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Hemoglobin level significantly impacts the tumor cell survival fraction in humans after internal radiotherapy

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Abstract

Background: Anemia is usually not taken into account in internal radiotherapy. We investigated whether the hemoglobin (Hb) level could have an impact on the tumor response, as observed in external beam radiotherapy (EBRT).

Methods: Absorbed doses of 25 hepatic metastatic sites in eight patients who underwent a liver selective internal radiotherapy (SIRT) were computed by a 3D convolution of a dose deposition kernel with the ⁹⁰Y time-of-flight positron emission tomography (TOF-PET) images acquired following therapy. Early tumor response was assessed by comparing a follow-up FDG TOF-PET scan with a baseline scan. Hb level was measured on the day of the SIRT procedure.

Results: All patients displayed early tumor response increasing with the tumor-absorbed dose. Significant differences between patients were noted, the response slope correlating with the Hb level. After applying a global fit on all metastases using a tumor radiosensitivity modulated by a Hb enhancement factor (HEF) linearly dependent on the Hb level, a strong correlation ($R = 0.96$) was observed between the early response and the absorbed dose. Hb level had a major impact on tumor response by modulating HEF by a factor 6.

Conclusions: These results prove the significant impact of Hb level on the tumor response and support the study of methods for correcting tumor hypoxia, such as intensively performed in EBRT. The quantitative analysis of the relationship between tumor doses and early response has the power to allow fast screening of such correction methods in limited patient series. Internal radiotherapy could be more efficient if performed earlier in the therapy line, when the disease- and treatment-related anemia remains limited.

Keywords: Liver SIRT, Internal radiotherapy, Dosimetry, Hemoglobin, Anemia, Dose-response.

Background

The importance of anemia as a prognostic factor of patient outcome in external beam radiotherapy (EBRT) of solid tumors has been documented in large clinical series and thoroughly reviewed [1-8]. This impact results from three related key points: (1) the hemoglobin (Hb) level was shown to strongly correlate with tumor oxygenation in numerous cancers [9], (2) substantial data show that tumor hypoxia is involved in processes conferring a growth advantage and the development of a more malignant phenotype [10-15], and (3) hypoxic tumors are

reported to be less sensitive to ionizing radiation since the production of free radicals, which are responsible for lethal DNA breaks, increases with the O₂ partial pressure (pO₂) [16-18].

Internal radiotherapy differs from EBRT by higher tumor-absorbed doses delivered at a lower dose rate and with a more heterogeneous pattern. To the best of our knowledge, the impact of hypoxia on tumor response in patients treated by internal radiotherapy has never been directly addressed. Only the effect of Hb level on global symptoms, i.e., pain or cumulative survival, was reported in the treatment for painful osseous metastases in prostate cancer with ¹⁸⁶Re-hydroxyethylidene-diphosphonate and ⁸⁹Sr [19,20]. The demonstration of a significant impact of anemia on tumor response in internal radiotherapy should

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allow improvements by considering strategies under intensive development in EBRT [21-26] but, as of today, totally ignored in internal radiotherapy.

Selective internal radiation therapy (SIRT) using ^{90}Y -labeled microspheres is a rapidly emerging treatment of unresectable chemorefractory primary liver tumors and hepatic metastases mainly originating from colorectal cancer (CRC). Development of ^{90}Y imaging by PET [27] after the SIRT procedure, and recently by pinhole bremsstrahlung SPECT [28], proved that tumor dosimetry is feasible [29-31] and evidenced a promising relationship between absorbed dose and early metabolic response [32] as already observed using $^{99\text{m}}\text{Tc}$ -MAA-based dosimetry [33]. The aim of this study is to further analyze this relationship and to investigate whether the Hb level measured on the day of the SIRT procedure has an impact on the early tumor response. For this purpose, an estimate of the tumor cell survival fraction was fitted using a tumor radiosensitivity modulated by a hemoglobin enhancement factor (HEF). This radiosensitivity modulation was implemented in the similar way that was performed for the tissue oxygenation when this parameter can be directly assessed [16-18].

