

Properties of Mitotic and Meiotic Recombination in the Tandemly-Repeated *CUP1* Gene Cluster in the Yeast *Saccharomyces cerevisiae*

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ABSTRACT In the yeast *Saccharomyces cerevisiae*, the genes encoding the metallothionein protein *Cup1* are located in a tandem array on chromosome VIII. Using a diploid strain that is heterozygous for an insertion of a selectable marker (*URA3*) within this tandem array, and heterozygous for markers flanking the array, we measured interhomolog recombination and intra/sister chromatid exchange in the *CUP1* locus. The rate of intra/sister chromatid recombination exceeded the rate of interhomolog recombination by >10-fold. Loss of the *Rad51* and *Rad52* proteins, required for most interhomolog recombination, led to a relatively small reduction of recombination in the *CUP1* array. Although interhomolog mitotic recombination in the *CUP1* locus is elevated relative to the average genomic region, we found that interhomolog meiotic recombination in the array is reduced compared to most regions. Lastly, we showed that high levels of copper (previously shown to elevate *CUP1* transcription) lead to a substantial elevation in rate of both interhomolog and intra/sister chromatid recombination in the *CUP1* array; recombination events that delete the *URA3* insertion from the *CUP1* array occur at a rate of >10⁻³/division in unselected cells. This rate is almost three orders of magnitude higher than observed for mitotic recombination events involving single-copy genes. In summary, our study shows that some of the basic properties of recombination differ considerably between single-copy and tandemly-repeated genes.

KEYWORDS mitotic recombination; meiotic recombination; sister chromatid crossovers; repeated genes

HOMOLOGOUS recombination (HR) is an important mechanism for the repair of double-stranded DNA breaks (DSBs) in yeast (Symington *et al.* 2014) and in higher eukaryotes (Liang *et al.* 1998). *Saccharomyces cerevisiae* strains that lack HR are very sensitive to DNA-damaging agents such as X-rays (Resnick and Martin 1976), and have a high rate of spontaneous chromosome loss (Song and Petes 2012). HR is essential for the survival of mammalian cells (Helleday 2003).

Despite the importance of HR in the repair of spontaneous and induced DNA damage, there are many details concerning

mitotic recombination that are still unclear. One issue is the timing of the DNA lesions that induce spontaneous mitotic recombination events. Using genetic systems that monitor interhomolog recombination, we (Lee *et al.* 2009; St. Charles and Petes 2013) and others (Esposito 1978) concluded that most recombination events are initiated in G₁ of the cell cycle. This conclusion was unexpected since *Rad52p* foci (indicative of DNA damage) are much more common in the S-period and G₂ than in G₁ (Lisby *et al.* 2001). In addition, repair of DSBs by HR is inefficient in G₁ (Aylon *et al.* 2004). A simple model consistent with all of these observations is that most DSBs occur during the S-period, but these DSBs are repaired by sister chromatid recombination rather than interhomolog recombination (Kadyk and Hartwell 1992; Lee *et al.* 2009). DSBs that occur in G₁ are likely not repaired in G₁, but the broken chromosome is replicated to produce two sister chromatids that are broken at the same position. Since the DSBs are at the same position, the sister chromatid cannot be used as a repair template,

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and the breaks are repaired using the intact homolog (Lee *et al.* 2009).

One set of experiments with data supporting the model described above was performed by Kadyk and Hartwell (1992). Since sister chromatids have identical sequences, it has been challenging to determine the frequency of sister chromatid recombination by genetic approaches. Kadyk and Hartwell (1992) developed a diploid yeast strain in which both interhomolog and intersister recombination could be monitored. Interhomolog recombination was monitored by measuring the frequency of gene conversion between *leu1* heteroalleles. Sister chromatid exchange was examined using two incomplete copies of the *ADE3* gene separated by a wild-type *URA3* gene, a method similar to that developed by Fasullo and Davis (1987). One copy of the gene was truncated at the 5'-end and the other at the 3'-end. The two copies shared ~300 bp of homology, and unequal sister chromatid recombination within this duplication could produce an Ade⁺ derivative. Thus, in this strain, the rates of Leu⁺ and Ade⁺ derivatives reflected the rates of interhomolog and intersister chromatid events, respectively.

Kadyk and Hartwell (1992) examined recombination induced by X-rays in G₁- and G₂-synchronized cells. Consistent with previous studies by others (Fabre *et al.* 1984), they observed that interhomolog recombination was efficiently induced in G₁ cells, but not in G₂ cells. In contrast, sister chromatid exchange was induced more efficiently in G₂ than in G₁. Their interpretation of this result was that DSBs produced by irradiation in G₂ were preferentially repaired by sister chromatid recombination, resulting in a decrease in the frequency of interhomolog recombination. They estimated that >90% of the DSBs induced by X-rays in G₂ were repaired by sister chromatid exchange.

A variety of other systems for the analysis of sister chromatid mitotic recombination have been developed (Szostak and Wu 1980; Jackson and Fink 1981; Arbel *et al.* 1999; Gonzalez-Barrera *et al.* 2003; Mozlin *et al.* 2008). Most of these assays have been done in haploid strains or have utilized plasmids, preventing a direct comparison of intersister and interhomolog events. In addition, in studies done in diploid strains, intersister and interhomolog recombination were assayed using different genes located at different positions in the genome. Below, we describe a diploid yeast strain in which both interhomolog and intersister chromatid recombination within the *CUP1* locus can be monitored.

Most strains of *S. cerevisiae* have between 2 and 20 tandem copies of *CUP1*, a gene encoding a copper-binding metallothionein, located on chromosome VIII (Zhao *et al.* 2014; Strobe *et al.* 2015). The degree of resistance to copper is proportional to the number of *CUP1* genes in the tandem array (Fogel and Welch 1982). As will be discussed in detail below, unequal sister chromatid recombination events within tandem arrays can be monitored using single-copy genes inserted within the array (Petes 1980; Szostak and Wu 1980). In addition, interhomolog recombination between *CUP1* arrays can be measured by using selectable drug resistance markers

flanking the array. Thus, a direct comparison of intersister and interhomolog events can be made.

We found that intersister recombination events are >10-fold more frequent than interhomolog events. Most of these intersister events do not reflect unequal sister chromatid recombination but likely occur by single-strand annealing (SSA), intersister gene conversion, or DNA polymerase slippage. We also found that, although *Rad51* and *Rad52* are required for most types of HR, the absence of these proteins has a relatively small effect on recombination within the *CUP1* array.

Transcription of the *CUP1* genes is induced by high levels of copper (Karin *et al.* 1984). We demonstrated that mitotic recombination within the *CUP1* array is strongly induced by transcription. We were also able to compare the properties of mitotic and meiotic recombination within the *CUP1* locus. In meiosis, unlike mitosis, recombination occurs less frequently than for an average genomic interval, and meiotic recombination is not elevated by high levels of copper.

Materials and Methods

Yeast medium

Standard media were used (Guthrie and Fink 1991) unless noted below. Copper-containing medium was made by adding an aqueous solution of copper sulfate to synthetic dextrose (SD)-complete medium. HYG + CAN medium contained 120 mg/liter L-canavanine sulfate (Can) and 300 mg/liter hygromycin B (Hyg) in SD-arginine omission medium. Before adding Hyg, the liquid medium was adjusted to a pH value of 5.5 by addition of sodium hydroxide. The medium was then filter-sterilized, and mixed with autoclave-sterilized agar. HYG and GEN medium was made by supplementing YPD medium with either 300 mg/liter Hyg or 200 mg/liter geneticin (Gen). CAN medium was made by adding 120 mg/liter Can to SD-arginine omission medium. 5-fluoroorotic acid (5-FOA)-containing medium was SD-complete (SD-complete) with the addition of 40 mg of uracil and 1 g of 5-FOA per liter.

Yeast strains and plasmids

The genotypes and additional details of the construction of all strains used in this study are shown in Supplemental Material, Table S1 in File S1. All haploid strains are isogenic derivatives of strains S1/S288c (Engel *et al.* 2014), W303-1A (Thomas and Rothstein 1989), or YJM789 (Wei *et al.* 2007). A complete list of primers used in strain construction or PCR analysis is provided in Table S2 in File S1. Plasmids used in our work are listed in Table S3 in File S1. The plasmids pFA6a-kanMX4 and pAG25 were described in Wach *et al.* (1994) and Goldstein and McCusker (1999), respectively. Haploid strains with inserted genetic markers and various gene deletions were constructed by transformation. All insertions and deletions were confirmed by PCR. In addition, the *rad52Δ::natMX4* strains YZ43, YZ44, and YZ113, and the *rad1Δ::natMX4* strains YZ49, YZ50, and YZ116, were confirmed by their sensitivity to 50 J/m² of UV radiation. The *cup2Δ::natMX4* strains YZ51,

YZ52, and YZ117 were confirmed by their sensitivity to growth in medium containing 0.2 mM copper sulfate.

The strain YZ18 was constructed by integrating the *URA3* marker into one of the *CUP1* repeats in the strain YZ15. Genomic DNA from the wild-type strain S1 was amplified using primers VIII214177::*URA3* F and VIII214177::*URA3* R. Genomic DNA of independent YZ18 isolates was isolated and digested with *HindIII*, which cuts once within the *URA3* marker, and in the regions flanking the *CUP1* cluster. In YZ18-10, the strain used in subsequent constructions, the *URA3* insertion was in the middle of the *CUP1* array that contained ~18 *CUP1* repeats.

Previously, we found that the *CUP1* clusters in strains YJM789 and JSC19-1 contained seven copies of the 1.2-kb *CUP1* repeats (Zhao *et al.* 2014). The strain YZ17 was derived by selecting JSC19-1 derivatives with enhanced copper resistance; a concentration of 0.4 mM copper in SD-complete medium was used for this selection. Southern analysis verified that YZ17 consists of an expanded *CUP1* cluster, containing ~22 repeats.

The 2-micron-based plasmid p425-GPD-*CUP1* was constructed by amplifying genomic DNA of the strain S1 using the primers *HindIII*-*CUP1* stop ligate and *CUP1* start-*Bam*HI ligate. The resulting fragment was treated with *HindIII* and *Bam*HI, and inserted into *HindIII*-*Bam*HI-treated p426-GPD (ATCC 87359). In this plasmid, the *CUP1* gene is regulated by the strong constitutive *GPD* promoter (alternative name of the *TDH3* promoter) (Mumberg *et al.* 1995). This plasmid also contains a *LEU2* marker for the maintenance and selection of the plasmid.

