

Interleukin 2 and interferon alpha-2a do not improve anti-tumour activity of 5-fluorouracil in advanced colorectal cancer

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Summary Treatment using a combination of 5-fluorouracil (5-FU), interferon-alpha (IFN α -2a) and interleukin 2 (IL-2) has been shown to mediate disease regression in selected patients with advanced colorectal cancer. This phase II study was designed to evaluate the anti-tumour activity and toxicity of the combination of IL-2, IFN α -2a and 5-FU in patients with advanced colorectal cancer. Forty-four patients with metastatic colorectal cancer were treated, predominantly on an outpatient basis, with subcutaneous IFN α -2a and IL-2 three times per week followed by once a week bolus intravenous 5-FU injections. There were six (14%) partial responses among the 43 evaluable patients [95% confidence interval (CI) 5–28%]. Twenty-four patients had stable disease (56%) and 13 patients (30%) showed progressive disease. The median time to progressive disease in 43 patients was 19 weeks (range 2–72 weeks) and in responders 34 weeks (range 24–30 weeks). The median overall survival was 47 weeks (range 2–85 weeks) and in responders 60 weeks (range 35–71 weeks). Treatment-related toxic effects included fatigue, nausea and vomiting. Granulocytopenia was the main reason for the dose reductions or treatment interruptions in 32 out of 44 patients. One patient died of toxicity due to renal failure. Serial assessments of immunophenotyping and cytolytic activities of peripheral blood lymphocytes did not show changes in the numbers of circulating natural killer (NK) cells or in the levels of NK and lymphokine-activated killer (LAK) cytolytic activities. This regimen of IL-2 and IFN α -2a with 5-FU has only modest anti-tumour activity in advanced colorectal cancer.

Keywords: colorectal cancer; interleukin 2; interferon-alpha; 5-fluorouracil

The treatment of advanced and metastatic colorectal cancer remains unsatisfactory despite the availability of many cytotoxic agents. Since 1957, 5-fluorouracil (5-FU) has been the mainstay of therapy for disseminated colorectal cancer (Heidelberg, 1957). Biochemical modulation of the effect of 5-FU with methotrexate or with leucovorin has marginally improved survival (Moertel, 1994). Another approach appears to be the combination of 5-FU with interferon-alpha (IFN α -2a) (Wadler *et al.*, 1989; Pazdur *et al.*, 1990; Kemeny *et al.*, 1990). A potential way to improve the reported results further was suggested by Onodera *et al.* (1990). They studied the effects of 5-FU + leucovorin on the interleukin 2 (IL-2)-related lymphocyte immune response. Rather than being immunosuppressive, the use of 5-FU + leucovorin appeared to augment natural killer (NK) and lymphokine-activated killer (LAK) activity. Promising clinical results were recently reported by Yang *et al.* (1993) applying a combination of 5-FU, leucovorin and IL-2, and by Atzpodien *et al.* (1994) using a combination of 5-FU, IL-2 and IFN α -2a in metastatic colorectal cancer. These studies provided the basis for the design of the study reported here with a schedule of IL-2, IFN α -2a and 5-FU in patients with advanced colorectal cancer. We used the combination of IFN α -2a and IL-2 upfront based on preclinical and clinical data suggesting synergistic anti-tumour activity of this schedule (Cameron *et al.*, 1988; Rosenberg *et al.*, 1989). IFN α can up-regulate expression of major histocompatibility class I antigens (MHC-I) on tumour cells (Weber and Rosenberg, 1988), which are usually down-regulated when the tumour becomes more invasive (Feldman and Eisenbach, 1991; Smith *et al.*, 1988). IFN α augments LAK activity (Chikhala *et al.*, 1990) and has direct antiproliferative and cytotoxic properties against tumour cells (Gresser, 1989).

These properties may alter the malignant phenotype of tumour cells so that they become more susceptible to the cytolytic activity of immune cells.

