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# A population database study of outcomes associated with vitamin K antagonists in atrial fibrillation before DOAC

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## WHAT IS ALREADY KNOWN ABOUT THIS SUBJECT

- Vitamin K antagonists (VKAs) are anticoagulants used for prevention of thromboembolism in venous thromboembolism and atrial fibrillation (AF).
- Patients using VKAs have a high risk of severe bleeding or thrombosis because of the very nature of VKAs and because of the common interactions with other drugs and with food.
- There is very little information on real-life outcomes related to treatment with these drugs, which may be more common than in clinical trials.

### WHAT THIS STUDY ADDS

- Rates of severe bleeding and other complications are apparently not much greater in real-life use than in clinical trials.
- Event rates are different according to the indication (AF vs. non-AF)
- Event rates for death and thrombosis increase after stopping VKAs in AF patients, with a reduced risk of bleeding.

AIMS

This study aimed to describe the real-life incidence of bleeding, arterial thrombotic events and death during vitamin K antagonist (VKA) treatment in atrial fibrillation (AF).

#### **METHODS**

This was a cohort study in Echantillon Généraliste de Bénéficiaires, the 1/97 sample of the French national healthcare claims and hospitalization database, of new VKA users with definite or probable AF and no other indication, and of patients without AF, from 2007 to 2011. Prespecified outcomes were all-cause death, hospitalization for bleeding, arterial thrombotic event (ATE), or acute coronary syndrome (ACS) or any of the above (composite outcome).

### RESULTS

Of 8894 new VKA users, 3345 had probable or certain AF, 51.7% were male, mean age was 75.1 years, 87.1% had a  $CHA_2DS_2$ -VASc score  $\geq 2$  and 11.6% a HAS-BLED score > 3. Among AF patients, during VKA exposure the incidence rate of bleeding was 2.8 [95% confidence interval (Cl) 2.2, 3.4] per 100 patient-years, including 0.6 (95% Cl 0.3, 0.8) cerebral, 1.0 (95% Cl 0.7, 1.3) digestive and 1.4 (95% Cl 1.0, 1.7) other bleeds. There were 1.6 (95% Cl 1.2, 2.0) ACS, 1.5 (95% Cl 1.1, 1.8) ATE and 3.8 (95% Cl 3.2, 4.4) deaths per 100 patient-years. The incidence rate of the composite outcome was 9.1 per 100 patient-years (95% Cl 8.2, 10.0). When patients stopped VKA, bleeding decreased (RR 0.67, 95% Cl 0.43, 1.04)), but death or thrombosis increased (RR 3.06, 95% Cl 2.46, 3.81 and 1.75, 95% Cl 1.14, 2.70, respectively). During VKA exposure non-AF patients had similar rates of bleeding, but fewer deaths, ACS and ischaemic events.

### CONCLUSIONS

Real-life rates for bleeding, arterial thrombotic events, ACS and deaths in AF patients treated with VKA were similar to those observed in clinical trials.

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### Introduction

Vitamin K antagonists (VKAs) are effective drugs for the prevention of thrombotic or embolic events during atrial fibrillation (AF). They are however subject to a large number of interactions with other drugs, with genetic factors and with alimentary or environmental factors, resulting in a risk of bleeding or inefficacy. They are among the drugs most commonly involved in hospital admissions for serious adverse reactions [1, 2]. Because they are old drugs, there was little reliable data on adverse reaction rates until the recent development of direct oral anticoagulants (DOAC) provided data from clinical trials and from pharmacoepidemiological studies [3–9]. There is still not much quantitative information on real-life outcomes with VKAs and the influence of risk factors on outcomes [10–12].

To provide this information, we estimated incidence rates of bleeding, arterial thrombotic events (ATE), acute coronary syndrome (ACS) and death in VKA-treated patients with AF prior to the marketing of DOAC, using data from a population database [13, 14].

### Methods

### Study design

The study was a population-based cohort study in a national healthcare claims database.