The plasmid Yip5-*CUP1* has one *CUP1* repeat inserted in the *URA3*-containing Yip5-integrating vector (Stearns *et al.* 1990). The *CUP1* repeat was amplified from genomic DNA of W303-1A using the primers F-*CUP1*-*Eco*RI and R-*CUP1*-*HindIII*. The resulting fragment was treated with the *Eco*RI and *HindIII* restriction enzymes, and ligated to Yip5 DNA that had been treated with the same enzymes.

The haploid strain YZ26 was constructed by switching the mating type of the strain JSC10-1 (St. Charles and Petes 2013), a W303-1A derivative with the genotype *MATa leu2-3,112 his3-11,15 ura3-1 ade2-1 trp1-1 can1-100Δ::natMX RAD5*. The plasmid pSR109 (pGAL-*HO*) (Herskowitz and Jensen 1991) was transformed into JSC10-1 in omission medium lacking uracil and containing 2% raffinose and 0.1% glucose. *HO* expression was transiently induced by incubating cells for 5 hr in medium containing 2% galactose and 0.1% glucose. After this treatment, cells were plated on rich growth medium (YPD) and allowed to form colonies. The mating type of each colony was scored using “lawns” of tester strains (YJM789 and YJM790, Table S1 in File S1). Colonies were also tested on omission medium lacking uracil to confirm the loss of pSR109.

Measurements of recombination rates

The diploid strains YZ103 and YZ104, and related derivatives are sensitive to 5-FOA and Can, and resistant to the drugs Hyg and Gen. As described in the *Results*, we measured interhomolog recombination rates in the *CEN8-hphMX4*, *hphMX4-URA3*,

and *URA3-CAN1/kanMX4* genetic intervals by measuring the rate of derivatives that were 5-FOA^R Hyg^S Gen^S Can^R, 5-FOA^R Hyg^R Gen^S Can^R, and 5-FOA^S Hyg^R Gen^S Can^R, respectively. Derivatives resulting from intra/sister chromatid events have the phenotype 5-FOA^R Hyg^R Gen^R Can^S. To determine the rates of these events shown in Table 1, for each strain, we allowed cells to form single colonies at 30° on rich growth medium (YPD). Each colony was suspended in water, and various dilutions were plated on SD-complete, 5-FOA-containing medium, and HYG + CAN medium. Colonies formed on 5-FOA plates were replica-plated to medium containing Hyg, Gen, and Can, and the numbers of colonies on each plate with the phenotypes described above were counted. Colonies formed on HYG + CAN medium were replica-plated to medium lacking uracil. For each experiment, we calculated these numbers for ~20 independent colonies, and we performed experiments using two derivatives of each strain. For each strain, these values were converted to rate estimates using the method of the median (Lea and Coulson 1949).

To examine the effects of copper on mitotic recombination in strains YZ118 and YZ103 (Table 2), the experiments were done somewhat differently. YZ118 contains a plasmid with a *CUP1* gene regulated by the *GPD* promoter as well as a *LEU2* gene. Colonies were grown on plates containing SD-complete medium with 1.4 mM copper sulfate. These colonies were suspended in water and plated to three types of medium (all containing 0.2 mM copper sulfate): SD-complete, 5-FOA, and HYG + CAN medium. Rate estimates were performed as described above. Copper was included in the diagnostic media to force retention of the plasmid. For reasons that are not clear, the YZ118 strain fails to form colonies on medium lacking leucine and containing copper. For the control experiments in the absence of added copper, cells of YZ118 were grown on SD omission medium lacking leucine; subsequent analysis was done using the diagnostic plates with 0.2 mM copper sulfate. For the YZ103 strain, cells were allowed to form colonies on YPD medium or SD-complete medium with 1.4 mM copper sulfate. The media for diagnosis were the same as those used in the analysis of YZ118.

Although we expect that derivatives of YZ103 and YZ104 that have the phenotype 5-FOA^R Hyg^S Gen^S Can^R primarily reflect crossovers between *CEN8* and *hphMX4*, loss of the homolog that carries the *hphMX4*, *URA3*, and *CAN1/kanMX* markers could result in a strain with the same phenotype. In the diploid YZ104, which has 55,000 heterozygous single nucleotide polymorphisms (SNPs) (St. Charles and Petes 2013), loss of one homolog would result in loss of heterozygosity (LOH) for all polymorphisms on chromosome VIII. Consequently, in 20 independent 5-FOA^R Hyg^S Gen^S Can^R derivatives of YZ104, we checked for LOH for a polymorphism located within 3 kb of *CEN8*. Using primers VIII 108799-F and VIII 109229-R (Table S2 in File S1), we amplified genomic DNA from the 20 derivatives. The resulting 430 bp fragment from the YJM789-derived homolog has an *Ase*I site that the W303-1A-derived fragment lacks. Thus,

Table 1 Interhomolog and intra/sister chromatid mitotic recombination rates in wild-type and mutant yeast strains

Strain name	Relevant genotype (background)	<i>hphMX4-kanMX4</i>			
		<i>CEN8-hphMX4</i> interhomolog	<i>hphMX4-URA3</i> interhomolog	<i>URA3-kanMX4/CAN1</i> interhomolog	<i>CAN1</i> interhomolog ^a
YZ103	Wild-type (W303-1A × W303-1A)	8.2 × 10 ⁻⁶ (6.4–14) [1]	3.3 × 10 ⁻⁶ (3.0–3.8) [1]	5.1 × 10 ⁻⁶ (3.9–5.8) [1]	8.4 × 10 ⁻⁶ [1]
YZ104	Wild-type (W303-1A × YJM789)	4.6 × 10 ⁻⁶ (3.0–6.3) [0.56]	2.8 × 10 ⁻⁶ (2.3–3.1) [0.85]	3.2 × 10 ⁻⁶ (3.0–3.5) [0.62]	6 × 10 ⁻⁶ [0.71]
YZ113	<i>rad52/rad52</i> (W303-1A × W303-1A)	1.3 × 10 ⁻⁶ (0.9–1.9) [0.16]	1.3 × 10 ⁻⁷ (1.0–1.8) [0.04]	3.9 × 10 ⁻⁷ (3.0–4.9) [0.08]	5.2 × 10 ⁻⁷ [0.06]
YZ114	<i>rad51/rad51</i> (W303-1A × W303-1A)	4.5 × 10 ⁻⁸ (3.4–5.2) [0.01]	4.5 × 10 ⁻⁷ (3.2–6.4) [0.14]	1.0 × 10 ⁻⁶ (0.9–1.4) [0.20]	1.5 × 10 ⁻⁶ [0.18]
YZ115	<i>mre11/mre11</i> (W303-1A × W303-1A)	6.2 × 10 ⁻⁵ (5.7–7.4) [7.6]	2.4 × 10 ⁻⁵ (2.0–3.2) [7.3]	1.5 × 10 ⁻⁵ (1.2–1.9) [2.9]	3.9 × 10 ⁻⁵ [4.6]
YZ116	<i>rad1/rad1</i> (W303-1A × W303-1A)	1.8 × 10 ⁻⁵ (1.3–2.3) [2.2]	3.8 × 10 ⁻⁶ (3.4–4.1) [1.2]	4.0 × 10 ⁻⁶ (3.7–5.1) [0.78]	7.8 × 10 ⁻⁶ [0.93]
HB9	<i>rad5/rad5</i> (W303-1A × W303-1A)	5.3 × 10 ⁻⁵ (3.1–7.9) [6.5]	3.3 × 10 ⁻⁵ (2.6–3.8) [10]	2.8 × 10 ⁻⁵ (2.1–4.0) [5.5]	6.0 × 10 ⁻⁵ [7.1]
HB13	<i>mms2/mms2</i> (W303-1A × W303-1A)	1.8 × 10 ⁻⁵ (1.1–2.6) [2.2]	2.9 × 10 ⁻⁵ (2.2–3.3) [8.8]	1.8 × 10 ⁻⁵ (1.5–2.1) [3.5]	4.2 × 10 ⁻⁵ [5.0]

The rates represent the number of events per cell division as determined by fluctuation analysis, as described in the text. Numbers in parentheses represent 95% C.I. and bold-faced numbers in square brackets are the rates divided by the rate of the wild-type strain YZ103.

^aThe rates in this column are the sum of the rates in the fourth and fifth columns, and are the rates of interhomolog recombination within the entire *CUP1* array.

when the PCR products from diploids heterozygous for this polymorphism were treated with *AseI*, we found three fragments of sizes 430, 260, and 170 bp. In strains in which the W303-1A-derived homolog was lost, we found only the 260 and 170 bp fragments. Of the 20 isolates, 18 retained heterozygosity for the centromere-linked polymorphism, demonstrating that most 5-FOA^R Hyg^S Gen^S Can^R derivatives are a consequence of crossovers rather than chromosome loss.

We also examined 5-FOA^R Hyg^S Gen^S Can^R derivatives of YZ113 to determine whether these strains were monosomic. Since both homologs of YZ113 were derived from W303-1A, we could not use the PCR-based diagnosis of LOH described above. We labeled genomic DNA from the experimental derivatives with Cy5-dUTP and DNA from a control strain (YZ104) that was generated in a cross of W303-1A and YJM789 with Cy3-dUTP. These samples are mixed together and hybridized to a microarray that contains W303-1A- and YJM789-specific SNPs. By comparing the amounts of hybridization of the experimental strain relative to the control strain, we determined whether the experimental strain was monosomic for chromosome VIII. Details of this type of analysis are described in St. Charles and Petes (2013). The sequences of the oligonucleotides in the microarray are on the Gene Expression Omnibus Website (<https://www.ncbi.nlm.nih.gov/geo/>) under the address GPL20944.

Southern analysis

Genomic DNA from different strains was isolated in plugs of low-melt agarose as described previously (McCulley and Petes 2010). The samples were treated overnight at 37° with a diagnostic restriction enzyme (*HindIII* or *EcoRI*). The resulting DNA fragments were separated by CHEF (contour-clamped homogeneous electric field) gel electrophoresis (McCulley and Petes 2010), followed by transfer of the separated fragments to nylon membranes. The hybridization probes were prepared using digoxigenin (DIG)-dUTP labeling (Roche); details of the hybridization conditions were described previously (Zhao *et al.* 2014). DIG-labeled *CUP1* probes that hybridize to both 2- and 1.2-kb *CUP1* repeats were synthesized by DIG-PCR labeling using primers *CUP1* amp5 and *CUP1* amp3 (Table S2 in File S1). Probes that hybridize specifically to the 2-kb *CUP1* repeats were DIG-labeled using primers *CUP1* W303 spec amp5 and *CUP1* W303 spec amp3 (Table S2 in File S1). The sizes of the tandem arrays were estimated relative to DNA size standard (Biolone DNA Hyperladders I and VI).

