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| Title:           | A RANDOMIZED PHASE 3 TRIAL OF TRC105 AND PAZOPANIE VERSUS PAZOPANIB ALONE IN PATIENTS WITH ADVANCED ANGIOSARCOMA (TAPPAS) |
|------------------|---|
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# 6 PROCEDURES IN CASE OF EMERGENCY

# 7 Table 1: Emergency Contact Information

| Role in Study | Name | Address and Telephone number |
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# **Table 2: Abbreviations and Specialist Terms**

| Abbreviation or specialist term | Explanation   |
|---------------------------------|---|
| 2D                              | Two Dimensional   |
| ADA                             | Anti-Drug Antibody  |
| ADCC                            | Antibody-Dependent Cell-mediated Cytotoxicity                 |
| ADR                             | Adverse Drug Reaction   |
| AE                              | Adverse Event   |
| ALKs                            | Activin receptor-Like Kinases                                 |
| ALT                             | Alanine Aminotransferase                                      |
| ANC                             | Absolute Neutrophil Count                                     |
| AST                             | Aspartate Aminotransferase                                    |
| AUC                             | Area Under the Curve  |
| AUC <sub>last</sub>             | Time of Last Measurable Concentration of Area Under the Curve |
| BMP                             | Bone Morphogenic Protein                                      |
| BUN                             | Blood Urea Nitrogen   |
| CABG                            | Coronary Artery Bypass Graft                                  |
| CA-125                          | Cancer Antigen 125  |
| CBC                             | Complete Blood Count  |
| CEA                             | Carcinoembryonic Antigen                                      |
| CFR                             | Code of Federal Regulations                                   |
| СНО                             | Chinese Hamster Ovary   |
| CL                              | Clearance   |
| C <sub>max</sub>                | Maximum Serum Concentration                                   |
| CR                              | Complete Response   |
| CRF                             | Case Report Form  |
| СТ                              | Computed Tomography   |
| СТС                             | Common Terminology Criteria                                   |
| СТС                             | Circulating Tumor Cell  |
| CTCAE                           | Common Terminology Criteria for Adverse Events                |
| DEHP                            | Di(2-ethyl-hexyl)phthalate                                    |
| DICOM                           | Digital Imaging and Communications in Medicine                |
| dL                              | Deciliter   |
| DLT                             | Dose-Limiting Toxicity  |
| DR                              | Duration of Response  |
| ECG                             | Electrocardiogram   |
| ECL                             | Electrochemiluminescent                                       |
| ECOG                            | Eastern Cooperative Oncology Group                            |
| eCRF                            | Electronic Case Report Form                                   |
| EDTA                            | Ethylenediamine Tetra-Acetic Acid                             |
| EGFR                            | Epidermal Growth Factor Receptor                              |
| ELISA                           | Enzyme-Linked ImmunoSorbent Assay                             |

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| EMA     | European Medicines Agency                                  |
|---------|--|
| EORTC   | European Organisation for Research and Treatment of Cancer |
| EOS     | End of Study   |
| EU      | European Union   |
| FDA     | Food and Drug Administration                               |
| Fe      | Iron   |
| FGF     | Fibroblast Growth Factor                                   |
| g       | Gram   |
| GCP     | Good Clinical Practice                                     |
| GIST    | Gastrointestinal Stromal Tumor                             |
| HACA    | Human Anti-Chimeric Antibodies                             |
| HAMA    | Human Anti-Murine Antibodies                               |
| HHT-1   | Hereditary Hemorrhagic Telangiectasia Type 1               |
| HIF-1-α | Hypoxia-Inducible Factor-1-α                               |
| HIPAA   | Health Insurance Portability and Accountability Act        |
| HIV     | Human Immunodeficiency Virus                               |
| HUVECs  | Human Umbilical Vein Endothelial Cells                     |
| IB      | Investigational Brochure                                   |
| ICH     | International Conference on Harmonisation                  |
| ID      | Identification   |
| IEC     | Independent Ethics Committee                               |
| IgG     | Immunoglobulin G   |
| i.m.    | Intramuscular  |
| INR     | International Normalized Ratio                             |
| IRB     | Institutional Review Board                                 |
| IUD     | Intrauterine Device  |
| i.v.    | Intravenous  |
| kg      | Kilogram   |
| L       | Liter  |
| μL      | Microliter   |
| mg      | Milligram  |
| MI      | Myocardial Infarction                                      |
| mL      | Milliliter   |
| mm      | Millimeter   |
| mM      | Millimolar   |
| mm HG   | Millimeters of Mercury                                     |
| MRI     | Magnetic Resonance Imaging                                 |
| MTD     | Maximum-Tolerated Dose                                     |
| NCI     | National Cancer Institute                                  |
| NICE    | National Institute for Health and Care Excellence          |
| NE      | Non-evaluable  |
| ng      | Nanogram   |

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| NSO       | Murine Myeloma Cell Line  |
|-----------|---|
| OS        | Overall Survival  |
| PBS       | Phosphate-Buffered Saline   |
| PD        | Progressive Disease   |
| PET       | Positron Emission Tomography  |
| PFS       | Progression Free Survival   |
| PIGF      | Placental Growth Factor   |
| рМ        | Picomolar   |
| p.o.      | Orally  |
| PPM       | Pixels Per Millimeter   |
| PR        | Partial Response  |
| PSA       | Prostate-Specific Antigen   |
| PTCA      | Percutaneous Transluminal Coronary Angioplasty                        |
| QA        | Quality Assurance   |
| QT        | QT Wave Interval on ECG   |
| RECIST    | Response Evaluation Criteria in Solid Tumors                          |
| SAE       | Serious Adverse Event   |
| sCD105    | Soluble CD105/endoglin  |
| SCID      | Severe Combined Immunodeficient                                       |
| SD        | Stable Disease  |
| SGOT      | Serum Glutamic Oxaloacetic Transaminase                               |
| SGPT      | Serum Glutamic Pyruvic Transaminase                                   |
| SN6j      | Murine parent antibody of TRC105                                      |
| STS       | Soft tissue sarcoma   |
| TGF-β     | Transforming Growth Factor - Beta                                     |
| TSH       | Thyroid Stimulating Hormone   |
| UK        | United Kingdom  |
| ULN       | Upper Limit of Normal   |
| UPCR      | Urine Protein-Creatinine Ratio  |
| US        | United States of America  |
| VEGF      | Vascular Endothelial Growth Factor                                    |
| VEGFR     | Vascular Endothelial Growth Factor Receptor                           |
| VEGFR TKI | Vascular Endothelial Growth Factor Receptor Tyrosine Kinase Inhibitor |
| WHO       | World Health Organization   |

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### 2. BACKGROUND

### 2.1. Angiosarcoma

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- Sarcomas are rare tumors that originate from mesenchymal tissues (e.g., bone, cartilage, fat and
- muscle). In the United States, the incidence of bone and soft tissue sarcomas is approximately
- 13,000 new cases per year, leading to more than 5,000 deaths annually [1]. According to the
- WHO classification of 2002, over 70 different types of sarcoma have been described [2].
- 190 Localized tumors are curable but patients with metastatic disease have a median survival of
- approximately 12 months[3]. Standard systemic chemotherapy regimens are poorly tolerated
- and of limited usefulness with response rates in the range of 10-40% [3].
- Angiosarcomas are rare, aggressive, and heterogenous tumors of endothelial cell origin
- accounting for approximately 2% of soft tissue sarcoma (STS) [4]. Anigiosarcoma can arise in
- any soft-tissue structure or viscera. About half of patients present with a primary cutaneous
- lesion (skin, scalp). The pathogenesis of this presentation includes prior radiation exposure as
- well as inflammatory damage in chronically sun exposed skin. Non-cutaneous angiosarcoma
- 198 (soft tissue, bone, viscera) can occur in the setting of prior radiation exposure or other unknown
- causes. Angiosarcoma has also been associated with prolonged lymphedema from any cause.
- 200 Although complete resection with curative intent followed by adjuvant radiotherapy is the
- treatment of choice for localized disease amenable to surgery, approximately 50% of these
- 202 patients will develop metastasis and die from the disease. Furthermore, metastases are frequently
- present at the time of diagnosis [5]. The surgical removal of metastatic lesion is rarely feasible
- 204 [6]. Treatment options are limited for advanced disease and of modest benefit. The median
- overall survival is <12 months for patients with metastatic disease [5, 7, 8]. There are no
- approved therapies specifically for angiosracoma. Aside from pazopanib, standard regimens for
- advanced angiosarcoma include taxanes, anthracyclines, and gemcitabine. Tumor control with
- 208 these therapies have been short-lived with median PFS ranging from 3.9 to 6.6 months [5, 9].
- 209 Recently, the VEGFR TKI pazopanib was approved based on improved progression free survival
- 210 (PFS) in the United States for the treatment of patients with advanced soft tissue sarcoma who
- 211 have received prior chemotherapy and approved in Europe for adult patients with selective
- subtypes of advanced soft tissue sarcoma who have received prior chemotherapy for metastatic
- 213 disease or who have progressed within 12 months after (neo) adjuvant therapy. Pazopanib
- 214 improved PFS in chemotherapy refractory patients compared to placebo (PFS of 4.6 months with
- pazopanib versus 1.6 months with placebo) and was generally well tolerated, with the most
- 216 common AEs seen at higher levels than in patients treated with placebo being fatigue, diarrhea,
- 217 nausea, weight decreased and hypertension. However, activity in angiosarcoma is limited; no
- complete responses and median PFS of 3.02 months were observed in the largest series of
- angiosarcoma patients (n=30) treated with pazopanib reported to date [10]. Studies of other
- VEGFR TKIs confirm a poor response rate and PFS of < 4 months [5].
- 221 The short time to progression following current treatment emphasizes that angiosarcoma is a
- disease in need of more effective and well tolerated treatment options.

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### 2.2. Angiogenesis and Cancer

- Angiogenesis is required for the survival and growth of solid cancers [11, 12]. It is generally
- accepted that solid cancers have two phases, an avascular phase and a vascular phase [12].
- During the initial avascular phase, tumors exist as small aggregates of malignant cells supported
- by simple diffusion of oxygen and nutrients. The progressive growth of solid cancers beyond
- 228 clinically occult sizes requires the continuous formation of new blood vessels, a process known
- as tumor angiogenesis. Tumor growth and metastasis require angiogenesis. Therefore, inhibition
- of tumor angiogenesis and selective inhibition of the tumor vasculature represent potentially
- effective strategies for the prevention and treatment of solid cancers.
- Therapies that are directed against targets implicated in the development of tumor angiogenesis
- are attractive for many reasons. First, except for female reproduction and wound healing,
- angiogenesis in adults is generally part of a pathologic process such as tumor growth or
- 235 choroidal neovascularization. Second, treatments that interrupt tumor angiogenesis should apply
- broadly to all solid cancers. Third, angiogenic targets are present in the plasma or on endothelial
- cells themselves. These targets are readily accessible to antibody treatments, in contrast to targets
- expressed within tumors that are more difficult for antibodies to access. Fourth, angiogenic
- targets on vascular endothelial cells are less prone to genetic mutation than targets expressed by
- 240 genetically unstable cancer cells. As a result, development of resistance may be more predictable
- for agents that target endothelial cell functions than for those targeting cancer cells.
- Indeed, agents that target pathways required for tumor angiogenesis have an important role in the
- 243 therapy of cancer patients. The monoclonal antibody bevacizumab, which binds to the
- angiogenic cytokine VEGF, significantly prolongs overall survival for patients with advanced
- colorectal cancer or non-small cell lung cancer when added to standard chemotherapy regimens
- 246 [13, 14]. Bevacizumab is also effective therapy for renal cell cancer and malignant glioma [15-
- 247 17]. Orally available small molecule VEGF inhibitors include sunitinib, sorafenib, pazopanib,
- and axitinib, which have been shown to prolong survival in patients with metastatic renal cell
- cancer, hepatocellular cancer, colorectal cancer, and sarcoma [18-21].

### 2.2.1. Endoglin (CD105) and Angiogenesis

- Endoglin (CD105) is a homodimeric cell membrane glycoprotein that was initially identified as a
- 252 human leukemia-associated antigen [22] and later also found on endothelial cells [23, 24].
- Endoglin is a transforming growth factor- $\beta$  (TGF- $\beta$ ) coreceptor that is essential for angiogenesis
- 254 [25, 26] and is strongly expressed on the proliferating vascular endothelium of solid tumors [24,
- 255 27]. Endoglin acts to modulate signaling of multiple kinase receptor complexes of the TGF-β
- 256 superfamily, including TGF-β receptors, activin receptor-like kinases (ALKs), bone
- morphogenic protein (BMP) receptors, and activin receptors [28]. TGF-β binds to endoglin
- 258 complexed with TGF-β receptors causing phosphorylation of SMAD 2 and 3 proteins, which
- 259 inhibit endothelial cell growth. However, BMP activates endoglin complexed with BMP receptor
- 260 2, causing phosphorylation of SMAD 1 and 5, which activate endothelium by overriding the
- 261 growth inhibitory effects of TGF-β receptor signaling on endothelium [29]. Not surprisingly,
- prevention of endoglin activation by endoglin antibody acts synergistically with TGF-β to inhibit

endothelial cell growth [30].

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- Endoglin expression is required for endothelial cell proliferation and is upregulated in the setting 264 of hypoxia through the induction of hypoxia-inducible factor-1-α (HIF-1-α) [31, 32]. Endoglin 265 has also been shown to protect hypoxic cells from apoptosis [33]. In adults, endoglin expression 266 is limited to vascular endothelial cells, activated monocytes, activated fibroblasts, and 267 proerythroblasts, a red blood cell precursor [34]. All of these properties make endoglin an 268 269 attractive target for the antiangiogenic therapy of cancer [35]. In animal models, endoglin 270 targeted therapy has demonstrated antiangiogenic effects by inducing regression of established tumors as well as by preventing new tumor formation and inhibiting expansion of existing 271 tumors [24, 36-39]. Therefore, endoglin offers a novel alternative target relative to the VEGF 272 inhibitors currently available for antiangiogenesis therapy. 273
- Importantly, endoglin expression is upregulated in tumor endothelial cells following inhibition of the VEGF pathway. Endoglin expression increased more than 2-fold in human pancreatic cancers grown in mice treated with an antibody that binds VEGF [40]. As well, treatment of human bladder cancers grown in mice with an antibody that blocks activation of the VEGF receptor increased endoglin expression within the core tumor vasculature [41]. Preclinical data suggest that targeting the endoglin pathway and the VEGF pathway concurrently is a more effective means of inhibiting angiogenesis than targeting either pathway individually [42, 43].
- 281 Endoglin is critical for normal human blood vessel development [44]. Endoglin haplotype insufficiency causes a well-described syndrome known as hereditary hemorrhagic telangiectasia 282 type 1 (HHT-1 or Osler-Weber-Rendu Syndrome). HHT-1 is a rare autosomal dominant genetic 283 disorder characterized by localized telangiectasia involving the nasal, buccal, gastrointestinal 284 mucosa and skin microvasculature. Telangiectasia also occur in vessels from internal organs 285 including the lungs, liver and brain [45]. The genotype is manifested *in utero*, but the phenotype 286 does not become apparent for many years following birth. Affected patients commonly present 287 288 with epistaxis in the second decade of life. The phenotype of this disorder is characterized by vascular effects, indicating the specific role of endoglin in vascular development [46]. 289

### 2.2.2. Endoglin and Angiosarcoma

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- Endoglin is also expressed on the tumor tissue of certain tumor types in addition to the tumor 291 vasculature. Endoglin is a marker of mesenchymal stem cells, the normal cell type from which 292 293 sarcomas originate [47, 48]. Endoglin-expressing sarcomas are relatively frequent and express endoglin at higher density than carcinoma cell lines. In one report, high surface expression of 294 295 endoglin by whole cell flow cytometry was seen in 7 of 8 sarcoma cell lines compared to only 4 of 16 carcinoma cell lines [49]. Moreover, the level of endoglin expression correlated with 296 proliferative capacity, and the addition of neutralizing endoglin antibodies reversed the increase 297 in proliferation. 298
- Endoglin expression in human sarcoma tumor tissue has been reported by several groups.