Methods

Patient series

Eight patients with fludeoxyglucose (FDG)-positive hepatic metastases (six from CRC and two from melanomas) underwent a 45-min ^{90}Y time-of-flight positron emission tomography (^{90}Y TOF-PET) scan (Gemini TF, Philips Medical Systems, Cleveland, OH, USA) within 4 h following the SIRT procedure. SIRT was performed according to the standard recommendations [34] (mean activity \pm SD = 1.45 ± 0.45 GBq). Two [^{18}F]FDG PET scans were performed: a baseline (FDG-BL) scan 2.4 ± 2.1 weeks (mean \pm SD) before SIRT and a follow-up (FDG-FU) scan 6.8 ± 0.8 weeks (mean \pm SD) after SIRT (see Table 1). All scans were reconstructed using the line of response reconstruction algorithm from Philips Medical Systems (4 iterations \times 10 subsets). In all patients, a blood analysis including the Hb level was performed on the day of SIRT. All these procedures are part of the standard therapy as routinely performed in our institution. After approval by the local ethics committee, the metabolic change of 25 tumors before and after therapy was retrospectively analyzed.

Delineation of volumes of interests

A total of 25 metastatic sites were identified on the FDG-BL scan (number of sites per patient ranging from one to eight, mean = 3.1). Since the purpose was to study the early response to the absorbed dose (D), viable areas of large, partly necrotic tumors were delineated using the FDG-BL scan and were analyzed as individual sites with their own absorbed dose, while the necrotic area

was not incorporated in the analysis. Volumes of interest (VOIs) were manually drawn on the fused FDG-BL and ^{90}Y -PET images. The same VOIs were used for the evaluation of the FDG-FU scan. Some undertreated viable metastatic sites, i.e., visible on the FDG-BL scan but not well targeted by the microspheres, disclosed a large size increase between the FDG-BL and FDG-FU scans (see Figure 1). In this case, the size of the VOI was then tuned accordingly in order to encompass all the metabolic cells of the metastatic site that were visible on the FDG-FU scan, i.e., the survival cells and the new cells originating from the site regrowing. A VOI of about 100 ml was drawn in a region of the liver that was considered healthy on the basis of the FDG scans, CT scans, and MRI.

Calculation of absorbed dose

The dosimetry was obtained using a previously validated method [30]: the ^{90}Y -PET images were 3D, convolved with a dose deposition kernel directly providing the voxel-based dosimetry (in milligray per megabecquerel). Individual tumor-absorbed dose (in gray) was determined as the mean of the voxel-based dosimetry in the ^{90}Y -PET VOI times the total injected activity.

Determination of the early response

The tumor metabolic ratio at follow-up (MR) was calculated as:

$$\text{MR} = \frac{(\text{SUV}_{\text{FU}}^{\text{tum}} - \text{SUV}_{\text{FU}}^{\text{liv}}) \times \text{Vol}_{\text{FU}}^{\text{tum}}}{(\text{SUV}_{\text{BL}}^{\text{tum}} - \text{SUV}_{\text{BL}}^{\text{liv}}) \times \text{Vol}_{\text{BL}}^{\text{tum}}} \times \frac{\text{SUV}_{\text{BL}}^{\text{liv}}}{\text{SUV}_{\text{FU}}^{\text{liv}}}, \quad (1)$$

where SUV is the mean FDG standardized uptake value, and Vol is the volume of the VOI. Assuming that the metabolism of the tumor cells does not change too much between the FDG-BL and FDG-FU scans, the mean tumor SUV multiplied by the tumor volume is related to the number of living cells in the tumor. Two improvements were introduced in Equation 1: (1) subtraction of the mean liver SUV that takes into account the fact that, due to the replacement by normal liver cells and to the finite spatial resolution of the PET system, the measured mean SUV of a responding tumor may not be different than that of the liver; and (2) multiplication by the mean liver SUV inverse ratio that corrects, to some extent, for the variation of the patient glycemia between the FDG-BL and FDG-FU scans and for a possible metabolic boosting resulting from a general inflammation of the liver and hepatic metastasis as an effect of irradiation. Different variations of this tumor-to-liver ratio method were already used by others [35-37].