Materials and methods

Patient eligibility

Patients were required to have histologically confirmed metastatic or locally advanced measurable adenocarcinoma of the colon or rectum not previously treated with systemic therapy. Patients were required to be ≤ 75 years of age, to have a neutrophil count of $\geq 1.5 \times 10^9 \text{ l}^{-1}$ and a platelet count of $\geq 100 \times 10^9 \text{ l}^{-1}$, serum bilirubin $\leq 1.25 \times$ upper limit of normal unless due to metastatic liver disease, serum creatinine $\leq 1.25 \times$ upper limit of normal, life expectancy ≥ 3 months, normal cardiopulmonary function as assessed by non-invasive clinical examination and a Karnofsky score ≥ 70 . Patients with evidence of symptomatic CNS metastases, positive for anti-HIV antibodies or HBsAg, or requiring glucocorticoid administration were excluded. Written informed consent was obtained from all patients before entry into this study.

Pretreatment evaluation

Prestudy screening included: clinical assessment; haematology tests including white blood cell count and differential, platelet count and haemoglobin; biochemistry including bilirubin, alkaline phosphatase, ALAT, ASAT, electrolytes, creatinine; special laboratory tests including prothrombin, partial thromboplastin time, thyroxine, thyrotropin, thyroglobulin, anti-thyroid microsomal antibodies, HIV-antibody and HBs-antigens; chest radiography; ECG; and computerised tomography (CT) of the chest and abdomen. Serum samples of anti-IL-2 and anti-IFN α -2a antibodies were taken before treatment and were repeated before each cycle. Antibody

analysis was performed by enzyme immunoassay (EIA) in screening for binding antibodies and by a biological assay for the detection of neutralising antibodies.

Treatment

The treatment regimen is shown in Table I. The first 6 week cycle consisted of IFN α -2a (Roferon-A, Hoffmann La Roche, Basle, Switzerland) 9 MIU subcutaneously (s.c.) three times a week (t.i.w.) for 6 weeks except for day 1 in week 2; IL-2 (Proleukin, Chiron BV, Amsterdam, The Netherlands) 9 MIU s.c. t.i.w. weeks 2 to 5, preceded by loading doses of 9 MIU s.c. three times a day on days 1 and 2 in week 2; and 5-FU at a dose of 750 mg m⁻² per day as continuous intravenous (i.v.) infusion on days 15–20 followed by i.v. bolus injections of 750 mg m⁻² on days 29 and 36. Thereafter, a maximum of five 4 weekly cycles were administered, consisting of IFN α -2a 9 MIU s.c. t.i.w. for 4 weeks; IL-2 9 MIU s.c. t.i.w. for 3 weeks; and 5-FU 750 mg m⁻² i.v. bolus weekly for 4 weeks.

Evaluation of toxicity, dose modifications and concomitant medication

Toxicity was graded according to the WHO criteria (WHO, 1979) and assessed weekly.

No dose modifications were required in the case of grade I toxicity. In the case of grade II toxicity, the dose of 5-FU had to be reduced to 500 mg m⁻²; IFN α -2a to 4.5 MIU and IL-2 to 4.5 MIU. If recovery occurred within 1 week, the three drugs were given at full dose. If the toxicity recurred, the decreased dose was reintroduced. In the case of grade III toxicity, all three drugs were discontinued. If recovery to grade 0 occurred within 28 days of treatment discontinuation, 5-FU was resumed at 500 mg m⁻², IFN α -2a at 4.5 MIU and IL-2 at 4.5 MIU. If no full recovery occurred within 28 days or there was occurrence of any grade IV toxicity, the patient went off-study.

Patients could be given paracetamol 500 mg six times daily to reduce flu-like symptoms; codeine phosphate 30–60 mg four times daily for diarrhoea; sucralfate mouthwash for stomatitis; and metoclopramide or a 5HT₃ antagonist for nausea and/or vomiting. Patients were substituted with laevothyroxine in case of hypothyroidism. Other concomitant anti-tumour therapies or systemic steroids were not allowed.

Definition of response and statistical analysis

Tumour assessment was performed according to WHO criteria (WHO, 1979). Evaluation of response was performed after the second, fourth and sixth cycles. Further therapy was withheld in case of progressive disease (PD) at any time. In case of no response in the first 10 patients treated for at least 10 weeks, the trial was to be terminated. Otherwise, the sample size was to be large enough to confirm or exclude a 40% response rate by 95% confidence intervals using Pearson Clopper range limits.

