Received: 2011.01.07 Accepted: 2011.01.23 Published: 2011.03.01	Excitatory repetitive transcranial magnetic stimulation induces improvements in chronic post-stroke aphasia			
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	Source of support: Support for this study was provided by grants from University Research Council at the University of Cincinnati and, in part, by the National Institute of Neurological Disorders and Stroke (NINDS; NIH R01 NS04828); both to JPS			
	This study was presented in part at the 2010 Human Brain Mapping meeting in Barcelona, Spain			
	Summary			
Background:	Aphasia affects 1/3 of stroke patients with improvements noted only in some of them. The goal of this exploratory study was to provide preliminary evidence regarding safety and efficacy of fMRI-guided excitatory repetitive transcranial magnetic stimulation (rTMS) applied to the residual lefthemispheric Broca's area for chronic aphasia treatment.			
Material/Methods:	We enrolled 8 patients with moderate or severe aphasia >1 year after LMCA stroke. Linguistic bat- tery was administered pre-/post-rTMS; a semantic decision/tone decision (SDTD) fMRI task was used to localize left-hemispheric Broca's area. RTMS protocol consisted of 10 daily treatments of 200 seconds each using an excitatory stimulation protocol called intermittent theta burst stimula- tion (iTBS). Coil placement was targeted individually to the left Broca's			
Results:	6/8 patients showed significant pre-/post-rTMS improvements in semantic fluency (p=0.028); they were able to generate more appropriate words when prompted with a semantic category. Pre-/post rTMS fMRI maps showed increases in left fronto-temporo-parietal language networks with a significant left-hemispheric shift in the left frontal (p=0.025), left temporo-parietal (p=0.038) regions and global language LI (p=0.018). Patients tended to report subjective improvement on Communicative Activities Log (mini-CAL) p=0.075). None of the subjects reported ill effects of rTMS			
Conclusions:	FMRI-guided, excitatory rTMS applied to the affected Broca's area improved language skills in pa- tients with chronic post-stroke aphasia; these improvements correlated with increased language lateralization to the left hemisphere. This rTMS protocol appears to be safe and should be further tested in blinded studies assessing its short- and long-term safety/efficacy for post-stroke aphasia rehabilitation.			
key words:	aphasia • language • fMRI • rTMS • rehabilitation • stroke			
Full-text PDF:	http://www.medscimonit.com/fulltxt.php?ICID=881446			
Word count:	3178			
Figures:				
References:	46			
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BACKGROUND

Aphasia, impaired communication ability that usually occurs in patients with left middle cerebral artery (LMCA) stroke, is associated with high mortality, significant motor impairment, and severe limitations in social participation [1-5]. While 1/3 of new strokes have aphasia as one of its symptoms, the progress of aphasia rehabilitation has not matched the great strides in developing acute treatment strategies that, in some patients, decreased or eliminated the stroke-related deficits. Further, the development of post-stroke aphasia treatments lags behind the development of therapies that alleviate motor deficits in patients with history of stroke such as constraint-induced motor therapy [6,7], mental practice [8], electrical stimulation of the affected extremity [9] or neurostimulation [10,11]. The current aphasia therapy approaches are based largely on compensatory strategies or repetitive training of lost functions rather than function restoration. While these therapies may lead to improvements in some patients, many continue to be aphasic despite interventions [12]. Further, while language recovery >1 year after stroke is thought to be less likely, studies showed that improvements even in patients with chronic aphasia are possible when they are provided with an intervention [13,14]. These patients need additional restorative therapies that will enable them to return to society as productive members. The question raised in this study is whether some form of repetitive transcranial magnetic stimulation (rTMS) may be one of those interventions [15]. TMS is a noninvasive method of stimulating neurons by inducing weak electric currents by electromagnetic induction. When applied in repetitive paradigms, synaptic plasticity can be altered to transiently increase or decrease localized cortical activities. Changes in the neuronal networks induced by TMS can persist well beyond the actual period of stimulation with improved language functions observed in some excitatory rTMS studies [16]. But, the safety and efficacy of the excitatory rTMS applied directly to the stroke/peri-stroke areas is unclear. Further, early reports of rTMS-related seizures have led to the development of safety rules regarding stimulation frequency, intensity, train duration and intertrain interval [17].

