OXFORD

doi:10.1093/ijnp/pyaa089 Advance Access Publication: November 29, 2020 Regular Research Article

REGULAR RESEARCH ARTICLE

Habenula Connectivity and Intravenous Ketamine in Treatment-Resistant Depression

Ana Maria Rivas-Grajales[®], Ramiro Salas, Meghan E. Robinson, Karen Qi, James W. Murrough, Sanjay J. Mathew

Menninger Department of Psychiatry and Behavioral Sciences, Baylor College of Medicine, Houston, Texas, USA (Drs Rivas-Grajales, Salas, and Mathew); Department of Neuroscience, Baylor College of Medicine, Houston, Texas, USA (Dr Salas); Mental Health Care Line, Michael E. DeBakey VA Medical Center, Houston, Texas, USA (Drs Salas and Mathew); The Menninger Clinic, Houston, Texas, USA (Dr Salas); Core for Advanced Magnetic Resonance Imaging and Department of Neurosurgery, Baylor College of Medicine, Houston, Texas, USA (Dr Robinson); Department of Cognitive Neuroscience, Rice University, Houston, Texas, USA (Ms Qi); Depression and Anxiety Center for Discovery and Treatment, Department of Psychiatry; Department of Neuroscience; and Friedman Brain Institute, Icahn School of Medicine at Mount Sinai, New York, New Yorks, USA (Dr Murrough).

J.W.M. and S.J.M are co-senior authors.

Correspondence: Sanjay J. Mathew, MD, Menninger Department of Psychiatry and Behavioral Sciences, Baylor College of Medicine, One Baylor Plaza MS: BCM350, Houston, TX 77030, USA (sjmathew@bcm.edu).

Abstract

Background: Ketamine's potent and rapid antidepressant properties have shown great promise to treat severe forms of major depressive disorder (MDD). A recently hypothesized antidepressant mechanism of action of ketamine is the inhibition of N-methyl-D-aspartate receptor-dependent bursting activity of the habenula (Hb), a small brain structure that modulates reward and affective states.

Methods: Resting-state functional magnetic resonance imaging was conducted in 35 patients with MDD at baseline and 24 hours following treatment with i.v. ketamine. A seed-to-voxel functional connectivity (FC) analysis was performed with the Hb as a seed-of-interest. Pre-post changes in FC and the associations between changes in FC of the Hb and depressive symptom severity were examined.

Results: A reduction in Montgomery–Åsberg Depression Rating Scale scores from baseline to 24 hours after ketamine infusion was associated with increased FC between the right Hb and a cluster in the right frontal pole (t=4.65, P=.03, false discovery rate [FDR]-corrected). A reduction in Quick Inventory of Depressive Symptomatology-Self Report score following ketamine was associated with increased FC between the right Hb and clusters in the right occipital pole (t=5.18, P<.0001, FDR-corrected), right temporal pole (t=4.97, P<.0001, FDR-corrected), right parahippocampal gyrus (t=5.80, P=.001, FDR-corrected), and left lateral occipital cortex (t=4.73, P=.03, FDR-corrected). Given the small size of the Hb, it is possible that peri-habenular regions contributed to the results.

Conclusions: These preliminary results suggest that the Hb might be involved in ketamine's antidepressant action in patients with MDD, although these findings are limited by the lack of a control group.

Keywords: Habenula, ketamine, resting-state functional MRI, treatment-resistant depression

This is an Open Access article distributed under the terms of the Creative Commons Attribution License (http://creativecommons.org/ licenses/by/4.0/), which permits unrestricted reuse, distribution, and reproduction in any medium, provided the original work is properly cited.

Received: May 22, 2020; Revised: October 25, 2020; Accepted: November 27, 2020

[©] The Author(s) 2020. Published by Oxford University Press on behalf of CINP.

Significance Statement

The habenula, a small brain structure involved in reward and affective states, has been implicated in the rapid antidepressant effect of ketamine. In the present study, we used resting-state functional magnetic resonance image (fMRI) to evaluate connectivity changes of the habenula 24 hours after a single infusion of ketamine in a group of patients with treatment-resistant depression. This study shows that ketamine's rapid antidepressant effects are associated with changes in the connectivity between the habenula and brain structures important in mood regulation, and provides preliminary clinical evidence of the involvement of the habenula in ketamine's mechanism of action.

Introduction

Major depressive disorder (MDD) is a chronic and disabling illness that affects almost one-quarter of the world's population (World Health Organization, 2004; Whiteford et al., 2013). A large proportion of patients do not respond adequately to conventional treatments despite multiple trials of monoaminergicbased antidepressants and augmentation strategies (Rush et al., 2006). Furthermore, these medications usually take several weeks to months to achieve an antidepressant response or remission. The discovery of the rapid antidepressant effect of ketamine has opened an avenue for new drug discovery in depression. Ketamine's rapid antidepressant effect in patients with treatment-resistant depression (TRD) is observed within several hours of a single subanesthetic i.v. infusion, with response rates of 50-60% over the first 3 days post-infusion (Zarate et al., 2006; Murrough et al., 2013; Fava et al., 2020). This rapid and robust response offers a unique paradigm to explore the neurobiology of depression and the mechanism of action of rapidly acting antidepressants (Abdallah et al., 2018).

