## PD-1 Pathway Inhibitors: Immuno-Oncology Agents for Restoring Antitumor Immune Responses

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Immune checkpoint inhibitors are designed to restore a patient's own antitumor immune response that has been suppressed during tumor development. The first monoclonal antibodies against the immune checkpoint programmed death 1 (PD-1) receptor, nivolumab and pembrolizumab, are now approved for clinical use. Both agents are indicated for the treatment of advanced melanoma, as well as for the treatment of metastatic non-small cell lung cancer (NSCLC). Nivolumab is also approved for the treatment of advanced renal cell carcinoma. In patients with melanoma, these agents result in objective response rates of  $\sim$ 25–40%, with durable responses lasting more than 2 years in some cases. Results from phase III trials have shown improved survival with nivolumab versus standard-of-care chemotherapy in both patients with advanced melanoma and those with advanced NSCLC. In patients with advanced melanoma, both PD-1 inhibitors (nivolumab and pembrolizumab) have shown improved survival versus ipilimumab. PD-1 inhibitors are associated with adverse events that have immune etiologies, with grade greater than 3 adverse events typically reported in 16% or less of patients. However, most immune-mediated adverse events (including grade 3-4 adverse events) can be managed by using published management algorithms without permanent discontinuation of the agent. As nivolumab and pembrolizumab enter the clinic, and with more PD-1 pathway agents in development for a range of tumor types, this review aims to provide pharmacists with a basic understanding of the role of PD-1 in modulating the immune system and their use in the cancer treatment. The most recent clinical efficacy and safety data are discussed, highlighting the response characteristics distinctive to immune checkpoint inhibitors, along with pharmacokinetic and pharmacodynamic data and cost considerations.

KEY WORDS adverse event, immune checkpoint blockade, programmed death-1 pathway, PD-1, immuno-oncology, oncology, cancer.

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Immune checkpoint inhibitors are a new approach to cancer treatment. Whereas chemotherapies and most targeted agents interfere with key tumor signaling, cell growth, or cell division to reduce tumor cell proliferation or induce cell death, immune checkpoint inhibitors are designed to restore a patient's own antitumor immune response that was attenuated during the process of tumor development. Ipilimumab, a fully human, immunoglobulin (Ig) G1 monoclonal antibody directed against the cytotoxic T-lymphocyte–associated antigen 4 (CTLA-4),<sup>1</sup> was the first immune checkpoint inhibitor approved by the U.S. Food

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and Drug Administration (FDA). Ipilimumab was approved in 2011 for the treatment of unresectable or metastatic melanoma on the basis of improved overall survival in two phase III trials.<sup>1</sup>

Nivolumab and pembrolizumab, both monoclonal antibodies against programmed death 1 (PD-1), were granted accelerated FDA approval in 2014 for the treatment of patients with unresectable or metastatic melanoma and disease progression following treatment with ipilimumab and, if BRAF V600 mutation positive, a BRAF inhibitor.<sup>2, 3</sup> In 2015, nivolumab and pembrolizumab received FDA approval for the treatment of metastatic squamous and nonsquamous non-small cell lung cancer (NSCLC), with progression on or after platinum-based chemotherapy (patients with EGFR or ALK genomic tumor aberrations were required to have disease progression while receiving FDA-approved therapy for these aberrations prior to receiving nivolumab).<sup>2, 3</sup> Whereas pembrolizumab was only approved for patients whose tumors express programmed death-ligand 1 (PD-L1), nivolumab was approved for both PD-L1 expressors and nonexpressors. Nivolumab is also approved as a single-agent treatment for BRAF V600 wild-type advanced melanoma and second-line treatment of advanced renal cell carcinoma. These and other inhibitors of PD-1 or its ligand, PD-L1, are in advanced stages of development for the treatment of other cancer types, including head and neck cancer, bladder cancer, gastric cancer, glioblastoma, and other lung cancers (Table 1). As these agents become more commonly used in the clinic, pharmacists will need a basic understanding of the role of PD-1 in modulating the immune system as well as in cancer overall, and they will need to know how inhibition of PD-1 can lead to tumor reduction with associated immune-mediated adverse events (AEs).

#### Rationale for Immunotherapy

T-cell activity and regulation are critical to tumor development because T cells have the ability to eliminate cancerous cells. Studies using several different tumor model systems identified CD8<sup>+</sup> T cells as primarily responsible for eradicating tumor cells.<sup>10–12</sup> Significantly, in these studies, elimination of regulatory T cells (Tregs) and exogenous support by CD4<sup>+</sup> T cells, interleukin (IL)-2, or other immune-stimulating cytokines was needed to maximize the clearance of tumor cells by CD8<sup>+</sup> T cells.<sup>10–12</sup> Thus although CD8<sup>+</sup> T cells have the ability to eradicate tumors, an immunosuppressive environment created by tumors can prevent an effective antitumor T-cell response.

These earlier studies provide insight into the complexity of the interplay between the immune system and developing tumors. Some types of immunotherapy, including immune checkpoint inhibitors, aim to improve the antitumor function of the immune system and to reduce or destroy the immunosuppressive microenvironment of the tumor (Figure 1).

# Immune Checkpoints and the Role of PD-1 in Cancer

Immune checkpoints are cell surface receptors that, when bound to their cognate ligand, modulate immune responses. CTLA-4 and PD-1 are the best-characterized immune checkpoints, but they are part of a large group of co-inhibitory molecules that can be expressed by T cells.<sup>9, 14</sup> In healthy, noncancerous conditions, immune checkpoint molecules negatively regulate the immune system to maintain peripheral self-tolerance and prevent autoimmunity.<sup>9, 14</sup>

Tumors deploy multiple strategies to avoid elimination by the immune system, and exploiting PD-1 is one key component. PD-1 is expressed by T cells but also by other immune cells including B lymphocytes and natural killer cells.<sup>9, 14</sup> The ligands for PD-1, known as PD-L1 and PD-L2, are expressed by many different types of immune cells, but they can also be expressed by inflamed tissues including primary tumors and metastases.<sup>15, 16</sup> Unlike CTLA-4, which is thought to limit T-cell activity early in the immune response, PD-1 seems to reduce T-cell activity later, during the course of the immune response, to prevent tissue damage at sites of chronic inflammation.<sup>9, 14</sup> PD-1 may also be important for the suppressive function of regulatory Tregs.<sup>17</sup>

Evidence for the importance of PD-1 in evading immune detection comes from studies showing that expression of PD-1 by tumor-infiltrating lymphocytes is associated with reduced effector function with respect to impaired capacity to proliferate, reduced cytokine production, and diminished cytotoxic activity against tumor cells, as well as poorer outcomes.<sup>17</sup> In some cases, the observed effects were reversed by blockade of PD-L1.<sup>17, 18</sup>

Tumor PD-L1 or PD-L2 expression has been documented in numerous tumor types including

Approved agents	Description	Indications
Nivolumab	Fully human anti–PD-1 IgG4 monoclonal antibody <sup>4</sup>	<ul> <li>Unresectable or metastatic melanoma and disease progression-following ipilimumab and, if <i>BRAF</i> V600 mutation-positive, a BRAF inhibitor</li> <li>Single agent for <i>BRAF</i> V600 wild-type unresectable or metastatic melanoma</li> <li>Metastatic squamous and nonsquamous NSCLC with progression while receiving or following platinum-based chemotherapy (patients with <i>EGFR</i> or <i>ALK</i> genomic tumor aberrations should have disease progression on FDA-approved therapy for these aberrations prior to receiving nivolumab)</li> <li>In combination with ipilimumab for <i>BRAF</i> V600 wild-type unresectable or metastatic melanoma</li> <li>Advanced renal cell carcinoma after prior antiangiogenic therapy</li> </ul>
Pembrolizumab	Humanized anti–PD-1 IgG4-κ isotype monoclonal antibody <sup>5</sup>	<ul> <li>Unresectable or metastatic melanoma and disease progression following ipilimumab and, if <i>BRAF</i> V600 mutation-positive, a BRAF inhibitor</li> <li>Metastatic NSCLC with disease progression on or after platinum-containing chemotherapy in patients whose tumors express PD-L1 as determined by an FDA-approved test (patients with <i>EGFR</i> or <i>ALK</i> genomic tumor aberrations should have disease progression on FDA-approved therapy for these aberrations prior to receiving pembrolizumab)</li> </ul>

