

## Review Article

# Epidemiology of spinal metastases, metastatic epidural spinal cord compression and pathologic vertebral compression fractures in patients with solid tumors: A systematic review

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## ABSTRACT

**Introduction:** Spinal metastases (SM) are a frequent complication of cancer and may lead to pathologic vertebral compression fractures (pVCF) and/or metastatic epidural spinal cord compression (MESCC). Based on autopsy studies, it is estimated that about one third of all cancer patients will develop SM. These data may not provide a correct estimation of the incidence in clinical practice.

**Objective:** This systematic review (SR) aims to provide a more accurate estimation of the incidence of SM, MESCC and pVCF in a clinical setting.

**Methods:** We performed a SR of papers regarding epidemiology of SM, pVCF, and MESCC in patients with solid tumors conform PRISMA guidelines. A search was conducted in the PubMed and Web of Science database using the terms epidemiology, prevalence, incidence, global burden of disease, cost of disease, spinal metastas<sup>\*</sup>, metastatic epidural spinal cord compression, pathologic fracture, vertebral compression fracture, vertebral metastas<sup>\*</sup> and spinal neoplasms. Papers published between 1975 and august 2021 were included. Quality was evaluated by the STROBE criteria.

**Results:** While 56 studies were included, none of them reports the actual definition used for MESCC and pVCF, inevitably introducing heterogeneity. The overall cumulative incidence of SM and MESCC is 15.67% and 2.84% respectively in patients with a solid tumor. We calculated a mean cumulative incidence in patients with SM of 9.56% (95% CI 5.70%-13.42%) for MESCC and 12.63% (95% CI 7.00%-18.25%) for pVCF. Studies show an important delay between onset of symptoms and diagnosis.

**Conclusions:** While the overall cumulative incidence for clinically diagnosed SM in patients with a solid tumor is 15.67%, autopsy studies reveal that SM are present in 30% by the time they die, suggesting underdiagnosing of SM. Approximately 1 out of 10 patients with SM will develop MESCC and another 12.6% will develop a pVCF. Understanding these epidemiologic data, should increase awareness for first symptoms, allowing early diagnosis and subsequent treatment, thus improving overall outcome.

**Abbreviations:** CA, carcinoma; CI, confidence interval; HCC, hepatocellular carcinoma; LOL, length of life; MESCC, metastatic epidural spinal cord compression; MRI, magnetic resonance imaging; OR, odds ratio; PRISMA, Preferred Reporting Items for Systematic Reviews and Meta-Analyses; pVCF, pathologic vertebral compression fractures; QOL, quality of life; RCT, randomized controlled trial; rMESCC, subclinical radiographic MESCC; SINS, spinal instability neoplastic score; SM, spinal metastases; SR, systematic review; SRE, skeletal related event; ST, solid tumor; STROBE, Strengthening the reporting of observational studies in epidemiology; WHO, World Health Organization.

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## 1. Introduction

The world health organization (WHO) estimates an exponential increase of the incidence of cancer with 29.4 million new cases in 2040 [1]. In addition, life expectancy and the quality of life (QOL) of these patients are improving [2]. This results in a growing population living with solid primary tumors, who are at risk to develop spinal metastases (SM), pathologic vertebral compression fractures (pVCF) and metastatic epidural spinal cord compression (MESCC).

Spinal metastases (SM) are a frequent cause of oncologic pain. Moreover, they may lead to pathologic vertebral compression fractures (pVCF) and/or metastatic epidural spinal cord compression (MESCC).

In recent years QOL has emerged as an important outcome parameter next to survival. QOL and length of life (LOL) are intertwined outcome parameters, as QOL has been demonstrated a prognostic factor for survival [3–5].

MESCC may impair gait to the point that autonomic mobility is lost, severely affecting QOL. While urgent treatment improves the odds of functional recovery, neurological recovery is compromised, once function has been lost [6,7]. After publication of the frequently cited Patchell study (2005), standard care for MESCC consists of decompressive surgery followed by conventional radiotherapy [8]. In subsequent years randomized controlled trials (RCTs) regarding this subject have been scarce. As treatment without delay and above all before mobility is lost, leads to a better functional outcome [6–8], early recognition and diagnosis are of primordial importance.

SM may lead to spinal instability. In 2010 Fisher et al. published the Spinal Instability Neoplastic Score (SINS), a validated comprehensive classification system to guide surgical decision making. Patients with spinal instability are at risk for (movement-related) pain and pVCF, potentially leading to compression of neurological structures [9].

Interestingly, literature regarding the incidence of SM mostly refers to relatively old autopsy studies [10,11]. Given the enormous evolution in cancer therapies over the past decades, it might be of interest to critically review all available data on the incidence of SM and MESCC. In contrast to the registration of primary tumors, formal registration of SM, pVCF, and MESCC is lacking in most registries. A better knowledge of the incidence of MESCC should improve awareness for early clinical symptoms and radiological signs preceding significant loss of function. Early diagnosis may help to preserve mobility, independency, and overall QOL.

This systematic review (SR) aims to provide an evidence based estimation of the clinical incidence of SM, MESCC, and pVCF in patients with solid primary tumors.

## 2. Methods

This literature review was conducted in line with the guidelines of Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) [12].

A search between January 1<sup>st</sup> 1975 and August 31<sup>st</sup> 2021 was conducted in PubMed and Web-of-Science using the following search-terms: epidemiology, prevalence, incidence, global burden of disease, spinal metastas\*, metastatic epidural spinal cord compression, pathologic fracture, vertebral compression fracture, vertebral metastas\*, spinal neoplasms. Reference lists of review studies and papers included in the review were screened for any other papers that included these key-terms.

Studies had to match the following inclusion criteria: (1) published in English with full text available; (2) original study; (3) containing data on the epidemiology of SM, pVCF and/or MESCC; (4) focusing on the general population or the population with a solid primary tumor, not merely a specific subgroup (e.g. surgically treated patients, due to an inherent risk of bias); (5) adults.

Retrieved papers were selected by screening title (first step), abstract (second step), and content (third step) independently by two researchers

(RVdB and EC).

Extracted data included source population, study period, source population with solid tumor, population with SM, MESCC and pVCF.

We based our evaluation of the methodological quality on five elements in the STROBE checklist which were most relevant to the quality of the reported incidence and mortality rates: study design, setting, participants, data sources/measurements, and study size [13].

Data were extracted and cumulative incidences in patients with solid tumor and in patients with SM were calculated by dividing the number of patients with respectively SM, MESCC, or pVCF by the total number of study subjects or the number of patients with SM. Descriptive statistics by GraphPad (<https://www.graphpad.com>) were used to calculate means and 95% confidence intervals (CI).