We examined transformants with the plasmid YIp5-*CUP1* by similar methods. The purpose of these experiments was to determine whether the YIp5-*CUP1* plasmid was maintained extrachromosomally or was integrated into the *CUP1* array. Genomic DNA from the transformants was treated with *EcoRI*, which cuts within the YIp5-*CUP1* plasmid but not within the *CUP1* repeats. The samples were analyzed using CHEF gels as described above. We generated *CUP1*-specific probes using the primers *CUP1* amp5* and *CUP1* amp3*; probes specific to the pBR322 portion of YIp5 were produced

Table 2. Rates of interhomolog and intra/sister chromatid in cells grown in medium without added copper, and in medium with 1.4 mM copper

Strain	Relevant genotype	Condition	<i>CEN8-hphMX4</i> interhomolog	<i>hphMX4-URA3</i> interhomolog	<i>URA3-kanMX4/CAN1</i> interhomolog	<i>hphMX4-kanMX4/CAN1</i> interhomolog	Intra/sister chromatid
YZ103	Wild-type	No added copper	1.1×10^{-5} (0.9–1.7) [1]	5.1×10^{-6} (4.4–7.0) [1]	6.0×10^{-6} (4.4–8.1) [1]	1.1×10^{-5} [1]	1.0×10^{-4} (0.7–1.2) [1]
YZ103	Wild-type	1.4 mM copper sulfate	1.3×10^{-5} (1.1–1.6) [1.2]	8.6×10^{-5} (7.4–11) [17]	8.8×10^{-5} (7.5–11) [15]	1.7×10^{-4} [15]	2.3×10^{-3} (2.0–2.7) [23]
YZ117	<i>cup2/cup2</i>	No added copper	8.8×10^{-6} (6.2–13) [0.8]	3.6×10^{-6} (2.3–4.8) [0.71]	4.2×10^{-6} (3.1–5.7) [0.7]	7.8×10^{-6} [0.71]	1.1×10^{-4} (0.7–1.4) [1.1]
YZ118	<i>cup2/cup2</i> + p425-GPD-CUP1	No added copper	6.8×10^{-6} (4.6–10) [0.62]	4.3×10^{-6} (2.7–4.9) [0.84]	4.1×10^{-6} (3.1–5.2) [0.68]	8.4×10^{-6} [0.76]	6.7×10^{-5} (5.0–7.7) [0.67]
YZ118	<i>cup2/cup2</i> + p425-GPD-CUP1	1.4 mM copper sulfate	1.3×10^{-5} (0.9–2.5) [1.2]	1.2×10^{-5} (1.1–1.6) [2.4]	9.9×10^{-6} (6.9–11) [1.7]	2.2×10^{-5} [2]	8.4×10^{-5} (7.3–10) [0.84]

Numbers in parentheses after the rate estimates are the 95% C.I. Numbers in square brackets in bold are the rates observed in YZ103 grown in medium without added copper. The number of cells per culture was determined using synthetic dextrose-complete medium containing 0.2 mM copper.

using the probes bla-F and bla-R. For transformants with an unintegrated plasmid, when the samples were hybridized to the *CUP1*-specific probe, we expected to detect a fragment of ~7.5 kb (representing the plasmid) and a large fragment (>30 kb) representing the *CUP1* array. For transformants with an integrated plasmid, we expected to detect two large fragments. When transformants are hybridized to a vector-specific probe, those transformants that had not integrated the plasmid should have a fragment of 7.5 kb, whereas those with an integrated plasmid should have two larger DNA fragments that hybridize to the *CUP1* probe; one of these fragments should also hybridize to a plasmid-specific probe. By these criteria, of 17 transformants examined, all had an integrated plasmid.

Statistical analysis

We performed comparisons using χ^2 test, Fisher exact, or the Mann–Whitney nonparametric tests, and the VassarStat website (<http://vassarstats.net>). Ninety-five percent C.I. limits on rate estimates were calculated as described in Yin and Petes (2014). For comparisons of rate measurements in different strains or strains grown in different conditions, we calculated rates for each culture using the method of the median (Lea and Coulson 1949). We then compared these rates using the Mann–Whitney nonparametric test.

Meiotic analysis

For meiotic studies, we constructed a derivative of YZ103 that was heterozygous for a *trp1* mutation (details in Table S1 in File S1). This diploid (MD692) was sporulated at room temperature using three types of medium: standard sporulation medium (Guthrie and Fink 1991), sporulation medium with 1.4 mM copper sulfate, and sporulation medium with 5 mM nicotinamide. Tetrads were dissected and analyzed using standard methods.

Data availability

All strains and plasmids constructed for this study are available upon request. The genotypes and constructions for these strains are in Table S1 in File S1, and sequences of the primers used in strain construction or analysis are in Table S2 in File S1. The plasmids used in the study are described in Table S3 in File S1.

Results

Experimental rationale

One goal of this project was to develop diploid yeast strains that would allow a comparison of mitotic recombination events between homologs with events that involve intrachromatid or sister chromatid interactions. Two diploids (YZ103 and YZ104) with similar arrangements of markers on chromosome VIII were constructed (Figure 1A). In both diploids, one homolog (derived from the haploid strain W303-1A) contained the *hphMX4* gene (resulting in Hyg resistance) at the centromere-proximal end of the *CUP1* tandem array, and a cassette containing the *CAN1-kanMX4* genes (resulting in

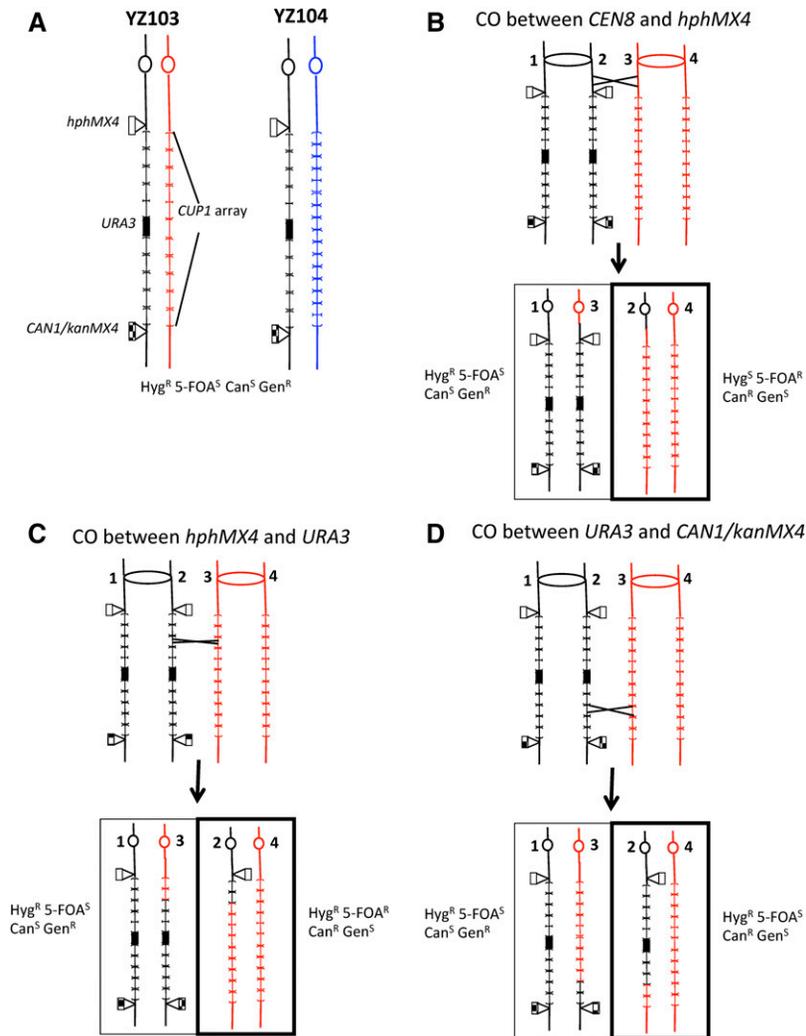


Figure 1 Diploid strains (YZ103 and YZ104) used for the detection of interhomolog recombination between *CEN8* and the *CUP1* array, and within the *CUP1* array. (A) Arrangement of markers on chromosome VIII in YZ103 and YZ104. The centromeres are shown as circles. In both diploids, one homolog has the marker *hphMX4* inserted in single-copy sequences at the centromere-proximal end of the *CUP1* array, an insertion of *URA3* in the middle of the *CUP1* cluster, and a cassette with *CAN1/kanMX4* markers at the centromere-distal end of the array. Both homologs in YZ103 are derived from the haploid W303-1A and have 2.0-kb *CUP1* repeats (Zhao *et al.* 2014); although there are 18 repeats in the *CUP1* cluster of W303-1A, only 10 are shown, each in brackets. In YZ104, one homolog (shown in black) is derived from W303-1A, and the other (shown in blue) is derived from YJM789. Only 16 of the ~22 1.2-kb *CUP1* repeats of this homolog are shown. Both strains are phenotypically Hyg^R 5-FOA^S Can^S Gen^R. (B) Detection of a crossover between *CEN8* and *hphMX4*. After a crossover in this genetic interval (shown as an X), followed by cosegregation of chromatids 1 and 3 into one daughter cell and 2 and 4 in the other, one daughter cell (boxed in thin lines) would have the same phenotype as the parental strain, whereas the other daughter (boxed in thick lines) would be phenotypically distinct (Hyg^S 5-FOA^R Can^R Gen^S). (C) Detection of a crossover between *hphMX4* and *URA3*. A crossover in this interval would produce one daughter cell with the same phenotype as the starting diploid and a second daughter with the unique phenotype Hyg^R 5-FOA^R Can^R Gen^S. (D) Detection of a crossover between *URA3* and *CAN1/kanMX4*. One daughter cell would have the same phenotype as the starting strain, and the second daughter would have the phenotype Hyg^R 5-FOA^S Can^R Gen^S. 5-FOA, 5-fluoroorotic acid; Can, L-canavanine; CO, crossover; Gen, geneticin; Hyg, hygromycin B; ^R, resistant; ^S, sensitive.

sensitivity to Can, and resistance to Gen) at the centromere-distal end of the cluster. In addition, this homolog has a copy of *URA3* integrated within the *CUP1* tandem array. In this homolog, there are 18 copies of a 2-kb *CUP1* repeat, and the *URA3* gene is integrated in the middle of the cluster (shown by Southern analysis, described in *Materials and Methods*). In the diploid YZ103, the other homolog is also derived from W303-1A but lacks the three insertions. In the diploid YZ104, the other homolog is derived from the haploid YJM789 (Wei *et al.* 2007). The *CUP1* locus on this chromosome has 22 copies of a 1.2-kb repeat (Zhao *et al.* 2014). Both YZ103 and YZ104 are homozygous for *can1* and *ura3* mutations at their normal loci on chromosome V.

