ORIGINAL RESEARCH



# Quantifying the Vaccine-Induced Humoral Immune Response to Spike-Receptor Binding Domain as a Surrogate for Neutralization Testing Following mRNA-1273 (Spikevax) Vaccination Against COVID-19

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# ABSTRACT

*Introduction*: There is a need for automated, high-throughput assays to quantify immune response after SARS-CoV-2 vaccination. This study assessed the combined utility of the Elecsys<sup>®</sup> Anti-SARS-CoV-2 S (ACOV2S) and the

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S. Jochum (⊠) Research and Development Immunoassays, Roche Diagnostics GmbH, Nonnenwald 2, 82377 Penzberg, Germany e-mail: simon.jochum@roche.com Elecsys Anti-SARS-CoV-2 (ACOV2N) assays using samples from the mRNA-1273 (Spike-vax<sup>TM</sup>) phase 2 trial (NCT04405076).

*Methods*: Samples from 593 healthy participants in two age cohorts (18–54 and  $\geq$  55 years), who received two injections with placebo (n = 198) or mRNA-1273 (50 µg [n = 197] or 100 µg [n = 198]), were collected at days 1 (first vaccination), 15, 29 (second vaccination), 43, and 57. ACOV2S results were used to assess humoral response to vaccination in different subgroups and were compared to live virus microneutralization assay. Samples from patients with either previous or concomitant infection (identified per ACOV2N) were analyzed separately.

*Results*: Receptor-binding domain-specific antibodies were readily detectable by ACOV2S for the vast majority of participants (174/189, 92.1% [50 µg dose] and 178/192, 92.7% [100 µg dose]) at the first post-vaccination assessment, with non-converters predominantly older in age. Seroconversion for all participants was observed at day 29 (before the second vaccine dose). Two weeks after the first dose, geometric mean concentration (GMC) of antibody levels was 1.37-fold higher in the 100 versus 50 µg group (p = 0.0098), reducing to 1.09-fold 2 weeks after the second dose (p = 0.0539, n.s.). In both dose groups, a more pronounced response was observed in the younger versus older age group on day 15 (50 µg, 2.49-fold

[p < 0.0001]; 100 µg, 3.94-fold [p < 0.0001]higher GMC, respectively), and day 29 (1.93fold, p = 0.0002, and 2.44-fold, p < 0.0001). Eight subjects had previous or concomitant SARS-CoV-2 infection; vaccination boosted their humoral response to very high ACOV2S results compared to infection-naïve recipients. ACOV2S strongly correlated with microneutralization (Pearson's r = 0.779; p < 0.0001), including good qualitative agreement.

*Conclusion*: These results confirmed that ACOV2S is a highly valuable assay for tracking vaccine-related immune responses. Combined application with ACOV2N enables monitoring for breakthrough infection or stratification of previous natively infected individuals. The adaptive measuring range and high resolution of ACOV2S allow for early identification of seroconversion and resolution of very high titers and longitudinal differences between subgroups. Additionally, good correlation with live virus microneutralization suggests that ACOV2S is a reliable estimate of neutralization capacity in routine diagnostic settings.

**Keywords:** SARS-CoV-2; COVID-19; Quantitative serology; Vaccination; Live virus microneutralization

## Key Summary Points

Automated, high-throughput assays are required to quantify immune responses after SARS-CoV-2 vaccination.

The Elecsys<sup>®</sup> Anti-SARS-CoV-2 S (ACOV2S) and Anti-SARS-CoV-2 (ACOV2N) assays have been developed to quantify the humoral response to the SARS-CoV-2 spike and nucleocapsid proteins, respectively.

The objective of this study was to evaluate the combined utility of the ACOV2S and ACOV2N assays using samples from the mRNA-1273 (Spikevax<sup>TM</sup>) phase 2 trial. Our results show that the ACOV2S assay can track vaccine-related immune responses with a wide dynamic range and with good agreement with a live virus microneutralization assay.

Combining the ACOV2S and ACOV2N assays enables breakthrough infections to be detected or for the impact of previous native infections on vaccine response to be evaluated.