Ketamine's pharmacological activity includes modulation of glutamate neurotransmission via antagonism of the N-methyl-D-aspartate receptor. Abnormalities in glutamate signaling have been implicated in the pathophysiology of depression (Duman and Aghajanian, 2012; Murrough et al., 2017). Ketamine is hypothesized to improve depression by restoring network dynamics in glutamatergic synapses and circuits that mediate stress resilience and mood regulation (Zanos and Gould, 2018). From a neural-circuit perspective, some authors propose that the rapid antidepressant effect of ketamine is mediated by a small number of nodes or a single node (Abdallah et al., 2018; Zanos and Gould, 2018). Based on the observations that ketamine has a short elimination half-life (approximately 3 hours in humans) (Clements et al., 1982), it has been hypothesized that this node (or nodes) should have NMDA channels intrinsically open. Also, because ketamine can rapidly elevate the levels of dopamine, serotonin, and norepinephrine, the brain areas mediating the antidepressant effects of ketamine may suppress nuclei in the midbrain where these neurotransmitters originate (Cui et al., 2019). The habenula (Hb), which provides top-down regulation to monoaminergic centers in the midbrain, has recently emerged as a promising area of investigation.

The Hb is a diencephalic structure that acts as an interface between the limbic forebrain and brainstem nuclei that modulate reward and affective states (Salas et al., 2010; Namboodiri et al., 2016). Unlike neurons in the brain's mesolimbic system that promote reward-seeking behavior, neurons in the Hb encode information related to aversive outcomes and promote behavioral avoidance (Matsumoto and Hikosaka, 2007). The lateral Hb (LHb) specifically can inhibit reward-related dopaminergic neurons in the ventral tegmental area via the rostromedial tegmental nucleus, which sends GABAergic projections to the ventral tegmental area (VTA) and feedforward inhibition to monoaminergic nuclei in the midbrain (Namboodiri et al., 2016). Evidence from preclinical studies demonstrates that LHb neurons are hyperactive and have increased synaptic excitability in rodent models of depression (Li et al., 2011; Park et al., 2017). In human neuroimaging studies using task-based fMRI, depressed patients have displayed greater Hb activation to noxious stimuli (Roiser et al., 2009; Lawson et al., 2017) compared with healthy volunteers, while deep brain stimulation to this structure improved depressive symptoms in a case of TRD (Sartorius et al., 2010). In addition, Hb connectivity, as measured with resting-state fMRI, can be used as a predictor of antidepressant response (Gosnell et al., 2019). More recently it was shown that local infusion of ketamine in the LHb blocks burst-firing activity and induces antidepressant-like responses in an animal model of depression (Shepard et al., 2018; Yang et al., 2018) and that ketamine-induced Hb changes are modulated by opioid receptors (Klein et al., 2020).

Structural and functional imaging data in depressed patients indicate that ketamine induces changes in brain areas related to reward and mood regulation, such as the anterior cingulate cortex, caudate, hippocampus, and amygdala (Murrough et al., 2015; Abdallah et al., 2017; Ionescu et al., 2018). However, apart from 1 fluorodeoxyglucose-positron emission tomography study that reported reduced metabolism in the right Hb following ketamine treatment (Carlson et al., 2013), there are no previous human neuroimaging studies to our knowledge evaluating the possible role of the Hb in ketamine's antidepressant effect. In this study, we used resting-state fMRI to examine possible changes in functional connectivity (FC) of the Hb in patients with TRD who received a single subanesthetic dose infusion of ketamine. Based on the observations that ketamine enhances the connectivity between frontal and limbic structures and that clinical improvement in depression is associated with better cognitive control of emotions via prefrontal cortical regions (Johnstone et al., 2007; Murrough et al., 2011), we hypothesized that improvement of depression severity would be associated with greater connectivity between the Hb and prefrontal areas. Also, given that the Hb inhibits reward-related regions in the brainstem (Namboodiri et al., 2016) and that it has been observed that ketamine acts by reducing the tonic firing of the Hb (Yang et al., 2018), we hypothesized that improvement of depressive symptoms would be associated with a reduced connectivity of the Hb and the VTA.

Methods

Participants

This study included 35 individuals with MDD and a history of nonresponse to at least 2 antidepressant trials who were recruited from Baylor College of Medicine (site 1, n=9) and Icahn School of Medicine at Mount Sinai (site 2, n=26). Enrollment

and study procedures for the parent clinical trials have been previously described at clinicaltrials.gov (NCT00768430, NCT01880593). Patients (21–80 years of age) were included if they were antidepressant or antipsychotic free for at least 1 week prior to imaging (as needed, benzodiazepines were allowed but withheld the day of each scan) and met the criteria for current major depressive episode as determined by the Structured Clinical Interview for DSM-IV-Patient Edition (First et al., 1995). Participants were excluded for any of the following: a lifetime history of a psychotic illness or bipolar disorder, alcohol or substance abuse in the previous 2 years, unstable medical illness, serious and imminent suicidal or homicidal risk, and an MRI contraindication. The study was approved by the local institutional review boards. Participants gave their informed consent and were compensated for their time.

Study Procedures

Following medical and psychiatric assessments, patients were randomly assigned in a 2:1 ratio to receive a single i.v. infusion of ketamine hydrochloride (0.5 mg/kg) or midazolam (0.045 mg/ kg) infused over 40 minutes. Due to low numbers of patients who received midazolam and were scanned (n=9), only patients who received ketamine (n=35) are reported here. Patients underwent MRI and clinical assessments at 2 time points: baseline, at least 24 hours prior to infusion (Time 1), and 24 hours following a single i.v. infusion of ketamine or midazolam (Time 2). Depression severity was measured using the Montgomery–Åsberg Depression Rating Scale (MADRS) (Montgomery and Åsberg, 1979) and the Quick Inventory of Depressive Symptomatology-Self Report (QIDS-SR) (Rush et al., 2003) at baseline and 24 hours post treatment.