Overall survival and time to disease progression were calculated from the start of treatment until the date of death or progression. The Kaplan–Meier method was used to calculate the probability of survival or time to progression.

Immunological monitoring

Absolute numbers of lymphocyte subsets and cytolytic activities of peripheral blood mononuclear cells (PBMCs) were assessed immediately before and at the end of the first, second and third weeks of the first cycle, immediately before the second, third and fourth cycles (i.e. weeks 6, 10 and 14) and at the end of the fourth cycle (i.e. week 18). The PBMCs were isolated by Ficoll–Isopaque density centrifugation of 30 ml heparinised venous blood samples. An aliquot was processed immediately for immunophenotyping and the remainder was cryopreserved in liquid nitrogen to allow the cytotoxicity assays on all samples from a single patient to be tested on the same occasion, to exclude the effects of interassay variability. The lymphocyte subsets defined by CD3 and CD56, CD4 and CD8, CD16 and CD19 monoclonal antibodies were assessed by multicolour immunofluorescence and flow cytometry as described elsewhere (Gratama *et al.*, 1996). Cytolytic activities were determined by a standard 3 h ⁵¹Cr-release assay as described previously (Gratama *et al.*, 1993). The K562 erythromyeloid leukaemia cell line and the Daudi Burkitt's lymphoma cell line were used as sources of target cells for the assessment of NK and LAK activities respectively.

Results

Patients

Fifty-one patients were entered in this study between January 1991 and September 1992. Six patients were considered ineligible because they did not fulfil the inclusion criteria. One patient withdrew consent, and another patient was not evaluable for response because no post-treatment tumour assessment was available. Thus, 43 patients were evaluable for response and 44 for toxicity.

The patient characteristics are shown in Table II.

Evaluation of toxicity

A total of 159 treatment cycles were given, with a median of four per patient. One patient died from treatment-related renal failure. There were no other cases of drug-related renal toxicity or other grade IV toxicities. Table III summarises the percentage of patients experiencing WHO grade II–IV toxicities. The most frequently occurring grade III adverse events were fatigue, nausea and vomiting. Thirty-two patients required one or more temporary dose reductions or treatment interruptions, mostly because of granulocytopenia.

The mean total dose per cycle of the trial medication in

Table I Immunotherapy in advanced colorectal cancer: treatment scheme

Drug	Dose	Schedule	
IFN α -2a	9 million U s.c.	Cycle 1:	3 times per week, weeks 1 and 3–6 2 times per week, week 2
		Cycles 2–6	3 times per week, weeks 1–4
IL-2	9 million IU s.c.	Cycle 1:	3 times daily, days 1 and 2 and once daily, day 3 in week 2 3 times per week, weeks 3–5
		Cycles 2–6:	3 times per week, weeks 1–3
5-FU	750 mg m ⁻² per day	Cycle 1:	c.i.v. day 1–5, week 3 i.v. bolus once weekly, weeks 5 and 6
		Cycles 2–6:	i.v. bolus once weekly, weeks 1–4

relation to the planned dose is shown in Table IV. It appears that the percentage dosage actually given decreases with the number of cycles.

Immunological monitoring

Lymphocyte subset enumerations and assays of cytolytic functions were performed in 24 of the 43 evaluable patients (Figure 1). Before therapy, the median values of the absolute numbers of NK lymphocytes (CD56⁺, 3⁻; Figure 1a) and cytotoxic/suppressor T lymphocytes (CD8⁺; Figure 1d) were at the upper limit of the normal range, and the absolute number of lymphocytes (CD3⁺; Figure 1b) was within the normal range, while that of the helper/inducer T lymphocytes (CD4⁺; Figure 1c) was slightly below the normal range. These lymphocyte subset counts remained essentially unchanged throughout the period of treatment and shortly thereafter. Before therapy, the median NK activity of peripheral blood lymphocytes was increased (Figure 1e), while LAK activity was absent in most donors (Figure 1f). The median values of both activities increased slightly during the first therapeutic cycle to persist at those levels thereafter,

i.e. increased relative to the normal range for NK activity and within the normal range for LAK activity.