Table 1. PD-1 and PD-L1 Immune Checkpoint Inhibitors: Approved Agents and Agents in Later-Stage Clinical Development

Pipeline agents	Description	Trial phase	Tumor type
Durvalumab	Fully human, IgG1ĸ	III	NSCLC
	anti–PD-L1 antibody <sup>6</sup>	III	SCCHN
	,	II	Colorectal
			carcinoma
		II	Glioblastoma
Atezolizumab	Human anti–PD-L1	III	Bladder cancer
	monoclonal IgG1 antibody <sup>7</sup>	III	NSCLC
	Ç ,	II	Renal cell
			carcinoma
Nivolumab	Fully human anti–PD-1 IgG4	III	Gastric cancer
	monoclonal antibody <sup>4</sup>	III	Glioblastoma
		III	SCCHN
		II	AML
		II	Anal canal
			cancer
		II	Cervical cancer
		II	Colon cancer
		II	HL, NHL
		II	Nasopharyngeal carcinoma
		II	Pancreatic cancer
Pembrolizumab	Humanized anti–PD-1 IgG4-κ isotype	III	Gastric/GEJ cancer
	monoclonal antibody <sup>5</sup>	III	NSCLC
	monocional antibody	III	SCCHN
		III	Urothelial cancer
		II	Colorectal carcinoma
		II	Gastric/GEJ cancer
		II	Glioblastoma
		II	Merkel cell carcinoma
		II	HL, NHL

Table 1 (continued)

Pipeline agents	Description	Trial phase	Tumor type
Pidilizumab (CT-011)	Humanized anti-PD-1 IgG1	II	Multiple myeloma
	Humanized anti–PD-1 IgG1 monoclonal antibody <sup>8,a</sup>	II	Pancreatic cancer
		II	Prostate cancer
		II	Renal cell carcinoma
		II	Sarcoma
		II	Thymic cancer
		II	NHL
		II	Renal cell carcinoma

AML = acute myeloid leukemia; DLBCL = diffuse large B-cell lymphoma; GEJ = gastroesophageal junction; HL = Hodgkin's lymphoma; NHL = non-Hodgkin's lymphoma; NSCLC = non-small cell lung cancer; PD-1 = programmed death 1; PD-L1 = programmed death-ligand 1; SCCHN = squamous cell carcinoma of the head and neck.

<sup>a</sup>PD-1 specificity not validated in any published material.<sup>9</sup>

melanoma, ovarian cancer, lung cancer, and renal cell carcinoma.<sup>16</sup> Many studies across different tumor types have associated tumor PD-L1 expression with larger tumor size or as a risk factor for poorer outcomes with respect to progression-free survival (PFS) or overall survival (OS).<sup>16</sup> To date, research suggests that tumor PD-L2 expression has less clinical relevance than that of PD-L1.<sup>19</sup>

## PD-1 and PD-L1 Inhibitors: Mechanism of Action and Preclinical Evidence

Monoclonal antibodies directed against PD-1 or PD-L1 are designed to prevent PD-1– mediated T-cell inhibition so that antitumor immune responses can be maintained. Anti–PD-1 antibodies should block PD-1 binding to both of its ligands, PD-L1 and PD-L2, whereas anti-PD-L1 antibodies should be selective in preventing PD-1 binding to PD-L1, maintaining the ability for PD-1 to interact and bind to PD-L2.<sup>9</sup>

Preclinical studies in mice have shown that in vivo administration of either anti-PD-1 or anti-PD-L1 antibodies inhibited the growth of myeloma cells and solid tumors, and prevented the metastatic spread of melanoma and colon cancer cells.<sup>17</sup> Other studies have shown that blockade of the PD-1 pathway in vitro or in vivo can rescue the effector function of T cells, promoting their proliferation and survival, and leading to increased inflammatory cytokine production and cytolytic activity against tumor cells.<sup>20, 21</sup> Of interest, when both CTLA-4 and PD-1 blockade were used together, the antitumor effects were stronger than blockade of either pathway alone, suggesting that these two pathways have nonredundant effects in T-cell downregulation.<sup>17</sup>

#### **Clinical Activity**

The attractiveness of immunotherapy, particularly activating T cells, can be seen from the study of high-dose IL-2 in patients with metastatic melanoma. As noted in an FDA review of IL-2, the major advantage is the durability of response for the 16% of patients with metastatic melanoma who responded (10% partial response, 6% complete response) to treatment.<sup>22</sup> In fact, 10 of the 17 complete responders were still in remission at the time of review (24+ to 106+ mo response duration). Unfortunately, the use of high-dose IL-2 is limited due to its toxicity. With the advanced understanding of the immune system, results from studies of new compounds that activate antitumor T cells, including the PD-1 and PD-L1 inhibitors, are very exciting. Based on the promising preclinical evidence, several PD-1 and PD-L1 inhibitors entered clinical development (Table 1).

## Melanoma

Both pembrolizumab and nivolumab were given fast-track FDA approval for the treatment of unresectable or metastatic melanoma based on initial data from phase I trials that showed relatively high response rates and durations of response lasting more than 2 years in some cases.<sup>5, 23</sup> Phase III trials for PD-1 immune checkpoint inhibitors are now starting to mature, and more robust efficacy data are available. In a phase III trial of patients who had previously received ipilimumab, the objective response rate (ORR) was ~32% in patients randomized to nivolumab 3 mg/kg every 2 weeks (Q2W) versus 11%in those receiving chemotherapy; 23% of patients receiving nivolumab and 34% of those receiving chemotherapy

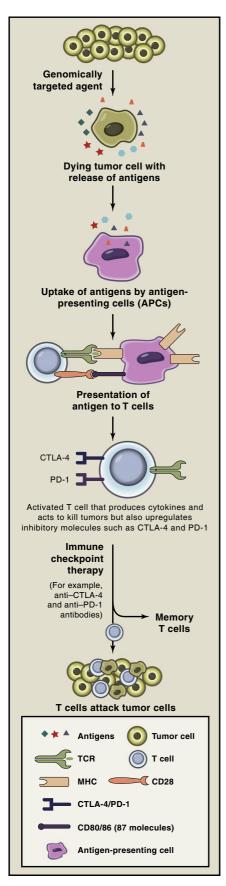


Figure 1. Combination strategies with immune checkpoint inhibitors may improve antitumor responses. Tumor cells die as a result of genomically targeted therapies with release of tumor antigens. Tumor antigens are taken up by antigen-presenting cells (APCs) and are presented in the context of B7 costimulatory molecules to T cells. T cells recognize antigens on APCs to become activated; activated T cells also upregulate inhibitory checkpoints such as cytotoxic T-lymphocyte-associated antigen 4 (CTLA-4) and programmed death 1 (PD-1). Immune checkpoint therapy prevents attenuation of T-cell responses, thereby allowing T cells to kill tumor cells, and T cells may differentiate into memory T cells that can reactivate in the presence of tumor. MHC = majorhistocompatibility recurrent complex: TCR = T-cell receptor. (Reprinted with permission from reference 13.)

had stable disease (Table 2).<sup>24</sup> This trial was open to patients with *BRAF* wild-type or mutated tumors, and both subgroups benefited from nivolumab treatment compared with chemotherapy. OS data were not mature and are therefore not reported here.