### Study setting

This was a historical cohort study performed in the Echantillon Généraliste de Bénéficiaires (EGB) healthcare claims and hospitalization database, which is a permanent representative anonymized 1/97 sample of the national healthcare insurance system database (SNIIRAM) linked to the national hospital discharge summary database (PMSI) and the national death registry [13, 14]. SNIIRAM includes over 90% of the French population. The EGB sample represents 500 to 700 000 persons depending on the years, since 2004. These databases have been described elsewhere [13–16].

They contain basic demographic information, reimbursed healthcare claims without indications and any of 30 long term diseases or disease families (LTD, with over 3500 ICD10 codes) that warrant full insurance coverage. This is linked to hospital discharge summaries with main, associated and secondary diagnoses (ICD-10 codes) as well as procedures for all public and private hospitals [14–16]. In addition the dataset includes date but not cause of death, from the national death registry.

### **Patients**

All patients with a first dispensation of VKA (ATC code B01AA) between 1 January 2007 and 31 December 2011, with at least 2 years of data before and at least

1 year of follow-up after first dispensation (except in the case of death) were extracted from the EGB database.

### **Exposures**

VKA exposure started at the date of first VKA dispensation (index date) and ended at the date of VKA stop or at the end of follow-up. Date of VKA stop was defined at the date of last dispensation plus 1 month (31 days). Last dispensation was defined as a dispensation without further dispensation for at least 90 days. This additional 'grace period' of 60 days was used to take into account use of fractional doses to adjust the INR, potential poor compliance and short treatment discontinuations. Deaths occurring during that grace period were considered as exposed to avoid immortal time bias.

VKA post-exposure period was from date of VKA stop as defined above to date of a new VKA dispensation, or to 1 year after date of VKA stop.

### Diagnosis of atrial fibrillation

The diagnosis of AF was considered definite if there was an LTD or hospital discharge summary with the ICD-10 code I48 recorded before the index date, and no other indication for VKA. In the absence of definite AF, probable AF was defined using a disease score. The AF disease score was a logit function of patient characteristics, including specialty of VKA prescriber, specific drugs and investigations for AF or other VKA indications (see on-line Appendix S1), using as reference the definite AF population. Patients with an AF probability greater than 0.65, with no other apparent indication for VKA use were included in the probable AF population.

The total AF population is made of the patients with definite or probable AF.

Other probable indications of VKA dispensation were (i) prevention of venous thromboembolic events after orthopaedic surgery defined as a first VKA dispensation within 2 months after hospital discharge for orthopaedic surgery and (ii) treatment of venous thromboembolic events defined as a first VKA dispensation within 2 months after hospital discharge for a pulmonary embolism or deep vein thrombosis (I26, I80, I81, I82 ICD-10 codes).

#### **Outcomes**

Outcomes considered were:

- i All-cause death.
- ii Major bleed, defined as hospitalization with primary diagnosis of bleeding events including haemorrhagic stroke (list of diagnostic codes in online Appendix S2).
- iii Arterial thrombotic events (ATE) defined as hospitalization with a primary diagnosis of ischemic or undefined stroke or systemic arterial embolism (ICD-10 codes I63, I64, I74, N28, D73.5 and K76.3), not including coronary heart events.



- iv Acute coronary syndrome (ACS), defined as hospitalization with primary diagnosis of myocardial infarction or ACS (ICD-10 codes I20 and I21).
- v A composite outcome of the occurrence of any of the above.

### **Risk factors**

Risk factors for events were gender and age, CHA<sub>2</sub>DS<sub>2</sub>-VASc and HAS-BLED scores [17–19] derived from the data present in the database, congestive heart failure, hypertension, vascular disease history, bleeding history, medication use predisposing for bleeding, stroke history, diabetes, abnormal renal function, abnormal liver function and cancer in the two previous years (Online Appendix S3).

### Statistical analysis

The cumulative incidence of outcomes was estimated using Kaplan–Meier estimate and 95% confidence interval (CI) for definite and probable AF populations. Risk factors were analyzed using multiple Cox proportional hazards models.

Event rates were also computed after VKA discontinuation in AF and non-AF patients.

### Ethics approval

The national data protection commission (CNIL) has authorized data extractions and analyses of the anonymized EGB database. No individual patient approval is required. The synopsis of the study was submitted to the National Institute for Medical Research (INSERM), which authorized the study. This study was also declared to the ENCEPP e-registry (www.encepp.eu) at the European Medicines Agency (EMA, London, UK).