Interhomolog exchanges on chromosome VIII were monitored in three intervals as shown in Figure 1, B–D. Crossovers in the *CEN8-hphMX4* interval (Figure 1B) can produce isolates that are Ura⁻ (and, therefore, resistant to 5-FOA) Hyg^S Gen^S Can^R. A crossover in the *hphMX4-URA3* interval (Figure 1C) results in 5-FOA^R Hyg^R Gen^S Can^R isolates, and a crossover in the *URA3-CAN1/kanMX4* interval (Figure 1D) results in 5-FOA^S Hyg^R Gen^S Can^R isolates. We determined the frequencies of each of these phenotypic classes in multiple

(>20) independent cultures, and converted these frequencies to rates using the method of the median as described in *Materials and Methods*.

Although we expected that most of the derivatives with the phenotype 5-FOA^R Hyg^S Gen^S Can^R would represent crossovers (Figure 1B), loss of the homolog with the *hphMX4*, *URA3*, and *CAN1/kanMX4* markers would produce the same phenotype. The diploid YZ104 is heterozygous for ~55,000 SNPs distributed throughout the genome. To determine what fraction of the 5-FOA^R Hyg^S Gen^S Can^R derivatives were crossovers, we examined 20 independent 5-FOA^R Hyg^S Can^R Gen^S derivatives for LOH for a SNP located near *CEN8*. This diagnosis was done using primers flanking the polymorphism, followed by digestion of the product using an enzyme that cuts one allele but not the other; the details of this method are described in *Materials and Methods*. In the wild-type strain YZ104, 18 of 20 of the isolates retained heterozygosity for the centromere-linked marker, indicating that these derivatives reflected crossovers rather than chromosome loss.

In addition to those recombination events involving the two homologs, we observed a high frequency of events in which the *URA3* gene was lost and the flanking *hphMX4* and

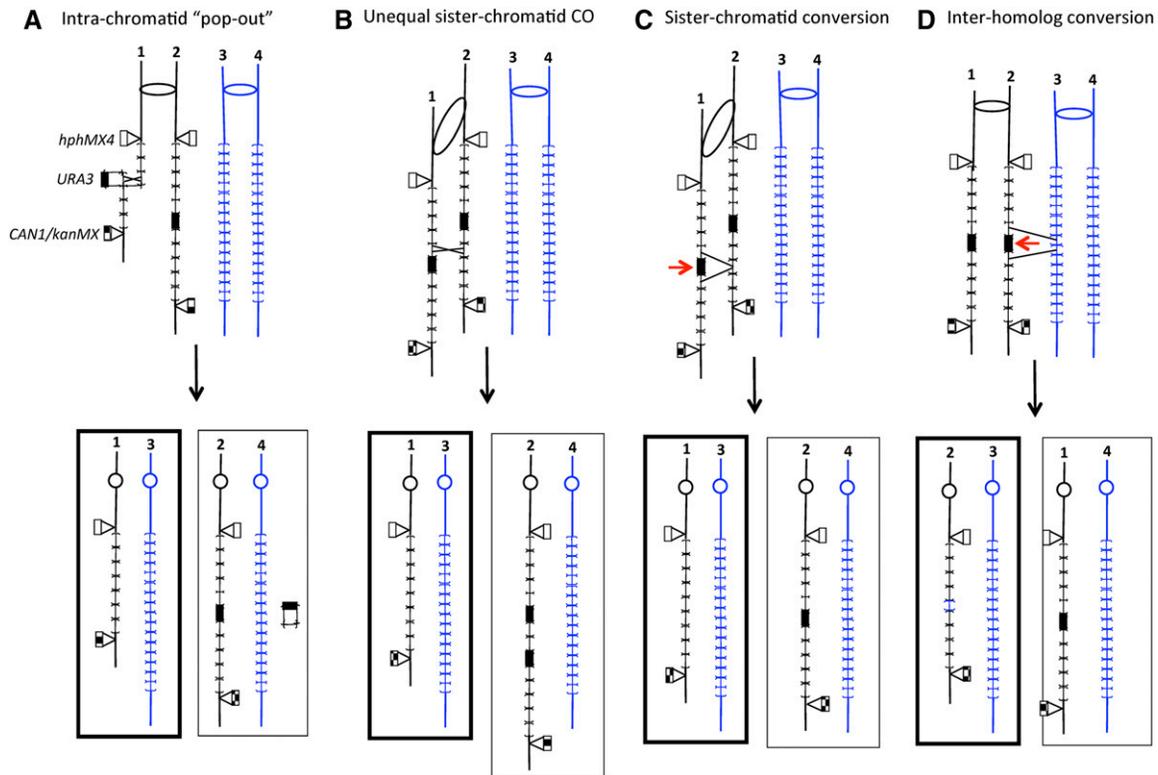


Figure 2 Mitotic recombination events leading to loss of *URA3* marker and retention of the flanking *hphMX4* and *CAN1/kanMX4* markers. The chromosomes are shown following DNA replication, and the two homologs are shown in different colors; in this depiction, one homolog has 2-kb *CUP1* repeats and the other 1.2-kb repeats. *CUP1* repeats are indicated by brackets. The chromosomes of 5-FOA^R daughter cells are outlined by thick lines. (A) Intrachromatid “pop-out” recombination. A crossover occurs within a chromatid, producing a shorter *CUP1* array and a plasmid with the *URA3* gene and three *CUP1* repeats. The cell with the chromosomes outlined with thick lines would be 5-FOA^R. Since each *CUP1* repeat has an *ARS* element, the *URA3*-containing plasmid would be capable of autonomous replication. It is shown segregated into the daughter cell that also contains an integrated *URA3* gene. (B) Unequal sister chromatid crossover. As a consequence of this event, the 5-FOA^R daughter cell would contain a shorter *CUP1* array, and the Ura⁺ daughter cell would contain a longer array with two *URA3* insertions. (C) Intersister chromatid gene conversion. A DSB (shown as a red arrow) occurs near the *URA3* insertion in one chromatid, and is repaired using the sister chromatid as a template. The net result of this event would be a loss of *URA3* and one or more *CUP1* repeats in one daughter cell with no alteration in the second daughter. (D) Interhomolog gene conversion. As in (C), this event is initiated with a DSB near or within the *URA3* insertion. The repair template, however, is a chromatid of the other homolog instead of the sister chromatid. Associated with the loss of *URA3* and the loss of some of the 2.0-kb *CUP1* repeats, one would expect insertion of one or more 1.2-kb *CUP1* repeats derived from the other homolog. 5-FOA, 5-fluoroorotic acid; CO, crossover; DSB, double-stranded DNA breaks; ^R, resistant; Ura⁺, uracil⁺.

CAN1/kanMX4 markers were retained. Strains of this genotype have the phenotype 5-FOA^R Hyg^R Gen^R Can^S. A variety of different recombination mechanisms can generate this genotype, including intrachromatid “pop-out” recombination (Figure 2A), unequal sister chromatid recombination (Figure 2B), intersister chromatid gene conversion (Figure 2C), and interhomolog gene conversion (Figure 2D). In addition, the phenotype could reflect SSA (Figure 3, A and B) or DNA polymerase realignment/slippage (Figure 3C). By the experiments described below, we eliminated some of these possibilities. In the discussion below, all of the mechanisms except interhomolog gene conversion will be described as intra/intersister chromatid events.

Rates of interhomolog crossovers within the *CUP1* array and in the *CEN8-hphMX4* interval in YZ103 and YZ104

By fluctuation analysis (described in *Materials and Methods*), we measured the rates of mitotic recombination in the *CEN8-hphMX4*, the *hphMX4-URA3*, and the *URA3-kanMX4/CAN1*

intervals as 8.2×10^{-6} , 3.3×10^{-6} , and 5.1×10^{-6} per cell division, respectively (Table 1). Since the relative sizes of the *CEN8-hphMX4* and *CUP1* arrays are ~ 107 and 30 kb, respectively, we expect the recombination rate in the *CEN8-hphMX4* interval to be about fourfold higher than the rate for the *CUP1* cluster (*hphMX4-URA3* added to *URA3-kanMX4/CAN1*). The observed rate for the *CEN8-hphMX4* interval was about the same as the rate within the cluster, indicating that the cluster is about fourfold “hotter” for interhomolog exchange than the *CEN8-CUP1* interval. A similar comparison of the frequency of interhomolog recombination within the *CUP1* locus to the frequency of recombination on the 1 Mb right arm of chromosome IV (St. Charles and Petes 2013) indicates that the *CUP1* cluster is about eightfold elevated for mitotic recombination compared to the genomic average.