  Gromova *et al.* found endoglin on 26 of 49 human gastrointestinal stromal tumors (GIST), and
- 301 higher expression correlated with more aggressive tumors and high risk disease [50]. Moreover,
- 302 endoglin knockdown reversed the increased tumor cell plasticity, invasiveness, and anchorage
- 303 independent growth associated with endoglin expression [51]. Other endoglin-expressing
- sarcomas identified in the literature include angiosarcoma, osteosarcoma, leiomyosarcoma,

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305 malignant fibrous histiocytoma (undifferentiated pleomorphic sarcoma), Kaposi's sarcoma,

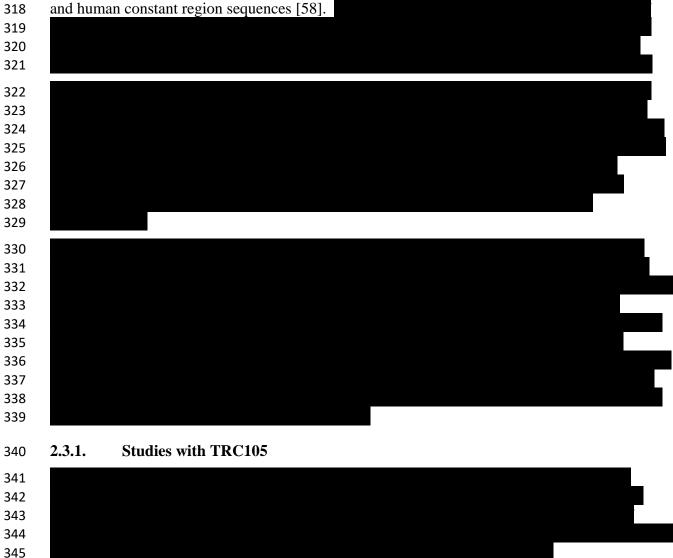
- Wilms tumor, and chondrosarcoma [52-56].
- 307 Endoglin expression was evaluated by immunohistochemistry on archival tumor samples from
- almost 150 patients with various types of sarcoma [57]. Among the sarcoma tumor types
- evaluated, angiosarcoma expressed endoglin on tumor tissue most universally (19 of 20 samples)
- and densely. TRC105 is expected to be more active in tumor types, particularly angiosarcoma,
- that densely express endoglin on tumor tissue in addition to tumor vasculature.

### 2.3. TRC105 Background

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- 313 TRC105 is a novel immunoglobulin G1 (IgG1) antibody that binds endoglin with high avidity.
- 314 TRC105 is a genetically engineered human/murine chimeric monoclonal antibody directed
- against human endoglin [58], a growth proliferation receptor found on the surface of normal and
- proliferating endothelial cells [24, 32, 36].

The antibody is an IgG1 kappa immunoglobulin containing murine variable region sequences



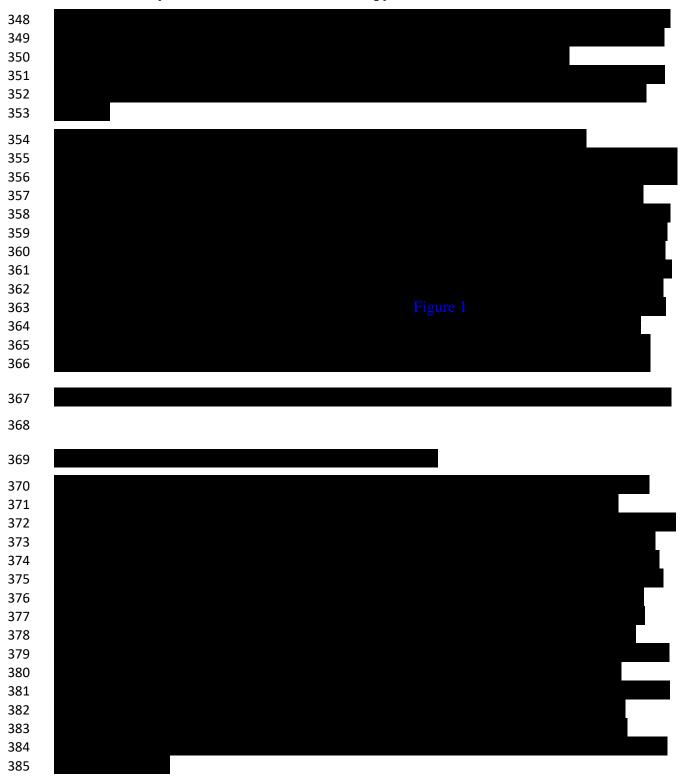
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# 2.3.1.1. Study 105ST101 Phase 1 Monotherapy

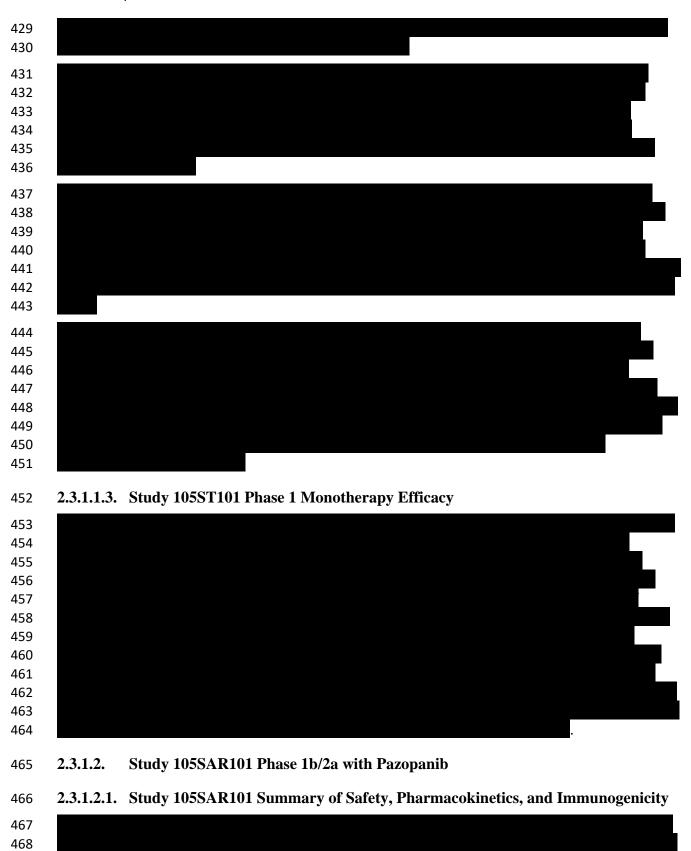
# 2.3.1.1.1. Study 105ST101 Phase 1 Monotherapy Pharmacokinetics



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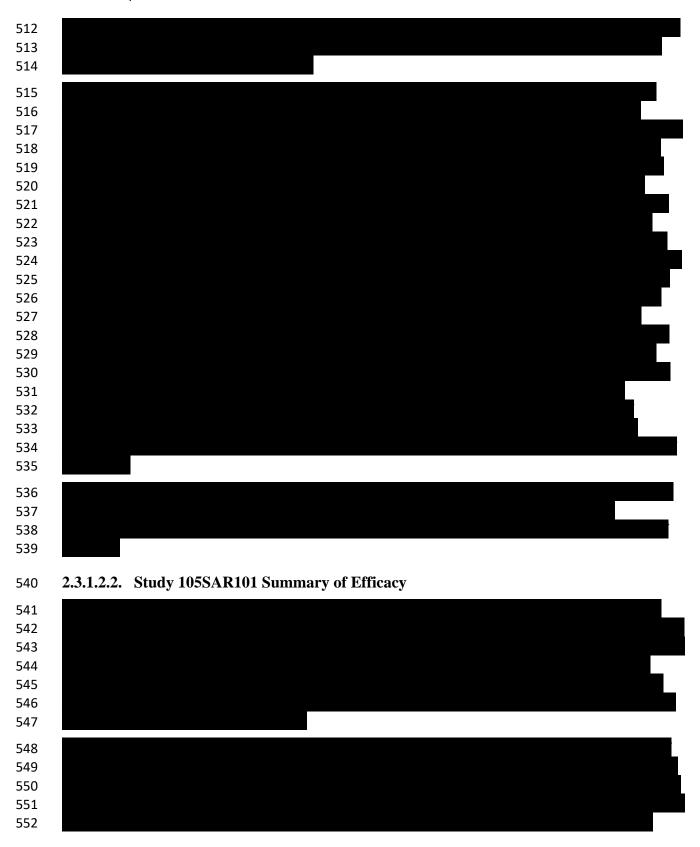
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### 2.4. Study 105SAR301 Rationale

The rationale for combining TRC105 with pazopanib for the treatment of angiosarcoma is three-fold. First, several nonclinical studies indicate endoglin is a dominant mechanism of escape from VEGF inhibitor therapy. Pazopanib is a VEGF inhibitor indicated for the treatment of adult patients with selective subtypes of advanced STS, including angiosarcoma, who have received prior chemotherapy for metastatic disease or who have progressed within 12 months following (neo) adjuvant therapy. Nonclinical and preliminary clinical data suggest that targeting the endoglin pathway and VEGF pathway concurrently is a more effective means of inhibiting angiogenesis than targeting either pathway alone; accordingly, the combination of TRC105 and pazopanib may be a more effective means of treating sarcoma compared to single agent pazopanib. Second, endoglin is expressed densely on tumor cells in angiosarcoma, a malignancy of endothelial cells, providing an additional mechanism of direct targeting of cancer cells (in addition to targeting proliferating tumor vasculature). Finally, because they target distinct pathways, the toxicity profile of TRC105 and pazopanib are non-overlapping and the drugs have been tolerable when given concurrently for more than 24 months.

Clinical data from Phase 1b/2 study 105SAR101 validate the biological rationale, in that robust activity, including durable complete responses, was observed in angiosarcoma. The combination of the recommended TRC105 dose of 10 mg/kg weekly with standard dose pazopanib was also shown to be well tolerated. Importantly, the tolerability of the combination allows for extended dosing durations in contrast to more toxic chemotherapy regimens.

### 2.5. Population to be Studied

- Patients with histologically-confirmed angiosarcoma that is not amenable to curative intent surgery (e.g., metastatic or bulky disease and disease for which surgical resection would carry an
- unacceptable risk to the patient) who have not received pazopanib or TRC105 previously will be
- 581 enrolled in this trial.
- Due to the rarity of angiosarcoma, and lack of standard of care for patients 12 to 17 year of age,
- these patients, who are eligible only after enrollment in Cohort 1 is complete, will not be
- randomized and will receive only the combination TRC105 and pazopanib treatment.

### **2.6.** Potential Risks and Benefits to Human Patients

Please refer to the current TRC105 Investigational Brochure (IB) for a complete review of potential risks and benefits of TRC105.

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#### 2.6.1. **Potential Risks**

#### 2.6.1.1. **TRC105**

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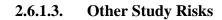
#### 2.6.1.2. **Pazopanib**

- 621 The most common adverse reactions in patients with advanced soft tissue sarcoma ( $\geq 20\%$ ) are
- fatigue, diarrhea, nausea, decreased weight, hypertension, decreased appetite, hair color changes, 622
- vomiting, tumor pain, dysgeusia, headache, musculoskeletal pain, myalgia, gastrointestinal pain, 623
- and dyspnea.. 624

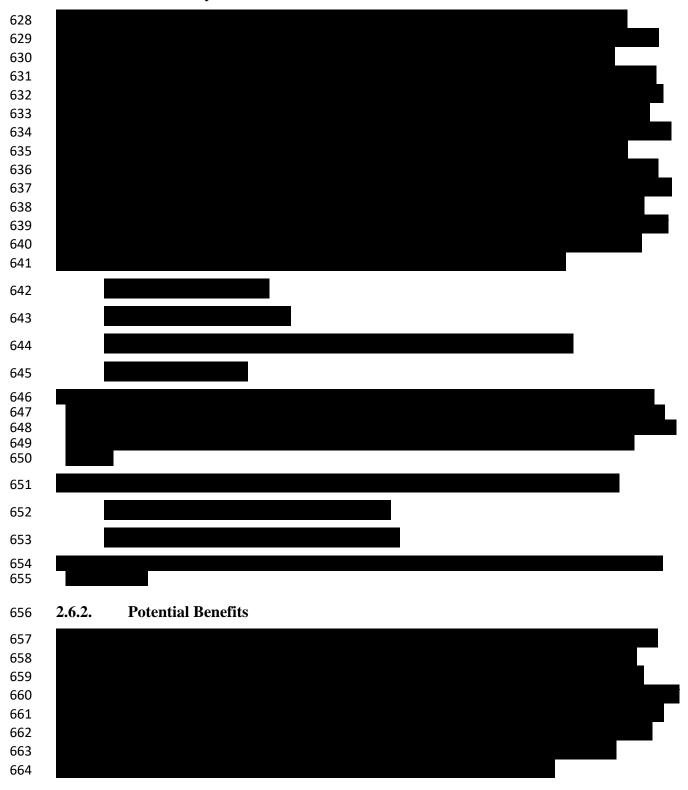
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- Further details are available in the current product labeling for pazopanib, such as the current 625
- package insert. 626

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| 2.7. | Study | Conduct |
|------|-------|---------|
|      |       |         |

- This study will be conducted in accordance with Good Clinical Practice (GCP), as defined by the
- International Conference on Harmonisation (ICH) and in accordance with the ethical principles
- underlying the European Union Directive 2001/20/EC and the United States Code of Federal
- 669 Regulations (CFR), Title 21, Part 50 (21CFR50).
- The study will be conducted in compliance with the protocol. The protocol and any protocol
- amendments and the subject informed consent must receive Institutional Review
- Board/Independent Ethics Committee (IRB/IEC) approval/favorable opinion prior to initiation of
- the study.

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### TRIAL OBJECTIVES AND ENDPOINTS **3.** 674 3.1. **Primary Objective** 675 To compare PFS of TRC105 and pazopanib vs single agent pazopanib in patients 676 with unresectable angiosarcoma 677 3.2. **Secondary Objectives** 678 To compare the objective response rate (ORR) of TRC105 and pazopanib vs single 679 agent pazopanib in patients with unresectable angiosarcoma 680 To compare overall survival (OS) of TRC105 and pazopanib vs single agent 681 pazopanib in patients with unresectable angiosarcoma 682 To assess the overall safety and tolerability of TRC105 and pazopanib vs single agent 683 pazopanib in patients with unresectable angiosarcoma 684 To characterize patient reported outcomes between the two arms of the study 685 To characterize the pharmacokinetic (PK) profile of TRC105 and pazopanib between 686 the two arms of the study 687 To assess PFS and ORR by Investigator assessment between the two arms of the 688 689 study To characterize the immunogenicity of TRC105 690 3.3. **Exploratory Objectives** 691 To correlate efficacy endpoints (e.g., PFS, ORR and OS) with endoglin expression on 692 angiosarcoma tumor samples 693 To correlate efficacy endpoints (e.g., PFS, ORR and OS) with circulating angiogenic 694 protein biomarkers 695 To correlate efficacy endpoints (e.g., PFS, ORR and OS) with numbers of endoglin 696

expressing circulating tumor cells (CTCs)

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### 4. TRIAL ENDPOINTS

## 4.1. Primary Endpoint

• PFS is defined as time from randomization to either first disease progression (per independent radiology review of images by RECIST 1.1) or death from any cause. For the purpose of analysis for patients who are alive at the time of analysis and have not had disease progression, the following rules will apply: (1) The patient will be censored on the date of the last tumor assessment documenting absence of progressive disease; (2) if the patient was given antitumor treatment other than study drug treatment, the patient will be censored as of the date of the last tumor assessment prior to initiating that antitumor therapy; (3) if the patient was removed from study for toxicity or other reason, the patient will be censored as of the date of the last tumor assessment on study. With regard to missed tumor assessments, in the event of one missed tumor assessment followed by a subsequent assessment of progressive disease (PD), the subsequent PD assessment qualifies as objective tumor progression. In the event of more than one consecutive missing tumor assessment followed by a subsequent assessment of PD, the patient will be censored at the last adequate tumor assessment.

## 4.2. Secondary Endpoints

- Objective response rate (ORR) is defined as the number of patients with a best response of CR or PR divided by the number of randomized patients. ORR is defined as the best response designation recorded between the date of randomization and the date of documented progression, as determined by Central Radiographic Review according to RECIST 1.1, or date of subsequent therapy, whichever occurs first. For patients without documented progression or subsequent therapy, all available response designations will contribute to the ORR determination. A designation of CR or PR in this study requires confirmation at a subsequent consecutive assessment at least 4 weeks following the initial designation of CR or PR, respectively. Duration of response (DR) will be reported in patients who achieve ORR, but without a formal statistical comparison between arms.
- Overall Survival (OS) is defined as the time between the date of randomization and the date of death from any cause. Overall survival will be calculated in days as: Date of Death Date of Randomization +1. Subjects alive or lost to follow-up at the time of analysis will be censored at the date when they were last known to be alive.
- Type, incidence, severity (graded by NCI CTCAE, Version 4.03), timing, seriousness, and relatedness of AEs and laboratory abnormalities.
- Patient reported outcomes as measured by the EuroQol five dimensions questionnaire (EQ-5D-5L) and the EORTC QLQ-C30 questionnaire.
- TRC105 and pazopanib concentrations will be measured using validated methods from peak and trough samples.