### Results

### Patient recruitment and disposition (Figure 1)

Of the 11 906 patients who received a first dispensation of VKA between 1 January 2007 and 31 December 2011,



Other probable indications <sup>2</sup>	Atrial fibrillation <sup>1</sup> Definite <sup>1</sup> Probable <sup>3</sup> No			
No	2197 (24.7%)	1148 (12.9%)	4655 (52.3%)	
Yes	78 (0.9%)	107 (1.2%)	709 (8.0%)	

<sup>1</sup> ALD for AF or hospitalization with an AF diagnosis (I48 ICD-10 code) before the first VKA dispensation

<sup>2</sup> First VKA dispensation within the 2 months after hospital discharge for orthopaedic surgery, pulmonary embolism or deep vein thrombosis

<sup>3</sup> Was estimated using a logistic regression model (see methods) with a specificity of 80%, a sensitivity of 62%, and a predicted probability level ≥ 0.3547

EGB: the 1/97 permanent representative sample of the French National population database that covers >90% of the French population.



8894 had at least 2 years previous history and 1 year follow-up after first dispensation. Of the 8000 patients with no other probable indication, 2197 (24.7%) had a diagnosis of AF (definite AF), 1148 (12.9%) had probable AF and 4655 (52.3%) did not have any identified AF. Respectively 78 (0.9%), 107 (1.2%) and 709 (8.0%) had other indications with a diagnosis of AF, probable AF or no AF.

The study populations were therefore 1) a specific population of 2197 persons with definite AF, 2) a sensitive population of 3345 AF patients combining the definite and the probable AF patients (all AF) with no other indication for the use of VKA and 3) 5364 non-AF patients.

### Patient characteristics at inclusion

These are indicated in Table 1. There was little difference between AF categories, whether AF was definite or probable and with or without valvular diseases (not shown). Gender distribution was balanced in all groups. Average age in the AF patients was 75 years compared with 64 years in the non-AF group, 70 to 84% of AF patients had a chronic condition vs. 54.5% in non-AF patients, 63 to 93% of AF patients had been hospitalized in the 2 years before index date vs. 62% of non-AF patients and 98% of all AF patients had at least one drug dispensation in addition to a VKA and had seen a physician at least once in the previous 2 years. In over 95% this was a general practitioner (GP), and over 80% had also visited a specialist. Over 90% had had at least one laboratory test and in over 94% this was a haematology test.

### Follow-up and exposure

Average duration of follow-up was similar between groups, from 28 to 29 months. VKA maintenance after index date was shorter in non-AF patients (median 125 days, quartiles 60–240) than in AF patients (median 201 days, quartiles 89–395) (see supplementary online Figure S1).

### Outcomes

Incidence rates during VKA exposure in all AF (Table 2, Figure 2) were 2.8 [95% confidence interval (Cl) 2.2, 3.4] per 100 patient-years for major bleeding, including 0.6 (95% Cl 0.3, 0.8) for cerebral bleeding, 1.0 (95% Cl 0.7, 1.3) for digestive bleeding and 1.4 (95% Cl 1.0, 1.7) for other bleeds. Event rates were 1.5 (95% Cl 1.1, 1.8) per 100 patient-years for ATE and 1.6 (95% Cl 1.2, 2.0) for ACS. In to-tal 3.8 (95% Cl 3.2, 4.4) patients died per 100 patient-years, resulting in a composite outcome rate of 9.1 (95% Cl 8.2, 10.0) events per 100 patient-years. Event rates were stable beyond the first 6 months of treatment (Figure 2).

Event rates in the definite AF population were slightly higher but very similar in nature to those of the whole AF population or the probable AF population (Table 2).

Non-AF patients had lower rates per 100 patient-years of major bleeding (2.3, 95% CI 1.8, 2.7, ATE (1.4, 95% CI

1.0, 1.7), the composite outcome (7.0, 95% CI 6.2, 7.8), death (2.8, 95% CI 2.3, 3.3), and ACS (0.9, 95% CI 0.6, 1.2) (Table 2).

