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OPEN Mutational spectrum and prognosis in NRAS-mutated acute myeloid leukemia

Shujuan Wang^{1,2}, Zhenzhen Wu^{1,2}, Tao Li¹, Yafei Li¹, Weiqiong Wang¹, Qianqian Hao¹, Xinsheng Xie¹, Dingming Wan¹, Zhongxing Jiang¹, Chong Wang^{1,2} & Yanfang Liu^{1,2⊠}

The mutational spectrum and prognostic factors of NRAS-mutated (NRAS^{mut}) acute myeloid leukemia (AML) are largely unknown. We performed next-generation sequencing (NGS) in 1,149 cases of de novo AML and discovered 152 NRAS^{mut} AML (13%). Of the 152 NRAS^{mut} AML, 89% had at least one companion mutated gene. DNA methylation-related genes confer up to 62% incidence. TET2 had the highest mutation frequency (51%), followed by ASXL1 (17%), NPM1 (14%), CEBPA (13%), DNMT3A (13%), FLT3-ITD (11%), KIT (11%), IDH2 (9%), RUNX1 (8%), U2AF1 (7%) and SF3B1(5%). Multivariate analysis suggested that age ≥ 60 years and mutations in U2AF1 were independent factors related to failure to achieve complete remission after induction therapy. Age ≥ 60 years, non-M3 types and U2AF1 mutations were independent prognostic factors for poor overall survival. Age ≥ 60 years, non-M3 types and higher risk group were independent prognostic factors for poor event-free survival (EFS) while allogenic hematopoietic stem cell transplantation was an independent prognostic factor for good EFS. Our study provided new insights into the mutational spectrum and prognostic factors of NRAS^{mut} AML.

Over the last two decades, our understanding of the molecular heterogeneity of acute myeloid leukemia (AML) has made significant advances through genomic discovery studies utilizing microarray and next-generation sequencing (NGS)- based "-omics" technologies¹. RAS oncogenes play important roles in diverse cellular events such as cell cycle, cell differentiation and survival2. RAS malfunction is strongly related to tumorigenesis and thus regarded as an important therapeutic target³. Mutations in the RAS genes (including KRAS, NRAS and HRAS) are discovered in 30% of all tumors⁴. KRAS is the most frequently mutated gene in cancers found in pancreatic (90%), colon (45%) and lung (35%), while NRAS mutations are more common in AML $(10\%)^{4.5}$.

Until now, the prognostic value of NRAS mutations in AML remains inconclusive. Recently, an integrated meta-analysis revealed that NRAS mutations did not influence the overall survival for adults with AML6. However, most of these reports evaluated NRAS in a binary fashion. The significance of variant allele frequency (VAF) of NRAS mutation at diagnosis, and the effect of companion gene mutations (co-mutations) in NRAS-mutated (NRASmut) AML are warranted for extensive evaluation. In this study, we examined patient outcomes in a series of NRAS^{mut} de novo AML cases in terms of co-mutations and the NRAS VAF at diagnosis.

Subjects and methods

Patients. NGS was performed in 1,149 cases of de novo AML at the First Affiliated Hospital of Zhengzhou University between June 2016 and September 2019. One hundred and fifty-two cases with NRASmut AML were screened out and enrolled in the study. The diagnosis and classification of AML were based on the WHO 2016 edition of classification of myeloid neoplasms and acute leukemia⁷. Patients were divided into good, intermediate and poor risk group according to "Chinese Guidelines for Diagnosis and Treatment of Adult Acute Myeloid Leukemia (Not APL) (2017)"8.9. The study was approved by the Ethics Committee of the First Affiliated Hospital of Zhengzhou University. Informed consent was obtained from all patients or their statutory guardian following the Declaration of Helsinki.