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737 738 • Anti-TRC105 antibodies will be measured using validated methods and anti-drug antibody (ADA) titers will be correlated with PK parameters and AEs.

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### 5. INVESTIGATIONAL PLAN

## 740 5.1. Overall Study Design and Plan

- This is a multinational, multicenter, randomized, open label, parallel group, phase 3 study of
- TRC105 in combination with standard dose pazopanib compared to pazopanib alone in patients
- with angiosarcoma not amenable to curative intent surgery (e.g., metastatic or bulky disease and
- disease for which surgical resection would carry an unacceptable risk to the patient) who have
- not received pazopanib or TRC105 previously.
- Adult patients will be randomized in a 1:1 ratio to TRC105 in combination with standard dose
- pazopanib (Arm B) vs standard dose pazopanib alone (Arm A). Patients will be stratified by
- angiosarcoma type (cutaneous vs non-cutaneous) and the number of lines of prior systemic
- 749 therapy for angiosarcoma (0 versus 1 or 2). For the purposes of this study, cutaneous
- angiosarcoma will include primary skin/scalp angiosarcoma; all other angiosarcoma including
- primary subcutaneous angiosarcoma will be categorized as non-cutaneous (e.g., visceral, bone,
- 752 soft tissue).

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- 753 Due to possible differences in treatment effect on the cutaneous and non-cutaneous
- angiosarcoma subgroups, an adaptive enrichment design will be employed. An interim analysis
- is planned after 40 events or 30 days after the enrollment of 120 patients from Cohort 1 and will
- result in one of the following decisions: (1) no change to the study design and sample size, (2) no
- change to the study design but an increase in the sample size, or (3) termination of enrollment of
- an unresponsive non-cutaneous angiosarcoma subtype and adjustment of the sample size of the
- remaining cutaneous subtype. Cohort 1 is defined as the adult patients enrolled prior to the
- 760 interim analysis. Enrollment at a given site will be limited to a maximum of 15% of total
- patients. Additionally, enrollment of Cohort 1 will be monitored to ensure that no more than 50%
- of the total adult patients enrolled will have the non-cutaneous subtype.
- 763 Treatment in the assigned arm must start within 3 calendar days of randomization.

### 764 Figure 2: Trial Design Schema

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### 5.1.1. Overview

- All patients must sign a consent form (and assent if under 18) prior to undertaking any protocol-
- specified procedures. Prospective patients will be screened to determine if they qualify for the
- study within 28 days of randomization. Treatment in the assigned arm must start within 3 days of
- randomization. Toxicities will be graded according to the NCI CTCAE Version 4.03.
- All adult and adolescent patients with a BSA  $> 1.8 \text{ m}^2$  will initially receive pazopanib 800 mg
- p.o. daily either as single agent or in combination with TRC105. All other patients 12-17 years of
- age, who are eligible for only Cohort 2, must weigh > 40 kg at study enrollment will initially
- receive pazopanib 600 mg p.o. daily in combination with TRC105. The dose of TRC105,

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- administered in the combination treatment arm with pazopanib (Arm B) will be the same for
- patients of all ages, and consist of TRC105
- weekly thereafter.
- 780 Dose reductions of pazopanib and TRC105 are allowed per patient tolerance.

### 781 **5.1.2.** Trial Procedures

- All on-study procedures are permitted within the time window indicated in the Schedule of
- Assessments (Table 3 and Table 4).

## 784 **5.1.2.1.** Screening

- 785 The following screening procedures must be performed within 28 days prior to the first day of
- study therapy and will be performed according to the Schedule of Assessments (Table 3 and
- Table 4), with data collected in the eCRFs (except for data pertaining to central radiographic
- 788 review).

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- Patient signature on current IRB/EC-approved informed consent form. Prior to undergoing any study-specific procedure, patients must read and sign the current IRB/EC-approved informed consent form. Patients may sign consent (and assent if under 18) prior to the 28-day screening period.
- Medical history, baseline signs and symptoms, prior cancer therapy (along with the best response to each prior chemotherapy regimen and the reason for discontinuation of each regimen), prior cancer surgery, prior radiation therapy, the suspected cause of the angiosarcoma (to the extent it may be known or suspected), the specific reason the angiosarcoma tumor is considered not amenable to surgery, drug allergies, primary diagnosis and demographics.
- Physical examination including examination of all major body systems, Eastern Cooperative Oncology Group (ECOG) performance status, and vital signs.
- Hematology (including serum iron, transferrin and ferritin), coagulation (INR) and serum chemistry (including thyroid stimulating hormone [TSH]) to be performed locally.
- Serum pregnancy test for all females of childbearing potential to be performed locally.
- Urinalysis (e.g., dipstick) to be performed locally. Microscopic analysis and/or urine protein-creatinine ratio (UPCR) should be performed as clinically indicated.
- All patients: Computerized tomography (CT) or magnetic resonance imaging (MRI) scans of chest, abdomen and pelvis in addition to any other applicable sites of disease within 7 days of randomization. Brain and bone scans to be performed prior to starting the study if metastasis is suspected.
- Patients with cutaneous tumors: digital 2-dimensional (2D) color photography will be used to assess cutaneous lesions.
- 12-lead electrocardiogram (ECG) in triplicate (QTc, PR and QRS intervals and heart rate will be captured).

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- Assessment of concomitant medications and treatments from 28 days prior to the start of study treatment.
  - Tumor Tissue Specimens: angiosarcoma tumor specimen for each study participant. See Section 10.1.5 and separate laboratory guide for further collection and shipment information.
    - Patient questionnaires to characterize quality of life to be collected prior to randomization.
    - **Pediatric patients**: plain films (anterior-posterior and lateral) of right and left distal femur to evaluate the epiphyseal plates

### 5.1.2.2. Trial Period

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- Screening radiographic and photographic assessments, qualifying hematology (including iron
- studies), blood chemistry (including TSH testing), coagulation, urinalysis, physical examination,
- 826 ECG, pregnancy test and quality of life questionnaires do not need to be repeated on cycle 1 day
- 1 (C1D1) if acceptable screening assessments are performed within 7 days prior to
- randomization. Following randomization, C1D1 lab results do not need to re-meet eligibility
- criteria. However, lab abnormalities should be corrected as needed, per the investigator
- discretion, prior to treatment initiation. On days of TRC105 administration for patients assigned
- to Arm B, all assessments should be performed prior to dosing with TRC105 unless otherwise
- indicated in the Schedule of Assessments (Table 4).
- Patients who cannot tolerate pazopanib or TRC105 therapy and who demonstrate a response of
- complete response (CR), partial response (PR) or stable disease (SD) with the combination and
- are thought to benefit from continued single agent therapy may continue on study on TRC105 or
- pazopanib alone. The following will be performed according to the Schedule of Assessments
- 837 (Table 3 and Table 4), with data collected in the eCRFs (except for data pertaining to central
- 838 radiographic review).
  - Physical examination (may be performed up to 3 days prior to day 1 of each cycle) including examination of all major body systems, ECOG performance status, weight and vital signs (heart rate, temperature, blood pressure, respiratory rate).
    - Assessment of vital signs during TRC105 infusion (Arm B): Vital signs are to be assessed pre-infusion (i.e., within 30 minutes of starting the infusion), every 30 minutes during the infusion (+/- 15 minutes), and at the end of the infusion (i.e., within 30 minutes after completing the infusion). Vital signs should be monitored more frequently and/or beyond the completion of the infusion if medically indicated (e.g., if the patient experiences an infusion-related reaction that has not yet resolved).
  - Hematology, coagulation (INR) and serum chemistry (including TSH) to be performed locally.
  - Serum pregnancy test for all females of childbearing potential to be performed locally.
  - 12-lead ECG in triplicate (QTc, PR and QRS intervals and heart rate will be captured).

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- In case of prolongation of QTc interval > 500 msec, pazopanib will be held and appropriate investigations will be performed (e.g., cardiologist consultation, repeat ECG, continuous ECG monitoring, etc.). Rechallenge with pazopanib will be guided by cardiology input and will require authorization by TRACON.
- Urinalysis (e.g., dipstick) to be performed locally. Microscopic analysis and/or urine protein-creatinine ratio (UPCR) should be performed as clinically indicated.
- Blood sampling for TRC105 and pazopanib pharmacokinetics to be analyzed by a central laboratory (see laboratory manual for specific instructions regarding collection times, procedures, processing, storage and shipment).
- Blood sampling for immunogenicity to be analyzed by a central laboratory (see laboratory manual for specific instructions regarding collection, processing, storage and shipment).
- Blood sampling for protein biomarker analysis by a central laboratory (see laboratory manual for specific instructions regarding collection processing, storage and shipment)
- Blood sampling for CTCs by a central laboratory (see laboratory manual for specific instructions regarding collection processing, storage and shipment)
- All patients: CT or MRI scans of chest, abdomen and pelvis in addition to any other applicable sites of disease for all patients. Scan of the chest, abdomen, and pelvis to be performed on-study as outlined in the Schedule of Assessments. Known areas of disease should be consistently followed throughout the study. Assessments should be performed whenever disease progression is suspected. Allowable window for tumor imaging studies is +/- 7 days. Brain scans to be performed if metastasis is detected prior to starting the study or if suspected during study conduct.
- Patients with cutaneous tumors: digital 2D color photography will be used to assess cutaneous lesions. Assessment of cutaneous lesions to be performed as outlined in the Schedule of Assessments. Known areas of disease should be consistently followed throughout the study. Assessments should be performed whenever disease progression is suspected. Allowable window is +/- 7 days.
- Tumor assessments will continue to be collected on protocol schedule for all patients who come off study drug for reasons other than RECIST 1.1 defined PD until RECIST 1.1 defined PD or start of a new therapy.
- Administration of TRC105 for patients in Arm B. TRC105 diluted in normal saline will be administered as a 1 to 4 hour infusion (+/- 15 minutes) following premedication (see Section 7.1.6) according to the Schedule of Assessments.
  - Pazopanib dosing. The oral dose of pazopanib is 800 mg for adult and for adolescent patients with a BSA > 1.8 m² and 600 mg for all other patients < 18 year of age (who must weight ≥ 40 kg at study enrollment) once daily, in the evening except on cycle 1 day 15 per the footnote in the Schedule of Assessments, when the patient will be administered the pazopanib dose for that day in the clinic, without food (recommend at least 1 hour before or 2 hours after a meal) beginning on cycle 1 day 1.

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- Patient questionnaires to characterize quality of life will be collected at the time points indicated in the Schedule of Assessments.
- Assessment of AEs.

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- Assessment of concomitant medications and concomitant treatments.
- **Pediatric patients**: plain films (anterior-posterior and lateral) of right and left distal femur to evaluate the epiphyseal plates.

### 5.1.3. End of Study Assessments

- Assessments other than TRC105 pharmacokinetics, immunogenicity and protein biomarkers only need to be completed if they were not completed during the previous 2 weeks on study (during the last 6 weeks on study for radiologic/photographic tumor assessments). The following will be performed according to the Schedule of Assessments (Table 3 and Table 4), with data collected in the eCRFs (except for data pertaining to central radiographic review).
  - Physical examination including examination of all major body systems, ECOG performance status, and vital signs.
  - 12-lead ECG in triplicate (QT, PR and QRS intervals and heart rate will be captured).
  - Hematology, and serum chemistry (including TSH) to be performed locally.
  - Urinalysis (e.g., dipstick) to be performed locally. Microscopic analysis and/or urine protein-creatinine ratio (UPCR) should be performed as clinically indicated.
    - Blood sampling for TRC105 and pazopanib pharmacokinetics to be analyzed by a central laboratory (see laboratory manual for specific instructions regarding collection times, procedures, processing, storage and shipment).
    - Blood sampling for immunogenicity to be analyzed by a central laboratory (see laboratory manual for specific instructions regarding collection, processing, storage and shipment).
    - Blood sampling for protein biomarker analysis by a central laboratory (see laboratory manual for specific instructions regarding collection processing, storage and shipment)
    - All patients: CT or MRI scans of chest, abdomen and pelvis in addition to any other applicable sites of disease.
- Patients with cutaneous tumors: digital 2D color photography should be used to assess cutaneous lesions.
- Tumor assessments will continue to be collected on protocol schedule for all patients who come off study drug for reasons other than RECIST 1.1 defined PD until RECIST 1.1 defined PD or start of a new therapy.
- Assessment of AEs.
- Assessment of concomitant medications and concomitant treatments.

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• **Pediatric patients**: plain films (anterior-posterior and lateral) of right and left distal femur to evaluate the epiphyseal plates.

### **5.1.4.** Post-Treatment Follow-up

- The following will be performed according to the Schedule of Assessments (Table 3 and Table 4), with data collected in the eCRFs (except for data pertaining to central radiographic review). Samples should be collected and assessments performed even if new anti-cancer therapy commences during the follow-up period.
  - Assessment of AEs. The Investigator should continue to report any study treatment related or suspected AEs that occur beyond the AE reporting period.
  - Blood sampling for TRC105 and pazopanib pharmacokinetics will be analyzed by a central laboratory (see laboratory manual for specific instructions regarding collection times, procedures, processing, storage and shipment).
  - Blood sampling for immunogenicity to be analyzed by a central laboratory (see laboratory manual for specific instructions regarding collection, processing, storage and shipment).
  - Serum pregnancy test for all females of childbearing potential to be performed locally.
  - Assessment of concomitant medications and concomitant treatments.
  - Overall Survival follow-up via telephone or routine visit should occur every 3 months following the last dose of TRC105 or pazopanib until death.

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# 950 Table 3: Schedule of Assessments Arm A: Single Agent Pazopanib

|                                 | Screening | C                                  | ycle 1 [25]  |               | Cycle 2 [25] |              |               | Cycle 3+ [22] [25] |              |               | End<br>of        | 28-Day             |                          |
|---------------------------------|-----------|------------------------------------|--------------|---------------|--------------|--------------|---------------|--------------------|--------------|---------------|------------------|--------------------|--------------------------|
| Protocol Activities             | Day -28   | Day 1<br>[1] [2]                   | Day 8<br>[1] | Day 15<br>[1] | Day 1<br>[1] | Day 8<br>[1] | Day 15<br>[1] | Day 1<br>[1]       | Day 8<br>[1] | Day 15<br>[1] | Treatment<br>[3] | Follow-<br>up [23] | Overall<br>Survival [24] |
| Baseline Documentation          |           |                                    |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Informed Consent [4]            | Х         |                                    |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Medical/Oncology History [5]    | Х         |                                    |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Baseline Signs and Symptoms [5] | Х         |                                    |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Physical Examination [6]        | Х         | Х                                  |              |               | Х            |              |               | Х                  |              |               | Х                |                    |                          |
| Vital Signs [7]                 | Х         | Х                                  | Х            | Х             | Х            | Х            | Х             | Х                  | [X]          | [X]           | Х                |                    |                          |
| Laboratory Studies              |           |                                    |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Hematology [8]                  | X+Fe      | Х                                  |              | Х             | Х            |              |               | Х                  |              |               | Х                |                    |                          |
| Coagulation [8]                 | Х         | Х                                  |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Blood Chemistry [8]             | X+TSH     | X+TSH                              |              | Х             | X+TSH        |              |               | X+TSH              |              |               | X+TSH            |                    |                          |
| Pregnancy Test [9]              | Х         | Х                                  |              |               | Х            |              |               | Х                  |              |               | Х                | Х                  |                          |
| Urinalysis [10]                 | Х         | Х                                  |              |               | Х            |              |               | Х                  |              |               | Х                |                    |                          |
| Treatment w/ Study Drug         |           |                                    |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Pazopanib [11]                  | Daily     |                                    |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Tumor Assessments               |           |                                    |              |               |              |              |               |                    |              |               |                  |                    |                          |
| Tumor Imaging [12]              | Х         | X Every 42 days from randomization |              |               |              |              |               |                    |              |               |                  |                    |                          |

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| 2D Photography [13]                     | Х      | Every 42 days from randomization |   |   |   |   |   |                |   |   |   |   |                   |
|---|--------|----------------------------------|---|---|---|---|---|----------------|---|---|---|---|-------------------|
| Other Clinical Assessments              |        |                                  |   |   |   |   |   |                |   |   |   |   |                   |
| 12-Lead ECG [14]                        | Х      | Х                                | T | Х | Х |   |   |                |   |   | Х |   |                   |
| Concomitant Medications/Treatments [15] | Х      | Х                                | х | х | Х | х | х | Х              | Х | Х | Х | Х |                   |
| Adverse Events [16]                     |        | Х                                | Х | Х | Х | Х | Х | Х              | Х | Х | Х | Х |                   |
| Special Laboratory Assessments          |        |                                  |   |   |   |   |   |                |   |   |   |   |                   |
| Pazopanib PK [17]                       |        |                                  |   | х | х |   |   | Even<br>Cycles |   |   | х | х |                   |
| Protein Biomarkers [18]                 |        | Х                                |   |   | Х |   |   | Even<br>Cycles |   |   | х |   |                   |
| CTCs [19]                               |        | Х                                |   |   |   |   |   | Cycle 3        |   |   |   |   |                   |
| Archival Tumor Tissue [20]              | Х      |                                  |   |   |   |   |   |                |   |   |   |   |                   |
| Other Assessments                       |        |                                  |   |   |   |   |   |                |   |   |   |   |                   |
| Patient Reported Outcomes [21]          | Day -7 |                                  |   |   |   |   |   | C3, C4 &<br>C5 |   |   |   |   |                   |
| Overall Survival                        |        |                                  |   |   |   |   |   |                |   |   |   |   |                   |
| Phone Call [24]                         |        |                                  |   |   |   |   |   |                |   |   |   |   | Every 3<br>Months |

<sup>•</sup> Patients randomized to receive pazopanib alone (Arm A) are required to complete clinic visits only on Days 1 beyond Cycle 2 (starting with Cycle 3). Adverse events and concomitant medications/treatments assessments can be completed via documented phone call.

