abstract

# Clinical, Genomic, and Transcriptomic Data Profiling of Biliary Tract Cancer Reveals Subtype-Specific Immune Signatures

Kabir Mody, MD<sup>1</sup>; Prerna Jain, MS<sup>2</sup>; Sherif M. El-Refai, PharmD, PhD, MBA<sup>2</sup>; Nilofer S. Azad, MD<sup>3</sup>; Daniel J. Zabransky, MD, PhD<sup>3</sup>; Marina Baretti, MD<sup>3</sup>; Rachna T. Shroff, MD<sup>4</sup>; R. Katie Kelley, MD<sup>5</sup>; Anthony B. El-Khouiery, MD<sup>6</sup>; Adam J. Hockenberry, PhD<sup>2</sup>; Denise Lau, PhD<sup>2</sup>; Gregory B. Lesinski, PhD<sup>7</sup>; and Mark Yarchoan, MD<sup>3</sup>

**PURPOSE** Biliary tract cancers (BTCs) are aggressive cancers that carry a poor prognosis. An enhanced understanding of the immune landscape of anatomically and molecularly defined subsets of BTC may improve patient selection for immunotherapy and inform immune-based combination treatment strategies.

**METHODS** We analyzed deidentified clinical, genomic, and transcriptomic data from the Tempus database to determine the mutational frequency and mutational clustering across the three major BTC subtypes (intrahepatic cholangiocarcinoma [IHC], extrahepatic cholangiocarcinoma, and gallbladder cancer). We subsequently determined the relationship between specific molecular alterations and anatomical subsets and features of the BTC immune microenvironment.

**RESULTS** We analyzed 454 samples of BTC, of which the most commonly detected alterations were *TP53* (42.5%), *CDKN2A* (23.4%), *ARID1A* (19.6%), *BAP1* (15.5%), *KRAS* (15%), *CDKN2B* (14.2%), *PBRM1* (11.7%), *IDH1* (11.7%), *TERT* (8.4%), *KMT2C* (10.4%) and *LRP1B* (8.4%), and *FGFR2* fusions (8.7%). Potentially actionable molecular alterations were identified in 30.5% of BTCs including 39.1% of IHC. Integrative cluster analysis revealed four distinct molecular clusters, with cluster 4 predominately associated with *FGFR2* rearrangements and *BAP1* mutations in IHC. Immune-related biomarkers indicative of an inflamed tumor-immune microenvironment were elevated in gallbladder cancers and in cluster 1, which was enriched for *TP53*, *KRAS*, and *ATM* mutations. Multiple common driver genes, including *TP53*, *FGFR2*, *IDH1*, *TERT*, *BRAF*, and *BAP1*, were individually associated with unique BTC immune microenvironments.

**CONCLUSION** BTC subtypes exhibit diverse DNA alterations, RNA inflammatory signatures, and immune biomarkers. The association between specific BTC anatomical subsets, molecular alterations, and immunophenotypes highlights new opportunities for therapeutic development.

JCO Precis Oncol 6:e2100510. © 2022 by American Society of Clinical Oncology Creative Commons Attribution Non-Commercial No Derivatives 4.0 License @ () (S) (=)

## INTRODUCTION

Biliary tract cancers (BTCs) are a group of cancers that arise from the biliary tract and are historically subcategorized by their anatomical site of origin into intrahepatic cholangiocarcinomas (IHCs), extrahepatic cholangiocarcinomas (EHCs), and gallbladder (GB) cancers.<sup>1,2</sup> BTC is the second most common primary hepatic malignancy after hepatocellular carcinoma and comprises approximately 3% of all gastrointestinal cancers.<sup>2-4</sup> Although it is a rare cancer, the incidence of BTCs (0.3-6 per 100,000 individuals per year, with large geographic variation) and overall mortality burden have been increasing over the past few decades worldwide, representing a growing global health challenge.<sup>2,5</sup> Across nearly all countries, BTC is frequently diagnosed during advanced stages of the disease, which limits therapeutic options and results in a poor prognosis.<sup>6</sup> Significant improvements in long-term survival for patients with

advanced disease have not occurred over the past decade, and 5-year survival rates remain low (7%-20%). Surgical resection is the most effective treatment, but tumor recurrence rates even after resection remain high.<sup>2</sup>

BTC is increasingly subtyped by the presence of specific genomic alterations identified through nextgeneration sequencing analyses. Prior efforts to sequence BTC have revealed that targetable genomic alterations occur with moderately high frequency in this cancer.<sup>7</sup> These include alterations in well-studied genes including but not limited to *FGFR2, IDH1, BRAF,* and *ERBB2* (HER2).<sup>7,8</sup> The molecular profiles are known to vary between the different anatomical subtypes of the disease, with a higher rate of potentially actionable mutations identified in IHC than other anatomical subsets of BTC.<sup>7,9,10</sup> Other common BTC alterations are not therapeutically targetable but nonetheless provide important prognostic information.

ASSOCIATED CONTENT Appendix

Author affiliations and support information (if applicable) appear at the end of this article.

Accepted on April 15, 2022 and published at ascopubs.org/journal/ po on June 8, 2022: D0I https://doi.org/10. 1200/P0.21.00510



## CONTEXT

### **Key Objective**

Biliary tract cancers (BTCs) are increasingly subtyped by the presence of specific genomic alterations, but little is known about the immune microenvironment of molecularly defined subsets of BTC. The objective of this study was to characterize the immune landscape of molecularly defined subsets of BTC using genomic and transcriptomic data.

#### **Knowledge Generated**

We analyzed 454 samples of BTC and found that BTC subtypes exhibit diverse DNA alterations, RNA inflammatory signatures, and immune biomarkers. Potentially actionable molecular alterations were identified in 30.5% of BTCs including 39.1% of intrahepatic cholangiocarcinoma. We identified four clusters of BTC, each containing uniquely altered genes and a distinctive tumor-immune microenvironment.

### Relevance

BTC clusters have distinct clinical and biologic features, and such clusters may provide opportunities for therapeutic development. We also identify relationships between certain molecular alterations and distinctive immunophenotypes, which may provide new opportunities for therapeutic development.