## 3. Results

PubMed and Web of Science search identified 2057 papers, after removing duplicates. Following screening we retained 44 papers, added another 12 papers after cross referencing. We included 56 papers for final analysis (Fig. 1, Tables 1 and 2).

### 3.1. Study characteristics

We retrieved three papers reporting the incidence of spinal metastases in autopsy studies. Furthermore, we retrieved three prospective and 36 retrospective clinical studies, 14 randomized controlled trials. Ten papers report data on SM, pVCF and MESCC, 12 solely on SM, 6 solely on MESCC, 1 on pVCF, 5 on SM and MESCC, 22 on MESCC and pVCF.

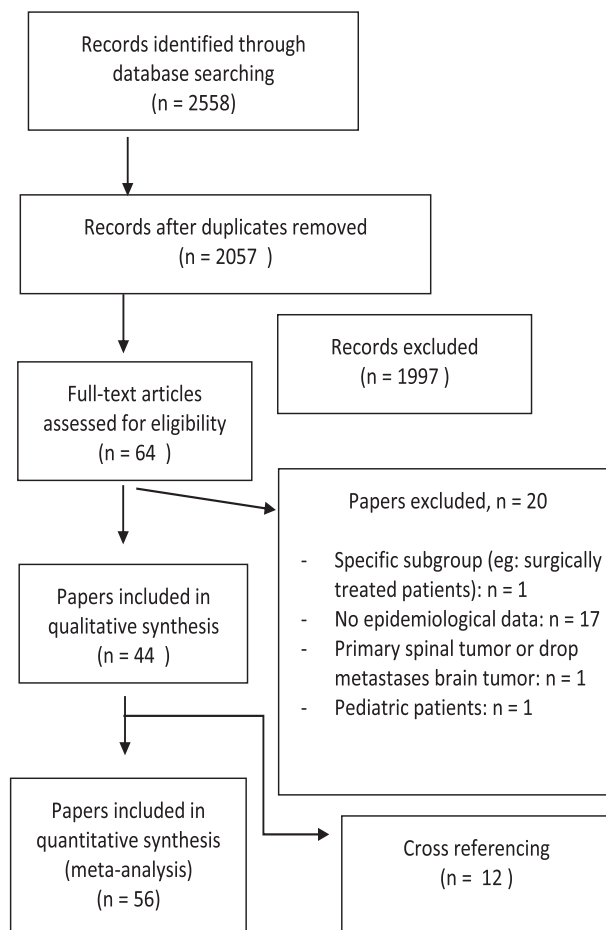


Fig. 1. PRISMA flow diagram of the literature search and selection of papers.

**Table 1**

SM: spinal metastases, MESCC: metastatic epidural spinal cord compression, pVCF: pathologic vertebral compression fracture. †: subgroup of this study; ‡: cumulative incidence of MESCC in the 5 years preceding death from cancer. Cumulative incidence = No. of patients with SM/MESCC/pVCF divided by the total number of study subjects; §: data not shown in Fig. 2 due to axis limits. ¶: Risk of bias: 1: properly powered and conducted RCT, 2: well-designed controlled trial without randomization; prospective comparative cohort trial, 3: case-control studies; retrospective cohort study, 4: case series with or without intervention; cross-sectional study, 5: opinion of respected authorities, case reports.

Author	Study population	Patients with solid tumour	Patients with spinal metastasis	Patients with MESCC	Patients with pVCF	SM per 1000 patients with solid tumor	MESCC per 1000 patients with solid tumor	MESCC per 1000 patients with SM	pVCF per 1000 patients with solid tumor	pVCF per 1000 patients with SM	STROBE quality criteria	Risk of bias
Autopsy studies												
Fornasier 1975 [13]	643 autopsies in an oncologic center	374	140	/	/	374,3	/	/	/	/	Complete	3
Wong 1988 [14]	832 autopsies of patients with a terminal diagnosis of malignant neoplasm	612	248	/	/	405,2	/	/	/	/	Complete	3
Ortiz Gómez 1995 [22]	842 autopsies in patients dying from malignant neoplasm	734	225	/	/	306,5	/	/	/	/	Complete	4
All tumors												
Bach 1990 [23]	Retrospective population based, Denmark, 1979-1983	/	/	398	/	/	4.4% to 6%	/	/	/	4/5	3
Loblaw 2003 [24]	Retrospective population, Ontario, Canada, 1990-1995	121.435	/	3.458	/	/	25,4	/	/	/	4/5	3
Schulman 2006 [15]	Retrospective database, US, 2000-2004	396.200	18.042	/	/	45,5	/	/	/	/	Complete	4
Zaikova 2009 [25]	Retrospective population based, South-East Norway, 2007-2008	17.757	1.002	313	/	56,4 annual incidence per 100.000 inhabitants: 26,0	17,6 annual incidence per 100.000 inhabitants: 8,1	312,3 §	/	/	4/5	3
Mak 2011 [17]	Retrospective database, US, 1998-2006, (20% of the US population)	/	/	15.367	/	/	34,5	/	/	/	4/5	4
Oster 2013 [19]	Retrospective database, US, 1995-2009	35.692	1.819	/	/	50,6	/	/	/	/	Complete	4
Sohn 2015 [26]	Retrospective population based cohort, Korea, 2009-2012	/	13.288	/	/	6.04-6.83 per 100.000 persons per year	/	/	/	/	4/5	3
Phanphaisarn 2016 [27]	Retrospective cohort, Thailand, 2006-2015	29.447	2.263	165	120	76,8	5,6	72,9	4,1	53,0	4/5	3
Wild 2016 [28]	Retrospective cohort, Germany	/	848	/	94	/	/	/	/	110,8	3/ 5	4
Svensson 2017 [29]	Retrospective population based cohort, Denmark, 1994-2010	176.722	17.251	/	/	97,6	/	/	/	/	Complete	3
Hernandez 2018 [21]	Retrospective database, US, 2004-2013	382.733	26.250	/	/	68,5	/	/	/	/	Complete	4
Campillo-Recio 2019 [30]	Retrospective cohort; paliative care unit, Madrid, Spain, 2008-2016	1.736	/	28	/	/	16,1	/	/	/	4/5	3
Hong 2020 [16]	Retrospective database, Korea, 2002-2013	21.562	1.849	63	201	85,8	2,9	34,1	9,3	108,7	4/5	4

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Table 1 (continued)

