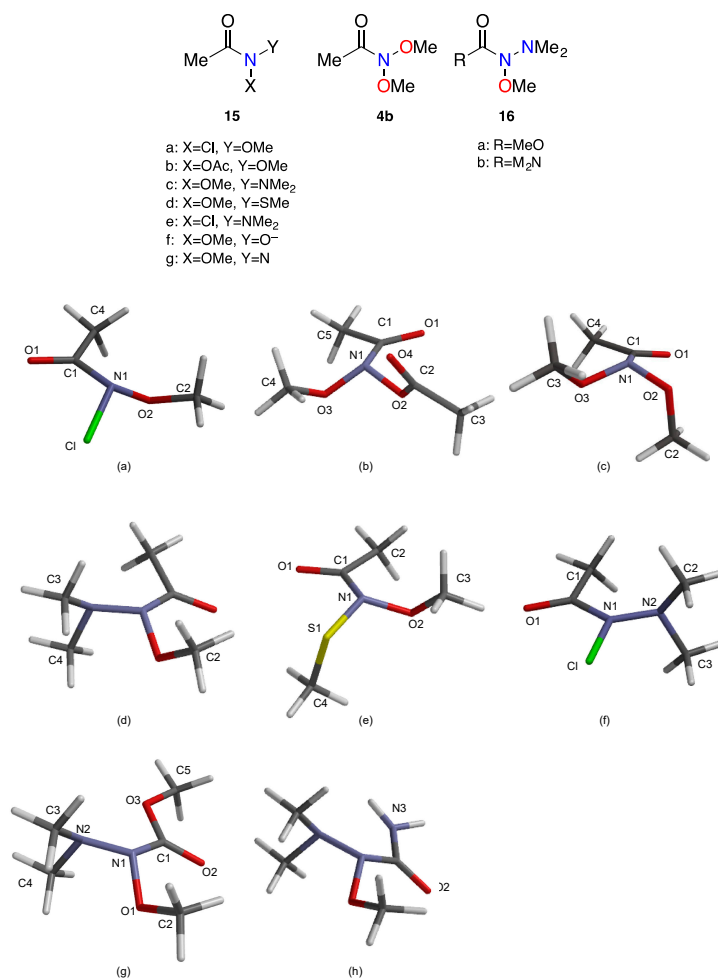


Figure 7. B3LYP/6-31G(d) lowest energy ground state conformers of model anomeric acetamides (a) *N*-chloro-*N*-methoxyacetamide **15a**, (b) *N*-acetoxy-*N*-methoxyacetamide **15b**, (c) *N,N*-dimethoxyacetamide **4b**, (d) *N*-methoxy-*N*-dimethylaminoacetamide **15c**, (e) *N*-methoxy-*N*-methylthiylacetamide **15d**, (f) *N*-chloro-*N*-dimethylaminocetamide **15e**, (g) *O*-methyl *N*-methoxy-*N*-dimethylaminoacetamide **16a**, and (h) *N*-methoxy-*N*-dimethylaminourea **16b**.

Table 3. Selected structural data for B3LYP/6-31G(d) lowest energy conformers of model acetamides **4b**, **15a–e**, and **16a,b**.

Structure	N–C(O)/Å	(N)C=O/Å	(N–X,N–Y)/Å	$\theta/^\circ$	$\chi_N/^\circ$	$\tau/^\circ$	Anomeric Twist Angles ¹ / $^\circ$
15a ONCl [34]	1.432	1.207	Cl:1.787 O2:1.389	337.6	52.3	−5.3	C2–O2–N1–Cl: 88.8
15b ONOAc [61]	1.429	1.209	O2:1.423 O3:1.395	332.1	58.0	2.3	C4–O3–N1–O2: 101.1
4b ONO [33]	1.417	1.212	O2:1.387 O3:1.412	342.9	48.1	8.5	C2–O2–N1–O3: 66.6 C3–O3–N1–O2: 83.8
15c NNO [62]	1.404	1.217	O1:1.430 N2:1.387	346.5	41.8	5.4	LP (N2)–N2–N1–O1: 190
15d ONS [61]	1.408	1.215	S1:1.717 O2:1.420	352.4	31.7	−4.6	C4–S1–N1–O2: −79 C3–O2–N1–S1: −86.6
15e NNCl [34]	1.414	1.209	Cl:1.820 N2:1.351	360.0	0	0	LP(N2)–N2–N1–Cl: 180
16a NNO [61]	1.406	1.212	O1:1.404 N2:1.383	342	46.3	0	LP (N2)–N2–N1–O1: 169.4
16b NNO	1.428	1.217	O1:1.397 N2:1.428	340.0	49.6	−1.7	LP (N2)–N2–N1–O1: 167.5

¹ Anomeric alignment in bold face.

2.2. Resonance Energies and Amidicities

The resonance energy and the amidicity of anomeric amides **4b** and **15a–e** have been calculated at the B3LYP/6-31G(d) level by both the TA and COSNAR methods and by the COSNAR method using dispersion corrected M06/6-311++G(d,p). ΔE_{COSNAR} (Equation (1)), reaction energies (ΔE_{TA}) (Equation (2)), inductive destabilization corrections (ΔE_{ind}) (Equation (3)), resultant RE_{TA} (Equation (4)) together with COSNAR, and TA amidicities are presented in Table 4.

Table 4. B3LYP/6-31G(d), B3LYP/6-311++G(d,p), and M06/6-311++G(d,p) derived resonance energies and amidicities of model anomeric acetamides **4b**, **15a–e**, and **16a**.

Amide (R = Me)	$\Delta E_{\text{COSNAR}}^1$ /kJ mol ⁻¹	ΔE_{TA} /kJ mol ⁻¹	ΔE_{ind} /kJ mol ⁻¹	$\text{RE}_{\text{TA}}^{1,2}$ /kJ mol ⁻¹
15a (ONCl) [34]	-29.6(38)	69.9	23.4	-29.5(39)
15a ³	-27.2 (36)			
15a ⁴	-34.4(45)			
15b (ONOAc) [61]	-39.7(52)	65.3	29.7	-40.5(53)
15b ⁴	-39.5 (52)			
4b (ONO) [35]	-36.0(47)	58.2	18.0	-36.0(47)
4b ⁴	-39.5(53)		-	
15c (ONN) [62]	-52.3(69)	35.1	10.0	-51.0(67)
15c ⁴	-55.7(73)			
15d (ONS) [61]	-48.6(64)	26.8	5.0	-48.6(64)
15d ⁴	-47.3(62)			
15e (NNCl) [34]	-28.7(38)	64.5	17.6	-29.0(37)
15e ³	-28.6(38)			
15e ⁴	-48.7(64)			
16a (ONN) [61]	-47.7(63)	31.8	14.6	-50.6(67)
1	-75.9(100)			
1 ⁴ [34]	-75.9(100)			

¹ Amidicity (%) in parentheses; ² From Equation (4); ³ At B3LYP/6-311++G(d,p); ⁴ M06/6-311++G(d,p).

The TA and COSNAR methodologies give almost identical resonance energies for all the anomeric amides (**4b** and **15a–e**) at the B3LYP/6-31G(d) level. ΔE_{COSNAR} determined at M06 with the expanded basis set yields very similar results to B3LYP/6-31G(d) for *N,N*-dimethylacetamide **1** and the anomeric amides with the exception of **15e**, where resonance is computed to be worth 49 kJ mol⁻¹, just over 60% that of *N,N*-dimethylacetamide and substantially higher than that predicted from B3LYP/6-311++G(d,p), which was identical to the B3LYP/6-31G(d) value (29 kJ mol⁻¹) [34]. The RE parity for **15a** and **15e** at B3LYP is no longer observed with M06, in line with the lower overall electronegativity of nitrogen and chlorine. These results implicate the necessity for inclusion of dispersion corrections in treatments of molecules where anomeric interactions are likely to have a pronounced influence.

It is clear that resonance in anomeric amides is impaired, broadly in line with the gross electronegativity or negative inductive effect of the atoms/groups bonded to nitrogen. Interestingly, the ONN and ONS acetamides, **15c** and **15d**, have very similar resonance energies despite the much lower electronegativity of sulphur. From Bent's rule, the opposite effect would be expected, since *s*-character in the lone pair orbital should decrease with decreasing electronegativity of X. This is likely to be a manifestation of the role of orbital size since the influence of second period elements is significant; nitrogen increases *p*-character in the bond to sulphur to effect better overlap with the larger, 3*p* orbital of sulphur. Consequently, the nitrogen lone pair gains more "*s*" character relative to the amide nitrogen in the NNO acetamide **15c**, resulting in less amide resonance [36,37,70]. Comparing the M06 values, ONCl and ONO systems have about half the resonance of *N,N*-dimethylacetamide while NNO, NNCl, and ONS systems are computed to preserve some 60 to 70% of the resonance of *N,N*-dimethylacetamide.

2.3. The Anomeric Effect

In addition to their reduced amide resonance, anomeric amides are exemplars of XNY systems featuring an anomeric effect [26,35]. There are two possible anomeric interactions designated as $n_X-\sigma^*_{NY}$, and $n_Y-\sigma^*_{NX}$ and where X and Y are different electronegative atoms, one of these interactions will be favoured over the other (Figure 8). By analogy with anomeric carbon centres [26,35,71–73], the relative electronegativities of heteroatoms X and Y at nitrogen and the relative sizes of interacting orbitals contribute to the strength of an anomeric interaction. Heteroatoms Y and X directly influence the relative energies of n_Y and σ^*_{NX} , which, in turn, affect the net stability gain for the lone pair electrons (Figure 9a).

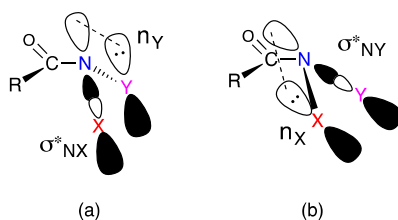


Figure 8. Two possible anomeric interactions in anomeric amides (a) $n_Y-\sigma^*_{NX}$ and (b) $n_X-\sigma^*_{NY}$.

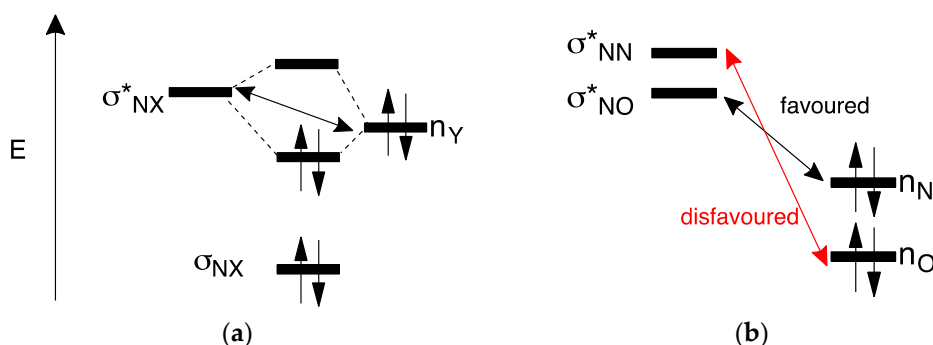


Figure 9. (a) Stabilisation of a lone pair through a $n_Y-\sigma^*_{NX}$ anomeric interaction and (b) the energetics of the anomeric effect in 15c.

As the electronegativity of X and Y increases by going across the p-block row on the periodic table, σ^*_{NX} (and σ_{NX}) decreases in energy as does n_Y . Additionally, as X decreases in electronegativity by going down a p-block group, σ^*_{NX} decreases in energy due to reduced orbital overlap [26,35,36,71,72]. An optimal anomeric effect can be achieved when Y is an early p-block element and X is a p-block element to the right of Y on the periodic table; more specifically an anomeric stabilisation will be greater when the energy gap between n_Y and σ^*_{NX} is lower (Figure 9b) [74,75]. In the unusual case of anomeric amides, where the nitrogen may range between planar sp^2 and pyramidal sp^3 hybridisation, the geometry of the central nitrogen atom in XNY systems also plays a role, as pyramidal nitrogen is more conducive to edge-on n_Y and σ^*_{NX} overlap than is planar, sp^2 hybridised nitrogen (Figure 10a) [26,35].

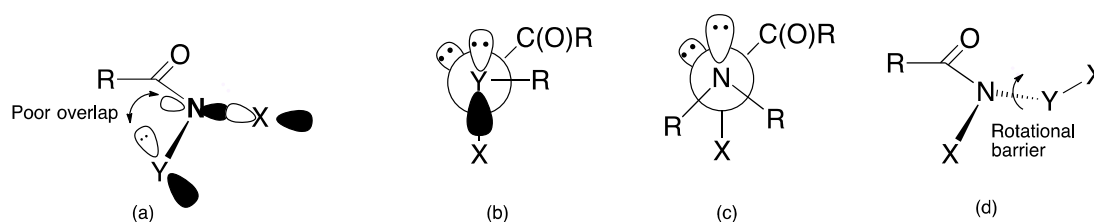


Figure 10. (a) sp^2 hybridised nitrogen hinders overlap with heteroatom Y in an $n_Y-\sigma^*_{NX}$ system; (b) optimum conformation in an $n_Y-\sigma^*_{NX}$ anomeric interaction; (c) optimum conformation in an $n_N-\sigma^*_{NX}$ anomeric interaction; and (d) restricted rotation about the N–Y bond in an $n_Y-\sigma^*_{NX}$ anomeric interaction.