Occurrence of the outcomes during VKA exposure for AF increased non-linearly with increasing CHAD<sub>2</sub>DS<sub>2-</sub>VASc and HAS-BLED score (see online supplemental Table S1). In multivariate analyses, factors associated with increased occurrence of the different outcomes are described in Table 3.

Among AF patients who stopped VKA, 29% had restarted VKA at 3 months, 50% at 6 months and 56% at 12 months, compared with 13, 22 and 26% after 3, 6 and 12 months, respectively, in patients without AF.

Incidence rates for composite outcome, deaths and ATE increased after VKA withdrawal in AF (Table 4) whereas bleeding tended to decrease. There was no significant difference for ACS.

In non-AF patients, there was a decrease in bleeds after stopping the VKA, but an increase in all-cause deaths. There was no significant change for the composite outcome or in thrombotic or coronary events.

### Discussion

This study was designed to describe bleeding or thrombotic events occurring during the real-life use of VKAs, at a time when DOAC were not yet on the market for the indication of stroke prevention in atrial fibrillation [3–5, 7, 9, 20–22].

To this end, we used the EGB, a 1/97 permanent representative sample of the national French population healthcare claims database [13, 14]. Over 85% of anticoagulant used was fluindione, the rest being equally divided between warfarin and acenocoumarol. Fluindione, an indane-dione, has similar effects and interactions as coumadin drugs [23–25].

We found 2.8 major bleeding per 100 patient-years exposed and 3.8 deaths per 100 patient-years in AF patients. When VKAs were stopped, overall event rates went up, and especially ATE and death, while the bleeding rates went down.

Bleeding and thrombotic event rates can be compared with results from other population-based studies and from clinical trials. In the Danish population, the rate of major bleeds was 3.7 per 100 patient years for users of warfarin [26]. In the more recent population studies in an elderly Medicare population by Graham *et al.* [5] and Hernandez *et al.* [7], all cause major bleeding incidence rates were 4.4% and 5.9%, respectively. Death rate with warfarin was 3.8% for Graham *et al.* [5]. Hernandez *et al.* did not provide death rates [7].

In the warfarin arms of Re-Ly [27], Rocket-AF [8], Aristotle, [6] and Engage-af TIMI [4] the main clinical trials of the DOAC vs. warfarin, there were, respectively, 3.36, 3.40, 3.09 and 3.43 cases of major bleeding per 100