# INTRODUCTION

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is a highly transmissible and pathogenic coronavirus that has infected hundreds of millions of people globally since it first emerged in 2019 [1]. To reduce the burden of disease, vaccines have been rapidly developed and administered extensively in many parts of the world [2]. While vaccines can be directed against all viral SARS-CoV-2 proteins [3, 4], the spike (S) and nucleocapsid (N) proteins are considered the main targets of the immune response. To date, the majority of approved vaccines and vaccine candidates are targeted to the S antigen, which facilitates entry to host cells via the angiotensin-converting enzyme 2 receptor [5]. The immunogenicity and functional importance for host cell entry of the Santigen render it an attractive target for vaccination with strong neutralizing potential. However, strong antigenic drift and immune evasion by the virus was expected and has already occurred in emerging strains of SARS-CoV-2 [6]. Recent publications have shown that immune response to vaccination is dependent on vaccine type, age, and comorbidities [7–11], and debates have arisen regarding the need to provide additional doses to vulnerable populations [11, 12]. More recently, the emergence of highly transmissible variants, such as Omicron, has led to a number of countries offering booster vaccination doses to the general population [13], with boosters now known to produce a significant increase in neutralizing activity against the Omicron variant [14, 15]. To further understand the potential benefits of offering additional vaccine doses, there is a high need for sensitive and specific assays that can reliably quantify immune responses to vaccination. In addition, large data sets from well-controlled studies are needed to generate the best possible estimates on antibody response to vaccination.

Although the measurement of neutralizing antibodies by live virus neutralization assays allows direct functional assessment of the immune response, the requirement for cell culture and live virus preparations renders robust standardization challenging even when applying the same technical setup. As a surrogate for neutralizing titers, the quantification of antibody concentrations using commercially available immunoassays is an attractive option for measuring the response to vaccination and is supported by observations of a correlation between antibodies targeting the receptorbinding domain (RBD) and virus neutralizing titers in plasma from patients naturally infected with SARS-CoV-2 [16-25]. There is also growing evidence that the presence of neutralizing or binding antibodies can be correlated with proinfection SARS-CoV-2 tection against [3, 4, 26–29], with some studies attempting to define thresholds for protection. For example, in patients vaccinated with the mRNA-1273 vaccine, the risk of a breakthrough SARS-CoV-2 infection was shown to be reduced by 72% in patients with a high (> 5750 binding antibody units [BAU]/mL) vs low (< 3310 BAU/mL) anti-RBD titer and by 69% in patients with a high (> 363 IU/mL) vs low (< 178 IU/mL) pseudovirus neutralizing  $ID_{50}$  titer [27]. Similarly, anti-S titers of 107 and 94 BAU/mL were shown to protect 67% of patients from infection following vaccination with the ChAdOx1 or BNT162b vaccines, respectively [28], Finally, 80% vaccine efficacy against symptomatic COVID-19 was achieved with anti-S and anti-RBD titers of 264 BAU/mL and 506 BAU/mL,

respectively, following vaccination with ChA-dOx1 [29].

The Roche Elecsys<sup>®</sup> Anti-SARS-CoV-2 S assay (hereby referred to as ACOV2S) is an automated, high-throughput assay that quantifies antibodies against the RBD of the S protein, developed to detect low levels of such antibodies with high sensitivity (97.92%) and specificity (99.95%) [30]. In contrast, the Roche Elecsys Anti-SARS-CoV-2 immunoassay (hereby referred to as ACOV2N) specifically identifies antibodies to the N protein and therefore can only detect humoral responses elicited following natural infection and not vaccines targeting the S protein [31]. In a recent analysis of samples from a phase 1 trial of the mRNA-1273 vaccine, all participants were non-reactive by ACOV2S at baseline (< 0.4 U/mL) indicating high specificity for detecting a vaccine-induced immune response [32]. In addition, moderate to strong correlations were observed between ACOV2S and several neutralization assays [20, 32].