A previous integrative clustering analysis of nearly 500 cholangiocarcinomas defined four clusters, with the cluster enriched in *BAP1*, *IDH1/2*, and *FGFR2* alterations having a significantly better survival than the cluster enriched in *TP53*, *ARID1A*, and *BRCA1/2* mutations.<sup>11</sup>

Immunotherapies targeting the programmed cell death protein 1 (PD1) axis and other immune checkpoints have transformed the management of many cancers, but have thus far demonstrated limited clinical activity in BTC. For example, the phase II KEYNOTE-158 trial enrolled 104 patients with BTCs, of whom six had an objective response to therapy (objective response rate 5.8%).<sup>12</sup> Other phase II trials targeting the PD1 axis in BTC have similarly reported response rates of 2.9%-11%.<sup>13</sup> Enhanced comprehension of the immune landscape in molecularly defined subsets of BTCs may specifically enhance patient selection for immunotherapy and inform the development of novel combination strategies for distinct molecularly defined subsets of this cancer.

A number of biomarkers related to immune system function have been discovered and developed to better predict responses to immunotherapy-based treatments. These biomarkers include quantities that can be calculated from either genomic or RNA expression-level data. Tumors with large numbers of genomic mutations (having a high tumor mutational burden [TMB]) appear to be particularly susceptible to immune-checkpoint blockade therapy.<sup>14,15</sup> Similarly, programmed deathligand 1 (PD-L1) protein levels are partially predictive of the response to anti-PD-L1 therapies across numerous cancer types.<sup>16-18</sup> In most tumor types, TMB and PD-L1 expression each provide independent information and are minimally correlated with one another.<sup>19</sup> Various other metrics describing immune cell infiltration and/or the expression of particular subsets of genes have been developed and are predictive of immunotherapy responses in particular contexts.<sup>20-24</sup> A better understanding of the overall landscape of immunerelated biomarkers in BTCs and possible subtypespecific differences may thus help guide future research and therapeutic options.

Here, we present real-world data for the mutational patterns of BTC subtypes from the Tempus clinicogenomic database, which contains clinical, genomic, and transcript-level data. Critically, we leverage the availability of RNA-based gene expression data to calculate several immune-related biomarkers of immunotherapy response and compare these differences across subtypes and mutational landscapes to provide insight into possible subtype-specific differences in immunotherapy treatment responses. Our study thus presents an overview of the intersection between particular genomic mutations, BTC subtypes, and immune-related biomarkers.

## **METHODS**

## **Cohort Selection and Data Processing**

The Tempus clinicogenomic database consists of deidentified clinical data and DNA and RNA sequencing, performed for the care of oncology patients in standard clinical practice. Patients were eligible for our cohort if they had a confirmed histologic diagnosis of cholangiocarcinoma, with paired clinical demographic data and RNA sequencing. Records were included in the cohort regardless of sex, race, stage, treatment status, or tissue sample site. Of 1,500 potential BTC records in the Tempus database, we identified BTC records with matched RNA and clinical data (N = 454). Of these 454 records, most had matched DNA sequencing data as well (n = 367). These data were derived from the Tempus xT solid tumor LDT assay (DNA-seq of 595-648 genes at 500× coverage, full transcriptome RNA-seq).<sup>25,26</sup> The primary mutations identified by this assay include germline and/or somatic single-nucleotide polymorphisms (SNPs), insertions/ deletions (Indels), fusions, and copy number variations. Intrahepatic, extrahepatic, and gallbladder cancer designations were derived from curated clinical data. All specimens undergo pathologist assessment of the hematoxylin and eosin slide for overall tumor amount and percent tumor cellularity as a ratio of tumor to normal nuclei. A minimum tumor cellularity of 20% is required to proceed for xT and RNA fusion analysis and 30% for RNA expression. Approval for this study was obtained from the Advarra Institutional Review Board Protocol (Pro00042950).

## Sequencing and Processing of RNA Samples

RNA-seq gene expression data were generated from formalin-fixed, paraffin-embedded tumor samples using an exome capture–based RNA-seq protocol previously published.<sup>25,26</sup> In brief, RNA-seq data were aligned to GRCh38 using STAR (2.4.0.1)<sup>27</sup> and expression quantification per gene was computed using featureCounts (1.4.6).<sup>28</sup> Normalized gene expression data for cancer types were log10-transformed and used for all subsequent analyses.

## **Mutation-Based Cluster Generation**

We applied the agglomerative clustering method (part of the scikit-learn Python package) to cluster patients on the basis of the presence or absence of driver mutations in the following genes: *IDH1, PBRM1, FGFR2, BRAF, ERBB2, KRAS, NRAS, TP53, PIK3CA, BRCA1, BRCA2, ATM, POLE, MET, BAP1, ARID1A, CDKN2A, CDKN2B, KMT2C, TERT, KMT2D,* and *LRP1B.* The number of clusters n was determined by manually evaluating a range of possible values and observing that the most stable clusters occurred with n = 4. The other important clustering parameters that we set include the affinity (Euclidean) and linkage (ward).

## **TMB Estimation**

TMB was derived from targeted genomic sequencing. The TMB was calculated by dividing the count of all nonsilent mutations (including missense SNPs/indels) by the total size of the panel coding region. For this analysis, we include 367 records (from the larger subset of 454) for which we had DNA-level information.

## **Immune-Related Biomarkers**

All reported immune-related biomarkers were derived from RNA expression data. PD-L1 expression levels—calculated from the CD274 gene-were extracted for each sample from RNA expression data and are displayed as the log of the normalized abundances, following mean and variance transformation. Immune cytolytic activity (CYT) is derived from transcript levels of two key cytolytic effectors, granzyme A and perforin, and was calculated as described by Rooney et al.<sup>29</sup> In a pan-cancer cohort, higher CYT scores were associated with a modest but improved long-term survival benefit. The neoadjuvant response signature (NRS) was calculated as described by Huang et al.<sup>30</sup> In that study, higher NRS scores were associated with improved outcomes during anti-PD-1 therapy in stage III/IV melanoma. The immuno-predictive score (IMPRES) was calculated as described by Auslander et al.<sup>21</sup> Higher scores on

this metric were shown to be associated with high immune response and improved outcomes after immune checkpoint blockade therapy in melanomas. Estimates of immune cell infiltration were derived from an RNA deconvolution model, as previously described.<sup>25,31</sup>

## Statistical Analysis

For all pairwise comparisons on continuous, normally distributed variables, we used the two-sided Student's *t*-test for *P* value calculation. Similarly, we used one-way analysis of variance for comparisons involving more than two groups. We applied the nonparametric Mann-Whitney U test or Kruskal-Wallis *H* test for statistical comparisons involving non-normally distributed continuous variables (TMB, RNA signatures, and immune infiltration) and Fisher's exact test for assessing significant differences between categorical variables. When multiple pairwise comparisons were performed, we used Bonferroni-corrected *P* value thresholds to ensure statistical robustness of our findings. All statistical tests were performed using the SciPy package in Python.