### Table 1

Patient characteristics at study inclusion

	Definite AF	Probable AF	All* AF n = 3345	Non AF n = 5364
	11 - 2137	11-11-0	n = 3545	<i>n = 3304</i>
Gender, n (%)	1110 (50 5)			2520 (47.0)
Male	1110 (50.5)	621 (54.1)	1/31 (51.7)	2520 (47.0)
Female	1087 (49.5)	527 (45.9)	1614 (48.3)	2844 (53.0)
Age at index date (years), mean $(\pm SD)$	74.5 (11.6)	76.4 (9.5)	75.1(11.0)	63.7 (16.9)
VKA treatment, n (%)	4.02 (0.0)	22 (7.2)	276 (2.2)	
Warfarin	193 (8.8)	83 (7.2)	276 (8.3)	459 (8.6)
Fluindione	1871 (85.2)	972 (84.7)	2843 (85.0)	4538 (84.6)
Acenocoumarol	133 (6.1)	93 (8.1)	226 (6.8)	367 (6.8)
At least one LID, n (%)	1842 (83.8)	808 (70.4)	2650 (79.2)	2924 (54.5)
At least one hospital admission, n (%)	2046 (93.1)	/1/ (62.5)	2763 (82.6)	3341 (62.3)
Atrial fibrillation stroke risk factors, n (%)				
Age 65–74 years	499 (22.7)	275 (24.0)	//4 (23.1)	11/3 (21.9)
Age ≥ 75 years	1272 (57.9)	/39 (64.4)	2011 (60.1)	1689 (31.5)
Congestive heart failure	/09 (32.3)	1/9 (15.6)	888 (26.5)	259 (4.8)
Hypertension	1221 (55.6)	384 (33.5)	1605 (48.0)	1125 (21.0)
Diabetes mellitus	598 (27.2)	323 (28.1)	921 (27.5)	904 (16.9)
History of stroke or transient ischaemic attack	340 (15.5)	92 (8.0)	432 (12.9)	2/1 (5.1)
Vascular disease history	451 (20.5)	215 (18.7)	666 (19.9)	4/4 (8.8)
Women	1087 (49.5)	527 (45.9)	1614 (48.3)	2844 (53.0)
CHA <sub>2</sub> DS <sub>2</sub> -VASc score, n (%)	()			
0	82 (3.7)	41 (3.6)	123 (3.7)	881 (16.4)
1	200 (9.1)	110 (9.6)	310 (9.3)	1440 (26.8)
≥2	1915 (87.2)	997 (86.8)	2912 (87.1)	3043 (56.7)
Bleeding risk factors (score), n (%)				
Hypertension (+1)	1221 (55.6)	384 (33.5)	1605 (48.0)	1125 (21.0)
Abnormal renal function (+1)	287 (13.1)	94 (8.2)	381 (11.4)	236 (4.4)
Abnormal liver function (+1)	55 (2.5)	17 (1.5)	72 (2.2)	99 (1.8)
Stroke history (+1)	278 (12.7)	79 (6.9)	357 (10.7)	245 (4.6)
Bleeding history (+1)	43 (2.0)	16 (1.4)	59 (1.8)	88 (1.6)
Age > 65 years (+1)	1737 (79.1)	996 (86.8)	2733 (81.7)	2768 (51.6)
Medication usage predisposing to bleeding (+1)	1611 (73.3)	884 (77.0)	2495 (74.6)	3962 (73.9)
Modified HAS BLED score (in categories), n (%)				
0	56 (2.5)	24 (2.1)	80 (2.4)	461 (8.6)
1	363 (16.5)	241 (21.0)	604 (18.1)	2269 (42.3)
2	/8/ (35.8)	535 (46.6)	1322 (39.5)	1835 (34.2)
3	704 (32.0)	248 (21.6)	952 (28.5)	633 (11.8)
>3	287 (13.1)	100 (8.7)	387 (11.6)	166 (3.1)
At least one dispensation of drugs before index date, n (%)	2125 (96.7)	1135 (98.9)	3260 (97.5)	5201 (97.0)
At least one medical visit before index date, n (%)	2142 (97.5)	1136 (99.0)	3278 (98.0)	5217 (97.3)
Number of medical visits per patient over 2 years before index date, mean (± SD)	22.9 (16.6)	22.4 (15.2)	22.7 (16.1)	20.5 (15.8)
At least one general practitioner visit before index date, n (%)	2102 (95.7)	1114 (97.0)	3216 (96.1)	5093 (94.9)
Number of general practitioner visits per patient over 2 years before index date, mean (± SD)	17.1 (12.4)	16.8 (11.1)	17.0 (11.9)	15.3 (11.8)
At least one specialist visit before index date, <i>n</i> (%)	1779 (81.0)	995 (86.7)	2774 (82.9)	4345 (81.0)
Number of specialist visits per patient over 2 years before index date, mean (± SD)	7.2 (10.0)	6.6 (9.9)	7.0 (9.9)	6.5 (8.5)
At least one laboratory test before index date, n (%)	2045 (93.1)	1094 (95.3)	3139 (93.8)	4870 (90.8)

LTD, registration for chronic long term disease resulting in full coverage of all expenses related to the disease. \*All AF, definite or probable AF.



### Table 2

Incidence rates of primary outcomes during the drug exposure, per 100 person-years, with 95% confidence intervals (CI)