To generate further evidence of the clinical utility of ACOV2S and ACOV2N, we utilized samples from participants enrolled in a phase 2 trial of the mRNA-1273 vaccine. This study provided a large sample size to confirm previous observations obtained using the phase 1 data set. In addition, the inclusion of a placebo arm in this study provided additional evidence of the specificity of ACOV2S for the detection of a vaccine-induced immune response. Furthermore, the study included participants in two age groups (18–54 years and > 55 years), allowing us to determine whether ACOV2S is capable of detecting age-related differences in humoral response. We also compared the results from ACOV2S with results from a live virus neutralization assay used in the phase 2 study. Finally, the combined use of ACOV2S and ACOV2N in individuals receiving a vaccine exclusively targeting the S protein provided a method to identify previous or concomitant natural SARS-CoV-2 infections. This enabled us to evaluate differences in the immune response following vaccination only or following vaccination combined with native infection in these individuals.

# METHODS

## **Study Design and Participants**

In this retrospective exploratory analysis, stored samples from participants enrolled in the phase 2 trial of mRNA-1273 (Spikevax<sup>TM</sup>; Moderna, Cambridge, MA; NCT04405076) were included for assessment. Full methodological details of this study, including collection of blood samples, have been described previously [33]. In brief. healthy participants aged > 18 years in two age cohorts (aged 18--54 years and aged > 55 years) were randomized 1:1:1 to receive 50 µg or 100 µg of mRNA-1273 or placebo. The vaccine and placebo were administered using a two-dose regimen with the first dose given on day 1 and the second on day 29. All participants were screened and randomized between May 22 and July 8, 2020. Informed written consent was originally obtained from all study participants in the context of the associated vaccine phase 2 study and the study was conducted in accordance with the principles of the Declaration of Helsinki and Good Clinical Practice Guidelines. Approval was granted by the regulatory and institutional committees for the phase 2 trial [33] and the diagnostic protocol under which the existing samples were tested.

Blood samples collected at baseline (day 1, first vaccination) and days 15, 29 (second vaccination), 43, and 57 were analyzed and serum testing was performed at PPD central laboratory (Highland Heights, KY, USA).

## Laboratory Assays

#### Elecsys Anti-SARS-CoV-2 S (ACOV2S) Immunoassay

The ACOV2S assay has been described previously [32]. In brief, samples were quantified for SARS-CoV-2 RBD antibodies with a measuring range of 0.4–25,000 U/mL. The assigned U/mL are equivalent to BAU/mL as defined by the first World Health Organization (WHO) International Standard for anti-SARS-CoV-2 immunoglobulin (NIBSC code 20/136) [34]. Values that deviated by more than three times the interquartile range (IQR) from the lower or upper quartile of the assay results were defined as statistical outliers. All outliers were associated with inconsistent patterns of antibody titers, most likely originating from sample misassignments rather than biological or technical effects.

#### Elecsys Anti-SARS-CoV-2 (ACOV2N) Immunoassay

In addition to the quantification of RBD-specific antibody titers induced by mRNA-1273 vaccination, all samples were assessed on the same cobas e 602 module with the ACOV2N assay [24]. As natural infection with SARS-CoV-2 but not vaccination with mRNA-1273 can trigger a positive ACOV2N result, this assay was used to determine whether participants had been infected naturally with SARS-CoV-2 either before or during the period of investigation.

## Comparator Assays

Neutralizing antibody levels were determined under the phase 2 study protocol [33] and the results were transferred to Roche for analysis. Serum neutralizing antibody titers against SARS-CoV-2 were measured using a live virus microneutralization (MN) assay based on an in situ ELISA readout; further details of this assay can be found in the supplementary appendix of the phase 2 publication [33]. The final reportable value for each sample was the MN<sub>50</sub> titer which refers to the dilution required to achieve 50% neutralization.

In case no significant inhibition of infection was observed (< 50% neutralization) with the MN assay, the assay result was qualitatively interpreted as negative for neutralizing activity in all qualitative concordance analyses. In this case, the missing quantitative result was substituted by half the lower limit of quantitation. Samples showing significant inhibition ( $\geq$  50% neutralization) at any of the applied dilutions were interpreted as positive for neutralizing activity in all qualitative concordance analyses. In case the titer exceeded the measuring range of the MN assay, the quantitative result was substituted by the upper limit of quantitation. Statistical outliers were defined as values that deviated by more than three times the interquartile range (IQR) from the lower or upper quartile of the assay results. Of note, most  $MN_{50}$  titers from participants randomized into one of the vaccine groups exceeded the assay's measuring range at later visits (days 43 and 57), so the IQR was severely underestimated and the criterion could not be applied to those visits. A Gaussian distribution fit to the right-censored data with subsequent application of the  $3 \times IQR$  criterion did not detect any further outliers.