Author	Study population	Patients with solid tumour	Patients with spinal metastasis	Patients with MESCC	Patients with pVCF	SM per 1000 patients with solid tumor	MESCC per 1000 patients with solid tumor	MESCC per 1000 patients with SM	pVCF per 1000 patients with solid tumor	pVCF per 1000 patients with SM	STROBE quality criteria	Risk of bias
Choi 2020 [18]	Retrospective population based, South Korea, 2008-2017	/	38.007	/	/	6.68 cases per 100.000 population per year	/	/	/	/	Complete	4
Price 2021 [20]	Retrospective database, US, 2012-2014	/	51.800	18.752	38.591	/	/	362,0 §	/	745,0 §	4/5	4
Breast carcinoma												
Domcheck 2000 [31]	Retrospective cohort women diagnosed with metastatic breast carcinoma, US, 1981-1991	718	420	61	56	585,0	85,0 §	145,2	78,0	133,3	4/5	3
Loblaw 2003† [24]	Retrospective population, Ontario, Canada, 1990-1995	35.197	/	689	/	/	19,6CI: 5.52%‡	/	/	/	4/5	3
Oka 2006 [32]	Retrospective cohort, Tokio, 1990-1996	695	121	17	/	174,1	24,5	140,5	/	/	4/5	3
Zaikova 2009† [25]	Retrospective population based, South-East Norway, 2007-2008	1.597	/	/	/	201	28	/	/	/	4/5	3
Jensen 2011 [33]Yong 2011 [34]	Retrospective cohort, Denmark, 1999-2007	35.912	1.450	143	133	40,4	4,0	98,6	3,7	91,7	4/5	3
Mak 2011 † [17]	Retrospective database, US, 1998-2006, (20% of the US population)	/	/	/	/	/	16,8	/	/	/	4/5	4
Oster 2013 † [19]	Retrospective database, US, 1995-2009	11.738	621	/	/	52.9	/	/	/	/	Complete	4
Phanphaisarn 2016 † [27]	Retrospective cohort, Thailand, 2006-2015	4.050	335	26	22	82,7	6,4	77,6	5,4	65,7	4/5	3
Svensson 2017 † [29]	Retrospective population based cohort, Denmark, 1994-2010	69.009	3.789	/	/	54,9	/	/	/	/	Complete	3
Hernandez 2018 <sup>a</sup> [21]	Retrospective database, US, 2004-2013	137.720	/	/	/	81	/	/	/	/	Complete	4
Baek 2019 † [35]	Retrospective cohort, South-Korea, 2004-2015	/	23.811	341	2.260	/	/	14,3	/	94.9	4/5	4
Hong 2020 † [16]	Retrospective database, Korea, 2002-2013	2.221	417	8	50	187,8	3,6	19,2	22,5	119,9	4/5	4
Prostate carcinoma												
Kuban 1986 [36]	Retrospective cohort, Virginia US, 1975-1983	611	/	41	/	/	67,1	/	/	/	4/5	4
Berruti 2000 [37]	Prospective cohort, Italy, 1990-1998	/	112	7	10	/	/	62,5	/	89,3	4/5	3
Loblaw 2003 † [24]	Retrospective population, Ontario, Canada, 1990-1995	32.497	/	638	/	/	19,6Cumulative incidence <sup>o</sup> 7.24%	/	/	/	4/5	3
		2.458	/	/	/	297	17	/	/	/	4/5	3

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Table 1 (continued)

Author	Study population	Patients with solid tumour	Patients with spinal metastasis	Patients with MESCC	Patients with pVCF	SM per 1000 patients with solid tumor	MESCC per 1000 patients with solid tumor	MESCC per 1000 patients with SM	pVCF per 1000 patients with solid tumor	pVCF per 1000 patients with SM	STROBE quality criteria	Risk of bias
Zaikova 2009 <sup>a</sup> [25]	Retrospective population based, South-East Norway, 2007-2008											
Norgaard 2010 [38]	Retrospective population based cohort 1999-2007	23.087	3.147	447	169	136,3	19,4	142,0	7,3	53,7	4/5	3
Venkitaraman 2010 [39]	Retrospective cohort 2001-2005	570	130	57	/	228,1	100,0 <sup>c</sup>	438,5§	/	/	4/5	3
Mak 2011† [17]	Retrospective database, US, 1998-2006, (20% of the US population)	/	/	/	/	/	54,9	/	/	/	4/5	4
Oster 2013† [19]	Retrospective database, US, 1995-2009	14.866	721	/	/	48,5	/	/	/	/	Complete	4
Onukwugha 2014 [40]	Retrospective database, US, 2000-2007	7.062	/	524	306	/	74,2	/	43,3	/	4/5	3
Perrault 2015 [41]	Retrospective population-based cohort, Canada, 2001	2297	626	18	60	272,5	7,8	28,8	26,1	95,8	4/5	3
Phanphaisarn 2016 † [27]	Retrospective cohort, Thailand, 2006-2015	715	154	12	8	215,4	16,8	77,9	11,2	51,9	4/5	3
Svensson 2017 † [29]	Retrospective population based cohort, Denmark, 1994-2010	42.857	5.941	/	/	138,6	/	/	/	/	Complete	3
Hernandez 2018 † [21]	Retrospective database, US, 2004-2013	22.801	/	/	/	292	/	/	/	/	Complete	4
Kawai 2019 [42]	Retrospective cohort study, US, 2000-2011	2.234	/	37	266	/	16,6	/	119,1	/	4/5	3
Baek 2019 † [35]	Retrospective cohort, South-Korea, 2004-2015	/	19.170	467	2032	/	/	24,0	/	106,0	4/5	4
Hong 2020 † [16]	Retrospective database, Korea, 2002-2013	1.109	194	6	35	174,9	5,4	30,9	31,6	180,4	4/5	4
Lung carcinoma Loblaw 2003† [24]	Retrospective population, Ontario, Canada, 1990-1995	SC: 5.654NSCLC: 32.027	/	791	/	/	25,6 - 33,6	/	/	/	4/5	3
Zaikova 2009 <sup>a</sup> [25]	Retrospective population based, South-East Norway, 2007-2008	1.447	/	/	/	554 <sup>c</sup>	97 §	/	/	/	4/5	3
Mak 2011† [17]	Retrospective database, US, 1998-2006, (20% of the US population)	/	/	/	/	/	17,4	/	/	/	4/5	4
Decroisette 2011 [43]	Prospective cohort, France, 2006-2007	/	554	21	38	/	/	37,9	/	68,6	4/5	3
Oster 2013 <sup>a</sup> [19]	Retrospective database, US, 1995-2009	9.088	477	/	/	52,5	/	/	/	/	Complete	4
Cetin 2014 [44]	Retrospective population based cohort, Denmark, 1999-2010	29.720	2.032	240	92	68,4	8,1	118,1	3,1	45,3	4/5	3
Katakami 2014 [45]	Prospective cohort, Japan, 2007-2009	274	78	3	14	284,7	10,9	38,5	51,1	179,5	4/5	3
		28443	1668	/	/	58,6	/	/	/	/	4/5	3

