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# Study Design of the Phase 3 Sparsentan Versus Irbesartan (DUPLEX) Study in Patients With Focal Segmental Glomerulosclerosis

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**Introduction**: Focal segmental glomerulosclerosis (FSGS), a histologic lesion in the kidney caused by varied pathophysiological processes, leads to end-stage kidney disease in a large proportion of patients. Sparsentan is a first-in-class orally active compound combining endothelin type A ( $ET_A$ ) receptor blockade with angiotensin II type 1 ( $AT_1$ ) receptor antagonism in a single molecule. A Randomized, Multicenter, Double-Blind, Parallel, Active-Control Study of the Effects of Sparsentan, a Dual Endothelin Receptor and Angiotensin Receptor Blocker, on Renal Outcomes in Patients With Primary FSGS (DUPLEX) study evaluates the long-term antiproteinuric efficacy, nephroprotective potential, and safety profile of sparsentan compared with an  $AT_1$  receptor blocker alone in patients with FSGS.

**Methods:** DUPLEX is a multicenter, international, phase 3, randomized, double-blind, active-controlled study of sparsentan in patients with FSGS. Approximately 300 patients aged 8 to 75 years, inclusive (United States), and 18 to 75 years, inclusive (outside United States) will be randomized 1:1 to daily treatment with sparsentan or irbesartan. After renin-angiotensin-aldosterone system inhibitor washout, treatment will be administered for 108 weeks, with the final assessment at week 112, four weeks after withdrawal of study drug.

**Results:** The primary endpoint will be the slope of estimated glomerular filtration rate from week 6 to week 108. A novel surrogate efficacy endpoint, the proportion of patients achieving urinary protein-to-creatinine (UP/C) ratio of  $\leq$ 1.5 g/g and >40% reduction from baseline in UP/C (FSGS partial remission endpoint: FPRE), will be evaluated at a planned interim analysis at week 36. Safety and tolerability of sparsentan will also be assessed.

**Conclusion**: The phase 3 DUPLEX study will characterize the long-term antiproteinuric efficacy and nephroprotective potential of dual  $ET_A$  and  $AT_1$  receptor blockade with sparsentan in patients with FSGS.

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KEYWORDS: angiotensin II type 1 receptor blockade; endothelin type A receptor blockade; focal segmental glomerulosclerosis; irbesartan; proteinuria; sparsentan

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**F** SGS is a histologic lesion characterized by segmental accumulation of glomerular extracellular matrix, resulting in capillary obliteration and glomerular scarring. Patients with FSGS typically present with a variable degree of proteinuria and often nephrotic syndrome.<sup>1</sup> A variety of heterogeneous clinical conditions may lead to FSGS-type lesions,

which can be classified as primary, genetic, and secondary forms. Primary FSGS has no identifiable cause,<sup>1</sup> but is presumed to be a consequence of actions of putative circulating permeability factors that cause podocyte injury.<sup>2–4</sup> Genetic causes include mutations in genes encoding proteins required for normal podocyte structure and/or function.<sup>5</sup> In contrast, secondary forms of FSGS are caused by loss of renal parenchyma, metabolic derangements, other antecedent diseases, drugs, or infections.<sup>1,4</sup> In the United States, at least 50% of patients with primary FSGS and nephroticrange proteinuria are resistant to treatments and will eventually require renal replacement therapy within 5

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to 10 years of diagnosis.<sup>6</sup> FSGS accounts for 5% of adult and 12% of pediatric cases of end-stage kidney disease (ESKD).<sup>7,8</sup>

In responsive patients, treatment with corticosteroids or other immunosuppressive drugs decreases proteinuria,<sup>9</sup> an independent predictor of long-term kidney survival in patients with FSGS.<sup>10,11</sup> These agents are routinely combined with renin-angiotensinaldosterone system inhibitors (RAASIs).<sup>9,12–14</sup> However, a spectrum of serious side effects limits the use of immunomodulating drugs.<sup>8,9</sup> As a result, the availability of effective, safe, and well-tolerated drugs that protect kidney function or slow the rate of progressive decline in glomerular filtration rate (GFR) is a high unmet need in patients with FSGS.<sup>15</sup>

Comparable with RAASIs,  $ET_A$  receptor antagonists (ERAs) have shown a wide range of beneficial hemodynamic, anti-inflammatory, antifibrotic, and podocyte-protective effects in various models of glomerular diseases.<sup>16</sup> Importantly, the actions of RAASIs and ERAs in combination have demonstrated additional benefits in experimental models of kidney disease<sup>17–19</sup> and in patients with both diabetic and nondiabetic nephropathies.<sup>20–23</sup>

Sparsentan is a first-in-class orally active compound that combines ET<sub>A</sub> receptor and AT<sub>1</sub> receptor inhibitory activities in a single molecule.<sup>24</sup> The ongoing phase 2 randomized, double-blind DUET study is assessing the antiproteinuric effect of sparsentan in patients with FSGS.<sup>25</sup> During an 8-week, double-blind treatment period, sparsentan-treated patients demonstrated significantly greater reductions in proteinuria, with a similar safety profile compared with those treated with the active control irbesartan.<sup>26</sup> In addition, a significantly larger percentage of patients receiving sparsentan compared with irbesartan achieved the FPRE, defined as UP/C  $\leq 1.5$  g/g in conjunction with a >40% reduction in UP/C from baseline.<sup>11,26</sup> The DUET trial has entered its open-label extension (OLE) phase, during which all patients are treated with sparsentan.<sup>26</sup> The OLE provides additional information about safety and efficacy, including monitoring of kidney function,<sup>25</sup> and 84-week interim analyses of the OLE have supported sustained decrease in proteinuria and blood pressure (BP) and stable estimated GFR (eGFR).<sup>27</sup> However, further studies are needed to assess the long-term nephroprotective potential of sparsentan systematically, with a focus on preservation of kidney function in a controlled, double-blind setting.