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### 951 Arm A Schedule of Assessments Footnotes

1. Clinic Visit Days: All assessments should be performed prior to pazopanib dosing unless otherwise indicated. Each cycle is 21 days in duration. If pazopanib dosing is held, all other required assessments should still be performed in accordance with the schedule of assessments.

- 2. **Cycle 1 day 1: Treatment must start within 3 calendar days of randomization**. C1D1 activities should be completed prior to beginning study drug treatment. Hematology (including iron studies), blood chemistry (including TSH testing), urinalysis, physical examination, ECG, pregnancy test and quality of life questionnaires not required if acceptable screening assessment is performed within 7 days prior to the start of treatment on cycle 1 day 1.
- End of Study: The end of study (EOS) visit should generally occur within 7 days (+/- 2 days) of the last dose of study drug. Assessments other than pharmacokinetics, and protein biomarkers do not need to be repeated if performed within the previous 2 weeks (previous 6 weeks for radiologic/photographic tumor assessments). Follow-up visits should occur 28 days following the last dose of study drug as outlined in the Schedule of Assessments.
- 961 4. **Informed Consent:** Must be obtained prior to undergoing any study specific procedure and may occur prior to the 28-day screening period.
  - 5. **Medical and Oncologic History, Demographics and Baseline Signs and Symptoms:** All information related to prior anticancer treatment should be recorded. Significant medical history and baseline signs and symptoms should be captured from the date of informed consent.
- 964 6. Physical Examination: Examination of major body systems and ECOG performance status; may be performed up to 3 days prior to clinic visit date.
- 965 7. **Vital Signs:** Heart rate, temperature, blood pressure, respiratory rate, weight.
- Hematology, Chemistry & Coagulation: Testing to be performed locally. Thyroid stimulating hormone to be tested at screening, day 1 of each cycle and at the end of study visit. Cycle 1 day 1 assessments only need to be performed only if screening assessments were performed more than 7 days prior to cycle 1 day 1. Iron studies (Fe: serum iron, ferritin, transferrin) to be performed according to the schedule of assessments and as clinically indicated during the study. Lab assessments may be performed within 3 days prior to clinic visit. In addition to the assessments scheduled for the clinical trial, patients should undergo assessment as appropriate to ensure safe treatment. See Section 9.1.1.1 for specific panel collection requirements.
- 97. **Pregnancy Test:** Testing to be performed locally. All female patients of childbearing potential must have a negative serum pregnancy test within 7 days prior to cycle 1 day 1, day 1 of every cycle and 28 days following the last dose of pazopanib.
  - 10. **Urinalysis:** To be performed locally. Cycle 1 day 1 urinalysis (e.g., dipstick) only needs to be performed if screening urinalysis was performed more than 7 days prior to cycle 1 day 1. Microscopic analysis and/or urine protein creatinine ratio or 24-hour urine protein collection should be performed as clinically indicated.
  - 11. **Pazopanib Dosing:** Oral pazopanib will be dosed once daily in the evening at 800 mg starting on cycle 1 day 1 in the absence of toxicity on days 1-21 of each 21-day cycle according to the pazopanib package insert. Dose reductions are allowed based on individual patient tolerability beginning with cycle 1. See Section 7.2 for specific dosing guidelines.
    - 12. **Tumor Imaging:** All patients will undergo radiographic imaging. CT or MRI scans of chest, abdomen, and pelvis with contrast to be performed at screening within 7 days prior to randomization and every42 days from the date of randomization (+/- 7 days). If subjects are unable to receive CT contrast due to CT contrast medium allergy or renal insufficiency, enhanced MRI scans may be used. A combination of non-contrast CT and MRI studies (such as chest CT without contrast and abdominal MRI with contrast) may be used. The same method of assessment must be used throughout the course of the study thereafter. Similarly, if a subject develops a contraindication to CT contrast during the course of the study; assessments may shift to non-contrast CT of the chest and contrast-enhanced MRI of the abdomen for subsequent scans. In addition, a brain MRI or CT with contrast to be performed at screening and on study as needed if metastases are suspected. A bone scan is to be performed at screening if bone metastases is suspected. If a bone scan documents bone disease at baseline, it needs to be repeated only when complete response is identified or progression in bone is suspected. All other known areas of disease should be consistently followed throughout the study. Assessments should be performed whenever disease progression is suspected. A designation of CR or PR in this study requires confirmation at a subsequent consecutive assessment separated by at least 4 weeks following the initial designation of CR or PR.

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respectively. Tumor imaging will continue to be collected on protocol schedule for all patients who come off study drug for reasons other than RECIST 1.1 defined PD until RECIST1.1 defined PD or start of a new therapy. Allowable window for tumor imaging studies is +/- 7 days.

- 13. **2D photography:** Patients with cutaneous tumors will undergo digital 2D color photography in addition to radiographic imaging, which will be performed at screening within 7 days prior to randomization and every 42 days from the date of randomization (+/- 7 days). Screening assessment will be centrally reviewed in real time. Assessments should be performed whenever disease progression is suspected. 2D photography will continue to be collected on protocol schedule for all patients who come off study drug for reasons other than RECIST 1.1 defined PD until RECIST1.1 defined PD or start of a new therapy Allowable window is +/- 7 days.
- 14. **12-Lead ECG:** Three consecutive 12-lead ECGs at least 2 minutes apart will be performed at screening, cycle 1 day 1 (cycle 1 day 1 assessments only need to be performed if screening assessments were performed more than 7 days prior to cycle 1 day 1), cycle 1 day 15, day 1 of cycle 2 and EOS. **Note: on cycle 1 day 15 pazopanib dosing will occur in the clinic and ECGs will then be performed no sooner than 2 hours following pazopanib dosing (at the time of expected C<sub>max</sub>). <b>However, pazopanib dosing should not be repeated if the patient dosed within 12 hours at home.** On the ECG assessment on day 1 of cycle 2, dosing in the clinic is not required. If the patient develops an arrhythmia, the ECG should be repeated on day 1 of each subsequent cycle. In case of prolongation of QTc interval > 500 msec, pazopanib will be held and appropriate investigations will be performed (e.g., cardiologist consultation, repeat ECG, continuous ECG monitoring, etc.). Rechallenge with pazopanib will be guided by cardiology input and will require authorization by TRACON. Additional ECGs may be performed on study as clinically indicated.
- 15. **Concomitant Medications and Treatments:** Concomitant medications and treatments will be recorded from 28 days prior to the start of study treatment and through 28 days following the last dose of study treatment.
  - 16. **Adverse Events:** The AE reporting period for this trial begins with informed consent and ends following the completion of the 28-day-follow-up visit or at least 28 days after the last dose of pazopanib is administered, whichever occurs later. All AEs that occur in trial patients during the AE reporting period must be reported to TRACON, whether or not the event is considered study treatment-related.
  - 17. **Pazopanib Pharmacokinetics:** A 5 mL blood sample for pazopanib concentration to be collected at the time-points indicated in the Schedule of Assessments. In addition, **on cycle 1 day 15 pazopanib dosing will occur in the clinic to assess C**<sub>max</sub> **and the sample should be collected no sooner than 2 hours following pazopanib dosing. However, pazopanib dosing should not be repeated if the patient dosed within 12 hours at home.** On the pazopanib pharmacokinetic assessment on day 1 of cycle 2, pazopanib dosing in the clinic is not required. Samples will be stored at approximately -70°C. Samples will be batch shipped as indicated in the laboratory manual to a central laboratory. See separate laboratory guide for further collection and shipment information. Additional PK samples may also be collected at the time of unexpected clinical events or after dose reductions.
  - 18. **Protein Biomarkers:** One 10 mL purple top (K<sub>2</sub>EDTA) tube will be collected at the time-points indicated in the Schedule of Assessments and stored at approximately -70°C to be analysed by a central laboratory. See separate laboratory guide for further collection and shipment information.
- 1017 19. **CTCs:** One 10 mL EDTA tube will be collected at the time-points indicated in the Schedule of Assessments and be analysed by a central laboratory. See separate laboratory guide for further collection and shipment information.
- 1019 20. **Archival Tumor Tissue:** Tumor specimens (formalin-fixed, paraffin-embedded) of the primary cancer and/or metastatic cancer specimen for each study participant, if available. See Section 10.1.5 and separate laboratory guide for further collection and shipment information.
- 1021 21. **Patient Reported Outcomes**: Patient questionnaires to characterize quality of life will be collected at the time-points indicated in the Schedule of Assessments. Baseline assessment must be completed prior to randomization.
- 1023 22. Cycle 3+ Treatment: Patients who demonstrate a response of CR, PR or SD will be eligible for additional treatment until progression.
- 23. **28-Day Follow-up:** The follow-up visit should occur 28 days following the last dose of pazopanib. The allowable visit window is +/- 7 days.
- 1025 24. **Overall Survival:** Telephone or routine visit should occur every 3 months following the last dose of TRC105 until death. Allowable window for each visit is +/- 1 week.

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1027 25. Allowable window for each visit within the cycle is +/- 2 days unless otherwise stated. **The** +/**-2 day window does NOT apply to randomization.** Cycle 1 day 1 sets the clock for study visits, and all study visits should be scheduled in reference to cycle 1 day 1. For example, if cycle 1 day 1 was on June 1<sup>st</sup>, and patient is not able to return for C1D8 until June 10<sup>th</sup> the C1D15 visit should take place on June 15<sup>th</sup> (15 days from cycle 1 day1).

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# 1031 Table 4: Schedule of Assessments Arm B: TRC105 plus Pazopanib Combination Therapy

|                                 | Screening |                  | Cycle 1 [ | 28]   |               | C            | Cycle 2 [28] |               | Cycle 3      | 3+ [25] [28  | 3]            | End                    |                              |                             |
|---------------------------------|-----------|------------------|-----------|-------|---------------|--------------|--------------|---------------|--------------|--------------|---------------|------------------------|------------------------------|-----------------------------|
| Protocol Activities             | Day -28   | Day 1<br>[1] [2] | [1]       | Day 8 | Day 15<br>[1] | Day 1<br>[1] | Day 8<br>[1] | Day 15<br>[1] | Day 1<br>[1] | Day 8<br>[1] | Day 15<br>[1] | of<br>Treatment<br>[3] | 28 -Day<br>Follow-<br>up[26] | Overall<br>Survival<br>[27] |
| Baseline Documentation          |           |                  |           |       |               |              |              |               |              |              |               |                        |                              |                             |
| Informed Consent [4]            | Х         |                  |           |       |               |              |              |               |              |              |               |                        |                              |                             |
| Medical/Oncology History [5]    | Х         |                  |           |       |               |              |              |               |              |              |               |                        |                              |                             |
| Baseline Signs and Symptoms [5] | Х         |                  |           |       |               |              |              |               |              |              |               |                        |                              |                             |
| Physical Examination [6]        | Х         | Х                |           |       |               | Х            |              |               | Х            |              |               | Х                      |                              |                             |
| Vital Signs [7]                 | Х         | Х                | Х         | Х     | Х             | Х            | Х            | Х             | Х            | Х            | Х             | Х                      |                              |                             |
| Laboratory Studies              |           |                  |           |       |               |              |              |               |              |              |               |                        |                              |                             |
| Hematology [8]                  | X+Fe      | Х                |           |       | Х             | Х            |              |               | Х            |              |               | Х                      |                              |                             |
| Coagulation [8]                 | Х         | Х                |           |       |               |              |              |               |              |              |               |                        |                              |                             |
| Blood Chemistry [8]             | X+TSH     | X+TSH            |           |       | Х             | X+TSH        |              |               | X+TSH        |              |               | X+TSH                  |                              |                             |
| Pregnancy Test [9]              | Х         | Х                |           |       |               | Х            |              |               | Х            |              |               | Х                      | Х                            |                             |
| Urinalysis [10]                 | Х         | Х                |           |       |               | Х            |              |               | Х            |              |               | Х                      |                              |                             |
| Treatment w/ Study Drug         |           |                  |           |       |               |              |              |               |              |              |               |                        |                              |                             |
| TRC105 Dosing [11]              |           | Х                |           | Х     | Х             | Х            | Х            | Х             | Х            | Х            | Х             |                        |                              |                             |
| Pazopanib [12]                  |           |                  | ı         | 1     |               | Dai          | ily          |               |              | 1            |               |                        |                              |                             |
| Tumor Assessments               |           |                  |           |       |               |              |              |               |              |              |               |                        |                              |                             |

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| Tumor Imaging [13]                  | Х      | Every 42 days from randomization |  |   |   |   |   |   | Х           |   |   |   |   |  |
|-------------------------------------|--------|----------------------------------|--|---|---|---|---|---|-------------|---|---|---|---|--|
| 2D Photography [14]                 | Х      |                                  | Every 42 days from randomization                           |   |   |   |   |   |             |   | Х |   |   |  |
| Other Clinical Assessments          |        |                                  |  |   |   |   |   |   |             |   |   |   | L |  |
| Pediatric radiographic imaging [30] | Х      |                                  | Every 42 days from enrollment via the randomization system |   |   |   |   |   | Х           |   |   |   |   |  |
| 12-Lead ECG [15]                    | Х      | Х                                |  |   | Х | Х |   |   |             |   |   | Х |   |  |
| Concomitant                         |        |                                  |  |   |   |   |   |   |             |   |   |   |   |  |
| Medications/Treatments [16]         | X      | Х                                | Х  | Х | Х | Х | Х | Х | Х           | Х | Х | Х | Х |  |
| Adverse Events [17]                 |        | Х                                | Х  | Х | Х | Х | Х | Х | Х           | Х | Х | Х | Х |  |
| Special Laboratory Assessments      |        |                                  | l  |   |   |   |   | 1 |             |   |   |   | l |  |
| Anti-TRC105 Antibody [18]           |        | Х                                |  |   |   | Х |   |   | Even Cycles |   |   | Х | Х |  |
| TRC105 PK: pre-infusion [19]        |        |                                  | Х  | Х | Х | Х |   | Х | Even Cycles |   |   |   |   |  |
| TRC105 PK: end of infusion [19]     |        | Х                                | Х  | Х |   |   |   |   |             |   |   | Х | Х |  |
| Pazopanib PK: pre-infusion [20]     |        |                                  |  |   | Х | Х |   |   | Even Cycles |   |   | Х | Х |  |
| Pediatric PK [29]                   |        | Х                                | Х  | Х | Х | Х |   | Х | Even Cycles |   |   | Х | Х |  |
| Protein Biomarkers [21]             |        | Х                                |  |   |   | Х |   |   | Even Cycles |   |   | Х |   |  |
| CTCs [22]                           |        | Х                                |  |   |   |   |   |   | Cycle 3     |   |   |   |   |  |
| Tumor Tissue [23]                   | Х      |                                  |  |   |   |   |   |   |             |   |   |   |   |  |
| Other Assessments                   |        |                                  |  |   |   |   |   |   |             |   |   |   |   |  |
| Patient Reported Outcomes [24]      | Day -7 |                                  |  |   |   |   |   |   | C3, C4 & C5 |   |   |   |   |  |

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| Overall Survival |  |  |  |  |  |  |                   |
|------------------|--|--|--|--|--|--|-------------------|
| Phone Call [27]  |  |  |  |  |  |  | Every 3<br>Months |