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Table 1 (continued)

Author	Study population	Patients with solid tumour	Patients with spinal metastasis	Patients with MESCC	Patients with pVCF	SM per 1000 patients with solid tumor	MESCC per 1000 patients with solid tumor	MESCC per 1000 patients with SM	pVCF per 1000 patients with solid tumor	pVCF per 1000 patients with SM	STROBE quality criteria	Risk of bias
Dalgaard 2015 [46]	Retrospective cohort, Denmark, 2003-2009											
Kuchuk 2015 [47]	Retrospective cohort, Canada, 2007-2008	383	116	5	13	302,9	13,1	43,1	33,9	112,1	4/5	3
Silva 2015 [48]	Retrospective cohort, Brazil, 2007-2011	605	112	31	/	185,1	51,2	276,8	/	/	4/5	3
Phanphaisarn 2016 † [27]	Retrospective cohort, Thailand, 2006-2015	7.455	797	68	56	106,9	9,1	85,3	7,5	70,3	4/5	3
Svensson 2017 † [29]	Retrospective population based cohort, Denmark, 1994-2010.	51.936	3.403	/	/	65,5	/	/	/	/	Complete	3
Da Silva 2017 [49]	Retrospective cohort, Brazil, 2006-2014	1112	/	45	/	/	40,5	/	/	/	4/5	3
Hernandez 2018 † [21]	Retrospective database, US, 2004-2013	59.344	/	/	/	129	/	/	/	/	Complete	4
Hong 2020 † [16]	Retrospective database, Korea, 2002-2013	3.489	479	11	33	137,3	3,2	23,0	9,5	68,9	4/5	4
Other solid tumors												
Spiegel 1995 [50]	Retrospective cohort, Germany, 1970-1991, melanoma	7.010	114	24	/	16,3	3,4	210,5	/	/	4/5	3
Fukutomi 2001 [51]	Retrospective cohort, Japan 1978-1997, hepatocellular carcinoma	673	44	/	/	65,4	/	/	/	/	Complete	3
Bhatia 2017 [52]	Retrospective cohort, US, 2005-2015, hepatocellular carcinoma	1.017	14	/	/	13,8	/	/	/	/	Complete	3
Harding 2018 [53]	Retrospective database, 2002-2014, US, metastatic hepatocellular carcinoma	640	151	12	24	235,9	18,8	79,5	37,5	158,9	4/5	3

**Table 2**

Total number of included patients, patients with MESCC, and patients with pVCF in every included RCT. SM: spinal metastases, MESCC: metastatic epidural spinal cord compression, pVCF: pathologic vertebral compression fracture. Calculated cumulative incidence of MESCC and pVCF respectively per 1000 patients with SM. Bold numbers represent the respective totals. †: datapoint not shown in Fig. 3 due to axis limits. ‡: Risk of bias: 1: properly powered and conducted RCT, 2: well-designed controlled trial without randomization; prospective comparative cohort trial, 3: case-control studies; retrospective cohort study, 4: case series with or without intervention; cross-sectional study, 5: opinion of respected authorities, case reports.

Author	# of patients	# of MESCC	# of pVCF	# of MESCC per 1000 SM	# of pVCF per 1000 SM	Risk of bias‡
<b>All tumors</b>	<b>9594</b>	<b>371</b>	<b>1332</b>	<b>38.7</b>	<b>138.8</b>	
Rosen 2004 [54]	773	25	127	32.3	164.3	1
<b>Breast carcinoma</b>	<b>4454</b>	<b>104</b>	<b>786</b>	<b>23.3</b>	<b>176.5</b>	
Theriault 1999 [55]	372	13	108	34.9	290.3	1
Himmelstein 2003 [56]	1822	53	141	29.1	77.4	1
Kohn 2005 [57]	227	17	90	74.9	396.7†	1
Martin 2012 [58]	2033	21	447	10.3	219.9	1
<b>Prostate carcinoma</b>	<b>4264</b>	<b>238</b>	<b>416</b>	<b>55.8</b>	<b>97.6</b>	
Small 2003 [59]	350	8	21	22.9	60.0	1
Dearnaley 2003 [60]	311	34	19	109.3‡	61.1	1
Saad 2004 [61]	643	34	42	52.9	65.3	1
Fizazi 2011 [62]	1901	62	280	32.6	147.3	1
Wang 2013 [63]	137	2	7	14.6	51.1	1
Ueno 2013 [64]	60	2	1	33.3	16.7	1
Pan 2014 [65]	105	1	4	9.5	38.1	1
James 2016 [66]	757	95	42	125.5†	55.5	1
<b>Lung carcinoma</b>						
Udagawa 2017 [67]	103	4	3	38.8	29.1	3

### 3.2. Quality of evidence

We evaluated all included papers by the STROBE checklist for study design, setting, participants, data sources/measurements, and study size (Table 1). All papers carefully describe their study design, setting, participants, and study size. Remarkably, none of them reports the actual definition used for MESCC or pVCF. Whereas some studies use diagnostic codes in databases [14–20], such codes refer to registrations, while being non-informative with regard to grade or severity of MESCC or pVCF. Thirty-six studies are retrospective, thus increasing the risk of bias.

### 3.3. Observational cohort studies

#### 3.3.1. Incidence of spinal metastasis (SM)

Autopsy studies, performed in the 70's and 80's (before implementation of MRI), report SM in approximately one third of oncologic patients, none of them report the incidence of MESCC. Fornasier describes 374 autopsies of patients with malignant neoplasms (leukemia excluded) performed in the early 70's. In 140 (37.4%) SM were detected histologically, exceeding the clinical detection with contemporary radiography (e.g. lung, breast, and prostate carcinoma histological detection rate 27%, 61%, 50%, and clinical detection rate 10%, 34%, 38% respectively) [10]. In contrast, Wong (1988) reports that not all suspected lesions (334/832, 40.1%) were histologically confirmed spinal metastases (300/832, 36.1%) [11]. Finally, Ortiz Gomez reports the presence of 225 patients (30.7%) with SM in the autopsy of 734 patients who died of malignant neoplasms between 1978 and 1987 [21]. No recent autopsy studies could be retrieved according to our search and inclusion criteria.