Antibody formation against IL-2 and IFN α -2a

Serial serum samples of 29 patients were available. Thirteen (45%) developed antibodies against IFN α -2a and six (21%) against IL-2. Three (10%) had antibodies against both IL-2 and IFN α . Two of these three patients achieved a partial response (PR) despite the presence of neutralising antibodies. Two patients (7%) had anti-IFN α -2a antibodies at baseline; none had anti-IL-2 antibodies at baseline. The development of antibodies did not appear to be related to specific side-effects or severity of side-effects.

Response to treatment

Six of the 43 patients evaluable for response achieved a partial response. Thus, the overall response rate was 14% (95% confidence interval 5–28%). Twenty-four patients (56%) had stable disease and 13 (30%) showed progressive disease. The median time to progressive disease in 43 patients was 19 weeks (range 2–72) and in responding patients 34 weeks (range 24–36). The median overall survival was 47 weeks (range 2–85) and in responding patients 60 weeks (range 35–71).

Table II Patients' characteristics

Sex	
Men	25
Women	19
Age	
Median	59
Range	31–71
Karnofsky score	
90–100	28
80	12
70	4
Sites of disease	
Liver	35
Lung and pleura	11
Lymph nodes	6
Peritonum	4
Skin	4
Other	13

Table III Side-effects observed in 44 patients (159 courses, analysed according to the highest toxicity-grade per patient)

Adverse events ^a	No. of patients	WHO grade (%)		
		II	III	IV
Fever	34	63	7	–
Fatigue	34	56	12	–
Nausea – vomiting	32	49	23	–
Stomatitis	19	28	7	–
Diarrhoea	24	28	2	–
Cutaneous	14	14	–	–
Local inflammation at injection site	10	21	–	–
Hypotension	9	9	–	–
Granulopenia	16	23	10	–
Renal	1	–	–	2

^aPercentage of patients with the highest degree of an adverse event.

Table IV Mean total dose per cycle

Cycle	IL-2 (MIU) received (% planned)	IFN α (MIU) received (% planned)	5-FU (mg) received (% planned)
1	134 (93%)	128 (84%)	9151 (96%)
2–6	55 (68%)	73 (68%)	4002 (73%)

Discussion

Preclinical studies have previously shown a synergistic interaction between 5-FU and IFN α (Miyoshi *et al.*, 1983; Elias and Crisman, 1988), which formed the basis of the investigation of this combination in patients with advanced cancer. Initial clinical studies (Wadler *et al.*, 1989; Pazdur *et al.*, 1990; Kemeny *et al.*, 1990) had suggested higher response rates than usually achieved with 5-FU alone.

Other preclinical data suggested synergy between IL-2 and IFN α (Cameron *et al.*, 1988), which appeared to be confirmed in clinical studies in melanoma and renal cancer (Rosenberg *et al.*, 1989; Marincola *et al.*, 1995). The logical next step was to study the combination of the three drugs. However, we observed a meagre 14% partial response rate with a median response duration of 34 weeks. Although 78% of the patients completed at least two full courses, 32 of them (63%) required treatment interruptions or dose reductions. The most common reason for this was granulocytopenia. The majority of these dose modifications occurred during the first two courses. Hence, one could argue that the low response rate might be attributable to the moderate dose intensity achieved. Another possible reason could be the fact that 5-FU, in this study, was administered after IL-2 and IFN α -2a, thereby not taking full advantage of the possible eradication of T-suppressor cells with chemotherapy before immunotherapy (Berendt and North, 1980). It was also found that 5-FU cytotoxicity was enhanced by concomitant or subsequent exposure to IFN α , whereas the reverse sequence, IFN α followed by 5-FU, abrogated the cytotoxic effect of 5-FU, suggesting that pretreatment with IFN α could protect tumour cells (Wadler *et al.*, 1988). Prolonged administration of IFN α (i.e. three times a week) can induce a persistent block of tumour cells in G₀–G₁, thus reducing the S-phase fraction and thereby diminishing the anti-cancer activity of 5-FU (Cascinu *et al.*, 1993). To date, we have deliberately chosen a regimen using a loading dose of IL-2 and IFN α -2a preceding 5-FU in order to enable up-regulation of MHC-I molecules on tumour cells (Weber and Rosenberg, 1988), to augment LAK activity (Chikhala 1990) and to exploit the antiproliferative and cytotoxic properties of IFN α (Gresser, 1989). However, we did not observe any changes in the tested immune parameters throughout the study. Occasionally, the lack of anti-tumour response has been associated with the development of neutralising antibodies to IL-2 and IFN α .