Neuroimaging studies have revealed that post-stroke reorganization of language functions may be related to increased cortical involvement of the non-dominant [18,19] or dominant cortical areas [20–22]. Unfortunately, these discoveries have thus far not contributed to the development of specific aphasia treatments. Therefore, the primary goals of this study were to determine whether excitatory repetitive transcranial magnetic stimulation with fMRI guidance (neuronavigated rTMS; nerTMS) applied directly to the language area identified by fMRI is safe and whether it might facilitate rehabilitation from post-stroke aphasia and improve patient outcomes. We hypothesized that nerTMS will have positive impact on language skills evaluated with aphasia battery.

MATERIAL AND METHODS

Subjects

This exploratory study was approved by the Institutional Review Board and all subjects provided written informed consent. The inclusion criteria were: 1. LMCA distribution stroke >12 months prior to study participation, 2. moderate aphasia at time of enrollment, 3. no contraindication(s) to fMRI at 4T, 4. no history of seizures. All subjects were righthanded prior to stroke, as determined by an Edinburgh Handedness Inventory (EHI) score >50.

Functional MRI task and scanning procedures

FMRI procedures at 4T Varian Unity INOVA Whole Body MRI/MRS scanner have been previously described in detail [20,23,24]. Briefly, participants were oriented to the equipment and engaged in explicit practice of the tasks; they were allowed to enter the scanner only after expressing complete understanding of all procedures. All fMRIs were performed following the same protocol. First, an alignment scan was performed for head position adjustments so that the AC-PC reference line was as close as possible to the vertical axis of the scanner. A high resolution, T1-weighted 3-D MDEFT (Modified Driven Equilibrium Fourier Transform) anatomical image was obtained using the following parameters: TR/TE=13.1/6 ms, FOV=25.6×19.2×19.2 cm, flip angle=22°, and voxel dimensions=1×1×1 mm. Finally, T2*-weighted functional images were obtained using the following parameters: TR/TE=3000/30 ms, FOV=25.6×25.6 cm, matrix=64×64 pixels, number of slices=30, slice thickness=4 mm, and flip angle=75°.

For language localization we used a highly reliable semantic decision/tone decision (SDTD) task [20,25-28]. Briefly, subjects performed two different alternating conditions, the control condition (tones recognition) and the active condition (semantic recognition/association), starting with the control condition. In the tone decision task, subjects heard brief sequences of four to seven low- (500 Hz) and high-pitch (750 Hz) tones every 3.75 seconds and responded with a non-dominant hand button press for any sequence containing two high-pitched tones. In the semantic task, subjects heard spoken English nouns designating animals every 3.75 seconds and responded with a non-dominant hand button press to stimuli that meet two criteria: "lives in the United States" and "is commonly used by humans" (e.g., "horse"). The contrast between the tone discrimination task and the semantic task results in an activation pattern that is inherent only to semantic, word-form, and phoneme processing, all of which are part of the language system. Each subject performed this task twice during each scanning session and the runs were concatenated for processing.

Functional MRI data post-processing and analyses

The fMRI image post-processing was performed using software developed in the Imaging Research Center at the Cincinnati Children's Hospital Medical Center in the IDL software environment (IDL 7.0; Research Systems Inc., Boulder, CO) [27,28]. As previously, Hamming-filtering preceded data reconstruction and the geometric distortion correction using the multi-echo reference method. Data were then co-registered to further reduce the effects of motion artifact using a previously developed pyramid co-registration algorithm. A general linear model (GLM) was used to process the data with a set of cosine basis functions used to minimize artifacts due to signal drift from aliased respiratory and cardiac effects. Finally, z-score maps were computed on a pixel-by-pixel basis and transformed into Talairach space for composite mapping. Data in native space were **Table 1.** Demographic and performance data for study subjects (N=8).

		Pre-rTMS	Post-rTMS	P value
Age	54.4 (±12.7)			
Gender (% male)	50			
Years since stroke	5.3 (±3.6)			
EHI	90.8 (±13)			
Aphasia testing				
SFT		20.75 (±10)	25.62 (±13.3)	0.028
COWAT		12.13 (±6.4)	11.25 (±6)	0.833
BNT		42.88 (±13.3)	44.25 (±12)	0.482
BDAE Compld		8.75 (±2.9)	9.62 (±2.3)	0.272
PPVT-IV		198.50 (±17.2)	202.00 (±12.2)	0.249
CAL		55.30 (±6.3)	63.30 (±8.9)	0.075
FMRI LI				
Broca's		0.14 (±0.27)	0.30 (±0.14)	0.025
Wernicke's		-0.15 (±0.33)	0.14 (±0.12)	0.036
Global		0.10 (±0.2)	0.27 (±0.9)	0.018
Semantic Decision Performance		60.10 (±16.3)	60.80 (±14.9)	0.500
Tone Decision Performance		59.50 (±19.5)	59.50 (±21.7)	0.753