## RESULTS

## Clinical Characteristics, Demographic Features, and Mutational Patterns

Our retrospective study leveraged deidentified real-world data records from the Tempus clinicogenomic database, selecting records with matched RNA and clinical data (N = 454) as well as a subset with matched DNA, RNA, and clinical data (n = 367). Intrahepatic, extrahepatic, and gallbladder cancer data were included regardless of stage, treatment, or tumor site. Using this rich data set, we assessed associations between subtypes and a range of features including demographic (age, sex, and smoking status) and clinical characteristics (stage; Table 1).

## Integrated Clustering of BTC Reveals Four Distinct Genomic Clusters

Across all subtypes, where available, we analyzed mutational patterns and detected alterations in *TP53* (42.5%), *CDKN2A* (23.4%), *ARID1A* (19.6%), *BAP1* (15.5%), *CDKN2B* (14.2%), *KRAS* (15%), *PBRM1* (11.7%), *IDH1* (11.7%), *TERT* (8.4%), *KMT2C* (10.4%), and *LRP1B* (8.4%), along with *FGFR2* fusions (8.7%). We assessed associations between driver gene mutations and BTC subtypes. Consistent with previous studies,<sup>9,10</sup> *FGFR2* fusions and mutations in *BAP1*, *IDH1*, and *PBRM1* were enriched in intrahepatic BTC. Mutations in *TP53* and *ERBB2* were observed at similar frequencies across gallbladder and extrahepatic BTCs but were significantly less common in intrahepatic BTC (Appendix Table A1).

To determine the potential benefit of molecular testing in BTC, we assessed the percentage of BTC samples for which testing identified potentially actionable alterations. For this analysis, we restricted our analysis to biomarkers for which tumor-agnostic drug approvals exist in the

TABLE 1. Comparison of Clinical	Characteristics of Data	Records in the Overall	Cohort and Across BTC Subtypes
---------------------------------	-------------------------	------------------------	--------------------------------

Characteristic	Overall	EHC	GB	IHC	Р
Total, No.	454	34	153	267	
Sex, No. (%)					
Female	268 (59.0)	16 (47.1)	109 (71.2)	143 (53.6)	.001
Male	188 (41.0)	18 (52.9)	44 (28.8)	124 (46.4)	
Age at biopsy, years, median (Q1, Q3)	66.0 (58.8, 72.6)	67.6 (59.3, 73.1)	66.7 (60.6, 75.0)	65.6 (58.0, 71.2)	.721
Stage at RNA biopsy, No. (%)					
l	10 (4.6)	2 (9.5)	2 (2.0)	6 (6.1)	.012
II	12 (5.5)	3 (14.3)	1 (1.0)	8 (8.2)	
	27 (12.3)	2 (9.5)	18 (18.0)	7 (7.1)	
IV	171 (77.6)	14 (66.7)	79 (79.0)	77 (78.6)	
ECOG, No. (%)					
0	86 (40.2)	5 (31.2)	26 (41.3)	55 (40.7)	.829
1	104 (48.6)	8 (50.0)	33 (52.4)	62 (45.9)	
2	19 (8.9)	2 (12.5)	3 (4.8)	15 (11.1)	
3	5 (2.3)	1 (6.2)	1 (1.6)	3 (2.2)	
Smoking history, No. (%)					
No	316 (69.6)	26 (76.5)	111 (72.5)	179 (67.0)	.331
Yes	138 (30.4)	8 (23.5)	42 (27.5)	88 (33.0)	

NOTE. The only strongly significant difference that we observed (P value < .01) was an enrichment for female records with GB cancer, which is consistent with the known elevated risk for females with the GB subtype.

Abbreviations: BTC, biliary tract cancer; ECOG, Eastern Cooperative Oncology Group; EHC, extrahepatic cholangiocarcinoma; GB, gallbladder; IHC, intrahepatic cholangiocarcinoma.

United States (eg, TMB > 10 mutations per megabase [m/MB], microsatellite instability or mismatch repair deficiency, or *NTRK* gene fusions) or phase II-III trials have demonstrated clear evidence of therapeutic benefit in biliary tract cancers (*FGFR2* fusions or rearrangements, and *IDH1*, *ERBB2*, or *BRAF* [V600E] mutations). Using these criteria, we identified potentially actionable biomarkers in 30.5% of all BTCs, including 39.1% of IHC, 29.6% of EHC, and 15% of GB cancers.

We observed a number of mutually exclusive mutational pairs (tested across all subtypes), where the presence of comutations is significantly lower than that expected on the basis of single mutation frequencies. The most notable example of mutual exclusivity that we observed was between *TP53* and *BAP1* (chi-squared test, P < .001). Furthermore, one of *TP53*, *BAP1*, or *IDH1* was involved in all significantly identified mutually exclusive pairings that we identified (Appendix Table A2), consistent with previous studies.<sup>10</sup>

Clustering analysis on the basis of driver mutation status (22 genes in total) revealed four distinct clusters, with cluster 4 predominately associated with *FGFR2* and *BAP1* mutations in intrahepatic BTC (Fig 1). The rest of the clusters consisted of a mix between all three subtypes. Cluster 1 was enriched for *TP53*, *KRAS*, and *ATM* mutations, cluster 2 was enriched for *CDKN2A/B* alterations, cluster 3 was enriched for mutations in the chromatin-

remodeling genes *ARID1A* and *PBRM1*, as well as *IDH1* mutations.

From our rich DNA-seq and RNA-seq data set, we quantified *CD274* (PD-L1) gene expression levels and a variety of other immune-related biomarkers for each record. We subsequently examined the variation in these biomarkers across the four identified clusters and found a number of significant differences. Cluster 1—enriched in *TP53, KRAS,* and *ATM* variants—had the highest PD-L1 gene expression and was significantly higher than cluster 4—enriched in *FGFR2* and *BAP1* variants (Fig 2A). In addition, clusters 1 and 3 had significantly higher CYT scores than cluster 2 (Fig 2B). There were significant differences between clusters for a number of other immune-related biomarkers (Figs 2C and 2D); cluster 1 was generally associated with the highest scores, which is indicative of an inflamed tumor-immune microenvironment. TMB was similar across all four clusters.