#### **Statistical Analysis**

To evaluate the humoral response to vaccination with mRNA-1273, analyses were performed on samples from SARS-CoV-2-naïve participants. Statistical ACOV2S outliers were described separately and were also included in a sensitivity analysis. Confidence in analyzing outliers separately was based on the previously observed reliable performance of ACOV2S [30] and on immunobiological rationale [32]. For the comparison of ACOV2S and the live  $MN_{50}$ assay, the analysis was performed using samples from SARS-CoV-2-naïve participants, excluding those with either an ACOV2S or  $MN_{50}$  outlier result.

For each age category and dosage group, ACOV2S-measured anti-RBD antibody levels are shown as line plots and box plots (log-scale) for every measurement time point. Comparison of ACOV2S-measured antibody levels per age category, dose group, and time point were conducted using reverse cumulative distribution curves. For ACOV2S, geometric mean concentrations (GMCs) and geometric mean fold rises (GMFRs) were calculated for each time point and stratified by age category and dose group. The 95% confidence intervals (CIs) were calculated by Student's t distribution on log-transformed data and subsequent backtransformation to original scale. For the assessment of seroconversion, as measured by ACOV2S, the percentage of subjects who crossed the reactivity cutoff at 0.8 U/mL at or before a given time point was evaluated. For all analyses, values below the measuring range were set to the numeric value of 0.4 U/mL and values above the measuring range were set to 25,000 U/mL.

GMFRs were calculated relative to the baseline value as follows: Measurement results obtained at days 15, 29, 43, and 57 were divided by the paired day 1 (baseline) value, then the geometric mean of all ratios per visit was calculated. In case the baseline value was below the measuring range, the limit of quantitation was used as baseline value for ACOV2S (0.4 U/ mL), and half the detection limit was used as baseline value for the microneutralization assay.

To assess the concordance of ACOV2S with the live virus  $MN_{50}$  assay, a pairwise method comparison across all available data points (excluding values outside the measuring range) using Passing–Bablok (log-scale) regression analyses [35] with 95% bootstrap CIs was performed and Pearson's correlation coefficients (*r*) with 95% CIs were calculated. A comparison of the GMFRs was also performed to compare the dynamic range of the ACOV2S and MN assays.

Qualitative agreement between ACOV2S and the  $MN_{50}$  assay was analyzed by positive percentage agreement (PPA), negative percentage agreement (NPA), and overall percentage agreement, positive predictive value and negative predictive value (NPV) with exact 95% binomial CIs, and the positive and negative likelihood ratio with 95% CIs calculated (per Simel et al. approximation [35]). The software R, version 3.4.0, was used for statistical analysis and visualization [36].

Adjusted *p* values were obtained from post hoc analyses of a linear mixed effects model, which showed a significant effect of visit and of interactions between visit and dose group, as well as between visit and age group. In the post hoc analyses, pairwise comparisons between visits, stratified by age group and dose group, and pairwise comparisons of combinations of age group and dose group, stratified by visit, were performed. The obtained unadjusted *p* values were corrected with Holm's sequential Bonferroni procedure across visits, dose groups, and age groups.

# RESULTS

This analysis included longitudinal sample panels from a total of 593 participants; of these, 295 were aged 18-54 years and 298 were aged > 55 years. Of the overall population, 198 participants received placebo, 197 received the 50 ug dose of mRNA-1273 and 198 received the 100 µg dose of mRNA-1273. In the placebo, 50 µg, and 100 µg dose groups, respectively, mean ages ( $\pm$  SD) were 51.1 ( $\pm$  15.6). 50.6  $(\pm 16.2)$ , and 51.4  $(\pm 15.3)$  years and 35.4%. 30.5%, and 37.9% were male. In total, 15 subjects out of 593 were excluded from analysis including eight participants with at least one positive ACOV2N result (five were positive at baseline and three tested positive for ACOV2N during the course of the study) and seven participants identified as statistical outliers (six for ACOV2S and one for the live MN<sub>50</sub> assay).