EHI – Edinburgh Handedness Inventory; SFT – Semantic Fluency Test; COWAT – Controlled Oral Word Association Test; BNT – Boston Naming Test; PPVT – Peabody Picture Vocabulary Test; CAL – Communicative Abilities Log; BDAE Compld – Complex Ideation subtest from the Boston Diagnostic Aphasia Examination (BDAE); LI – lateralization index; Data reported as mean (\pm standard deviation); significance level based on the results of Wilcoxon matched-pair signed rank test.

utilized to localize left-hemispheric language centers for subsequent rTMS administration.

To parameterize the fMRI data, we used previously generated regions of interest (ROIs) from 49 healthy controls, to calculate the laterality indices (LI) in the frontal and temporo-parietal brain regions (see Figure 1 in Szaflarski et. al., 2008) [28]. These primary ROIs include the lateral inferior and middle frontal region that corresponds to Broca's area and the lateral/posterior temporal and parietal region that corresponds to Wernicke's area; these ROIs were superimposed on the corresponding right hemispheric homologues (right hemispheric ROIs mirrored the left hemispheric ones). We also combined both ROIs into one "global language ROI." Next, to avoid biases induced by arbitrary thresholding schemes, we determined the mean value of the t-statistics for all voxels within each ROIs; the number of voxels above the mean for each ROI was entered in the following formula to calculate the lateralization index: LI = (NL-NR)/(NL+NR), where NL and NR represent the number of voxels (left and right, respectively) above the mean t for each ROI [24]. This calculation method produces LI ranging from 1 (left lateralized) to -1 (right lateralized).

Neuropsychological testing of aphasia

All subjects completed a previously described aphasia testing protocol [14]. A battery of neuropsychological measures was obtained on the day of fMRI or within 1 week of initiating the nerTMS and again, within 1 week of completing the nerTMS protocol on the same day as the follow-up fMRI. The battery included 1) Boston Naming Test (BNT), 2) Controlled Oral Word Association Test (COWAT), 3) Semantic Fluency Test (SFT), 4) Complex Ideation subtest from the Boston Diagnostic Aphasia Examination (BDAE) and 5) Peabody Picture Vocabulary Test IV (PPVT IV). For COWAT, SFT, and PPVT IV, different forms of the tests were administered pre-/post-nerTMS. All subjects also completed Communicative Abilities Log (mini-CAL), a subjective, self-administered measure of communicative abilities [14].

Neuronavigated excitatory repetitive transcranial magnetic stimulation (nerTMS)

Single-pulse TMS was performed to establish resting motor threshold (RMT) and active motor threshold (AMT) with a Magstim 200[®] stimulator connected through a Bistim[®] module to a 70 mm figure-8 coil (Magstim Co., Wales, UK) [29]. Surface electromyography (EMG) leads were placed over the first dorsal interosseous (FDI) muscle of both hands. Subjects were seated comfortably, with both arms fully supported on a pillow. Full muscle relaxation was maintained through visual and online EMG monitoring. The coil was then placed over the primary motor cortex in the right and left hemisphere at the optimal site for obtaining a MEP in the FDI. After the RMT and AMT were determined, iTBS



Figure 1. Individual areas of fMRI activation that correspond to the nerTMS target (white circle) superimposed on individual T1weighted anatomical images to illustrate the extent of the ischemic strokes and the location of the BOLD signal changes. Subjects 1, 3, 4 and 6 presented with anomic aphasias (1 and 3 had also dysarthria and 6 had conduction aphasia); other subjects presented with non-fluent aphasias, all Broca's type. All images are in radiological convention (left on the picture corresponds to right in the brain).