We also examined interhomolog crossovers in YZ104, in which the *CUP1* clusters on the two homologs have different repeat lengths (Zhao *et al.* 2014). The interhomolog recombination rates in YZ103 and YZ104 are similar for all

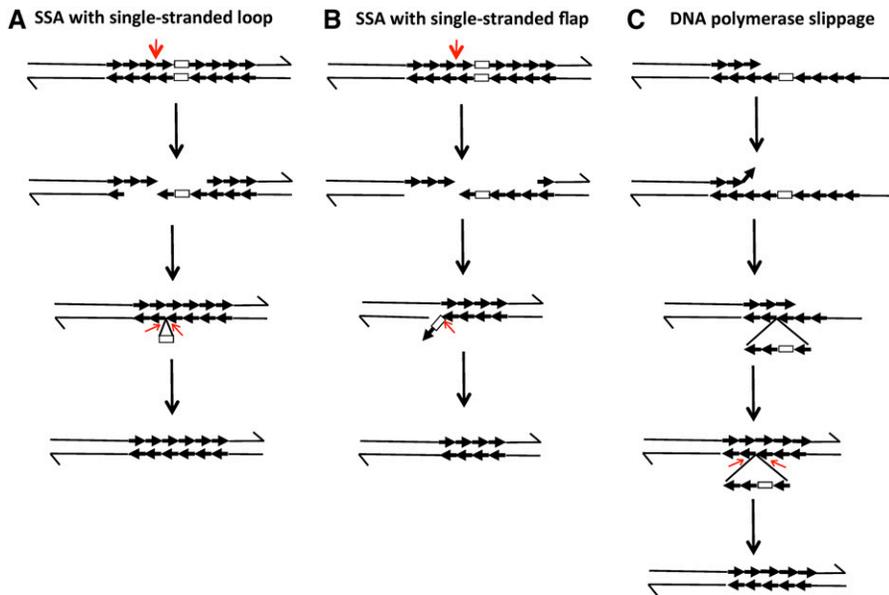


Figure 3 Loss of the *URA3* insertion by SSA or DNA polymerase slippage. In this diagram, we show the chromatid as double-stranded DNA molecules with the 3'-ends marked by arrows. *CUP1* repeats are shown as thick arrows and the *URA3* insertion is indicated by a rectangle. (A) SSA resulting in a single-stranded DNA loop. Following a DSB (shown with a thick red arrow), the broken ends are processed by 5'-3' degradation. The single-stranded *CUP1* repeat at the terminus at the right broken end anneals with repeats on the top strand of the left broken end. The resulting intermediate has a single-stranded loop containing the *URA3* gene. This loop could be removed by cellular exonucleases (shown with thin red arrows) or the resulting DNA molecule could be replicated without removing the loop. The latter event would result in one daughter molecule that retains the *URA3* insertion but has fewer *CUP1* repeats, and a second molecule that loses the insertion and several *CUP1* repeats. (B) SSA resulting in a single-stranded

flap. This mechanism is very similar to that of (A) except for the amount of processing and the pattern of annealing. The resulting intermediate has a single-stranded flap that contains both the *URA3* gene and one repeat. Removal of the flap would result in a shorter array that lacks the *URA3* insertion. (C) DNA polymerase slippage. During DNA replication, the primer strand (the top strand) dissociates from the template and reassociates beyond the position of the *URA3* insertion. The resulting intermediate has a single-stranded loop with the *URA3* marker and several *CUP1* repeats. Removal of the loop or replication of the intermediate would result in a daughter molecule that lacks the *URA3* insertion and several *CUP1* repeats. DSB, double-stranded DNA breaks; SSA, single-strand annealing.

intervals, although the rates are slightly lower (25–50% lower) in YZ104. This difference may reflect the sequence heterogeneity between the interacting homologs or small differences in the enzymes that catalyze mitotic recombination in the hybrid background. With regard to the second point, *Mre11p* and *Rad50p* are different in amino acid sequence by 4 and 8 aa, respectively, between the strains W303-1A and YJM789. The activities of these proteins could be slightly different and/or since *Mre11p/Rad50p/Xrs2p* form complexes, the complexes formed by components derived from different genetic backgrounds could be suboptimal.

Rates of intra/sister chromatid events in YZ103 and YZ104

Most of the events that produce 5-FOA^R Hyg^R Gen^R Can^S derivatives are likely to involve intra- or intersister chromatid recombination (Figure 2 and Figure 3). The rates with which the 5-FOA^R Hyg^R Gen^R Can^S genotype is produced are 8.3×10^{-5} /division in YZ103 and 1.5×10^{-4} /division in YZ104. These rates are 10-fold (YZ103) and 26-fold (YZ104) higher than the rates of interhomolog recombination within the *CUP1* cluster for each strain. These observations are consistent with the conclusion of Kadyk and Hartwell (1992) that sister chromatids are preferred over homologs as the substrate for the repair of DNA lesions.

Rate of interhomolog gene conversion in YZ104

As described above, derivatives of YZ103 or YZ104 with the 5-FOA^R Hyg^R Gen^R Can^S could reflect various types of intra-/intersister chromatid events or interhomolog gene conversion unassociated with crossing-over (Figure 2D). Such events can

be detected in the YZ104 diploid. Loss of the *URA3* gene, which is inserted in the 2-kb *CUP1* repeats characteristic of the W303-1A-derived homolog, by gene conversion will result in insertion of one or more 1.2-kb *CUP1* repeats from the YJM789-derived homolog. To restrict our analysis to the W303-1A-derived homolog, we sporulated strains with the 5-FOA^R Hyg^R Gen^R Can^S phenotype, and identified spores that were Hyg^R Gen^R Can^S.

We did two types of PCR analysis to determine if there were 1.2-kb *CUP1* repeats within the cluster of 2.0-kb repeats (Figure 4 and Figure S1 in File S1). The primers F1 and R1' hybridize to both the 2- and 1.2-kb repeats, and PCR amplification with these primers leads to 2- and 1.2-kb products, respectively. Among 42 independent haploid isolates examined, none contained a mixture of both types of repeats. To confirm this result, we used primers 4038F (specific for the 2-kb repeats) and 2172R (specific for the 1.2-kb repeats). Arrays with a mixture of these two types of repeats should produce a 1.2-kb PCR product. None of the 42 isolates had such a product. We conclude that interhomolog gene conversion is not responsible for most of the 5-FOA^R Hyg^R Gen^R Can^S strains.

Loss of *CUP1* repeats in YZ104 strains that have intra/sister chromatid recombination events

In most of the models shown in Figure 2 and Figure 3, one would expect loss of one or more *CUP1* repeats in the 5-FOA^R Hyg^R Gen^R Can^S strains that have lost the *URA3* gene. To determine the number of *CUP1* repeats that were lost, we examined the sizes of the *CUP1* clusters on the W303-1A-derived homolog in 33 independent 5-FOA^R Hyg^R Gen^R Can^S

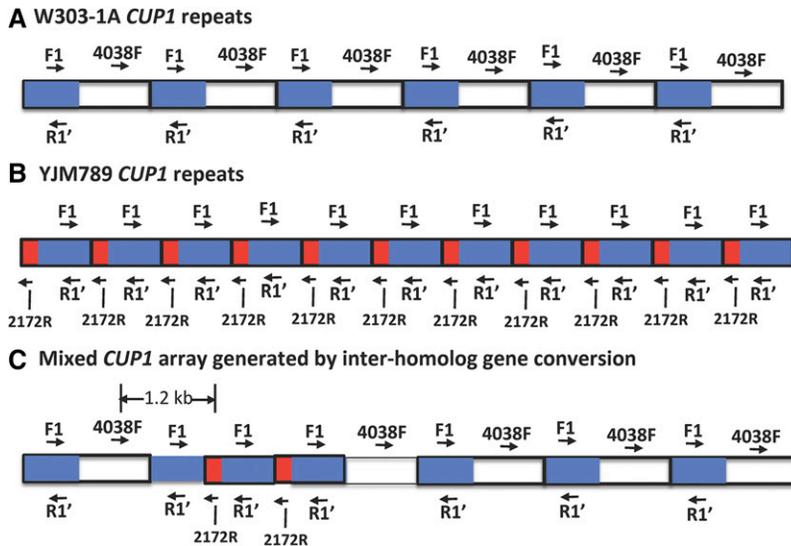


Figure 4 Detection of interhomolog gene conversion by PCR. *CUP1* repeats are outlined by thick black lines. Sequences in common between the 2.0- and 1.2-kb *CUP1* repeats are in blue, sequences unique to the 2.0-kb repeat are in white, and those unique to the 1.2-kb repeat are in red. Primers F1 and R1' amplify both the 2.0- and 1.2-kb repeats whereas the 4038F and 2172R primers are specific for the 2.0- and 1.2-kb repeats, respectively. (A) 2.0-kb repeat array of W303-1A. Only six repeats of the 18-repeat array are shown. (B) 1.2-kb repeat array of YJM789. Only 11 repeats of the 22-repeat array are shown. (C) An array containing both 2.0- and 1.2-kb repeats. As an example of an interhomolog conversion, we show a 2.0-kb array with an insertion of two 1.2-kb repeats. A PCR reaction with primers 4038F and 2172R would produce a unique 1.2-kb band.

derivatives of YZ104. Genomic DNA was digested with *EcoRI* (which does not cut the repeats), and Southern analysis was conducted with a probe that hybridized specifically to the 2-kb *CUP1* repeats (details in *Materials and Methods*). These data are summarized in Figure 5. The starting *CUP1* copy number is 18, and copy numbers in the resulting 5-FOA^R Hyg^R Gen^R Can^S daughter cells range from 1 to 16. The median number of retained repeats was eight.

Stimulation of mitotic recombination within the *CUP1* cluster by addition of copper to the growth medium

The transcription of the *CUP1* genes is greatly elevated (about 20-fold) by the addition of 1 mM CuSO₄ to the medium (Karin *et al.* 1984). To determine if copper also leads to an elevated mitotic recombination rate, we grew the YZ103 strain in medium containing 1.4 mM CuSO₄. The amount of CuSO₄ in synthetic medium unsupplemented with extra copper is ~0.25 μM (<http://www.sigmaldrich.com/catalog/product/sigma/y1251?lang=en®ion=US>). The rates of recombination in various genetic intervals were measured as described above. The crossover rate in the *CEN8-hphMX4* interval was similar in the absence or presence of added copper (Table 2). In contrast, the rate of interhomolog crossovers in the *CUP1* array was elevated ~15-fold in high-copper medium. Similarly, the rate of intra/intersister chromatid events was elevated 23-fold by growth in high-copper medium.

Since the stimulation of mitotic recombination by copper primarily elevates recombination in the *CUP1* locus and since transcription of the *CUP1* repeats is induced by copper, we tested whether the recombinogenic effect of copper was dependent on *CUP1* transcription. We constructed a strain that was isogenic with YZ103 (YZ117) but was also homozygous for a deletion of *CUP2*. The *Cup2p* binds upstream of *CUP1* to elevate its transcription in response to high levels of copper (Buchman *et al.* 1989; Huibregtse *et al.* 1989; Szczycka and Thiele 1989; Welch *et al.* 1989). The *cup2* diploid YZ117 was unable to grow in concentrations of copper of 0.2 mM whereas the isogenic YZ103 strain could grow in concentrations ten-fold higher.

In the absence of copper, YZ117 has similar crossover rates and intra/intersister chromatid events to YZ103 (Table 2).