In observational cohort studies, mean cumulative incidence is 15.67% with a solid tumor (95% CI: 5.34-26.01) [14,15,18,20,22–24]. Three studies reported the annual incidence per 100.000 individuals, Zaikova et al. report 26.0 in South-East Norway while Choi et al. report 6.68 and Sohn et al. report an increasing incidence of 6.04 to 6.83 per 100.000, in South-Korea [17,22,25].

Approximately two thirds of spinal metastases arise from breast (16.5%), prostate (20.7%), and lung carcinoma (24.6%) [15,18,23,24,26].

For breast carcinoma, the mean cumulative incidence of SM is 10.94% (95% CI: 5.36-16.51) [15,18,20,27,23,24]. We excluded the incidence of SM by Domcheck et al. in this part of the analysis since they

merely report the incidence of SM in patients with metastatic breast cancer and not in the entire group of breast cancer patients [29]. For prostate carcinoma, the mean cumulative incidence of SM is 20.04% (95% CI: 13.63-26.44) [15,18,20,22–24,30–32]. For lung carcinoma, it is 17.68% (95% CI: 7.44-27.92) [15,18,20,22–24,33–37]. (Fig. 2.A, Table 3)

Melanoma patients develop SM rather infrequently (merely 1.63%) [38]. Likewise, in patients with hepatocellular carcinoma (HCC), the incidence of SM is merely 1.38–6.54% [39–41].

#### 3.3.2. Incidence of metastatic epidural spinal cord compression (MESCC)

In patients with a solid tumor, the cumulative incidence ranges from 0.29 to 10.00% (mean 2.84%, 95% CI: 1.54%-4.14%) [15,16,22,24,42,43]. Zaikova et al. report an annual incidence of 8.1 per 100.000 inhabitants [22]. The cumulative incidence of MESCC in patients with SM, ranges from 3.41% to 36.20% (mean 19.53%, 95% CI: -6.85%-45.91%) [15,19,22,24].

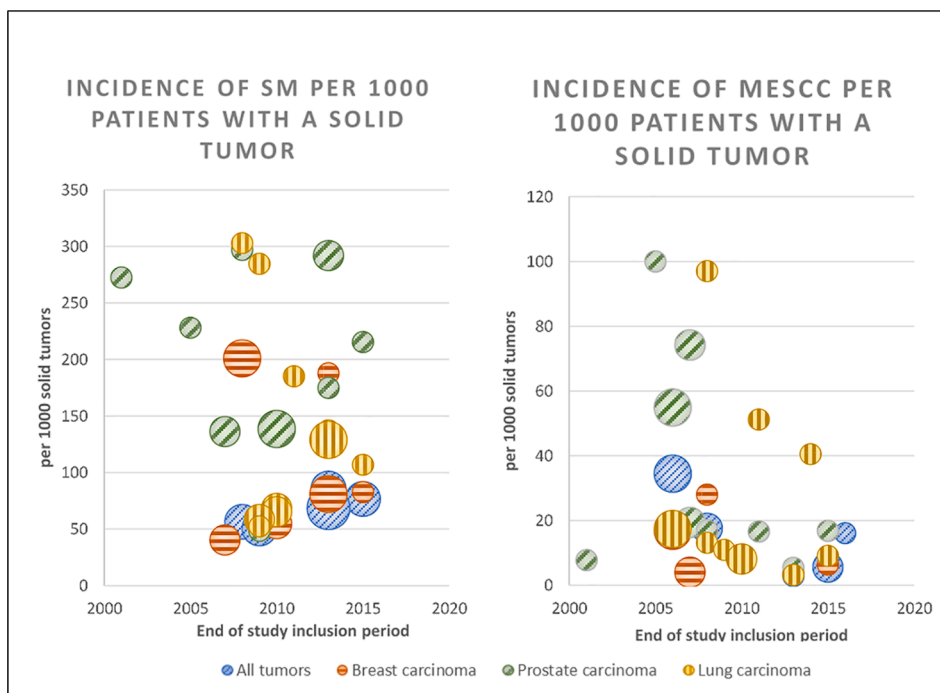
Mean cumulative incidence of MESCC in patients with breast, prostate or lung carcinoma is 1.47% (95% CI: 0.54%-2.40%) [15,16,24,43,28,29], 3.63% (95% CI: 1.47%-5.78%) [15,16,22,24,43,30–32,45–48], 2.80% (95% CI: 0.74%-4.86%) [15,16,22,24,43,34–36] respectively and mean cumulative incidence of MESCC in patients with SM of breast, prostate, or lung carcinoma is 8.26% (95% CI: 2.28%-14.24%), 11.49% (95% CI: -2.24%-25.23%) and 8.90% (95% CI: 0.65%-17.15%) respectively in the cohort studies. (Fig. 2.B)

While included papers report no data on the incidence of MESCC in melanoma patients [38], it is reportedly 1.88% in patients with metastatic HCC [40].

#### 3.3.3. Incidence of pathologic vertebral compression fracture (pVCF)

In patients with SM, the cumulative incidence of pVCF ranges from 5.30%-74.50% (mean 25.44%, 95% CI: -26.78%-77.66%) [15,19,24,50]. Price et al. report a significantly higher incidence of 74.50% and a significant gender difference with females having an even higher incidence (81.5%) compared to males (68.0%,  $p < 0.001$ ), attributed to an increased prevalence of osteoporosis in female, they do comment the significantly higher incidence of pVCF overall [19]. Two papers report incidences of pVCF in patients with solid tumors, the cumulative incidence ranging from 0.41%-0.93% [15,24]. The mean cumulative incidence of pVCF in patients with SM of breast, prostate, or lung carcinoma is 10.11% (95% CI: 6.84%-13.38%) 16,27, 32,33





**Fig. 2.** Cohort studies: Incidence of SM and MESCC in relation to study period during the past two decades (2000–2020). Study period was defined by end date of study period. Size of any given circle represents study size.

**Table 3**

Clinical incidence of spinal metastases (SM) in patients with solid tumors (ST) and clinical incidence of MESCC and pVCF in patients with SM of all included studies. †: The 95% confidence interval is reported in brackets †.