	Definite AF n = 2624	All AF n = 3977	Non AF n = 4233
Composite endpoint (death, hospitalization for major bleeding, arterial thrombotic events or MI): <i>n</i> , per 100 person-years (95% CI)	249, 9.5 (8.4, 10.6)	362, 9.1 (8.2,10.0)	296, 7.0 (6.2, 7.8)
Death: n, per 100 person-years (95% CI)	108, 4.1 (3.4, 4.9)	152, 3.8 (3.2, 4.4)	117, 2.8 (2.3, 3.3)
Hospitalization for major bleeding: n, per 100 person-years (95% CI)	73, 2.8 (2.2, 3.4)	113, 2.8 (2.2, 3.4)	97, 2.3 (1.8, 2.7)
Hospitalization for arterial thrombotic events:x <i>n</i> , per 100 person-years (95% CI)	41, 1.6 (1.1, 2.0)	58, 1.5 (1.1, 1.8)	58, 1.4 (1.0, 1.7)
Hospitalization for MI or acute coronary syndrome (ACS): n, per 100 person-years (95% CI)	44, 1.7 (1.2, 2.2)	64, 1.6 (1.2, 2.0)	37, 0.9 (0.9, 1.2)



### Figure 2

Cumulative incidence of primary outcomes during the VKA exposure period in patients with probable AF (Kaplan–Meier curve). —1 composite criterion, …2 death, ——3 major bleeding, …—4 arterial thrombotic events, ——5 MI or acute coronary syndrome (ACS)

patient-years and all cause death rates were 4.1, 2.2, 9.4, and 4.4 per 100 patient-years exposed, respectively.

As Roskell *et al.*, we found similar bleeding and death rates in real-life and in the clinical trials [28], despite a presumption that because of better monitoring patients in clinical trials would have lower bleeding rates than patients in real-life.

Our patients had a similar age and gender distribution to those in the clinical trials, consistent with the epidemiology of atrial fibrillation. The risk factors we identified for the occurrence of bleeding corresponded to the usual risk scales [29–32]. We found non-linear increases in risk for increasing CHA<sub>2</sub>DS<sub>2</sub>.VASc and HAS-BLED scores, which could explain the differences in bleeding rates between Graham *et al.* [5] who used matched patients analyses and Hernandez *et al.* who used adjusted analyses [7].

Finally we found that when VKAs were stopped in the AF population, thrombotic events and death rates went

### Table 3

Multivariate analysis of factors associated with composite endpoint in the all AF population

	Composite endpoint RR (95% Cl)	Death RR (95% Cl)	Bleeding RR (95% Cl)	Arterial thombosis RR (95% CI)	MI or ACS RR (95% CI)
Gender Male	1.23 (0.98,1.53)	1.59 (1.12, 2.25)	1.04 (0.70, 1.53)	1.56 (0.89, 2.76)	0.64 (0.38,1.08)
Age at index date (in categories)					
< 65 years	1	1	1	1	1
[65–74] years	1.05 (0.74, 1.50)	1.10 (0.63,1.92)	1.25 (0.61,2.54)	1.03 (0.45,2.37)	0.85 (0.39,1.88)
[75–84] years	1.14 (0.82,1.58)	0.97 (0.58,1.64)	1.69 (0.89,3.21)	1.09 (0.51,2.35)	1.00 (0.49,2.05)
> <b>84</b> years	2.40 (1.68,3.43)	3.17 (1.87,5.38)	3.08 (1.53,6.23)	1.89 (0.78,4.54)	0.90 (0.36,2.28)
Congestive heart failure	1.34 (1.07, 1.69)	1.77 (1.26,2.48)	1.07 (0.70,1.64)	1.51 (0.87,2.65)	1.04 (0.60,1.81)
Hypertension	1.25 (1.00, 1.57)	1.04 (0.74,1.48)	1.52 (1.01,2.28)	1.02 (0.58,1.78)	1.81 (1.02,3.21)
Vascular disease history	1.60 (91.26,2.04)	1.56 (1.07,2.28)	0.90 (0.56,1.46)	2.38 (1.34,4.21)	2.18 (1.28,3.72)
Bleeding history	1.41 (0.72,2.76)	0.72 (0.18,2.93)	3.19 (1.37,7.46)	0.96 (0.13,7.08)	0.87 (0.12,6.41)
Medication use predisposing to bleeding	1.06 (0.85,1.31)	0.58 (0.41,0.82)	1.38 (0.93,2.02)	1.08 (0.63,1.87)	2.85 (1.56,5.19)
Stroke history	1.39 (1.03,1.87)	1.67 (1.08,2.59]	1.42 (0.85,2.38)	1.90 (0.95,3.79)	0.70 (0.28,1.75)
Diabetes mellitus	1.16 (0.92,1.46)	0.98 (0.68,1.41)	0.98 (0.64,1.48)	1.39 (0.80,2.42)	1.66 (1.00,2.78)
Abnormal renal function	1.70 (1.29,2.24)	2.32 (1.56,3.44)	1.31 (0.76,2.24)	1.32 (0.64,2.70)	1.40 (0.72,2.71)
Abnormal liver function	1.08 (0.55,2.12)	1.81 (0.78,4.22)	0.48 (0.07,3.50)	1.40 (0.33,5.96)	0.53 (0.07,3.91)
Cancer in the two previous years	2.10 (1.55,2.85)	2.09 (1.32,3.29)	2.97 (1.80,4.88)	1.15 (0.45,2.92)	1.44 (0.61,3.37)