In a phase III trial of patients with previously untreated metastatic melanoma without BRAF mutation, 40% and 14% of those randomized to nivolumab 3 mg/kg Q2W dacarbazine, or respectively. had objective an response (Table 2), and an additional 17% of nivolumabtreated patients and 22% of dacarbazine-treated patients exhibited stable disease.<sup>25</sup> Patients in the nivolumab group had a significant OS benefit compared with those in the dacarbazine group (hazard ratio [HR] for death 0.42 [99.8% confidence interval (CI) 0.25-0.73], p<0.001). At the time of analysis, median OS had not been reached in the nivolumab group and was 10.8 months (95% CI 9.3-12.1) in the dacarbazine group; 1-year OS was 72.9% (95% CI 65.5-78.9) with nivolumab and 42.1% (95% CI 33.0-50.9) with dacarbazine. Based on interim results, the study was terminated early so that patients receiving dacarbazine could be switched to nivolumab. Although this trial was limited to patients with melanoma with wild-type BRAF, other studies (including the phase III trial described earlier) have reported that nivolumab exhibited similar clinical activity, regardless of BRAF mutation status.<sup>24</sup>

Pembrolizumab was compared with the investigator's choice chemotherapy in patients with ipilimumab-refractory melanoma in a phase II trial.<sup>37</sup> The response rate with pembrolizumab at the approved dose (2 mg/kg every 3 wks [Q3W]) was 21% versus 4% with chemotherapy (p<0.0001); 6-month PFS was 34% and 16%, respectively; HR 0.57 (95% CI 0.45–0.73,

Table 2. Clinical Activity of PI	D-1 Inhibitor	Clinical Activity of PD-1 Inhibitors from Select Key Clinical Trials in Patients with Advanced Tumors	in Patients w	ith Advanced Tumors		
Agent	Trial phase	Cancer setting	No. of patients	Primary outcomes	Primary outcome significance	Secondary outcomes
Melanoma Nivolumab vs dacarbazine	III <sup>25</sup>	Unresectable, treatment naive	418	Median OS: NR vs 10.8 mo 1-yr OS: 72.9% vs 42 1%	HR 0.42 (p<0.001)	Median PFS: 5.1 vs 2.2 mo ORR: 40.0% vs 13.9%
Nivolumab vs chemotherapy	III <sup>24</sup>	Unresectable; progression after ipilimumab or ipilimumab + BRAF inhibitor if BRAF V600	405	ORR: 31.7% vs 10.6% OS: not mature	No significance test reported	Median PFS: 4.7 vs 4.2 mo
Nivolumab + ipilimumab vs placebo + ipilimumab	11 <sup>26</sup>	Unresectable, treatment naive, known BRAF V600 mutation status	142 (2:1 ratio)	ORR in patients with BRAF V600 WT: 61% vs 11%	OR 12.96 (p<0.001)	PFS in patients with BRAF V600 WT: NR vs 4.4 mo ORR in BRAF V600+ patients: 52% vs 10% PFS in patients with BRAF V600+: 8.5
Pembrolizumab Q2W (A) vs pembrolizumab Q3W (B) vs ipilimumab (C)	111 <sup>27</sup>	Unresectable stage III/IV; ≤ 1 prior systemic therapy for advanced disease	834	Median OS: NR (any group) 1-yr OS: 74.1% vs 68.4% vs 58.2% Median PFS: 5.5 vs 4.1	HR for OS: A vs C: 0.63 (p≤0.0005) B vs C: 0.69 (p=0.0036)	vs 2.7 mo ORR: 33.7% vs 32.9% vs 11.9%
Pidilizumab	III <sup>28</sup>	≤ 3 prior lines of systemic therapy for metastatic melanoma	103	0RR: 6% <sup>a</sup>	NA	Median PFS: 1.9 mo 1-yr OS: 64.5%
NSCLC Nivolumab	11 <sup>29</sup>	Squamous histology; refractory disease with progression after doublet platinum-based chemotherapy and $\geq 1$ other systemic therapy	117	ORR: 14.5%	NA	Median PFS: 1.9 mo Median OS: 8.2 mo 1-yr OS: 40.8%
Nivolumab vs docetaxel	111 <sup>30</sup>	Squamous histology; stage IIIB/IV recurrent disease after 1 platinum- containing regimen	272	Median OS: 9.2 vs 6 mo 1-yr OS: 42% vs 24%	HR 0.59 (p<0.001)	Median PFS: 3.5 vs 2.8 mo ORR: 20% vs 9%

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Agent	Trial phase	Cancer setting	No. of patients	Primary outcomes	Primary outcome significance	Secondary outcomes
Nivolumab vs docetaxel	III <sup>31</sup>	Nonsquamous histology; stage IIIB/IV recurrent disease after 1 platinum-containing regimen	582	Median OS: 12.2 vs 9.4 mo 1-yr OS: 51% vs 39% 18-mo OS: 39% vs 23%	HR 0.73 (p=0.0015)	Median PFS: 2.3 vs 4.2 mo ORR: 19% vs 12%
Pembrolizumab	PD-L1 biomarker <sup>32</sup>	Locally advanced or metastatic disease; treatment naive or previously treated	495	ORR: 19.4% Median PFS: 3.7 mo Median OS: 12 mo (treatment naive, 16.2 mo; previously treated, 9.3 mo)	NA	PD-L1 ≥ 50% of tumor cells: ORR 45.2%; median PFS 6.3 mo
Pembrolizumab vs docetaxel	11/111 <sup>33</sup>	Previously treated, PD-L1- positive (TPS $\ge 1\%$ )	1034	Median ÖS: 10.4 (2 mg/kg) or 12.7 (10 mg/kg) vs 8.5 mo Median PFS: 3.9 (2 mg/ kg) or 4.0 (10 mg/kg) vs 4.0 mo	2 mg/kg: HR 0.71 (p=0.0008); 10 mg/kg: HR 0.61 (p<0.0001) 2 mg/kg: HR 0.88 (p=0.07); 10 mg/kg: HR 0.79 (p=0.004)	ORR: 18% (2 mg/kg) or 18% (10 mg/kg) vs 9%
Renal cell carcinoma Nivolumab (3 different doses)	II <sup>34</sup> , 35	≥ 1 prior antiangiogenic therapy in metastatic setting	168	Nivolumab 0.3 vs 2 vs 10 mg/kg: median PFS: 2.7 vs 4.0 vs 4.2 mo	For all dose comparisons: HR 1.0 (p=0.9)	Nivolumab 0.3 vs 2 vs 10 mg/kg: ORR: 20% vs 22% vs 20% Median OS: 18.5 vs 25.5 vs 24.8 mo
Nivolumab vs everolimus	111 <sup>36</sup>	≥ 1 prior antiangiogenic therapy in metastatic setting	821	Median OS: 25.0 vs 19.6 mo	HR 0.73 (p=0.002)	ORR: 25% vs 5% Median PFS: 4.6 vs 4.4 mo
HR = hazard ratio; NA = not available or reported; NR = 1 PD-1 = programmed death 1; PD-L1 = programmed death-liga <sup>1</sup> Immune-related response criteria. <sup>36</sup>	ıvailable or repor <sup>.</sup> -Ll = programme a. <sup>36</sup>	HR = hazard ratio; NA = not available or reported; NR = not reached; NSCLC = non-small cell lung cancer; ORR = objective response rate; OR = odds ratio; OS = overall survival PD-1 = programmed death 1; PD-L1 = programmed death-ligand 1; PFS = progression-free survival; Q2W = every 2 wks; Q3W = every 3 wks; TPS = tumor proportion score; WT = wild type. Immune-related response criteria. <sup>36</sup>	on-small cell ee survival; Ç	not reached; NSCLC = non-small cell lung cancer; ORR = objective response rate; OR = odds ratio; OS = overall survival; nd 1; PFS = progression-free survival; Q2W = every 2 wks; Q3W = every 3 wks; TPS = tumor proportion score; WT = wild type.	sponse rate; OR = odds wks; TPS = tumor propo	ratio; OS = overall survival; rtion score; WT = wild type.