Similar to XCY configurations, in an XNY system, a stabilising $n_Y-\sigma^*_{NX}$ anomeric effect, for example, can cause the amide to adopt a gauche conformation in which the lone pair of Y is coplanar with the vicinal to C–X bond (Figure 10b) [71,74]. In anomeric amides, when n_Y is divalent oxygen or sulphur, an R–Y–N–X dihedral angle close to $|90^\circ|$ aligns the p-type lone pair on those atoms with the vicinal σ^*_{NX} (Figure 10b). Where the donor is n_N , an optimum anomeric effect would need the lone pair on nitrogen to be antiperiplanar to X, $LP(N)-N-N-X = 180^\circ$ (Figure 10c). Consequences of the $n_Y-\sigma^*_{NX}$ interaction include an increased barrier to rotation about the N–Y bond (Figure 10d), a contraction of the N–Y bond, and an extension of the N–X bond as electrons from Y populate the σ^*_{NX} orbital.

In each of the X-ray structures in Figure 6 there is clear evidence of these anomeric interactions (Table 1, bold-face torsion angles). On the basis of energetics, the expected anomeric interactions are $n_O-\sigma^*_{NOAc}$ in **6a**, **6b**, and **7**, $n_N-\sigma^*_{NO}$ in **8**, and $n_O-\sigma^*_{NCl}$ in **9**. In **5a** and **5b** one $n_O-\sigma^*_{NO}$ would be expected to prevail. Dihedral angles about the N–O bonds in **5–7** and **9** show a preferred p-type lone pair alignment with the adjacent σ^*_{NO} , σ^*_{NOAc} , or σ^*_{NCl} bond. In hydrazine **8**, the lone pair on N1 is almost perfectly aligned with the N2–O3 bond while the N2 lone pair makes an angle of only 47° to the N1–O2 bond (1.403 Å), which is shorter than the anomericly destabilised bond N2–O3 (1.411 Å). The structure is asymmetrical with a donor N1 and recipient N2. Similar anomeric interactions are observable in ureas **10–12** and carbamates **13** and **14** (Table 2).

All computed structures exhibit an anomeric interaction (Table 3, bold-face torsion angles) and, besides the ONO system where one $n_O-\sigma^*_{NO}$ prevails, an anomeric $n_O-\sigma^*_{NX}$ prevails in ONCl, ONOAc, and ONS in line with expectations based on electronegativity. The size of the sulphur p-orbital and lower energy of the σ^*_{NS} renders an $n_S-\sigma^*_{NO}$ anomeric interaction less likely than a $n_O-\sigma^*_{NS}$ stabilisation. Where nitrogen is present, the $n_N-\sigma^*_{NO}$ and $n_N-\sigma^*_{NCl}$ interactions are clearly in evidence.

The anomeric effects not only dictate stereochemistry at nitrogen but, as will be seen, combined with reduced amide resonance, they have a profound impact upon spectroscopic properties and the reactivity of anomeric amides.

2.4. Spectroscopic Properties of Anomeric Amides

Spectroscopic properties of anomeric amides are strongly influenced by reduced resonance due to electronegativity of substituents at nitrogen. Infrared and ^{13}C NMR data for a diverse range of ONCl, ONOAcyl [26,31,35], and ONO systems [35,55] have been reported as well as for a number of ONN N,N' -dialkoxy- N,N' -diacylhydrazines [76–78]. Representative infrared carbonyl stretch frequencies in solution for stable anomeric amides (Table 5) are significantly higher (1700 to 1750 cm^{-1}) [32,47,55,79–81] than those of their precursor hydroxamic esters (1650 to 1700 cm^{-1}) and primary (1690 cm^{-1}), secondary (1665 to 1700 cm^{-1}), and tertiary (1630 to 1670 cm^{-1}) alkylamides [26,35,82]. While there is a slight tightening of the (N)C=O bond, the increase in $\nu_{C=O}$ has been attributed primarily to electronic destabilisation of single-bond resonance form of the carbonyl as electron density is pulled towards the electronegative heteroatoms on nitrogen, resulting in a

more ketonic carbonyl bond [2,64]. Likewise, anomeric amides exhibit more ketonic carbonyl ^{13}C chemical shifts (CDCl_3), with downfield shifts of approximately 8.0 ppm from their hydroxamic ester precursors. Deshielding of the carbonyl carbon is an expected consequence of the electron-withdrawing substituents. However, like acid chlorides and anhydrides, the carbonyls resonate upfield of ketones, relative to which the electron density at the carbonyl is increased on account of greater double bond character.

Table 5. Typical spectroscopic data for stable anomeric alkanamides and arylamides ($\text{RC}(\text{O})\text{NXY}$), and their hydroxamic ester precursors.

System	X	Y	R	Amide ν/cm^{-1} ($\delta^{13}\text{C}$)	Hydroxamic Ester ν/cm^{-1} ($\delta^{13}\text{C}$)
2a [79]	Cl	OBu	Me	1740 ¹ (175.3)	1678 (167.9)
2a [35]	Cl	OBu	Ph	1719 (174.2)	1654 (165.7)
2b [79]	OAc	OBu	Me	1746 (176.2)	1678 (167.9)
2b [80]	OAc	OBu	Ph	1732 (173.9)	1654 (165.7)
2c [81]	OBu	OBu	Me	1707 (174.1)	1678 ¹ (167.9)
2c [55]	OMe	OMe	Ph	1711 (174.3)	1683 (166.4)
2d [76]	4-MeBnONAc	4-MeBnO	Me	1734/1700 (171.3)	1693 (168.0)
2d [76]	BzNOEt	OEt	Ph	1708 (170.0)	1685 (166.5)

¹ Neat.

Common primary, secondary, and tertiary amides have significant *cis-trans* isomerisation barriers for rotation about the $\text{N}-\text{C}(\text{O})$ bond, due to the stabilising effect of amide resonance in their ground state [2]. Restricted rotation through lone pair overlap with the adjacent carbonyl $\text{C}2\text{p}_z$ orbital, as illustrated in Figure 1, often results in observation of different chemical shifts of *cis* and *trans* conformers in their ^1H NMR spectra, from which barriers to isomerisation can be deduced [83,84]. The computed surface for *N,N*-dimethylacetamide in Figure 3 indicates that the barrier (difference between the completely planar and the fully rotated-pyramidal forms) is of the order of 71–75 kJ mol^{-1} . Hydroxamic esters have slightly less resonance and amidicity, and the rotation barrier for *N*-methoxy-*N*-methylacetamide from Figure 5a is approximately 67 kJ mol^{-1} . Many hydroxamic esters have broadened ^1H NMR signals at ambient temperatures. Anomeric amides with lower resonance should have lower *cis-trans* isomerisation barriers as exemplified in the model *N,N*-dimethoxyacetamide in Figure 5b. Accordingly, the isomerisation barrier in bisoxyl-substituted amides 2b and 2c are too low to be measured by usual dynamic NMR methods. All proton signals of *N,N*-dimethoxy-4-toluamide remained sharp down to 180 K (in d_4 -methanol) and the ^1H NMR signals for *N*-acetoxy-*N*-benzyloxybenzamide remained isochronous down to 190 K (in d_8 -toluene) [26]. The barrier for *N*-benzyloxy-*N*-chlorobenzamide 18 could likewise not be determined by dynamic NMR [35].

Figure 11 illustrates the dramatic difference between ^1H NMR spectra of *N*-butoxyacetamide and its *N*-chloro- or *N*-acetoxy derivatives as a consequence of reduced resonance in the anomeric structures, which clearly have much lower isomerization barriers. At room temperature, the anomeric amides are in the fast exchange region as opposed to the slow exchange in the hydroxamic ester. Earlier theoretical studies by Glover and Rauk support this assertion [27,28]. For example, a comparison of formamide 17a, *N*-methoxyformamide 17b, and *N*-chloro-*N*-methoxyformamide 17d, calculated at the B3LYP/6-31G(d) level, showed little reduction in the *cis-trans* isomerisation barrier of 73.2 kJ mol^{-1} in formamide, to 67–75 kJ mol^{-1} in *N*-methoxyformamide. However, the introduction of a second electronegative heteroatom, Cl, reduced the barrier to only 32.2 kJ mol^{-1} , indicating that monosubstitution alone is insufficient to impact upon the isomerisation barrier [27]. A theoretical isomerisation barrier for *N*-methoxy-*N*-(dimethylamino)formamide, which, by analogy with typical NNO systems, should retain ~70% the resonance in *N,N*-dimethylacetamide, was computed to be higher at 52.7 kJ mol^{-1} [28]. Such an amide isomerisation barrier in the hydrazine, *N,N'*-diacetyl-*N,N'*-di(4-chlorobenzoyloxy)hydrazine 20d, was measurable at $\Delta G^\ddagger_{278} = 54.0 \text{ kJ mol}^{-1}$, in line with this theoretical barrier [27].

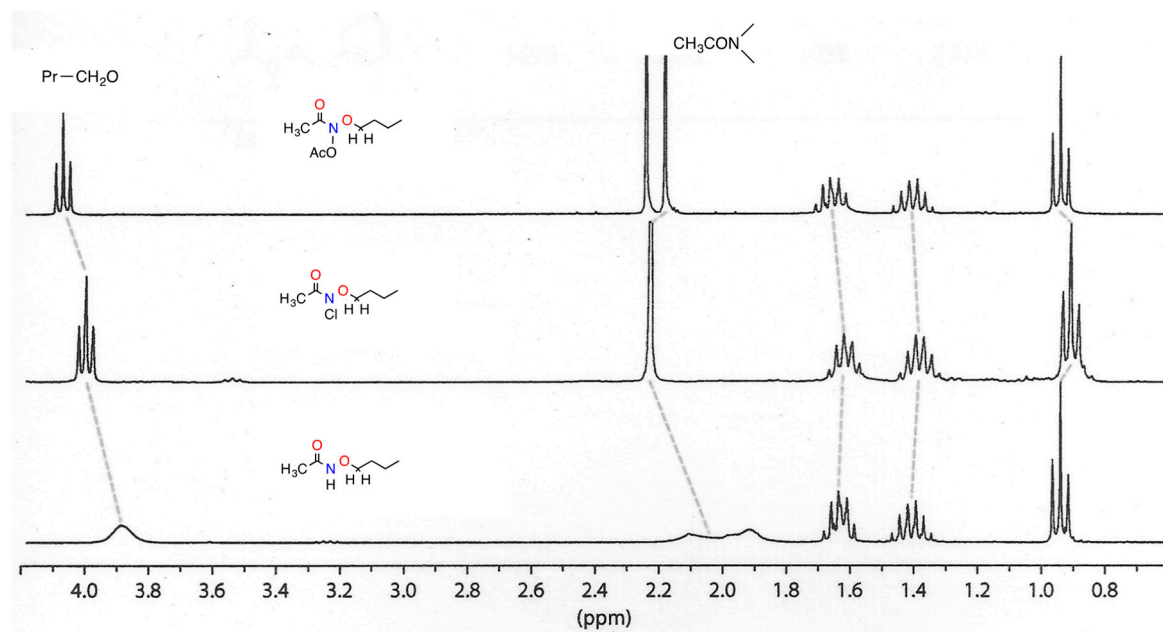
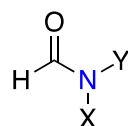


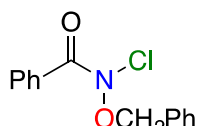
Figure 11. 300 MHz ^1H NMR spectra (300K, CDCl_3) of *N*-butoxyacetamide, and anomeric amides *N*-butoxy-*N*-chloroacetamide and *N*-acetoxy-*N*-butoxyacetamide.

The intrinsic barrier to inversion at nitrogen in bisheteroatom-substituted amides is expected to be much lower than those of analogous amines. The transition state for inversion in anomeric amides is expected to be planar, where the nitrogen lone pair can interact with the carbonyl $2p_z$ orbital generating stabilisation [35]. For *N,N*-dimethoxyacetamide (Figure 5b) this barrier is represented by the energy of structure (b), while the difference in energy between fully twisted structures (d) and (c) represents the much larger barrier to inversion in the corresponding anomeric amine. Several theoretical estimates put these barriers in anomeric amides at about 10 kJ mol^{-1} [28].