	Incidence of SM in patients with ST	Incidence of MESCC in patients with SM	Incidence of pVCF in patients with SM
All solid tumors	<b>15.67%</b> (5.34–26.01%)†	<b>9.56%</b> (5.70%–13.42%)†	<b>12.63%</b> (7.00%–18.25%)†
Breast carcinoma	<b>10.94%</b> (5.36–16.51%)†	<b>6.45%</b> (2.80%–10.09%)†	<b>16.55%</b> (7.82%–25.29%)†
Prostate carcinoma	<b>20.04%</b> (13.63–26.44%)†	<b>8.04%</b> (2.09%–13.98%)†	<b>7.66%</b> (5.12%–10.22%)†
Lung Carcinoma	<b>17.68%</b> (7.44–27.92%)†	<b>8.27%</b> (1.21%–15.33%)†	<b>8.20%</b> (3.57%–12.83%)†

9.62% (95% CI: 4.70%–14.54%) [15,24,26,30,32,48] and 9.08% (95% CI: 3.98%–14.17%) [15,24,35,36] respectively. While included papers report no data on the incidence of pVCF in melanoma patients, it is reported in 15.89% of patients with metastatic HCC [53]. (Fig. 2D) Wild et al. describe an important underreporting of pVCF as merely 57 out of 94 pVCFs were retained (and merely 25 were identified as an actual fracture) in radiological reports. Moreover, merely 8 were mentioned in discharge letters, and as few as 3 subsequently received any clinical management [50].

### 3.4. Trends over time

While few papers report the evolution of incidence rates during the study period, all of them report an increasing trend for SM or MESCC: Sohn reports a rising annual incidence from 6.04 to 6.94 per 100.000 population ( $p=0.01$ ) [25] for SM. Fukutomi et al. report a threefold increase over a single decade for SM in patients with hepatocellular carcinoma [41]. Bach et al. report a rise from 4.4% to 6% of cancer patients developing MESCC during the study period (6 years) [52]. Finally Mak et al. report a significant increase in MESCC related hospitalizations at an annual rate of 6–8% [16]. Of note, because of the

significant heterogeneity in included studies, we were unable to detect any significant overall trend over time (Fig. 2).

#### 3.4.1. Randomized controlled trials

We included 14 RCTs on the effect of medication (Denosumab, Zoledronic acid, and Pamidronate) in preventing skeletal related events (SREs). Overall, these RCTs represented 9594 patients, most with breast ( $n=4454$ ) or prostate ( $n=4264$ ) carcinoma. While the cumulative incidence of MESCC is 3.87% in SM patients overall [53–66], those with prostate carcinoma have a higher cumulative incidence of 5.58% [58–65] as compared to breast (2.33%) [54–57] and lung (3.88%) [66] carcinoma. Of note, these incidences are lower than the respective incidence observed in cohort studies. Moreover, pVCF occurs more often than MESCC with an overall cumulative incidence of 13.88% of patients with SM [53–66], with breast carcinoma leading more often to pVCF (17.65%) [54–57] as compared to prostate (9.76%) [58–65] or lung (2.91%) [66] carcinoma.

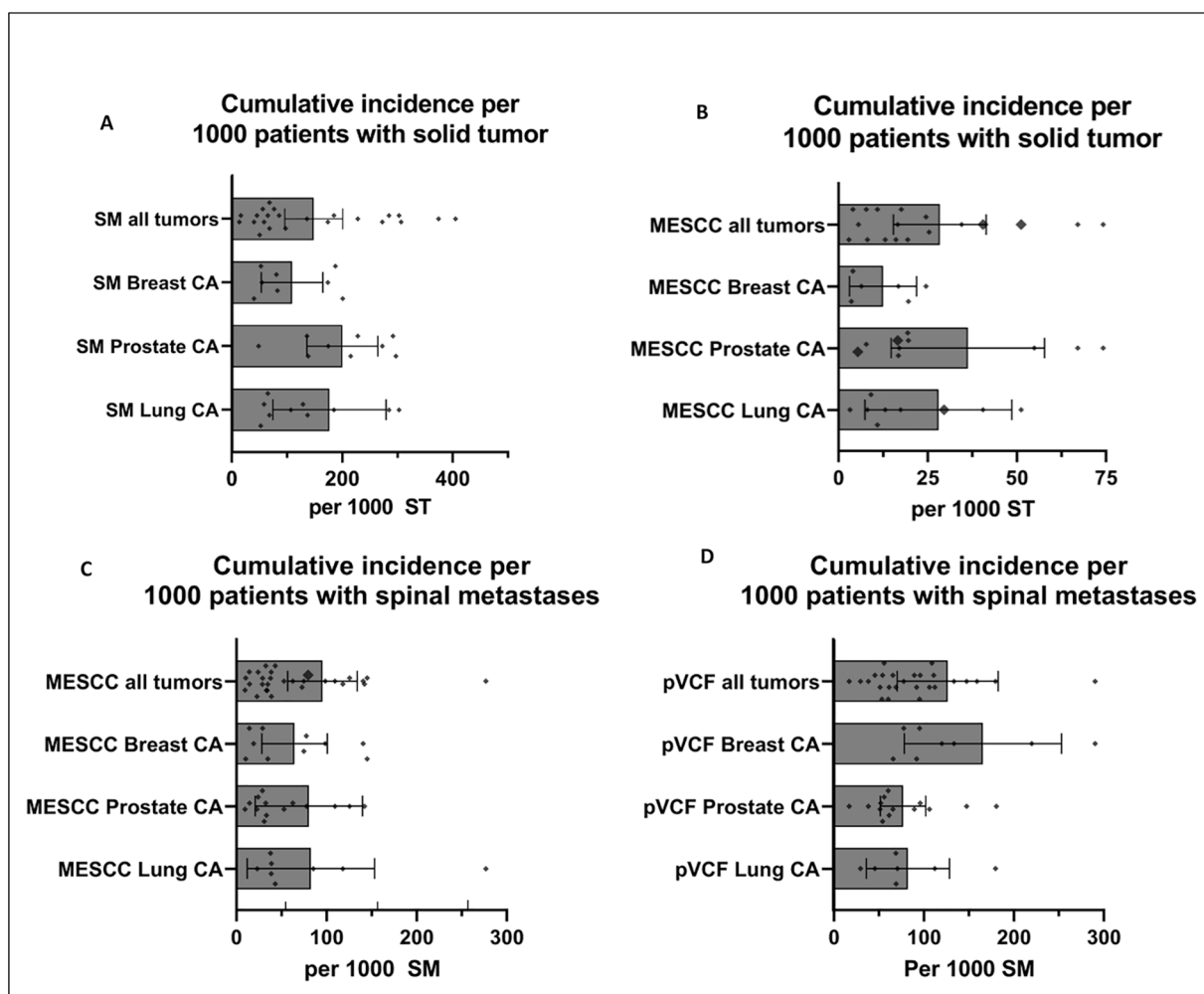
#### 3.4.2. Clinical incidence of MESCC and pVCF

When combining all data of included RCTs and observational cohort studies we calculated a mean cumulative in patients with SM of 9.56% (95% CI 5.70%–13.42%) for MESCC and 12.63% (95% CI 7.00%–18.25%) for pVCF. The mean cumulative incidence of MESCC in patients with SM of breast, prostate, or lung carcinoma is 6.45% (95% CI: 2.80%–10.09%), 8.04% (95% CI: 2.09%–13.98%) and 8.27% (95% CI: 1.21%–15.33%) respectively. The mean cumulative incidence of pVCF in patients with SM of breast, prostate, or lung carcinoma 16.55% (95% CI: 7.82%–25.29%), 7.66% (95% CI: 5.12%–10.22%) and 8.20% (95% CI: 3.57%–12.83%) respectively (Fig. 3, Table 3).

