

# Comparison of Clinical and Imaging Outcomes of Different Doses of Adipose-Derived Stromal Vascular Fraction Cell Treatment for Knee Osteoarthritis

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## Abstract

Favorable clinical outcomes of intra-articular injection of adipose-derived stromal vascular fraction (SVF) cells for knee osteoarthritis (OA) have been reported, but the effects of different doses of SVF cells have not been examined. This study aimed to compare the short-term clinical and imaging outcomes of different doses of SVF cells for knee OA treatment. This study included 60 patients with knee OA who underwent intra-articular injection of SVF cells. The follow-up period was at least 12 months. Thirty patients received an intra-articular injection of  $2.5 \times 10^7$  SVF cells (low-dose group), and the remaining 30 patients received an intra-articular injection of  $5.0 \times 10^7$  SVF cells (high-dose group). Clinical evaluations were performed for the Knee injury and Osteoarthritis Outcome Score (KOOS). Imaging evaluations, including the magnetic resonance imaging Osteoarthritis Knee Score (MOAKS) features (bone marrow lesions, cartilage defects, osteophytes, Hoffa's synovitis, and effusion synovitis), were also performed. All clinical and imaging evaluations were performed preoperatively and 12 months postoperatively and compared between the groups. In demographic data, no significant differences were found between the two groups. The total score of KOOS at 12 months postoperatively was significantly more favorable than the preoperative score in the high-dose groups. Pain and symptoms subscale scores of KOOS at 12 months postoperatively were significantly better in the high-dose group than in the low-dose group. The bone marrow lesions, Hoffa's synovitis, and effusion synovitis improved approximately 30–40% at 12 months postoperatively compared to baseline in both groups. However, there were no significant differences in imaging evaluations between the two groups. In conclusion, the pain and symptoms subscale scores of KOOS from baseline to 12 months postoperatively improved better in the high-dose group than in the low-dose group. Our findings suggest that intra-articular injection of SVF cells for knee OA is an innovative approach.

## Keywords

adipose-derived stromal vascular fraction cells, stem cell, osteoarthritis, magnetic resonance imaging osteoarthritis knee score, dose-effect

## Introduction

Osteoarthritis (OA) is a chronic progressive disease characterized by cartilage degeneration, osteophyte formation, bone reorganization, and loss of joint function<sup>1</sup>. The knee is the most frequently involved weight-bearing joint, and OA is associated with significant morbidity and healthcare expenditures<sup>2</sup>. Knee OA leads to changes in the cartilage, tendons, ligaments, and muscles of the joint, resulting in poor psychosocial outcomes, imbalance, increased risk

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of falls, and limited physical activities<sup>3-5</sup>. Treatments for knee OA include conservative methods and surgical therapies and depend on patients' age, the severity of symptoms, and the type of lesion. Conservative treatments range from nonpharmacological (e.g., weight loss, physical therapy, and exercise) and pharmacological (e.g., nonsteroidal anti-inflammatory drugs or glucocorticoid injections) to surgical treatments (e.g., arthroscopic debridement with bone marrow stimulation, osteochondral grafts, or microfracture), with total knee arthroplasty as the last option for most patients<sup>6-8</sup>.

In recent years, cell therapy using adipose tissue-derived mesenchymal stem cells (ADSCs) has attracted attention as a new potential treatment for knee OA<sup>9,10</sup>. ADSCs, which share similar properties with bone marrow-derived mesenchymal stem cells (BMSCs), have the potential to differentiate into adipogenic, osteogenic, chondrogenic, and other mesenchymal lineages and have been widely applied in knee OA studies<sup>11,12</sup>. However, ADSCs require culturing, which requires a few weeks between isolation and application and is expensive.

Adipose-derived stromal vascular fraction (SVF) cells are a heterogeneous cell population that contains regenerative cells, such as ADSCs, macrophages, pericytes, fibroblasts, blood cells, vessel-forming cells including endothelial and smooth muscle cells, and their progenitors<sup>13,14</sup>. These heterogeneous cell populations include cells with stem cell elements, in addition to ADSCs, and are thought to have a synergistic effect with ADSCs<sup>15-17</sup>. Unlike ADSCs, SVF cells can be readily obtained from liposuction samples without the need for cell separation or culturing, which renders them more cost-efficient and convenient<sup>18,19</sup>. Furthermore, SVF cells are considered as effective as or more effective than ADSCs<sup>20,21</sup>. Semon et al. reported that SVF cells are easier and safer to access, further delay, and reduce experimental autoimmune encephalomyelitis disease course and pathology compared to ADSCs in mice<sup>20</sup>. You et al. also reported that intracavernous injection of SVF cells or ADSCs resulted in the recovery of penile erection in a rat model of cavernous nerve injury. Furthermore, it was reported that SVF cells were superior to ADSCs in terms of changes in tissue morphometry; that is, they showed enhancement of corpus cavernosum smooth muscle cells and might allow structural support<sup>21</sup>. It has also been demonstrated that there was no significant difference in pain, activity of life, sports, or quality of life subscales of Knee injury and Osteoarthritis Outcome Score (KOOS) between SVF cells and ADSCs treatments for knee OA<sup>22</sup>. We previously reported excellent short-term clinical effects of intra-articular injection of  $2.5 \times 10^7$  SVF cells on knee OA, but the effects of different doses of SVF cells were not examined<sup>23</sup>. Furthermore, promising results from several reports of intra-articular injections of SVF cells alone for knee OA were published, but their average doses varied from  $1.4 \times 10^7$  to  $5.0 \times 10^7$  cells<sup>24</sup>.

Maijib et al. investigated vasculogenesis in vivo using human adipose-derived SVF cells. Dose-dependent vasculogenesis of SVF cells was observed in vivo and suggested that reconstitution of a microcirculation through cell transplantation was dose-dependent<sup>25</sup>. Jo et al. also reported that high-dose intra-articular injection of ADSCs into the knee OA tended to improve function and knee pain and reduced cartilage defects than low-dose injection<sup>9</sup>. These reports supported that SVF cells might exert therapeutic effects on knee OA in a dose-dependent manner.