#### 1032 Arm B Schedule of Assessments Footnotes

- 10.3 1. Days of Treatment with TRC105: All assessments should be performed prior to TRC105 infusion and pazopanib dosing unless otherwise indicated. Each cycle is 21 days in duration. If TRC105 dosing is held, all other required assessments should still be performed in accordance with the Schedule of Assessments.
  - 2. **Cycle 1 day 1: Treatment must start within 3 calendar days of randomization**. C1D1 activities should be completed prior to beginning study drug treatment except for vital signs after start of infusion. Hematology (including iron studies), blood chemistry (including TSH testing), urinalysis, physical examination, ECG, pregnancy test and quality of life questionnaires not required if acceptable screening assessment is performed within 7 days prior to the start of treatment on cycle 1 day 1.
- 1040 3. **End of Study:** The end of study (EOS) visit should generally occur within 7 days (+/- 2 day) of the last dose of study drug. Assessments other than pharmacokinetics, immunogenicity and protein biomarkers do not need to be repeated if performed within the previous 2 weeks (previous 6 weeks for radiologic/photographic tumor assessments). Follow-up visits should occur 28 days following the last dose of study drug as outlined in the Schedule of Assessments.
  - 4. **Informed Consent:** Must be obtained prior to undergoing any study specific procedure and may occur prior to the 28-day screening period.
  - 5. **Medical and Oncologic History, Demographics and Baseline Signs and Symptoms:** All information related to prior anticancer treatment should be recorded. Significant medical history and baseline signs and symptoms should be captured from the date of informed consent.
- 1047 6. Physical Examination: Examination of major body systems and ECOG performance status; may be performed up to 3 days prior to clinic visit date.
  - 7. **Vital Signs:** Heart rate, temperature, blood pressure, respiratory rate, weight. Assessment during TRC105 Infusions: Vital signs are to be assessed pre-infusion (i.e., within 30 minutes of starting the infusion) every 30 minutes during the infusion (+/- 15 minutes) and at the end of the infusion (i.e. within 30 minutes after completing the infusion). Vital signs should be monitored more frequently and/or beyond the completion of the infusion if medically indicated (e.g. if the patient experiences an infusion-related reaction that has not yet resolved).
  - 8. **Hematology, Chemistry & Coagulation:** Testing to be performed locally. Thyroid stimulating hormone to be tested at screening, day 1 of each cycle and at the end of study visit. Cycle 1 day 1 assessments only need to be performed if screening assessments were performed more than 7 days prior to cycle 1 day 1. Iron studies (Fe: serum iron, ferritin, transferrin) to be performed according to the Schedule of Assessments and as clinically indicated during the study. Lab assessments may be performed within 3 days prior to TRC105 dosing. In addition to the assessments scheduled for the clinical trial, patients should undergo assessment as appropriate to ensure safe treatment. See Section 9.1.1.1 for specific panel collection requirements.
  - 9. **Pregnancy Test:** Testing to be performed locally. All female patients of childbearing potential must have a negative serum pregnancy test within 7 days prior to cycle 1 day 1, day 1 of every cycle and 28 days following the last dose of TRC105.
- 10. **Urinalysis:** To be performed locally. Cycle 1 day 1 urinalysis (e.g., dipstick) only needs to be performed if screening urinalysis was performed more than 7 days prior to cycle 1 day 1. Microscopic analysis and/or urine protein creatinine ratio or 24-hour urine protein collection should be performed as clinically indicated.

| 11. TRC105 Administration |
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|---------------------------|

Section 7.1.6

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12. Pazopanib Dosing: Oral pazopanib will be dosed once daily in the evening at 800 mg for adult and for adolescent patients with a BSA > 1.8 m², and at 600 mg for all other patients <18 yrs of age (who must weigh ≥ 40 kg at study enrollment), starting on cycle 1 day 1 in the absence of toxicity on days 1-21 of each 21-day cycle according to the pazopanib product labelling. Dose reductions are allowed based on individual patient tolerability beginning with cycle 1.</li>
 1068 See Section 7.2 for specific dosing guidelines.

- 13. **Tumor Imaging:** All patients will undergo radiographic imaging. CT or MRI scans of chest, abdomen, and pelvis with contrast to be performed at screening, within 7 days prior to randomization and every 42 days from the date of randomization (+/- 7 days). If subjects are unable to receive CT contrast due to CT contrast medium allergy or renal insufficiency, enhanced MRI scans may be used. A combination of non-contrast CT and MRI studies (such as chest CT without contrast and abdominal MRI with contrast) may be used. The same method of assessment must be used throughout the course of the study thereafter. Similarly, if a subject develops a contraindication to CT contrast during the course of the study; assessments may shift to non-contrast CT of the chest and contrast-enhanced MRI of the abdomen for subsequent scans. In addition, a brain MRI or CT with contrast to be performed at screening and on study as needed if metastases are suspected. A bone scan is to be performed at screening if bone metastases is suspected. If a bone scan documents bone disease at baseline, it needs to be repeated only when complete response is identified or progression in bone is suspected. All other known areas of disease should be consistently followed throughout the study. Assessments should be performed whenever disease progression is suspected. A designation of CR or PR, respectively. Tumor imaging will continue to be collected on protocol schedule for all patients who come off study drug for reasons other than RECIST 1.1 defined PD until RECIST 1.1 defined PD or start of a new therapy. Allowable window for tumor imaging studies is +/- 7 days.
- 14. **2D photography: Patients with cutaneous tumors** will undergo digital 2D color photography in addition to radiographic imaging, which will be performed at screening within 7 days prior to randomization and every 42 days from the date of randomization (+/- 7 days). Screening assessment will be centrally reviewed in real time. Assessments should be performed whenever disease progression is suspected. 2D photography will continue to be collected on protocol schedule for all patients who come off study drug for reasons other than RECIST 1.1 defined PD until RECIST1.1 defined PD or start of a new therapy Allowable window is +/- 7 days.
- 15. **12-Lead ECG:** Three consecutive 12-lead ECGs at least 2 minutes apart will be performed at screening, cycle 1 day 1 (cycle 1 day 1 assessments only need to be performed if screening assessments were performed more than 7 days prior to cycle 1 day 1.) cycle 1 day 15, day 1 of cycle 2, and EOS. **Note: on cycle 1 day 15 pazopanib dosing should occur in the clinic (prior to TRC105 pre-medications and infusion) and ECGs will then be performed following completion of the TRC105 infusion and no sooner than 2 hours following pazopanib dosing (at the time of expected C<sub>max</sub>). However, pazopanib dosing should not be repeated if the patient dosed within 12 hours at home. On day 1 of cycle 2, pazopanib dosing in the clinic is not required and ECGs may be performed prior or following TRC105 dosing. If the patient develops an arrhythmia, the ECG should be repeated on day 1 of each subsequent cycle. In case of prolongation of QTc interval > 500 msec, pazopanib will be held and appropriate investigations will be performed (e.g., cardiologist consultation, repeat ECG, continuous ECG monitoring, etc.). Rechallenge with pazopanib will be guided by cardiology input and will require authorization by TRACON. Additional ECGs may be performed on study as clinically indicated.**
- 16. **Concomitant Medications and Treatments:** Concomitant medications and treatments will be recorded from 28 days prior to the start of study treatment and through 28 days following the last dose of study treatment. Required TRC105 premedications should be recorded on TRC105 premedications CRF.
- 17. **Adverse Events:** The AE reporting period for this trial begins with informed consent and ends following the completion of the 28-day follow-up visit or at least 28 days after the last dose of pazopanib or TRC105 study drug is administered, whichever occurs later. All AEs that occur in trial patients during the AE reporting period must be reported to TRACON, whether or not the event is considered study treatment-related.
- 18. **Anti-TRC105 Antibodies:** 5 mL blood sample will be collected to assess Anti-TRC105 Antibodies at the time-points indicated in the Schedule of Assessments and stored at approximately -70°C to be analysed by a central laboratory. Samples will be batch shipped as indicated in the laboratory manual to a central laboratory. See separate laboratory guide for further collection and shipment information. Additional Anti-TRC105 Antibody samples may also be collected at the time of unexpected clinical events.

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1104 19. **TRC105 Pharmacokinetics Concentration:** A 5 mL blood sample for TRC105 pharmacokinetics to be collected at the time-points indicated in the 1105 Schedule of Assessments. **Pre infusion**: if an acceptable window time is required to be stated, it is recommended that the samples be obtained no earlier than 1106 6 hours prior to the start of the infusion. **Post infusion**: Sample should be collected 15 minutes after the completion of the infusion (including the flush), 1107 there is a +/- 15 minute window. A separate IV line is not required for PK blood draws if the IV line has been adequately flushed, the same line can be used 1108 for infusions and PK sampling. Samples will be stored at approximately -70°C. Samples will be batch shipped as indicated in the laboratory manual to a 1109 central laboratory. See separate laboratory guide for further collection and shipment information. Additional PK samples may also be collected at the time of 1110 unexpected clinical events.

- 20. Pazopanib Pharmacokinetics: A 5 mL blood sample for pazopanib concentration to be collected at the time-points indicated in the Schedule of Assessments prior to starting the TRC105 infusion. In addition, on cycle 1 day 15 pazopanib dosing will occur in the clinic to assess C<sub>max</sub> and the sample should be collected no sooner than 2 hours following pazopanib dosing. However, pazopanib dosing should not be repeated if the patient dosed within 12 hours at home. On the pazopanib pharmacokinetic assessment on day 1 of cycle 2, pazopanib dosing in the clinic is not required. Samples will be stored at approximately -70°C. Samples will be batch shipped as indicated in the laboratory manual to a third-party laboratory. See separate laboratory guide for further collection and shipment information. Additional PK samples may also be collected at the time of unexpected clinical events or after dose reductions.
- 1118 21. **Protein Biomarkers:** One 10 mL purple top (K<sub>2</sub>EDTA) tube will be collected at the time-points indicated in the Schedule of Assessments and stored at 1119 approximately -70°C to be analysed by a central laboratory. See separate laboratory guide for further collection and shipment information.
- 1120 22. CTCs: One 10 mL EDTA tube will be collected at the time-points indicated in the Schedule of Assessments and be analysed by a central laboratory. See 1121 separate laboratory guide for further collection and shipment information.
- 1122 23. Archival Tumor Tissue: Tumor specimens (formalin-fixed, paraffin-embedded) of the primary cancer and/or metastatic cancer specimen for each study 1123 participant, if available. See Section 10.1.5 and separate laboratory guide for further collection and shipment information.
  - 24. **Patient Reported Outcomes**: Patient questionnaires to characterize quality of life will be collected at the time-points indicated in the Schedule of Assessments. Baseline assessment must be completed prior to randomization.
- 1126 25. Cycle 3+ Treatment: Patients who demonstrate a response of CR, PR or SD will be eligible for additional treatment until progression.
- 1127 26. Follow-up: The follow-up visit should occur 28 days following the last dose of TRC105 or pazopanib, whichever occurs later. Allowable visit window is 1128 +/-7 days.
- 1129 27. Overall Survival: Telephone or routine visit should occur every 3 months following the last dose of TRC105 until death. Allowable window for each visit 1130 is  $\pm$ /- 1 week.
- 1131 28. Allowable window for each visit within the cycle is +/- 2 days unless otherwise stated. The +/-2 day window does NOT apply to randomization. Cycle 1 day 1132 1sets the clock for study visits, and all study visits should be scheduled in reference to cycle 1 day 1. For example, if cycle 1 day 1 was on June 1st, and 1133 patient is not able to return for C1D8 until June 10th the C1D15 visit should take place on June 15th (15 days from cycle 1 day1).
  - 29. **Pediatric PK**: TRC105: A 5 mL blood sample for TRC105 pharmacokinetics to be collected on cycle 1 day 1 and cycle 2 day 15 predose, 5 minutes postdose and 1, 2 and 4 hours post-dose. On cycle 1 day 4, cycle 1 day 8, cycle 1 day 15, and cycle 2 day 1 samples will be collected predose and 5 minutes postdose. Following this, samples will be collected pre-dose only day 1 of cycle 3 and subsequent even cycles. Trough concentrations will also be collected at the end of study visit and the 28-day follow-up visit. Samples will be stored at approximately -70°C. Samples will be batch shipped as indicated in the laboratory manual to a central laboratory. See separate laboratory guide for further collection and shipment information. Additional PK samples may also be collected at the time of unexpected clinical events. Pazopanib: A 5 mL blood sample for pazopanib pharmacokinetics to be collected on cycle 1 1 day 8, cycle 1 day 15, cycle 2 day 1 and day 1 of even cycles prior to starting the TRC105 infusion. Trough concentrations will also be collected at the end of study visit and the 28-day follow-up visit. On cycle 1 day 15 pazopanib dosing will occur in the clinic; however, pazopanib dosing should not be repeated if the patient dosed within 12 hours at home. Sample should be collected no sooner than 2 hours following pazopanib dosing. On the pazopanib pharmacokinetic assessment on day 1 of cycle 2, pazopanib dosing in the clinic is not required. Samples will be stored at approximately -70°C. Samples will

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be batch shipped as indicated in the laboratory manual to a third-party laboratory. See separate laboratory guide for further collection and shipment

- 1144 1145 information. Additional PK samples may also be collected at the time of unexpected clinical events. 1146
  - 30. **Pediatric radiographic imaging**: Plain films (AP and lateral) of the right and left distal femur to evaluate the epiphyseal growth plates. Pediatric patients will automatically be assigned to Arm B however they still need to be enrolled via the randomization system.

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# 1150 6. SELECTION AND WITHDRAWAL OF PATIENTS

# 1151 **6.1.** Study Population

#### 1152 6.1.1. Inclusion Criteria

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- 1. Histologically-confirmed angiosarcoma that is not amenable to curative intent surgery
  (e.g., metastatic or bulky disease and disease for which surgical resection would carry an
  unacceptable risk to the patient). Pathology report will be reviewed by sponsor prior to
  randomization.
- 2. Documented progression on or following most recent systemic chemotherapy regimen (not required for chemotherapy-naïve patients), within 4 months prior to screening
- 3. Measurable disease by RECIST v1.1
- 4. Age of 18 years or older; in addition, patients age 12 to 17 years may enroll beginning in
   Cohort 2 if weight ≥ 40 kg
- 5. Eastern Cooperative Oncology Group (ECOG) performance status ≤ 1
- 1163 6. Resolution of all acute AEs resulting from prior cancer therapies to National Cancer
   1164 Institute Common Terminology Criteria for Adverse Events version 4.03 (NCI CTCAE
   1165 v4.03) grade ≤ 1 or to that patient's pre-study baseline (except alopecia or neuropathy)
- 7. Adequate organ function as defined by the following criteria:
  - Serum aspartate transaminase (AST; serum glutamic oxaloacetic transaminase [SGOT]) and serum alanine transaminase (ALT; serum glutamic pyruvic transaminase [SGPT]) ≤ 2.5 x upper limit of normal (ULN) or ≤ 5 x ULN in cases of liver metastases
- Total serum bilirubin < 1.5 x ULN
- Absolute neutrophil count (ANC) > 1500/μL
  - Platelets ≥ 100,000/μL (without transfusion support within 28 days prior to randomization)
  - Hemoglobin  $\geq 9.0$  g/dL (without transfusion support within 14 days prior to randomization; erythropoietin or darbepoetin permitted)
    - Serum creatinine ≤ 1.5 times the upper limit of normal or creatinine clearance > 30 mL/min by Cockcroft-Gault formula
      - International normalized ratio (INR) ≤ 1.2 unless the patient is receiving a direct Factor Xa inhibitor
- 8. Willingness and ability to consent (and assent if under age 18) for self to participate in study
- 9. Willingness and ability to comply with scheduled visits, treatment plan, laboratory tests, and other study procedures

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- 1185 10. Angiosarcoma tumor specimen, if available
- 11. Men who are sterile (including vasectomy confirmed by post vasectomy semen analysis)
  OR agree to use a condom with spermicide (refer to Section 2.6.1.3) and to not donate
  sperm during the study and for at least 180 days following last dose of TRC105 or
  pazopanib.
  - 12. Woman of non-child bearing potential due to surgical sterilization (at least 6 weeks following surgical bilateral oophorectomy with or without hysterectomy or tubal ligation) confirmed by medical history or menopause (i.e., no menstrual bleeding for more than 12 months in a women aged 45 years or more), OR woman of child bearing potential who test negative for pregnancy at time of enrollment based on serum pregnancy test and agree to use at least 2 acceptable methods of birth control, one of which must be highly effective, during the study and for at least 180 days after stopping TRC105 or pazopanib (refer to Section 2.6.1.3).