Table 1 Patients' dosimetric data

| Patient | Type | Site number | Hb (g/dL) | BL time (weeks) | FU time (weeks) | MR | D (Gy) | Volume BL (ml) |
|---------|------|-------------|-----------|-----------------|-----------------|-------|--------------|----------------|
| 1 | mel. | 1 | 10.0 | -0.2 | 6.1 | 0.74 | 233.2 ± 52.1 | 11 |
| | | 2 | | | | 1.14 | 130.0 ± 42.3 | 31 |
| 2 | CRC | 1 | 13.4 | -6.2 | 8.1 | 0.11 | 169.9 ± 79.9 | 58 |
| | | 2 | | | | 4.00 | 6.1 ± 8.2 | 17 |
| 3 | CRC | 1 | 11.1 | -3.2 | 7.2 | 0.18 | 172.2 ± 55.8 | 127 |
| 4 | CRC | 1 | 14.8 | -2.3 | 6.2 | 0.15 | 110.9 ± 33.1 | 93 |
| | | 2 | | | | 6.25 | 52.1 ± 18.5 | 34 |
| | | 3 | | | | 19.52 | 5.0 ± 10.8 | 11 |
| | | 4 | | | | 20.20 | 6.4 ± 12.4 | 25 |
| | | 5 | | | | 1.67 | 18.5 ± 17.0 | 32 |
| | | 6 | | | | 1.43 | 8.4 ± 11.6 | 37 |
| | | 7 | | | | 3.33 | 7.9 ± 7.6 | 6 |
| | | 8 | | | | 0.10 | 131.0 ± 29.8 | 10 |
| 5 | CRC | 1 | 14.3 | -3.5 | 7.2 | 0.05 | 178.5 ± 35.2 | 34 |
| | | 2 | | | | 1.75 | 20.4 ± 6.1 | 6 |
| 6 | CRC | 1 | 13.0 | -2.9 | 6.1 | 0.32 | 113.9 ± 21.9 | 3 |
| | | 2 | | | | 0.34 | 114.7 ± 14.8 | 3 |
| | | 3 | | | | 0.22 | 127.3 ± 24.8 | 4 |
| | | 4 | | | | 49.32 | 14.6 ± 2.8 | 5 |
| | | 5 | | | | 0.86 | 52.0 ± 9.6 | 3 |
| | | 6 | | | | 0.89 | 55.5 ± 10.7 | 4 |
| 7 | CRC | 1 | 13.1 | -0.6 | 6.1 | 1.32 | 52.2 ± 10.6 | 37 |
| | | 2 | | | | 2.08 | 26.6 ± 6.2 | 36 |
| 8 | mel. | 1 | 13.4 | -0.2 | 7.0 | 0.26 | 115.0 ± 17.8 | 7 |
| | | 2 | | | | 0.17 | 147.0 ± 21.2 | 6 |

BL, baseline FDG; CRC, colorectal cancer; D, dose; FU, follow-up FDG; Hb, hemoglobin; mel., melanoma; MR, tumor metabolic ratio.