#### Humoral Response After Vaccination with mRNA-1273 Assessed by Elecsys Anti-SARS-CoV-2 S Assay

After vaccination, anti-RBD antibody levels tended to increase until day 43 for both dose groups before dropping slightly by day 57 (Figs. 1A, 2A and Supplementary Material Table 1). The differences in paired ACOV2S levels between visits were statistically significant in each combination of dose and age groups (p < 0.01 for the comparison of day 43 and day 57, p < 0.0001 for all other paired visits). RBD-specific antibody levels indicated that almost all vaccinated participants had seroconverted prior to day 15 (Supplementary Material Table 1; 50 µg, 174/189 [92.1%]; 100 µg, 178/192 [92.7%]). Rates of seroconversion at day 15 were slightly higher for participants aged compared 18–54 years with participants aged  $\geq$  55 years in both dose groups (50 µg, 96.8% versus 87.5%; 100 µg, 97.9% versus 87.6%). In addition, patients who had not seroconverted by day 15 tended to be older than the overall patient populations in the two vaccine arms (median [IQR] age, 66.0 years [58.0-72.0] versus 55.0 years [38.0-64.0]). By day 29, i.e., day of second vaccination, all vaccinated participants had seroconverted. For both dose groups. GMCs were notably higher 2 weeks after the second vaccine dose (day 43; 50 µg, 6980 U/L; 100 µg, 7638 U/L) compared with day 29 (50 µg, 88.7 U/L; 100 µg, 117 U/L) (Supplementary Material Table 1). Overall, 12 out of 2838 samples had measured antibody levels that exceeded the applied upper limit of quantitation of 25,000 U/mL, all of which were taken after the second vaccination. The distribution of the ACOV2S levels after the first vaccine dose appears more heterogeneous than after the second vaccine dose (Fig. 1B). In addition, there is greater heterogeneity in the older age group compared to the younger age group.

The determined antibody concentrations correlated with the applied vaccine in a dosedependent manner (Fig. 2), with a GMR point estimate between the  $100 \,\mu g$  versus the  $50 \,\mu g$ group above 1 at follow-up visits (Supplementary Material Table 1). Differences between the  $100 \,\mu g$  and  $50 \,\mu g$  dose groups were more prominent after the first vaccination (day 15, 1.37-fold, p = 0.0098; day 29, 1.32-fold higher, p = 0.0539, n.s.) than after the second vaccination (day 43, 1.09-fold, p > 0.1, n.s.; day 57, 1.12-fold higher, p > 0.1, n.s.). A more pronounced response was also observed in the younger compared to the older age groups (Fig. 2B) in both the 50  $\mu$ g dose group (day 15, 2.49-fold. p < 0.0001;day 29, 1.93-fold. p = 0.0002; day 43, 1.35-fold, p > 0.1, n.s.; day 57, 1.35-fold higher, p > 0.1, n.s.) and in the 100 µg dose group (day 15, 3.94-fold, p < 0.0001;day 29, 2.44-fold, p < 0.0001;day 43, 1.50-fold, *p* = 0.0337; day 57, 1.57-fold higher, p = 0.0319).

Of the eight participants with at least one positive ACOV2N result during the trial indicative of a natural SARS-CoV-2 infection, two were in the placebo group and both showed a modest increase in both ACOV2N and ACOV2S levels between days 43 and 57. A further three participants demonstrated a highly robust response to the mRNA-1273 vaccine, either reaching or exceeding the upper limit of quantitation for the ACOV2S assay. Line plots for the ACOV2S results for these eight



Fig. 1 Time course of ACOV2S-measured antibody levels following mRNA-1273 (Spikevax) vaccination. Antibody levels following vaccination are shown as line plots in (A) and box plots in (B) (top and bottom panels showing results from 18–54 and  $\geq$  55 years age groups, respectively). Dotted gray vertical lines indicate time of vaccination, administered at days 1 and 29. Solid red horizontal

participants are shown in the Supplementary Material Fig. 1.

Line plots of the ACOV2S values of a further six participants with ACOV2S outliers are shown in the Supplementary Material Fig. 2. Performed for completeness, a sensitivity analysis including these outliers did not significantly change the outcome of the analysis of humoral response to vaccination with the mRNA-1273 vaccine (Supplementary Material Figs. 3 and 4).