Table 2 (continued)

p<0.0001). A phase III trial compared the efficacy of pembrolizumab with ipilimumab (Table 2).<sup>27</sup> Pembrolizumab 10 mg/kg was given intravenously either O2W or (in a separate arm of the trial) Q3W. Pembrolizumab significantly improved OS in patients with advanced melanoma (~66% treatment naive and 34% previously treated) versus ipilimumab, regardless of which schedule was used. HR for pembrolizumab Q2W versus ipilimumab was 0.63 (95% CI 0.47-0.83, p<0.0005) and for pembrolizumab Q3W was 0.69 (95% CI 0.52-0.90, p=0.0036). Median OS was not reached in any group, but 1-year OS rates were 74.1%, 68.4%, and 58.2% in the pembrolizumab Q2W, pembrolizumab Q3W, and ipilimumab groups, respectively. Pembrolizumab also significantly improved PFS versus ipilimumab (HR 0.58 [95% CI 0.46–0.72, p<0.001] for the Q2W regimen and 0.58 [95% CI 0.47-0.72, p<0.001] for the Q3W regimen), as well as ORR (p<0.001). This promising trial suggests that PD-1 inhibitors may represent an advance in care compared with ipilimumab. Combination therapy with both types of immune checkpoint inhibitors in melanoma is also under investigation (discussed later).

## Non-Small Cell Lung Cancer

Although NSCLC has not typically been considered an immunoresponsive tumor, squamous NSCLC in particular is associated with high mutation frequency and multiple novel tumor antigens that may make it more sensitive to immune recognition.<sup>38, 39</sup> The importance of the immune system in regulating growth of these tumors is suggested by the identification of inactivating mutations in the human leukocyte antigen A class I major histocompatibility gene in some squamous cell NSCLCs, possibly providing one route of avoiding destruction by the immune system.<sup>39</sup>

Several anti–PD-1 and anti–PD-L1 antibodies have shown signs of antitumor activity in NSCLC. In a phase I expansion cohort of patients with heavily pretreated NSCLC who received nivolumab, ORR was 17% and median OS was 9.9 months, with 1-, 2-, and 3-year survival rates of 42%, 24%, and 18%, respectively; efficacy was similar in patients with squamous and nonsquamous histology.<sup>40</sup> Phase II and III data with nivolumab confirmed these promising results (Table 2). In a phase III trial comparing nivolumab with docetaxel in

patients with previously treated advanced squamous NSCLC, median OS was 9.2 months (95% CI 7.3–13.3) with nivolumab versus 6.0 months (95% CI 5.1-7.3) with docetaxel, and the HR for death was 0.59 (95% CI 0.44-0.79, p<0.001).<sup>30</sup> In a second phase III trial comparing nivolumab with docetaxel in patients with previously treated nonsquamous NSCLC, median OS was 12.2 months with nivolumab versus 9.4 months with docetaxel, and the HR for death was 0.73 (95% CI 0.59-0.89, p=0.0015).<sup>31</sup> Nivolumab was approved for the treatment of patients with squamous and nonsquamous metastatic NSCLC after failure of platinum-based chemotherapy based on these trials.<sup>3</sup>

In a multicohort phase I study of 280 patients with metastatic NSCLC whose disease had progressed with prior platinum-based or EGFR-ALK therapy, treatment with pembrolizumab resulted in an ORR of 41.0% in a prospectively defined subgroup of patients (n=61) with a PD-L1 tumor proportion score (TPS) of 50% or higher (50% or more of tumor cells expressed PD-L1).<sup>32</sup> On the basis of these data, pembrolizumab was approved for previously treated patients with metastatic NSCLC whose PD-L1 TPS was 50% or higher.<sup>2</sup>

A phase II/III randomized study of 1034 patients with previously treated PD-L1–positive NSCLC evaluated the efficacy of pembrolizumab 2 mg/kg (Q3W) or pembrolizumab 10 mg/kg (Q3W) versus docetaxel. Median OS was significantly longer with pembrolizumab 2 mg/kg (14.9 mo; HR: 0.54, 95% CI 0.38–0.77; p=0.0002) or pembrolizumab 10 mg/kg (17.3 mo; 0.50, 0.36–0.70; p<0.0001) versus docetaxel (8.2 mo) in patients whose PD-L1 TPS was 50% or higher.<sup>33</sup>

Atezolizumab (MPDL3280A) and durvalumab (MEDI4736) have both been granted FDA breakthrough therapy or fast-track designation for treatment in patients with NSCLC on the basis of early clinical trial data showing evidence of efficacy.<sup>41</sup>

#### Other Tumor Types

Exploratory phase I studies of anti–PD-1 and anti–PD-L1 monoclonal antibodies that enrolled patients with multiple tumor types reported tumor responses in patients with renal cell carcinoma, pancreatic cancer, gastric, and head and neck cancer in addition to melanoma and NSCLC.<sup>41</sup> Responses to these agents have also been reported in patients with bladder cancer, ovarian cancer, and hematologic malignancies, highlighting the potential applicability of this therapeutic approach to a broad range of malignancies.<sup>41</sup>

Apart from melanoma and NSCLC, the most data on PD-1 pathway inhibitors are from patients with renal cell carcinoma receiving nivolumab. A phase II trial reported durable tumor responses with nivolumab, with some responses lasting more than 2 years, and many in heavily pretreated patients; OS data compared favorably with historical data.<sup>34, 35</sup> Recent phase III trial data versus everolimus in previously treated patients with advanced renal cell carcinoma reported longer median OS with nivolumab (25.0 vs 19.6 mo, p=0.002).<sup>36</sup>

Promising activity with the anti–PD-L1 inhibitor atezolizumab in bladder cancer led to its FDAgranted breakthrough therapy designation in 2014; in a phase I expansion study, ORR was 43% and 11% in patients with previously treated bladder cancer with and without PD-L1–expressing immune cells, respectively.<sup>7</sup> A phase III study comparing atezolizumab with chemotherapy in advanced urothelial bladder cancer after a platinum-containing regimen is ongoing (ClinicalTrials.gov identifier NCT02302807). Responses in head and neck cancer have been sufficiently encouraging at phase I<sup>41</sup> to lead to phase III studies with pembrolizumab, durvalumab, and nivolumab.

## Combination Therapy

Results from studies combining ipilimumab with nivolumab in patients with advanced melanoma suggest that the combination is more efficacious than ipilimumab alone, and possibly also more active than nivolumab alone. In a randomized placebo-controlled phase II study, the addition of nivolumab to ipilimumab significantly improved ORR compared with ipilimumab alone (61% vs 11%) in patients with BRAF wild-type melanoma (OR 12.96 [95% CI 3.91-54.49, p<0.001]), and improved PFS (median not reached with combination therapy vs 4.4 mo with ipilimumab [HR 0.40, 95% CI 0.23-0.68, p<0.001]).<sup>26</sup> Similar improvements in both parameters were also seen in patients with BRAF mutation-positive tumors. A double-blind phase III study comparing nivolumab monotherapy or nivolumab plus ipilimumab with ipilimumab monotherapy in previously untreated patients with metastatic melanoma reported improved PFS with the combination treatment. Median PFS was 11.5 months (95% CI 8.9–16.7) for nivolumab plus ipilimumab versus 2.9 months (95% CI 2.8–3.4) for ipilimumab alone (HR 0.42, 99.5% CI 0.31–0.57, p<0.001), and 6.9 months (95% CI 4.3–9.5) for nivolumab alone (HR vs ipilimumab alone 0.57, 99.5% CI 0.43–0.76, p<0.001).<sup>42</sup> On the basis of the phase II study, nivolumab in combination with ipilimumab was approved by the FDA for the treatment of *BRAF* wild-type advanced melanoma.<sup>1, 3</sup>

Combinations of CTLA-4 and PD-1 inhibitors are also being investigated in patients with numerous other tumor types including advanced NSCLC and renal cell carcinoma. In patients with metastatic renal cell carcinoma, preliminary data show a higher ORR with combination blockade at tolerated doses (38-40%) than was seen with PD-1 inhibition alone (20-22%).34, 43 Similarly, in a phase I/II trial of patients with extensive-disease small cell lung cancer, median OS was higher with ipilimumab plus nivolumab vernivolumab alone: 7.8 months sus versus 3.6 months.<sup>44</sup> However, early data from a NSCLC trial have not shown increased efficacy with combination blockade (ORR 13-39% across all dosing and histology groups tested in patients with chemotherapy-naive NSCLC) versus PD-1 blockade alone (ORR 23% across all histologies).45,46 These combination data should be treated with some caution because the total number of patients treated is still relatively small.