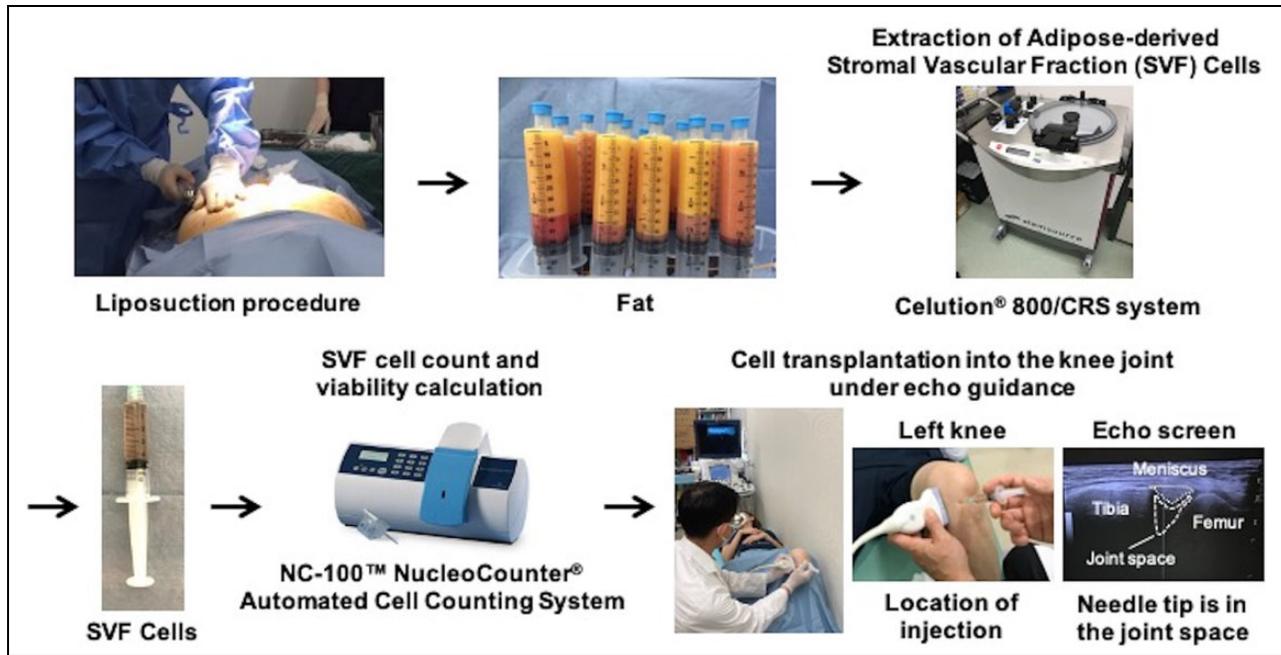
The purpose of this study was to compare the short-term clinical and radiographic outcomes of different doses of intra-articular injections of SVF cells used to treat knee OA in a single institution. We hypothesized that clinical and radiographic results would be significantly different depending on the dose of SVF cells administered. Compared to our previous study<sup>23</sup>, the follow-up period and clinical evaluations were the same in this study. However, our previous study used T2 mapping values for magnetic resonance imaging (MRI) evaluations, whereas this study used MRI Osteoarthritis Knee Score (MOAKS). Our previous research and the low-dose group in this study had the exact dosage in terms of dosage.

## Materials and Methods

### Patient Selection

This study included 60 patients with knee OA who received unilateral treatment with intra-articular injection of SVF cells between February 2017 and January 2018. The minimum clinical and radiographic follow-up was 12 months (mean, 17.2 months; range, 12–21 months). The knee OA grade was evaluated using the Kellgren-Lawrence classification, and patients with grade I to IV OA participated in this study.

The inclusion criteria were as follows: (a) patients of any sex or age diagnosed with knee OA; (b) patients with any OA grade; (c) complaints of substantial knee pain and loss of function; (d) ineffectiveness of conservative treatments including rehabilitation, medication, and intra-articular injection of hyaluronic acid or steroids; and (e) written informed consent. The exclusion criteria were as follows: (a) severe bony defects on preoperative radiographs; (b) previous knee injury requiring operation; (c) active or previous knee joint infection; and (d) history of serious, related conditions, including systemic inflammatory diseases and vascular changes. The envelope method was used to prospectively quasi-randomize the patients to undergo treatment with different doses of intra-articular injection of SVF cells. Thirty patients (19 women and 11 men) received an intra-articular injection of  $2.5 \times 10^7$  SVF cells (low-dose group), and 30 patients (24 women and 6 men) received an intra-articular injection of  $5.0 \times 10^7$  SVF cells (high-dose group). After the treatment, the patients were asked to perform daily home exercises by themselves according to a



**Figure 1.** Schema of treatment procedures.

**Table 1.** Stromal Vascular Fraction cell Characteristics; Number of Purified SVF Cells and SVF Cell Viability.

Characteristics	Low-dose group	High-dose group	P value
Volume of liposuction; ml	327.3 ± 51.2	352.8 ± 29.3	0.02*
Number of purified SVF cells	4.2 ± 1.8 × 10 <sup>7</sup>	8.5 ± 3.1 × 10 <sup>7</sup>	<0.01*
SVF cell viability; %	90.0 ± 2.5	91.3 ± 3.1	0.08

SVF, Stromal vascular fraction.

Mean value ± Standard deviation.

\*Statistically significant.

standardized rehabilitation protocol, in addition to rehabilitation with a physical therapist.