On the basis of the positive findings from the DUET study, the phase 3 randomized, double-blind, activecontrolled DUPLEX (A Randomized, Multicenter,

#### Table 1. DUPLEX key inclusion criteria

	The patient or parent/legal guardian (as appropriate) is willing and able to provide signed informed consent, and, when required, the patient is willing to provide assent before any screening procedures.
2.	The patient has biopsy-proven FSGS or documentation of a genetic mutation in a podocyte protein associated with FSGS.
3.	Sites within the United States: The patient is male or female aged 8 to 75 yr, inclusive, weighing ≥20 kg at screening. Sites outside the United States: The patient is male or female aged 18 to 75 yr, inclusive, weighing ≥20 kg at screening.
4.	The patient has a UP/C $\geq$ 1.5 g/g at screening.
5.	The patient has an eGFR $\geq$ 30 ml/min per 1.73 m <sup>2</sup> at screening.
6.	WOCBP must agree to the use of 1 highly reliable method of contraception from 7 d before the first dose of study medication until 90 d after the last dose of study medication and simultaneous use of 1 additional barrier method of contraception during sexual activity from day 1/randomization until 90 d after the last dose of study medication. <sup>a</sup>

DUPLEX, A Randomized, Multicenter, Double-Blind, Parallel, Active-Control Study of the Effects of Sparsentan, a Dual Endothelin Receptor and Angiotensin Receptor Blocker, on Renal Outcomes in Patients With Primary FSGS; eGFR, estimated glomerular filtration rate; FSGS, focal segmental glomerulosclerosis; ET<sub>A</sub>, endothelin type A; ET<sub>B</sub>, endothelin type B; UP/C, urinary protein-to-creatinine ratio; WOCBP, women of childbearing potential.

 $^{a}\text{Contraception requirements}$  are based on the fetal harm effects associated with selective and nonselective  $\text{ET}_{\text{A}}/\text{ET}_{\text{B}}$  receptor antagonists and angiotensin receptor blockers.

Double-Blind, Parallel, Active-Control Study of the Effects of Sparsentan, a Dual Endothelin Receptor and Angiotensin Receptor Blocker, on Renal Outcomes in Patients With Primary FSGS; NCT03493685<sup>28</sup>) trial has been initiated to address knowledge gaps directly and provide extended surveillance for safety signals associated with combined inhibition of  $ET_A$  and  $AT_1$  over a 2-year period. This paper describes the study design and methodology of the DUPLEX trial, including a novel approach of using FPRE as a surrogate endpoint.<sup>11</sup>

## METHODS

### **Study Participants and Sites**

Patients aged 8 to 75 years, inclusive (United States) and 18 to 75 years, inclusive (outside the United States), weighing  $\geq$ 20 kg at screening, with biopsy-proven FSGS, or those with documented genetic mutations in a podocyte protein associated with FSGS, will be eligible for the study after exclusion of secondary causes. Inclusion and exclusion criteria are summarized in Tables 1 and 2. Approximately 300 patients will be enrolled from an estimated 240 investigational study centers globally (Supplementary Table S1). Approval of the study design and procedures by the institutional review board/independent ethics committee at each site will be required before enrollment.

## Study Design and Treatment

The study design is illustrated in Figure 1. Patients will provide informed consent before entering an eligibility screening period. Successfully screened patients will undergo baseline evaluations and laboratory tests (preceded by a 2-week washout period from RAASIs,