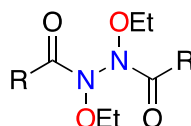


17

- a: X=Y=H
 b: X=H, Y=OMe
 c: X=Y=OMe
 d: X=Cl, Y=OMe
 e: X=OMe, Y=NMe₂

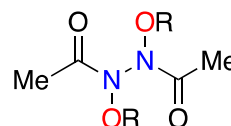


18



19

- a: R=4-MeOC₆H₄
 b: R=4-MeC₆H₄
 c: R=C₆H₅
 d: R=4-ClC₆H₄
 e: R=4-NO₂C₆H₄



20

- a: R=4-MeOC₆H₄CH₂
 b: R=4-MeC₆H₄CH₂
 c: R=C₆H₅CH₂
 d: R=4-ClC₆H₄CH₂
 e: R=4-NO₂C₆H₄CH₂

In anomeric systems, strong $n_Y\text{-}\sigma^*_{\text{NX}}$ interactions should increase the bond order of the N–Y bond, which should impose barriers to rotation about those bonds. For *N*-chloro-*N*-methoxyformamide **17d**, the N–O and N–C(O) rotation barriers have been estimated at the B3LYP/6-31G(d) level at 44.7 and 29.2 kJ mol^{-1} , respectively [27], while the theoretical barrier to rotation about the N–N bond in *N*-methoxy-*N*-dimethylaminoformamide **17e** was computed at $\sim 60 \text{ kJ mol}^{-1}$ [28]. Experimental measurements for both anomalically induced barriers have been made. An N–O anomeric rotational barrier of $\Delta G^\ddagger = 43 \text{ kJ mol}^{-1}$ has been determined for *N*-chloro-*N*-benzyloxybenzamide **18**, where the benzylic methylenes become diastereotopic at 217 K in d_8 -toluene, but as no amide isomerisation could be detected, that barrier must be significantly lower [26]. An anomalically induced rotational barrier for N–N' bond in a number of *N,N'*-diacyl-*N,N'*-dialkoxyhydrazines **19a–e** and **20a–e** has been measured in dynamic ^1H NMR studies [32]. Methylene signals of *N,N'*-diethoxy **19a–e** and *N,N'*-dibenzoyloxy groups **20a–e**, which were diastereotopic at room temperature, as a consequence of restricted rotation

about the N–N' bond, coalesced at higher temperatures ($T_c = 316\text{--}346\text{ K}$) from which rotational free energy barriers of the order of $60\text{--}70\text{ kJ mol}^{-1}$ could be determined [32], which compare favourably with the theoretically calculated N–N' rotational barrier for **17e** of 60 kJ mol^{-1} [28].

3. Reactivity of Anomeric Amides

The reduced resonance and attendant pyramidalisation at the amide nitrogen together with anomeric properties of these unusual molecules results in a plethora of amide reactivity, some known, and now better understood, and others that are unique to anomeric amides. The destabilisation of the amide bond, coupled with the substitution pattern, facilitates reactivity at the amide nitrogen in which the amides are usually transformed from one anomeric amide form to another. Moreover, it can induce a novel process, known as the HERON reaction (Named at the Third Heron Island Conference on Reactive Intermediates and Unusual Molecules, Heron Island 1994.), in which the amide bond is broken to form acyl derivatives and heteroatom-stabilised nitrenes. This reaction is facilitated by weakened amide resonance, but is driven by $n_Y\text{--}\sigma^*_{NX}$ anomeric destabilisation of the N–X bond.

3.1. Reactivity at the Amide Nitrogen

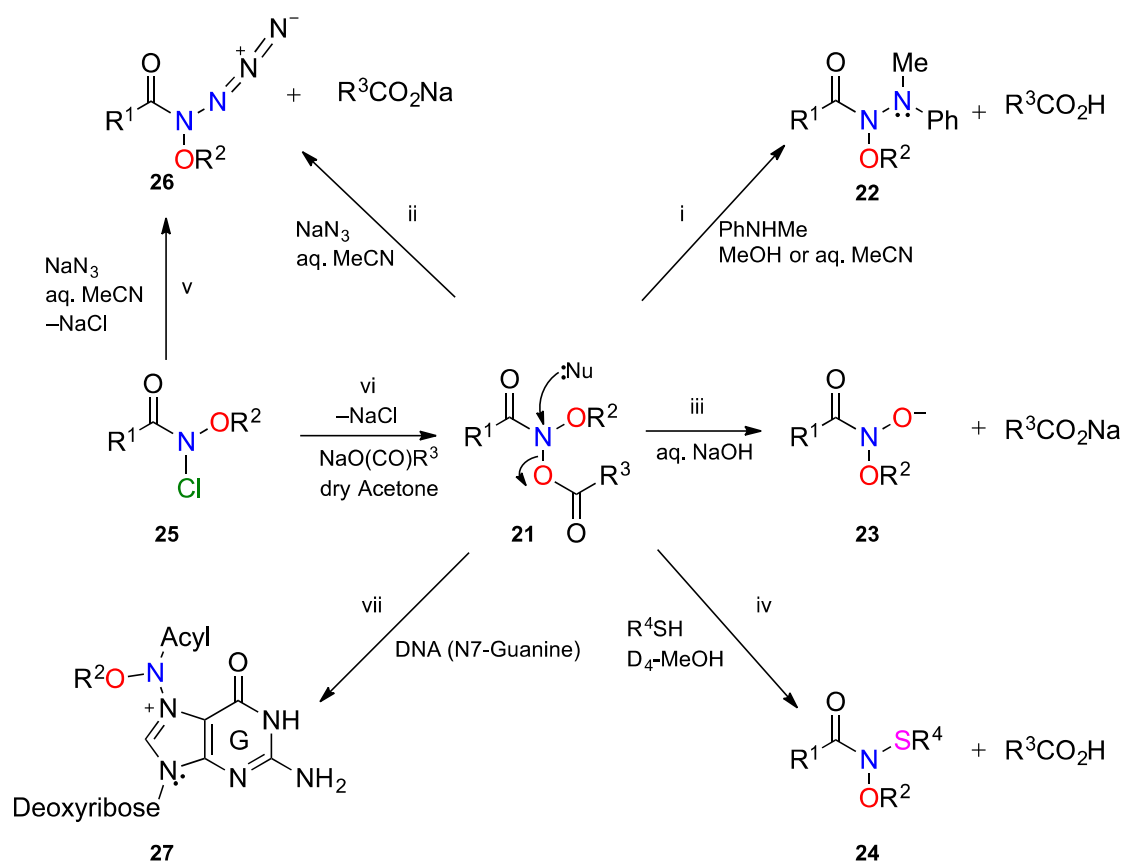
Due to their characteristic, diminished amide resonance and anomeric destabilisation, this class of amide has been shown to undergo S_N2 reaction at nitrogen, and elimination of *N*-substituents leading to S_N1 -type processes. Several congeners undergo thermolytic homolysis to give alkoxyamidyl radicals.

3.1.1. S_N2 Reactions

In XNY systems, a moderate $n_Y\text{--}\sigma^*_{NX}$ negative hyperconjugation leads, through neighbouring group participation, to weakening of the N–X bond, which can encourage S_N2 reactions at nitrogen [26,31,35]. The increased electrophilicity of nitrogen in *N*-acyloxy-*N*-alkoxyamides **21** leaves them vulnerable to attack by arylamines (Scheme 1 i) [42,44,47,51], azide (Scheme 1 ii) [54], hydroxide (Scheme 1 iii) [45], and thiols (Scheme 1 iv) [52], the outcomes from which are anomalically substituted intermediates **22–24** and **26** that ultimately may undergo HERON reactions. Furthermore, *N*-acyloxy-*N*-alkoxyamides **21** themselves may be synthesised in S_N2 reactions between *N*-alkoxy-*N*-chloroamides **25** and sodium carboxylates (Scheme 1 vi) [43,45,46,48,51,80,85]. *N*-alkoxy-*N*-chloroamides **25** also react bimolecularly with azide generating reactive *N*-alkoxy-*N*-azidoamides **26** (Scheme 1 v) [54].

Reactions of **21** systems with amines and thiols have been modelled at the AM1, HF/6-31G(d), and pBP/DN* levels, which reveal significant charge separation in the transition states and alkoxy nitrenium ion character (Figure 12) [86]. These reactions should be favoured by electron-donor substituents on the nucleophile and electron-acceptor substituents on the acyloxy group.

The S_N2 reaction of *N*-methylaniline with a wide range of *N*-acyloxy-*N*-alkoxyamides **21** has been studied (Scheme 1 i), and relative rate constants, Arrhenius activation energies, and entropies of activation are in accord with a transition state with significant charge separation [31,44,51,87]. E_A 's are of the order of $40\text{ to }60\text{ kJ mol}^{-1}$. Entropies of activation ($-90\text{--}160\text{ J K}^{-1}\text{ mol}^{-1}$) are more negative than found in S_N2 reactions of alkyl halides, owing to a greater degree of solvation in the charge separated transition state [88]. In addition, the rates for reactions i, iii, and iv with a series of NAA's bearing *N*-*p*-substituted benzoyloxy leaving groups correlated with Hammett σ constants with positive slope (i, $\rho = 1.7$, iii, $\rho = 0.6$, and iv, $\rho = 1.1$) [44,45,52]. In addition, a series of anilines reacted bimolecularly and rate constants correlate with Hammett σ^+ constants ($\rho = -0.9$) [44]. In most respects, the S_N2 reactions are electronically and geometrically reminiscent of those at carbon centres and are accelerated by electron-donor groups on the nucleophile and electron-withdrawing groups on the leaving group. The amide carbonyl facilitates S_N2 reactivity in line with enhanced reactivity in phenacyl bromides [89]. In particular, bimolecular reaction rates are radically impeded with branching α to the carbonyl [50], which is analogous to the resistance to S_N2 reactions of α -halo ketones bearing substituents at the α' position [90,91].



Scheme 1. S_N2 reactions of *N*-acyloxy-*N*-alkoxyamides **21** with: (i) arylamines; (ii) azide; (iii) hydroxide; (iv) thiols; (vii) DNA and reaction of *N*-alkoxy-*N*-chloroamides **25** with (v) azide and (vi) sodium carboxylates.

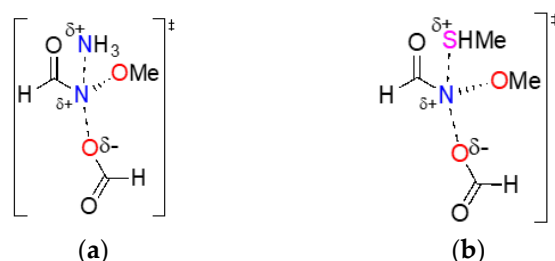
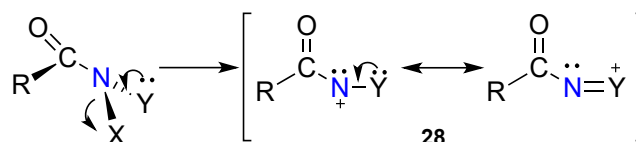


Figure 12. HF/6-31G* predicted charge separation in transition states for reactions of *N*-formyloxy-*N*-methoxyformamide with (a) ammonia and (b) methanethiol.

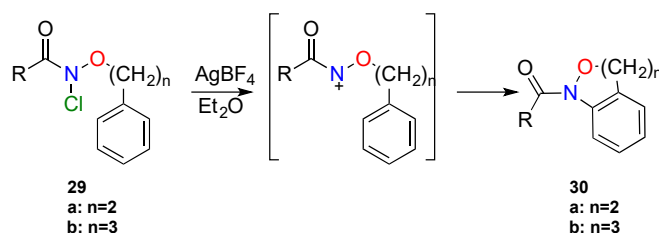
Anomeric substitution at nitrogen in *N*-acyloxy-*N*-alkoxyamides **21** renders this class of amides as direct-acting mutagens. Mutagenicity towards *S. typhimurium* in the Ames reverse mutation assay does not require premetabolic activation [92,93]. Our DNA damage studies on plasmid DNA at physiological pH, as well as extensive structure–activity relationships [31,38–41,43,45,46,48–50,79,80], point to binding of NAA's **21**, intact, into the major groove of DNA, where an S_N2 reaction occurs at the most nucleophilic centre, the electron-rich N7 in guanine (Scheme 1 vii) [94–97]. All three side chains (R^1 , R^2 , and R^3) of **21** have an impact upon both DNA damage profiles as well as mutagenicity levels. An S_N1 mechanism, yielding electrophilic *N*-acyl-*N*-alkoxynitrenium ions, was ruled out since only R^1 and R^2 would influence binding and reactivity. Moreover, mutagenic activity is radically reduced when there is branching α to the carbonyl in parallel with the impaired S_N2 reactivity [40,50,51]. The mutagenic activity of *N*-acyloxy-*N*-alkoxyamides **21** has been used recently to show how hydrophobicity and intercalating side chains impact upon DNA binding [38,39].