### Treatment Procedures (Fig. 1)

Treatment procedures were performed in the same way as in a previous study<sup>23</sup>. SVF cells were extracted from the patient's abdominal or breech subcutaneous fat using the Celution® 800/CRS system (Cytori Therapeutics Inc., San Diego, CA, USA). This system consists of two parts: one for tissue washing and digestion and one for cell concentration. All patients underwent a liposuction procedure under general anesthesia to obtain 290–440 mL of adipose tissue. The extracted tissue was processed using the Celution® 800/CRS System according to the manufacturer's instructions. Briefly, the tissue was washed to remove blood and debris, and Celase® GMP, a mixture of highly purified collagenase and neutral protease enzyme, was then added. The tissue was incubated at 37°C for 20 minutes with continuous mixing to assist in digestion. After digestion of the tissue, SVF cells were concentrated by centrifugation and washed to remove

the Celase® reagent. The SVF cells were then extracted and counted to prepare the specified dose in 5 mL of lactated Ringer's solution. The entire procedure was performed aseptically using clinical-grade solutions, such as saline and lactated Ringer's solutions and the single-use Celution™ consumable sets. The SVF cell count and viability calculation were performed at each investigational site using the NC-100™ NucleoCounter® Automated Cell Counting System (Chemometec, Allerod, Denmark).

The mean volume of liposuction, number of purified SVF cells, and viability of SVF cells are listed in Table 1. The intra-articular injection of  $2.5 \times 10^7$  or  $5.0 \times 10^7$  SVF cells to each patient was administered depending on the number of purified SVF cells. SVF cells in each group were dissolved in 5 mL of lactated Ringer's solution. The intra-articular injection was performed from the anterior medial side of the knee joint, with an echo-guided needle tip in the joint space (Fig. 1). Pain from the injection itself was not a problem. If the joint fluid level was excessive, aspiration was performed prior to cell transplantation. Cell transplantation into the knee joint was performed without anesthetic and under echo

guidance. Patients were required to rest for one hour after the injection at the clinic. The exercise was also restricted for one week following the injection.

### Clinical Evaluations and Scores

Clinical evaluation and scoring were performed at 1, 3, 6, and 12 months after treatment with SVF cells. Clinical evaluations included knee range of motion and muscle force of knee extension and flexion using a hand-held dynamometer. Clinical scores included the visual analog scale (VAS) for pain (0-100) and KOOS. To measure the muscle force of knee extension and flexion, patients were in a prone position with their knees at 45° flexion. A hand-held dynamometer was placed at the center of the lower leg, and patients were instructed to bend the knee and hold for 3 seconds to measure hamstring strength and to straighten the knee and hold for 3 seconds to measure quadriceps strength. The examiner added resistance to maintain the knee at 45° and measured the displayed value as muscle strength. These tests were performed three times, and the average value was recorded. Clinical evaluations were performed in a blinded manner by an independent, experienced physiotherapist.

### Imaging Evaluations

Imaging evaluations included the hip-knee-ankle (HKA) angle assessed via radiography<sup>26</sup> and the MOAKS using a 1.5-T MRI unit (Sigma Excite HDx; GE Healthcare, Waukesha, WI, USA). MOAKS is a semi-quantitative tool<sup>27</sup>; therefore, changes in MRI features are an important tool for monitoring knee OA<sup>28</sup>. The HKA angle was assessed at 1, 3, 6, and 12 months after treatment with SVF cells. The main MOAKS features (bone marrow lesions (BMLs), cartilage defects, osteophytes, Hoffa's synovitis, and effusion synovitis) were assessed at 12 months after treatment with SVF cells. Scores of each evaluation item at 12 months postoperatively were compared with those at baseline and classified into three categories: improvement, no change, and progression. BMLs, cartilage defects, and osteophytes were evaluated in each of the three anatomical areas: the medial tibiofemoral (TF) joint, lateral TF joint, and patellofemoral (PF) joint. MRI evaluations were mainly performed twice in a blinded manner by an independent orthopaedic surgeon (SS) and performed once by another orthopaedic surgeon ([TM]1) with 15 years of experience analyzing MRI features of knee OA. The intra-rater and inter-rater reliabilities (Cohen's Kappa) for reading baseline prevalence of the BMLs, cartilage, osteophytes, Hoffa's synovitis, and effusion synovitis were calculated (Table S1). These intra-rater and inter-rater reliabilities were substantial agreement (0.61–0.80) or almost perfect agreement (0.81–1.0)<sup>29</sup>.

### Statistical Analysis

All values are expressed as mean  $\pm$  standard deviation, and error bars in the figure of clinical evaluation results show standard errors. Data analyses were performed using IBM SPSS statistical software (version 21; IBM Corp., Armonk, NY, USA). The Shapiro-Wilk test was used to analyze normally distributed data. Clinical evaluations and the HKA angle were compared among the five time periods using repeated measures analysis of variance in the low-dose or high-dose group and between the two groups using an unpaired t-test. Furthermore, we evaluated the main MOAKS features (BMLs, cartilage defects, osteophytes, Hoffa's synovitis, and effusion synovitis) preoperatively and at 12 months postoperatively and investigated the number of patients who showed improvement, no change, and progression in each group using Pearson's chi-square test.  $P < 0.05$  was considered statistically significant. A statistical power analysis using G\*Power 3 was performed before the study to compare the two groups with an unpaired t-test. This analysis indicated that a sample size of 26 was needed for a power of 0.8 based on a significance level of  $<0.05$  and an assumed effect size of 0.80<sup>30</sup>.

## Results

### Demographic Data and Adverse Events

No significant differences were found in the demographic data between the two groups (Table 2). Neither death nor life-threatening adverse events were observed during the 12-month follow-up after cell therapy in either group. There were no moderate adverse events such as infections during follow-up either. However, mild adverse events such as swelling and pain of the knee were observed in 10.0% and 6.7% of the low-dose and high-dose groups, respectively. Symptoms disappeared within 3 days in all cases.