## **Response Kinetics**

As with conventional agents, most responses to PD-1 or PD-L1 inhibitors in clinical trials were detected 3 months or less after treatment initiation.<sup>5, 34, 47</sup> However, reports of first responses (including complete responses) occurring after 5 months or more after starting treatment have been reported.<sup>29, 34, 40, 47</sup> Most responses seen in clinical trials (typically more than 90%) meet traditional Response Evaluation Criteria in Solid Tumors (RECIST) crite-ria.<sup>24, 25, 40, 48, 49</sup> However, "unconventional" or "immune-related" responses (classified using criteria<sup>49</sup>) immune-related response have occurred in some cases after apparent initial dis-ease progression.<sup>24, 25, 40, 48, 49</sup> This may be true tumor progression followed by a delayed antitumor immune response, or it may be tumor enlargement as a result of immune cell infiltration in the presence of a rapidly mobilized immune response, known as "pseudo progression," followed by visible regression.<sup>50</sup> Because

of the occurrence of unconventional responses, protocols of some PD-1 inhibitors have allowed continued treatment in patients with possible tumor progression in the context of other favorable clinical parameters.<sup>5, 24, 25, 29, 34, 40, 48</sup> The clinical importance of unconventional tumor responses (which would be classified as progressive disease per RECIST v1.1 criteria) was highlighted in a recent analysis showing that they were associated with better OS than responses classified as progressive disease by both sets of response criteria.<sup>49</sup>

Another consistent and intriguing observation is the long duration of response seen with PD-1 inhibitors, persisting even in patients who have stopped treatment for reasons other than disease progression. In the phase I trial of nivolumab, the median response duration was 2 years (range 4-27+ mo) in patients with advanced melanoma, 17.0 months (range 1.4+ to 36.8+ mo) in patients with NSCLC, and 12.9 months (range 8.4–29.1+ mo) in patients with renal cell carcinoma.<sup>23, 40, 48</sup> Later-phase nivolumab trials, as well as trials with other agents (pembrolizumab, atezolizumab), have also shown durable responses, but follow-up times have been shorter (Table 2).

## Safety Profile of PD-1 Pathway Inhibitors

Across the broad clinical experience of nivolumab and pembrolizumab trials, the safety profile has remained consistent without identification of new safety signals. PD-1 immune checkpoint inhibitors are associated with AEs that have possible immune etiologies (immune-mediated AEs).<sup>5, 23, 25</sup> Similar AEs were reported with ipilimumab,<sup>51</sup> although the safety profile with PD-1 or PD-L1 inhibition seems different. Results from trials with both ipilimumab and pembrolizumab or nivolumab arms suggest that PD-1 inhibitors have fewer grade 3 or higher treatment-related AEs than ipilimumab. In patients with advanced melanoma, grade 3 or higher treatment-related AEs occurred in 10-13% of patients receiving pembrolizumab versus 20% with ipilimumab.<sup>27</sup> In patients with previously untreated metastatic melanoma, these rates were 17% with nivolumab and 28% with ipilimumab.42 PD-1 inhibitors appear to have fewer gastrointestinal immune-mediated AEs of any grade versus ipilimumab: diarrhea 1-17% versus 23-27%; colitis 1-4% versus 8% (Table 3).27, 42

In clinical trials, most immune-mediated AEs occurred within the first 6 months of treatment

initiation, and increased exposure did not increase the risk of such events.<sup>2, 3, 25, 30</sup> In a phase III trial of patients with advanced NSCLC who received nivolumab, gastrointestinal AEs tended to occur first (median time to onset 3 wks), followed by endocrine and skin AEs (median time to onset ~7 wks), renal AEs (median time to onset 10.5 wks), and pulmonary and hepatic AEs (median time to onset 15– 18 wks).<sup>30</sup> However, wide variation exists in the reported times of onset of immune-mediated AEs with PD-1 inhibitors.<sup>2, 3, 30</sup>

An analysis of pembrolizumab data showed that the safety profile was similar in patients with and without prior ipilimumab treatment.<sup>52</sup> In a phase III nivolumab trial in patients who had previously received ipilimumab (6 wks or more prior), none of the grade 3–4 immune-mediated AEs reported with ipilimumab were reproduced with nivolumab,<sup>24</sup> suggesting that AEs with ipilimumab do not predispose patients to an increased risk of AEs with PD-1 inhibitors.

Based on the mechanism of action, it follows that PD-1 inhibition may facilitate activation of potentially autoreactive T cells, leading to inflammatory AEs across a range of tissues. For this reason, patients with a history of autoimmune diseases or systemic immune suppression were excluded from clinical trials of PD-1 path-way inhibitors.<sup>5, 7, 25–27, 29, 34, 37, 42</sup> Management strategies for immune-mediated AEs have been published for the PD-1 immune checkpoint inhibitor nivolumab.<sup>24-26</sup> These include treatment interruption or discontinuation, and, in keeping with their inflammatory etiology, involve use of corticosteroids with a long taper over a period of at least 1 month (Table 4). The guidelines recommend escalation to other immunosuppressive agents if certain AEs prove refractory to corticosteroids; these agents include infliximab for diarrhea, colitis, or pneumonitis, and mycophenolate mofetil for pneumonitis or hepatic inflammation.<sup>24–26</sup> Unlike most immunemediated AEs with PD-1 inhibitors, endocrinopathies associated with treatment may be irreversible but can be managed with hormone replacement therapy.<sup>24–26</sup>

Clinical trial experience has demonstrated the importance of close patient monitoring and prompt intervention for successful management of immune-mediated AEs.<sup>24–26</sup> Suspected events should be thoroughly investigated and, if deemed to be immune related (and not an infection, for example), appropriate treatment should be started. Limited data suggest no clear rela-