Since YZ117 is very sensitive to copper, to determine whether the recombinogenic effects of copper required copper-stimulated transcription of the *CUP1* array, we constructed a derivative of YZ117 (YZ118) that contained a high-copy number plasmid (p426-GPD-*CUP1*) in which transcription of the plasmid-borne *CUP1* gene was regulated by the *GPD* (glceraldehyde-3-phosphate dehydrogenase) promoter; details of this construction are described in *Materials and Methods*. The plasmid also contained a wild-type *LEU2* gene. The YZ118 strain grew in medium with high levels of copper sulfate (1.4 mM) but failed to grow in medium lacking leucine with high levels of copper. Therefore, in all experiments involving YZ118, to force retention of the plasmid, all diagnostic media contained 0.2 mM copper sulfate. In the experiments summarized in Table 2, the control strain YZ103 was grown and analyzed under the same conditions as YZ118.

As shown in Table 2, in YZ118, unlike YZ103, the presence of high levels of copper has little effect on interhomolog or on intra/sister chromatid recombination in the *CUP1* array. This result argues strongly that the elevated rate of *CUP1* recombination induced by copper in YZ103 is a consequence of elevated levels of *CUP1* transcription.

Loss of the *URA3* gene from the *CUP1* tandem array in YZ103 is not a consequence of unequal sister chromatid exchange

As shown in Figure 2, although most of the events resulting in loss of *URA3* in 5-FOA^R Hyg^R Gen^R Can^S derivatives also lead to loss of *CUP1* repeats in one daughter cell, the models differ in predictions about repeats in the other daughter cell. More specifically, if *URA3* loss is a consequence of unequal sister chromatid crossovers, the Ura⁺ daughter cells would be expected to have additional *CUP1* repeats and two copies of *URA3* within one array (Figure 2B). In addition, “pop-out” recombination might produce a Ura⁺ daughter cell with one *URA3* gene within the *CUP1* array and one plasmid-borne

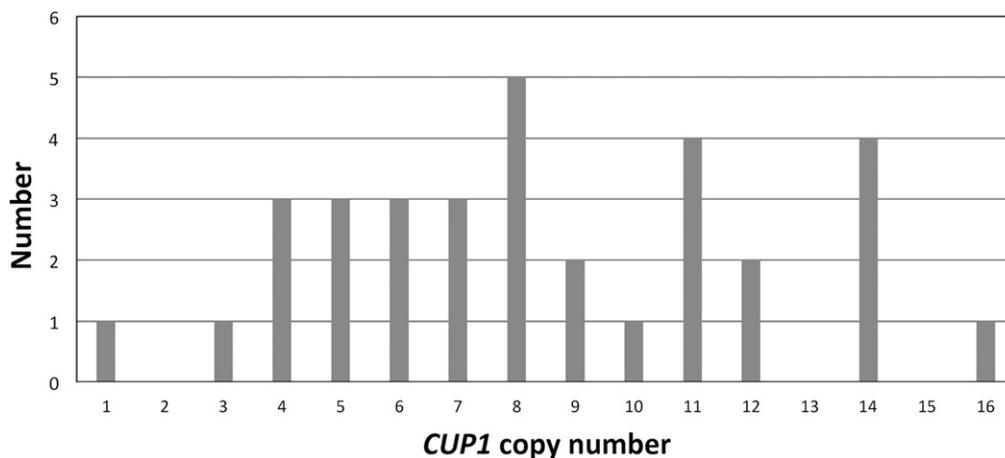


Figure 5 Numbers of remaining *CUP1* repeats associated with loss of *URA3* on the W303-1A-derived chromosome VIII homolog of YZ104; the starting strain had 18 repeats on this homolog. We isolated 33 independent spontaneous 5-FOA^R Hyg^R Gen^R Can^S derivatives. *CUP1* copy numbers were determined by measuring the size of *CUP1* cluster by Southern analysis of *EcoRI*-digested genomic DNA. A hybridization probe specific for the W303-1A type of *CUP1* repeat was used. 5-fluoroorotic acid; Can, L-canavanine; Gen, genetin; Hyg, hygromycin B; ^R, resistant; ^S, sensitive.

URA3 gene (Figure 2A). Such plasmids would be expected to be capable of autonomous replication because the *CUP1* repeats of W303-1A contain *ARS* elements (Zhao *et al.* 2014). For most of the other models shown in Figure 2 and Figure 3, in the Ura⁺ sector, we would expect only one copy of the *URA3* gene in the array and no change in the number of *CUP1* repeats per array.

To determine copy number for the *URA3* and *CUP1* genes in the Ura⁺ daughter cell that was produced in the same cell division as the Ura⁻ cell, we used the haploid strain YZ18-10, one of the parental strains of YZ103. This strain has *URA3* integrated into the middle of the *CUP1* array, and has the *hphMX4* and *kanMX4/CAN1* genes flanking the array. The strain was grown overnight in medium lacking copper, and then grown for 6 hr in medium with 1.4 mM copper sulfate to induce recombination. The cells were then plated on rich growth medium and allowed to form colonies. The colonies were replica-plated to medium lacking uracil. About 1% of the colonies had approximately equal-sized Ura⁺/Ura⁻ sectors; in such colonies, the Ura⁺ sector should represent the daughter cell produced in the same event as the Ura⁻ daughter.

Genomic DNA derived from the Ura⁺ was isolated from 17 independent sectored colonies. The DNA was treated with *HindIII*, a restriction enzyme that cuts within the *URA3* gene and sequences that flank the array, but does not cut within the *CUP1* repeat. The resulting fragments were examined by Southern analysis using *CUP1* sequences as the hybridization probe. If the tandem array in the Ura⁺ had two nontandem *URA3* genes, as expected for an unequal crossover event, we would expect to detect three hybridizing bands. All samples had only two, excluding a 6.4-kb *HindIII* fragment reflecting nonspecific hybridization to the ribosomal RNA (rRNA) repeats (Figure S2 in File S1). This observation indicates that loss of *URA3* is not a consequence of unequal sister-strand crossing over.

If loss of *URA3* was a consequence of “pop-out” recombination, we would expect that the Ura⁺ sector might contain a plasmid containing *CUP1* sequences and the *URA3* gene. Cells with such plasmids would also produce three *CUP1*-hybridizing DNA fragments. To determine whether such a plasmid would

be stable, we constructed a derivative of the *URA3*-containing YIp5 integrating vector that had one *CUP1* repeat (YIp5-*CUP1*). By Southern analysis of 17 independent derivatives transformed with this plasmid, we showed that YIp5-*CUP1* was not maintained as an extrachromosomal molecule, but was integrated into the *CUP1* locus (details in *Materials and Methods*). Although this observation suggests that the plasmid produced by “pop-out” recombination could have reintegrated into the *CUP1* array of the Ura⁺ cells, our observation that the Ura⁺ sector has only two *CUP1*-hybridizing DNA fragments rules out this possibility. Therefore, either loss of *URA3* does not occur by “pop-out” recombination or the plasmid product is lost very quickly from the cells of the Ura⁺ sector.

Analysis of the genetic regulation of mitotic recombination within the *CUP1* array

There are a large number of proteins required for wild-type levels of mitotic recombination in yeast (Symington *et al.* 2014). We constructed diploids that were isogenic with YZ103 except for homozygous mutations affecting various genes involved in recombination, and determined the effect of these mutations on interhomolog and intra/sister chromatid exchange.

The diploid YZ114 lacks Rad51p, a RecA-related protein required to form a filament on single-stranded DNA required for strand invasion. Rad51p is required for interhomolog recombination in most assays (Paques and Haber 1999; Symington *et al.* 2014). As expected, the *rad51* mutation greatly (>100-fold) reduced the frequency of crossovers in the *CEN8-hphMX4* interval (Table 1). Interhomolog recombination within the *CUP1* cluster and intra/sister chromatid events were much less affected with reductions of four- to eightfold compared to the wild-type strain.

The strain YZ113 was homozygous for the *rad52* mutation. The Rad52 protein, which aids in the loading of Rad51p onto single-stranded DNA coated with RPA, is required for most types of HR involving nonrepeated sequences (Symington *et al.* 2014). Loss of Rad52p reduced the rate of interhomolog events at the *CUP1* locus ~10-fold, and had no significant effect on the rate of intra/sister chromatid events. Surprisingly, the

rate of interhomolog crossovers in the *CEN8-hphMX4* interval was also decreased only sixfold, considerably less than the reduction observed for the *rad51/rad51* strain. As described previously, there are two ways of producing 5-FOA^R Hyg^S Can^R Gen^S derivatives of YZ113 and related strains: a crossover in the *CEN8-hphMX4* interval, and loss of the homolog containing the *hphMX4*, *URA3*, and *CAN1-kanMX4* markers. Since *rad52/rad52* diploids have a very high rate of chromosome loss (Song and Petes 2012), it is possible that the many of the 5-FOA^R Hyg^S Can^R Gen^S derivatives of YZ113 are a consequence of chromosome loss rather than mitotic recombination. We examined this possibility by a comparative genome hybridization experiment (details in *Materials and Methods*). Of eight independent 5-FOA^R Hyg^S Can^R Gen^S derivatives examined, none had lost the homolog with the *hphMX4*, *URA3*, and *CAN1-kanMX4* markers. Thus, we conclude that the *rad52* mutation has a relatively modest effect on the rate of recombination in the *CEN8-hphMX4* interval. Loss of *Rad52* reduced the rate of interhomolog recombination within the *CUP1* cluster ~10- to 20-fold, but reduced intra/sister chromatid recombination only twofold (Table 1).

We examined recombination in the homozygous *rad1/rad1* strain YZ116. The *Rad1* endonuclease, in addition to its role in nucleotide excision repair (Tomkinson *et al.* 1993), is involved in processing the single-stranded branches that are intermediates in the SSA pathway of HR (Ivanov *et al.* 1996). Loss of *Rad1* had no effect on interhomolog recombination within the *CUP1* array, and resulted in a small (twofold) increase in recombination between *CEN8* and the *hphMX4* marker. Intra/sister chromatid recombination was also relatively unaffected (twofold increase).