# Concordance of ACOV2S with the Live Microneutralization Assay

Figure 3 visualizes concordance of ACOV2S with the live  $MN_{50}$  assay. Good numerical

lines indicate reactivity cutoff (0.8 U/mL). Box plots show the individual readouts (black dots) and, 25th, 50th, and 75th percentiles (black box). Values below the measuring range were set to the numeric value of 0.4 U/mL and values above the measuring set to 25,000 U/mL (yellow dots)

correlation was observed (Pearson's r = 0.779[95% CI 0.742–0.811]; *p* < 0.0001; determined on log-log scale). Of the 992 samples that were reactive in the ACOV2S assay, the majority (893 samples) exhibited significant in vitro neutralization capacity. The remaining 99 samples that did not yet show in vitro neutralization capacity were taken before the second vaccination and were of relatively low titer. Of these, 43.4% (43/ 99) were from the younger age group and 41.4%(41/99) were from the 100 µg dose group. The ACOV2S GMC in these 99 samples was 37.0 U/ mL (65.9 U/mL in the 18–54 age group, 23.7 U/ mL in the  $\geq$  55 age group), and thus significantly lower than the overall GMC of 102 U/mL in the two groups at day 29. Qualitative agreement between ACOV2S and MN<sub>50</sub> results is presented in Table 1. When the 0.8 U/mL



Fig. 2 Time-dependent antibody responses as measured by ACOV2S following mRNA-1273 (Spikevax) vaccination. Reverse cumulative distribution curves allow for comparison of ACOV2S-measured antibody level distributions

ACOV2S cutoff was used, the PPA and NPV were 100%. The NPA was 91.8%, as a result of the samples which were already reactive for ACOV2S but did not yet show neutralization in the MN<sub>50</sub> method. The NPA was modestly increased to 93.4% if a higher ACOV2S cutoff of 15 U/mL was used; this higher cutoff has been observed to correlate better with neutralization in samples from participants with a natural infection [29]. A comparison of GMFRs over time (Fig. 4) demonstrated higher MN<sub>50</sub> values in patients aged 18–54 versus  $\geq$  55 years (50 µg, p > 0.1, n.s.; 100 µg, p = 0.0029) and with the 100 versus 50 µg dose (p = 0.0002 at day 29), but these differences diminish after the second

between subgroups (A) and visits (B). Red vertical line indicates reactivity cutoff (0.8 U/mL). Asterisks indicate the time of vaccination, administered at days 1 and 29

vaccine dose (p > 0.1, n.s.). These observations are in line with ACOV2S GMFRs, although the ability of the GMFRs using the MN<sub>50</sub> method to resolve age-dependent effects is less pronounced than using the ACOV2S method. Of note, the measuring range of the MN<sub>50</sub> assay is limited and most titers exceed the range after day 29. This limitation contributes to the impaired differentiation by MN<sub>50</sub> at later time points and biases the value of GMFRs.

A sensitivity analysis that included samples from participants with a natural SARS-CoV-2 infection is shown in the Supplementary Material Fig. 5 and demonstrated good concordance between the two assays for these samples.



**Fig. 3** Comparison of ACOV2S and the live microneutralization assay (endpoint  $MN_{50}$ ). Passing–Bablok regression fit (log scale) of ACOV2S with MN assay results (Pearson's r [95% CI] 0.779 [0.742–0.811]; p < 0.0001). The shaded area represents the 95% confidence interval for the fitted curve. Dots or crosses show individual sample readouts. Crosses indicate samples with at least one assay

## DISCUSSION

With a rapidly growing number of SARS-CoV-2 vaccines excelling during clinical development, it is essential to reliably quantify the humoral immune response as a prerequisite to determine its value as a marker of response to vaccination and, ideally, as a surrogate marker of the risk of developing symptomatic disease following infection. In this exploratory analysis of mRNA-1273-vaccinated human samples from a phase 2 trial [33], results from the ACOV2S assay demonstrated that anti-RBD antibody concentrations increased in a time-, dose-, and age-dependent manner.