¹Department of Hematology, The First Affiliated Hospital of Zhengzhou University, No. 1 Jianshe East Road, Ergi District, Zhengzhou 450052, China. ²These authors contributed equally: Shujuan Wang, Zhenzhen Wu, Chong Wang and Yanfang Liu. [™]email: fccliuyf1@zzu.edu.cn

Treatment protocols. For M3 patients, all-trans retinoic acid and arsenic trioxide-based chemotherapy was given for the induction and consolidation therapy. Non-M3 patients received induction chemotherapy regimens include DA, IA, and MA regimens: a standard dose of cytarabine (Ara-C; 100 mg/m²/day for 7 days) combined with daunorubicin (45 mg/m²/day for 3 days) or idarubicin (10 mg/m²/day for 3 days) or mitoxantrone (10 mg/m²/day for 3 days). After remission, young patients were consolidated with cytarabine (2–3 g/m², once every 12 h for 3 days) based chemotherapy. For elderly patients, the chemotherapy consolidation was decided by the physicians in an individualized manner. A total of 24 patients underwent allogenic hematopoietic stem cell transplantation (allo-HSCT). Therapy recommendation was based on risk stratification and the results of minimal residual disease testing after 1–2 cycles of consolidation chemotherapy. The real treatment selection was based on both the physician's recommendation and the patient's preference. The last follow-up for surviving patients was conducted in December 2019.

Cytogenetics and fusion genes analysis. Cytogenetic analyses were performed by G-banding analysis according to the International System for Human Cytogenetic Nomenclature. Forty-three fusion genes including MLL-(AF4, AF6, AF9, AF10, AF17, AF1q, AF1p, AFX, ELL, SEPT6, ENL), NUP98-(HoxA9, HoxC11, HoxA11, HoxD13, HoxA13, PMX1), (NPM, FIP1L1, PML, PRKAR1A, STAT5b, NUMA1, PLZF)-RARα, (ETV6, FIP1L1)-PDGFRA, AML1-(ETO, MTG16, MDS1/EV11), TEL-(JAK2, AML1, ABL), NPM-(ALK, MLF), (DEK, SET)-CAN, SIL-TAL1, E2A-HLF, TEL-PDGFRB, TLS-ERG, CBFβ-MYH11, E2A-PBX1 and BCR-ABL were detected with real-time PCR (RT-PCR) using Multiplex RT-PCR Fusion Gene Kits (Rightongene, Shanghai, China).

Next generation sequencing. We sequenced the mutational hotpots or whole coding regions of 22 genes (including *FLT3*, *NPM1*, *KIT*, *CEBPA*, *DNMT3A*, *IDH1*, *IDH2*, *TET2*, *EZH2*, RUNX1, *ASXL1*, *PHF6*, *TP53*, *SF3B1*, *SRSF2*, *U2AF1*, *ZRSR2*, *NRAS*, *CBL*, *SETBP1*, *ETV6*, and *JAK2*) with the standard NGS technology. The detection was based on a Illumina MiSeq System (Illumina, San Diego, CA) high-throughput sequencing platform by using a Rightongene AML/MDS/MPN Sequencing Panel (Rightongene, Shanghai, China). Details of the variant calling, filtering, and annotation are described in our recently published reports¹⁰.

Statistical analysis. Analyses were performed using SPSS software version 20.0 (Chicago, IL, USA) and Graphpad Prism[™] 5.01 (San Diego, California, USA). Differences across groups were compared using the *Pearson Chi-square analysis* or *Fisher exact test* for categorical variables, and *Mann–Whitney U* test for continuous variables. Overall survival (OS) is defined as the time from diagnosis to death or the time of the last follow-up. Event-free survival (EFS) is defined as the time from diagnosis to relapse, death, or the time of the last follow-up. Survival analysis was estimated by *Kaplan–Meier* method and compared by the *log-rank* test. Multivariable analysis including variables with P < 0.10 in univariate analysis were performed for complete remission (CR), OS and EFS. P < 0.05 was considered to indicate statistical significance.