Imaging Acquisition and Preprocessing

At site 1, images were obtained on a 3-Telsa Siemens Trio Magnetom system. Acquisition parameters for the functional images were repetition time (TR): 2000 ms, echo time (TE): 30 milliseconds, flip angle: 90, voxel size: 3.4 mm × 3.4 mm × 4mm, and field of view: 220 mm × 220 mm. For co-registration, structural T1 images were acquired using a Magnetization-Prepared Rapid Acquisition Gradient Echo with the following parameters: TR (outer loop): 2500 milliseconds, TE: 2.92 milliseconds, flip angle: 12, voxel size: 1 mm×1 mm×1 mm, field of view: 245 mm×245 mm, 160 slices. At site 2, images were acquired on a 3-Telsa Philips Achieva system. Functional images were acquired with these parameters: TR: 2000 milliseconds, TE: 27 milliseconds, flip angle: 90, voxel size: 2.18 mm × 2.18 mm × 2.18 mm, field of view: 210 mm × 210 mm. Acquisition parameters for the Magnetization-Prepared Rapid Acquisition Gradient Echo structural data were TR (inner loop): 7.5 milliseconds, TE: 3.5 milliseconds, flip angle: 8, voxel size: 1 mm × 1 mm × 1 mm, field of view: 224 mm × 224 mm, 172 slices. "Site" was entered as a covariate in second-level analysis to account for differences in acquisition parameters across sites.

Preprocessing was performed using the CONN Functional Connectivity toolbox Version 18b (http://www.nitrc.org/projects/ conn) within SPM12 (http://www.fil.ion.ucl.ac.uk/spm/). For each participant, functional images were realigned, co-registered with each participant's anatomical image, normalized to the Montreal Neurological Institute template, and smoothed using an 8-mm Gaussian kernel. When performing seed-to-voxel FC in CONN, the seed is by default not smoothed. Thus, we smoothed only the resulting clusters but not the Hb. Anatomical landmarks in the normalized anatomical and functional data were visually checked and compared against the Montreal Neurological Institute template for each participant. The Artifact Detection Toolbox (http://www.nitrc.org/projects/artifact_detect/) was used to repair artifac due to frame-by-frame head movement and correct global drift. Motion outliers were defined as volumes that exceeded 5 z-normalized SDs away from the mean global brain signal across the entire volume or a composite movement threshold of 0.9 mm scan-to-scan framewise displacement. The anatomical component correction method (Behzadi et al., 2007) was used to remove physiological noise from white matter and cerebrospinal fluid (CSF), as implemented in CONN. Motion outliers, realignment parameters, and white matter and CSF masks were included as covariates and regressed out of the blood-oxygen-level-dependent signal before computing connectivity measures. Data were band-pass filtered between 0.008 and 0.09 Hz to focus on low-frequency correlations. As mentioned, the Hb seeds were not smoothed to decrease likely contamination with adjacent areas.

FC Analysis

A seed-to-voxel connectivity analysis was conducted with the right and left Hb as seeds-of-interest. Individual specific Hb seeds were manually created on the normalized structural images using 3D Slicer Version 4.5 (https://www.slicer.org). The Hb is located anterior to the pineal gland and occupies the same z-coordinate of the posterior commissure, which can be easily identified in coronal slides (Figure 1). Dorsal to the posterior commissure, the Hb can be seen protruding into the third ventricle in both sides of the midsagittal plane. We used a variation of the method described by Lawson et al. (2013) to identify the posterior, lateral, and anterior boundaries of the Hb. A 2-mm-radius sphere was centered within these boundaries in left and right Hb of each participant defined in the anatomical image and was manually inspected to ensure that CSF was not included in these voxels. Additionally, we included regressors from 3-mm spheres located 6 mm lateral to the left and right Hb seeds (in template space) to rule out contamination from nearby thalamic areas. To validate the placement of these region of interest, we compared our Hb connectivity findings at baseline with those reported in Ely et al. (2016, 2019), Torrisi et al. (2017), and Curtis et al. (2017).



Figure 1. Delineation of the habenula on a T1-weighted coronal image.



Figure 2. Whole-brain resting-state Hb connectivity maps for significant (voxel-wise threshold naive P<.01, cluster-wise threshold P<.05) findings for all participants on a semi-inflated cortical surface (A–D) and in subcortical MNI space (E–H). Abbreviations: PAG, periaqueductal grey matter; VTA, ventral tegmental area.

As reported in these studies, we observed that the Hb was highly positively correlated with the thalamus, caudate, anterior and posterior cingulate, and insula and negatively correlated with areas in the temporal and occipital lobes (Figure 2). We compared Pearson correlation coefficients between the mean signal time course between the Hb seeds and the whole brain. Based on previous evidence suggesting different connectivity patterns between the right and left Hb (Hétu et al., 2016; Gosnell et al., 2019; Luan et al., 2019), these were evaluated separately. Correlation coefficients were then normalized using Fisher's r-to-z transformation and implemented in a general linear model.

Statistical Analysis

Our primary interest was to investigate the associations between improvement of depression severity and changes in the FC of the Hb after ketamine treatment. To investigate time-related changes in the FC of the Hb, we conducted a paired-sample t test between the scan at Time 1 (pre-treatment baseline) and the scan at Time 2 (24 hours post-treatment). Clinical improvement (difference in MADRS and QUIDS-SR scores between Time 1 and Time 2) was used as a covariate of interest. "Site" was used as nuisance covariate. Between-condition contrasts were defined as Time 2 > Time 1. Results were thresholded at voxel level P < .001 (height threshold) and then corrected for whole-brain comparisons using the False Discovery Rate correction for whole-brain comparisons at P < .05 (extent threshold) (Friston et al., 1994). We used general linear modeling to examine MADRS scores at 24 hours as a function of treatment after controlling for site.