## Differences in TMB, PD-L1, and Immune-Related Signatures Across BTC Subtypes

Previous analyses show that BTC subtypes exhibit a range of possible mutations and generally fail to cluster according to subtype when looking only at driver gene mutations. However, we discovered that these clusters have significant variation across a number of immune-related biomarkers. We next wanted to assess whether subtypes themselves



**FIG 1.** Mutational frequency and clustering across BTC subtypes. We detected alterations in *TP53* (42.5%), *CDKN2A* (23.4%), *ARID1A* (19.6%), *BAP1* (15.5%), *CDKN2B* (14.2%), *KRAS* (15%), *PBRM1* (11.7%), *IDH1* (11.7%), *TERT* (8.4%), *KMT2C* (10.4%), and *LRP1B* (8.4%), along with *FGFR2* fusions (8.7%). Note that the others category may contain a variety of changes including splice variants, stop gain, start loss, amplification, or promoter region variants. Four distinct clusters on the basis of driver mutation status (22 genes) were detected. Clusters 1-3 crossed anatomical subsets of BTC, whereas cluster 4 was highly enriched for IHC (IHC: 96.7%, EHC: 3.3%, GB: 0%). Cluster 1 (IHC: 47.5%, EHC: 9%, and GB: 43.5%) was enriched for *TP53*, *KRAS*, *ERBB2* (*HER2*), and *ATM* mutations, cluster 2 (IHC: 55%, EHC: 5%, and GB: 40%) was most enriched for *CDKN2AB* alterations, and cluster 3 (IHC: 74.5%, EHC: 6.1%, and GB: 19.4%) was enriched for mutations in the chromatin-remodeling genes *ARID1A* and *PBRM1* as well as *IDH1* mutations, whereas cluster 4 consists primarily of *FGFR2* fusions and *BAP1* mutations. BTC, biliary tract cancer; EHC, extrahepatic cholangiocarcinoma; GB, gallbladder; IHC, intrahepatic cholangiocarcinoma; indel, insertions/deletions; SNP, single nucleotide polymorphism.

exhibit distinct immune-related features to get a better understanding of subtype diversity at the molecular level.

Within the intrahepatic, extrahepatic, and gallbladder BTC subtypes, we observed that both TMB and PD-L1 gene expression are highly variable (Figs 3A and 3B). TMB was higher in extrahepatic and gallbladder cancers than in intrahepatic cancers with median TMB values of 2.5 m/MB for gallbladder, 1.92 m/MB for intrahepatic, and 2.63 m/MB for extrahepatic subtypes. Across all possible pairwise comparisons, we observed significant differences (Mann-Whitney U test, P < .01) between gallbladder and intrahepatic subtypes and between intrahepatic and extrahepatic subtypes. Median PD-L1 gene expression values (log of the normalized abundances, following mean and variance transformation) were 0.997 for gallbladder, 0.875 for intrahepatic, and 1.01 for extrahepatic subtypes. Across all possible pairwise comparisons, the only significant difference we observed was between gallbladder and intrahepatic subtypes.

We next used our RNA-seq data set to investigate subtypespecific differences in immune-related biomarkers that have been previously described, notably CYT, NRS, and immunopredictive (IMPRES) scores<sup>21,29,30</sup> (Figs 3C-3E). Scores were relatively similar across these major BTC subsets, but with a general observed trend of higher immune scores in gallbladder and lower immune scores in intrahepatic. Median CYT scores were 1.89 for gallbladder, 1.75 for intrahepatic, and 1.86 for extrahepatic subtypes. Median NRS scores were 2.09 for gallbladder, 1.98 for intrahepatic, and 2.02 extrahepatic subtypes. For both CYT and NRS scores, the differences between gallbladder and intrahepatic subtypes were significant (Student's *t*-test, P < .01 after correcting for multiple comparisons), whereas all other pairwise comparisons were insignificant. Median IMPRES scores were 9.0 for gallbladder, 9.0 for intrahepatic, and 10.0 for extrahepatic subtypes. None of the possible pairwise comparisons were significantly different for this score.

## Immune-Related Features Vary Significantly Across Genotypes

Previous analyses show that BTC subtypes significantly vary according to numerous biomarkers of immune function. In addition, biomarkers of immune function were unique across the four clusters that we have identified. Interestingly, each of these clusters were enriched for a distinct set of genetic alterations. However, our real-world data set consists of hundreds of tumors with a variety of genomic mutations. We wondered if the differences in immune function biomarkers were driven by specific individual genetic alterations found within each cluster. We thus assessed gene-biomarker associations across the subset of patients for whom we have matched DNA, RNA, and clinical data (n = 367). We

Α В 3.0 2.0 2.5 CD274 (PD-L1) 1.5 CYT Score 2.0 1.0 1.5 0.5 1.0 0.0 3 2 2 1 Δ 1 3 Cluster Cluster С 1 Mutation Cluster Single Cluster vs All Others (log<sub>2</sub>FC 2 0 3 4 Imm<sub>une Infiliration</sub> CD224(PD.41) <sup>B</sup>Cells Macrophages Plasma Cells Memory B Cells Memory B Cells Memory Relling Crivated Dendritic Cells Memory Relling Memory Relling CYT Score GEP Score D Cluster 2 Cluster 3 **Cluster 4** TP53, KRAS, and ARID1A, PBRM1, Commonly altered CDKN2A/B FGFR2 and BAP1 ATM and IDH1 genes Association with All three BTC All three BTC All three BTC Enriched for IHC **BTC** subtypes subtypes subtypes subtypes PD-L1 expression Highest Lowest Most inflamed Increased activated tumor-immune Features of the Decreased activated microenvironment Decreased memory NK cells and M2 tumor-immune resting CD4 cells macrophages and dendritic cells microenvironment Increased B cells decreased B cells and plasma cells