#### Table 2. DUPLEX key exclusion criteria<sup>a</sup>

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1.	The patient has FSGS secondary to another condition.		
2.	The patient is $\geq$ 18 yr of age and has positive findings on serological tests that, in the investigator's opinion, are diagnostic of another primary or secondary glomerular disease. <sup>b</sup>		
3.	The patient has a history of type 1 diabetes mellitus or uncontrolled type 2 diabetes mellitus or nonfasting blood glucose $>$ 180 mg/dl at screening.		
4.	. The patient has undergone any organ transplantation, with the exception of cornea transplants, or has received certain immunosuppressive medications.		
5.	The patient has a documented history of heart failure, coronary artery disease, or cerebrovascular disease.		
6.	The patient has significant liver disease.		
7.	The patient is positive at screening for HIV or markers indicating acute or chronic hepatitis B infection or hepatitis C infection.		
8.	The patient has a history of malignancy other than adequately treated basal cell or squamous cell skin cancer or cervical carcinoma within the past 2 yr.		
9.	The patient has disqualifying laboratory abnormalities during a screening, including hematocrit value <27%, hemoglobin value <9 g/dl, potassium value >5.5 mEq/l, or alanine aminotransferase and/or aspartate aminotransferase >2 times the upper limit of the normal range.		
10.	The patient is extremely obese (i.e., $\geq$ 18 yr of age with a BMI >40 kg/m <sup>2</sup> or is <18 yr of age with a BMI in the 99th percentile plus 5 units at screening), in whom, in the investigator's opinion, there is a causal relationship between obesity and development of the FSGS lesion.		
11.	The patient has a history of alcohol or illicit drug-use disorder.		
12.	The patient has a history of serious side effect or allergic response to any angiotensin II antagonist or endothelin receptor antagonist.		
13.	The female patient is pregnant, plans to become pregnant during the course of the study, or is breastfeeding. $^\circ$		
14.	The patient, in the opinion of the investigator, is unable to adhere to the		

 The patient, in the opinion of the investigator, is unable to adhere to the requirements of the study, including the ability to swallow the study medication capsules whole.

BMI, body mass index; DUPLEX, A Randomized, Multicenter, Double-Blind, Parallel, Active-Control Study of the Effects of Sparsentan, a Dual Endothelin Receptor and Angiotensin Receptor Blocker, on Renal Outcomes in Patients With Primary FSGS;  $ET_{A}$ , endothelin type A;  $ET_B$ , endothelin type B; FSGS, focal segmental glomerulosclerosis. <sup>a</sup>The exclusion criteria are intended to limit inclusion of patients with known etiology of FSGS (serologies, obesity, viral infections, and diabetic nephropathy); to secure patient safety at baseline (exclusion based on hyperkalemia, liver tests, heart failure and conditions frequently associated with heart failure, worsening of anemia); and other conditions that may interfere with a patient's appropriate adherence to the study protocol (such as, psychiatric disorders and drug abuse). <sup>b</sup>Includes antinuclear antibody, antidouble-stranded deoxyribonucleic acid antibodies,

<sup>o</sup>Includes antinuclear antibody, antidouble-stranded deoxyribonucleic acid antibodies, complement C3 and C4, antineutrophil cytoplasmic antibody, rheumatoid factor, antiglomerular basement membrane antibodies, any clinically significant abnormalities identified by serum and urine protein electrophoresis, or  $\kappa$  and  $\lambda$  chains.

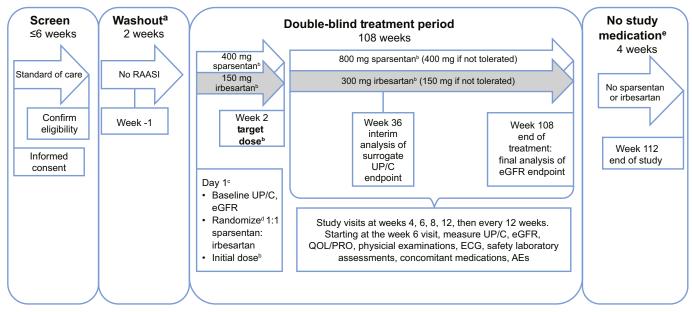
 $^c\text{Contraception}$  requirements are based on the fetal harm effects associated with selective and nonselective  $\text{ET}_{\text{A}}/\text{ET}_{\text{B}}$  receptor antagonists and angiotensin receptor blockers.

when applicable). They will then be randomly assigned 1:1 via an interactive web response system (IWRS) to receive sparsentan or the active control, irbesartan. Randomization will be stratified by eGFR ( $\geq$ 30 to <60 ml/min per 1.73 m<sup>2</sup> or  $\geq$ 60 ml/min per 1.73 m<sup>2</sup>) and UP/C ( $\leq 3.5$  g/g or > 3.5 g/g for patients  $\geq 18$  years of age;  $\leq 2 \text{ g/g or } > 2 \text{ g/g for patients } < 18 \text{ years of age}$  at screening. After the 108-week double-blind treatment period, treatment with study medications will be discontinued, and patients will return for a final study visit 4 weeks later. At week 108, following the end of study treatment, the investigator will resume standardof-care treatment, including RAASIs (with the exception of irbesartan) if there are no contraindications for their use, and additional adjustments in antihypertensive medications to adequately control blood pressure can be made as clinically indicated.