Results

Demographic and clinical data are shown in Table 1. Twenty-four hours following ketamine treatment, 20 patients (57%) achieved response (defined as a reduction in the baseline MADRS score by 50% or more), and 14 patients (40%) showed remission of symptoms (defined as a MADRS score ≤9 post treatment). There was a significant main effect of ketamine on depression severity

Table 1. Demographic and clinical characteristics

Age (y)	42.2 ± 13.9
Gender (male/female)	19/16
Education (y)	16 ± 3
Age of onset (y)	18.3 ± 8.6
No. of antidepressant trials	4.0 ± 3.0
Duration of current episode	
Acute (<12 mo), n (%)	7 (20%)
Subacute (13–24 mo), n (%)	6 (17%)
Chronic (>25 mo), n (%)	21 (60%)
Hospitalizations for MDD, n (%)	13 (37%)
History of suicide attempt, n (%)	9 (25%)
Comorbid anxiety disorder, n (%)	13 (37%)
Past ETOH abuse, n (%)	5 (14%)
Baseline MADRS	30.6 ± 5.2
Post treatment MADRS	13.7 ± 9.4
Baseline QIDS-SR	16.2 ± 4.4
Post treatment QIDS-SR	8.5 ± 6.4

Abbreviations: ETOH, alcohol; MADRS, Montgomery-Åsberg Depression Rating Scale; QIDS-SR, Quick Inventory of Depressive Symptomatology-Self Report. Values are means and SD.

(F=98.0, df=1,33, P<.001). MADRS scores at 24 hours did not differ as a function of site (F=0.004, df=1,32, P=.95).

Hb Connectivity Changes Following Ketamine

There was a main effect of time in the FC between the right Hb and a cluster in the left hippocampus and left parahippocampal gyrus (t=5.93, P=.001). A reduction of MADRS scores from Time 1 (baseline) to Time 2 (24 hours after infusion) was associated with an increase in FC between the right Hb and a cluster in the right frontal pole (t = 4.65, P=.03) (Table 2; Figure 3A). There were no other associations between changes in FC in the right or left Hb and changes in MADRS scores. A reduction in QIDS-SR scores was associated with increased FC between the right Hb and clusters in the right occipital pole (t=5.18, P<.0001) (Figure 3B), right temporal pole (t=4.97, P<.0001) (Figure 3D), and left lateral occipital cortex (t=4.73, P=.03) (Figure 3E).

Discussion

The Hb has been implicated in the rapid antidepressant effect of ketamine (Yang et al., 2018). In the present study, we used resting-state fMRI to evaluate the changes in the FC of

Table 2. Association between improvement in depression severity and FC changes in the right \mbox{Hb}

Region	Side	Cluster size (voxels)	P-FDR	MNI peak coordinates
MADRS				
Frontal pole (BA 9) QIDS-SR	R	69	0.03	+30 + 50 + 32
Occipital pole (BA 18)	R	197	0.00014	+28 -98 + 02
Temporal pole (BA 38)	R	114	0.00794	+22 + 12 -44
Parahippocampal cortex (PHC)	R	100	0.001	+12 -12 -34
Lateral occipital cortex (BA 39)	L	51	0.03	-56 -64 + 22

Abbreviations: BA, Broadman area; FDR, false discovery rate; L, left; MADRS, Montgomery-Åsberg Depression Rating Scale; MNI, Montreal Neurosciences Institute; QIDS-SR, Quick Inventory of Depressive Symptomatology-Self Report; R, right.

the Hb 24 hours after a single infusion of i.v. ketamine in a group of patients with TRD. While some of our results were consistent with our original hypothesis that ketamine's rapid antidepressant effects would be associated with increased FC between the Hb with prefrontal areas, other results were less consistent. We expected but did not observe any associations with limbic areas that are known to be anatomically connected to the Hb, such as the VTA, striatum, and medial prefrontal cortex (PFC) (Namboodiri et al., 2016; Hu et al., 2020). As may be expected due to the challenges of conducting imaging studies on the Hb (e.g., small size and location near sources of physiological noise), the identified clusters were small and had only modest effects. Due to the small size of the Hb, even with intense changes, we would expect only a few voxels to be affected, resulting in small clusters. Further, the Hb is located next to the ventricles, which contribute significant physiological noise to our region of interest through blurring and partial volume effects, and this may have reduced the significance of the finding. Furthermore, there was little overlap between the clusters associated with improvement as measured with the MADRS vs the QIDS-SR. However, the locations of these clusters were in good agreement with the literature describing the expected effects of ketamine on the brain (Carlson et al., 2013; Downey et al., 2016; Abdallah et al., 2017), providing confidence in our results.



Figure 3. Functional connectivity (FC) of the habenula (Hb) in patients treated with ketamine. The plots show changes in FC between the right Hb and significant clusters at Time 1 (pre-ketamine) and Time 2 (post ketamine). The red lines indicate patients with higher improvement in depression severity, and blue lines indicate patients with lower improvement based on a median split of the changes in Montgomery–Åsberg Depression Rating Scale (MADRS) score and Quick Inventory of Depressive Symptomatology-Self Report score (QIDS-SR). (A) Cluster in which an increase of FC between the right Hb and the right frontal pole significantly correlated with a reduction in MADRS score. (B–E). Clusters in which an increase of FC between the right Hb and the left lateral occipital pole (B), right temporal pole (C), right parahippocampal cortex (D), and right occipital pole (E) significantly correlated with a reduction in QIDS-SR score.