### Clinical Evaluations

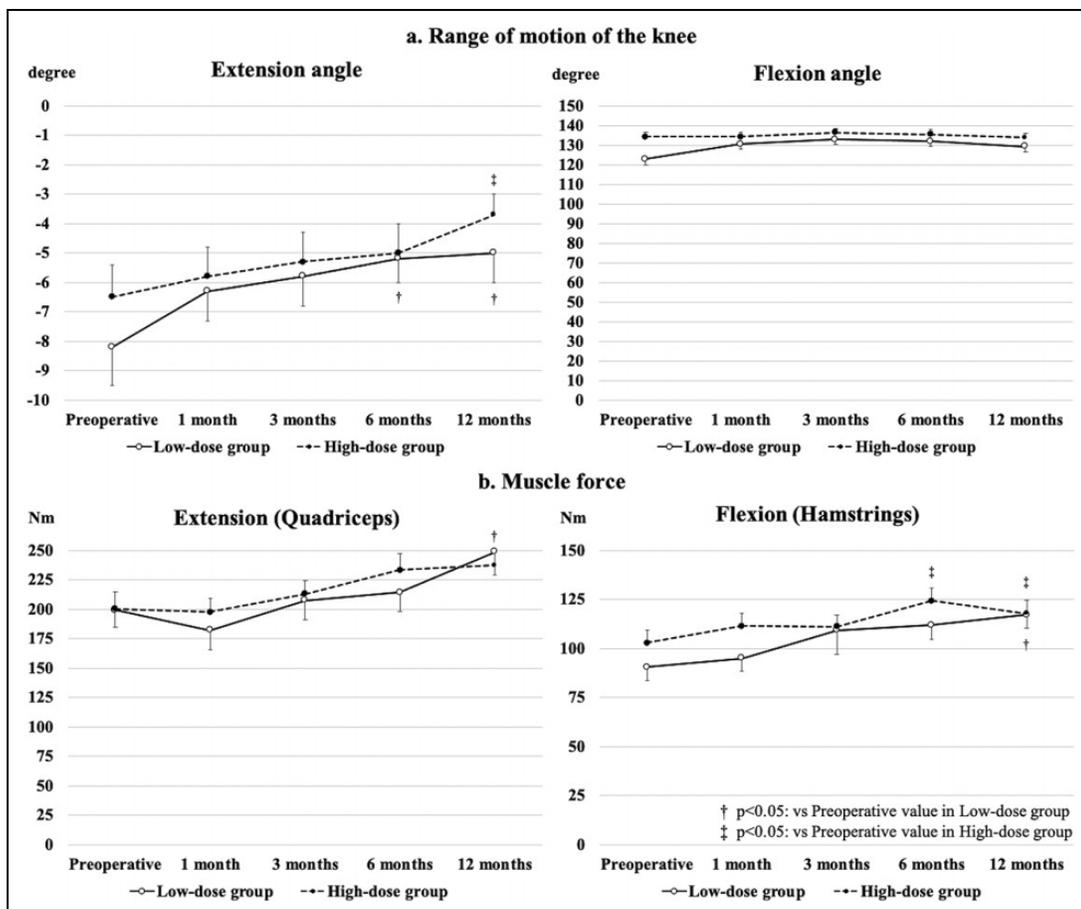
The improvements in the mean extension angle from baseline to 6 and 12 months in the low-dose group and from baseline to 12 months in the high-dose group were statistically significant. The mean flexion angle did not significantly improve from baseline in either group (Fig. 2a). The mean muscle force of knee extension was significantly higher at 12 months postoperatively than preoperatively in the low-dose group. The improvements in the mean muscle force of knee flexion from baseline to 6 months in the low-dose group and from baseline to 6 and 12 months in the high-dose group were statistically significant (Fig. 2b). However, there was no significant difference in knee range of motion and muscle force of knee between the two groups at any time point (Fig. 2).

The mean VAS scores from baseline to 12 months postoperatively were significantly improved in both groups, without a significant difference between the groups (Fig. 3).

**Table 2.** Patient Characteristics.

Characteristics		Low-dose group	High-dose group	P value
Sex (M/F); n (%)		19/11 (63%/37%)	24/6 (80%/20%)	0.15
Age; yrs		69.0 ± 8.3	70.7 ± 5.3	0.14
Body mass index; kg/m <sup>2</sup>		24.9 ± 3.2	25.8 ± 2.6	0.24
Duration of follow-up; months		16.4 ± 3.8	15.3 ± 2.3	0.18
Hip-knee-ankle angle at baseline; degree		6.5 ± 7.4	9.1 ± 5.9	0.14
Knee extension angle; degree		-8.2 ± 7.1	-6.5 ± 6.0	0.33
Knee flexion angle; degree		127.7 ± 16.0	134.3 ± 11.7	0.07
Kellgren-Lawrence classification; n (%)	I	0 (0%)	0 (0%)	0.70
	II	4 (13.3%)	5 (16.7%)	
	III	15 (50.0%)	17 (56.6%)	
	IV	11 (36.7%)	8 (26.7%)	

Mean value ± Standard deviation



**Figure 2.** Clinical evaluation results of range of motion and muscle force.

A significant improvement in the total scores of KOOS from baseline to 12 months postoperatively was found in the high-dose group (Fig. 4a). There was a significant difference in the pain subscale scores from baseline to 12 months postoperatively in both groups, and the score at 12 months postoperatively in the high-dose group was significantly better than that in the low-dose group (Fig. 4b). The symptoms

subscale score from baseline to 12 months postoperatively was significantly improved in the high-dose group, and it was also significantly better in the high-dose group than in the low-dose group (Fig. 4c). In addition, the activity of life subscale and quality of life subscale scores from baseline to 12 months postoperatively were significantly improved in the high-dose group (Fig. 4d and f).

## Imaging Evaluations

There was no significant improvement in the HKA angle from baseline to any time point in either group, and there was no significant difference in the HKA angle between the two groups at any time point (Table 3). The improvement rate of BMLs in the medial TF joint from baseline to 12 months postoperatively was 30.0% in the low-dose group and 33.3% in the high-dose group (Fig. 5a and 6a and Tables S2a and S3a). The improvement rates of cartilage defects in both the medial and lateral TF joints from baseline to 12 months postoperatively were 13.3% in the low-dose group and 16.7% in the high-dose group (Fig. 5b and 6b and

Tables S2b and S3b). No patients showed improvement in the osteophytes subscale from baseline to 12 months (Fig. 5c and Tables S2c and S3c). The improvement rates of Hoffa's synovitis from baseline to 12 months postoperatively were 36.7% in the low-dose group and 43.3% in the high-dose group (Fig. 5d and 6c and Tables S2d and S3d). Furthermore, the improvement rates of effusion synovitis from baseline to 12 months postoperatively were 30.0% in the low-dose group and 40.0% in the high-dose group (Fig. 5d and 6c Tables S2d and S3d). There was no significant difference in all imaging evaluations between the two groups.