FIG 2. Cluster-biomarker associations (N = 454). (A) PD-L1 gene expression and (B) CYT scores for each of the four driver gene-defined clusters identified in Figure 1 (significant pairwise associations were assessed via the Mann-Whitney U test; \*P <.01 and \*\*P < .001 after correcting for multiple com-(C) parisons). Immunerelated biomarkers for which the Mann-Whitney U test showed significant differences across clusters (P <.01). For visualization, each box is colored according to the log-fold change between the single cluster when compared across aggregated values from all other clusters. Red denotes that the cluster had higher biomarker scores, whereas blue denotes lower. (D) Summary of clusterdefining features. BTC, biliary tract cancer; CYT, cytolytic activity; GEP, T-cell inflamed gene expression profile; IHC, intrahepatic cholangiocarcinoma; PD-L1, programmed death-ligand 1; NK, natural killer; NRS, neoadjuvant response signature.

expanded our set of immune biomarkers to encompass a broad range of features that have been shown in various studies to play a role in either predicting immune function or responses to immunotherapies. We display our findings as a heat map where colored blocks indicate significantly correlated gene-biomarker pairs (log-fold change) in mutant versus wild-type groups (Mann-Whitney U test, P < .05 after

correction for multiple testing; Fig 4). Shown are the subset of commonly characterized driver genes in BTC for which we observed a statistically significant interaction with at least one immune biomarker.

Across all comparisons, we observed roughly equal numbers of instances where specific biomarker signatures were higher (and lower) in mutant (relative to wild-type) genotypes.



**FIG 3.** Subtype-specific differences in immune-related biomarkers: (A) TMB (n = 367), (B) PD-L1 gene expression (N = 454), (C) CYT (N = 454), (D) NRS (N = 454), and (E) immuno-predictive (IMPRES, N = 454) scores for different BTC subtypes. All pairwise comparisons were performed, and significant comparisons—after correcting for multiple testing—are shown (\*P < .01, \*\*P < .001). Boxes show the 25th-75th percentiles, and red lines denote the sample medians. BTC, biliary tract cancer; CYT, cytolytic activity; EHC, extrahepatic cholangiocarcinoma; GB, gallbladder; IHC, intrahepatic cholangiocarcinoma; NRS, neoadjuvant response signature; TMB, tumor mutational burden.

Records with mutant *TP53*, for instance, had significantly higher TMB (red squares, Fig 4). By contrast, PD-L1 expression was significantly lower in records where *BAP1* was mutated (blue squares, Fig 4). Most genes showed only a small number of significant associations, but *IDH1*, *TP53*, *BRAF*, and *BAP1* each had several significant differences in immune biomarkers across mutant and wild-type genotypes, consistent with previous reports.

## DISCUSSION

We analyzed a clinically annotated cohort of more than 400 BTCs using the Tempus molecular profiling platform. To our knowledge, this is the largest reported cohort of BTC with comprehensive genomic and transcriptomic profiling. Consistent with previous reports, we find that a high frequency of BTCs have potentially actionable molecular alterations, especially IHCs, supporting the use of molecular profiling for patients with BTC. Our estimate that 39.1% of IHCs have potentially actionable molecular alterations is a relatively conservative estimate because we only included biomarkers supported by published phase II-III studies in BTC or tumoragonistic approvals. Inclusion of other biomarkers with the

potential to be actionable on the basis of case reports in BTC, including but not limited to *ROS1* fusions or *BRCA1/2* mutations,<sup>32</sup> would have resulted in a higher estimation of patients standing to benefit from multimodal genomic profiling.

BTCs are a heterogeneous group of tumors but are generally treated similarly with the exception of the subset of BTCs with potentially actionable molecular alterations. Here, we find that several biomarkers thought to be associated with anti-PD1 sensitivity-including TMB, PD-L1 expression, and other immune-related biomarkers indicative of an inflamed tumorimmune microenvironment-were elevated in GB cancer as compared with IHC and EHC. Clinical trials of PD1-targeted/ PD-L1-targeted therapy in BTC have reported only modest clinical activity, but have generally recruited a relatively small number of patients with GB cancer. This makes it difficult to assess the efficacy of anti-PD1 therapy in this anatomical subset of BTC. Our data are hypothesis-generating but suggest that GB cancer could be more sensitive to anti-PD1 therapy than other anatomical subsets, and further evaluation of anti-PD1 therapy and other immunotherapies in GB cancer is warranted.



**FIG 4.** Gene-biomarker associations across patients with matched DNA, RNA, and clinical data (n = 367). Colored blocks in the heat map indicate significantly correlated gene-biomarker pairs in mutant versus WT groups (colors represent log-fold change, Mann-Whitney U test, P < .05 multiple-testing correction). Red squares indicate examples where the given biomarker is *higher* in mutant (relative to WT) genotypes, whereas blue squares denote cases where it is lower. CYT, cytolytic activity; DC, dendritic cell; GEP, T-cell inflamed gene expression profile; NRS, neoadjuvant response signature; PD-L1, programmed death-ligand 1; WT, wild-type

Several molecular alterations identified in our data set were mutually exclusive, suggesting that such alterations define distinct BTC subtypes. Our cluster analyses revealed four distinct clusters of BTC. Cluster 4 (consisting primarily of FGFR2 fusions and BAP1 mutations in IHC) has been identified in two other integrative clustering analyses of BTC.<sup>11,33</sup> Conversely, other clusters identified in this work were not obviously matched with these other analyses. We acknowledge that the genomic heterogeneity of BTCs might very well reflect the diverse underlying risk factors and associated pathologies. We therefore hypothesize that differences in the patient populations may account for some of these differences. as our samples were exclusively from North America where the incidence of liver fluke-associated BTC is rare or absent, whereas clustering analyses conducted on behalf of the International Cancer Genome Consortium included a large number of fluke-associated BTCs from Asia.

Our clustering analyses demonstrate that the molecular profiling may provide distinct information about the biology of BTC, even for tumors for which targeted therapies are not yet available. With the exception of cluster 4, all the other subsets comprise a mix of IHC, EHC, and GB tumors, indicating that the molecular data provide information beyond what can be learned from the anatomical site. Although cluster 4 appears to be driven by abrupt genomic events (eg, *FGFR2* rearrangements or fusions), the biology of cluster 3 appears to be driven by genes that regulate transcription, DNA repair, and the epigenetic landscape,

which, in turn, lead to tumor progression. Specifically, *IDH1* mutations are enriched in this cluster and have been shown to increase 2-hydroxyglutarate oncometabolite production, leading to widespread epigenetic dysregulation.<sup>34,35</sup>

Our retrospective analysis of a real-world data set is advantageous because of the scale and complexity of data that we are able to obtain, but it nevertheless has several possible limitations. First and most foremost is that real-world data are heterogeneous. We investigated possible factors that could confound our analyses (Table 1), but differences across a range of other demographic or clinical features—such as prior therapies—may obscure important effects or bias our results. We also focused a portion of our analyses on RNA, but RNA and protein abundances do not exhibit a one-to-one correspondence. Finally, the objective of our study was to assess differences in molecular-level and genome-level features across subtypes, and it is important to note that we did not consider clinical outcomes or end points.