Abnormalities in glutamatergic neurotransmission has been proposed as an underlying neural correlate of depression (Abdallah et al., 2015; Murrough et al., 2017). Based on this model, ketamine exerts its antidepressant effects by restoring network abnormalities in regions important in stress, resilience, and mood regulation (Abdallah et al., 2015). Specifically, it has been proposed that ketamine has an ability to improve the cognitive control of emotions by enhancing the connectivity between frontal regions to deeper limbic structures, resulting in an improvement of depressive symptoms (Ionescu et al., 2018). The finding of increased FC between the Hb and the cluster in the frontal pole, which is part of the dorsolateral PFC, is consistent with previous reports showing enhanced connectivity within and to frontal structures after ketamine treatment. For example, Abdallah et al. (2017) showed that ketamine responders had increased global brain connectivity in the lateral PFC, caudate, and insula compared with nonresponders. In another functional MRI study, Downey et al. (2016) showed increased blood-oxygen-level-dependent signal in the subgenual PFC associated with antidepressant response in patients that received ketamine. More recently, Gärtner et al. (2019) showed that antidepressant response to ketamine was associated with increased connectivity between the subgenual cingulate and PFC.

The finding of increased FC in the parahippocampal cortex (PHC) is consistent with previous reports showing a role of the PHC in depression (Carlson et al., 2013; Harel et al., 2016; Karim et al., 2018; Ambrosi et al., 2019). The PHC is part of a brain network that mediates contextual associative processing (Aminoff et al., 2013). This network is active when individuals are presented with images that are strongly associated with a specific context compared with those that are not. Previous research suggests a link between mood and associative thinking by which negative mood narrows while positive mood expands the amount of associations (Isen et al., 1987). Abnormalities in PHC function and connectivity in depressed patients have also been reported. For example, Harel et al. (2016) showed that depressed patients exhibit a lower activation of the PHC compared with healthy controls as well as an association between PHC volume and ruminative tendencies. Furthermore, previous work from our group (Ambrosi et al., 2019) reported higher connectivity between the PHC and the right Hb in patients with depression and suicidal behavior. Changes in the PHC have also been shown to have a role in the antidepressant response. In particular, in an fMRI experiment in depressed elderly patients (Karim et al., 2018), activation of the PHC was associated with treatment remission to venlafaxine, a serotonin-norepinephrine reuptake inhibitor, while higher metabolism in this area was observed after infusion with ketamine in a PET study in TRD patients (Carlson et al., 2013). Conversely, in the latter, clinical improvement was negatively associated with increased metabolism in the PHC.

Relevant to PHC neurocircuitry is the role of ketamine in the activation of pathways that regulate synaptic plasticity and dendritic growth. In a recent investigation, ketamine was associated with a reversal of depressive-like behaviors and an increase in dendritic spine formation in the PFC of mice as early as 24 hours post infusion (Moda-Sava et al., 2019). Brainderived neurotrophic factor (BDNF), which is the most abundant neurotrophin in the human brain, has been shown to be necessary for ketamine's antidepressant action (Chen et al., 2006; Liu et al., 2012). Although BDNF is primarily expressed in the hippocampus and PFC, patients expressing the BDNF Val66Met single nucleotide polymorphism, which is associated with deficits in BDNF activity, have shown lower metabolic activity and FC in the PHC (Wei et al., 2017). Thus, it could be proposed that ketamineinduced neuroplasticity changes in the PFC and hippocampus may at least partially underlie the increased FC we observed between the right Hb and the PHC.

Limitations

There were several limitations in this study. First, the small size of the Hb makes it difficult to study using functional imaging due to limits of resolution. As mentioned earlier, the clusters we identified were small; therefore, these results should be interpreted with caution. It is especially difficult to distinguish between lateral and medial components, which are believed to have different functions and thus may contribute a source of noise when averaging over the entire Hb. We are also not able to completely rule out possible contamination from adjacent thalamic areas or ventricles, and therefore our results may be underpowered compared with other studies of depression or ketamine. More anatomically specific approaches to delineate the Hb should be implemented in future studies as well as an emphasis on higher-resolution functional imaging based on modern acceleration strategies (such as simultaneous multi-slice acceleration), which were not available at both sites at the time of the acquisition. Second, there was a lack of consistency in the results obtained with the QIDS-SR and MADRS. This could be attributed to the fact that depression is a very heterogenous construct involving abnormalities of multiple brain regions; therefore, observing similar results across scales is not always possible. Also, the QIDS-SR includes more questions aimed to assess vegetative functions, such as sleep quality, appetite/changes in weight, and psychomotor activity compared with the MADRS. While speculative, the associations between improvement of depression, as measured with the QIDS-SR, and increased connectivity between the Hb and occipital and temporal areas could be related to the role of these areas in mediating sleep disturbances in depression (Cheng et al., 2018). Third, the current study did not include a placebo treatment or non-MDD control group; therefore, the effects related to time on the observed changes in the ketamine group cannot be fully assessed. Future studies should consider enrollment of non-MDD healthy controls to evaluate effects at baseline and after treatment. In addition, while our ketamine group sample is larger than existing ketamine neuroimaging studies in depression (n approximately 10-20) (Ionescu et al., 2018), it is smaller than other neuroimaging studies in MDD. Fourth, the parent studies from which the imaging and behavioral data were extracted were not specifically designed to interrogate Hb connectivity. Specifically, each site had different acquisition parameters. Two-sample t tests between site 1 and site 2 revealed significant differences in average connectivity in clusters located in the thalamus and the vermis of the cerebellum. However, we believe these differences do not affect the overall interpretation of the data, given that the clusters are outside the areas with significant treatment effects. We also incorporated site as a covariate of non-interest in the analysis. Fifth, we failed to find effects in regions that are known to be anatomically connected to the Hb, particularly the VTA, which could be explained by the small size of these structures and the low spatial resolution of the data. Finally, it is possible, although we believe unlikely, that the differences observed are associated with the repetition of the MRI instead of the effects of ketamine. Additional controlled studies are necessary to explore that possibility.