3.1.2. Elimination Reactions

In an anomeric amide where $n_Y-\sigma^*_{NX}$ is a strong interaction, where X has a high electron affinity and Y is a strong electron donor, polarisation can lead to elimination of X^- , leaving a Y-stabilised nitrenium ion **28** (Scheme 2) [98]. The stronger the anomeric effect, the more readily the elimination is expected to occur. In the case of *N*-alkoxy-*N*-chloroamides **25**, elimination can be facilitated by Lewis acid complexation with X and by the use of polar solvents [35]. For example, treatment of *N*-chloro-*N*-(2-phenylethoxy)- **29a** and *N*-chloro-*N*-(3-phenylpropyloxy)amides **29b** with silver tetrafluoroborate in ether, initiates a ring closing reaction to form *N*-acyl-1*H*-3,4-dihydro-2,1-benzoxazines **30a** and *N*-acyl-1,3,4,5-tetrahydrobenzoxazepines **30b**, respectively, via chlorine elimination to form nitrenium ions (Scheme 3). *N*-acyl-*N*-alkoxynitrenium ions are strongly stabilised by delocalisation of the positive charge onto oxygen [98,99]. This methodology has been used widely since its discovery in 1984 by Glover [100,101] and Kikugawa [102–108]. In addition, treatment of *N*-alkoxy-*N*-chloroamides **25** with silver carboxylates in diethyl ether, allows the nitrenium ion to be scavenged by carboxylate in a versatile reaction which has been used to synthesise a range of *N*-acyloxy-*N*-alkoxyamides **21** [48,80].

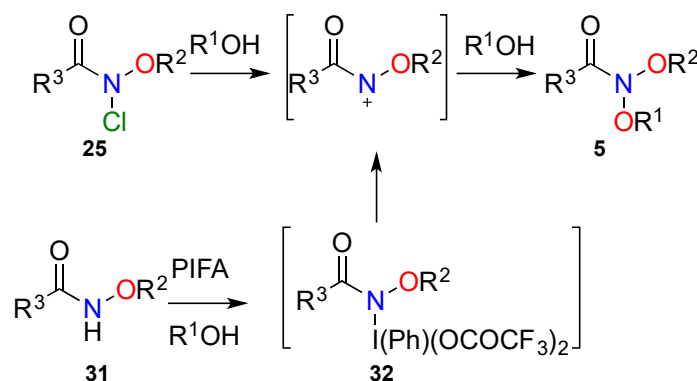


Scheme 2. Nitrenium ion formation by elimination of X due to a strong $n_Y-\sigma^*_{NX}$ interaction.



Scheme 3. Cyclisation by silver ion catalysed elimination reactions.

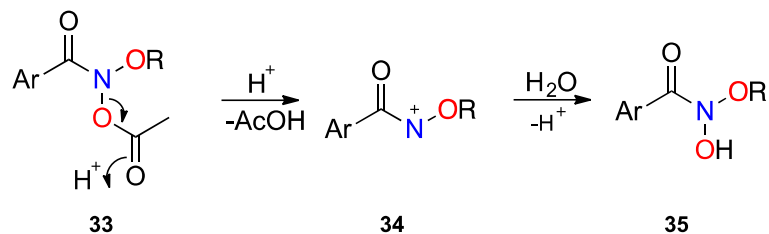
Elimination of chloride in the alcoholysis of **25** to give nitrenium ion provided a synthetic pathway to *N,N*-dialkoxyamides **5** (Scheme 4) [55,56]. We recently reported a more versatile synthesis effected by PIFA oxidation of hydroxamic esters **31** in appropriate alcohol, which proceeds through a reactive phenylbistrifluoroacetate derivative **32** [30,55]. Similar hypervalent iodine oxidations have been used in nitrenium ion cyclisations onto aromatic rings [105].



Scheme 4. *N*-Acyl-*N*-alkoxynitrenium ion mediated syntheses of *N,N*-dialkoxyamides **5**.

N-Alkoxy-*N*-benzoylnitrenium ions **34** are generated through A_{A1} acid-catalysed solvolysis of *N*-acetoxy-*N*-alkoxybenzamides **33** (Scheme 5) [46,48,49]. Acetoxy, upon protonation with a catalytic

amount of mineral acid, is eliminated from *N*-acetoxy-*N*-alkoxybenzamides **33** and the nitrenium ions are trapped by water to form *N*-alkoxyhydroxamic acids **35**. The anomeric **35** undergoes secondary reactions to form a range of products.



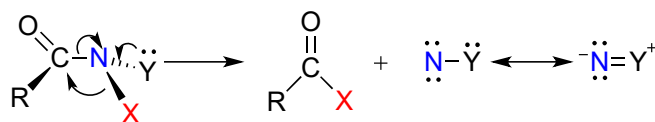
Scheme 5. Acid catalysed hydrolysis of *N*-acetoxy-*N*-butoxybenzamides.

The elimination reactions of *N*-alkoxy-*N*-chloroamides **25** and the acid-catalysed solvolysis reactions of **33**, both of which proceed through intermediacy of *N*-acyl-*N*-alkoxynitrenium ions, can better be re-evaluated in terms of anomeric destabilisation in combination with their reduced amidicities.

3.2. The HERON Reaction

3.2.1. HERON Reactions of *N*-Amino-*N*-Alkoxyamides

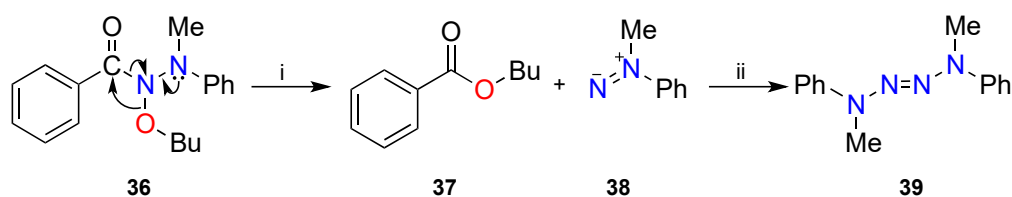
A novel reaction of suitably constituted anomeric amides is the HERON (Heteroatom Rearrangement On Nitrogen) reaction [56,109–113]. In such amides, when the X heteroatom of an anomalically destabilised N–X bond is a poor leaving group, the amide can undergo a concerted rearrangement involving the migration of X to the carbonyl carbon and the ejection of a Y-stabilised nitrene (Scheme 6).



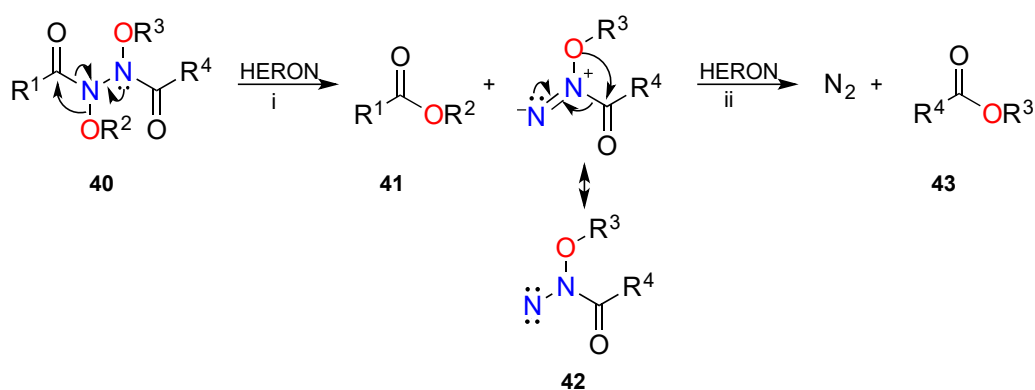
Scheme 6. The heteroatom rearrangement on nitrogen (HERON) reaction of an anomeric amide.

The HERON reaction was discovered by Glover and Campbell during research into S_N2 reactivity of *N*-acyloxy-*N*-alkoxyamides **21**, specifically the reaction between *N*-acetoxy-*N*-butoxybenzamide and *N*-methylaniline according to Scheme 1 i [47,111]. In a polar solvent such as methanol, *N*-methylaniline attacks the amide nitrogen, replacing the acetoxy side chain to form an unstable intermediate, *N*-butoxy-*N*-(*N'*-methylanilino)benzamide **36**, which undergoes the HERON reaction to form butyl benzoate **37**, and an aminonitrene, 1-methyl-1-phenyldiazene **38** (Scheme 7 i). Aminonitrenes are highly reactive intermediates with a singlet ground state, which persist long enough under reaction conditions to dimerise to tetrazenes [114–117], in this case, **39** (Scheme 7 ii) [44,47]. *N,N'*-Diacyl-*N,N'*-dialkoxyhydrazines **40**, the only forms of *N*-alkoxy-*N*-aminoamides **2d** to have been isolated, undergo tandem HERON reactions to form two equivalents of ester **41** and a molecule of nitrogen; the *N*-acyl-*N*-alkoxyaminonitrenes **42**, formed in this HERON reaction, rapidly undergo a second rearrangement to form a molecule of nitrogen and ester before dimerisation of the *N*-acyl-*N*-alkoxyaminonitrene can occur (Scheme 8) [56,76]. Step ii can also be regarded as a HERON process, driven by a high energy electron pair on 1,1-diazene, a charge separated form of aminonitrene. Barton and coworkers studied the decomposition at about the same time, and both groups established the operation of three-centre mechanisms using asymmetric hydrazines [77]. In addition, Barton found its concerted nature facilitated the formation of a range of highly hindered esters and, recently,

Zhang has utilised the reaction to generate hindered esters from N,N' -dialkoxy- N,N' -diacylhydrazines, synthesised through N -bromosuccinimide (NBS) oxidation of hydroxamic esters [118].



Scheme 7. The first HERON reaction of N -butoxy- N -(N' -methylanilino)benzamide **36**.



Scheme 8. Tandem HERON reactions of N,N' -diacyl- N,N' -dialkoxyhydrazines **40**.

3.2.2. Theoretical and Experimental Validation of the HERON Reaction

The HERON reaction of **22** and **40** has been modelled and validated computationally. Initially, AM1 modelling predicted that the three-centre reaction in the first HERON (Scheme 7 i) had an energy barrier of 184 kJ mol^{-1} , while that of the second step was very low (25 kJ mol^{-1}) [56,77]. An extensive AM1 study of HERON reactions of N -amino- N -alkoxyacetamides predicted a similar barrier of 159 kJ mol^{-1} in the gas phase, but a lower barrier of 126 kJ mol^{-1} in solution [111]. The same study also predicted lower barriers with electron-donor groups on the amino nitrogen. In a more rigorous study at the B3LYP/6-31G(d) level [76], Glover et al. modelled the HERON reaction of N -methoxy- N -dimethylaminoformamide **17e**, a model representative of N -(N' -methylanilino)- N -butoxybenzamide **36**, and N,N' -diacyl- N,N' -dialkoxyhydrazines **40**, for which HERON reactions had been experimentally observed. It was confirmed that the $n_{\text{N}}-\sigma^*_{\text{NO}}$ anomeric destabilisation resulted in migration of the methoxyl group with an activation barrier of 90 kJ mol^{-1} and the reaction was exothermic by 23 kJ mol^{-1} . Similar diastereomeric transition states were located, but the transition state accessible from the lowest energy (*syn*) conformer of **17e** was found to be that depicted in Figure 13a. Importantly, modelling showed that amide resonance in the transition state was largely lost, as migration occurs in a plane perpendicular to the carbonyl, twisting the nitrogen lone pair away from alignment with the $\pi^*_{\text{C=O}}$ orbital. Anomeric destabilisation, however, remained along the reaction coordinate, driving the reaction forward. The N-C(O) bond is largely intact at the transition state but breaks as the O2-C1 bond forms in an internal, $\text{S}_{\text{N}}2$ -like reaction at the amide carbon. Significantly, a tetrahedral intermediate is avoided by this process. Subsequent high level calculations on the decomposition of anomeric hydrazines by Tomson and Hall, yielded similar energetics for the HERON process [119].