Vaccination resulted in seroconversion for 100% of participants within 4 weeks after the first injection with mRNA-1273, and further large increases in antibody titers were measured after the second injection of the same vaccine irrespective of age or dose group (GMFRs from

result outside the measuring range. Results are shown for subgroups defined by dose (A), age (B), and visit (C). Asterisks in C indicate the time of vaccination, administered at days 1 and 29. Overlaid table shows the qualitative agreement between the Elecsys ACOV2S and the  $MN_{50}$  assay

day 29 to day 43 were 78 and 64 in the 50  $\mu$ g and 100 µg dose groups, respectively). Differences in the immune response after application of a 100  $\mu$ g versus 50  $\mu$ g dose were particularly apparent after the first vaccination compared with the second vaccination and also in the younger versus older age groups. A difference in ACOV2S levels between the two age groups was also observed, with higher seroconversion rate and higher ACOV2S concentrations in the younger age group at day 15. This is consistent with age-dependent antibody responses reported for other COVID-19 vaccines [10, 12, 37, 38] as well as for vaccines for other infectious diseases [39, 40]. The ACOV2S assay has the capability to track immune responses over time with high resolution, which enables differences due to age, dose groups, and other stratification factors to be studied.

In total, eight participants had a positive ACOV2N result either at baseline or at one of the follow-up visits. Of note, no ACOV2N

	0.8 U/mL ACOV2S cutoff	15 U/mL ACOV2S cutoff
PPA	100 (99.6–100)	99.7 (99.0–99.9)
NPA	91.8 (90.1–93.3)	93.4 (91.8–94.7)
OPA	95.3 (94.3–96.2)	96.0 (95.1–96.8)
PPV	90.0 (88.0–91.8)	91.8 (89.8–93.4)
NPV	100 (99.7–100)	99.7 (99.2–99.9)
Positive likelihood ratio (95% CI)	12.2 (10.1–14.7)	15.0 (12.2–18.6)
Negative likelihood ratio (95% CI)	0 (0–NA)	0.00360 (0.00116–0.0111)

Data shown as % (95% CI) unless otherwise stated NPA negative percentage agreement, NPV negative predictive value, OPA overall percentage agreement, PPA positive percentage agreement, PPV positive predictive value

seroconversion was observed after second injection with mRNA-1273 which is in line with reported vaccine efficacy and protection from infection in this phase [33]. Participants who were vaccinated with mRNA-1273 and had a naturally occurring SARS-CoV-2 infection demonstrated titers exceeding those of naïve recipients. This is consistent with a previous study, which reported that individuals with a prior SARS-CoV-2 infection followed by two doses of an mRNA vaccine had higher S antibody measurements compared with individuals with vaccination alone [41]. Presumably, a significantly sustained immune response and most likely increased protection from infection can

be expected for these individuals. Comparative long-term monitoring of pre-infected and SARS-CoV-2-naïve individuals will be required to substantiate these assumptions; however, newly emerging SARS-CoV-2 variants with altered transmissibility and virulence add additional levels of complexity. Together, our results further highlight the use of antibody titer assessment after vaccination especially for older subjects with higher variance in response rate. Stronger seroconversion in individuals with prior infection may alleviate the need for a second dose in those individuals, allowing providers to implement a more targeted and individualized approach to vaccination and balancing protection versus possible side effects.

Good correlation was observed between ACOV2S and the live MN<sub>50</sub> assay, consistent with previous studies comparing ACOV2S and neutralization assays [20, 32]. The vast majority of samples with a positive MN<sub>50</sub> result were also positive by the ACOV2S assay resulting in an excellent PPA and NPV. Some disagreement before second vaccination was noted, where some positive ACOV2S results, albeit of relatively low antibody titer, coincided with nonreactive neutralizing antibody test results. This may be due to higher sensitivity of the ACOV2S assay and the higher quantities of RBD antibodies that may be required for significant in vitro neutralization activity [42]. This supports the clinical finding that single-dose vaccination does not convey optimal protection from infection and that the reported antibody titers before the second vaccination are not necessarily indicative of immunity. In contrast, the significantly higher RBD antibody titers following two-step vaccination appear suitable surrogate markers or, to a certain extent, contributing effectors to protection from infection. MN<sub>50</sub> titers also somewhat reflected age dependency; however, the limitations of the MN<sub>50</sub> method hampered systematic comparisons, especially at later visits (days 43 and 57). Concordance was also observed between the ACOV2S and live MN<sub>50</sub> assays for the samples from participants with a native SARS-CoV-2 infection. This indicates that levels of RBD antibodies are reflective of neutralizing activity irrespective of whether the immune response is