Conclusion

In summary, our results show that ketamine's rapid antidepressant effects were associated with changes in Hb connectivity to brain structures important in mood regulation and provide preliminary clinical evidence of the involvement of the Hb in ketamine's mechanism of action.

Acknowledgments

We thank the participants of this study for their invaluable contribution.

This study was supported by funds from the National Institute of Mental Health grant R01 MH-081870, the McNair Medical Institute grant MIND-MB, the Veteran Health Administration (VHA5I01CX000994), and NARSAD (19295). Additional funding was provided by the National Institute of Mental Health (grant K23MH094707 to Dr Murrough) and the Ehrenkranz Laboratory for Human Resilience, a component of the Depression and Anxiety Center for Discovery and Treatment at the Icahn School of Medicine at Mount Sinai.

Statement of Interest

Dr Mathew is supported by the use of facilities and resources at the Michael E. DeBakey VA Medical Center, Houston, Texas. He has served as a consultant to Allergan, Alkermes, Clexio Biosciences, Greenwich Biosciences, Intra-Cellular Therapies, Janssen, Perception Neurosciences, Relmada Therapeutics, Seelos Therapeutics, and Signant Health. He has received research support from Biohaven and VistaGen Therapeutics. Dr Salas is supported by the use of facilities and resources at the Michael E. DeBakey VA Medical Center, Houston, Texas. In the past 5 years, Dr Murrough has provided consultation services and/or served on advisory boards for Allergan, Boehreinger Ingelheim, Clexio Biosciences, Fortress Biotech, FSV7, Global Medical Education (GME), Impel Neuropharma, Janssen Research and Development, Medavante-Prophase, Novartis, Otsuka, and Sage Therapeutics. In the past 12 months, Dr Murrough has provided consultation services and/or served on advisory boards for Boehreinger Ingelheim, Clexio Biosciences, Global Medical Education (GME), and Otsuka. Dr Murrough is named on a patent pending for neuropeptide Y as a treatment for mood and anxiety disorders and on a patent pending for the use of ezogabine and other KCNQ channel openers to treat depression and related conditions. The Icahn School of Medicine (employer of Dr Murrough) is named on a patent and has entered into a licensing agreement and will receive payments related to the use of ketamine or esketamine for the treatment of depression. The Icahn School of Medicine is also named on a patent related to the use of ketamine for the treatment of PTSD. Dr Murrough is not named on these patents and will not receive any payments.

References

- Abdallah CG, Sanacora G, Duman RS, Krystal JH (2015) Ketamine and rapid-acting antidepressants: a window into a new neurobiology for mood disorder therapeutics. Annu Rev Med 66:509–523.
- Abdallah CG, Averill LA, Collins KA, Geha P, Schwartz J, Averill C, DeWilde KE, Wong E, Anticevic A, Tang CY, Iosifescu DV, Charney DS, Murrough JW (2017) Ketamine treatment

and global brain connectivity in major depression. Neuropsychopharmacology 42:1210–1219.

- Abdallah CG, Sanacora G, Duman RS, Krystal JH (2018) The neurobiology of depression, ketamine and rapid-acting antidepressants: is it glutamate inhibition or activation? Pharmacol Ther 190:148–158.
- Ambrosi E, Arciniegas DB, Curtis KN, Patriquin MA, Spalletta G, Sani G, Frueh BC, Fowler JC, Madan A, Salas R (2019) Restingstate functional connectivity of the habenula in mood disorder patients with and without suicide-related behaviors. J Neuropsychiatry Clin Neurosci 31:49–56.
- Aminoff EM, Kveraga K, Bar M (2013) The role of the parahippocampal cortex in cognition. Trends Cogn Sci 17:379–390.
- Behzadi Y, Restom K, Liau J, Liu TT (2007) A component based noise correction method (CompCor) for BOLD and perfusion based fMRI. Neuroimage 37:90–101.
- Carlson PJ, Diazgranados N, Nugent AC, Ibrahim L, Luckenbaugh DA, Brutsche N, Herscovitch P, Manji HK, Zarate CA Jr, Drevets WC (2013) Neural correlates of rapid antidepressant response to ketamine in treatment-resistant unipolar depression: a preliminary positron emission tomography study. Biol Psychiatry 73:1213–1221.
- Chen ZY, Jing D, Bath KG, Ieraci A, Khan T, Siao CJ, Herrera DG, Toth M, Yang C, McEwen BS, Hempstead BL, Lee FS (2006) Genetic variant BDNF (Val66Met) polymorphism alters anxietyrelated behavior. Science 314:140–143.
- Cheng W, Rolls ET, Ruan H, Feng J (2018) Functional connectivities in the brain that mediate the association between depressive problems and sleep quality. JAMA Psychiatry 75:1052–1061.
- Clements JA, Nimmo WS, Grant IS (1982) Bioavailability, pharmacokinetics, and analgesic activity of ketamine in humans. J Pharm Sci 71:539–542.
- Cui Y, Hu S, Hu H (2019) Lateral habenular burst firing as a target of the rapid antidepressant effects of ketamine. Trends Neurosci 42:179–191.
- Curtis K, Viswanath H, Velasquez KM, Molfese DL, Harding MJ, Aramayo E, Baldwin PR, Ambrosi E, Madan A, Patriquin M, Frueh BC, Fowler JC, Kosten TR, Nielsen DA, Salas R (2017) Increased habenular connectivity in opioid users is associated with an α 5 subunit nicotinic receptor genetic variant. Am J Addict 26:751–759.
- Downey D, Dutta A, McKie S, Dawson GR, Dourish CT, Craig K, Smith MA, McCarthy DJ, Harmer CJ, Goodwin GM, Williams S, Deakin JF (2016) Comparing the actions of lanicemine and ketamine in depression: key role of the anterior cingulate. Eur Neuropsychopharmacol 26:994–1003.
- Duman RS, Aghajanian GK (2012) Synaptic dysfunction in depression: potential therapeutic targets. Science 338:68–72.
- Ely BA, Xu J, Goodman WK, Lapidus KA, Gabbay V, Stern ER (2016) Resting-state functional connectivity of the human habenula in healthy individuals: associations with subclinical depression. Hum Brain Mapp 37:2369–2384.
- Ely BA, Stern ER, Kim JW, Gabbay V, Xu J (2019) Detailed mapping of human habenula resting-state functional connectivity. Neuroimage 200:621–634.
- Fava M, Freeman MP, Flynn M, Judge H, Hoeppner BB, Cusin C, Ionescu DF, Mathew SJ, Chang LC, Iosifescu DV, Murrough J, Debattista C, Schatzberg AF, Trivedi MH, Jha MK, Sanacora G, Wilkinson ST, Papakostas GI (2020) Double-blind, placebocontrolled, dose-ranging trial of intravenous ketamine as adjunctive therapy in treatment-resistant depression (TRD). Mol Psychiatry 25:1592–1603.