The treatment period will include dose titration in the first 2 weeks. During the dose titration period, patients weighing >50 kg at screening will receive sparsentan 400 mg or irbesartan 150 mg daily. At the week 2 visit, patients who are able to tolerate the initial dose, as assessed by the investigator, will be prescribed the target dose (i.e., sparsentan 800 mg or irbesartan 300 mg daily). Criteria for tolerability will include blood pressure (BP) >100/60 mm Hg (patients  $\geq$ 18 years of age) or BP above the 5th percentile for sex and height (patients <18 years of age) after 2 weeks and the absence of adverse events (AEs) or laboratory values that do not allow continuation of treatment with study drugs. Patients with asymptomatic BP  $\leq 100/60$  mm Hg (patients  $\geq$ 18 years of age) or  $\leq$ 90/50 mm Hg or below the 5th percentile for sex and height (whichever is lower in patients <18 years of age), or clinical symptoms of orthostatic hypotension but with otherwise acceptable tolerance of medication, will continue treatment without titration up to the target dose. Patients who do not titrate to the target dose at week 2 may titrate up to that dose at any time, based on evaluation and agreement of the investigator and the medical monitor. Patients who weigh  $\leq 50$  kg at screening will receive 50% of the initial or target dose; if the patient's weight increases to >50 kg during the study, the patient may be prescribed an increased dose at the discretion of the investigator. Dose reductions from the target dose back to the initial dose are permitted at any time after titration up to the target dose, based on safety concerns. Patients weighing >50 kg at screening who do not tolerate the initial dose may continue at one-half the initial dose (i.e., 200 mg sparsentan or 75 mg irbesartan daily). Patients who weigh  $\leq$  50 kg at screening cannot have further dose reductions beyond their 50% of the initial dose and may have to discontinue study medication if they do not tolerate the initial dose.

Sparsentan will be dispensed as 200-mg tablets overencapsulated (blinded) with size 00 capsules, and the active control irbesartan will be dispensed as 75-mg tablets overencapsulated (blinded) with size 00 capsules. Patients will be advised to take the prescribed daily dose before the morning meal, except on studyvisit days, when they will take the medication during the clinic visit. To enable assessment of treatment adherence, patients will be asked to return all unused study medication and used or unused packaging at each visit.

Medications prohibited during the study will include RAASIs (e.g., angiotensin-converting enzyme inhibitors, aldosterone blockers, angiotensin receptor blockers [ARBs], aliskiren, spironolactone), ERAs (e.g., bosentan, macitentan, ambrisentan), potassium-sparing



**Figure 1.** A Randomized, Multicenter, Double-Blind, Parallel, Active-Control Study of the Effects of Sparsentan, a Dual Endothelin Receptor and Angiotensin Receptor Blocker, on Renal Outcomes in Patients With Primary FSGS study design. <sup>a</sup>For patients who are undergoing washout from renin-angiotensin-aldosterone system inhibitor (RAASI). <sup>b</sup>Patients whose body weight is  $\leq$ 50 kg at screening will receive half the otherwise specified doses of sparsentan or irbesartan (active control). Weight will be measured at each visit and the dose increased at the investigator's discretion if the patient's weight reaches >50 kg. <sup>c</sup>Day 1 events shown will occur in the order in which they are listed. <sup>d</sup>Randomization will be stratified by estimated glomerular filtration rate (eGFR) value ( $\geq$ 30 to <60 ml/min per 1.73 m<sup>2</sup> and  $\geq$ 60 ml/min per 1.73 m<sup>2</sup> for all patients) and urinary protein-to-creatinine ratio (UP/C) ( $\leq$ 3.5 g/g and >3.5 g/g [patients  $\geq$ 18 yr of age] or  $\leq$ 2 g/g and >2 g/g [patients <18 yr of age]) at screening. <sup>e</sup>Following the 108-week blinded treatment period, treatment with study medication will be discontinued. At this time, the investigator should resume standard-of-care treatment, including treatment with RAASI (with the exception of irbesartan) provided there are no contra-indications for their use. The investigator may make additional adjustments in antihypertensive medications as clinically indicated to adequately control the patient's blood pressure. AEs, adverse events; ECG, electrocardiogram; PRO, patient-reported outcome; QOL, quality of life.

diuretics, selected antidiabetic drugs (i.e., thiazolidinediones, sodium-glucose cotransporter-2 inhibitors), selected antiarrhythmics (e.g., digoxin, amiodarone), amphetamines and amphetamine derivatives, St. John's wort or other hypericum-derived products, and strong CYP3A inhibitors (Supplementary Table S2). Other antidiabetic drugs (e.g., metformin, glyburide) should be used in accordance with guidelines for use in patients with impaired kidney function. Medications to be used with caution, with potential dosage adjustments, include strong inhibitors of P-glycoprotein such as cyclosporine A, cytochrome P450 2B6 substrates, statins, nonsteroidal anti-inflammatory drugs used for >1 week (aspirin at a dose >325 mg/d is not allowed), lithium, and warfarin. Steroids, calcineurin inhibitors, mycophenolate mofetil, and azathioprine doses must be stable for at least 30 days before screening and during the screening period.

Clinic visits during the double-blind treatment period will occur at weeks 2, 4, 6, 8, 12, 24, 36, 48, 60, 72, 84, 96, and 108 (end of treatment), with a final assessment at week 112 (end of study). Patients who discontinue study medication prematurely will complete the early-termination visit assessments and will be encouraged to continue through the remainder of the study for efficacy and safety assessments unless they withdraw consent. Patients who elect to withdraw from the study will complete the early-termination visit assessments. The reason(s) for the discontinuation of study medication and/or study withdrawal will be documented.

The eGFR for each visit will be calculated for adults using the Chronic Kidney Disease Epidemiology Collaboration equation,<sup>29</sup> and for children aged <18 years, the Modified Schwartz Formula will be used.<sup>30</sup> UP/C values will be obtained as an average of 3 first-morning void urine samples collected within 5 days before each visit.