## Discussion

Clinical and radiographic results of the patients in this study improved from baseline to 12 months postoperatively in both the low-dose and high-dose groups. There was no significant difference in imaging evaluations between the two groups, but clinical evaluations, especially KOOS, were better in the high-dose group. The findings partially support our hypothesis.

Favorable clinical outcomes of ADSC cell therapy for knee OA have been reported<sup>10,31,32</sup>. ADSCs have properties similar to those of BMSCs but require several weeks to isolate, culture, and amplify in specialized laboratories. In contrast, SVF cells are not cultured and can be harvested, prepared, and re-injected in a single procedure. Similar to BMSCs, SVF cells include cells with multilineage potential, can be easily isolated in large quantities from autologous adipose tissues, and can be used without culturing<sup>18,19</sup>. Several studies have reported the use of autologous SVF cells

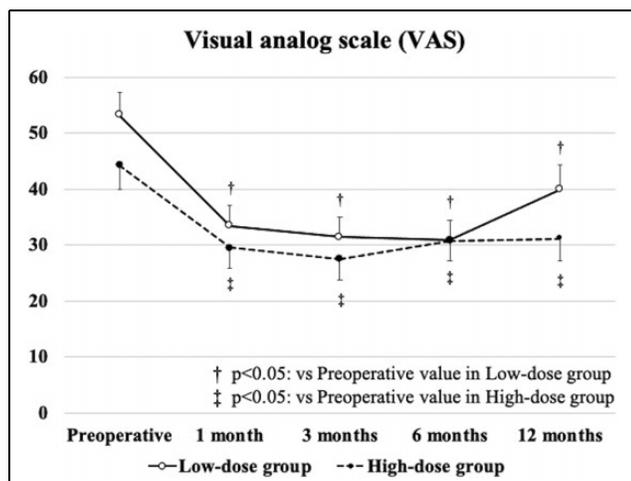


Figure 3. Results of visual analog scale for pain.

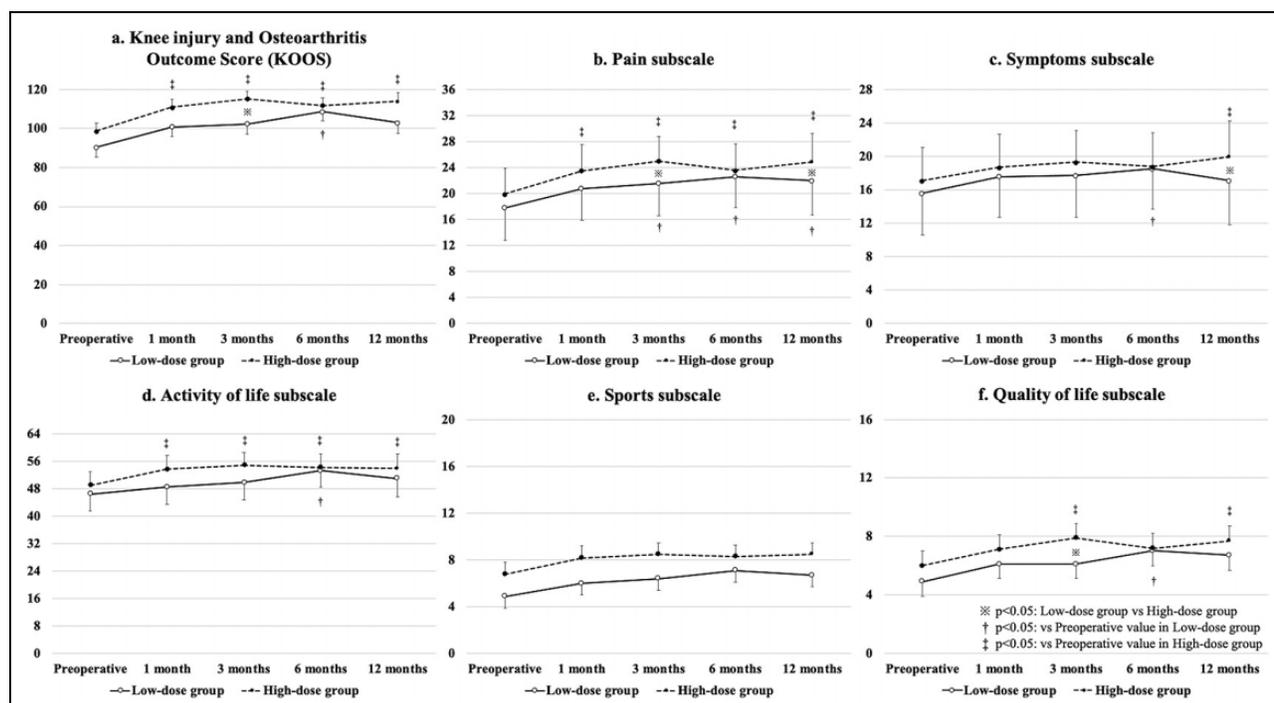
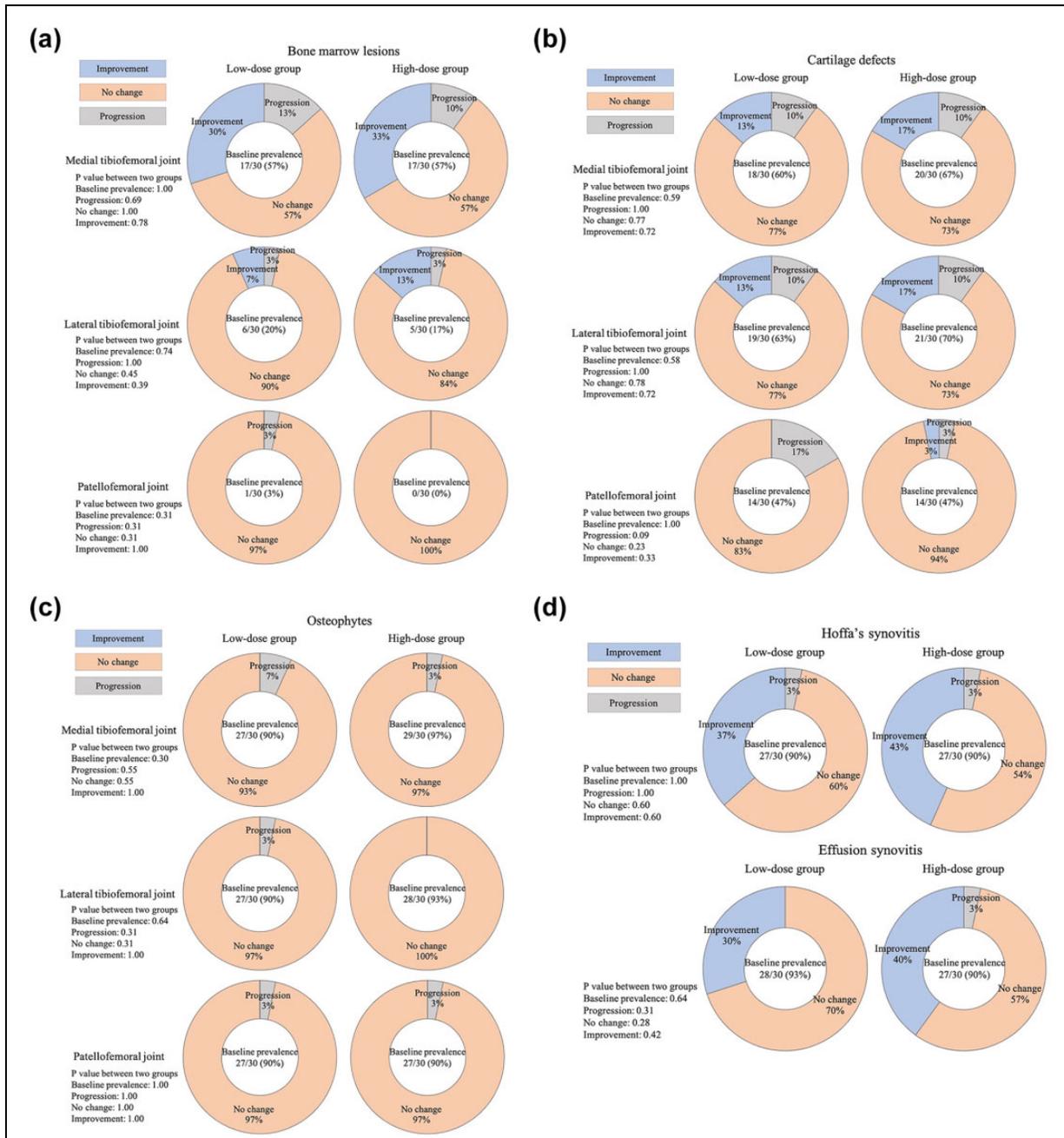