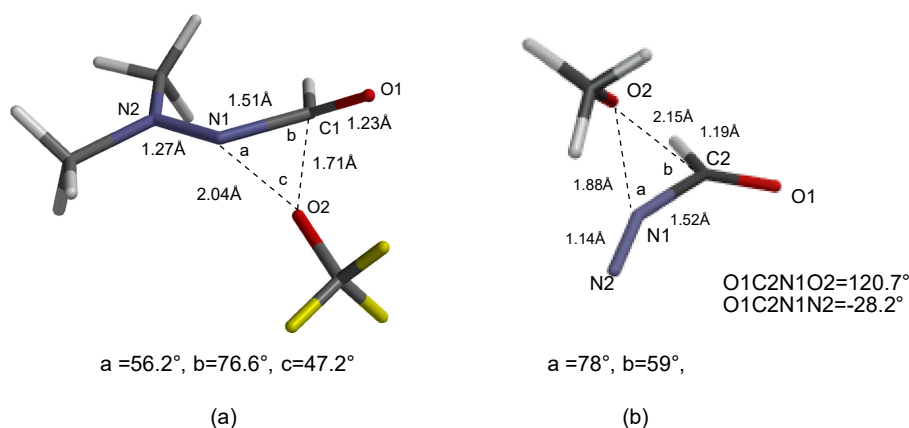


Figure 13. Twisted HERON transition states of (a) *N*-methoxy-*N*-dimethylaminoformamide **17e** and (b) 1-formyl-1-methoxydiazene **44** ($R^1 = \text{H}$, $R^2 = \text{Me}$) at B3LYP/6-31G(d) level [53,76].

Analysis of charge separation in the B3LYP/6-31G(d) transition state revealed a partial positive charge of +0.5 on amino group, partial negative charge of -0.3 on the migrating methoxyl group and little change in charge at the carbonyl. This indicated that HERON in these NNO systems could be assisted by polar solvents, electron-donating groups on the stationary amino substituent, and electron-withdrawing groups on the migrating oxygen substituent. The activation barriers and charge separation in the transition state were validated experimentally by Arrhenius studies and Hammett correlations from thermal decomposition of a range of substituted hydrazines **19a–e** and **20a–e** in mesitylene (Table 6) [76]. In **19**, the donor ability of n_{N} is increased by electron-rich aryl groups, leading to enhanced reaction rates and a negative Hammett σ^+ correlation ($\rho = -0.35$, $R^2 = 0.978$) (Figure 14a). However, acceptor benzyloxy substituents in **20** facilitate the migration, leading to a positive Hammett σ -correlation ($\rho = 1.02$, $R^2 = 0.911$) (Figure 14b). Rate constants were lower in **20** on account of a negative impact at the donor nitrogen. Donor groups, on the other hand, have little impact on the carbonyl in **19**.

Table 6. Hammett reaction constants, Arrhenius activation energies, entropies of activation, and rate constants for HERON decomposition of **19a–e** and **20a–e** [76].

Series	System	$E_A/\text{kJ mol}^{-1}$	$\Delta S^\ddagger/\text{J K}^{-1} \text{mol}^{-1}$	$10^6 k_{298}/\text{s}^{-1}$
Series 19 : σ^+ reaction constant $\rho = -0.35$ ¹	19a	99.2 (4.3)	−21.2 (13)	5.56
	19b	100.7 (8.2)	−19.6 (25)	3.72
	19c	100.4 (5.1)	−23.8 (15)	2.52
	19d	107.7 (1.7)	0.9 (5)	2.50
	19e	104.0 (1.7)	−15.4 (5)	1.57
Series 20 : σ reaction constant $\rho = +1.02$ ¹	20a	111.4 (0.9)	−21.1 (2)	4.02
	20b	125.9 (1.0)	24.5 (3)	2.76
	20c	114.1 (3.9)	−8.7 (11)	6.21
	20d	125.1 (8.5)	27.4 (24)	5.44
	20e	98.8 (0.3)	−46.4 (1)	31.9

¹ Hammett correlations using $k_{298\text{K}}$.

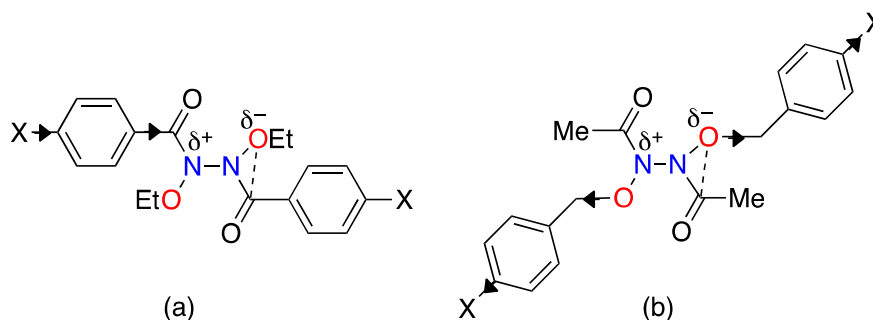
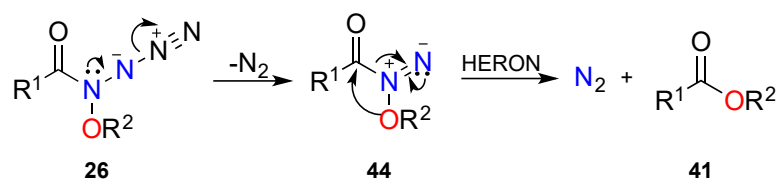


Figure 14. Influence on the HERON transition state of (a) electron-rich benzoyl groups in **19** and (b) electron-deficient benzyloxy groups in **20**.

3.2.3. HERON Reaction of 1-Acyl-1-Alkoxydiazenes

The second step in the thermal decomposition of *N,N'*-dialkoxy-*N,N'*-diacylhydrazines **40**, **19**, and **20** has also been modelled at both B3LYP/6-31G(d) and CCSD(T)//B3P86 level using *N*-formyl-*N*-methoxydiazene, and was found to have an extremely small E_A of between 5 and 12 kJ mol⁻¹ and to be highly exothermic ($\Delta E = -400$ kJ mol⁻¹) [53,119]. We encountered this process from the reaction of *N*-acyloxy-*N*-alkoxyamides **21** with azide (Scheme 1 ii), which generates ester and two molecules of nitrogen [54]. The reaction of *N*-acyloxy-*N*-alkoxyamides with azide was originally conceived in an attempt to trap and determine the lifetimes of *N*-acyl-*N*-alkoxynitrenium ions, by analogy with the determination of lifetimes of arylnitrenium ions in water [120,121]. However, *N*-alkoxy-*N*-azidoamides **26** are highly unstable intermediates, losing nitrogen to generate 1-acyl-1-alkoxydiazenes **44**, which react further to nitrogen and ester Scheme 9. The transition state for this reaction of *N*-formyl-*N*-methoxydiazene, modelled at the B3LYP/6-31G(d) level, is depicted in Figure 13b. Once again, the methoxyl group migrates in a plane orthogonal to the N1-C2-O1 plane in an earlier transition state with little N-C(O) bond cleavage, which occurs in concert with O2-C2 bond formation, again avoiding a tetrahedral intermediate. The reaction of azide with *N*-chloro-*N*-alkoxyamides **25** proved to be an excellent means of generating highly hindered esters [54]—not surprisingly, in light of the very low E_A and extreme exothermicity born out of the entropically favourable generation of two highly stable molecules (methyl formate and nitrogen) [53,119]. Overall, the decomposition of *N*-azido-*N*-methoxyformamide to two molecules of nitrogen and methylformate was computed to be exothermic by some 575 kJ mol⁻¹ [53]. Yields of esters prepared by this method are given in Table 7.



Scheme 9. Decomposition and HERON reaction of *N*-alkoxy-*N*-azidoamides **26**.

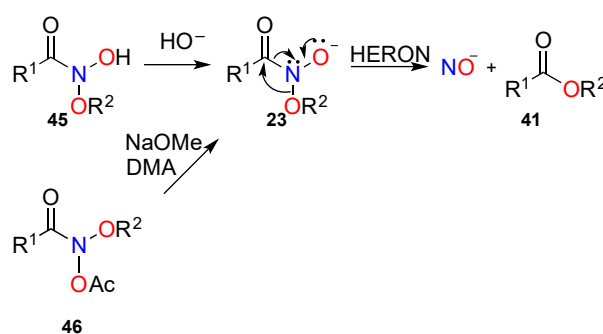
Table 7. Ester **41** (R^1COOR^2) formation from the reaction of *N*-alkoxy-*N*-chloroamides **25** ($R^1CONClOR^2$) with sodium azide in aqueous acetonitrile.

R	R'	Isolated Crude Yield/%
Ph	(CH ₃) ₃ C	87
(CH ₃) ₃ C	(CH ₃) ₃ C	30
1-adamantyl	(CH ₃) ₃ C	82
(CH ₃) ₃ C	cyclohexyl	97
Ph	(CH ₃) ₂ CH	92
Ph	PhCH ₂	93
CH ₃	PhCH ₂	92
p-NO ₂ C ₆ H ₄	Et	94
Ph	Et	94

Both Barton and recently Zhang have shown that the thermal decomposition of *N,N'*-dialkoxy-*N,N'*-diacylhydrazines is a source of hindered esters. The avoidance of a tetrahedral intermediate in both HERON steps of these reactions, and which is a limiting structure in Fisher esterification, is critical. This, and the clear role of anomeric substitution at nitrogen in both reducing amidicity, as well as promoting the rearrangement, are paramount.

3.2.4. HERON Reactions of Anionic Systems

Hydroxide substitution of the acyloxy side chain from a range of *N*-acyloxy-*N*-alkoxybenzamides **21**, at room temperature in aqueous acetonitrile, generated esters and their hydrolysis products [45]. Rate data and crossover experiments pointed to an S_N2 reaction at nitrogen and the intramolecular nature of the reaction, implicating a HERON process. The hydroxamic acid intermediates **45** from initial attack (Scheme 1 iii) would be converted to their conjugate base **23** under basic reaction conditions, generating a strong n_O–σ*_{NO} anomeric destabilisation of the N–O bond (Scheme 10). A HERON migration of the alkoxy side chain to the carbonyl carbon results in the formation of alkyl benzoate and the ejection of the nitric oxide anion (Scheme 10, R¹ = Ph). This route to esters from hydroxamic acids in equilibrium with **23** was earlier invoked to explain non-crossover ester formation in the A_{Al}1 solvolysis of *N*-acyloxy-*N*-alkoxyamides at low acid concentrations (Scheme 5) [45,46,48,49].

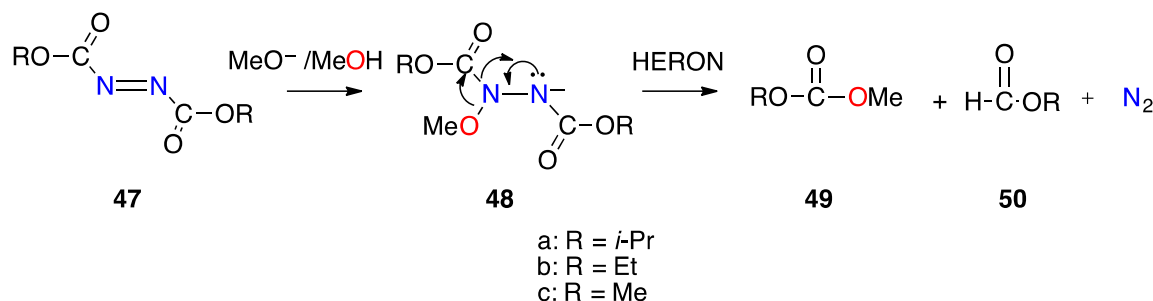


Scheme 10. HERON reaction of hydroxamate anion **23**.

The HERON reaction was also invoked by Shtamburg and coworkers to account for formation of ethyl benzoate **41** (R¹ = Ph, R² = Et) when *N*-acetoxy-*N*-ethoxybenzamide **46** (R¹ = Ph, R² = Et) was treated with methoxide in aprotic media. Anion **23** (R¹ = Ph, R² = Et) leading to the HERON reaction was generated by methoxide addition at the ester carbonyl (Scheme 10), while methoxide attack at the amide carbonyl lead to the formation of methyl benzoate [122].

Dialkyl azadicarboxylates, widely used in the Mitsunobu reaction, decompose vigorously with methoxide in methanol [123,124]. **47a** afforded methyl isopropyl carbonate **49a** and isopropyl formate **50a** in a 1:1 ratio by ¹H NMR, and diethyl azadicarboxylate **47b** behaved similarly, though volatile ethyl formate **50b** was less prevalent in the reaction mixture (Scheme 11) [112,125]. Since the nitrogens

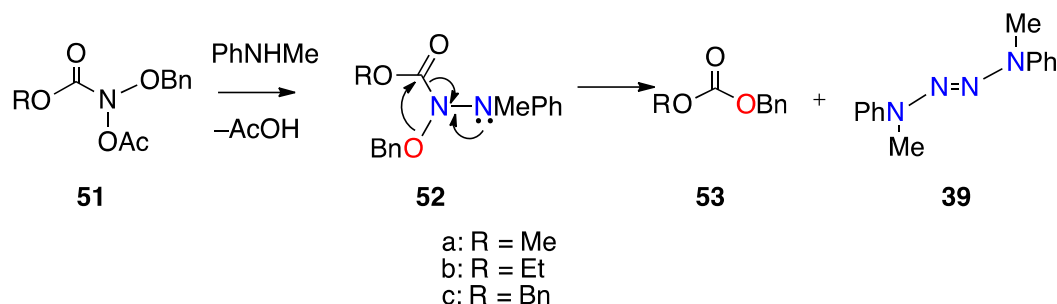
in **47** are the overwhelming contributors to the LUMO of azadicarboxylates [112], the most probable route to these products is methoxide addition at nitrogen and a facile HERON reaction of the anionic adducts **48**. Calculations based on the HERON reaction of dimethyl azodicarboxylate **5c**, gave an E_A of 27 kJ mol⁻¹ and exothermicity of 59 kJ mol⁻¹, at the B3LYP/6-31G**//HF/6-31G(d) level [112].