#### 3.4.3. Time to develop SM, time from SM to MESCC, time from MESCC to loss of function

Hong et al. report that patients with lung cancer have a shortest interval of approximately 9.0 months, while those with breast and prostate carcinoma have a significantly longer interval of 14.9 and 17.4 months. This difference in interval is confirmed by Svensson et al. (median time to develop SM 27.9–29.5 months for lung carcinoma





**Fig. 3.** Cumulative incidence of spinal metastases (SM), Metastatic Epidural Spinal Cord Compression (MESCC) and pathologic Vertebral Compression Fractures (pVCF). CA = carcinoma, ST = solid tumor. Solid bars represent the mean values and error bars represent the 95% CI. While some higher values are not shown in the graphs due to axis limits, these values are included in both mean and 95% CI error bars and can be found in [Tables 1 and 2](#).

compared to 3.5-4 years for breast carcinoma) and Bach et al. describes comparable difference for MESCC (0.5 years for lung carcinoma compared to 4.6 years for breast - and 1.7 years for prostate carcinoma) [15,23,52]. In line with these observations, Hernandez et al. report a one and 10 year incidence of bone metastases for breast, prostate, and lung carcinoma of 3.4% and 8.1%, 18.0% and 29.2%, and 10.45% and 12.9% respectively [20]. Interestingly, most pVCF and MESCC develop within one month after diagnosing bone metastasis except for patients with breast and prostate carcinoma who tend to have a slightly longer interval (median 5.9 and 4.7 months respectively) [15].

Few papers report the incidence of MESCC and motor deficit separately. Interestingly, they all demonstrate that approximately one third of MESCC patients have an actual neurological deficit (31% MESCC and 11% paresis [22], 36.2% MESCC and 9.5% paresis [19], 27.7% MESCC and 12.5% unable to walk [33], 45 patients with MESCC and 15 unable to walk<sup>49</sup>).

Venkitaraman et al. report the time to develop a neurological deficit in patients with subclinical radiographic MESCC (rMESCC). They observed a mean of 657 days (95%CI: 23-1103 days) if rMESCC was present on initial MRI. In case it was not, 21.5 % of patients ultimately did develop rMESCC with a median interval of 283 days (95%CI: 229-337). Of note, in prostate cancer, a rapid Prostate-Specific Antigen doubling time has been demonstrated to be a statistically significant independent predictor for developing a neurological deficit ( $p=0.042$ ) [31]. The proportion of patients with MESCC on initial MRI ( $n=37$ ) free from neurological

deficit at 3, 6, 12, 18, and 24 months was 94, 80, 59, 59 and 43% respectively. [31] No other included studies report the time to develop a neurologic deficit.

Pamidronate, Zoledronic acid and Denosumab are able to prolong the time to first skeletal complication. Moreover these medications are able to reduce the incidence of skeletal complications in patients with bone metastases [53,55-58,61-64].

#### 3.4.4. Symptomatology

Bach et al. elaborately report the clinical presentation of 398 patients with MESCC. The majority of patients (83%) presented with pain (47% radicular pain and 36% local back pain). Ataxia (67%) was more common compared to weakness (27%) as initial symptom. Almost half had severe sphincter disturbances with 47% being catheter dependent and 18% having moderate symptoms. Mean duration from first symptoms to diagnosis of MESCC was 58 days (average 30 days, range 0-420 days) [52].

#### 3.4.5. Survival

Patients with SM have an overall median survival of 6 months [24], with longer median survival times for breast (11.1-22 months) [15,24,29] and prostate (16-38.1 months) [15,24] carcinoma as compared to lung carcinoma (2.8-5.8 months) [15,24,33,34,51]. This trend is confirmed by studies reporting the 1y survival rate overall (31.5%) [24] and for breast, prostate, and lung carcinoma being 48.3-

66.3% [18,23,24,28], 35-87.0% [18,23,24,30], and 10.6-22% [18,23,24,36,51] respectively.

For patients with MESCC, an overall median survival of 2.9-3.1 months [42,43,52] is reported, with longer median survival times for breast (5.0 months) and prostate (4.0 months) carcinoma [43] as compared to lung carcinoma (1.5-2.8 months [33,43]). MESCC is associated with an increased risk of death (Hazard ratio 1.62 (95% CI: 1.18-2.23)) [49]. The development of paralysis is associated with a significant decrease in survival (1y survival 17.6% as compared to 96.6%) [28].

While some studies suggest an increase in survival with Pamidronate, Zoledronic acid or Denosumab treatment [65,66], most studies have failed to demonstrate such benefit [56,58,60,63].

## 4. Discussion

### 4.1. Incidence of SM, MESCC and pVCF

The most frequently cited incidence of SM of 30% is based on autopsy studies, in contrast, the clinical observations included in this review estimate that 15.67% of patients with solid tumors develop clinical diagnosed SM and 2.8% will eventually develop MESCC (Fig. 3A, B). Roughly 1 in 10 patients with SM will develop MESCC (9.5%) or pVCF (12.6%) (Fig. 3C, D). Breast, prostate, and lung carcinoma are the most prevalent solid tumors to develop SM, with a respective cumulative incidence of 10.94%, 20.04% and 17.68% SM in cohort studies. Studies showed that there is an important delay between the development of symptoms and diagnosis of more than two months [52,67]. This delay is occasionally or partially explained by late presentation of patients, nonetheless delayed diagnosis by clinicians occurs to frequently [52,67]. This high clinical and even higher histologic incidence in autopsy studies should encourage clinicians to be aware of signs and symptoms suggestive of SM, MESCC and pVCF in every patients with a solid tumor, since a delayed diagnosis may have a profound impact on both QOL and LOL.