Figure 2. Group z-score maps for the pre-rTMS (upper row) and post-rTMS (lower row) semantic decision and tone decision fMRI task. Brain regions showing BOLD signal increases for the tone decision > semantic decision contrast are shown in cyan/blue; regions showing BOLD signal increases for the semantic decision > tone decision are shown in yellow/red. BOLD signal changes are significant at an uncorrected p < 0.05. Each z-score map is presented in radiological convention, with left on the picture corresponding to the right hemisphere, and are superimposed on an average T1-weighted image generated from all subjects (dilatation of the left lateral ventricle and area of encephalomalacia in the left MCA distribution is clearly seen). Talairach coordinates of the selected slices for each panel range from z=-21mm (left) to z=+27 mm (right).

was performed using Magstim Rapid2® (Magstim Co., Wales, UK) with intensity set at 80% of AMT obtained from the right hemisphere. Motor threshold values from the left hemisphere were too high due to the LMCA stroke; therefore, these values were not used to set the rTMS intensity. The figure-8 coil was positioned tangentially to the skull, with the handle parallel to the sagittal axis pointing occipitally. ITBS consisted of bursts of three pulses at 50 Hz given every 200 milliseconds in two second trains, repeated every 10 seconds over 200 seconds for a total of 600 pulses [30]. BrainSightTM2 (Rogue Research Inc., Montreal, Canada) was used for neuronavigation to guide rTMS stimulation to the fMRI-identified residual left hemispheric Broca's area of each individual patient. This technology also enabled reliable three-dimensionally precise reapplication of rTMS throughout the study. Subjects received one session of iTBS each day for 10 consecutive days from Monday through Friday.

Data analyses

Data were initially characterized using descriptive statistics (means and standard deviations or frequencies and percentages as appropriate). Next, the pre-/post-rTMS aphasia testing and fMRI lateralization indices were compared using the Wilcoxon signed-rank test. All data management and analyses were performed using SPSS version 18.0 (SPSS, Chicago, IL, USA).

RESULTS

Eight subjects fulfilled the inclusion criteria and completed all study procedures (Table 1); additional 3 subjects signed the informed consent but were later excluded due to metallic artifact (2) and history of a single seizure at the time of stroke (1). All subjects were >1 year since the aphasia-producing stroke and were not engaged in any specific aphasia rehabilitation. Aphasia testing showed trends towards improvement with all performed tests except for COWAT (Table 1); improvements in semantic fluency were significant (p=0.028) and mini-CAL showed trend towards post-rTMS communication improvements (p=0.075). No subjects reported any seizures or serious adverse events.

MR images of individual strokes are presented in Figure 1. On these images, clearly noted are individual activations that served as a target for nerTMS (enclosed in circles). There does not appear to be any overlap between the areas of activation and the location of the stroke. Types of individual aphasias, based on clinical impression are provided in the legend. Group pre- and post-rTMS fMRI results are shown in Figure 2. Pre-rTMS scans showed areas of increased activation for the tone decision baseline in **Table 2.** Locations of activation (blood oxygenation level dependent (BOLD) signal) differences between pre- and post-rTMS intervention fMRI scans as shown in Figure 2. Talairach coordinates of the centroids (X, Y, Z) are given with the corresponding Brodmann's areas (BA) and anatomical location of the activation differences.

Region	ВА	X, Y, Z		
Areas with more post- than pre-rTMS BOLD signal				
Left gryus frontalis inferior	47	-40, 29, -15		
Left gryus frontalis inferior	44, 45	-43, 18, 12		
Left gyrus frontalis superior	10	-14, 59, 8		
Left gyrus frontalis medialis/superior and anterior cingulate gyrus	8, 9, 32	-11, 31, 33		
Left hippocampus and parahippocampal gyrus		-24, -16, -22		
Left nucleus caudalis and globus pallidus		-16, -2, 2		
Left lobulus parietalis inferior, gyrus supramarginalis,	39, 40	-43, -46, 22		
Midline/bilateral lingular gyrus and posterior/retrosplenial cingulate gyrus	18, 19, 23, 29, 30, 31	0, -56, 5		
Right gyrus precentralis	4	26, -17, 44		
Right nucleus caudalis and globus pallidus		8, 9, 8		
Right hippocampus and parahippocampal gyrus		22, -34, -22		
Areas with more pre- than post-rTMS BOLD signal				
Right inferior frontal gyrus and insula	45, 47	43, 3, -1		
Right gyrus temporalis superior and lobulus parietalis inferior	22, 40	48, -31, 15		