Results

Clinical and biological characteristics of NRAS^{mut} AML. In the total cohort, NRAS mutations were found in 13% (152 of 1,149) cases. As shown in Table 1, median age was 44 (range 14–78) years, with 25 cases (16%) older than 60 years. Half of the cases were men. Twelve cases (8%) were M3 and 140 cases were non-M3 AML. The median white blood cell (WBC) count at diagnosis was 31×10^9 /L, and in 27 cases (18%) it was $\geq 100 \times 10^9$ /L. Forty-five cases (30%) had a bone marrow blast percentage of more than 80%. Forty-one cases (27%) was good-risk AML, 64 (42%) was intermediate-risk AML and 47 (31%) was poor-risk AML. Twenty-four cases (16%) received allogenic hematopoietic stem cell transplantation (allo-HSCT). Thirty-six cases failed to achieve CR after induction therapy and 61 cases died at the end of follow up. Thirty-five cases (16%) had more than two other recurrent genetic mutations. Forty-three fusion genes were detected in 135 cases and 16 cases were AML1-ETO positive; 15 cases were MYH11-CBF β positive and 7 cases were MLL positive.

Most *NRAS* mutations (88 of 152; 57.9%) were found at codon 12. Mutations at codon 13 were found in 54 (35.5%) of 152 cases. The most frequent changes were from glycine to asparagine (codon 12: G12D, 59 of 152, 38.8%; codon 13: G13D, 44 of 152, 28.9%; Fig. 1). Mutations at codon 61 were detected in 31 (20.4%) of 152 cases, mostly from glycine to arginine (Q61R, 14 of 152, 9.2%; Fig. 1). It is worth noting that NRAS mutations at codons 146 which was a noncanonical N-RAS mutation were detected in one case. *NRAS* mutation types were divided into G12/13, Q61 and mix (G12/13 and Q61), with G12/13 accounting for 79% of the cases (Table 1). The median VAF of *NRAS* was 15% (range 1–59%).

Companion gene mutations in NRAS^{mut} AML. One hundred and thirty-five cases (89%) had at least one co-mutation besides NRAS. Fifty-four cases had one co-mutation, 46 cases with 2, 22 cases with 3, 10 cases with 4 and 3 cases with 5. As shown in Fig. 2, TET2 had the highest mutation frequency (51%), followed by ASXL1 (17%), NPM1 (14%), CEBPA (13%), DNMT3A (13%), FLT3-ITD (11%), KIT (11%), IDH2 (9%), RUNX1 (8%), U2AF1 (7%) and SF3B1(5%). Other mutated genes (including CBL, IDH2, EZH2, ETV6, SETBP1, FLT3-TKD, SRSF2, TP53, PHF6) are less than 5% in NRAS^{mut} AML; JAK2 and ZRSR2 mutations are absent in NRAS^{mut} AML. These gene mutations are further classified into functional groups as previously described: RAS pathway (100%)-NRAS and CBL; DNA methylation (62%)—TET2, DNMT3A and IDH1/2; chromatin modifying (18%)—ASXL1, EZH2; transcription factors (22%)-CEBPA, RUNX1, ETV6 and SETBP1; Tyrosine kinase (7%)—FLT3-ITD/TKD, KIT and JAK2; Spliceosome complex (12%)- U2AF1, SF3B1, SRSF2 and ZRSR2; Tumor suppressor (2%)-TP53 and PHF6; NPM1 gene (14%)-NPM1.

Characteristics	Median (interquartile range) or N (%)			
Gender (male)	76 (50%)			
Age (years)	44 (30–55)			
Age≥60 years	25 (16%)			
M3	12 (8%)			
NRAS type				
G12/G13	120 (79%)			
Q61	26 (17%)			
Mix	5 (3%)			
NRAS VAF (%)	15 (6–33)			
NRAS VAF (≥15%)	76(50%)			
WBC counts (×10 ⁹ /L)	31 (9–75)			
WBC counts (≥ 100×10 ⁹ /L)	27 (18%)			
HGB counts (g/L)	75 (63–89)			
HGB counts (≥110 g/L)	15 (10%)			
PLT counts (×10 ⁹ /L)	31 (15–71)			
PLT counts ($\geq 100 \times 10^9/L$)	20 (13%)			
BM blasts (%)	59 (36–85)			
BM blasts (≥80%)	45 (30%)			
Fusion genes (N=135)				
AML1-ETO	16 (12%)			
МҮН11-СВFβ	15 (11%)			
MLL	7 (5)			
BCR-ABL	2(1)			
SET-CAN	1 (1)			
NUP98	1 (1)			
DEK-CAN	1 (1)			
AML-EVI1	1 (1)			
AML-MTG1	1 (1)			
Risk group				
Good	41 (27%)			
Intermediate	64 (42%)			
Poor	47 (31%)			
Number of co-mutations (≥3)	35 (23%)			
Allo-HSCT	24 (16%)			
Non-CR	36 (24%)			
Death	61 (40%)			