Reference groups are female gender, or the absence of the relevant condition; RR (95% CI), relative risk and 95% confidence interval.



### Table 4

Event rates for all AF and non-AF populations during VKA exposure and after VKA withdrawal per 100 patient-years

	All AF During exposure	All AF After exposure	RR (95% CI) After exposure/ during exposure	No AF During exposure	Non-AF After exposure	RR (95% CI) After exposure/ during exposure
Patient-years	3977	1214		4233	3431	
Composite outcome (n, %)	362 (9.1)	206 (17.0)	1.86 (1.59,2.18)	296 (7.0)	235 (6.9)	0.98 (0.83, 1.16)
Death <i>n</i> (%)	152 (3.8)	142 (11.7)	3.06 (2.46,3.81)	117 (2.8)	145 (4.2)	1.53 (1.21, 1.93)
Major bleeding n (%)	113 (2.8)	23 (1.9)	0.67 (0.43, 1.04)	97 (2.3)	43 (1.3)	0.55 (0.38, 0.78)
Thrombotic event <i>n</i> (%)	58 (1.5)	31 (2.6)	1.75 (1.14, 2.70)	58 (1.4)	46 (1.3)	0.98 (0.67, 1.43)
Acute coronary event <i>n</i> (%)	64 (1.6)	23 (1.9)	1.18 (0.73, 1.89)	37 (0.9)	21 (0.6)	0.70 (0.41, 1.19)

AF, atrial fibrillation.

up, whereas bleeding rates went down. This might be related to a healthy continuer effect. However the nature and direction of the changes are very much in favour of a direct relationship with stopping VKA in a population that remains at high risk of peripheral arterial embolism from AF. Other studies also found a similar risk when stopping anticoagulation in AF patients and the potential benefits of resuming anticoagulants in patients with AF who stopped them [33, 34].

Non-AF patients were quite different from AF patients. They were younger, with fewer concomitant diseases and treatments, and lower event rates for all outcomes except non-myocardial thrombotic events [35]. When VKAs were stopped, presumably because of treatment guidelines recommending short term anticoagulation, the risk of bleeding decreased, but the overall death rate increased significantly. This may be due to increased venous or pulmonary embolic complications, which we did not study. The best duration of anticoagulant treatment in non-AF indications might certainly be further explored.

The EGB uses existing data that are not impacted by the study. It contains exhaustive information about treatments and use of healthcare resources. Since the EGB is representative of the French population and all covered drugs dispensed by pharmacies are recorded, there is no selection or attrition bias [13, 14].

VKAs are oral drugs that are covered by the health care insurance system. All dispensations of reimbursed drugs are captured in the national database at the time of dispensation, so it is very unlikely that any patient on VKAs would not be included.

Among VKA users, the diagnosis of AF was considered definite when it was recorded as a chronic disease or was mentioned in hospital discharge summaries. The FDA Sentinel programme found that the ICD-9 code 427.31 (ICD10 code I48) had satisfactory positive predictive value (77–94%) to identify AF in administrative databases [36]. However some patients may have chronic AF

without hospital admissions or formal registration. These probable AF patients were identified by excluding other indications for VKAs and applying a disease risk score based on the definite AF patients. There was no difference between the definite and probable AF patients whereas non-AF patients had very different profiles, reinforcing our belief that our AF patients indeed had AF. We did not identify or study patients with AF or undiagnosed AF not treated with VKAs.