In summary, we identified a high frequency of potentially actionable molecular alterations in BTC, and we believe that molecular profiling should be considered for all patients who may stand to benefit from the discovery of a potentially actionable mutation in this population. With the exception of a few biomarker-indicated therapies (eg, FGFR2 inhibitors for *FGFR2* fusion–positive BTC), most BTC is treated without regard to molecular drivers and most therapeutic trials are conducted across anatomical and molecular clusters. Our results indicate that specific BTC clusters have distinct clinical

and biologic features and such clusters may provide opportunities for therapeutic development. We also identify relationships between individual driver genes and certain immunerelated features, including enhanced M2 polarization of macrophages in *IDH1*-mutated BTC and low immune

### **AFFILIATIONS**

<sup>1</sup>Mayo Clinic, Jacksonville, FL
<sup>2</sup>Tempus Labs Inc, Chicago, IL
<sup>3</sup>Johns Hopkins University, Baltimore, MD
<sup>4</sup>Division of Hematology and Oncology, Department of Medicine, University of Arizona Cancer Center, Tucson, AZ
<sup>5</sup>The University of California, San Francisco Medical Center, San Francisco, CA
<sup>6</sup>USC Norris Comprehensive Cancer Center, Los Angeles, CA
<sup>7</sup>Emory University School of Medicine, Winship Cancer Institute, Atlanta, GA

## CORRESPONDING AUTHOR

Mark Yarchoan, MD, Johns Hopkins University, 1650 Orleans St, CRBI 4M08, Baltimore, MD 21287; e-mail: mark.yarchoan@jhmi.edu.

### **EQUAL CONTRIBUTION**

K.M. and P.J. contributed equally to this work.

#### **SUPPORT**

Supported by The Cholangiocarcinoma Foundation (to M.Y.), the National Cancer Institute Specialized Program of Research Excellence (SPORE) in Gastrointestinal Cancers (P50 CA062924), the NIH Center Core Grant (P30 CA006973), and the American Society of Clinical Oncology Career Development Award.

#### **AUTHOR CONTRIBUTIONS**

Conception and design: Kabir Mody, Prerna Jain, Nilofer S. Azad, Anthony B. El-Khouiery, Gregory B. Lesinski, Mark Yarchoan Administrative support: Sherif M. El-Refai, Mark Yarchoan Provision of study materials or patients: Sherif M. El-Refai, Mark Yarchoan Collection and assembly of data: Kabir Mody, Prerna Jain, Sherif M. El-Refai, Rachna T. Shroff, R. Katie Kelley, Adam J. Hockenberry Data analysis and interpretation: All authors Manuscript writing: All authors Final approval of manuscript: All authors

Accountable for all aspects of the work: All authors

## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

The following represents disclosure information provided by authors of this manuscript. All relationships are considered compensated unless otherwise noted. Relationships are self-held unless noted. I = Immediate Family Member, Inst = My Institution. Relationships may not relate to the subject matter of this manuscript. For more information about ASCO's conflict of interest policy, please refer to www.asco.org/rwc or ascopubs. org/po/author-center.

Open Payments is a public database containing information reported by companies about payments made to US-licensed physicians (Open Payments).

#### Kabir Mody

Stock and Other Ownership Interests: CytoDyn, ONCOtherapeutics

infiltration in BTC with *FGFR2* fusions or rearrangements, providing initial evidence for combining targeted inhibition of specific drivers to reprogram the tumorimmune microenvironment in combination with systemic immunotherapy.

**Consulting or Advisory Role:** Celgene, Genentech/Roche, Merrimack, Eisai, AstraZeneca, Vicus Therapeutics, Ipsen, Boston Scientific, BTG, BTG, Exelixis, Incyte (Inst), QED Therapeutics

**Research Funding:** FibroGen (Inst), Senhwa Biosciences (Inst), ARIAD (Inst), TRACON Pharma (Inst), MedImmune (Inst), Agios (Inst), ArQule (Inst), Taiho Pharmaceutical (Inst), Gritstone Bio (Inst), Incyte (Inst), Merck (Inst), Vyriad (Inst), Turnstone Bio (Inst), AstraZeneca (Inst), Basilea (Inst)

#### Prerna Jain

Employment: Tempus, Concerto HealthAl Travel, Accommodations, Expenses: Tempus

### Sherif M. El-Refai

Employment: Tempus Stock and Other Ownership Interests: Tempus

#### Nilofer S. Azad

Consulting or Advisory Role: QED Therapeutics, Merck, Incyte, Helsinn Therapeutics/QED Therapeutics, AstraZeneca, Mirati Therapeutics Research Funding: Celgene (Inst), Genentech (Inst), Astex Pharmaceuticals (Inst), Agios (Inst), Merck (Inst), Bristol Myers Squibb (Inst), Syndax (Inst), Array BioPharma (Inst), Intensity Therapeutics (Inst), Bayer (Inst), EMD Serono, Debiopharm Group (Inst), Incyte (Inst), Loxo/Lilly (Inst), AtlasMedx (Inst)

#### Daniel J. Zabransky

Honoraria: Remedy Health Group, LLC

Research Funding: Roche/Genentech

Patents, Royalties, Other Intellectual Property: Under separate licensing agreements between Horizon Discovery, Ltd and The Johns Hopkins University, I am entitled to a share of royalties received by the university on sales of products

#### Rachna T. Shroff

**Consulting or Advisory Role:** Exelixis, Merck, QED Therapeutics, Incyte, AstraZeneca, Taiho Pharmaceutical, Boehringer Ingelheim, Servier, Genentech, Basilea