Table 1. Clinical and molecular characteristics of *NRAS*^{mut} AML. *VAF* Variant allele frequency, *WBC* white blood cell, *HGB* hemoglobin, *PLT* platelets, *BM* bone marrow, *allo-HSCT* allogenic hematopoietic stem cell transplantation, *CR* complete remission.

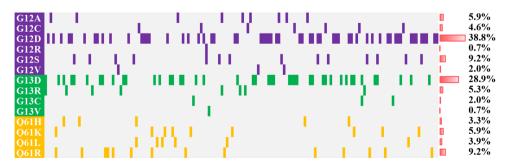


Figure 1. *NRAS* mutations at codon 12, 13 and 61 of 152 de novo AML patients. Distribution and frequencies are given for *NRAS* mutations at codon 12, 13 and 61. The boxes in one column represent single patient cases. Mutations were color coded by mutation type. The histogram on the right showed the frequency distribution of all aberrations.



Figure 2. Mutational landscape of 152 *NRAS*^{mut} AML patients. The landscape showed all genetic aberrations for each patient. The boxes in one column represent single patient cases. Mutations were color coded by mutation type. The histogram on the right showed the frequency distribution of all aberrations.

Response to induction therapy. One hundred and sixteen cases achieved CR after 1–3 cycles of induction therapy while 36 cases didn't achieve CR. We validated the prognostic value of clinical variables and other genetic mutations in response to induction therapy. Comparison analysis was conducted considering variables such as gender (female *vs.* male), age (≥60 *vs.* <60 years), AML types (Non-M3 *vs.* M3), *NRAS* type (mix *vs.* Q61 vs. G12/G13), *NRAS* VAF (≥15% *vs.* <15%), WBC counts (≥100 *vs.* <100 ×10⁹/L), HGB counts (≥110 *vs.* <110 g/L), PLT counts (≥100 *vs.* <100 ×10⁹/L), bone marrow blasts (≥80% *vs.* <80%), peripheral blood blasts (≥20% *vs.* <20%), number of co-mutations (≥3 *vs.* <3), allo-HSCT (yes *vs.* no), risk group (high *vs.* inter *vs.* low -risk), *ETO* (positive *vs.* negative), *MYH11-CBFβ* (positive *vs.* negative), and the mutation status of other common AML co-mutations. In univariate analysis, it was shown that age ≥60 years, higher risk group, *U2AF1* mutations and *SF3B1* mutations were associated with lower CR rate (Table 2). While other factors were not associated with the induction outcome of the *NRAS*^{mut} AML patients (Table 2). In multivariate analysis, it was shown that age ≥60 years and *U2AF1* mutations were independent prognostic factors for response to induction therapy (Table 2).