Blood samples for genotyping of FSGS and nephrotic syndrome-associated genes and apolipoprotein A1 gene polymorphisms will be taken at Day 1/Randomization. Test results may be provided to investigators after study completion. Samples will be analyzed by the Clinical Laboratory Improvement Amendments (CLIA)– certified central laboratory.

## Endpoints

Efficacy assessments are presented in Table 3. The primary efficacy endpoint will be the slope of eGFR assessed from week 6 to week 108. Evaluation of the

#### Table 3. Efficacy endpoints

final analysis         Surrogate efficacy endpoint       The proportion of patients achieving a UP/C ≤1.5 g/g and a >40% reduction from baseline in UP/C (i.e., FPRE) at week 36 (interim analysis)         Secondary efficacy endpoints       The percent change in eGFR from week 6 to week 108         Secondary efficacy endpoints       The percent change in eGFR from baseline to 4 wk postcessation of randomized treatment at week 112         Exploratory endpoints       Slope of eGFR assessed from week 6 to week 60         Absolute and percent change in eGFR from baseline at each visit       Percent change in eGFR from baseline at each visit         Percent change in UP/C from baseline at each visit       Percent change in UP/C from baseline at each visit         Proportion of patients achieving FPRE at each visit       Percent change in UP/C from baseline at each visit         Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/ min per 1.73 m <sup>2</sup> ), or death         Change from baseline in blood pressure at each visit       Proportion of patients requiring initiation of or intensification in immunosuppressive medication         Proportion of patients requiring initiation of or intensification in immunosuppressive medication       Proportion of patients undergoing reduction in immunosuppressive medication	,	•
endpoint       >40% reduction from baseline in UP/C (i.e., FPRE) at week 36 (interim analysis)         Secondary efficacy endpoints       The percent change in eGFR from week 6 to week 108 postcessation of randomized treatment at week 112         Exploratory endpoints       Slope of eGFR assessed from week 6 to week 60         Absolute and percent change in eGFR from baseline at each visit         Percent change in eGFR from week 6 at each visit         Percent change in eGFR from week 6 at each visit         Percent change in uP/C from baseline at each visit         Proportion of patients achieving FPRE at each visit         Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/min per 1.73 m²), or death	Primary efficacy endpoint	The slope of eGFR, assessed from week 6 to week 108 at the final analysis
endpoints       The percent change in eGFR from baseline to 4 wk postcessation of randomized treatment at week 112         Exploratory endpoints       Slope of eGFR assessed from week 6 to week 60         Absolute and percent change in eGFR from baseline at each visit       Percent change in eGFR from week 6 at each visit         Proportion of patients achieving FPRE at each visit       Percent change in UP/C from baseline at each visit         Proportion of patients achieving FPRE at each visit       Percent change in UP/C from baseline at each visit         Time to achieve FPRE       Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/min per 1.73 m²), or death	Surrogate efficacy endpoint	>40% reduction from baseline in UP/C (i.e., FPRE) at week
postcessation of randomized treatment at week 112         Exploratory endpoints       Slope of eGFR assessed from week 6 to week 60         Absolute and percent change in eGFR from baseline at each visit         Percent change in eGFR from week 6 at each visit         Proportion of patients achieving FPRE at each visit         Percent change in UP/C from baseline at each visit         Proportion of patients achieving FPRE         Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/min per 1.73 m <sup>2</sup> ), or death         Change from baseline in blood pressure at each visit         Proportion of patients requiring initiation of or intensification in immunosuppressive medication         Proportion of patients undergoing reduction in immunosuppressive medication         Proportion of patients in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12	Secondary efficacy endpoints	The percent change in eGFR from week 6 to week 108
Absolute and percent change in eGFR from baseline at each visit         Percent change in eGFR from week 6 at each visit         Proportion of patients achieving FPRE at each visit         Percent change in UP/C from baseline at each visit         Time to achieve FPRE         Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/min per 1.73 m <sup>2</sup> ), or death         Change from baseline in blood pressure at each visit         Proportion of patients requiring initiation of or intensification in immunosuppressive medication         Proportion of patients undergoing reduction in immunosuppressive medication         Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12         Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		
visit         Percent change in eGFR from week 6 at each visit         Proportion of patients achieving FPRE at each visit         Percent change in UP/C from baseline at each visit         Time to achieve FPRE         Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/ min per 1.73 m <sup>2</sup> ), or death         Change from baseline in blood pressure at each visit         Proportion of patients requiring initiation of or intensification in immunosuppressive medication         Proportion of patients undergoing reduction in immunosuppressive medication         Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12         Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)	Exploratory endpoints	Slope of eGFR assessed from week 6 to week 60
Proportion of patients achieving FPRE at each visit Percent change in UP/C from baseline at each visit Time to achieve FPRE Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/ min per 1.73 m <sup>2</sup> ), or death Change from baseline in blood pressure at each visit Proportion of patients requiring initiation of or intensification in immunosuppressive medication Proportion of patients undergoing reduction in immunosuppressive medication Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		
Percent change in UP/C from baseline at each visit Time to achieve FPRE Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/ min per 1.73 m <sup>2</sup> ), or death Change from baseline in blood pressure at each visit Proportion of patients requiring initiation of or intensification in immunosuppressive medication Proportion of patients undergoing reduction in immunosuppressive medication Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		Percent change in eGFR from week 6 at each visit
Time to achieve FPRE Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/ min per 1.73 m <sup>2</sup> ), or death Change from baseline in blood pressure at each visit Proportion of patients requiring initiation of or intensification in immunosuppressive medication Proportion of patients undergoing reduction in immunosuppressive medication Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		Proportion of patients achieving FPRE at each visit
Proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/ min per 1.73 m <sup>2</sup> ), or death Change from baseline in blood pressure at each visit Proportion of patients requiring initiation of or intensification in immunosuppressive medication Proportion of patients undergoing reduction in immunosuppressive medication Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		Percent change in UP/C from baseline at each visit
in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR <15 ml/ min per 1.73 m <sup>2</sup> ), or death Change from baseline in blood pressure at each visit Proportion of patients requiring initiation of or intensification in immunosuppressive medication Proportion of patients undergoing reduction in immunosuppressive medication Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		Time to achieve FPRE
Proportion of patients requiring initiation of or intensification in immunosuppressive medication Proportion of patients undergoing reduction in immunosuppressive medication Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or sustained eGFR ${<}15~{\rm ml}/$
in immunosuppressive medication Proportion of patients undergoing reduction in immunosuppressive medication Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		Change from baseline in blood pressure at each visit
immunosuppressive medication Change from baseline in quality of life, assessed using patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		
patient-reported outcome measures at each visit beginning at week 12 Frequency and duration of hospitalizations (for any reason and for reasons related to the kidney)		
and for reasons related to the kidney)		patient-reported outcome measures at each visit beginning at
Trough plasma pharacokinetic concentrations		
		Trough plasma pharacokinetic concentrations