Figure 3. Pre-/post-rTMS group differences for the SDTD fMRI task shown as z-score maps. Brain regions showing post- > prerTMS BOLD signal are shown in yellow/ red; regions showing pre- > post-rTMS BOLD signal are shown in cyan/blue. BOLD signal changes are significant at an uncorrected *p* < 0.05. Each z-score map is presented in radiological convention, with left on the picture corresponding to the right hemisphere, and is superimposed on an average T1-weighted image generated from all subjects (dilatation of the left lateral ventricle and area of encephalomalacia in the left MCA distribution is clearly seen). Talairach coordinates of the selected slices range from z=-21 mm (top left) to z=+35 mm (bottom right). Locations of the centroids and the corresponding Brodmann's areas are provided in Table 2.

the right hemisphere and low-level activations predominantly in the left hemisphere. Post-rTMS group images showed clear overall increase in the BOLD signal changes in response to the intervention. Further, pre-/post-rTMS contrasts showed significant overall increases in the BOLD signal with apparent shifts to the left hemisphere (p=0.025 for Broca's; p=0.036 for Wernicke's; p=0.018 for the global ROI). While the pre- and post-rTMS locations of the BOLD signal changes are similar and include language areas typical for this task (Table 2), post-rTMS images showed shifts in activations predominantly to the left hemispheric head regions (Figure 3).

DISCUSSION

In this exploratory study we evaluated the safety and efficacy of the neuronavigated excitatory rTMS (nerTMS) applied to the identified by fMRI frontal language areas as a tool for poststroke aphasia rehabilitation. Despite a relatively small sample size (n=8), we were able to show significant improvements in language function after 2 weeks of stimulation that were associated with significant shifts of fMRI signal to the affected hemisphere; patients also tended to report subjective improvements in language skills after therapy completion (p=0.075). These results are very encouraging and support further testing of nerTMS as a post-stroke aphasia rehabilitation tool.

In general, rTMS involves repeated brain stimulation at regular frequencies; it is a noninvasive method of exciting or inhibiting neurons through repeated induction of weak electric currents in the brain via rapidly changing magnetic fields. If used with appropriate intensity, brain activity can be modulated using rTMS without discomfort or ill effects [31]. The cortical plasticity induced by rTMS and its therapeutic effects are thought to be primarily related to synaptic plasticity; shortand long-term modifications in neural communication mediated by post-synaptic NMDA receptors in which rTMS releases Mg++ blockade allowing Ca++ entering the post-synaptic cell and inducing long-term potentiation [16]. The rTMS stimulation area is usually very focal [32] and the changes induced by rTMS can persist well beyond the duration of the stimulation [30,33]. We speculate that the efficacy of the nerTMS observed in this study is related to the excitatory effect on the peri-stroke area and to the induction of long-term potentiation in this area. Further, we are encouraged by the fact that nerT-MS induced changes in the entire semantic decision network [26] (Figure 2) and not only in the left frontal (stimulated) area. This finding further supports the notion that the effect of nerTMS on a single area, if associated with improvement(s), may correspond to both local and remote changes in the relevant network, and, therefore, improvements in all languagerelated skills. While a further discussion about the localization of the pre-/post-rTMS BOLD signal changes is beyond the scope of this manuscript, of importance is that the postrTMS increases are seen in brain regions typically active during this fMRI task - left inferior frontal and left temporo-parietal regions [25,26]. Certainly, the left frontal BOLD signal increase may be related to the direct stimulation of that area in the course of therapy; this increase is in agreement with the improvements on an expressive language measure (SFT) and subjective verbal output reported by the patients (CAL) [14]. The BOLD signal increase in the left temporo-parietal region (the area that is typically responsible for lexical retrieval and word meaning) was observed even though this region was not directly stimulated with rTMS, and is also consistent with the observed improvements on the semantic fluency test (SFT).

In healthy controls, inhibitory rTMS may decrease motor and cognitive performance in the stimulated area [16]. In patients with post-stroke aphasia caused by LMCA stroke, inhibitory rTMS applied to the unaffected, non-dominant right-hemispheric language homologues improved the left-hemispheric language functions [34]. RTMS-evoked inhibition of redundant language circuits in the non-dominant hemisphere may release the damaged, dominant language networks allowing them to participate in post-stroke recovery. These findings support the hypothesis that the residual left-hemispheric network(s) may be more important or effective for post-stroke language processing when not negatively influenced by the redundant non-dominant (less effective) circuitry.