We also measured recombination rates in YZ115, an *mre11/mre11* strain. *Mre11p*, acting in a complex with *Rad50p* and *Xrs2p* (the MRX complex), has multiple cellular roles. The complex is involved in telomere length regulation (Kironmai and Muniyappa 1997), processing of broken DNA molecules (Mimitou and Symington 2009), and promoting postreplicative cohesion assembly (Unal *et al.* 2004). In assays of interhomolog mitotic recombination, mutants lacking any of the MRX proteins are hyper-Rec, and it has been suggested that the absence of damage-induced cohesin might reduce the efficiency of sister chromatid recombination, thereby elevating the frequency of interhomolog recombination [reviewed by Symington *et al.* (2014)]. We found an approximately fivefold elevation in interhomolog events, both in the *CEN8-hphMX4* interval and within the *CUP1* array (Table 1). In contrast, the rate of intra/sister chromatid events elevated only slightly (1.3-fold).

Lastly, we measured the rates of recombination in strains homozygous for *rad5* (HB9) or *mms2* (HB13) mutations. The *Rad5* and *Mms2* proteins have been implicated in error-free bypass of DNA damage, although *Rad5* may also have a role in translesion synthesis (Boiteux and Jinks-Robertson 2013). Since error-free bypass involves a template switch to the sister chromatid, one might expect that *mms2* and *rad5* mutants would have a reduced rate of sister chromatid exchange and

an elevated rate of interhomolog recombination. As expected, interhomolog exchange is elevated in both mutants by about fivefold, but sister chromatid exchange is not substantially reduced (Table 1).

In summary, both the interhomolog and intra/sister chromatid recombination events within the *CUP1* cluster are unusual relative to most HR events involving nonrepeated genes. More specifically, although some reduction in recombination rates is observed in the *rad51* and *rad52* strains, the degree of this reduction is small. In contrast, interhomolog recombination in the *CEN8-hphMX4* interval is strongly reduced in the *rad51* strain, as expected from previous studies. We will discuss these results as well as our interpretation of the results obtained in *rad1*, *mre11*, *rad5*, and *mms2* strains in detail below.

Meiotic recombination in the *CUP1* array

We examined meiotic recombination within the *CUP1* array in the diploid MD692 (isogenic with YZ103 except for being heterozygous for the centromere-linked *trp1* marker). In the *hphMX4-CAN1/kanMX4* interval (the markers that span the *CUP1* locus), we observed 355 parental ditype, one non-parental ditype, and 44 tetratype tetrads. Applying the standard mapping equation (Perkins 1949) to these data, we calculate that the *CUP1* locus is ~6 cM (Table 3). Based on the physical length of the yeast genome [excluding ribosomal DNA (rDNA)] and the number of crossovers per meiosis (Mancera *et al.* 2008), we calculate that the genomic average is ~2.7 kb/cM. Since the *CUP1* locus is 30 kb in MD692, the expected map distance for the *CUP1* locus is ~11 cM. Thus, there is significant ($P < 0.001$, χ^2 test) suppression of meiotic recombination within the *CUP1* locus. These results are consistent with the low frequency of Spo11-induced DSBs at the *CUP1* locus (Pan *et al.* 2011), although a direct comparison is difficult because the strain used for mapping (SK1) contains only one copy of *CUP1*.

Because copper induces mitotic recombination, we also sporulated MD692 in medium containing 1.4 mM copper sulfate to determine whether there was a similar stimulation of meiotic exchange. No significant increase in crossovers was observed ($P > 0.05$; χ^2 test; Table 3). Meiotic recombination within the tandemly repeated rRNA gene cluster is strongly suppressed (Petes and Botstein 1977), and this suppression is dependent on the *Sir2p* histone deacetylase (Gottlieb and Esposito 1989). Nicotinamide, a negative regulator of *Sir2p*, also relieves the suppression of meiotic recombination in the rDNA (Bitterman *et al.* 2002). Consequently, we sporulated MD692 in medium containing 5 mM nicotinamide. The crossover frequency was not significantly elevated relative to that observed for MD692 sporulated under standard conditions ($P > 0.05$; χ^2 test; Table 3).

In addition to crossovers in the *CUP1* array, we found a small number of tetrads in which the *URA3* marker showed non-Mendelian segregation (Table 3). These events, which could reflect conversions involving sister chromatids or homologs, or unequal sister chromatid crossovers, were not

Table 3 Meiotic recombination rates in the wild-type diploid strain MD692 sporulated under various conditions

Sporulation condition	Numbers of PD, NPD, and TT tetrads for the <i>hphMX4-kanMX4/CAN1</i> interval			Total # of tetrads	<i>hphMX4-kanMX4/CAN1</i> distance (cM)	% <i>URA3</i> gene conversion events (#/total) ^a
	# PD	# NPD	# TT			
Standard medium	355	1	44	400	6	1.3 (5/400)
Standard medium + 1.4 mM copper sulfate	214	0	20	234	4.2	0.4 (1/234)
Standard medium + 5 mM nicotinamide	377	1	60	438	7.5	0.2 (1/438)

By tetrad analysis, we determined the meiotic distance between the *hphMX4* and the *kanMX4/CAN1* markers that flank the *CUP1* cluster. Cells were sporulated in standard medium, standard medium with 1.4 mM copper sulfate, or standard medium with 5 mM nicotinamide. The crossover and conversion frequencies were not significantly affected by copper or nicotinamide. #, number; PD, parental ditype; NPD, nonparental ditype; TT, tetratype tetrads.

^a Of the five events observed in the strain sporulated under standard conditions, four were 1+:3- events and one was a 3+:1- event. Of the conversion events in the strain sporulated in the presence of copper or nicotinamide, both were 1+:3-.

significantly different for any of the sporulation conditions examined.

In summary, our analysis indicates that the low level of meiotic recombination observed in the *CUP1* array is not a consequence of the same silencing mechanism that is operative in the rRNA gene cluster, the telomeres, or the silent mating type cassettes. The lack of meiotic exchange at the *CUP1* locus may reflect a novel mechanism of silencing or simply a lack of chromatin structural motifs associated with meiotic recombination hotspots. A number of studies have shown that recombination hotspots in yeast are associated with particular chromatin structures, although the nature of these structures is incompletely understood (Petes 2001; Lam and Keeney 2014).

Discussion

Our current study reveals several important features of mitotic and meiotic recombination of tandemly-repeated genes including: (1) for spontaneous mitotic recombination events involving the *CUP1* locus, intra/sister chromatid events occur much more frequently than interhomolog events; (2) unequal sister chromatid recombination within the *CUP1* array does not contribute substantially to loss of inserted markers; (3) interhomolog *CUP1* mitotic recombination is elevated relative to average genomic intervals; (4) both interhomolog and sister chromatid recombination within the *CUP1* array is strongly induced by high levels of *CUP1* transcription; and (5) in contrast to mitotic recombination, meiotic recombination within the *CUP1* array occurs at relatively low levels.

Relative frequency of interhomolog and intra/sister chromatid events

There are three methods that have been used to measure intra/sister chromatid recombination events in yeast: recombination between two tandem heteroallelic genes (usually done in haploid strains) (Jackson and Fink 1981), loss of a marker located within a tandem array of repeats (Petes 1980; Szostak and Wu 1980), and formation of a dimeric circle from a monomeric circular chromosome or plasmid (Game *et al.* 1989; Gonzalez-Barrera *et al.* 2003). The most direct comparison of interhomolog and intra/sister chromatid events

was done by Kadyk and Hartwell (1992) in a diploid with heteroalleles on different homologs to monitor interhomolog exchange and different tandem heteroalleles to monitor intra/sister chromatid recombination. Our system has the advantage of measuring both types of recombination at the same genetic locus. Using X-rays to stimulate recombination, Kadyk and Hartwell concluded that intra/sister chromatids were the preferred substrate (relative to the homolog) for DSBs generated in G₂ recombination by a factor of ~20. Our finding that spontaneous mitotic intra/sister chromatid events are about 10–20-fold more frequent than interhomolog events at the *CUP1* locus is in good agreement with this conclusion. Since our assay detects only those intra/sister chromatid events that are associated with loss of the *URA3* gene, our measurement is an underestimate of the true rate of intra/sister chromatid exchanges.

Mechanism of intra/sister chromatid events

The loss of the *URA3* gene within the *CUP1* array was not usually a consequence of interhomolog recombination. Figure 2 and Figure 3 show some of the mechanisms that could result in loss of *URA3* by intrachromatid or sister chromatid interactions. In studies in which a selectable marker was integrated in the rRNA genes, loss of the marker was shown to occur by both unequal crossing over (Szostak and Wu 1980; Zamb and Petes 1981) and gene conversion between sister chromatids (Gangloff *et al.* 1996). Since marker loss from the rDNA is independent of *Rad52* (Zamb and Petes 1981; Ozenberger and Roeder 1991), it was argued that marker loss sometimes reflected SSA rather than “classic” crossovers or gene conversions (Ozenberger and Roeder 1991). In addition, since extrachromosomal plasmids containing rRNA genes are observed in some yeast strains (Larionov *et al.* 1980), “pop-out” recombination also occurs.

Similar to the rRNA genes, marker loss from the *CUP1* array is likely to occur through >1 mechanism. However, from our analysis of the Ura⁺ sector of Ura⁻/Ura⁺ sectored colonies, we argue that reciprocal unequal crossover events (Figure 2B) are not common modes of marker loss, since we failed to detect the expected products of this class. This observation has the caveat that we examined sectored colonies generated by the hyper-Rec condition of high copper in the medium. We

can also rule out interhomolog gene conversion unassociated with crossovers (Figure 2D) as a common mechanism for marker loss. As described above, none of 42 independent derivatives that lost *URA3* had undergone gene conversion with the other homolog.

A model that we cannot exclude, but that appears unlikely, is DNA polymerase template switching (Figure 3C). In this mechanism, during DNA replication, the replicating strand dissociates from one repeat and reassociates with another. Although such events have been observed in *Escherichia coli* as RecA-independent interactions between repeats, these exchanges usually involve short (<200 bp) repeats (Lovett *et al.* 2002); above that threshold, RecA-dependent exchanges predominate. Second, deletions formed by template switching might be expected to involve preferentially adjacent or close repeats. The median number of repeats associated with loss of the *URA3* marker was eight. Lastly, as discussed below, high levels of copper in the medium elevate interhomolog recombination and intra/sister chromatid events to approximately the same extent. If these types of events proceed by fundamentally different mechanisms, this congruence is surprising.