- First MB, Spitzer RL, Gibbon M, Williams JBW (1995) Structured clinical interview for DSM-IV axis I disorders, patient edition (SCID-P), version 2. New York: Biometrics Research Department, New York State Psychiatric Institute.
- Friston KJ, Worsley KJ, Frackowiak RS, Mazziotta JC, Evans AC (1994) Assessing the significance of focal activations using their spatial extent. Hum Brain Mapp 1:210–220.
- Gärtner M, Aust S, Bajbouj M, Fan Y, Wingenfeld K, Otte C, Heuser-Collier I, Böker H, Hättenschwiler J, Seifritz E, Grimm S, Scheidegger M (2019) Functional connectivity between prefrontal cortex and subgenual cingulate predicts antidepressant effects of ketamine. Eur Neuropsychopharmacol 29:501–508.
- Gosnell SN, Curtis KN, Velasquez K, Fowler JC, Madan A, Goodman W, Salas R (2019) Habenular connectivity may predict treatment response in depressed psychiatric inpatients. J Affect Disord 242:211–219.
- Harel EV, Tennyson RL, Fava M, Bar M (2016) Linking major depression and the neural substrates of associative processing. Cogn Affect Behav Neurosci 16:1017–1026.
- Hétu S, Luo Y, Saez I, D'Ardenne K, Lohrenz T, Montague PR (2016) Asymmetry in functional connectivity of the human habenula revealed by high-resolution cardiac-gated resting state imaging. Hum Brain Mapp 37:2602–2615.
- Hu H, Cui Y, Yang Y (2020) Circuits and functions of the lateral habenula in health and in disease. Nat Rev Neurosci 21:277–295.
- Ionescu DF, Felicione JM, Gosai A, Cusin C, Shin P, Shapero BG, Deckersbach T (2018) Ketamine-associated brain changes: a review of the neuroimaging literature. Harv Rev Psychiatry 26:320–339.
- Isen AM, Daubman KA, Nowicki GP (1987) Positive affect facilitates creative problem solving. J Pers Soc Psychol 52:1122–1131.
- Johnstone T, van Reekum CM, Urry HL, Kalin NH, Davidson RJ (2007) Failure to regulate: counterproductive recruitment of top-down prefrontal-subcortical circuitry in major depression. J Neurosci 27:8877–8884.
- Karim HT, Wang M, Andreescu C, Tudorascu D, Butters MA, Karp JF, Reynolds CF 3rd, Aizenstein HJ (2018) Acute trajectories of neural activation predict remission to pharmacotherapy in late-life depression. Neuroimage Clin 19:831–839.
- Klein ME, Chandra J, Sheriff S, Malinow R (2020) Opioid system is necessary but not sufficient for antidepressive actions of ketamine in rodents. Proc Natl Acad Sci U S A 117:2656–2662.
- Lawson RP, Drevets WC, Roiser JP (2013) Defining the habenula in human neuroimaging studies. Neuroimage 64:722–727.
- Lawson RP, Nord CL, Seymour B, Thomas DL, Dayan P, Pilling S, Roiser JP (2017) Disrupted habenula function in major depression. Mol Psychiatry 22:202–208.
- Li B, Piriz J, Mirrione M, Chung C, Proulx CD, Schulz D, Henn F, Malinow R (2011) Synaptic potentiation onto habenula neurons in the learned helplessness model of depression. Nature 470:535–539.
- Liu RJ, Lee FS, Li XY, Bambico F, Duman RS, Aghajanian GK (2012) Brain-derived neurotrophic factor Val66Met allele impairs basal and ketamine-stimulated synaptogenesis in prefrontal cortex. Biol Psychiatry 71:996–1005.
- Luan SX, Zhang L, Wang R, Zhao H, Liu C (2019) A resting-state study of volumetric and functional connectivity of the habenular nucleus in treatment-resistant depression patients. Brain Behav 9:e01229.
- Matsumoto M, Hikosaka O (2007) Lateral habenula as a source of negative reward signals in dopamine neurons. Nature 447:1111–1115.