#### 6.1.2. Exclusion Criteria

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- 1. Prior treatment with TRC105
- 2. Prior treatment with any VEGF inhibitor
- 3. More than two prior lines (may be combination regimens) of chemotherapy for angiosarcoma (neoadjuvant/adjuvant treatment does not count as a line of treatment)
- 4. Current treatment or participation active on another therapeutic clinical trial
- 5. Women who are pregnant or breastfeeding
- 6. Receipt of systemic anticancer therapy, including investigational agents, within 5 times the agent's elimination half-life or 14 days of starting study treatment, whichever is shorter
- 7. Major surgical procedure or significant traumatic injury within 4 weeks prior to 1208 randomization and must have fully recovered from any such procedure or injury; planned 1209 surgery (if applicable) or the anticipated need for a major surgical procedure within the 1210 next six months. Note: the following are not considered to be major procedures and are 1211 permitted up to 7 days before randomization: Thoracentesis, paracentesis, laparoscopy, 1212 thoracoscopy, tube thoracostomy, bronchoscopy, endoscopic ultrasonographic 1213 procedures, mediastinoscopy, skin biopsies, and imaging-guided biopsy for diagnostic 1214 1215 purposes
  - 8. Patients who have received wide field radiotherapy ≤ 28 days (defined as > 50% of volume of pelvic bones or equivalent) or limited field radiation for palliation < 14 days prior to randomization
- 9. Uncontrolled hypertension defined as systolic > 150 or diastolic > 100 mm Hg on the average of the 3 most recent BP readings. Anti-hypertensives may be started prior to randomization.
- 1222 10. Ascites or pleural effusion requiring intervention or that required intervention or recurred within three months prior to randomization

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1224 11. Pericardial effusion (except trace effusion identified by echocardiogram) within three months prior to randomization

- 12. History of brain involvement with cancer, spinal cord compression, or carcinomatous meningitis, or new evidence of brain or leptomeningeal disease. Patients with radiated or resected lesions are permitted, provided the lesions are fully treated and inactive, patients are asymptomatic, and no steroids have been administered for at least 28 days prior to randomization
- 13. Angina, myocardial infarction, symptomatic congestive heart failure, cerebrovascular accident, transient ischemic attack, arterial embolism, pulmonary embolism, percutaneous transluminal coronary angioplasty (PTCA) or coronary artery bypass graft (CABG) within 6 months prior to randomization. Deep venous thrombosis within 3 months prior to randomization unrelated to a central venous catheter, unless the patient is anti-coagulated without the use of warfarin for at least 2 weeks prior to randomization. In this situation, low molecular weight heparin or a direct Factor Xa inhibitor is preferred
- 14. Active bleeding or pathologic condition that carries a high risk of bleeding (e.g., hereditary hemorrhagic telangiectasia). Patients with bleeding cutaneous lesions not actively requiring transfusions are eligible. Patients who have been uneventfully anticoagulated with a direct Factor Xa inhibitor or low molecular weight heparin are eligible
- 15. Hemoptysis (> ½ teaspoon [2.5 mL] of bright red blood) within 6 months prior to randomization
- 16. Thrombolytic use (except to maintain i.v. catheters) within 10 days prior to randomization
- 17. Known active viral or nonviral hepatitis or cirrhosis
- 1247 18. Peptic ulcer within the past 3 months of treatment, unless treated for the condition and complete resolution has been documented by esophagogastroduodenoscopy (EGD)
  - 19. Presence of tumor(s) invading into the heart or great vessels (including carotid artery) or another location where bleeding is associated with high morbidity including patients with primary cardiac or great vessel angiosarcoma
  - 20. Gastrointestinal perforation or fistula in the 6 months prior to randomization unless underlying risk has been resolved (e.g., through surgical resection or repair)
  - 21. Presence of a malabsorption syndrome, gastrointestinal disorder, or gastrointestinal surgery that could affect the absorption of pazopanib
  - 22. History of prior malignancy except adequately treated basal cell or squamous cell skin cancer or adequately treated, with curative intent, cancer from which the patient is currently in complete remission per Investigator's judgment; patients with history of breast cancer and no evidence of disease on hormonal therapy to prevent recurrence and patients with prostate cancer on adjuvant hormonal therapy with undetectable PSA are eligible
  - 23. Known human immunodeficiency virus (HIV) or acquired immunodeficiency syndrome (AIDS) related illness

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- 24. Active infection that requires systemic treatment
- 25. Concurrent use or receipt of a strong CYP3A4 inducer within 12 days prior to randomization or a strong CYP3A4 inhibitor within 7 days prior to randomization (see Table 10)
  - 26. History of severe hypersensitivity reaction to any monoclonal antibody
- 27. Other severe acute or chronic medical (including bone marrow suppressive diseases) or psychiatric condition or laboratory abnormality that may increase the risk associated with study participation, impede the ability of the patient to complete all protocol-specified activities, or may interfere with the interpretation of study results and, in the judgment of the Investigator, would make the patient inappropriate for this study

# 6.2. Patient Withdrawal Criteria

- Patients are eligible for treatment until disease progression, unacceptable toxicity or withdrawal of consent, or other reasons. A patient should be withdrawn from study treatment if, in the opinion of the Investigator, it is medically necessary, or if it is the wish of the patient. In addition, patients will be withdrawn from treatment in the case of:
- 1279 1. RECIST 1.1-defined disease progression confirmed by central radiographic review.
- 2. A need for surgery, radiation, or for other anticancer therapy not permitted under this protocol.
- 3. Lost to follow-up or substantial noncompliance with the protocol.
- 4. Pregnancy. Pregnant patients should be followed for the duration of the pregnancy and the outcome of the pregnancy should be documented.
  - 5. Arterial thrombosis of any grade (including that causing cerebrovascular ischemia, cardiac ischemia/infarction, or peripheral or visceral arterial ischemia) or grade 4 venous thrombosis (including grade 4 pulmonary thromboembolism).
  - 6. Missed study drug treatment for > 8 consecutive weeks (i.e., both TRC105 and pazopanib dosing if assigned to the combination arm or pazopanib if assigned to the pazopanib alone arm). Patients in the combination arm who cannot tolerate pazopanib or TRC105 therapy and who demonstrate a response of complete response (CR), partial response (PR) or stable disease (SD) with the combination and are thought to benefit from continued single agent therapy may continue on study on TRC105 or pazopanib alone.

## **6.2.1.** Withdrawal of Consent

- Patients who request to discontinue study treatment will remain in the study and must continue to be followed for protocol-specified follow-up procedures. The only exception to this is when a
- patient specifically withdraws consent for any further contact with him/her or persons previously
- authorized by the patient to provide this information. Patients should notify the Investigator of
- the decision to withdraw consent from future follow-up in writing, whenever possible. The
- withdrawal of consent should be explained in detail in the medical records by the Investigator, as
- to whether the withdrawal is from further treatment with study drug only or also from study

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procedures and/or post-treatment follow-up, and entered in the appropriate case report form (CRF) page. In the event that vital status (whether patient is alive or dead) is being measured publicly available data should be used to determine vital status only as appropriately directed in accordance with local law.

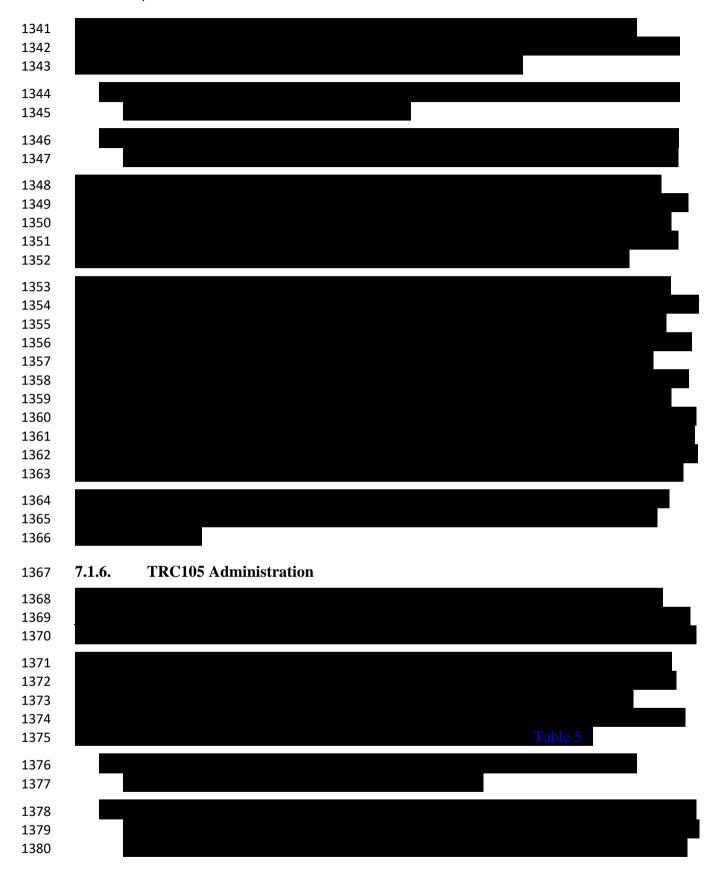
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### 7. STUDY TREATMENTS 1306 7.1. **Description of TRC105 Study Drug** 1307 1308 TRC105 (carotuximab) is a genetically engineered human/murine chimeric monoclonal antibody directed against human endoglin found on the surface of proliferating endothelial cells and tumor 1309 cells of some tumor types. 1310 7.1.1. **Composition of TRC105** 1311 TRC105 is an IgG1, kappa immunoglobulin containing murine light- and heavy-chain variable 1312 1313 region sequences and human constant region sequences. 1314 1315 7.1.2. **TRC105 Dose Level** 1316 1317 1318 1319 1320 1321 1322 1323 1324 The dose of TRC105, administered in the combination treatment arm with pazopanib (Arm B) 1325 will be the same for patients of all ages. 1326 1327 1328 Table 5 1329 7.1.3. **TRC105 Packaging and Labeling** 1330 1331 1332 1333 1334 1335 7.1.4. **TRC105 Storage and Shipping** 1336 1337 **TRC105 Preparation** 7.1.5. 1338 1339 1340

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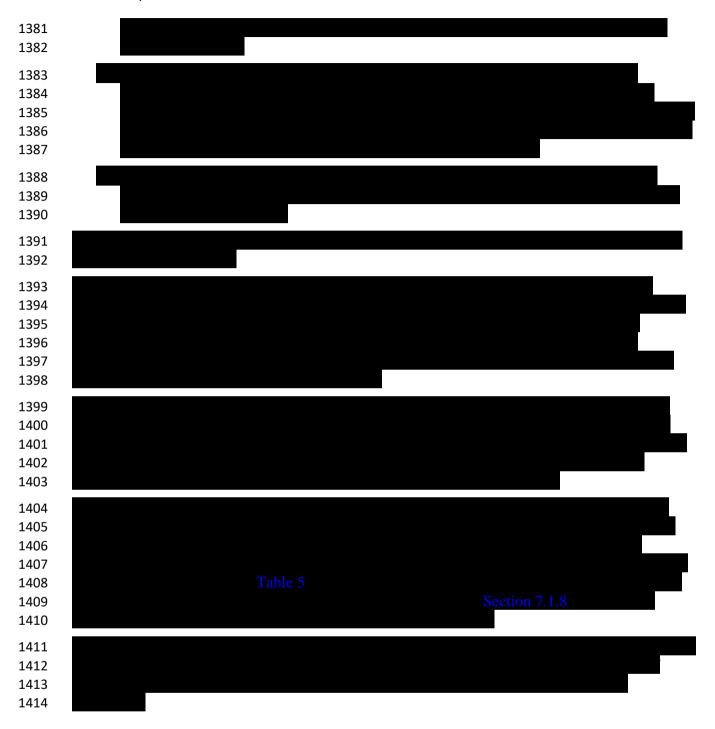
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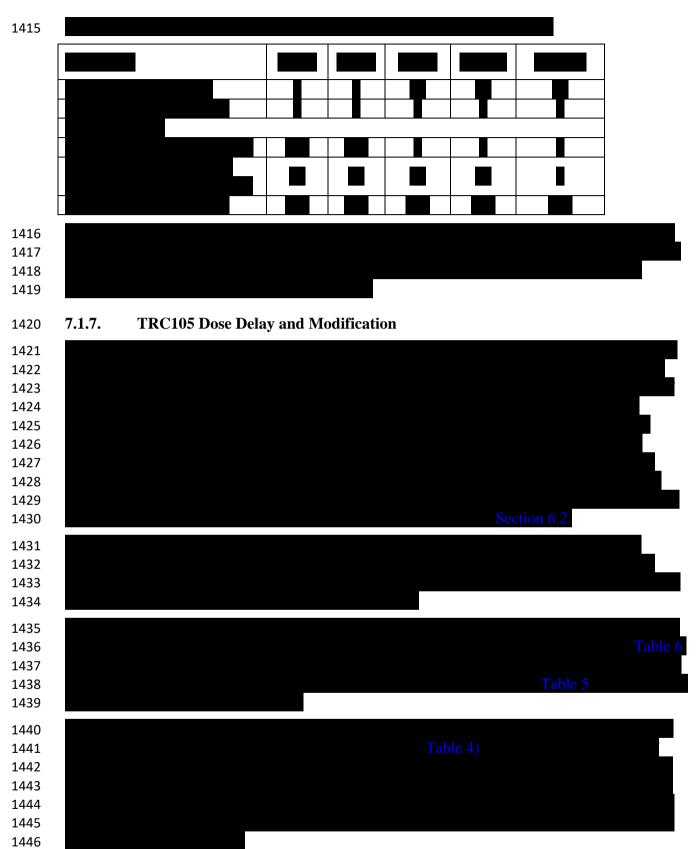
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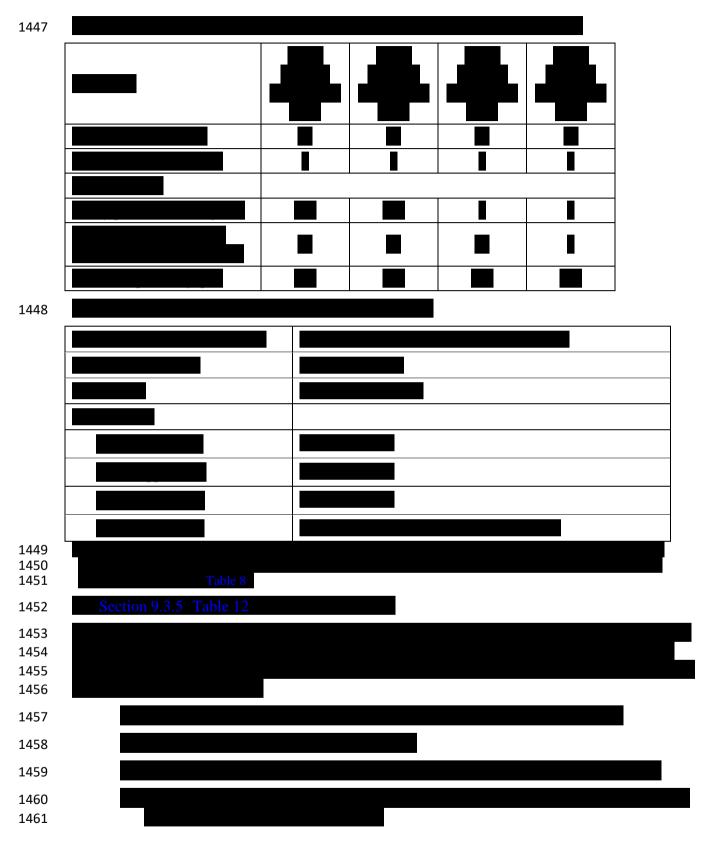
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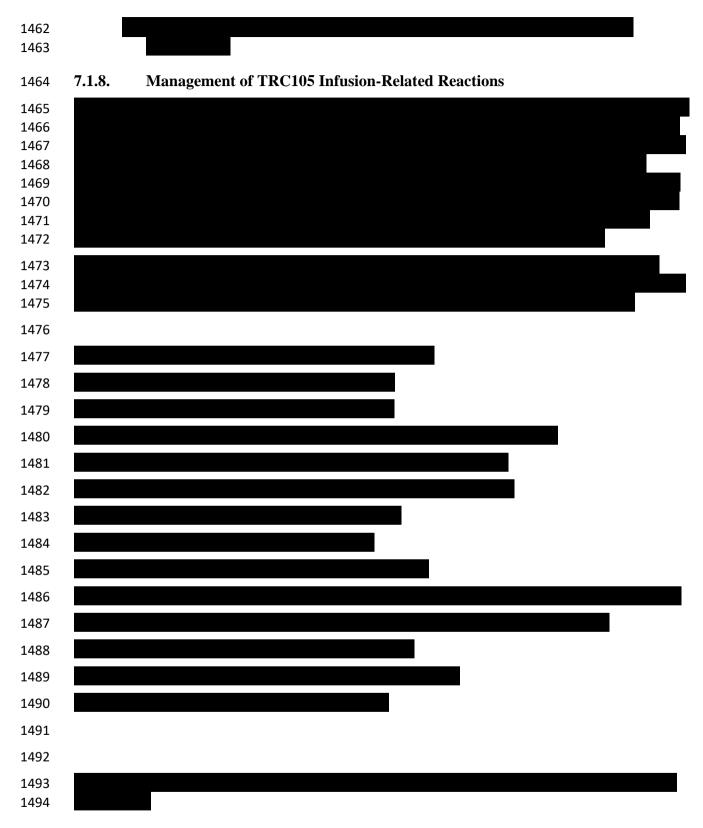
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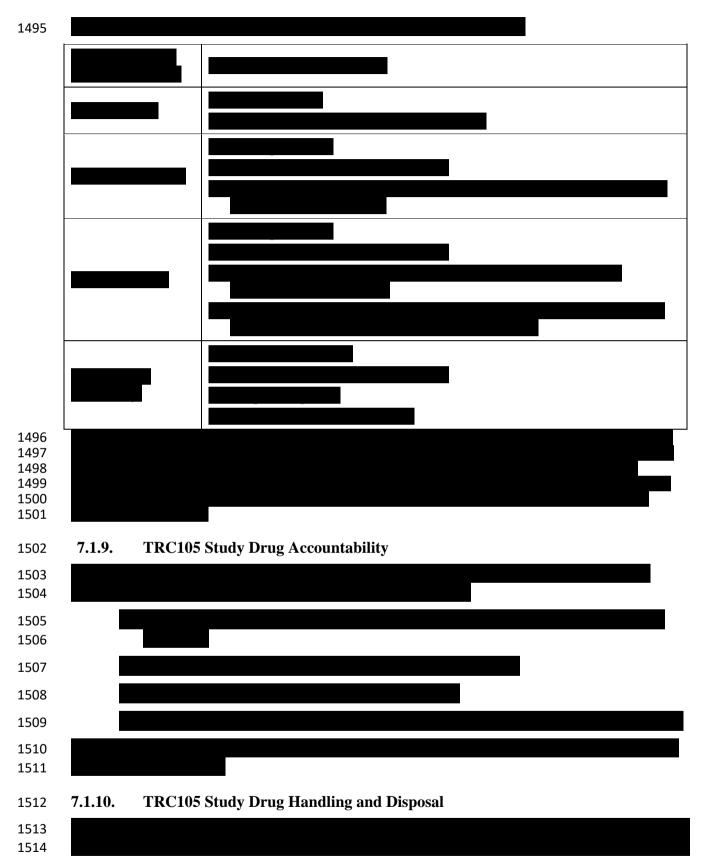
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### 7.2. Description of Pazopanib