eGFR, estimated glomerular filtration rate; ESKD, end-stage kidney disease; FPRE, FSGS partial remission endpoint; UP/C, urinary protein-to-creatinine ratio.

slope from week 6 has been applied to account for possible acute hemodynamic effects of the study drugs and their impact on eGFR slope determination. The surrogate efficacy endpoint is the proportion of patients in each treatment group achieving a UP/C  $\leq 1.5$  g/g and a >40% reduction from baseline in UP/C (i.e., FPRE<sup>11,26</sup>) at week 36, assessed at the interim analysis. FPRE was chosen as the proteinuric outcome for the early surrogate endpoint as it has been recently described as a strong predictor of renal survival in primary FSGS.<sup>11</sup> Secondary efficacy endpoints will be percent change in eGFR from week 6 to week 108 and percent change in eGFR from baseline to 4 weeks postcessation of randomized treatment at week 112.

Exploratory endpoints will include the slope of eGFR assessed from week 6 to week 60, the absolute and percent change in eGFR from baseline at each visit, and percent change in eGFR from week 6 at each visit. UP/C exploratory endpoints will include the proportion of patients achieving FPRE at each visit, percent change in UP/C from baseline at each visit, and the time to achieve FPRE. Additional exploratory endpoints will include the proportion of patients reaching a confirmed 40% reduction in eGFR, ESKD (defined as initiation of renal replacement therapy, kidney transplantation, or

sustained eGFR <15 ml/min per 1.73 m<sup>2</sup>), or death; change from baseline in BP at each visit; proportion of patients requiring initiation of or intensification in immunosuppressive medication; proportion of patients undergoing reduction in immunosuppressive medication; change from baseline in quality of life (QOL), assessed using patient-reported outcome measures at each visit beginning at week 12; frequency and duration of hospitalizations (for any reason and for reasons related to the kidney); and trough plasma pharmacokinetics (PK) concentrations.

Safety endpoints include changes from baseline in body weight, vital signs, physical examinations, peripheral edema, 12-lead electrocardiograms, and clinical laboratory parameters (chemistry, hematology) with a focus on known adverse events that have been associated with treatment with ARBs or ERAs such as acute kidney injury, hyperkalemia, hypotension, or markers of fluid retention; changes from baseline in lipid profile; changes from baseline in serum albumin and serum potassium (at each visit); and incidence of treatmentemergent AEs. The safety and tolerability of sparsentan will be assessed by double-blind monitoring of these safety endpoints. Patients will be evaluated for new AEs and the status of existing AEs at each study visit, including screening or washout periods, and at any time contact is made with a patient outside of a scheduled visit. The severity of AEs will be assessed (mild, moderate, severe), as well as their relationship to study treatment (not related, unlikely, possibly, related). Serious AEs will be followed until resolution, until stabilization, or until the investigator and sponsor agree that follow-up is no longer necessary.

## Data Monitoring Committee

An independent data-monitoring committee (DMC) will meet regularly and advise the study sponsor on the validity and scientific merit of continuing the study through the review of safety data at regularly scheduled and *ad hoc* meetings. All DMC sessions will be documented through written minutes. The minutes of closed sessions will be kept confidential during the study and released to the sponsor only after the database is locked and all data are unblinded.