- Moda-Sava RN, Murdock MH, Parekh PK, Fetcho RN, Huang BS, Huynh TN, Witztum J, Shaver DC, Rosenthal DL, Alway EJ, Lopez K, Meng Y, Nellissen L, Grosenick L, Milner TA, Deisseroth K, Bito H, Kasai H, Liston C (2019) Sustained rescue of prefrontal circuit dysfunction by antidepressant-induced spine formation. Science 364:eaat8078.
- Montgomery SA, Åsberg M (1979) A new depression scale designed to be sensitive to change. Br J Psychiatry 134:382–389.
- Murrough JW, Abdallah CG, Mathew SJ (2017) Targeting glutamate signalling in depression: progress and prospects. Nat Rev Drug Discov 16:472–486.
- Murrough JW, Iacoviello B, Neumeister A, Charney DS, Iosifescu DV (2011) Cognitive dysfunction in depression: neurocircuitry and new therapeutic strategies. Neurobiol Learn Mem 96:553–563.
- Murrough JW, Iosifescu DV, Chang LC, Al Jurdi RK, Green CE, Perez AM, Iqbal S, Pillemer S, Foulkes A, Shah A, Charney DS, Mathew SJ (2013) Antidepressant efficacy of ketamine in treatment-resistant major depression: a two-site randomized controlled trial. Am J Psychiatry 170:1134–1142.
- Murrough JW, Collins KA, Fields J, DeWilde KE, Phillips ML, Mathew SJ, Wong E, Tang CY, Charney DS, Iosifescu DV (2015) Regulation of neural responses to emotion perception by ketamine in individuals with treatment-resistant major depressive disorder. Transl Psychiatry 5:e509.
- Namboodiri VM, Rodriguez-Romaguera J, Stuber GD (2016) The habenula. Curr Biol 26:R873–R877.
- Park H, Rhee J, Park K, Han JS, Malinow R, Chung C (2017) Exposure to stressors facilitates long-term synaptic potentiation in the lateral habenula. J Neurosci 37:6021–6030.
- Roiser JP, Levy J, Fromm SJ, Nugent AC, Talagala SL, Hasler G, Henn FA, Sahakian BJ, Drevets WC (2009) The effects of tryptophan depletion on neural responses to emotional words in remitted depression. Biol Psychiatry 66:441–450.
- Rush AJ, Trivedi MH, Ibrahim HM, Carmody TJ, Arnow B, Klein DN, Markowitz JC, Ninan PT, Kornstein S, Manber R, Thase ME, Kocsis JH, Keller MB (2003) The 16-item quick inventory of depressive symptomatology (QIDS), clinician rating (QIDS-C), and self-report (QIDS-SR): a psychometric evaluation in patients with chronic major depression. Biol Psychiatry 54:573–583.
- Rush AJ, Trivedi MH, Wisniewski SR, Nierenberg AA, Stewart JW, Warden D, Niederehe G, Thase ME, Lavori PW, Lebowitz BD, McGrath PJ, Rosenbaum JF, Sackeim HA, Kupfer DJ, Luther J, Fava M (2006) Acute and longer-term outcomes in depressed outpatients requiring one or several treatment steps: a STAR*D report. Am J Psychiatry 163:1905–1917.
- Salas R, Baldwin P, de Biasi M, Montague PR (2010) BOLD responses to negative reward prediction errors in human habenula. Front Hum Neurosci 4:36.
- Sartorius A, Kiening KL, Kirsch P, von Gall CC, Haberkorn U, Unterberg AW, Henn FA, Meyer-Lindenberg A (2010) Remission of major depression under deep brain stimulation of the lateral habenula in a therapy-refractory patient. Biol Psychiatry 67:e9–e11.
- Shepard RD, Langlois LD, Browne CA, Berenji A, Lucki I, Nugent FS (2018) Ketamine reverses lateral habenula neuronal dysfunction and behavioral immobility in the forced swim test following maternal deprivation in late adolescent rats. Front Synaptic Neurosci 10:39.
- Torrisi S, Nord CL, Balderston NL, Roiser JP, Grillon C, Ernst M (2017) Resting state connectivity of the human habenula at ultra-high field. Neuroimage 147:872–879.

- Wei SM, Eisenberg DP, Nabel KG, Kohn PD, Kippenhan JS, Dickinson D, Kolachana B, Berman KF (2017) Brain-derived neurotrophic factor Val66Met polymorphism affects the relationship between an anxiety-related personality trait and resting regional cerebral blood flow. Cereb Cortex 27:2175– 2182.
- Whiteford HA, Degenhardt L, Rehm J, Baxter AJ, Ferrari AJ, Erskine HE, Charlson FJ, Norman RE, Flaxman AD, Johns N, Burstein R, Murray CJ, Vos T (2013) Global burden of disease attributable to mental and substance use disorders: findings from the Global Burden of Disease Study 2010. Lancet 382:1575–1586.
- World Health Organization (2004) The global burden of disease: 2004 update. https://www.who.int/healthinfo/global_burden_ disease/2004_report_update/en/. Accessed 19 May 2019.
- Yang Y, Cui Y, Sang K, Dong Y, Ni Z, Ma S, Hu H (2018) Ketamine blocks bursting in the lateral habenula to rapidly relieve depression. Nature 554:317–322.
- Zanos P, Gould TD (2018) Mechanisms of ketamine action as an antidepressant. Mol Psychiatry 23:801–811.
- Zarate CA Jr, Singh JB, Carlson PJ, Brutsche NE, Ameli R, Luckenbaugh DA, Charney DS, Manji HK (2006) A randomized trial of an N-methyl-D-aspartate antagonist in treatmentresistant major depression. Arch Gen Psychiatry 63:856–864.