Comparison of OS and EFS between different clinical and molecular characteristic groups. Comparison analysis of EFS and OS was conducted considering variables such as different gender (female vs. male), age (≥60 *vs.* <60 years), AML types (Non-M3 *vs.* M3), *NRAS* type (mix *vs.* Q61 *vs.* G12/G13), *NRAS* VAF (≥15% *vs.* <15%), WBC counts (≥100 *vs.* <100 × 109/L), HGB counts (≥110 *vs.* <110 g/L), PLT counts (≥100 *vs.* <100 × 109/L), bone marrow blasts (≥80% *vs.* <80%), peripheral blood blasts (≥20% *vs.* <20%), number of co-mutations (≥3 *vs.* <3), allo-HSCT (yes *vs.*no), risk group (high *vs.* inter *vs.* low -risk), ETO (positive *vs.* negative), MYH11-CBFβ (positive *vs.* negative), and the mutation status of other common AML co-mutations. The median follow-up time was 294 (5–1,219) days. As shown in Table 3, older cases (age ≥60 years) had shorter OS and EFS (P=0.000, P=0.000, respectively; Fig. 3a). M3 cases had longer OS and EFS (P=0.030, P=0.008, respectively). Higher risk group was associated worse OS and EFS (P=0.001, respectively; Fig. 3b). Cases who accepted allo-HSCT had longer OS and EFS (P=0.016, P=0.001, respectively; Fig. 3c). Presence of U2AF1 was associated with shorter OS and EFS (P=0.000, P=0.000, respectively). Number of co-mutations ≥3 and presence of IDH2 was associated with shorter EFS (P=0.025, P=0.043, respectively). However, both NRAS type and NRAS VAF had no effect on EFS and OS.

Evaluation of possible prognostic factors. Multivariate analysis of factors related to OS included age $(\ge 60 \text{ } vs. < 60 \text{ } years)$, AML types (non-M3 vs. M3), risk group (high vs. inter vs. low-risk), the time-dependent variable for allo-HSCT (yes vs.no), ASXL1 (mutated vs. wild type), RUNX1 (mutated vs. wild type) and SF3B1 (mutated vs. wild type). As shown in Table 4, independent prognostic factors for poor OS included age ≥ 60 years, non-M3 types and U2AF1 mutations.

Multivariate analysis of factors related to EFS included age ($\ge 60 \text{ }vs. < 60 \text{ }years)$, AML types (Non-M3 vs. M3), risk group (high vs. inter vs. low-risk), the time-dependent variable for allo-HSCT (yes vs.no), number of comutations ($\ge 3 \text{ }vs. < 3$), IDH2 (mutated vs. wild type), RUNX1 (mutated vs. wild type) and U2AF1 (mutated vs. wild type). As shown in Table 4, age ≥ 60 years, non-M3 types and higher risk group were independent prognostic factors for poor EFS while allo-HSCT was an independent prognostic factor for good EFS.

Discussion

High frequencies of NRAS mutations had been seen in AML patients¹¹, indicating its important function in the pathogenesis and progression of AML. Although the prognostic value of NRAS mutations in AML patients remains inconclusive^{6,12}, several large cohort studies indicated that NRAS mutations in AML did not influence the prognosis of patients^{11,13,14}. A recently published meta-analysis also suggested that NRAS oncogene mutations were not correlated with the prognosis of patients with AML⁶. However, given the prevalence of NRAS mutations

	Univariate analysis		Multivariate analysis	
Variables	OR (95% CI)	P value	OR (95% CI)	P value
Gender (female)	1.80 (0.84-3.87)	0.130		
Age≥60 years	0.08 (0.03-0.22)	0.000	0.06 (0.02-0.20)	0.000
Non-M3	0.27 (0.03-2.19)	0.221		
	0.54 (0.27-1.07)	0.078	0.46 (0.18-1.17)	0.105
NRAS VAF≥15%	0.75 (0.35-1.58)	0.446		
WBC \geq 100 \times 10 9 /L	2.87 (0.81-10.16)	0.102		
HGB≥110 g/L	1.27 (0.34-4.77)	0.724		
$PLT \ge 100 \times 10^9/L$	0.69 (0.24-1.94)	0.478		
BM blasts≥80%	1.64 (0.68-3.94)	0.270		
ETO	2.36 (0.51-10.99)	0.274		
МҮН11-СВFβ	1.28 (0.34-4.83)	0.721		
Risk group		0.000		0.052
Good vs. poor	5.82 (1.94-17.44)	0.002	1.53 (0.36-6.55)	0.568
Inter vs. poor	4.36 (1.78-10.58)	0.001	4.89 (1.33-17.97)	0.017
Co-mutations≥3	0.87 (0.36-2.07)	0.748		
TET2	0.69 (0.32-1.47)	0.336		
ASXL1	0.42 (0.17-1.02)	0.056	1.50 (0.36-6.29)	0.577
NPM1	7.74 (1.00-59.69)	0.050	4.20 (0.37-47.21)	0.245
FLT3-ITD	0.72 (0.23-2.19)	0.557		
CEBPA	6.86 (0.89-53.13)	0.065	10.05 (0.56-181.60)	0.118
DNMT3A	3.12 (0.69-14.16)	0.140		
KIT	5.20 (0.66-40.80)	0.117		
IDH2	0.76 (0.22-2.57)	0.653		
RUNX1	0.93 (0.24-3.62)	0.911		
U2AF1	0.03 (0.00-0.22)	0.001	0.03 (0.00-0.30)	0.004
SF3B1	0.11 (0.02-0.59)	0.010	0.28 (0.03-2.72)	0.271