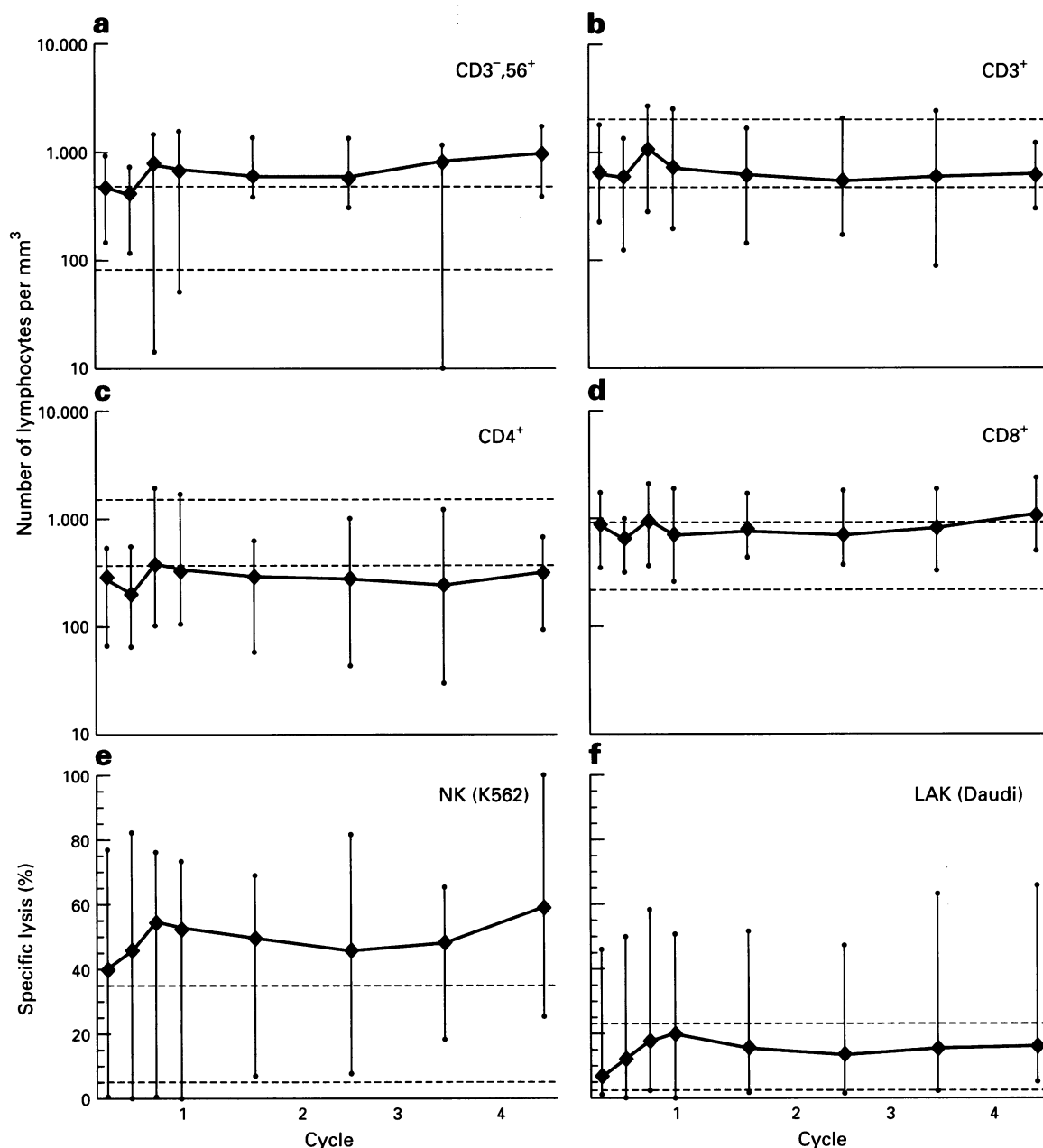


Figure 1 Median absolute numbers and ranges of CD3⁺,56⁺ NK lymphocytes (a), CD3⁺ T lymphocytes (b), CD4⁺ helper/inducer lymphocytes (c), CD8⁺ suppressor/inducer lymphocytes (d), NK (e) and LAK (f) cytolytic activities of peripheral blood mononuclear cells in 24 patients. Logarithmic scales have been used for the vertical axes in order to compress the figure. Closed circles and vertical bars represent median values and confidence limits as defined by the 5th and 95th percentile respectively. The shaded areas represent the normal range as defined by the 5th and 95th percentiles of 72 (a–d) and 29 (e, f) apparently healthy controls. Cytolytic activities were expressed as the weighted mean of specific lysis of four effector to target (E:T) ratios (i.e. ranging between 50 and 6.3), calculated for E:T ratio = 17.7 (Gratama *et al.*, 1993).

However, in this study two of the three patients who developed neutralising antibodies against IL-2 and IFN α -2a nevertheless achieved a partial response.

As previously stated, at the time this study was designed, IFN α seemed to be an effective biomodulating agent for increasing 5-FU activity in the treatment of advanced colorectal cancer. However, recently published randomised studies have been unable to confirm this.

Hill *et al.* (1995a) randomised 155 patients to receive either protracted continuous intravenous infusions (c.i.v.) of 5-FU at a dose of 300 mg m⁻² per day for 10 weeks in combination with IFN α -2b 5 MIU s.c. t.i.w. or c.i.v. 5-FU only. In the 5-FU/IFN α -2b-group, there were significantly more episodes of mucositis ($P=0.008$), leucocytopenia ($P=0.001$), granulocytopenia ($P=0.004$) and alopecia ($P=0.0002$). The overall response rate in the 5-FU/IFN α -2b group was 22%, and in