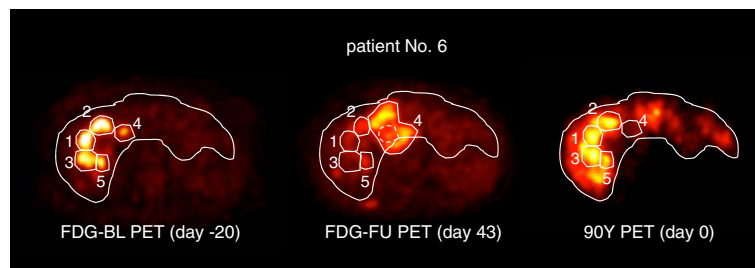


Figure 1 PET scans. One transverse slice of the patient No. 6 showing the metabolic sites of its large necrotic tumour that received different absorbed dose. The FDG uptake of the metabolic sites 1,2,3,5, that were well targeted by the microspheres, decreased between the FDG-BL and FDG-FU scans, while the metabolic site 4 not targeted by the microspheres rapidly increased in FDG uptake and in size as well, to become a new large necrotic metastatic site. The VOI on the FDG-FU scan was increased to encompass all the FDG activity originating from the increased site 4.

Early dose-response analysis

The early dose-response relationship was fitted by minimizing:

$$\chi^2 = \sum p, m \left| SF_{p,m} - SF_{p,m}^{\text{mod}} \right|^2, \quad (2)$$

where m is the index of the metastatic site in patient p , and SF is the survival fraction, i.e., the ratio between the number of living cells just after and before the therapy. SF is related to the metabolic ratio measured at follow-up by:

$$SF_{p,m} = e^{-\ln(2)\Delta t_p/DT} MR_{p,m}, \quad (3)$$

where Δt_p is the delay between the FDG-FU and FDG-BL scans, and DT is the tumor doubling time. The modeled survival fraction is:

$$SF_{p,m}^{\text{mod}} = e^{-\alpha HEF_p D_{p,m}}, \quad (4)$$

where α expresses the ability of one particle to induce a DNA double-strand break. HEF was assumed to be the same for all metastatic sites m in patient p and related to the Hb level (in gram per deciliter) according to:

$$HEF_p = 1 + k(Hb_p - 13). \quad (5)$$

This results in a global fit of three parameters, DT (days), α (per gray), and k (deciliter per gram), on 25 points (number of metastatic sites), where DT and α were constrained to be positive.

HEF was introduced in Equation 4 in the similar way that the oxygen enhancement ratio (OER) was introduced for acute hypoxia [17,18]. Equation 5 was designed to give HEF = 1 for patients having a Hb level of 13 g/dL, which is a normal value. This is an arbitrary choice; a value other than 13 g/dL will just give other values for α and k but will give an identical fit quality.

Results and discussion

Results

Figure 2 shows the relationship between the absorbed doses and the response, estimated as the tumor metabolic ratio at approximately 7 weeks post-therapy for all metastatic sites. The tumor response was highly variable between patients but, per patient, was clearly dependent on the absorbed dose. Two patients showed a convincing response (gray squares and brown circle), one patient had two nonresponding tumors (cyan hexagons), whereas the remaining patients displayed a mix of responding and nonresponding tumors, depending on the absorbed doses.

All tumors show a trend towards a similar proliferation rate by extrapolation of the response for $D = 0$, except for four metastases that clearly behave as outliers (Figure 2, see box in the left upper corner). These four metastases belong to two patients with a long disease

and therapy history (2 and 4 years). It is likely that these metastases may have acquired a phenotype resulting in a doubling time significantly shorter than the mean of the other metastases. The global fit is performed assuming that all the metastases have the same doubling time (DT, Equation 3); accordingly, these four metastases were considered to be outside the application domain of the model and were ruled out for the global fit.

The global fit, run to estimate the biological parameters, was therefore performed on the 21 remaining metastases using SigmaPlot 2000 version 6.00 (Systat Software Inc, San Jose, CA, USA) and resulted in the following values (best parameter = $\pm 2.1 \cdot \text{std error}$, i.e., 95% confidence interval): DT = 50 ± 8 day, $\alpha = 0.018 \pm 0.003/\text{Gy}$ and $k = 0.23 \pm 0.05$ dL/g. The left axis in Figure 3 shows the tumor cell survival fraction (Equation 3) as a function of $HEF_p D_{p,m}$ that takes into account the Hb level (Equation 5). The line is the survival fraction modeled by Equation 4 using the parameters determined by the global fit ($R = 0.96$). It can be observed that a number of tumors showed a metabolic ratio higher than one (right axis) even though their survival fraction was lower than one. These tumors actually showed a response that is not sufficient to counterbalance at week 7 the re-growth of the viable tumor cells.