Although we cannot rule out the “pop-out” model shown in Figure 2A, we do not favor this model for several reasons. First, recombination events between tandemly-duplicated direct repeats produce the plasmid expected from “pop-out” recombination much less frequently than other types of recombination products (Schiestl *et al.* 1988). Second, extra-chromosomal rRNA circles are usually monomeric (Meyerink *et al.* 1979), and the observed deletions in the *CUP1* locus have a wide distribution centered around a loss of eight repeats (Figure 5).

The two mechanisms that fit our observations best are unequal intersister chromatid gene conversion (Figure 2C) and SSA (Figure 3, A and B). Both of these mechanisms have been invoked to explain loss of markers within the rDNA (Ozenberger and Roeder 1991; Gangloff *et al.* 1996). In current models of recombination, gene conversion unassociated with crossovers involves synthesis-dependent strand annealing. One of the ends resulting from a DSB invades an intact template, and the invading end primes DNA synthesis. Subsequently, this end disengages from the template and reattaches to the other broken end. If the *URA3* marker is within the region of heteroduplex, the resulting single-stranded loop could be removed by cellular endonucleases; heteroduplexes that include large heterologies and the processing of large single-stranded loops have been detected during meiotic recombination in yeast (Kearney *et al.* 2001). An alternative possibility is that the *URA3* marker is removed by double-stranded degradation of broken ends, leading to a gap. Although broken ends are usually processed by 5'–3' processing of only one strand, degradation of both strands has been observed producing a double-stranded gap (Zierhut and Diffley 2008), and such gaps are readily repaired by HR (Orr-Weaver *et al.* 1981).

In general, recombination events requiring strand invasion would be expected to require the RecA-homolog *Rad51p* and

the *Rad51p*-mediator/strand-exchange protein *Rad52p* (Symington *et al.* 2014). In our experiments, although loss of the *Rad51* protein substantially reduced the rate of recombination in the interval between *CEN8* and the *CUP1* locus, intra/sister chromatid events were reduced only two- to five-fold (Table 1). One interpretation of this result is that loss of the *URA3* marker occurs by SSA (discussed below) rather than intersister conversion. A complication of this interpretation is that interhomolog recombination within the *CUP1* locus is also reduced by only 5- to 10-fold in the *rad51* and *rad52* strains, and such events are not explicable by SSA. It is possible that the absence of *Rad51p* or *Rad52p* greatly reduces the probability of a successful strand invasion, but this reduction is partly balanced by templates that contain many sites at which strand invasion can occur. More specifically, for single-copy sequences, there is only one position on an intact template molecule that has homology to a broken end. However, in the *CUP1* array, each repeat in the intact template has homology to the DNA ends generated by DSBs in the *CUP1* array.

The second plausible model consistent with our results is SSA (Figure 3, A and B). Most studies of SSA are performed in strains in which direct repeats of heteroallelic genes flank an intervening marker. Often, researchers select cells in which gene conversion produces a wild-type allele from the heteroalleles, and the presence or absence of the intervening marker is scored. In most such experiments, gene conversion events are reduced in *rad51* strains, whereas deletions of the intervening marker occur at near wild-type levels (Prado *et al.* 2003; Symington *et al.* 2014). The frequency of deletion events involving direct repeats in *rad52* strains relative to the wild-type frequency varies from 1% (1-kb repeats) to nearly 100% (>10 kb) (Paques and Haber 1999). In a previous study of HO-induced DSBs within the *CUP1* locus, Ozenberger and Roeder (1991) found efficient *Rad52p*-independent repair. Thus, our observation that marker loss is relatively unaffected by *rad51* or *rad52* mutations is roughly in agreement with previous studies of SSA.

“Classical” SSA usually requires the *Rad1p* for efficient removal of the single-stranded branches generated when heteroduplexes are formed between two repeats that contain intervening heterology (Sugawara *et al.* 1997), although exceptions have been observed (McDonald and Rothstein 1994; Nag *et al.* 2005). In our study, loss of the *URA3* marker was not substantially affected by the *rad1* mutation (Table 1). Depending on the extent of processing of the broken ends and how the broken ends reanneal, SSA at the *CUP1* locus may be associated with single-stranded loops (Figure 3A) or with single-stranded flaps. Although the role of *Rad1p* in the removal of flaps has been demonstrated, the removal of loops by *Rad1p* has not been examined.

Although it is possible that the *URA3* marker is excised from the intermediates shown in Figure 3 by cellular endonucleases, two other mechanisms could be responsible for marker loss. First, replication of the intermediates shown in Figure 3 without removal of the loops would result in loss of *URA3* and *CUP1* repeats from one of the resulting DNA

molecules. Second, as discussed above, double-stranded degradation of the broken molecules could result in loss of *URA3* and *CUP1* repeats unassociated with formation of single-stranded loops or branches.

By either the intersister chromatid conversion or SSA models, to have deletions involving large numbers of repeats, the broken DNA ends would have to be extensively processed by excising one or both strands of the broken ends. In HO-induced recombination in the *CUP1* array, Ozenberger and Roeder (1991) found a similar distribution of deletions to one observed in our study (Figure 5). Previously, we showed that some interhomolog mitotic gene conversions that include a heterozygous Ty element were very long with a median size of >50 kb (Yim *et al.* 2014). Thus, the yeast cell has the capacity to generate conversion events and single-stranded annealing events that are large enough to explain our deletions.

The *mre11* mutation elevated interhomolog recombination and had no substantial effect on intra/sister chromatid exchanges. Since *Mre11p* is required for DNA damage-induced sister chromatid cohesion (Unal *et al.* 2004), it is possible that *mre11* mutants channel the repair of DNA damage from the sister chromatid to the homolog. By this model, we expect to observe reduced sister chromatid exchange in addition to elevated interhomolog recombination. Although no such reduction was detectable in our experiments, even a relatively small change in intra/sister chromatid recombination (which is much more frequent than interhomolog exchange) could significantly elevate interhomolog recombination. Alternatively, the *mre11* mutants may have an elevated level of DSBs in addition to altering the ratio of interhomolog to intra/sister chromatid recombination in favor of interhomolog exchange.

The *mms2* and *rad5* mutations had effects that were qualitatively similar to the *mre11* mutation: elevated recombination between homologs with little effect on intra/sister chromatid recombination. The ratio of intra/sister chromatid *CUP1* exchanges to interhomolog *CUP1* exchanges was reduced from the wild-type ratio of 10–20 to ~2 in *mms2* and *rad5* strains. This observation is consistent with a redirection of recombination events to the homolog from the sister, as expected if *Mms2* and *Rad5* are involved in a template switch to the sister chromatid. However, since we did not observe a reduction of intra/sister chromatid recombination, this possibility is only partially supported by the data.

Stimulation of *CUP1* recombination by transcription

The phenomenon of transcription-associated recombination (TAR) was reported in yeast ~30 years ago [Voelkel-Meiman *et al.* 1987; Thomas and Rothstein 1989; reviewed by Kim and Jinks-Robertson (2012) and Aguilera and Garcia-Muse (2013)]. TAR likely results from a number of different mechanisms including head-on collisions between the replication fork and the transcriptional machinery, DNA secondary structures (for example, hairpins) formed in single-stranded DNA resulting from transcription, accumulated supercoils in transcribed DNA, R-loop accumulation, damage induced on single-stranded

DNA resulting from R-loop formation and/or the transcription “bubble,” and positioning of highly-transcribed genes at the nuclear pores (Aguilera and Garcia-Muse 2013). Since each *CUP1* repeat has a bidirectional origin and a promoter, there will be head-on collisions between the replication and transcription proteins. However, the other models are also plausible.

An important point is that the copper-induced elevations in the levels of recombination are similar for the interhomolog *CUP1* genes (15-fold) and the intra/sister chromatid *CUP1* events (23-fold) (Table 2). The simplest interpretation of this result is that high levels of transcription elevate the frequency of recombinogenic DNA lesions, but do not affect the ratio of interhomolog to intra/sister chromatid events. This observation is more difficult to explain if interhomolog events are a consequence of HR, whereas intra/sister chromatid events reflect DNA polymerase slippage.

The high levels of recombination induced by copper may be evolutionarily advantageous. Growth of yeast cells in high copper selects for derivatives with longer *CUP1* arrays (Fogel and Welch 1982). Since these derivatives likely arise by unequal crossovers, an elevation in the rate of recombination (hyper-Rec) will result in an increased rate of formation of these copper-resistant strains.

Meiotic recombination

In contrast to the hyper-Rec phenotype associated with mitotic recombination in the *CUP1* cluster, meiotic recombination is about twofold lower than the average genomic interval. Unlike mitotic exchange, this level is unaffected by high levels of copper in the medium. Interhomolog meiotic recombination within the rRNA genes is much more strongly suppressed (>100-fold; Petes and Botstein 1977), although unequal meiotic recombination occurs frequently, in ~10% of unselected tetrads (Petes 1980). This suppression is dependent on *Sir2p* (Gottlieb and Esposito 1989). Our observation that nicotinamide-containing sporulation medium does not elevate interhomolog mitotic recombination in the *CUP1* array suggests a different mechanism for the modest reduction observed in our study. It is possible that the *CUP1* sequences are simply not a good substrate for Spo11p-mediated DSB formation.

In our analysis, we observed 10¹⁺:3⁻ and one 3⁺:1⁻ segregation events for the *URA3* marker in a total of 1072 tetrads. This frequency of ~1% is consistent with the low level of meiotic crossovers. In contrast, in a study in which meiotic recombination events in the *CUP1* array were detected by Southern analysis of the length of the array, Welch *et al.* (1990, 1991) found that 10–20% of the tetrads contained one or more spores with an altered *CUP1* array. In most of these events, only a single spore of the four had an altered array, indicating that the alteration likely reflected SSA or sister chromatid gene conversion. This result argues that our analysis detects only a small fraction of the meiotic intra/sister chromatid events, although our study should detect all of the interhomolog exchanges. In summary, the *CUP1* array has a twofold reduced rate of interhomolog exchange but a higher proportion of sister-strand recombination than

observed for most meiotic events for which there is a strong interhomolog bias (Hunter 2015).

Summary

We described a diploid strain that can be used to monitor interhomolog and intra/sister chromatid recombination events at the *CUP1* locus, and demonstrated that spontaneous intra/sister chromatid events are at least 10-fold more frequent than interhomolog events. The rates of both types of recombination are elevated by high concentrations of copper in the medium, and this effect is dependent on the Cup2 transcription factor. In contrast to mitotic recombination, meiotic recombination is suppressed at the *CUP1* locus and is unaffected by high concentrations of copper.

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