Outcomes were defined using ICD-10 discharge diagnoses and diagnosis-related groups codes in the database. Miscoding cannot be excluded. However, the hospital coding was fully independent from the study, excluding an information bias. Differential misclassification was equally unlikely. This approach was used in the same database in another recent study of bleeding and arterial thrombosis with DOAC [37].

Since deaths are recorded in the database using the national death registry, there is no information bias for this endpoint.

In conclusion, real-life event rates for bleeding, death and arterial thrombosis in a real-life population using VKAs for AF were similar to those reported in clinical trials. It may be anticipated that the benefits or risks of DOAC identified in clinical trials will also apply to their actual use [5]. The withdrawal of anticoagulation was accompanied by an increased risk of ATE and death in AF patients, not counterbalanced by the decreased rates of bleeding. This has apparently not been studied with DOAC, and would certainly warrant further exploration [38].

### Disclosures

NM is a tenured professor of the University of Bordeaux. He has received honoraria from various pharmaceutical companies, none which were involved in the present study.

Bordeaux Pharmacoepi is a research platform of University of Bordeaux and INSERM. It does publicly and



industry-funded studies, usually at the request of regulatory bodies, such as post-authorization efficacy or safety studies (PAES, PASS) or within its own research programmes. In addition to the specific funding of this study, Bordeaux Pharmacoepi has received funding from many pharmaceutical companies including those that market anticoagulant or antiplatelet drugs, for studies not involving the drugs concerned by this study.

Though the salaries of the persons working on the platform are covered by the funding of these projects, there is no direct link between any of the individual funding sources and individual salaries.

### **Competing Interests**

All authors have completed the Unified Competing Interest form at www.icmje.org/coi\_disclosure.pdf (available on request from the corresponding author) and declare no support from any organization for the submitted work, other than the unrestricted grant by Boehringer Ingelheim to University of Bordeaux mentioned above and no financial relationships with any organizations that might have an interest in the submitted work in the previous 3 years. Bordeaux Pharmacoepi is presently preparing studies of the comparative effectiveness of direct-acting anticoagulants, at the request of the regulatory authorities, with funding by Boehringer Ingelheim and Bayer AG. The present study was undertaken as background information for these future studies. NM and PB have frequent contacts with colleagues from pharmaceutical industry and regulatory bodies, on related or unrelated topics, that have no financial substrate per se but might lead to future contracts and research grants for our research team.

NM and PB had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

NM and PB had the initial idea. The protocol was developed by PB with RL, CDuP, AA, CDrP and NM. Data analysis was performed by RL and AA. All authors contributed to the interpretation of the results. NM wrote the first draft and all authors contributed to improving the paper. They all approved its final version.

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This study is registered in the ENCEPP e-Register of studies at EMA (www.encepp.eu), as ENGEL ('rEal-life aNticoaGulants bEnefit-risk in atrial fibrilLation in France').

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## **Supporting Information**

Additional Supporting Information may be found in the online version of this article at the publisher's web-site:

### Appendix S1

**Appendix S1.1** Diagnostic elements of probable atrial fibrillation

### Appendix S1.2

Factors associated with definite AF vs. no indication: multiple logistic regression analyses

### Appendix S1.3

Probability pi of an individual i being a patient with definite AF (LTD for AF or hospitalization with an AF diagnosis without other probable indication criteria) among patients with at least one VKA dispensation

#### Appendix S2

List of ICD-10 codes for major bleeding

#### **Appendix S3**

Confounders considered in the analysis

#### Table S1

Risk scores and occurrence of the study endpoint in the total AF population

### Table S2

Incidence rate (in % person-years) of primary outcomes after VKA withdrawal (during 365 days after drug withdrawal date) according to the VKA populations

### Figure S1

VKA maintenance during the follow-up period according to the VKA populations (Kaplan–Meier estimate)

### Figure S2

Cumulative incidence of primary outcomes after VKA withdrawal (during 365 days after drug withdrawal date) in patients with AF (Kaplan–Meier curve)