Table 2. Univariate analysis and multivariate analysis of response to induction therapy in NRAS^{mut} AML. *OR* Odds ratio, VAF variant allele frequency, WBC white blood cell, HGB hemoglobin, PLT platelets, BM bone marrow.

in AML, there is urgently need to explore the clinical characteristics, companion gene mutations and possible prognostic factors of *NRAS*^{mut} AML patients to provide evidence for clinical stratified diagnosis and treatment.

Our data showed that *NRAS* mutations were found in 13% of cases, which is consistent with findings in other literature that showed a range of 9.7% to 13.9% *NRAS* mutations^{11,14-16}. The median age of *NRAS*^{mut} AML cases was 44 years and the median WBC counts was 31 × 10⁹/L, which was consistent with a large cohort study in China in 2013¹⁴. In our study, most *NRAS* mutations were found at codon 12 and the most frequent change were from glycine to asparagine, which was supported by previous reports^{11,17}. Interestingly, we found that some samples have two NRAS mutations such as Q61K + Q61R, which have been confirmed by Sanger sequencing. This situation is very rare, it is not ruled out that two mutations have occurred in the same gene, but it may also be caused by mutations in the same allele. Nearly 90% of the cases had at least one companion gene mutation, which suggests that the molecular mechanism of patients with *NRAS*^{mut} AML is complicated, and multiple molecular interactions may exist. However, previous studies often focused on comparing the difference between *NRAS*^{mut} and *NRAS* wild-type patients^{11,14}, with little attention on the molecular mutation spectrum. We observed that mutations of DNA methylation-related genes occurred in 62% *NRAS*^{mut} AML, the most common of which is *TET2*. This indicated that DNA methylation may play an important role in the pathogenesis in *NRAS*^{mut} AML and provided a basis for demethylation treatment of *NRAS*^{mut} AML patients.

AML in older patients generally had poorer prognosis due to poorer baseline performance status, and comorbidities 18 . In our cohort of $NRAS^{\rm mut}$ AML, age ≥ 60 years also had a negative impact on both response to induction therapy and survival. Allogeneic HSCT which was usually considered the cure for AML, also showed survival benefit in our study. Traditional risk stratification schemes based on genetics and molecular biology were still applicable in patients with $NRAS^{\rm mut}$ AML and could well predict patients' prognosis. Mutation Gene VAF of FLT3-ITD or NPM1 was reported to be significantly correlated with prognosis of AML 19,20 . However, we found that NRAS VAF had no correlation with either response to induction therapy or survival. FLT3-ITD was