#### **Statistical Analysis**

All efficacy analyses will be based on the full analysis set (FAS), which will consist of all randomized patients who take  $\geq 1$  dose of double-blind study medication. A sensitivity analysis of the primary endpoint will be conducted using the per-protocol (PP) analysis set, which will include all FAS patients without major protocol violations that could affect the validity of the efficacy assessments. The safety analysis set will include all randomized patients who take  $\geq 1$  dose of double-blind study medication. Overall type-1 error for this study at 2-sided  $\alpha = 0.05$  is controlled using a prespecified multiple-testing procedure.

The primary efficacy endpoint analysis will compare sparsentan with irbesartan based on the difference between the treatment groups in eGFR slopes from week 6 to week 108. The primary analysis will use a mixed-effects model that includes fixed effects for treatment, stratification factors, baseline eGFR, time, and time-by-treatment interaction. Random coefficients (i.e., intercept and slopes) will be included for each patient.

The surrogate efficacy endpoint analysis will evaluate the proportion of patients achieving FPRE at week 36, at the planned unblinded interim analysis, using a Cochran-Mantel-Haenszel (CMH) test with adjustment for the stratification factors.

Mixed model repeated measures (MMRM) will be employed to analyze the secondary efficacy endpoint of percent change in eGFR from week 6 to week 108. The model will include fixed effects for treatment, stratification factors, baseline values, visit, and visit-bytreatment interaction, and patient will be included as a random effect. Analysis of covariance will be used to analyze the secondary efficacy endpoint of percent change in eGFR from baseline to 4 weeks postcessation of randomized treatment at week 112. Treatment and baseline values will be included as fixed effects, and the analysis will be stratified by the randomization strata.

MMRM will be employed to analyze the continuous exploratory efficacy endpoints. Responder-type exploratory efficacy endpoints will be analyzed using a CMH approach. Time-to-event will be analyzed for the exploratory efficacy outcome of time to achieve FPRE using Kaplan-Meier product limit survival estimates, with a comparison between treatment groups using the log-rank test, stratified by the randomization stratification.

Select efficacy endpoints will be analyzed by baseline subgroups—for example, sex, geographic region, and genetic test results at both the interim and final analyses—if there is a sufficient number of patients in each subgroup.

## **Blinding and Unblinding Considerations**

Randomized treatment assignment and individual patient information will remain blinded until after the database lock for the final analysis performed at the end of the study with the following exceptions: at the request of the DMC; by an investigator for a medical emergency; or if necessary to satisfy regulatory reporting requirements for a suspected, unexpected serious adverse reaction. The interim analysis for the surrogate endpoint after 36 weeks will be conducted by an independent statistical team (with controlled disclosure of analysis results), and the study team will remain blinded to the interim data.

### Sample Size and Power Calculations

The study has appropriate power to test the surrogate FPRE endpoint at the interim analysis and the primary endpoint at the final analysis. Approximately 300 patients will be randomized and allocated 1:1 to receive sparsentan or irbesartan. This sample size takes into consideration enrollment feasibility in this rare disease and was evaluated using simulations. A planned sample-size reassessment procedure will be conducted and overseen by the DMC.

For the surrogate endpoint assessed at the interim analysis with approximately 190 patients, the power to detect a difference in response proportions between treatment groups is >90%, with a 2-sided  $\alpha$  of 0.05. The power calculation was based on the  $\chi^2$  test, assuming that the treatment difference in FPRE response proportions at week 36 is consistent with the observed difference at week 8 (end of the double-blind treatment; 28.1% for sparsentan and 9.4% for irbesartan) from the sparsentan phase 2 DUET study.<sup>26</sup>

For the primary endpoint assessed at the final analysis, approximately 300 patients provide  $\geq$  90% power to detect a difference in eGFR slopes between treatments at a 2-sided  $\alpha$  of 0.05. The projected treatment difference in eGFR slopes was obtained by modeling the relationship between eGFR and the surrogate endpoint FPRE using available data from the DUET and disease registry studies. Notably, even what appears to be small differences in eGFR slope (e.g., ranging from 0.80 to 1.52 ml/min per year) have been considered clinically meaningful and associated with hard renal endpoints (ESKD, renal replacement therapy, doubling of serum creatinine, or death) in patients with diabetic nephropathy (e.g., Wanner et al. 2016;<sup>31</sup> Brenner et al.  $2001^{32}$ ; Perkovic *et al.*  $2019^{33}$ [Supplementary Table S3]).

# DISCUSSION

There is a high unmet need for new therapies for patients with FSGS.<sup>6,15</sup> Analysis of the double-blind period in the ongoing DUET study has demonstrated that treatment with sparsentan, a dual  $ET_A$  and  $AT_1$  receptor blocker, for 8 weeks results in a significantly greater reduction in proteinuria compared with an ARB (i.e., irbesartan).<sup>26</sup> Moreover, data from the ongoing OLE of the DUET study have demonstrated further and sustained reduction in proteinuria with increasing duration of follow-up.<sup>27,34,35</sup> However,

DUET will not provide information on whether this extended antiproteinuric effect, when compared with standard of care RAASI, can be translated into longterm nephroprotection in these patients. The DUPLEX study is designed to address this crucial question.