					Immu	Immune-mediated adverse events (%) <sup>b</sup>	idverse ev	ents (%) <sup>b</sup>				
	Ipili	[pilimumab <sup>c</sup>	Nive	Nivolumab <sup>d</sup>	Pembı	Pembrolizumab <sup>e</sup>	Pidi	Pidilizumab <sup>f</sup>	Dur	Durvalumab <sup>g</sup>	Atez	Atezolizumab <sup>h</sup>
	Any		Any		Any		Any		Any		Any	
Organ category	grade	Grade 3–4	grade	Grade 3–4	grade	Grade 3–4	grade	Grade 3–4	grade	Grade 3–4	grade	Grade 3–4
Endocrine	8	4	48	< 1	NR	NR	NR	NR	NR	NR	NR	NR
Hypothyroidism	2	0	4–6	0	2 - 10	-   V	NR	NR	4-5	0	NR	NR
Hyperthyroidism	0-7	< 1	2–3	0	2-7	0	NR	NR	4	< 1	NR	NR
Gastrointestinal	29	8	8-17	$1\!-\!2$	NR	NR	NR	NR	NR	NR	NR	NR
Diarrhea	23-27	3-5	8 - 16	1	1 - 17	° ∨I	16	0	7–8	< 1	10	0
Colitis	8	5-7	1	-   ~	1-4	1 - 3	NR	NR	NR	NR	NR	NR
Hepatic	4	0	2-4	   	NR	NR	NR	NR	NR	NR	NR	NR
ALT level increased	7	0	1–3	   	1	1	NR	NR	ę	< 1	7	1
AST level increased	< 1	0	1-4	-   ~	1–3	   	NR	NR	2–3	\ 	1	1
Pulmonary	0	0	1 - 5	$ $ $^{ }$	NR	NR	NR	NR	NR	NR	NR	NR
Pneumonitis	< 1	<ul> <li>1</li> </ul>	1-5	~ 	2 VI	< 2	NR	1	1–3	0	NR	0
Renal	0	0	1–3	   	NR	NR	NR	NR	NR	NR	NR	NR
Increased serum creatinine			° ∼	0	NR	NR	NR	NR	NR	NR	NR	NR
Renal failure			 VI	$\sim$ 1	1	< 1	NR	NR	NR	NR	NR	NR
Skin	44	2	9–37	1 1 2	NR	NR	NR	NR	NR	NR	NR	NR
Pruritus	24–25	< 1	2-17	$  \land  $	2-14	0	NR	NR	4-7	0	8	0
Rash	14–19	\ 	4-15	   	2-15	< 1	NR	NR	7–8	0	10	0
Hypersensitivity/infusion	0	0	1< 2	$^{ \wedge }$	£	< 1	NR	NR	$^{<}1$	0	NR	NR
reaction												
PD-1 = programmed death 1; PD-L1 = programmed death-ligand 1; ALT = alanine amir	L1 = progr	ammed death-lig	and 1; ALT	it most defined an incurantification and it	notransferas		ate aminot	= aspartate aminotransferase; NR =	= not reported	ed.	000000000000000000000000000000000000000	مط المصام م
made with caution.		s monada, whe		IL WAS UCILIEU		E IIICUIAICU. DAU	מ מוכ ווטו ו		uu uuais, uu	enuparisona	auruss age	iils siluulu de
Key events are only listed for each organ category, so the sum of individual events may be less than the total for a given category. In some cases, because patients may have experienced more	ch organ cai	egory, so the su	m of indivi	dual events ma	y be less th	an the total for	a given cat	egory. In some	cases, beca	use patients ma	y have exp	crienced more
than one event, the total of indivi-	dual events	may exceed the	sum for th	e organ categor	y.		)					
N=131 <sup>31</sup> ; N=256. <sup>27</sup> <sup>d</sup> N=131 <sup>30</sup> , N=206 <sup>25</sup> , N=268 <sup>24</sup>												
$[N=173^{5}]$ ; N=495 <sup>32</sup> ; N=682 <sup>33</sup> , N=555 <sup>27</sup>	55. <sup>27</sup>											
N=102. <sup>28</sup>												
<sup>b</sup> N=228 <sup>o</sup> ; N=62. <sup>o3</sup> <sup>b</sup> N=277.47												
N-211.												

Table 3. Comparison of Key Immune-Mediated Adverse Events by Organ Category with Ipilimumab and PD-1/PD-L1 Immune Checkpoint Inhibitors<sup>a</sup>

Adverse event grade <sup>a</sup>	Management	Follow-up
Gastrointestinal (diarrhea/co Grade 2	litis) • Delay I-O • Symptomatic treatment	If persists > 5–7 days or recurs: • MP 0.5–1 mg/kg/day i.v. (or oral equivalent) <sup>b</sup> If worsens or persists > 3–5 days with oral steroids: • Treat as grade 3–4
Grade 3-4	<ul> <li>Discontinue I-O</li> <li>MP 1–2 mg/kg/day i.v. (or i.v. equivalent)<sup>b</sup></li> <li>Consider lower endoscopy</li> </ul>	If persists > 3–5 days or recurs: • Add infliximab i.v. 5 mg/kg
Hepatic (liver enzyme level e Grade 2	elevation) • Delay I-O • Monitor every 3 days	If persists > 5–7 days or worsens: • MP 0.5–1 mg/kg/day i.v. (or oral equivalent) <sup>b</sup>
Grade 3–4	<ul> <li>Discontinue I-O</li> <li>Monitor every 1–2 days</li> <li>MP 1–2 mg/kg/day i.v. (or i.v. equivalent)<sup>b</sup></li> <li>Consult gastroenterologist</li> </ul>	<ul> <li>If does not improve in 3–5 days, worsens, or rebounds:</li> <li>Add mycophenolate mofetil 1 g orally twice/day</li> <li>Consider other immunosuppression if no response within additional 3–5 days</li> </ul>
Skin (rash) Grade 1–2	<ul> <li>Continue I-O</li> <li>Symptomatic treatment (e.g., antihistamines, topical steroids)</li> </ul>	If persists > 1–2 wks or recurs: • Consider skin biopsy • Delay I-O • Consider MP 0.5–1 mg/kg/day i.v. (or oral equivalent) <sup>b</sup> If worsens: • Treat as grade 3–4
Grade 3–4	<ul> <li>Delay or discontinue I-O</li> <li>MP 1–2 mg/kg/day i.v. (or i.v. equivalent)<sup>b</sup></li> <li>Consider skin biopsy</li> <li>Consult dermatologist</li> </ul>	Can resume I-O if resolution to grade
Endocrine Symptomatic	<ul> <li>Evaluate endocrine function</li> <li>Consider MRI scan of pituitary Normal laboratory test results/pituitary scan:</li> <li>Repeat laboratory tests in 1–3 wks</li> <li>Repeat MRI scan of pituitary in 1 mo Abnormal laboratory test results /pituitary scan:</li> <li>Delay I-O</li> <li>MP 1–2 mg/kg/day i.v. (or oral equivalent)<sup>b</sup></li> <li>Initiate appropriate hormone therapy</li> </ul>	<ul> <li>If improves (with or without hormone replacement):</li> <li>Resume I-O</li> <li>Patients with adrenal insufficiency may need to continue steroids with mineralocorticoid component</li> </ul>
Suspected adrenal crisis	<ul> <li>Discontinue I-O</li> <li>Rule out sepsis</li> <li>Stress dose of i.v. steroids with mineralocorticoid activity</li> <li>i.v. fluids</li> <li>Consult endocrinologist</li> </ul>	
Renal (increased serum creat Grade 2–3	<ul> <li>belay I-O</li> <li>Monitor every 2–3 days</li> <li>MP 0.5–1 mg/kg/day i.v. (or oral equivalent)<sup>b</sup></li> <li>Consider renal biopsy</li> </ul>	If serum creatinine concentration elevations persist > 7 days or worsen: • Treat as grade 4

Table 4. Management Strategies for Immune-Mediated Adverse Events Associated with PD-1 Inhibitors<sup>25</sup>

(continued)

Table 4 (continued
--------------------

Adverse event grade <sup>a</sup>	Management	Follow-up
Grade 4	<ul> <li>Discontinue I-O</li> <li>Daily monitoring</li> <li>MP 1–2 mg/kg/day i.v. (or i.v. equivalent)<sup>b</sup></li> <li>Consult nephrologist</li> <li>Consider renal biopsy</li> </ul>	
Pulmonary (pneumonitis)		
Grade 2	<ul> <li>Delay I-O</li> <li>Pulmonary and infectious disease consultations</li> <li>Daily symptom monitoring</li> <li>Consider hospitalization</li> <li>Consider bronchoscopy, lung biopsy</li> <li>MP 1 mg/kg day i.v. (or oral equivalent)<sup>b</sup></li> </ul>	<ul><li>Re-image every 1–3 days</li><li>If not improving after 14 days or worsening:</li><li>Treat as grade 3–4</li></ul>
Grade 3–4	<ul> <li>Discontinue I-O</li> <li>Hospitalize</li> <li>Pulmonary and infectious disease consultations</li> <li>Consider bronchoscopy, lung biopsy</li> <li>MP 2-4 mg/kg/day i.v. (or i.v. equivalent)<sup>b</sup></li> </ul>	<ul> <li>If not improving after</li> <li>48 hrs or worsening:</li> <li>Add additional immunosuppression (infliximab, cyclophosphamide, IVIG, mycophenolate)</li> </ul>

ID = infectious disease; I-O = immuno-oncology agent; i.v. = intravenous; IVIG = intravenous immunoglobulin; MP = methylprednisolone; MRI = magnetic resonance imaging; PD-1 = programmed death 1.