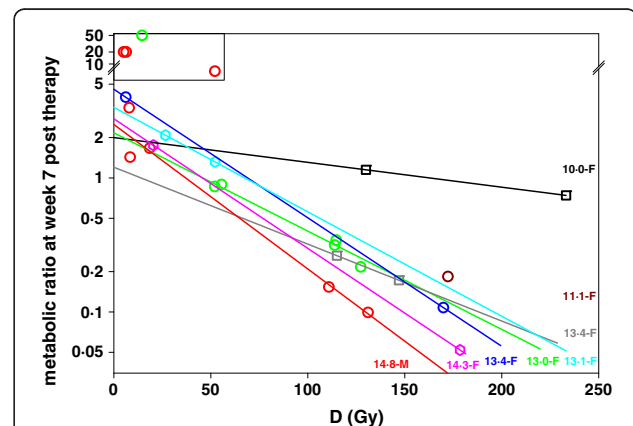
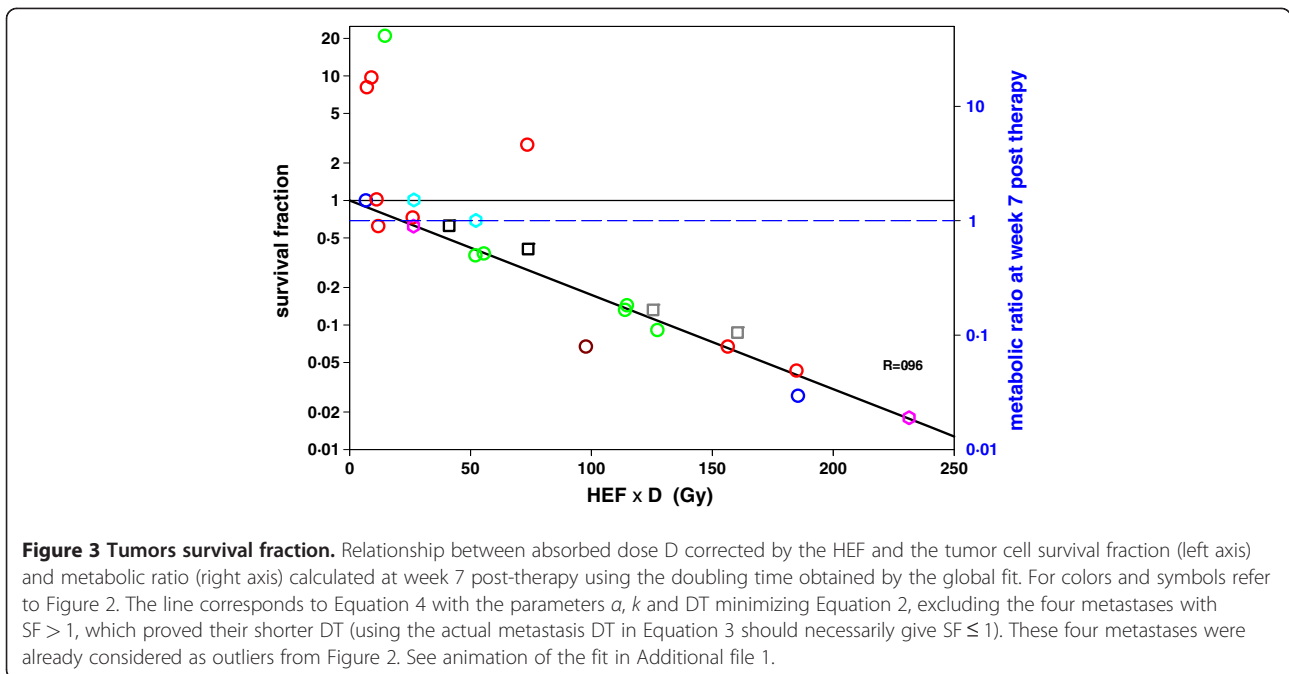
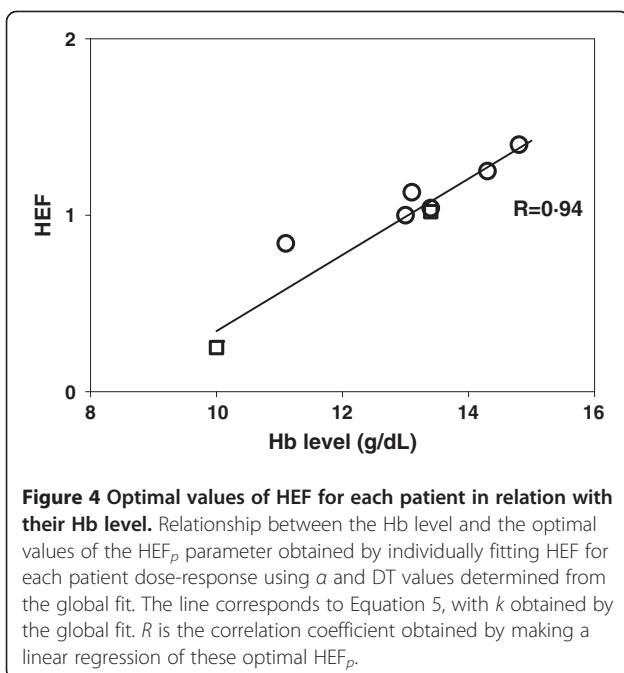