To assess the effect of sparsentan on preservation of kidney function, DUPLEX will compare the slope of eGFR between the sparsentan- and irbesartan-treated patients. Clinical outcomes (hard endpoints)-such as doubling of serum creatinine, reaching ESKD or death, or a prespecified percent decline in eGFR- traditionally have been used and recognized as primary endevaluating the long-term points in studies nephroprotective potential of new treatments in chronic kidney diseases.<sup>9</sup> However, in the context of a rare disease that progresses slowly, achieving a sufficient number of events during a study of reasonable duration and size makes the use of standard hard endpoints impractical and unfeasible for development of new drugs.<sup>9</sup> Analysis of differences in slopes of eGFR between the study groups offers an achievable solution to this problem.<sup>36</sup> Similar approaches have been evaluated in other kidney diseases.<sup>37-40</sup> To our knowledge, there are no interventional studies in primary FSGS focusing on long-term preservation of eGFR. Available interventional studies have so far evaluated proteinuric responses such as complete and partial remission in response to a variety of treatments.<sup>41–45</sup> Assessment of potential long-term efficacy of these treatments has been based on the widely held assumption that treatment-associated reduction in proteinuria is a strong predictor of long-term outcomes. This notion is supported by several retrospective analyses of the long-term clinical course of FSGS.<sup>11,46,47</sup> In this context, the DUPLEX trial will provide an important contribution to this discussion by linking periodic monitoring of treatment-associated changes in proteinuria with long-term trajectories of decline in kidney function.

In addition to the assessment of eGFR slope, DUPLEX will include interim evaluation of the proportion of patients reaching FPRE at 36 weeks. As recently published,<sup>11</sup> FPRE is strongly predictive of renal survival in primary FSGS. In the DUET study, 3 times more sparsentan-treated patients reached FPRE compared with their irbesartan-treated counterparts after 2 months of double-blind treatment.<sup>26</sup> The proportion of responders on sparsentan increased to approximately 50% during the first year of the open-label extension.<sup>34</sup> The novel approach of using FPRE as an early indicator of treatment benefit to be confirmed by eGFR slope in clinical trials for FSGS is the result of meaningful interactions with regulatory agencies.

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Fluid retention as a consequence of  $ET_A$  receptor blockade remains one of the potential safety concerns in patients treated with  $ET_A$  receptor antagonists,<sup>23,48</sup> and diuretics can be used to help effectively manage edema. In the DUET study, edema-related, treatmentrelated AEs were reported more frequently with sparsentan than with irbesartan (12.3% vs. 2.8% of patients, respectively) during the 8-week double-blind treatment period, but there were no significant changes in severity of edema. Laboratory indicators of hemodilution were also noted. However, no patient withdrew because of edema or fluid overload during the double-blind period. Nonetheless, careful monitoring of various indicators of fluid retention has been incorporated into the DUPLEX design.

Kidney Disease: Improving Global Outcomes guidelines<sup>9</sup> assert that endpoints pertaining to patients' perceptions of QOL related to their disease and treatment are important but often undermeasured and/or underappreciated. Further, these guidelines identify QOL as a substantial data gap in reports of interventional studies in glomerular diseases. FSGS and nephrotic syndrome, as well as the current immunosuppressive treatments for these conditions, have a substantially negative impact on QOL.<sup>9,49</sup> Therefore, in addition to evaluation of the safety and efficacy of sparsentan, DUPLEX will provide an opportunity to assess QOL parameters in a long-term double-blind study setting.

In conclusion, the DUPLEX study will, for the first time, enable the evaluation of the long-term nephroprotective effects and safety of a novel agent, sparsentan, in patients with FSGS, potentially offering a new treatment option for this rare disorder.

# DISCLOSURE

This study is supported by Retrophin, Inc., San Diego, CA. Retrophin, Inc., was involved in the trial design and protocol development. RK, UD, AL, and WER are employees of Retrophin, Inc., and may have equity or other financial interest in Retrophin, Inc. JKI is an employee of IQVIA, Inc. and was hired by Retrophin, Inc., to conduct the research. She did not receive any payment or honoraria directly from Retrophin, Inc., for services rendered. HT has received consultancy fees from Kaneka Inc., Otsuka, and ChemoCentryx and was previously a consultant to Genzyme and Optherion. He is an unpaid consultant to Retrophin, Inc., and has an agreement with Goldfinch Biopharma through New York University.

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# **AUTHOR CONTRIBUTIONS**

UD, JKI, RK, WER, and HT designed the study; HT was the study investigator and managed patient enrollment; UD and HT collected, assembled, and analyzed data; all authors interpreted data; UD, WER, RK, HT, and JKI prepared the manuscript; all authors reviewed, revised, and provided final approval of the manuscript. The authors were involved in the decision to submit this manuscript and will take public responsibility for all aspects of the publication.

# SUPPLEMENTARY MATERIAL

#### Supplementary File (Word)

Table S1. Countries participating in DUPLEX.

Table S2. Rationale for avoidance of SGLT2 Inhibitors,potassium-sparing diuretics, and CYP3A4 inhibitors.Table S3. Treatment effects on eGFR slope reported inprevious studies.

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