1520 See the current approved product label.

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### 1521 7.2.1. Composition of Pazopanib

1522 See the current approved product label.

# 1523 7.2.2. Pazopanib Dose Level

- The initial dose is pazopanib 800 mg for adult and for adolescent patients with a BSA  $> 1.8 \text{ m}^2$
- and at 600 mg for patients < 18 year of age (who must weigh  $\ge$  40 kg at study enrollment) p.o.
- once daily starting on cycle 1 day 1.

# 1527 7.2.3. Pazopanib Packaging and Labeling

1528 See the current approved product label.

# 1529 7.2.4. Pazopanib Storage Handling and Disposal

1530 See the current approved product label.

## 1531 7.2.5. Pazopanib Dosing

- The starting dose of pazopanib for adult and adolescent patients with a BSA > 1.8 m<sup>2</sup> is 800 mg
- orally once daily, in the evenings, without food (recommend at least 1 hour before or 2 hours
- after a meal). The dose of pazopanib will not exceed 800 mg. For all other patients < 18 years of
- age (who must weigh  $\geq$  40 kg at study enrollment) the starting dose of pazopanib is 600 mg
- orally once daily in the evenings. All patients age 12 to 17 year of age, who are eligible only for
- 1537 Cohort 2, will not be randomized and will receive treatment with TRC105 and pazopanib.
- Pazopanib dose reductions are allowed based on individual patient tolerability.
- Do not crush tablets due to the potential for increased rate of absorption, which may affect
- 1540 systemic exposure.
- 1541 If it is confirmed, by the patient, that a pazopanib tablet has been vomited, that amount of
- pazopanib can be re-dosed.
- 1543 If a dose is missed, it should not be taken if it is less than 12 hours until the next dose.

## 1544 7.2.6. Pazopanib Dose Modification

- Dose adjustments and management of side effects of pazopanib should be guided at least in part
- by the applicable current approved product labeling. Dose increase or reduction is recommended
- based on individual safety and tolerability. Decrease or increase should be in 200-mg steps
- based on individual tolerability. The dose of pazopanib will not exceed 800 mg for adult and for
- adolescent patients with a BSA  $> 1.8 \text{ m}^2$  and not exceed 600 mg for all other patients < 18 years

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- of age, who must weigh  $\geq$  40 kg at study enrollment. If a dose is missed, it should not be taken if
- it is less than 12 hours until the next dose.
- In case of prolongation of QTc interval > 500 msec, pazopanib will be held and appropriate
- investigations will be performed (e.g., cardiologist consultation, repeat ECG, continuous ECG
- monitoring, etc.). Rechallenge with pazopanib will be guided by cardiology input and will
- require authorization by TRACON.
- 1556 The Schedule of Assessments should be followed with regards to visits, labs, and any other
- required assessments even if dosing is held (Table 3 and Table 4).
- 1558 7.2.7. Pazopanib Drug Accountability
- Patients will be asked to return any unused tablets from the previous cycle for proper drug
- accountability and destruction according to institution guidelines. A new prescription will be
- dispensed for the following cycle.
- 1562 Investigators must maintain an accurate accounting of pazopanib lots used for this trial. During
- the study, the following information must also be recorded if TRACON provides the pazopanib:
- Date of purchase, quantity and lot number
- ID number of the patient to whom the product is dispensed
- The date(s) and quantity of the product dispensed
- Dates and quantity of product returned, lost or accidentally or deliberately destroyed
- Drug Accountability Logs should be maintained by the site and must be readily available for
- inspection.

# 1570 7.3. Concomitant Medications

- No approved or investigational anticancer treatment other than the 2 study drugs (i.e., TRC105
- and pazopanib) will be permitted during the study period. No other investigational drug may be
- used during treatment on this protocol, and concurrent participation in another clinical trial is not
- 1574 allowed.
- Narcotic analgesics, acetaminophen, nonsteroidal anti-inflammatory drugs, and triptans
- 1576 (e.g., sumatriptan) may be offered as needed for relief of pain or headaches. Ketorolac may be
- used prophylactically following the initial dose of TRC105 to reduce the frequency and severity
- of headache that often occurs the evening following completion of the initial TRC105 dose. H1-
- antihistamines and decongestants may be offered for the treatment of conditions such as sinus
- 1580 congestion.
- Packed red blood cells, colony stimulating factors, and platelet transfusions should be
- administered as clinically indicated for anemia, neutropenia, and thrombocytopenia, respectively.
- QT Prolongation and torsades de pointes: pazopanib should be used with caution in patients with
- a history of QT interval prolongation, in patients taking antiarrhythmics or other medications that
- may prolong QT interval, and those with relevant pre-existing cardiac disease.

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Table 9 provides a representative but not exhaustive list of medications known to prolong QT interval.

1588 Table 9: Examples of Drugs Prolonging QT interval and/or Inducing Torsades de Pointes<sup>a</sup>

|  | Prolonging QT interval and/or Inducing Torsades de Pointes <sup>a</sup>            |  |  |  |  |  |
|--|--|--|--|--|--|--|
| Class                                      | Generic Name   |  |  |  |  |  |
| Anesthetic                                 | Sevoflurane  |  |  |  |  |  |
| Anti-anginal                               | Ranolazine, Bepridil   |  |  |  |  |  |
| Anti-arrhythmic                            | Sotalol, Quinidine, Amiodarone, Ibutilide, Disopyramide, Procainamide, Flecainide, |  |  |  |  |  |
| Anti-armytimie                             | Dofetilide, Dronedarone  |  |  |  |  |  |
|  | Moxifloxacin, Clarithromycin, Ciprofloxacin, Gemifloxacin, Ofloxacin               |  |  |  |  |  |
| Antibiotic                                 | Telithromycin, Levofloxacin, Roxithromycin, Trimethoprim-Sulfa, Gatifloxacin,      |  |  |  |  |  |
|  | Sparfloxacin, Azithromycin Erythromycin  |  |  |  |  |  |
| Anti-cancer                                | Tamoxifen, Lapatinib, Nilotinib, Arsenic trioxide, Eribulin, Sunitinib, Vandetanib |  |  |  |  |  |
| Anti-convulsant                            | Fosphenytoin, Felbamate  |  |  |  |  |  |
| A second                                   | Mirtazapine, Citalopram, Venlafaxine, Paroxetine, Fluoxetine, Sertraline,          |  |  |  |  |  |
| Anti-depressant                            | Trazodone, Escitalopram, Clomipramine, Amitriptyline, Imipramine, Nortriptyline,   |  |  |  |  |  |
| A  | Desipramine, Doxepin, Trimipramine, Protriptyline                                  |  |  |  |  |  |
| Anti-fungal                                | Voriconazole, Fluconazole, Ketoconazole, Itraconazole                              |  |  |  |  |  |
| Antihistamine                              | Astemizole, Terfenadine, Diphenhydramine, Diphenhydramine                          |  |  |  |  |  |
| Anti-hypertensive                          | Nicardipine, Isradipine, Moexipril/HCTZ  |  |  |  |  |  |
| Anti-infective                             | Pentamidine  |  |  |  |  |  |
| Antilipemic                                | Probucol H16 (1)   |  |  |  |  |  |
| Anti-malarial                              | Artenimol + piperaquine, Chloroquine, Halofantrine                                 |  |  |  |  |  |
| Anti-mania                                 | Lithium  |  |  |  |  |  |
| Anti-nausea/antiemetic                     | Granisetron, Dolasetron, Ondansetron   |  |  |  |  |  |
| A de la de                                 | Clozapine, Ziprasidone, Thioridazine, Risperidone, Mesoridazine, Quetiapine,       |  |  |  |  |  |
| Anti-psychotic                             | Haloperidol, Pimozide, Amisulpride, Sertindole, Sertindole, Iloperidone,           |  |  |  |  |  |
| Anti-viral                                 | Paliperidone, Chlorpromazine Foscarnet, Ritonavir, Atazanavir                      |  |  |  |  |  |
|  | Phentermine, Fenfluramine, Sibutramine   |  |  |  |  |  |
| Appetite suppressant Bladder Antispasmodic | Tolterodine Tolterodine  |  |  |  |  |  |
| α1-Blocker                                 | Alfuzosin  |  |  |  |  |  |
| α1-Blocker                                 | Albuterol, Salmeterol, Metaproterenol, Terbutaline, Metaproterenol, Levalbuterol,  |  |  |  |  |  |
| Bronchodilator/decongestant                | Ephedrine, Phenylpropanolamine, Pseudoephedrine                                    |  |  |  |  |  |
| Cholinesterase inhibitor                   | Galantamine  |  |  |  |  |  |
| Chomiesterase inimotion                    | Amphetamine, Methylphenidate, Amphetamine, Dexmethylphenidate,                     |  |  |  |  |  |
| CNS stimulant                              | Methylphenidate, Lisdexamfetamine  |  |  |  |  |  |
| Diuretic                                   | Indapamide   |  |  |  |  |  |
| Dopaminergic/anti-viral/anti-infective/    | Amantadine   |  |  |  |  |  |
| Endocrine                                  | Ocreotide  |  |  |  |  |  |
| GI stimulant                               | Cisapride  |  |  |  |  |  |
| H2-histamine receptor antagonist           | Famotidine   |  |  |  |  |  |
| Imaging contrast agent                     | Perflutren lipid microspheres  |  |  |  |  |  |
| Immunosuppressant                          | Tacrolimus, Fingolimod   |  |  |  |  |  |
| **   | Dopamine, Isoproterenol, Dobutamine, Epinephrine, Norepinephrine,                  |  |  |  |  |  |
| Inotropic agent/vasconstrictor             | Phenylephrine  |  |  |  |  |  |
| Local anesthetic                           | Cocaine  |  |  |  |  |  |
| Muscarinic receptor anatagonist            | Solifenacin  |  |  |  |  |  |
| Muscle relaxant                            | Tizanidine   |  |  |  |  |  |
| norepinephrine reuptake inhibitor          | Atomoxetine  |  |  |  |  |  |
| Opiate agonist                             | Methadone, Levomethadyl  |  |  |  |  |  |
| Oxytocic                                   | Oxytocin   |  |  |  |  |  |
| phosphodiesterase inhibitor/vasodilator    | Vardenafil   |  |  |  |  |  |
| Sedative                                   | Chloral hydrate  |  |  |  |  |  |
| Sedative; Anti-nausea/anesthesia           | •  |  |  |  |  |  |
| adjunct                                    | Droperidol   |  |  |  |  |  |
| Uterine relaxant                           | Ritodrine  |  |  |  |  |  |
| Vasconstrictor                             | Midodrine <sup>a</sup>   |  |  |  |  |  |
| 5110414401                                 |  |  |  |  |  |  |

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- <sup>a</sup>A continuously updated list of these drugs is available at www.torsades.org (accessed December 16, 2012). CNS:
   Central Nervous System.
- 1591 Consistent with the product labeling for pazopanib, in general, short-acting antacids should be
- considered in place of proton pump inhibitors and H2-histamine receptor antagonists
- 1593 (e.g., famotidine) for patients taking pazopanib to avoid the risk of reducing pazopanib exposure.
- 1594 It is recommended to separate antacid and pazopanib dosing by several hours to avoid a
- reduction in pazopanib exposure.
- 1596 CYP3A4 Inhibitors: Avoid use of strong inhibitors (Table 10); co-administration of pazopanib
- with strong inhibitors of CYP3A4 increases pazopanib concentrations. Patients may not have
- received a strong CYP3A4 inhibitor within 7 days prior to cycle 1 day 1. If co-administration is
- warranted, reduce the dose of pazopanib to 400 mg. Grapefruit or grapefruit juice should be
- avoided as it inhibits CYP3A4 activity and may also increase plasma concentrations of
- 1601 pazopanib.

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- 1602 CYP3A4 Inducers: Consider an alternate concomitant medication with no or minimal enzyme
- induction potential; CYP3A4 inducers may decrease plasma pazopanib concentrations. Patients
- may not have received a strong CYP3A4 inducer within 12 days prior to cycle 1 day 1.
- 1605 CYP Substrates: Concomitant use of pazopanib with agents with narrow therapeutic windows
- that are metabolized by CYP3A4, CYP2D6, or CYP2C8 is not recommended.
- 1607 Concomitant use of pazopanib and simvastatin increases the risk of ALT elevations and should
- be undertaken with caution and close monitoring.

Table 10: Examples of Strong CYP3A4 Inducers and Inhibitors<sup>a</sup>

| Inducers:       | * <sup>a</sup> Inhibitors: |               |
|-----------------|----------------------------|---------------|
|                 | Boceprevir                 | Conivaptan    |
| Phenytoin       | Indinavir                  | Itraconazole  |
| Carbamazepine   | Nelfinavir                 | Ketoconazole  |
| Rifampin        | Lopinavir/ritonavir        | Mibefradil    |
| Rifabutin       | Saquinavir                 | Nefazodone    |
| Rifapentin      | Telaprevir                 | Posaconazole  |
| Phenobarbital   | Ritonavir                  | Voriconazole  |
| St. John's Wort | Clarithromycin             | Telithromycin |

aBecause the lists of these agents are constantly changing, it is important to regularly consult a comprehensive list
 such as the one located at http://medicine.iupui.edu/clinpharm/ddis/.

# 7.4. Treatment Compliance

# 7.4.1. TRC105 Treatment Compliance

- All TRC105 infusions will occur at the trial site under the direct supervision of the treating
- physician or his or her designee.

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| 7.4.2. | <b>Pazopanib</b> | <b>Treatment</b> | Compliance |
|--------|------------------|------------------|------------|
|        |                  |                  |            |

- Patients will be asked to record the day and time of pazopanib home dosing on a TRACON
- supplied log to be reviewed by site personnel prior to initiation of each new cycle.

# 1619 7.5. Patient Randomization

- 1620 Each adult patient's randomization assignment to Arm A (pazopanib alone) or Arm B (TRC105
- + pazopanib), will be obtained by the investigational site after all screening procedures have
- been completed. An 8 digit patient number will be assigned by the randomization system (4 digit
- site number + 4 digit patient number), and this 8 digit number will be used to identify patients
- throughout their participation in the trial. Treatment must start within 3 calendar days of
- 1625 randomization.

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Detailed training and instructions for the enrollment process will be provided by TRACON.