**Research Funding:** Pieris Pharmaceuticals, Taiho Pharmaceutical, Merck, Exelixis, QED Therapeutics, Rafael Pharmaceuticals, Bristol Myers Squibb, Bayer, Immunovaccine, Seattle Genetics, Novocure, NuCana, Loxo/Lilly, Faeth Therapeutics

#### R. Katie Kelley

**Consulting or Advisory Role:** Agios (Inst), AstraZeneca (Inst), Bristol Myers Squibb (Inst), Genentech/Roche, Merck (Inst), Gilead Sciences, Exact Sciences, Kinnate Biopharma, Exelixis/Ipsen (Inst)

**Research Funding:** Lilly (Inst), Exelixis (Inst), Novartis (Inst), Bristol Myers Squibb (Inst), MedImmune (Inst), Merck Sharp & Dohme (Inst), Agios (Inst), AstraZeneca (Inst), Adaptimmune (Inst), Taiho Pharmaceutical (Inst), Bayer (Inst), QED Therapeutics (Inst), EMD Serono (Inst), Partner Therapeutics (Inst), Genentech/Roche (Inst), Surface Oncology (Inst), Relay Therapeutics (Inst), Loxo/Lilly (Inst)

Travel, Accommodations, Expenses: Ipsen

#### Anthony B. El-Khouiery

Honoraria: Bayer, Bristol Myers Squibb, Roche/Genentech, EMD Serono, Eisai, Merck, Agenus, Pieris Pharmaceuticals, Exelixis, CytomX Therapeutics, Gilead Sciences, AstraZeneca/MedImmune, ABL Bio, QED Therapeutics, Servier, Tallac Therapeutics Mody et al

**Consulting or Advisory Role:** CytomX Therapeutics, Bristol Myers Squibb, Bayer, Eisai, Roche, EMD Serono, Merck, Exelixis, Pieris Pharmaceuticals, Agenus, Gilead Sciences, AstraZeneca/MedImmune, ABL Bio, QED Therapeutics, Servier, Tallac Therapeutics **Research Funding:** AstraZeneca, Astex Pharmaceuticals, Fulgent Genetics

Adam J. Hockenberry Employment: Tempus Stock and Other Ownership Interests: Tempus

Denise Lau Employment: Tempus Stock and Other Ownership Interests: Tempus Patents, Royalties, Other Intellectual Property: Tempus

Gregory B. Lesinski Consulting or Advisory Role: ProDa **Research Funding:** Merck (Inst), Bristol Myers Squibb (Inst), Novartis (Inst), Boehringer Ingelheim (Inst), Vaccinex (Inst)

#### Mark Yarchoan

Consulting or Advisory Role: Eisai, Exelixis, AstraZeneca, Genentech/ Roche, Replimune, Hepion Pharmaceuticals Research Funding: Bristol Myers Squibb (Inst), Merck (Inst), Exelixis (Inst), Incyte (Inst) Uncompensated Relationships: Merck

No other potential conflicts of interest were reported.

### ACKNOWLEDGMENT

The authors thank Alexandria Bobe and members of the Tempus Scientific Communications team for feedback on the manuscript and the Cholangiocarcinoma Foundation for support for this project.