Figure 2 Relationship between absorbed doses D and tumor metabolic ratio (MR). MR for the responding tumors are lower than 1. Each color refers to each patient (black - 1, blue - 2, brown - 3, red - 4, pink - 5, green - 6, cyan - 7, and grey - 8). Open circles and hexagons correspond to metastases from CRC, and open squares refer to metastases from melanoma. The patient gender and the Hb level (in gram per deciliter) on the day of the SIRT procedure are indicated at the extremity of each fitted line. Individual patient tumors were fitted by $gf e^{-\alpha D}$, where gf is the growing fraction in the absence of an absorbed dose and α is the overall cell radiosensitivity, i.e., containing the HEF factor. The box in the upper left corner identifies outliers (see 'Results and discussion'). The lines are the patient data individually fitted with this single exponential.



The optimal values of HEF for each patient in relation with their Hb level are shown in Figure 4. This graphical representation of Equation 5 indicates that the prediction of response in individual patients, taking into account their Hb level, is very close ($R = 0.94$) to what would be expected from the global fit (see Additional file 1).



Discussion

This study clearly shows the impact of Hb level on tumor response. This impact was quantitatively interpreted, introducing a HEF, as the effect of tumor hypoxia induced by the patients' anemia (Figure 3). This is the first time that such analysis was applied to *in vivo* human data. This methodology is usually limited to preclinical models, such as cell assays or xenograft tumors in rodents. In preclinical models, almost all parameters are under control, such as the tumor cell line and time of progression. In the clinics, there is a large heterogeneity of metastases between patients but also within the same patient. In particular, the duration of progression of a single lesion in a particular patient is usually totally overlooked. This may lead to variations in the tumor cell phenotype, with some metastases becoming significantly more proliferative. We initially assessed tumor metabolic response using FDG uptake without knowledge of any other parameters. As already observed using morphological estimates, 50 Gy was required to observe any response. There was a clear trend towards a dose-response relationship, but the correlation was weak ($R = 0.51$). After observing the different response patterns between patients, we looked at the Hb level as a possible factor accounting for this variance. After individually fitting patient data and highlighting the Hb level in Figure 2, it appeared obvious that some relationship did exist. We therefore applied the concept of a radiosensitivity modulation to the data, a paradigm that is classically utilized for *in vitro* data, and that, to the best of our knowledge,

has never been applied to internal radiotherapy of patients.