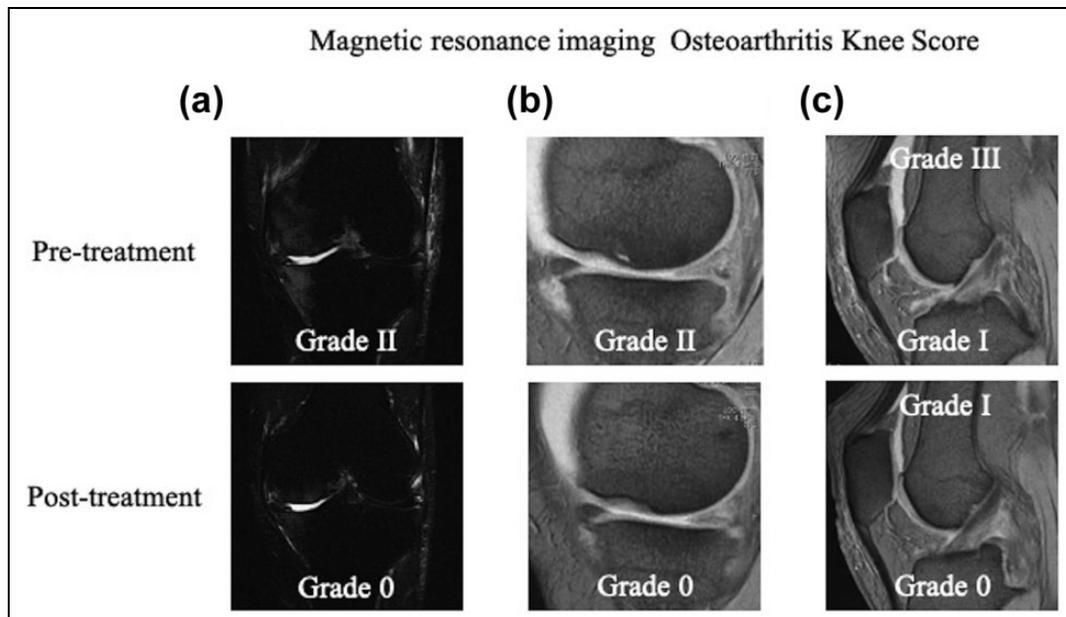
Figure 4. Results of Knee injury and Osteoarthritis Outcome Score.