National Cancer Institute Common Terminology Criteria for Adverse Events, v.4.0.

 $^{\circ}$ If improves to grade 1, taper steroids > 1 mo, and consider prophylactic antibiotics for opportunistic infections; I-O therapy can be resumed for mild to moderate immune-mediated adverse events that have returned to baseline.

tionship between steroid use and continued efficacy of PD-1 inhibitors<sup>53</sup>; however, further investigation is needed, including any effects of prolonged and/or high-dose steroids. In nivolumab trials, involvement of an appropriate specialist (dependent on the toxicity observed) was recommended for most cases of grade 3 or higher AEs.<sup>24–26</sup> Using the provided management algorithms, most of all grade 3–4 immunemediated AEs reported in the two published phase III nivolumab melanoma trials (10/12 [83%]) resolved.<sup>24, 25</sup>

#### Pharmacokinetics and Pharmacodynamics

Among the PD-1 immune checkpoint inhibitors currently approved or in development, pembrolizumab and nivolumab have the most pharmacokinetic data available. Both of these fully human (nivolumab) or humanized (pembrolizumab) IgG4 monoclonal antibodies have an elimination half-life of ~26–27 days,<sup>2, 3</sup> whereas pidilizumab (a humanized IgG1 monoclonal antibody) has a half-life of 9–17 days.<sup>8</sup> Clearance of nivolumab and pembrolizumab increases with increasing body weight, supporting body weight-based dosing.<sup>2, 3</sup> None of the other parameters evaluated (age, sex, glomerular filtration rate, race/ethnicity, Eastern Cooperative Oncology Group performance status, lactate dehydrogenase level, hepatic function, baseline tumor size, tumor type, immunogenicity, and PD-L1 expression) had a clinically relevant effect on clearance.<sup>2, 3</sup>

#### Effects on Cytochrome P450 Enzymes

Therapeutic monoclonal antibodies are not thought to interact directly with cytochrome P450 (CYP) enzymes.<sup>54</sup> However, cytokines produced by activated T cells can affect the regulation of many drug transporters and CYP enzyme levels<sup>54</sup>; therefore, immunomodulatory antibodies may indirectly affect exposure to small molecule drugs.

A study evaluating the effect of PD-1 inhibition on peripheral blood levels of cytokines known to modulate CYP enzymes found that most inflammatory cytokines (IL-2 soluble receptor  $\alpha$ , IL-1A, IL-1B, IFN- $\gamma$ , tumor necrosis factor- $\alpha$ , IL-12P, and IL-23M) were below the level of detection for the assay both before and after nivolumab dosing.<sup>55</sup> Levels of IL-2, IL-6, and IL-10 were essentially unaffected during nivolumab treatment, with no systematic changes observed. The authors concluded that nivolumab has no or low potential for modulating CYP enzymes and thus a low risk of a therapeutic protein–drug interaction.

#### Antidrug Antibodies

To date, no evidence suggests that formation of antidrug antibodies should impact clinical decision making with any anti–PD-1 or PD-L1 antibody that is either approved or in development. Further analyses and a larger clinical experience will show whether this remains the case in the future.

A relatively low risk of antidrug antibodies is expected for fully human antibodies such as nivolumab, durvalumab, and atezolizumab. Among 281 patients treated with nivolumab 3 mg/kg Q2W, 24 (8.5%) were positive for antidrug antibodies, with neutralizing antibodies detected in two patients (less than 1%).<sup>3</sup> In an analysis of pooled data from three nivolumab studies, the incidence of persistent anti-nivolumab antibodies was less than 1% in more than 500 evaluable patients, and the incidence of neutralizing antibodies was less than 1% in over 250 evaluable patients.<sup>56</sup> However, population pharmacokinetic and exposure-response analyses show that the development of antidrug antibodies has minimal or no impact on nivolumab clearance, and there is no evidence of an altered nivolumab safety profile.<sup>3, 56</sup> Similarly, there is no evidence to date of durvalumab immunogenicity affecting the pharmacokinetic or pharmacodynamic activity of this antibody at the dose used in phase III trials.

Antidrug antibodies may be more likely for the humanized but not fully human antibodies pembrolizumab and pidilizumab. In this type of antibody, the mouse constant regions and variable framework regions are replaced with human sequences to minimize immunogenicity, so that only the complementarity-determining parts of the variable regions are of murine origin. Limited immunogenicity studies for pembrolizumab found no treatment-emergent antidrug antibodies in 97 patients treated with 2 mg/kg Q3W.<sup>2</sup> To date, no antibody data have been published for pidilizumab.

## Pharmacodynamics/Biomarkers

Limited pharmacodynamics information is available for PD-1 and PD-L1 inhibitors,

although ongoing trials are evaluating their pharmacodynamics and potential biomarkers. Tumor or immune cell expression of PD-L1 has been investigated as a potential marker of response to PD-1 or PD-L1 inhibitors. Across studies using varying methodologies, higher response rates were associated with PD-L1–positive tumors at baseline in patients with a range of different tumor types; however, responses were in almost every case also seen in patients with tumors with low or negative PD-L1 expression.<sup>7, 24, 25, 29, 32, 47, 49</sup>

As trials mature, survival data by PD-L1 expression are becoming available. In a trial of pembrolizumab in patients with NSCLC (all histologies), high baseline tumor expression of PD-L1 (TPS of 50% or higher) was associated with improved survival: median OS had not been reached in those with a proportion score of 50% or higher and appeared longer than for patients with a proportion score of 49% or lower, with clear separation of the curves by Kaplan-Meier analysis.<sup>32</sup> In the phase II/III NSCLC trial, median OS was 10.4 months for patients with a TPS of 1% or higher and 14.9 months for those with a TPS of 50% or higher receiving the approved 2 mg/kg pembrolizumab dose.33 In a phase III trial of nivolumab in patients with nonsquamous NSCLC, median OS was 19.4 months versus 9.9 months in patients with 10% or more and less than 10% baseline PD-L1 tumor expression, respectively.<sup>31</sup> However, in patients with squamous NSCLC receiving nivolumab, a less pronounced association was found between tumor PD-L1 status and survival: median OS was 11.0 months (10% or more expression) and 8.2 months (less than 10% expression).<sup>30</sup> Overall, these data suggest that the level of PD-L1 expression may be predictive of the degree of benefit achieved with PD-1 inhibitors in patients but do not show that those with low or negative PD-L1 expression will not benefit from treatment. Interestingly, in patients with PD-L1-negative melanoma, PFS was higher with combination CTLA-4 and PD-1 blockade than with either nivolumab or ipilimumab alone. In contrast, PFS was similar with combination blockade or nivolumab in patients with PD-L1-positive melanoma.42 It should be noted that PD-L1 expression testing has not been standardized; hence results should not be compared across studies.