This correction factor appeared tightly related to the Hb level and, hence, was called HEF. For Hb level ranging between 10 and 15 g/dL, the individual HEF estimate displayed a linear increase, reaching a ratio of 5.6 between these two extreme Hb levels (Figure 4). This is in keeping with the major impact of Hb level observed for EBRT in recurrent rectal cancer [38]. This is also in line with the observation of Vaupel et al. [9] showing by an invasive method that the tumor pO_2 can vary by a factor 4 in breast cancer as a function of the Hb level. Such linear relationship is however not the rule: in uterine and cervical cancer and in head and neck cancer, a bell-shaped relationship was reported by Vaupel et al. [9], with a maximal pO_2 value measured for Hb levels of 12.5 and 14 g/dL, respectively. Such intraoperative pO_2 measurements are lacking for hepatic metastases from CRC.

The radiation sensitivity parameter alpha found (0.018/Gy for a normal Hb level of 13 g/dL) is about 15 times lower than values found in cell assays [39]: 0.31/Gy for melanoma and 0.25/Gy for CRC. However, this is consistent with the comparison of *in vivo* xenograft and *in vitro* data that suggests that the survival is considerably higher *in vivo* [40]. In a retrospective analysis of SIRT in 73 patients with hepatocellular carcinoma, Strigari et al., using a radiobiological model of tumor control probability (TCP) assessed in CT scan by the RECIST criteria but without addressing anemia, found two very low extreme bounds for the value of alpha [41]: 0.001 and 0.005/Gy, much lower than values reported using cell assays [42]: 0.1 and 0.4/Gy for HepG2 and Hep3b cell lines, respectively. The factor 5 between the extreme bounds of the radiosensitivity found by Strigari et al. is similar to the factor 5.6 that we found between patients with extreme Hb levels.

Kato et al. [43] set up an elegant experiment that enlightens the observations listed in both previous paragraphs. They modeled chronic hypoxia, which is usually the rule in patients, by culturing gastric cancer cells (OCUM-12 line) in successively decreasing partial oxygen pressures (pO_2). An important fraction of cells died, but the survivors resulted in an OCUM-12 line that was still able to proliferate at 13 mmHg pO_2 (called OCUM-12/hypo). The fit of the survival curves they measured shows that the chronic 13 mmHg pO_2 exposure reduced by a factor 14 the radiosensitivity α of the OCUM-12/hypo line as compared to that of the original OCUM-12 parent line set in acute 13 mmHg pO_2 for 24 h. For comparison, acute 13 mmHg pO_2 in most cell lines decreases the radiosensitivity by only a factor of about 1.2 versus normoxic conditions ([44], Figure 3). Lastly, the results of Vaupel et al. [9] show that, for

a normal Hb level of 13 g/dL, the typical tumor pO_2 is in fact about 13 mmHg due to the disturbed vascular architecture.

In a previous study on ^{90}Y -DOTATOC therapy in patients with neuroendocrine tumors [45], we have shown for the first time a good relationship between tumor shrinkage and absorbed dose using morphological imaging. There was, however, considerable spread in this dose-response relationship. In the current study, the correlation is excellent ($R = 0.96$) and with little spread. This can be explained by the presence of more favorable features in the current study: (1) the absorbed dose is directly assessed from the distribution of the therapeutic agent, (2) the effective half-life is assumed identical to that of the physical decay and thus known with high accuracy, and (3) the Hb enhancement effect was taken into consideration.