**Table 3.** Imaging Evaluation Results of Hip-Knee-Ankle Angle.

	Hip-knee-ankle angle				
	Low-dose group	P value	High-dose group	P value	P value between two groups
Preoperative	6.5 ± 7.4		9.1 ± 5.9		0.14
1 month	6.8 ± 6.8	0.86	8.6 ± 5.7	0.71	0.28
3 months	6.7 ± 7.0	0.89	9.0 ± 5.6	0.97	0.16
6 months	6.6 ± 7.1	0.95	8.8 ± 5.3	0.86	0.17
12 months	6.8 ± 7.2	0.86	9.5 ± 5.5	0.77	0.10



**Figure 5.** (a) Imaging evaluation results of bone marrow lesions by magnetic resonance imaging Osteoarthritis Knee Score system. (b) Imaging evaluation results of cartilage defects by magnetic resonance imaging Osteoarthritis Knee Score system. (c) Imaging evaluation results of osteophytes by magnetic resonance imaging Osteoarthritis Knee Score system. (d) Imaging evaluation results of Hoffa's synovitis and effusion synovitis by magnetic resonance imaging Osteoarthritis Knee Score system.



**Figure 6.** Representative cases of imaging evaluations. (a) Bone marrow lesion improved from Grade II to 0 before and after treatment. (b) Cartilage defects improved from Grade II to Grade 0 before and after treatment. (c) Hoffa's synovitis improved from Grade I to 0 before and after treatment, and effusion synovitis also improved from Grade III to I before and after treatment.

alone for the treatment of knee OA<sup>23,33,34</sup>. For example, Michialek et al. showed that the intra-articular injection of SVF cells was a safe and clinically effective strategy for improving the quality of life; however, detailed clinical evaluations were not conducted in their clinical trial<sup>33</sup>. Fodor et al. found that autologous SVF cells were safe and were a new potential treatment to reduce pain in patients with knee OA; however, their sample size was small<sup>34</sup>. In our previous study, we found that the short-term clinical effects of intra-articular injection of  $2.5 \times 10^7$  SVF cells on knee OA were excellent, and our study had an effective sample size. However, the effects of different doses of SVF cells were not examined<sup>23</sup>. Furthermore, promising results from several studies of intra-articular injections of SVF cells alone for knee OA were demonstrated, but their average doses varied from  $1.4 \times 10^7$  to  $5.0 \times 10^7$  cells<sup>24</sup>. Thus, we compared the short-term clinical and radiographic outcomes of different doses of intra-articular injections of SVF cells used to treat knee OA in a single institution.

Recently, new therapies other than ADSCs and SVF cells have become widespread as potential treatment options for knee OA. Anz et al. reported that WOMAC scores improved from 35.3 to 19.4 points 12 months after administration of autologous bone marrow aspirate concentrate for knee OA<sup>35</sup>. Bansal et al. showed that administration of autologous platelet-rich plasma for knee OA significantly improved WOMAC scores during 12 months of follow-ups<sup>36</sup>. Additionally, Kon et al. found that WOMAC pain scores improved from 11.5 to 4.3 points, and the VAS score improved from 55 to 26 points after 1 year of administration of an autologous protein solution for knee OA<sup>37</sup>. However, presently, these new therapies cannot

be compared with SVF cell therapy. Therefore, further studies need to be performed.

The mean extension angle from baseline to 12 months in both groups was significantly improved as the muscle force of knee extension gradually improved postoperatively. However, the mean flexion angle did not significantly improve from baseline in either group. The mean total VAS scores at 1, 3, 6, and 12 months postoperatively were significantly better than the preoperative VAS score. Notably, Luc-Harkey et al. reported that greater quadriceps and hamstring muscle strength was associated with less pain<sup>38</sup>. Therefore, the improvement in knee pain may influence extension muscle strength more than flexion muscle strength.

In this study, the significant improvement in the total scores of KOOS from baseline to 12 months postoperatively was found in the high-dose group. The pain subscale, symptoms subscale, activity of life subscale, and quality of life scores from baseline to 12 months postoperatively were also significantly improved in the high-dose group. Meanwhile, these scores were significantly improved from baseline to 6 months postoperatively in the low-dose group, but these improvements were decreased from 6 to 12 months, indicating the possibility to re-inject SVF cells into the knee joint from 6 to 12 months around the first intra-articular injection in the low-dose group. Notably, Minonzio et al. reported that freeze adipose-derived SVF cells maintained their growth and differential potential<sup>39</sup>; Kaita et al. also showed that frozen SVF cells comprised a heterogeneous cell population, including stem cells and leukocytes, and expressed high levels of mesenchymal stem cell markers, similar to fresh SVF cells<sup>40</sup>. These results

indicate that treatment options for knee OA can include administration of initial doses of  $2.5 \times 10^7$  SVF cells and cryopreservation of the remaining cells for subsequent re-injection. Further studies are needed to investigate whether it is better to inject single high-dose SVF cells or two low-dose SVF cells with an interval.

In comparing the low-dose and high-dose groups, the pain and symptom subscale scores in KOOS of the high-dose group were significantly better than those of the low-dose group at 12 months postoperatively. SVF cells are a heterogeneous cell population containing a variety of regenerative cells, including cells with stem cell elements in addition to ADSCs, which may have synergistic effects with ADSCs<sup>15–17</sup>. In addition, SVF cells contain a significant proportion of cells involved in the immunoregulatory function and cells of hematopoietic origin involved in vascular remodeling<sup>41</sup>. Macrophages present in rodent adipose tissue constitute 20% of SVF cells, 70% of which are positive for the anti-inflammatory M2 macrophage marker CD301<sup>42,43</sup>. This anti-inflammatory effect of M2 macrophages was thought to improve pain and symptom subscale scores. Furthermore, Jo et al. reported that treatment of knee OA using the injection of ADSCs was also dose-dependent<sup>9</sup>. The dose-dependent effect of SVF cells on knee OA in the current study was thought to be due to the enhanced anti-inflammatory effect of heterogeneous SVF cells by increasing the dose of SVF cells, the dose-dependent effect of ADSCs, and the more substantial synergistic effect of SVF cells and ADSCs. As a result, the high-dose group's pain and symptom subscale scores were thought to be significantly better than those of the low-dose group at 12 months postoperatively.