Some research suggests that PD-L1 expression on tumor-infiltrating immune cells may be more predictive of response to PD-1 pathway inhibitors than tumor cell PD-L1 expression. A study of atezolizumab across multiple tumor types found a significant association between treatment response and tumor-infiltrating immune cell PD-L1 expression (p=0.007) but a nonsignificant trend between response and tumor cell PD-L1 expression (p=0.079).<sup>47</sup> There was also a trend for increased PFS with increasing PD-L1 expression by tumor-infiltrating immune cells; however, further studies are needed in this area.

An interesting study showed that patients with tumors deficient in mismatch-repair enzymes had a greatly enhanced antitumor response with pembrolizumab than those without the deficiency.<sup>57</sup> It is thought that mismatch-repair deficiency creates a higher number of mutations and novel tumor antigens, making the tumor more visible to the immune system. Because many different tumor types can have mismatch-repair deficiency, it is possible that screening for mismatch-repair status could potentially identify those patients who are likely to benefit from treatment with PD-1 pathway inhibitors.

#### Dosing and Administration

All PD-1 pathway inhibitors currently approved or in development have been tested across a range of doses. For pembrolizumab, nivolumab, and pidilizumab, no maximum tolerated dose was defined in phase I trials.<sup>8, 23, 58</sup> Table 5 provides recommendations for dosing, treatment withdrawal, and permanent discontinuation for nivolumab and pembrolizumab. No premedication is recommended for infusion of these approved agents, and infusion-related reactions are rare.<sup>2, 3</sup>

#### **Cost Considerations**

To date, no analyses of the cost-effectiveness of treating melanoma with PD-1 or PD-L1 inhibitors have been published. However, a recent analysis of ipilimumab compared with best supportive care in patients with previously treated melanoma, based on a U.S. payer perspective, estimated that the incremental cost-effectiveness ratio was \$78,218 per life-year gained and \$128,656 per quality-adjusted life-year (QALY).<sup>59</sup> This study also estimated that ipilimumab had a 95% chance of being cost-effective at a willingness to pay of \$146,000 per QALY. Some have argued that the U.S. Panel on Cost-Effectiveness in Health and Medicine, while providing guidance on the conduct of cost-effectiveness studies, has failed to recommend an acceptable level of cost-effectiveness.<sup>60</sup> Based on a review of relevant literature, the same authors suggested that an acceptable cost-effectiveness threshold in the United States approached \$200,000.<sup>60</sup> The estimated cost-effectiveness of ipilimumab falls well within this limit. The costeffectiveness of ipilimumab has been the subject of some debate in both the United States and the United Kingdom.<sup>61</sup>

In addition to drug cost, critical factors in modeling cost-effectiveness include survival benefit compared with other treatments and the cost of disease management and toxicity management. The American Society of Clinical Oncology Task Force on the Cost of Cancer Care has proposed a conceptual framework for assessing the value of advanced cancer treatment options.<sup>62</sup> In the advanced disease framework, points are awarded (or subtracted) in the categories of clinical benefit (OS, PFS, or ORR) and toxicity. Bonus points are added if a regimen shows statistically significant improvement in palliation of symptoms and/ or treatment-free interval compared with the control treatment in a clinical trial. Clinical benefit, toxicity, and bonus points are combined to generate a net health benefit score, which is then juxtaposed against the direct cost of the treatment to provide an overall summary assessment.

The improved OS, PFS and/or ORR and reduction in grade 3 or higher treatment-related AEs reported with nivolumab and pembrolizumab versus standard-of-care treatments, including ipilimumab,<sup>25, 27, 30, 31, 37</sup> suggests that PD-1 inhibitors would have a high net health benefit score using the advanced cancer framework. Direct costs would have to be incorporated into the framework to assess the value of these treatments.

The encouraging results with combination immunotherapy have also raised questions and concerns about the price of combination regimens, including drug costs and the cost of managing the higher occurrence of AEs versus single-agent therapy. This is likely to become an increasingly important topic of discussion and research if combination therapies are approved by the FDA.

#### Conclusion

Immunotherapy with PD-1 and PD-L1 immune checkpoint inhibitors is a rapidly growing area and appears to have broad applications across a number of tumor types. PD-1 expression on T cells is a hallmark of T-cell

Dose	Nivolumab <sup>3</sup>	Pembrolizumab <sup>2</sup>
As recommended Withhold	<ul> <li>3 mg/kg administered as an</li> <li>i.v. infusion over 60 min every 2 wks</li> <li>Grade 2 pneumonitis</li> <li>Grade 2 or 3 colitis</li> <li>AST or ALT &gt; 3–5 × ULN or total bilirubin &gt; 1.5–3 × ULN</li> <li>Creatinine &gt; 1.5–6 × ULN or &gt; 1.5 × baseline level</li> <li>Any severe or grade 3 treatment-related adverse reaction</li> </ul>	<ul> <li>2 mg/kg administered as an i.v. infusion over 30 min every 3 wks</li> <li>Grade 2 pneumonitis</li> <li>Grade 2 or 3 colitis</li> <li>Symptomatic hypophysitis</li> <li>Grade 2 nephritis</li> <li>Grade 3 hyperthyroidism</li> <li>AST or ALT &gt; 3–5 × ULN or total bilirubin &gt; 1.5–3 × ULN</li> <li>Any other severe or grade 3 treatment-related adverse reaction</li> </ul>
Permanently discontinue	<ul> <li>Any life-threatening or grade 4 adverse reaction</li> <li>Grade 3 or 4 pneumonitis</li> <li>Grade 4 colitis</li> <li>AST or ALT level &gt; 5 × ULN or total bilirubin level &gt; 3 × ULN</li> <li>Serum creatinine level &gt; 6 × ULN</li> <li>Any severe or grade 3 treatment-related adverse reaction that recurs</li> <li>Inability to reduce corticosteroid dose to ≤ 10 mg/day of prednisone or equivalent within 12 wks</li> <li>Persistent grade 2 or 3 treatment-related adverse reactions that do not recover to grade 1 or resolve within 12 wks after the last dose of nivolumab</li> </ul>	<ul> <li>Any life-threatening adverse reactions</li> <li>Grade 3 or 4 pneumonitis</li> <li>Grade 3 or 4 nephritis</li> <li>AST or ALT level &gt; 5 × ULN or total bilirubin level &gt; 3 × ULN</li> <li>For patients with liver metastasis who begin treatment with grade 2 AST or ALT level increase, if AST or ALT increases by ≥ 50% relative to baseline and lasts for ≥ 1 wk</li> <li>Grade 3 or 4 infusion-related reactions</li> <li>Inability to reduce corticosteroid dose to ≤ 10 mg/day prednisone or equivalent within 12 wks</li> <li>Persistent grade 2 or 3 adverse reactions that do not recover to grade 0–1 within 12 wks after the last dose of pembrolizumab</li> <li>Any severe or grade 3 treatment-related adverse reaction that recurs</li> </ul>

Table 5. Dosing Recommendations for FDA-Approved PD-1 Inhibitors

ALT = alanine aminotransferase; AST = aspartate aminotransferase; FDA = U.S. Food and Drug Administration; ULN = upper limit of normal.

exhaustion, consistent with the finding that tumor-infiltrating lymphocytes expressing PD-1 possess curtailed effector function. These observations point to a central role of PD-1 and its ligands in enabling tumors to evade elimination by the immune system and provide a rationale for therapy with PD-1 or PD-L1 inhibitors. Early clinical data validated this strategy, and more mature phase I and emerging phase III data have so far confirmed the clinical benefits offered by these approaches for patients with advanced melanoma and advanced NSCLC. Toxicities associated with nivolumab and pembrolizumab appear similar but are generally less severe than those reported with the CTLA-4 inhibitor ipilimumab, and they are manageable using published algorithms. Many questions remain about the optimum way to use and combine inhibitors of PD-1 and PD-L1, but based on currently available information, we anticipate that these agents will ultimately play a key role in the management of patients with a range of tumor types.

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