	os		EFS	
Variables	χ2	P value	χ2	P value
Gender (female vs. male)	0.000	0.985	0.020	0.888
Age (≥60 vs. <60 years)	36.959	0.000	30.844	0.000
AML types (non-M3 vs. M3)	4.709	0.030	6.949	0.008
NRAS type (mix vs. Q61 vs. G12/G13)	2.133	0.348	2.049	0.359
NRAS VAF (≥15% vs. <15%)	0.071	0.790	0.000	0.991
WBC counts ($\ge 100 \text{ vs.} < 100 \times 10^9/\text{L}$)	0.343	0.558	1.558	0.212
HGB counts (≥110 vs. <110 g/L)	0.148	0.700	0.032	0.857
PLT counts (≥ 100 vs. < 100 × 10 ⁹ /L)	1.197	0.274	1.992	0.158
BM blasts (≥80% vs.<80%)	0.567	0.451	0.683	0.408
PB blasts (≥ 20% vs. < 20%)	0.872	0.350	0.430	0.512
Risk group (high vs. inter vs. low -risk)	12.549	0.002	10.029	0.007
Allo-HSCT (yes vs.no)	5.777	0.016	10.808	0.001
ETO (positive vs. negative)	1.753	0.185	1.337	0.248
MYH11- $CBFβ$ (positive vs. negative)	0.300	0.584	0.352	0.553
Number of co-mutations (≥ 3 vs. < 3)	2.433	0.118	5.055	0.025
TET2 (mutated vs. wild type)	0.325	0.569	0.593	0.441
ASXL1(mutated vs. wild type)	3.625	0.057	1.048	0.306
NPM1 (mutated vs. wild type)	1.009	0.315	0.280	0.596
CEBPA (mutated vs. wild type)	1.982	0.159	0.187	0.666
DNMT3A (mutated vs. wild type)	0.233	0.629	0.022	0.881
FLT3-ITD (positive vs. negative)	0.220	0.639	1.142	0.285
KIT (mutated vs. wild type)	1.804	0.179	0.631	0.427
IDH2 (mutated vs. wild type)	0.090	0.764	4.095	0.043
RUNX1 (mutated vs. wild type)	6.075	0.014	3.407	0.065
U2AF1 (mutated vs. wild type)	18.556	0.000	15.464	0.000
SF3B1 (mutated vs. wild type)	4.578	0.032	2.511	0.113

Table 3. Comparison of EFS and OS between different clinical and molecular characteristic groups in NRAS^{mut} AML. *VAF* Variant allele frequency, *WBC* white blood cell, *HGB* hemoglobin, *PLT* platelets, *BM* bone marrow, *PB* peripheral blood, *allo-HSCT* allogenic hematopoietic stem cell transplantation.

associated with increased risk of relapse while NPM1, AML1-ETO, MYH11- $CBF\beta$ were good prognostic factors¹⁸. In our study, however, recurrent genetic mutations including FLT3-ITD, NPM1, DNMT3A, TET2 and KIT and fusion genes including AML1-ETO and MYH11- $CBF\beta$ were not associated with survival. The discrepancy may be related to possible interplay of mutated genes.

U2AF1 belongs to mutations in splicing factor (SF) genes. Mutations in U2AF1 is a poor prognostic indicator in myelodysplastic syndrome²¹. Recently many studies proved that mutations in U2AF1 predict poor prognosis in patients with de novo AML^{22-25} . Our study showed that U2AF1 was also an independent poor prognostic factor for survival in $NRAS^{mut}$ AML patients. In a large study of 664 AML patients conducted by the German AML Cooperative Group, mutations in U2AF1 were one of the independent risk factors for achievement of $CR1^{26}$. Similar to this result, in our study, 90% U2AF1-mutated AML patients failed to achieve complete remission.

The limitations to our study need to be acknowledged. First, our study was retrospective and susceptible to selection biases. Second, some gene mutations may not be detected due to the limitation of technique. Prognostic effects of some important gene mutations may be ignored. Third, whether these findings are restricted to *NRAS*^{mut} AML need to be further explored by parallel comparison with non *NRAS*^{mut} AML. Fourth, the small sample sizes of some subgroups resulted in relatively low statistical power and the univariate analyses were not adjusted for multiple comparisons which may result in false positive results, especially in small subgroups. Because of these limitations, our conclusion needs validation in a larger and prospective cohort.