Scheme 11. Amide anion induced HERON reaction in reactions of azadicarboxylates with methoxide.

3.2.5. HERON Reactions of *N*-Alkoxy-*N*-Aminocarbamates

By analogy with the HERON reactions of *N*-acyloxy-*N*-alkoxyamides, several carbamates have been shown to undergo a similar reaction (Scheme 12). *N*-Acetoxy-*O*-alkyl-*N*-benzyloxycarbamates **51a–c** and *N*-methylaniline reacted bimolecularly in [D₄]-methanol to produce the corresponding carbonates **53** and tetrazene **39**, presumably through HERON reaction of the *N*-methylanilino intermediate **52** [126].



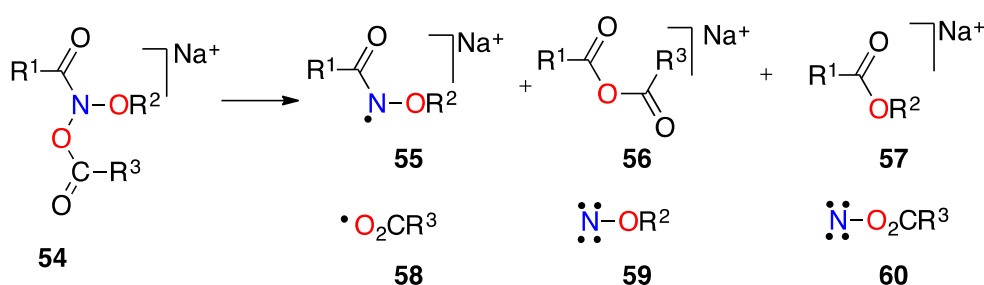
Scheme 12. HERON reactions of *N*-alkoxy-*N*-aminocarbamates.

3.2.6. HERON Reactions of *N*-Acyloxy-*N*-Alkoxyamides

Based on RE's of models **15c** and **16a** in Table 4, the amidicity of NNO systems such as *N*-alkoxy-*N*-aminoamides **2d** or *N*-alkoxy-*N*-aminocarbamates such as **52**, is likely to be reduced by modest amounts (60–70% that of *N,N*-dimethylacetamide), yet these systems undergo HERON reactions at room temperature, as do the 1,1-diazenes **2h** and hydroxamates **2g**. However, all have in common a strong anomeric destabilisation of the N–O bond through high energy electron pairs on the donor atom, n_Y , and high electronegativity of oxygen. $n_O-\sigma^*_{NO}$ systems such as *N*-acyloxy-*N*-alkoxyamides **2b** and *N,N*-dialkoxyamides **2c**, on the other hand, should have lower RE's (amidicities approximately 50% that of *N,N*-dimethylacetamide), yet they are thermally stable at room temperature on account of a weaker $n_O-\sigma^*_{NO}$ anomeric interaction. However, at elevated temperatures, *N*-acyloxy-*N*-alkoxyamides **2b** also undergo HERON reactivity [85].

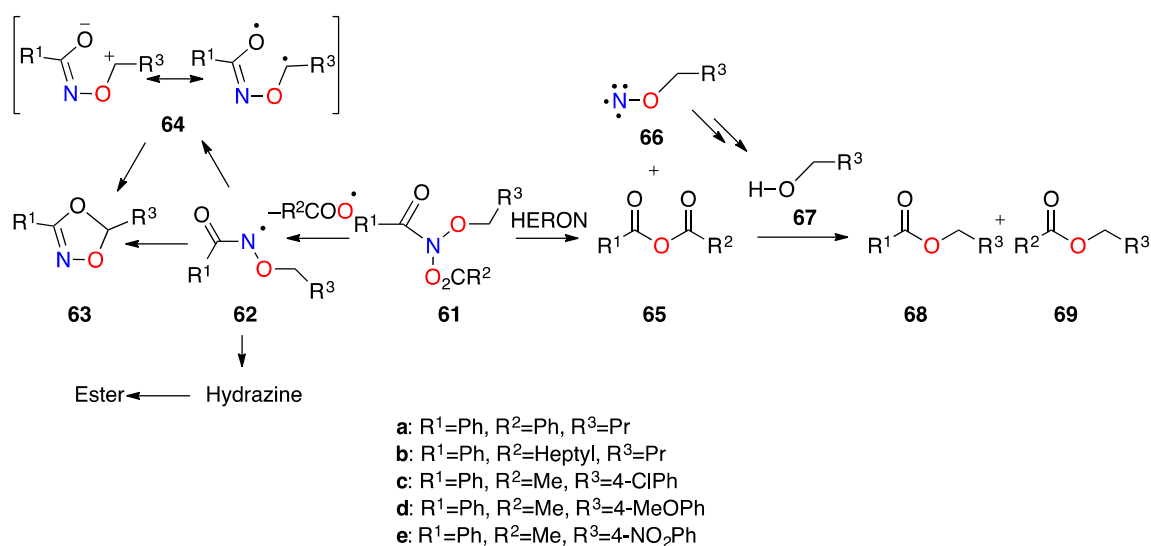
A tandem mass spectrometric analysis by electrospray ionisation, used in characterising mutagenic *N*-acyloxy-*N*-alkoxyamides **21** (Scheme 13), produced, in addition to sodiated parent compound **54**, three characteristic sodiated ions, a sodiated alkoxyamidyl radical, **55**, which was generally most prevalent, a sodiated anhydride, **56**, and a minor cation due to sodiated ester, **57**, which was absent in the ionisation of aliphatic amides. While the fragments formed in parallel

with each product ion, **58–60**, were undetectable, under these conditions the source of anhydrides must be an intramolecular process and the HERON rearrangement at elevated temperature was implicated. Furthermore, the relative amounts of sodiated anhydride and ester reflected the expected bias towards an $n_{\text{O}}-\sigma^*_{\text{NOAc}}$ anomeric stabilisation with attendant weakening of the N–OAc, rather than the N–OR, bond [112]. A B3LYP/6-31G(d) computational study of migration tendencies in *N*-formyloxy-*N*-methoxyformamide predicted high E_{A} 's for migration of both formyloxyl and methoxyl in the gas phase (162 and 182 kJ mol⁻¹, respectively) [112]. While acyloxyl, rather than alkoxy, migration would be energetically more favourable, in solution at room temperature and particularly in polar media, the HERON reaction would not be competitive with S_N2 and S_N1 reactions at nitrogen.



Scheme 13. Fragments from collision induced electrospray ionisation-mass spectrometric (ES-MS) analysis of *N*-acyloxy-*N*-alkoxyamides **21**.

However, in toluene at 90 °C, HERON reactions of **21** have been detected in competition with a homolytic decomposition pathway, and analysis of the complex reaction mixtures provides further support for the driving force behind the HERON process (Scheme 14) [85]. Homolysis of the N–OAc bond in **61** gave relatively long-lived alkoxyamidyl radicals **62**, which in solvent cage reactions with product radicals generated dioxazole **63**, or upon escaping the solvent cage dimerise to hydrazines leading to the expected thermal decomposition esters. The HERON reaction of **61** generates anhydrides **65** and alkoxy nitrenes **66**. Anhydrides, which in the case of symmetrical benzoic anhydride from **61a** and mixed benzoyl heptanoyl anhydride from **61b** were relatively stable, react further to give esters **68** and **69** with alcohols **67** generated in the reaction mixture, the source of which was the alkoxy nitrenes, the other HERON product. Critical evidence for the HERON process derived from products of alkoxy nitrenes **66**, which (1) could be trapped by oxygen; (2) dimerised to hyponitrites; or, (3) underwent characteristic rearrangements leading aldehydes, nitriles, and alcohols. Competition between the HERON and homolytic reaction pathways was evident in a comparison of products from **61c–e**. The polarity of these HERON transition states would require a build-up of positive charge on the donor alkoxy oxygen, n_{O} . This would be stabilised by electron-donor *para* substituents on the benzyloxy group, but destabilised by electron-withdrawing *para* substituents. In accord with this, **61c** and **61e** generated dioxazole and esters. Little dioxazole was formed from **61d** in which the methoxyl group would lower the energy of the HERON transition state, but would have little impact on the non-polar transition state for homolysis of the N–OAc bond (Figure 15).



Scheme 14. HERON and radical decomposition pathways for *N*-acyloxy-*N*-alkoxyamides in toluene at 90 °C.

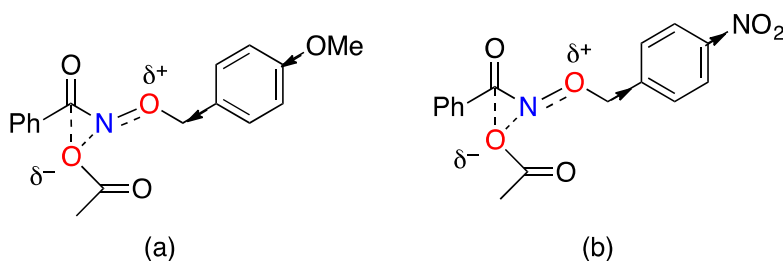


Figure 15. (a) Stabilisation of the HERON transition state for *N*-acyloxy-*N*-alkoxyamides by *para* methoxyl group and (b) destabilisation by a *para* nitro group.

3.2.7. HERON Reactions of *N,N*-Dialkoxyamides

Like *N*-acyloxy-*N*-alkoxyamides **2b**, *N,N*-dialkoxyamides **2c** possess low amidicity and they are thermally unstable, but require higher temperatures (typically 155 °C in mesitylene). However, their reaction proceeds exclusively by homolysis. Secondary products from alkoxyamides, which would be produced by the HERON pathway, were not observed for acyclic *N,N*-dialkoxyamides. Rather, they produce alkoxyamidyl radicals, which dimerise to *N,N'*-dialkoxy-*N,N'*-diacylhydrazines and ultimately esters. In addition, they can be trapped by hydrogen donors and solvent derived radicals [55].

On the other hand, room temperature HERON reactivity was found to occur exclusively in several alicyclic ONO systems [30]. Cyclic *N,N*-dialkoxyamides, *N*-butoxy-3(2*H*)-benzisoxazolone **73**, *N*-butoxyisoxazolidin-3-one **74**, and *N*-butoxytetrahydro-2*H*-1,2-oxazin-3-one **75**, can be synthesised by PIFA oxidation of the salicamide **70**, β⁻ and γ-hydroxyhydroxamic esters, **71** and **72**, respectively, by analogy with the synthesis of acyclic *N,N*-dialkoxyamides (Scheme 4) [55]. Only *N*-butoxy-3(2*H*)-benzisoxazolone **73** is stable; *N*-butoxyisoxazolidin-3-one **74** and *N*-butoxytetrahydro-2*H*-1,2-oxazin-3-one **75** both react at room temperature and the reactions can be monitored by ¹H NMR and mass spectrometry (Scheme 15). The γ-oxazinolactam undergoes quantitative ring opening to a diastereomeric mixture of the stable hyponitrite **76**, which must arise from dimerization of alkoxyamides **77**, a known reaction of alkoxyamides. The δ-oxazinolactam, on the other hand, undergoes a quantitative ring contraction to the γ-valerolactone **78** with production of butoxyamides **79**. Both are clearly HERON reactions [30].

The *E*_A for both HERON reactions must be radically lower than that for acyclic *N,N*-dialkoxyamides. Analysis of the B3LYP/6-31G(d) optimised ground state structures of *N*-methoxy-γ-oxazinolactam **81** (Figure 16b) and *N*-methoxy-δ-oxazinolactam **82** (Figure 16c) provide insight into this unusual difference

in reactivity. Firstly, the model γ -oxazinolactam is strongly pyramidal at nitrogen ($\chi_N = 64.6^\circ$) and significantly twisted ($\tau = -36^\circ$), with attendant loss of amide character. The N–C(O) bond is very long compared to *N,N*-dimethoxyacetamide **4b** (1.417 Å, Table 3). The COSNAR and TA resonance energies for **81** are -20 and -19 kJ mol $^{-1}$, respectively, translating to amidicities of only 26% and 25%. Torsion angles close to 90° indicate that the *endo* and *exo* oxygen lone pairs are ideally aligned for maximum $n_O-\sigma^*_{NO}$ stabilisation. Low amidicity and a strong stereoelectronic effect would favour either reaction but, clearly, ring opening would be more favourable than ring contraction, which would give a highly strained β -lactone.