In this study, the mean absorbed dose modulated by the Hb level proved being sufficient to correlate to the tumor metabolic response. Using this mean absorbed dose, the cell killing is overestimated in the regions of the VOI that received lower dose, but is underestimated elsewhere. Both effects tend to average. Further refinement to account for the dose distribution in the VOI, such as using the equivalent uniform dose (EUD), will also require the assessment of living cell distribution in this VOI. This could be done using the base-line FDG scan. However, due to tumor evolution, the delay in our study between the base-line FDG and the ^{90}Y -microsphere scans makes a tumor co-registration on a voxel basis between the two scans impossible.

Conceptually, the doubling time could be assessed by extrapolating for each patient the absorbed dose-metabolic ratio relationship to the left axis (i.e., $D = 0$), as shown in Figure 2. However, this method is not applicable in view of the following: (1) the doubling time is not only patient dependent but also metastasis dependent (illustrated by the red and green circles), and (2) some patients have only one tumor (brown circle) or only two metastases far away from the left axis (gray squares), making the extrapolation impossible or very unstable, respectively. Accordingly, a single doubling time value common to all the metastasis was used in the global fit, and the value found (50 days) is in good agreement with CEA doubling time measured in 33 patients with hepatic CRC metastases (47 ± 25 days) [46]. Individually fitting the four outlier metastases using Equations 3 and 4 with $\alpha = 0$ allows giving an upper bound for their doubling time that was found to be 22.5, 13.9, 13.7 and 11.2 days, respectively. In a prospective clinical study, the individual metastasis doubling time could be assessed by acquiring an additional FDG scan close to the ^{90}Y -PET scan, the FDG-BL scan being usually performed a few weeks before the SIRT

procedure. This procedure should also help to investigate whether a lag time before regrowth starts after irradiation should be introduced in Equation 3, such as proposed in other models [47].

Our results showed that a higher Hb level is important to get an optimal tumor response in ^{90}Y SIRT. Various correction methods to overcome tumor hypoxia in EBRT are under clinical investigation and intensively reviewed [21-26]: red cell transfusion or the use of recombinant human erythropoietin to correct anemia, enriched oxygen breathing or hyperbaric condition to increase tumor oxygenation, and the use of radiosensitizing drugs. Although some strategies are currently being proposed, contradictory results still exist between clinical studies. These studies are based on the late assessment of the disease outcome: local tumor control using morphological measurements (e.g., CT scan) or patient cumulative survival curves. Of course, these clinical studies are highly demanded because they really assess the final purpose of the therapy. However, they require large patient series and long follow-up period. Up to now, the quantitative analysis of the relationship between SF and absorbed dose has been widely performed on *in vitro* assays. Similar studies in rodent models allow obtaining this information on an individual tumor cell line in a small animal series. The same paradigm applied here allows, in individual patient, assessment of the effect of hypoxia on several tumors. This may, in turn, be used to evaluate potential optimization protocols in small patient series.

Conclusions

The current results show that absorbed dose alone is not sufficient to predict early tumor response, but this become feasible when introducing a simple hemoglobin enhancement factor linear to the patient's Hb level. While the oxygen effect is an important field of research in EBRT, these results support the study of similar strategies to reduce hypoxia in the framework of internal radiotherapy. The quantitative analysis of the relationship between tumor-absorbed doses and early response has the power to allow fast screening of hypoxia correction methods in limited patient series. Internal radiotherapy may be more efficient if performed earlier in the therapy line, when anemia related to disease progression or to treatment remains limited.

Additional file

Additional file 1: Hemoglobin level significantly impacts the tumor cell survival fraction in humans after internal radiotherapy: application of a preclinical radiobiology model to clinical data.

Competing interests

The authors declare that they have no competing interests.

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Authors' contribution

SW elaborated the Hb-dependent dosimetry model and performed the results analysis. RL and FJ performed the study design. RL, PG, and MVE carried out the treatment and follow-up of the patients. SW, RL, SP, and FJ participated in the paper writing. All authors read and approved the final manuscript.

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