In conclusion, our study provided new insights into the mutational spectrum and prognostic factors of NRASmut AML. These individuals companied with U2AF1 mutations experienced poor responses to chemotherapy and
the mechanisms need to further evaluate. More detailed mutational spectrum information and large prospective
studies are needed in the future for better prognostication of NRAS^{mut} AML.

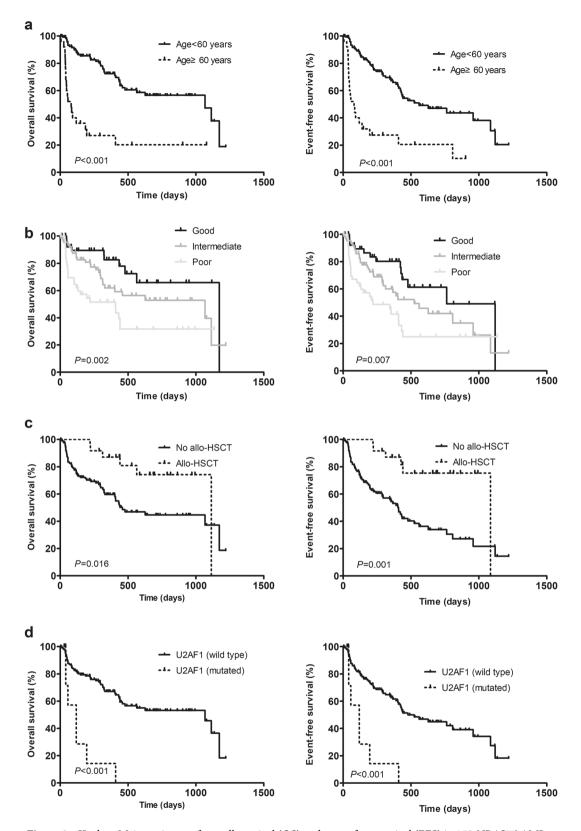


Figure 3. Kaplan–Meier estimate of overall survival (OS) and event-free survival (EFS) in 152 *NRAS*^{mut} AML. OS and EFS were compared in (**a**) patients older than 60 years and patients younger than 60 years (**b**) different risk groups (**c**) patients who accepted allo-HSCT or not (d) patients with *U2AF1* mutations or not.

	os		EFS	
Variables	HR (95% CI)	P value	HR (95% CI)	P value
Age≥60 years	2.85 (1.53-5.33)	0.001	1.99 (1.09-3.62)	0.025
Non-M3	7.94 (1.06–59.65)	0.044	11.22 (1.50-84.11)	0.019
Risk group		0.055		0.037
Good vs. poor	0.40 (0.18-0.90)	0.026	0.38 (0.18-0.81)	0.012
Inter vs. poor	0.57 (0.31-1.04)	0.065	0.61(0.35-1.08)	0.088
Allo-HSCT*	0.89 (0.76-1.04)	0.134	0.81 (0.70-0.95)	0.009
Co-mutations≥3			1.22 (0.66-2.24)	0.529
ASXL1	1.24 (0.61-2.50)	0.554		
IDH2			1.37 (0.65-2.89)	0.411
RUNX1	1.48 (0.59-3.76)	0.405	0.95 (0.36-2.46)	0.911
U2AF1	2.49 (1.05-5.89)	0.038	2.11 (0.90-4.98)	0.088
SF3B1	0.55 (0.17-1.73)	0.303		

Table 4. Multivariate analysis for OS and EFS in NRAS^{mut} AML. HR Hazard ratio, allo-HSCT allogenic hematopoietic stem cell transplantation, allo- $HSCT^*$ the time-dependent variable for allo-HSCT (allo- $HSCT \times LN(T_{-})$).

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Author contributions

Y.F.L. and C.W. designed the project and prepared the typescript. S.J.W. and Z.Z.W. collected the data and performed statistical analyses. T.L. and Y.F.L. performed N.G.S. W.Q.W., Q.Q.H., X.S.X., D.M.W. and Z.X.J. provided clinical data. All authors reviewed the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

Correspondence and requests for materials should be addressed to Y.L.

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