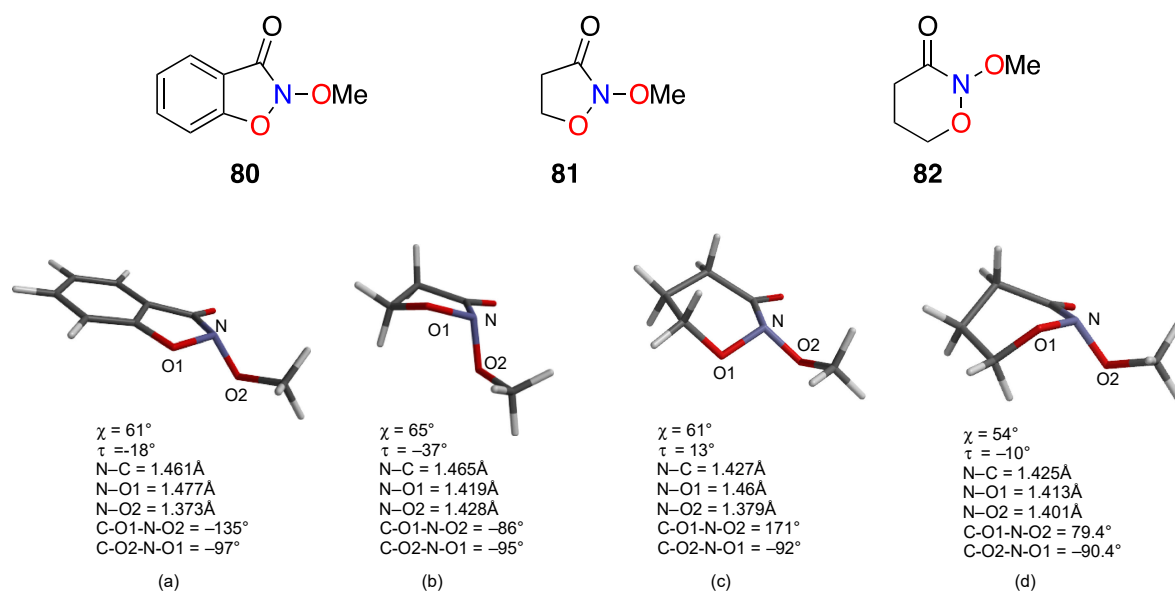
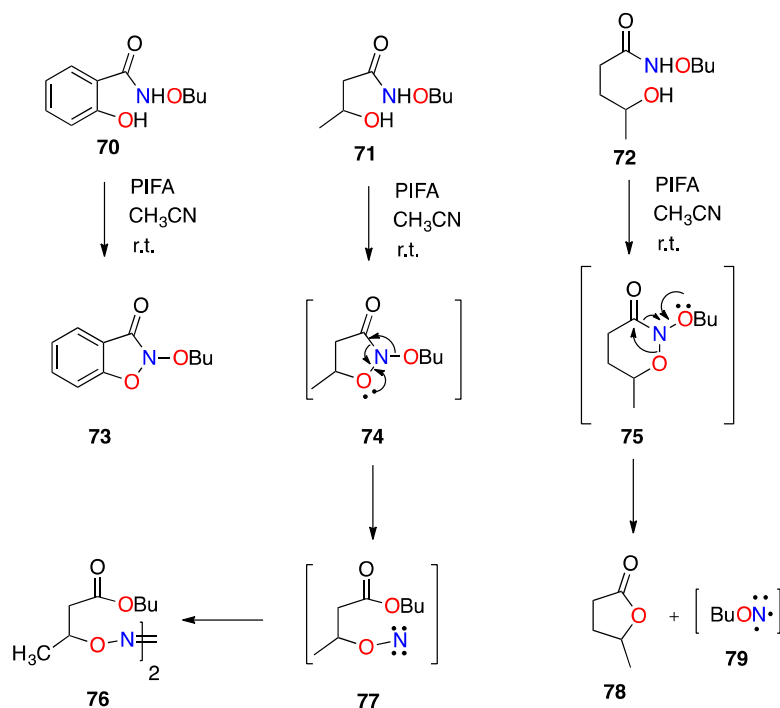


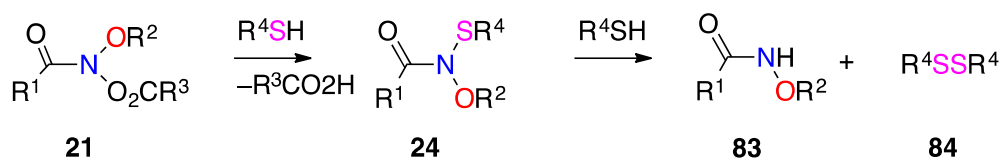
Figure 16. Ground state structures of models (a) *N*-methoxybenzisoxazolone **80**, (b) *N*-methoxy- γ -oxazinolactam **81**, and (c) chair and (d) boat *N*-methoxy- δ -oxazinolactam **82**.

The B3LYP/6-31G(d) structure of the model δ -oxazinolactam **82** ($\chi_N = 61^\circ$ and $\tau = 13^\circ$) matches the experimental ($^1\text{H NMR}$) chair conformation of **75** which has a chiral nitrogen. It is also more pyramidal at nitrogen and slightly more twisted than the alicyclic *N,N*-dimethoxyacetamide **4b** ($\chi_N = 48^\circ$ and $\tau = 9^\circ$, Figure 7c, Table 3), but its resonance and amidicity ($\text{RE}_{\text{COSNAR}} = -38 \text{ kJ mol}^{-1}$, amidicity 49%, and $\text{RE}_{\text{TA}} = -37 \text{ kJ mol}^{-1}$, amidicity 47%) is almost identical to the open chain form. However, only an $n_{\text{O}(\text{exo})}-\sigma^*_{\text{NO}(\text{endo})}$ anomeric alignment is evident; with a torsion angle of 171° , the $n_{\text{O}(\text{endo})}-\sigma^*_{\text{NO}(\text{exo})}$ interaction is completely switched off. The *endo* N–O bond is nearly 0.1 Å longer than the *exo* N–O bond (the *endo* bond is marginally shorter than the *exo* bond by 0.01 Å in γ -oxazinolactam and N–O bonds differ by 0.02 Å in *N,N*-dimethoxyacetamide). Ring opening and ring contraction are computed to have about the same E_A and ΔH^\ddagger at B3LYP/6-31G(d) [30]. It is evident that the ring contraction of the δ -oxazinolactam to γ -butyrolactone is largely driven by a strong, conformationally imposed anomeric effect, a remarkable impact of anomeric substitution at an amide nitrogen [30]. While the computed transition state for ring opening of model *N*-methoxy- δ -oxazinolactam is marginally lower in energy, the required $n_{\text{O}(\text{endo})}-\sigma^*_{\text{NO}(\text{exo})}$ is only accessible from the boat conformation of the δ -oxazinolactam (Figure 16d). Experimentally, accessing this conformation in **75** by nitrogen inversion could be energetically unfavourable, owing to steric hindrance between the axial 4-methyl and *N*-butoxy groups. However, even in the boat conformation, the strong $n_{\text{O}(\text{exo})}-\sigma^*_{\text{NO}(\text{endo})}$, which favours ring contraction is still evident.

The γ -lactam in benzisoxazolone is stable at room temperature, though model **80** has a moderately suitable anomeric alignment for migration of O2. However, the $n_{\text{O}(\text{endo})}-\sigma^*_{\text{NO}(\text{exo})}$ interaction is probably weakened by conjugation of the O_{endo} p-type lone pair onto the aromatic ring. Ring contraction driven by a favourable $n_{\text{O}(\text{exo})}-\sigma^*_{\text{NO}(\text{endo})}$ interaction would be disfavoured.

3.2.8. *N*-Alkoxy-*N*-Alkylthiylamides

The combination of sulphur and oxygen attachment to amide nitrogen in *N*-methoxy-*N*-methylthiylacetamide **15d** results in a similar reduction in amide resonance to that of *N*-methoxy-*N*-dimethylaminoacetamide **15c**, namely about 64% vs. 67% (Table 4). However, the reaction of *N*-acyloxy-*N*-alkoxyamides **21** with biological thiols, glutathione, and methyl and ethyl esters of cysteine, which resulted in $\text{S}_{\text{N}}2$ displacement of carboxylate, produced exclusively hydroxamic esters **83** and disulphides **84** [52] (Scheme 16). The driving force for HERON reactivity is not only reduced resonance, but the anomeric effect. Instead of HERON reactions, the intermediate *N*-alkoxy-*N*-alkylthiylamides **24** undergo an $\text{S}_{\text{N}}2$ reaction at sulphur by thiol. The distinction between reactivity modes for NNO and SNO systems lies in the $n_{\text{S}}-\sigma^*_{\text{NO}}$ anomeric interaction, which is much weaker than the $n_{\text{N}}-\sigma^*_{\text{NO}}$ of *N*-alkoxy-*N*-aminoamides.



Scheme 16. Reaction of *N*-acyloxy-*N*-alkoxyamides with alkylthiols.

3.3. Driving Force for the HERON Reaction

The most accessible transition states for HERON migration of methoxyl in a number of model anomeric amides, *N*-methoxy-*N*-dimethylaminoacetamide **15c**, *N,N*-dimethoxyacetamide **4b**, *N*-acetoxy-*N*-methoxyacetamide **15b**, and *O*-methyl-*N*-methoxy-*N*-dimethylaminocarbamate **16a**, ring opening of *N*-methoxy- γ -oxazinolactam **81**, and ring contracting of *N*-methoxy- δ -oxazinolactam **82**, each of which represents a class of neutral anomeric amides known to undergo HERON reactions, as well as for methoxyl migration in *N*-methoxy-*N*-methylthiylacetamide **15d**, *N*-methoxyacetohydroxamate **15f**, and 1-acetyl-1-methoxydiazene **15g**, have been derived at the

B3LYP/6-31G(d) level as part of several studies [30,61,62]. Transition state geometries of **4b**, **15b–d**, **f** and **g**, **16a**, **81**, and **82** are presented in Figure 17.

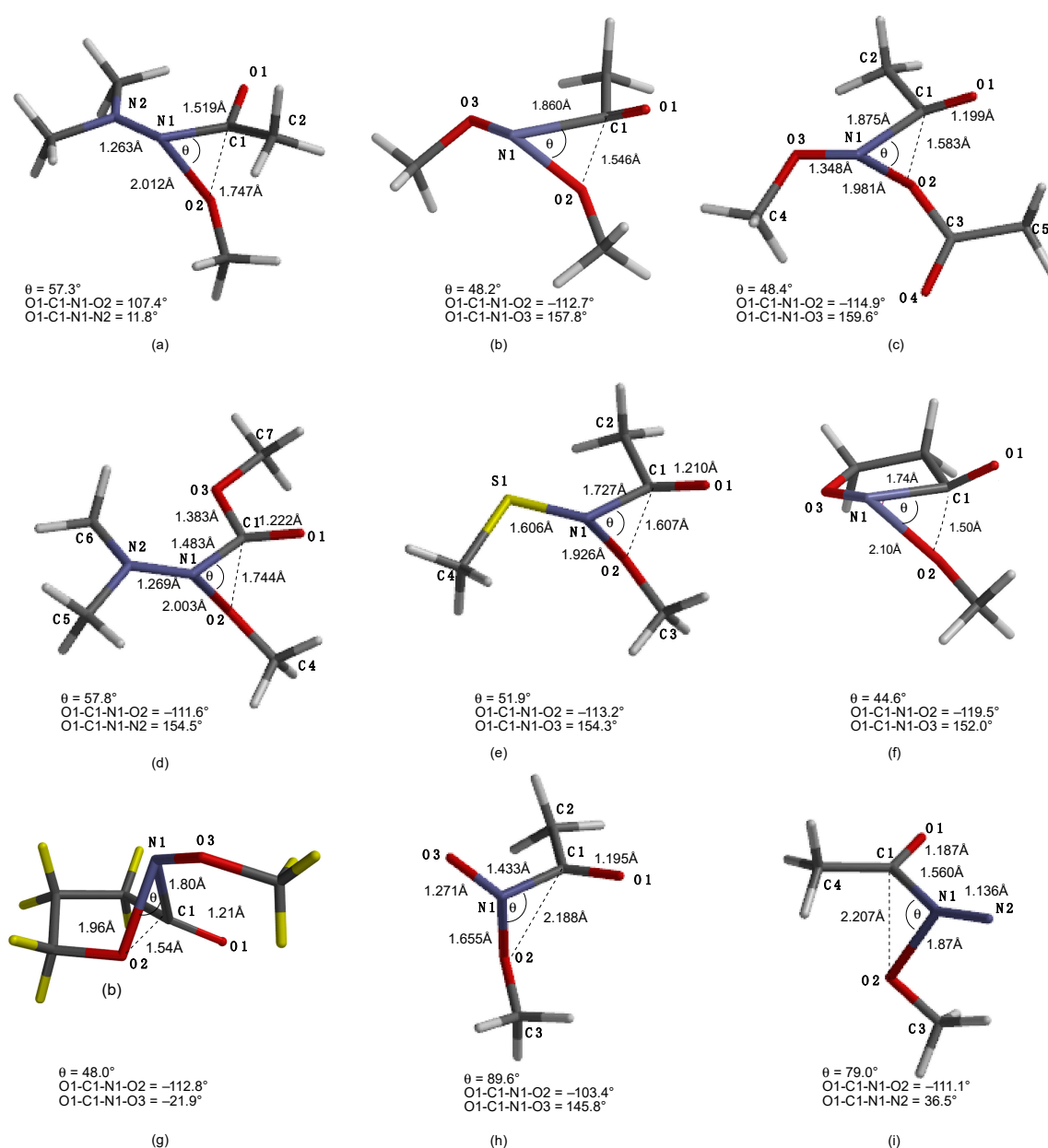
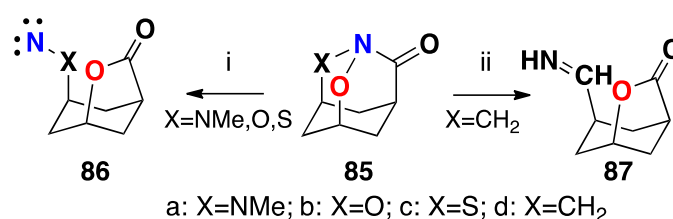