Fig. 4 Line plots of  $MN_{50}$  GMFRs over time. Comparison of age (A) and dose groups (B), including 95% CIs. Dotted lines represent the ACOV2S GMFRs. Dotted gray vertical lines indicate time of vaccination, administered at days 1 and 29

induced by infection or vaccination. Consistent with the impact on the ACOV2S results, there is a tendency for participants who had both natural infection and vaccination with mRNA-1273 to have higher MN<sub>50</sub> values. On the basis of the differences in dynamic range and the observation that the units established for ACOV2S are interchangeable with the units of the First International WHO Standard for anti-SARS-CoV-2 immunoglobulins [34], the ACOV2S assay can be considered to be a valuable addition to the neutralization assays that are currently available to study the humoral response to SARS-CoV-2. In particular, the need to perform cell culture for both live and pseudovirus neutralization assays (including use of a biosafety level 3 laboratory for live viruses) restricts the use of neutralization assays to lower volume workloads, whereas the ACOV2S immunoassay may be particularly useful where highthroughput measurements are required.

Overall, these findings are consistent with a previous analysis of ACOV2S values in subjects vaccinated with mRNA-1273 [32] and suggest that ACOV2S-measured antibody levels correlate well with the presence of neutralizing antibodies after vaccination. Combining the high-throughput, automated ACOV2S and ACOV2N assays enables stratification of naïve from preinfected individuals and monitoring for concomitant or breakthrough infection. ACOV2S enables reliable quantification of the humoral immune

response to vaccination. Furthermore, the observed high titers are in general indicative of convincing in vitro neutralization capacity and hence are very likely associated with immunity that protects from severe disease. Research is ongoing to elucidate anti-RBD thresholds that are indicative of prevention of symptomatic infection [27–29].

Our study had several limitations. Firstly, the relatively short follow-up prevented analysis of the ability of the ACOV2S assay to determine the longevity of antibody response; further comparison studies using longer-term follow-up are warranted. Secondly, the analysis is limited to vaccination with mRNA-1273 and may not be generalizable to all SARS-CoV-2 vaccines. Thirdly, higher dilutions of the live MN<sub>50</sub> assay were not performed as this was not included in the protocol for this study, meaning that higher titers were not quantified. Finally, only eight participants had a positive ACOV2N result in this study, which limited the robustness of the analysis of the impact of a native infection on vaccine-elicited humoral response.

## CONCLUSION

Using samples from a large phase 2 study, we confirmed previous results from a phase 1 study and demonstrated that the ACOV2S assay can be used to identify and track vaccine-related

immune responses. Furthermore, the adaptive dynamic range enabled resolution of a wide titer range and differentiation of the humoral immune response in relation to dose and age. Combination with ACOV2N enabled identification of previous or concomitant natural SARS-CoV-2 infection. Good agreement was observed between ACOV2S and the applied live virus neutralization assay, with discrepancies only observed before the second vaccine dose and in patients with low antibody titers. Additional long-term studies will be required to determine whether the ACOV2S assay can be used to identify patients who may remain at risk of symptomatic infection and may require additional booster vaccinations.

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*Compliance with Ethics Guidelines.* Informed written consent was originally obtained from all study participants in the context of the associated vaccine phase 2 study and the study was conducted in accordance with the principles of the Declaration of Helsinki and Good Clinical Practice Guidelines. Approval was granted by the regulatory and institutional committees for the phase 2 trial [33] and the diagnostic protocol under which the existing samples were tested.

Data Availability. The data sets generated during and/or analyzed during the current study may be available from the corresponding author on reasonable request. The authors are committed to sharing data supporting the findings of eligible studies. Access to de-identified patient-level data and supporting clinical documents with qualified external researchers may be available upon request once the trial is complete.

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