In contrast to the inhibitory rTMS, excitatory rTMS has been shown to facilitate language processing via increased shortand long-term cortical excitability; rTMS applied to the left-hemispheric speech area facilitated naming in healthy controls [35] or patients with Alzheimer's disease [36] or Primary Progressive Aphasia [37]. In general, as a treatment, rTMS has been shown in numerous pilot studies to be efficacious in various neurological and psychiatric conditions [38] and is now FDA-cleared for the treatment of depression. The findings from the above studies combined with our findings suggest that the application of nerTMS to the damaged by stroke residual language circuits in the dominant-hemisphere may indeed lead to improved language skill as observed in our preliminary study.

Our original hypothesis that stimulating the left hemispheric language areas with nerTMS would produce aphasia improvements is further supported by the finding of increased postnerTMS BOLD signal activation (when compared to the prerTMS fMRI) in the dominant-hemisphere language circuits and decreased involvement of the non-dominant for language hemisphere after the course of therapy as observed with fMRI. Post-stroke neuroimaging studies that have evaluated recovery from aphasia in adults with unilateral lesions show evidence of cortical reorganization and migration of language functions to the non-dominant hemisphere after a dominant hemisphere insult [20,23,39]. Interestingly, one study that showed such a redistribution pattern using PET and fMRI language tasks also found negative association between increased non-dominant inferior frontal gyrus activation and recovery after an ischemic stroke [40]. The best recovery was observed in patients with peri-infarct activation on the fMRI and PET studies. Winhuisen et al. (2007) applied inhibitory rTMS to the non-dominant language circuits and found improved poststroke aphasia recovery in patients with preserved left-hemispheric language centers; recovery was independent of the recruitment of the non-dominant homologues [19]. A recent study showed that the fMRI activation may be dependent on the phase of post-stroke recovery with early right-hemispheric upregulation of the fMRI signal changes correlating with language recovery and late consolidation of the activation in the left-hemispheric language centers [41]. This and other studies support the presence of preexisting language pathways in both, the dominant and non-dominant hemispheres and suggest that in health the circuitry in the non-dominant hemisphere may be inhibited by the active circuitry in the dominant hemisphere; when the preferred pathway is interrupted (as in a stroke), the non-dominant circuitry is uninhibited, hence activated. These studies suggest that increased reliance on language circuits in the dominant hemisphere supports higher levels of recovery from aphasia after stroke [20,23]. As hypothesized, in this study nerTMS applied to the identified by fMRI language areas facilitated the increased reliance on these areas for post-stroke aphasia recovery.

Early reports [42] of possible seizures associated with TMS led over 10 years ago to the development of safety rules regarding stimulation frequency, intensity, train duration and intertrain interval [17,43]. Multiple subsequent studies of rTMS have demonstrated this to be a safe technique for use in studies of stroke [44] and even in epilepsy [45]. In healthy adult studies, TBS has been well tolerated. However, there is one report of a seizure in a healthy subject caused by continuous TBS stimulation with intensity set at approximately 100% of the resting motor threshold, a threshold far exceeding our protocol [46]. Our results also demonstrate the safety of the nerTMS protocol; no adverse reactions were observed in our pilot group of stroke patients despite 10 daily 200 seconds long nerTMS (iTBS) sessions; subjects reported no discomfort and the subjective assessment of pre-/post-rTMS language skills (mini-CAL) indicated the patients felt the treatments they received were beneficial. Therefore we are confident in proposing nerTMS as a safe and potentially beneficial intervention in patients with chronic aphasia after stroke.

The shortcomings of this study include small sample size, lack of sham treatment, un-blinded application of rTMS and only short-term follow-up. Nevertheless, we were able to show improved language skills and shifts of fMRI activations to the left hemisphere in response to the neuronavigated rTMS. Future studies should focus on addressing these limitations.

CONCLUSIONS

In this study, we provide preliminary evidence that fMRI-guided, excitatory rTMS applied to the affected Broca's area improves language skills in patients with chronic post-stroke aphasia. We also show that these linguistic gains are associated with stronger language lateralization to the dominant left hemisphere. Finally, in addition to the objective gains, patients tend to report improvements in their language skills after the intervention. Therefore, the used in this study rTMS protocol appears to be safe and should be further tested in blinded studies assessing its short- and long-term safety and efficacy for post-stroke aphasia rehabilitation.

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