Figure 17. B3LYP/6-31G(d) optimised transition states of favoured HERON reactions of (a) *N*-methoxy-*N*-dimethylaminoacetamide **15c**, (b) *N,N*-dimethoxyacetamide **4b**, (c) *N*-acetoxy-*N*-methoxyamide **15b**, (d) *O*-methyl-*N*-methoxy-*N*-dimethylaminocarbamate **16a**, (e) *N*-methoxy-*N*-methylthiylacetamide **15d**, (f) *N*-methoxy- γ -oxazinolactam **81** (ring opening), (g) *N*-methoxy- δ -oxazinolactam **82** (ring contraction), (h) *N*-methoxyacetohydroxamate **15f** and (i) 1-acetyl-1-methoxydiazene **15g**.

In all the transition state complexes, the migrating oxygen does so in a plane largely orthogonal to the N1-C1-O1 plane and the donor atom n_Y , driving the migration, is largely in the N1-C1-O1 plane. As a consequence, the amide nitrogen lone pair lies close to the plane and amide resonance is largely lost in the transition state. The resonance energy, RE is therefore a component of the overall E_A , the balance being the energy required for the rearrangement under anomeric assistance, E_{rearr} , and must reflect the relative nature of the $n_X-\sigma^*_{\text{NO}}$ driving force. It is therefore possible to approximate

the influence of the anomeric substituents on the resonance interaction on the one hand, and on the migration process, on the other. Table 8 gives the E_A , RE_{TA} , and net E_{rearr} data for these transition states.

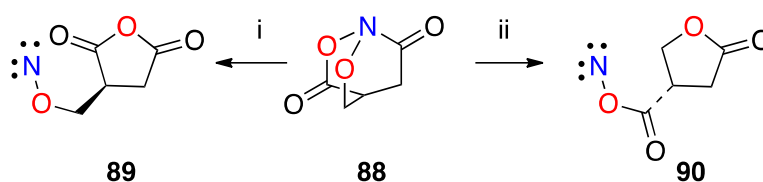


Scheme 17. HERON migrations of alkoxy in the absence of resonance.

Table 8. B3LYP/6-31G(d) activation energies (E_A), resonance energies (RE_{TA}), and rearrangement energies (E_{rearr}) for HERON reactions of model anomeric amides and tricyclic anomeric amides; all values in kJ mol^{-1} .

Migratory Mode	E_A	XNY ¹	RE_{TA} ²	E_{rearr}
MeO from <i>anti</i> 15c	95.0	ONN	−51.5	43.5
MeO from 4b	156.5	ONO	−35.9	120.5
MeO from <i>syn</i> 16a	92.4	ONN	−50.7	41.8
AcO from <i>syn</i> 15b	181.0	AcONO	−40.5	140.5
MeO from <i>syn</i> 15b	207.9	ONOAc	−40.5	167.4
AcO from <i>anti</i> 15b	181.5	AcONO	−40.5	141.0
MeO from <i>anti</i> 15b	182.8	ONOAc	−40.5	142.3
MeO from <i>anti</i> 15d	174.1	ONS	−48.6	125.4
MeO from 15f ³	48.0	ONO [−]	−32.4	15.6
MeO from 15g	8.8	ONNitrene	−14.8	−6.0
Ring opening 81	113.0	ONO	−19.2	93.8
Ring contraction 82	145.2	ONO	−36.7	108.4
Ring opening of 82	136.4	ONO	−36.7	99.7
Scheme 17 i X=NMe	59.0	ONN	0.0	59.0
Scheme 17 i X=O	146.9	ONO	0.0	146.9
Scheme 17 i X=S	152.7	ONS	0.0	152.7
Scheme 17 ii X=CH ₂	242.7	ONCH ₂	0.0	242.7
Scheme 18 i	133.5	AcONO	0.0	133.5
Scheme 18 ii	161.5	ONOAc	0.0	161.5

¹ n_Y donor to σ^*_{NX} ; ² Transamidation data (COSNAR data very similar); ³ HF/6-31G(d) values.



Scheme 18. HERON reactions of *N*-acyloxy-*N*-alkoxyamide in absence of resonance.

Methoxyl migration in the NNO systems **15c** and **16a** (E_A 's 95 and 92 kJ mol^{-1} respectively) have similar RE 's of around -50 kJ mol^{-1} , and therefore E_{rearr} of approximately 40 kJ mol^{-1} . The change in charge on the carbonyl carbon in the HERON transition state is negligible [76], so replacement of methyl by methoxyl has little bearing on the rearrangement energies. ONO in **4b** and ONOAc in **15b** migrations have much higher E_A 's despite the lower amide resonance energy. The large difference lies in the E_{rearr} which is nearly 100 kJ mol^{-1} less favourable and a reflection of the relative efficacy of the $n_N-\sigma^*_{NO}$ vs. the weaker $n_O-\sigma^*_{NO}$ anomeric interaction. The difference of about 80 kJ mol^{-1} between methoxy migration in the ONS **15d** and ONN acetamides **15c** lies, again, in the much weaker $n-\sigma^*_{NO}$ anomeric effect. This can be accounted for by size mismatch due the larger 3p orbitals of the sulphur. Both HERON reactions of the cyclic forms of *N,N*-dialkoxyamides **81** and **82** have

substantially lower overall E_A 's than *N,N*-dimethoxyacetamide **4b**. After RE has been taken into account, E_{rearr} values indicate that in the cyclic forms, reorganisation to the HERON transition state is easier. Better stereoelectronic control in the cyclic system accounts for this.

The RE of the 1,1-diazene **15g**, is computed to be about the same as the overall E_A for its HERON reaction. This is a very early transition state in keeping with the exothermicity of the process. E_{rearr} is essentially zero on account of the high energy electron-pair on the amino nitrene and the E_A is essentially equivalent to the RE that must be sacrificed. Likewise, the hydroxamate **15f** bears a very high energy electron pair on the anomeric donor oxygen. While the resonance energy is similar to that of *N,N*-dimethoxyacetamide, the E_{rearr} is small. This too is a very early transition state.

Overall, the decreasing order of E_{rearr} of XNY systems based on the deconvolution in Table 8, which can be regarded as the order of decreasing effectiveness of an $n_Y-\sigma^*_{NX}$ interaction and destabilisation of the N-OMe bond, is AcONO > ONS ~ONO > ONN > ONO⁻ > ONNitrene. The order of RE_{TA} is ONN > ONS > AcONO > ONO > ONO⁻ > ONNitrene and the overall activation energies decrease in the order AcONO > ONS > ONO > ONN > ONO⁻ > ONNitrene. Clearly, the dominant influence in HERON reactivity is the strength of the anomeric effect rather than the decrease in amidicity.

Rearrangement energies, E_{rearr} 's, obtained by the deconvolution method represent activation energies in the absence of resonance. Relative E_{rearr} 's can be compared to relative E_A 's for intramolecular rearrangement in fully twisted amides, heteroatom-substituted 1-aza-2-adamantanones **85** (Scheme 17) and 2-quinuclidone **88** (Scheme 18) and the E_A 's are also presented in Table 8. ΔE_A 's relative to migration of oxygen (Scheme 17i) in **85b**, for **85a**, and **85c** are -88 and 5.8 kJ mol⁻¹, respectively, which correlate well with the respective differences in E_{rearr} of the respective acetamides, namely -77 and 5 kJ mol⁻¹. Similarly, the difference in the ease of migration of alkoxy to form **90** and acyloxy to form **89** in **88** (Scheme 18i,ii) is 28 kJ mol⁻¹ and the difference between the corresponding E_{rearr} form the *syn* conformer of **15b** is 27 kJ mol⁻¹.

No transition state can be found for migration of alkoxy group in hydroxamic ester **3b**. However, **85d** can be rearranged to lactone **87** (Scheme 17ii) with concomitant rearrangement of the nitrene product to the imine. The difference between E_A for this process and the rearrangement of **85a** is 184 kJ mol⁻¹. If this translates to the difference in E_{rearr} between twisted *N*-methoxy-*N*-methylacetamide **3b** and *N*-methoxy-*N*-dimethylaminoacetamide **15c**, for which E_{rearr} is 43.5 kJ mol⁻¹, the rearrangement of **3** to methyl acetate and methyl nitrene would have an activation energy of about 233 kJ mol⁻¹ from twisted **3b** or, adding in the RE for **3b** of 62 kJ mol⁻¹, 295 kJ mol⁻¹ from conjugated **3**. It is clear that hydroxamic esters do not rearrange to esters and alkylnitrenes and the role of anomeric (bisheteroatom) substitution in the HERON reaction is vital.

4. Conclusions

In this review we have outlined the theoretical and structural properties and the reactivity of the class of anomeric amides. Much of the data from this, and several other groups, is relatively recent and, while several compilations on the subject have appeared in the literature, a focus on the perturbation of the amide structure is befitting this special edition. The fairly recent accrual of structural data from our laboratory and that of Shtamburg has provided experimental verification of the unusual properties of bisheteroatom-substituted amides. Coupled with extensive computational results, the effect of heteroatoms at nitrogen on amide resonance and conformation at the amide nitrogen can be better understood and predicted, in particular the role played by electronegativity of the bonded atoms and orbital interactions. Ensuing from the work is a clearer understanding of the energetic consequences of distortion of the amide linkage. It is clear that spectroscopic properties of various congeners are dictated by two principle concepts: the first is impaired resonance owing to change in hybridisation brought about by orbital interactions that have their foundation in Bent's, and more recently Alabugin's theories of orbital interactions. Of particular importance is the reassignment of 2s character to the amide nitrogen lone pair orbital, as consequence of both electronegativity and

orbital overlap considerations. Secondly, the participating atoms in this perturbation of regular amide bonding possess intrinsic orbital interactions by virtue of both their electronegativity and their lone pairs. The anomeric interaction brought about by $n_Y-\sigma^*_{NX}$ overlap is pronounced in anomeric amides. What is clear is that the electronegativity induces a shift to less resonance through pyramidalisation and lowering the energy of the amide nitrogen lone pair and the anomeric interaction is better served by this shift to sp^3 character. Stronger electronegativity serves to reduce resonance as well as to promote the anomeric interaction.

Regarding reactivity, it is abundantly clear that both resonance impairment and strength of the anomeric effect are definitive, but the anomeric effect dominates in promoting both S_N2 and elimination reactions at the amide nitrogen. Most significant is the anomeric driving force for the HERON reaction, notably in systems such as *N*-amino-*N*-alkoxyamides (NNO systems) where the energetics for anomeric weakening of the N–O bond are optimised, and in cyclic systems such as the *N*-alkoxy- γ - and δ -oxazinolactams where conformation and configuration appear to favour and enhance anomeric overlap. The HERON reaction is unique to this class of amides and has no equivalence in the literature. It operates in the opposite sense to the well-known Curtius, Hoffman, and Lossen rearrangements in which an acyl substituent migrates from the carbonyl to the nitrogen. Finally our studies of the HERON and those of Barton and coworkers, shed light on the well-known decomposition reactions of *N,N'*-dialkoxy-*N,N'*-diacylhydrazines. The HERON mechanism that operates in these decompositions and in those of the 1-acyl-1-alkoxydizenes is critical to the synthesis of highly hindered esters.

Conflicts of Interest: The authors declare no conflict of interest.

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