A larger baseline BML size is associated with greater baseline knee pain and structural damage as well as disease progression, and baseline BML size may be particularly important when assessing the associations between changes in BML size and disease progression<sup>44,45</sup>. In the present study, approximately 30% of BMLs located in the medial TF joint improved at 12 months postoperatively compared to baseline in both the low-dose and high-dose groups. Interestingly, mediating cytokines and their signaling pathways are upregulated in OA joints and most often have catabolic effects; these cytokines include interleukin-1 beta and tumor necrosis factor-alpha, and their levels are elevated in the synovial fluid, synovium, cartilage, and subchondral bone of OA patients. The synergistic effects of these cytokines on signaling pathways result in an increase in inflammation and cartilage degradation during the OA process<sup>46</sup>. Approximately 30–40% of Hoffa's synovitis and effusion synovitis improved at 12 months postoperatively compared to baseline in both the low-dose and high-dose groups in this study. As mentioned above, SVF cells obtained from adipose tissue contain a large number of M2 macrophages<sup>42,43</sup>. The anti-inflammatory effect of M2 macrophages is thought to contribute to the improvement of BMLs, Hoffa's synovitis, and effusion synovitis in knee OA, thereby resulting in postoperative functional and pain improvements.

On the other hand, the cartilage defect's improvement rate was lower than the improvement rate of BMLs, Hoffa's synovitis, and effusion synovitis. This fact suggests that SVF cells may have had little effect on structural support. You et al. previously reported that intracavernous injection of SVF cells might enhance corpus cavernosum smooth muscle cells and enable structural support<sup>21</sup>. The difference between these results could be attributed to that SVF cells were injected directly into the subject in the previous report, whereas in our study, SVF cells were injected indirectly into the knee joint if the cartilage defects were set as the subject. The scaffold may be required when treating cartilage defects, primarily with SVF cells<sup>47</sup>.

The patients followed a standardized rehabilitation protocol after the procedure that required them to perform daily exercises at home by themselves in addition to being treated by a physical therapist. Notably, Sun et al. demonstrated that moderate physical exercise decreased the risk of severe osteoarthritis of the knee, and exercise had a protective effect against cartilage degradation<sup>48</sup>; Hawker et al. also reported that an exercise program that combined endurance and strength training increased functional capacity and reduced pain in patients with osteoarthritis<sup>49</sup>. Indeed, rehabilitation has been recommended in addition to regenerative medicine<sup>50</sup>. Therefore, rehabilitation might have contributed to the improvement in the clinical score and the effect of SVF cell treatment in the current study.

The present study has some limitations. First, this study only compared two groups and did not include a control group that underwent other intra-articular interventions. Furthermore, the estimated sample size was calculated with a large effect size. The association between SVF cells and other intra-articular interventions should be investigated in future studies with a larger sample size. Second, the clinical and imaging evaluations were only performed preoperatively and at 1, 3, 6, and 12 months after the intra-articular injection of SVF cells. A long-term investigation of the clinical and structural changes is warranted. Third, some clinical evaluations are subjective data, and MOAKS is also a semi-quantitative tool. Objective data should be used to evaluate clinical outcomes and imaging evaluation in future research. On the other hand, it is also true that there is currently no objective quantitative evaluation method for image analysis in knee OA. In recent years, several studies have attempted to evaluate knee cartilage quantitatively. Schaefer et al. demonstrated and validated a novel modified version of the LocalArea Cartilage Segmentation method to provide quantitative measurements of cartilage volume on 2D MRI images<sup>51</sup>. Wei et al. also concluded that the quantitative susceptibility mapping allows in vivo imaging and quantification of the magnetic susceptibility of knee OA has good scan-rescan reproducibility<sup>52</sup>. In addition, Hou et al. developed a new software-based automatic cartilage segmentation method, investigated its reproducibility, and attempted to evaluate cartilage volume by MRI in knee

OA quantitatively<sup>53</sup>. However, there is still no system to assess items such as BMLs and synovitis quantitatively, so it is desirable to establish an objective system to evaluate knee OA comprehensively. Fourth, we did not evaluate the relationship between clinical and imaging results. Fifth, the minimum dose required for a sufficient effect was not examined, and this study focused on patients who underwent a single injection of SVF cells. In order to determine the optimal treatment for OA, multiple injections and a single injection with different numbers of SVF cells should be evaluated in these patients.

In conclusion, the extension angle, flexion muscle force, VAS, and pain subscale score of KOOS from baseline to 12 months postoperatively with knee OA were well improved in both the low-dose and high-dose groups of SVF cell injections, with better pain and symptoms subscale scores in KOOS in the high-dose group. Our findings suggest that intra-articular injection of SVF cells into the knee joint can be considered an innovative approach to treat patients with knee OA.

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### Availability of Data and Materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

All authors have made substantial contributions to (1) the conception and design of the study, acquisition of data, or analysis and interpretation of data; (2) drafting the article or revising it critically for important intellectual content; and (3) final approval of the version to be submitted.

The specific contributions of the authors are as follows:

1. Conception and design of the study: [TM]1, SS, and RK.
2. Analysis and interpretation of the data: all authors; Drafting of the article: [TM]2, HI, and MT.
3. Final approval: all authors.

### Ethical Approval

The treatment using adipose-derived SVF cells for knee OA was approved by the Independent administrative institution advanced medical promotion organization specified regenerative medicine committee Nagoya under review number 145. Ethical approval to report this study was obtained from the Ethics Committee of Sobajima Clinic (identification number: SC002-1 M, 2 M) and Kobe University Graduate School of Medicine (identification number: 170181).

### Statement of Human and Animal Rights

All procedures in this study were conducted in accordance with the the Ethics Committee of Sobajima Clinic (identification number: SC002-1 M, 2 M) and Kobe University Graduate School of Medicine (identification number: 170181) approved protocols.

### Statement of Informed Consent

Verbal informed consent was obtained from the patient(s) for their anonymized information to be published in this article.

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### Supplemental Material

Supplemental material for this article is available online.

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