### 4.2. Timely diagnosis of SM, MESCC and pVCF

Back pain is the most common initial symptom of SM and may be observed in as many as 88-94% of patients at time of diagnosis. MESCC may lead to more specific symptoms including radicular pain (50% as initial symptom and close to 80% at diagnosis). Ataxia (67%) is a more frequently presenting symptom compared to motor weakness (40% as initial symptom and close to 90% at diagnosis) and finally sensory disturbances (30% to 75%) and/or bladder dysfunction (5 to 60% at diagnosis) may be one of the presenting symptoms of MESCC [52,68-69]. Often symptoms are slowly progressive and patients may only present when their mobility is affected despite experiencing symptoms for several weeks or even months [67]. Nonetheless delayed diagnosis of symptomatic patients by clinicians seems to occur frequently [52,67]. This leads to delay in treatment with forthcoming negative impact on QOL and LOL. In oncologic patients with back pain (suggesting SM), radicular pain, motor weakness, sensory complaints and/or bladder dysfunction (suggesting MESCC), a careful and timely diagnostic work up is mandatory in order to avoid delayed diagnosis, that would increase the odds of a persisting neurological deficit and resulting loss of ambulation, which in turn would reduce QOL and LOL [6,7,67,69].

### 4.3. MESCC and neurological deficit

There are no reliable models to predict if or when neurologic deficit might occur. Different studies have demonstrated that approximately one third of patients with MESCC will eventually develop a neurological deficit and resulting inability to walk [19,22,33,49]. Venkitaraman et al. demonstrated that the proportion of patients with MESCC free from

neurological deficit declines in time [31]. As expected, these numbers suggest the natural history of SM is progressive and most if not all patients with occult MESCC on screening MRI, if untreated, will eventually develop a neurological deficit if survival permits.

### 4.4. (The problem with) predictive survival scores

Survival of patients with SM from solid tumors has improved, as confirmed by recent studies by Tabourel et al. [70] and Carrwik et al. [71], who report an actual underestimation of by commonly used predictive survival scores including the revised Tokuhashi, Tomita, modified Bauer, Lei, Van der Linden, and Rades score. Predictive accuracy of individual scores ranges from 25.6 to 61.0% [70], far worse than predictive accuracy in previously published validation studies, a difference largely explained by underestimating survival. This is explained by significant improvements in oncologic treatments and resulting increased life expectancy over the past decades [2]. In daily clinical practice, underestimating actual survival may be a trigger to withhold treatment to selected patients. Clearly, the decision whether or not to treat should no longer be based solely on these prognostic scores. In this analysis we were unable to demonstrate any significant change in survival rates due to the heterogenous study populations, the range of retrospective studies over relatively long study periods, and an overall lack of detailed survival data. Despite an improved overall survival, the presence of SM still has an important negative influence on actual survival with a reported hazard ratio risk of death of 1.62 [49], a 40% decrease in 1-year survival rate for patients with prostate carcinoma and SM as compared to those without SM, and an even further decrease if pVCF or MESCC are present [30].

### 4.5. SM, MESCC and pVCF: where do we stand?

Due to the increasing incidence of solid tumors and improving survival of these patients, more patients are at risk to develop SM, MESCC and pVCF. The incidence of SM, MESCC and pVCF is not changing significantly over time for patients with a solid tumor and the survival of those patients is improving. Hereby, one may conclude that SM, MESCC, and pVCF are increasingly prevalent.

Over the past decade radiotherapy has drastically improved. The introduction of stereotactic body radiotherapy (SBRT) in the treatment of SM has led to a significant improvement in local tumor control and pain control without a significant difference in toxicity [72]. A recent systematic review and meta-analysis of de novo SM demonstrates that single fraction stereotactic radiosurgery (SF-SRS) is superior in local control as compared to conventional radiotherapy (RT) with 1-year local control (LC) of 95.5% (95%CI: 87.4-99.6%) as compared to 83.6% (95% CI: 69.2-90.5%) [73]. SBRT has a significant benefit in pain response with minimal toxicity [72-74].

In the meantime, surgery is shifting towards less invasive treatment with a growing interest in so-called separation surgery for MESCC. These less invasive techniques are associated with reduced intra operative bleeding, reduced surgical trauma, fewer complications and a shorter length of in-hospital stay [75].

While prompt treatment of MESCC is associated with increased odds of recovery once a neurological deficit develops, treatment before a significant deficit occurs is clearly associated with increased odds of remaining neurologically intact [6,7]. Surgery followed by adjuvant conventional radiotherapy is considered superior to merely conventional radiotherapy, with preserved ambulatory function in 84% and 54% respectively (OR 6.2,  $p=0.001$ ), and significantly longer preservation of ambulatory function (median 122 and 13 days respectively,  $p=0.003$ ) [8]. In the subgroup of ambulatory patients with MESCC, 94% remained ambulatory for a median of 155 days after decompressive surgery as compared to 74% for a median of 54 days (OR 1.82,  $p=0.024$ ) in the conventional radiotherapy group. [8] As RCTs with regard to this subject are scarce, it is still unclear which treatment or combination of

treatments is superior when comparing more recently improved modalities in radiotherapy and surgery. While separation surgery followed by SBRT has proven to be effective and is gaining interest, there are no RCTs thus far to support this trend. Continued prospective, randomized research is warranted to develop and validate optimal radiotherapeutic regimens and to define optimal surgical indications and strategies.

#### 4.6. Study limitations

This review has some limitations. First, the selection and/or registration bias in included papers, because most are not population based and many are retrospective, the cohort studies are based on different populations and a bias may exist if some of these studies are performed in tertiary oncologic centers. Second, different definitions or cut-offs are likely used to define MESCC, (e.g. every radiological evidence for SM or MESCC or merely clinically symptomatic SM or MESCC), while none of the included papers report the exact definition they used for MESCC. All this, unfortunately, leads to a range in reported incidences of SM and MESCC. This heterogeneity with lack of definitions makes it impossible to perform a random-effects meta-analysis. In this regard, Bilsky et al. suggested a grading system for MESCC. Clearly, defining the in- and exclusion criteria for MESCC is important to ensure validity of study results when applied to an individual patient in daily clinical practice. Third, there was insufficient data to perform in detail analysis for all different tumor types, therefore we limited the analysis to overall and to the most prevalent subgroups of breast-, prostate and lung carcinoma.

#### 5. Conclusion

The combination of a growing incidence of cancer and improving survival rates, generates more patients at risk to develop SM, MESCC and pVCF. While the overall cumulative incidence for clinically diagnosed SM in living patients is reportedly 15.67%, autopsy studies reveal that SM are actually present in as many as 30% of patients with solid tumor by the time they die, suggesting underdiagnosing of SM. Approximately 1 out of ten patients with SM from a solid tumor will develop MESCC (9.5%), and another 12.6% will develop a pVCF. Studies show an important delay between onset of symptoms and diagnosis. Understanding these epidemiologic data, should increase awareness for first symptoms, allowing early diagnosis and subsequent treatment, thus improving overall outcome.

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#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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