the 5-FU group it was 33% ($P=0.12$). With a follow-up time of 861 days, the median survival in the 5-FU/IFN α -2b group was 161 days, and in the 5-FU group 193 days. The difference did not reach statistical significance. Premature withdrawals owing to toxicity in both groups of patients were equal and cannot explain the lack of IFN α -2b benefit.

The same group (Hill *et al.*, 1995b) performed another randomised controlled phase III study in advanced colorectal cancer patients using a different dose and scheduling of 5-FU and IFN α -2b. At the start of treatment, 106 patients received a continuous infusion of 5-FU at a dose of 750 mg m⁻² per day for five consecutive days. Fifty-two patients were randomised to receive IFN α -2b at a dose of 10 MIU s.c. t.i.w. 2–4 h after initiating 5-FU. During the second week, these patients continued on IFN α -2b and had the first dose of bolus i.v. 5-FU 750 mg m⁻² per day at the beginning of

week 2. Fifty-four patients were randomised to receive 5-FU alone, and this was given at the beginning of week 2. Treatment was continued until progression of disease or unacceptable toxicity for up to 12 months. In the 5-FU/IFN α -2b group there was significantly more leucopenia ($P=0.013$), lymphopenia ($P=0.01$), depression ($P=0.014$) and withdrawal owing to adverse events ($P=0.003$). There were four toxic deaths, all of which occurred in patients who received IFN α -2b. The overall response rate was 19% (all PRs) in the group that received 5-FU + IFN α -2b and 30% in the 5-FU-alone group (three complete responses (CRs) and 13 PRs) ($P=0.21$). Neither progression-free survival nor overall median survival showed any significant differences in the two groups.