#### REFERENCES

- 1. Rizvi S, Khan SA, Hallemeier CL, et al: Cholangiocarcinoma—evolving concepts and therapeutic strategies. Nat Rev Clin Oncol 15:95-111, 2018
- 2. Banales JM, Cardinale V, Carpino G, et al: Expert consensus document: Cholangiocarcinoma: Current knowledge and future perspectives consensus statement from the European Network for the Study of Cholangiocarcinoma (ENS-CCA). Nat Rev Gastroenterol Hepatol 13:261-280, 2016
- 3. DeOliveira ML, Cunningham SC, Cameron JL, et al: Cholangiocarcinoma: Thirty-one-year experience with 564 patients at a single institution. Ann Surg 245:755-762, 2007
- 4. Nakeeb A, Pitt HA, Sohn TA, et al: Cholangiocarcinoma. A spectrum of intrahepatic, perihilar, and distal tumors. Ann Surg 224:463-473, 1996; discussion 473-475
- 5. Bertuccio P, Malvezzi M, Carioli G, et al: Global trends in mortality from intrahepatic and extrahepatic cholangiocarcinoma. J Hepatol 71:104-114, 2019
- 6. Charbel H, Al-Kawas FH: Cholangiocarcinoma: Epidemiology, risk factors, pathogenesis, and diagnosis. Curr Gastroenterol Rep 13:182-187, 2011
- 7. Valle JW, Lamarca A, Goyal L, et al: New horizons for precision medicine in biliary tract cancers. Cancer Discov 7:943-962, 2017
- Dudley JC, Zheng Z, McDonald T, et al: Next-generation sequencing and fluorescence in situ hybridization have comparable performance characteristics in the analysis of pancreaticobiliary brushings for malignancy. J Mol Diagn 18:124-130, 2016
- 9. Weinberg BA, Xiu J, Lindberg MR, et al: Molecular profiling of biliary cancers reveals distinct molecular alterations and potential therapeutic targets. J Gastrointest Oncol 10:652-662, 2019
- Lowery MA, Ptashkin R, Jordan E, et al: Comprehensive molecular profiling of intrahepatic and extrahepatic cholangiocarcinomas: Potential targets for intervention. Clin Cancer Res 24:4154-4161, 2018
- 11. Jusakul A, Cutcutache I, Yong CH, et al: Whole-genome and epigenomic landscapes of etiologically distinct subtypes of cholangiocarcinoma. Cancer Discov 7:1116-1135, 2017
- 12. Piha-Paul SA, Oh D-Y, Ueno M, et al: Efficacy and safety of pembrolizumab for the treatment of advanced biliary cancer: Results from the KEYNOTE-158 and KEYNOTE-028 studies. Int J Cancer 147:2190-2198, 2020
- 13. Kim RD, Chung V, Alese OB, et al: A phase 2 multi-institutional study of nivolumab for patients with advanced refractory biliary tract cancer. JAMA Oncol 6:888-894, 2020
- 14. Yarchoan M, Hopkins A, Jaffee EM: Tumor mutational burden and response rate to PD-1 inhibition. N Engl J Med 377:2500-2501, 2017
- 15. Chan TA, Yarchoan M, Jaffee E, et al: Development of tumor mutation burden as an immunotherapy biomarker: Utility for the oncology clinic. Ann Oncol 30:44-56, 2019
- 16. Reck M, Rodríguez-Abreu D, Robinson AG, et al: Pembrolizumab versus chemotherapy for PD-L1-positive non-small-cell lung cancer. N Engl J Med 375:1823-1833, 2016
- 17. Bellmunt J, de Wit R, Vaughn DJ, et al: Pembrolizumab as second-line therapy for advanced urothelial carcinoma. N Engl J Med 376:1015-1026, 2017
- 18. Ferris RL, Blumenschein G Jr, Fayette J, et al: Nivolumab for recurrent squamous-cell carcinoma of the head and neck. N Engl J Med 375:1856-1867, 2016
- 19. Yarchoan M, Albacker LA, Hopkins AC, et al: PD-L1 expression and tumor mutational burden are independent biomarkers in most cancers. JCI Insight 4:e126908, 2019
- 20. Cristescu R, Mogg R, Ayers M, et al: Pan-tumor genomic biomarkers for PD-1 checkpoint blockade-based immunotherapy. Science 362:eaar3593, 2018
- 21. Auslander N, Zhang G, Lee JS, et al: Robust prediction of response to immune checkpoint blockade therapy in metastatic melanoma. Nat Med 24:1545-1549, 2018
- 22. Jiang P, Gu S, Pan D, et al: Signatures of T cell dysfunction and exclusion predict cancer immunotherapy response. Nat Med 24:1550-1558, 2018
- 23. Fridman WH, Pagès F, Sautès-Fridman C, et al: The immune contexture in human tumours: Impact on clinical outcome. Nat Rev Cancer 12:298-306, 2012
- 24. Tumeh PC, Harview CL, Yearley JH, et al: PD-1 blockade induces responses by inhibiting adaptive immune resistance. Nature 515:568-571, 2014
- 25. Beaubier N, Bontrager M, Huether R, et al: Integrated genomic profiling expands clinical options for patients with cancer. Nat Biotechnol 37:1351-1360, 2019
- 26. Beaubier N, Tell R, Lau D, et al: Clinical validation of the tempus xT next-generation targeted oncology sequencing assay. Oncotarget 10:2384-2396, 2019
- 27. Dobin A, Davis CA, Schlesinger F, et al: STAR: Ultrafast universal RNA-seq aligner. Bioinformatics 29:15-21, 2013
- 28. Liao Y, Smyth GK, Shi W: featureCounts: an efficient general purpose program for assigning sequence reads to genomic features. Bioinformatics 30:923-930, 2014
- 29. Rooney MS, Shukla SA, Wu CJ, et al: Molecular and genetic properties of tumors associated with local immune cytolytic activity. Cell 160:48-61, 2015
- 30. Huang AC, Orlowski RJ, Xu X, et al: A single dose of neoadjuvant PD-1 blockade predicts clinical outcomes in resectable melanoma. Nat Med 25:454-461, 2019
- 31. Newman AM, Liu CL, Green MR, et al: Robust enumeration of cell subsets from tissue expression profiles. Nat Methods 12:453-457, 2015
- 32. Jakubowski CD, Mohan AA, Kamel IR, et al: Response to crizotinib in ROS1 fusion-positive intrahepatic cholangiocarcinoma. JCO Precis Oncol 4:825-828, 2020
- 33. Farshidfar F, Zheng S, Gingras M-C, et al: Integrative genomic analysis of cholangiocarcinoma identifies distinct IDH-mutant molecular profiles. Cell Rep 18:2780-2794, 2017
- 34. Sturm D, Witt H, Hovestadt V, et al: Hotspot mutations in H3F3A and IDH1 define distinct epigenetic and biological subgroups of glioblastoma. Cancer Cell 22:425-437, 2012
- 35. Mazor T, Chesnelong C, Pankov A, et al: Clonal expansion and epigenetic reprogramming following deletion or amplification of mutant IDH1. Proc Natl Acad Sci USA 114:10743-10748, 2017

## **APPENDIX**

Gene	Gallbladder (n = 121), No. (%)	Intrahepatic (n = 219), No. (%)	Extrahepatic (n = 27), No. (%)	Р
FGFR2 (fusion)	0 (0)	31 (14.2)	1 (3.7)	< .001
IDH1	1 (0.8)	40 (18.3)	2 (7.4)	< .001
PBRM1	5 (4.1)	36 (16.4)	2 (7.4)	.009
ERBB2	18 (14.9)	6 (2.7)	4 (14.8)	.001
TP53	82 (67.8)	59 (26.9)	15 (55.6)	< .001
POLE	1 (0.8)	0 (0)	2 (7.4)	.009
BAP1	1 (0.8)	54 (24.7)	2 (7.4)	< .001

**TABLE A1.** Driver Gene Associations With Particular Biliary Tract Cancer Subtypes (n = 367)

NOTE. Statistical significance was determined via Fisher's exact test. Driver genes for which we tested but did not observe any significant, subtype-specific differences in prevalence included *FGFR3* (*fusion*), *BRAF*, *KRAS*, *NRAS*, *PIK3CA*, *BRCA1*, *BRCA2*, *ATM*, *MET*, *ARID1A*, *CDKN2A*, *CDKN2B*, *KMT2C*, *TERT*, *KMT2D*, and *LRP1B*.

<b>TABLE A2.</b> Mutually Exclusive Gene Mutations Across All Biliary			
Tract Cancer Types in the Tempus Cohort With Included Genomic			
Data (n = 367)			

Gene 1	Gene 2	Р	q
TP53	BAP1	3.345e-14	1.248e-13
KRAS	BAP1	1.691e-06	2.233e-05
TP53	PBRM1	2.585e-05	2.791e-04
TP53	IDH1	2.597e-05	2.791e-04
TERT	BAP1	4.876e-05	4.493e-04
TP53	EPHA2	8.638e-05	7.315e-04
TP53	ARID1A	1.087e-04	8.358e-04
SMAD4	BAP1	1.239e-04	8.358e-04
ERBB2	BAP1	1.333e-04	8.358e-04
SMAD4	IDH1	1.99e-04	1.277e-03
KRAS	IDH1	3.138e-04	2.072e-03
TERT	IDH1	3.359e-04	2.072e-03

NOTE. Shown are both raw *P* values and q-values—a corrected set of *P* values produced via the Benjamini-Hochberg procedure to control for false discovery rate.