Likewise, in a randomised phase III study performed by the Corfu-A Study Group (1995), the biochemical modulation of 5-FU by either IFN α -2a or leucovorin was studied. In 247 patients, 5-FU was given at a dose of 370 mg m⁻² per day i.v. bolus for 5 days in combination with leucovorin (LV) 200 mg m⁻² per day i.v. for 5 days, repeated every 4 weeks. The other group consisted of 245 patients, who received 5-FU 750 mg m⁻² per day c.i.v. for 5 days, followed after a 9-day interval by a weekly bolus i.v. injection at the same dose in combination with IFN α -2a 9 MIU s.c. t.i.w. throughout the treatment period. In the 5-FU/LV-group, there were more gastrointestinal toxicities, while in the 5-FU/IFN α -2a-group the regimen was more myelosuppressive ($P=0.0001$). The overall response rate in the 5-FU/LV-group was 18% and in the 5-FU/IFN α -2a-group it was 21% ($P=0.57$). After a follow-up period of 20 months, the median survival time for the 5-FU/LV-group was 11.3 months vs 11 months for the 5-FU/IFN α -2a-group ($P=0.98$). These results suggested that biochemical modulation of 5-FU by either leucovorin or IFN α -2a yields similar response and survival data. The addition of IFN α -2a to high-dose 5-FU plus leucovorin was studied by Köhne *et al.* (1995) in a three-arm randomised study. Chemotherapy-naïve patients were randomised to receive 5-FU 2600 mg m⁻² i.v. as a 24 h infusion, combined with either leucovorin 500 mg m⁻² as a 2 h infusion (arm A), or IFN α -2b 3 MIU s.c. t.i.w. (arm B), or the combination of leucovorin plus IFN α -2b as in arms A and B (arm C). Treatment was repeated weekly for 6 weeks followed by a 2 week rest period until tumour progression.

Because of the occurrence of two toxic deaths (septicaemia due to mucositis and diarrhoea) among the first 17 patients treated in arm C, the 5-FU dose was reduced to 2000 mg m⁻² for all patients in arm C. Despite this dose reduction, another patient died of severe diarrhoea. An

interim analysis was then performed after the first 93 of 149 randomised patients. Among patients treated in arm A and in arm C objective tumour responses occurred in 39% (95% confidence interval 21–56%) and in 38% (95% confidence interval 20–56%) respectively. This interim analysis showed that the rates of objective responses observed in treatment arm A and C were equivalent. As a result of the increased toxicity observed in arm C, this treatment arm was closed. No report on the response rate in treatment arm B was given because randomisation between arm A and arm B was continuing. The authors concluded that the addition of IFN α -2b to 5-FU plus leucovorin did not increase efficacy and was associated with life-threatening toxicity.

Heys *et al.* (1995) performed a randomised controlled phase III study comparing the efficacy of 5-FU plus leucovorin (5-FU/LV) with 5-FU plus leucovorin plus IL-2 (5-FU/LV/IL2) in patients with unresectable or metastatic colorectal cancer. In the 5-FU/LV group, 68 patients received 5-FU 600 mg m⁻² per day bolus i.v. once a week for 6 weeks in combination with leucovorin 25 mg m⁻² per day bolus i.v. to be repeated after 2 weeks' rest. In the 5-FU/LV/IL2 group, 65 patients received IL-2 18 MIU m⁻² per day c.i.v. from day 1 to 5, followed by 5-FU 600 mg m⁻² per day bolus i.v. in combination with leucovorin 25 mg m⁻² per day i.v. on days 7, 14 and 21. This treatment regimen was repeated on day 28. The objective response rates were not significantly different in both arms – 16% for 5-FU/LV and 17% for 5-FU/LV/IL2. With a follow-up duration of 30 months, there was no difference in the median survival, being 11.7 months and 11.4 months ($P=0.11$) respectively. Finally, in a small phase II study in 18 patients Ridolfi *et al.* (1994) only achieved a 5% response rate using 5-FU, leucovorin, IL-2 and IFN α in advanced, pretreated colorectal cancer.

Despite different scheduling of IFN α and IL-2 in combination with different doses of 5-FU with or without leucovorin, all of these studies show that the addition of IFN α and IL-2 failed to improve clinical benefit over 5-FU alone. Apparently, in this case, observations from laboratory studies cannot be translated clinically.

We conclude that our schedule of IL-2 and IFN α -2a combined with 5-FU has only modest anti-tumour activity, which does not appear to be better than the expected effect of 5-FU alone. This is confirmed by randomised studies that failed to confirm the ability of IFN α and/or IL-2 to augment the efficacy of 5-FU. In our opinion, further clinical investigation of IFN α and IL-2 in combination with 5-FU in advanced colorectal cancer is not justified.

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