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## 8. ASSESSMENT OF EFFICACY

### 1628 8.1. Radiological and Photographic Tumor Assessments

- 1629 The primary determination of efficacy will be based on objective tumor assessments made by the
- independent blinded Central Radiographic Review according to RECIST version 1.1 [62]
- modified as noted in Section 8.1.1.1. RECIST 1.1 PD MUST be centrally confirmed prior to
- withdrawing patients from the study on the basis of PD. All lesions will be classified as
- target or non-target lesions at the Screening visit. Each lesion designation will be maintained
- through the course of the study.
- All patients should receive CT or MRI scans of chest, abdomen, and pelvis with contrast. If
- subjects are unable to receive CT contrast due to CT contrast medium allergy or renal
- insufficiency, enhanced MRI scans may be used. A combination of non-contrast CT and MRI
- studies (such as chest CT without contrast and abdominal MRI with contrast) may be used. For a
- given patient, the same method of assessment must be used throughout the course of the study
- 1640 thereafter.

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- Similarly, if a patient develops a contraindication to CT contrast during the course of the study,
- assessments may shift to non-contrast CT of the chest and contrast-enhanced MRI of the
- abdomen for subsequent scans. Such a situation does not imply mandatorily a non-evaluable
- 1644 (NE) overall response designation.
- Patients with cutaneous tumors will undergo 2D color photography of all visible cutaneous
- lesions. In addition, a brain MRI or CT with contrast is to be performed at screening and on
- study as needed if metastases are suspected. A bone scan is to be performed at screening if bone
- metastases are suspected. If a bone scan documents bone disease at baseline, it needs to be
- repeated only when complete response is identified or progression in bone is suspected. All other
- known areas of disease should be consistently followed throughout the study.
- The same method and technique should be used to characterize each identified and reported
- lesion at Screening, during the study treatment period, and at the End of Study visit. Imaging-
- based evaluation rather than clinical examination is the required technique when both could be
- used to assess the antitumor effect of the treatment. Radiology-based evaluation over
- photography-based evaluation is preferred when both could be used to assess the antitumor effect
- of the treatment.
- Tumor evaluation by positron emission tomography (PET) scan or by ultrasound may not
- substitute for CT or MRI scans. The CT portion of a PET/CT could be acceptable as long as it is
- of diagnostic quality. (5mm slice thickness, and readable).
- 1660 Tumor assessments will be performed at screening and every 6 weeks from randomization as
- outlined in the Schedule of Assessments (Table 3 and Table 4), and whenever disease
- progression is suspected. Known areas of disease should be consistently followed throughout the
- study. Another tumor assessment will be performed at the End of Study Visit if an assessment
- has not been performed within the prior 6 weeks. All patient files and radiological images must
- be available for CRF source verification.

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## 8.1.1. Measurability of Tumor Lesions

Measurable disease is defined by the presence of at least 1 measurable lesion. At baseline, individual tumor lesions will be categorized by the Investigator as measurable or non-measurable according to modified RECIST 1.1 as described below.

- **Measurable** Must be accurately measured in at least 1 dimension (longest diameter in the plane of measurement is to be recorded) with a minimum size of:
  - 10 mm by CT scan or MRI (CT scan slice thickness no greater than 5 mm)
  - 10 mm cutaneous lesion measured by digital 2D color photography: lesion measurements are generated from the 2D photographs by the Independent Central Radiographic Review panel; the measurements are reported by longest diameter
  - Malignant lymph nodes: To be considered pathologically enlarged and measurable, a lymph node must be ≥ 15 mm in *short* axis when assessed by CT scan (CT scan slice thickness recommended to be no greater than 5 mm). At baseline and follow-up, only the short axis will be measured and followed

Lytic bone lesions, with an identifiable soft tissue component, evaluated by CT or MRI, can be considered measurable lesions if the soft tissue component otherwise meets the definition of measurability previously described. Blastic bone lesions are non-measurable. Lesions in previously irradiated areas (or areas treated with local therapy) should not be selected as target lesions, unless there has been demonstrated progression in the lesion.

• Non-Measurable: All other lesions, including small lesions (longest diameter < 10 mm or pathological lymph nodes with ≥ 10 to < 15 mm short axis) as well as truly non-measurable lesions. Lesions considered to be truly non-measurable are bone lesions (lytic lesions or mixed osteolytic-osteoblastic lesions without identifiable soft tissue components, and osteoblastic lesions), leptomeningeal disease, ascites, pleural/pericardial effusions, cutaneous or pulmonary lymphangitis, inflammatory breast disease, abdominal masses not confirmed by imaging techniques, and cystic lesions.

## 8.1.1.1. Obtaining Cutaneous Lesion Measurements from 2D Photography

The following modifications will be applied when using RECIST 1.1 criteria to evaluate visible cutaneous lesions via 2D color photography.

- Up to 5 target cutaneous lesions
- 2D color photographs are reviewed by independent medical experts.
- The reviewer determines whether each lesion can best be measured digitally or via a tape measure included in the lesion photograph.
- For each lesion, the Central Radiographic Review panel is provided with all available photographs.
- The Central Radiographic Review panel incorporates the measurements into their RECIST 1.1 assessment.

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## 1703 8.1.1.2. Recording Tumor Measurements

- Measurable lesions up to a maximum of 10 total, including 5 cutaneous lesions and up to 5 non-
- cutaneous lesions representative of all involved organs (with a maximum of 2 lesions per organ,
- other than skin, where up to 5 target lesions may be used) should be identified as target lesions
- and measured and recorded at baseline and at the stipulated intervals during treatment. Target
- lesions should be selected on the basis of their size (lesions with the longest diameters) and their
- suitability for accurate repetitive measurements (by the selected imaging techniques). Target
- lesions may include lymph nodes with a short axis  $\geq 15$  mm and cutaneous lesions  $\geq 10$  mm in at
- 1711 least 1 dimension.
- 1712 The longest diameter will be recorded for each target lesion (with the exception of lymph nodes,
- where the short axis will be used). The sum of the diameters for all target lesions at baseline will
- be calculated and recorded as the baseline sum diameter to be used as reference to further
- 1715 characterize the objective tumor response of the measurable dimension of the disease during
- treatment. All measurements be recorded in metric notation in millimeters.
- All other lesions (or sites of disease) should be identified as non-target lesions and should also be
- 1718 recorded at baseline. Measurements are not required and these lesions should be followed as
- "present," "stable," "absent," "increased," or "decreased."

## 1720 **8.1.2. Definitions of Tumor Response**

## 1721 **8.1.2.1.** Target Lesions

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- Complete response (CR): Disappearance of all target lesions. Any pathological lymph nodes (whether target or non-target) must have reduction in short axis to < 10 mm.
- **Partial response** (**PR**): A least a 30% decrease in the sum of diameters of the target lesions, taking as a reference the baseline sum diameters.
  - **Progressive disease (PD):** At least 20% increase in the sum of diameters of target lesions, taking as a reference the smallest sum on study (this includes the baseline sum if that is the smallest on study). In addition to the relative increase of 20%, the sum must also demonstrate an absolute increase of at least 5 mm. The appearance of 1 or more new lesions is also considered progression.
  - **Stable disease (SD):** Neither sufficient shrinkage to qualify for PR nor sufficient increase to qualify for PD, taking as reference the smallest sum diameters while on study.

# 1733 8.1.2.2. Non-Target Lesions

- Complete response (CR): Disappearance of all non-target lesions. All lymph nodes must be non-pathological in size (< 10 mm short axis).
- Non-CR/non-PD: Persistence of  $\geq 1$  non-target lesion(s).
- **Progressive disease (PD):** Unequivocal progression of existing non-target lesions, or the appearance of ≥ 1 new lesion.

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1739 The cytological confirmation of the neoplastic origin of any effusion that appears or worsens

during treatment when the measurable tumor has met criteria for response or stable disease is

mandatory to differentiate between response or stable disease and progressive disease.

### 8.1.2.3. Determination of Overall Response

#### 8.1.2.3.1. RECIST 1.1

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When both target and non-target lesions are present, individual assessments will be recorded

separately. The overall assessment of response will involve all parameters as depicted in

1746 Table 11. A designation of CR or PR in this study requires confirmation at a subsequent

1747 consecutive assessments separated by at least 4 weeks following the initial designation of CR or

PR, respectively. Per RECIST 1.1, a modest increase in the size of 1 or more non-target lesions

is usually not sufficient to qualify for unequivocal progression status.

## Table 11: Response Evaluation Criteria in Solid Tumors

| Target Lesions <sup>a</sup> | Non-Target Lesions <sup>b</sup> | New Lesion <sup>c</sup> | Overall Response |
|-----------------------------|---------------------------------|-------------------------|------------------|
| CR                          | CR                              | No                      | CR               |
| CR                          | non-CR/non-PD                   | No                      | PR               |
| CR                          | Not evaluated                   | No                      | PR               |
| PR                          | Non-PD or not all evaluated     | No                      | PR               |
| SD                          | Non-PD or not all evaluated     | No                      | SD               |
| Not all evaluated           | Non-PD                          | No                      | Not Evaluable    |
| PD                          | Any Response                    | Yes or No               | PD               |
| Any Response                | PD                              | Yes or No               | PD               |
| Any Response                | Any Response                    | Yes                     | PD               |

<sup>1751 &</sup>lt;sup>a</sup>Measurable lesions only.

The best overall response is the best response recorded from the start of the treatment until

disease progression/recurrence (taking as reference for progressive disease the smallest

measurements recorded since the treatment started). The patient's best response assignment will

depend on the achievement of both measurement and confirmation criteria.

1758 In some circumstances, it may be difficult to distinguish residual disease from normal tissue.

1759 When the evaluation of complete response depends upon this determination, it is recommended

that the residual lesion be investigated by fine needle aspirate or biopsy before confirming the

1761 complete response status.

#### 8.1.3. Central Review of Disease Assessments

1763 Independent blinded central review of imaging studies, photographs and clinical information

documenting disease status will be performed in real time to verify disease response and

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bMay include measurable lesions not followed as target lesions or non-measurable lesions.

<sup>1753 &</sup>lt;sup>c</sup>Measurable or non-measurable lesion.

progression during study. It is important to the integrity of the study that all imaging studies and clinical information, including photographs, are forwarded to the review center as each patient enrolls and progresses through the study.

- 1768 Materials for central review are the following:
  - 1. All imaging studies performed on study, preferably in digital format on compact disc or optical disc. All digital media must be in DICOM format. Films may be forwarded for review if necessary; all films must be originals (second original films acceptable) rather than copies of films.
    - 2. 2D photographs of sites of all target and non-target lesions. It is important to the integrity of the study that all 2D photographs, including annotation of baseline 2D photographs with target lesion border demarcation, are forwarded to the review laboratory as each patient enrolls and progresses through the study.
- 1777 Details concerning clinically assessed lesions will be collected on the CRFs.
- Further information on materials to be forwarded for central review is provided in separate manuals provided by the vendors.

# 8.2. Primary Endpoint

- 1781 PFS is defined as time from randomization to either first disease progression (per independent
- radiology review of images by RECIST 1.1) or death from any cause. For the purpose of
- analysis for patients who are alive at the time of analysis and have not had disease progression,
- the following rules will apply: (1) The patient will be censored on the date of the last tumor
- assessment documenting absence of progressive disease; (2) if the patient was given antitumor
- treatment other than study drug treatment, the patient will be censored as of the date of the last
- tumor assessment prior to initiating that antitumor therapy; (3) if the patient was removed from
- study for toxicity or other reason, the patient will be censored as of the date of the last tumor
- assessment on study. With regard to missed tumor assessments, in the event of one missed tumor
- assessment followed by a subsequent assessment of progressive disease (PD), the subsequent PD
- assessment qualifies as objective tumor progression. In the event of more than one consecutive
- missing tumor assessment followed by a subsequent assessment of PD, the patient will be
- censored at the last adequate tumor assessment.
- Rarely, a patient not amenable to curative intent surgery enrolled onto study therapy may
- become amenable due to treatment effect. Patients who undergo surgery with curative intent will
- be censored at the time of surgery in the primary endpoint of PFS. These patients will continue to
- be evaluated with tumor assessments, as scheduled, until progression, death, or receipt of other
- anti-cancer therapy. A secondary analysis of the data will be conducted without censoring such
- 1799 patients.
- Pediatric patients will not be randomized and will not be analyzed in the determination of
- primary or secondary endpoints. Individual efficacy data will be reported for pediatric patients
- who enroll in the trial.

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# 8.3. Secondary Endpoints

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# 1805 8.3.1. Objective Response Rate

- Objective response rate (ORR) is defined as the number of patients with a best response of CR or
- PR divided by the number of randomized patients. ORR is defined as the best response
- designation recorded between the date of randomization and the date of documented progression,
- as determined by Central Radiographic Review and Central Photographic Review according to
- 1810 RECIST 1.1 criteria, or date of subsequent therapy, whichever occurs first. For patients without
- documented progression or subsequent therapy, all available response designations will
- contribute to the ORR determination. A designation of CR or PR in this study requires
- 1813 confirmation at a subsequent consecutive assessments separated by at least 4 weeks following
- the initial designation of CR or PR, respectively.
- Patients who undergo curative intent surgery on study due to treatment response and are
- determined to have a pathologic CR, best overall response for these patients will be CR. If
- patients do not have pathologic CR, best overall response is that determined prior to the surgery.
- Duration of response (DR) is defined as the time from first objective response (CR or PR) to the
- date of the first documented tumor progression, as determined by Central Radiographic Review
- and Central Photographic Review according to RECIST 1.1 criteria or date of death due to any
- cause, whichever occurs first. For subjects who neither progress nor die, the duration of response
- will be censored at the same time they were censored for the definition of PFS. This endpoint
- will only be evaluated in subjects with objective response of CR or PR and without a formal
- statistical comparison between treatment arms

#### 1825 8.3.2. Overall Survival

- Overall survival (OS) is defined as the time from randomization to the date of death. A patient
- who has not died will be censored from OS analysis as of the last known alive date.

# 1828 8.3.3. Safety

- Safety will be analyzed through incidence of AEs, both serious and non-serious, ECGs, physical
- examinations and vital signs, ECOG performance status, and specific laboratory abnormalities in
- each treatment arm. See Section 9 Assessment of Safety.

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## 9. ASSESSMENT OF SAFETY

# 1833 9.1. Safety Parameters

- Adverse events (AEs) will be characterized in terms of the type, timing, frequency, severity
- 1835 (graded by the National Cancer Institute [NCI] Common Terminology Criteria for Adverse
- Events [CTCAE], Version 4.03), seriousness, and relatedness to study therapy (individually to
- 1837 TRC105 and to pazopanib). In addition, physical examination, vital signs, and ECOG
- performance status will be serially monitored. Laboratory safety analyses will be based on the
- local laboratory data, and will include hematology, serum chemistry (including liver and kidney
- function), urinalysis, and serum or urine pregnancy testing. Serum will also be assessed for
- immunogenicity to TRC105 (including anti-TRC105 antibody titers). In addition, 12-lead ECGs
- will be performed at the time points indicated in the Schedule of Assessments (Table 3 and
- 1843 Table 4).

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## 1844 9.1.1. Laboratory Safety Assessments

- Abnormal and clinically significant laboratory tests should be recorded as AEs. To meet the
- definition of clinically significant, the test result generally requires a change in medical
- management (e.g. new medication, unplanned treatment, additional tests, etc.).

# 1848 9.1.1.1. Hematology, Serum Chemistry, Coagulation, and Pregnancy Test

- Assessments will be performed at the time points indicated in the Schedule of Assessments
- 1850 (Table 3 and Table 4) and analyzed at local laboratories. Investigators may have additional
- blood tests performed for the purpose of planning treatment administration, or for following AEs
- 1852 as clinically indicated.
- Hematology: Complete blood count (CBC) with differential and platelet count. Iron
   studies (serum iron, transferrin and ferritin) are obtained only a Screening, and then on
   study only if clinically indicated.
- Coagulation: International Normalized Ratio (INR) will be assessed
- Serum Chemistry: Total bilirubin, alanine transaminase (ALT), aspartate transaminase
   (AST), alkaline phosphatase, lipase, amylase, total protein, albumin, sodium, potassium,
   bicarbonate, chloride, calcium, phosphorus, blood urea nitrogen or serum urea, creatinine,
   magnesium, TSH, and glucose
  - Pregnancy Test: Serum pregnancy tests will be performed locally on all female patients of childbearing potential.

# 1863 **9.1.1.2.** Urinalysis

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- 1864 Urinalysis (without microscopic analysis, unless indicated) will be performed at time points
- indicated in the Schedule of Assessments (Table 3 and Table 4) and analyzed by local
- laboratories. Microscopic analysis, urine protein-creatinine ratio (UPCR), and 24-urine
- collection for protein should be performed as clinically indicated.

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### 1868 9.1.1.3. Physical Examination

- A physical examination including, but not limited to, general appearance, head, eyes, ears, nose,
- throat, neck, heart, chest, abdomen, musculoskeletal, extremities, skin, lymph nodes,
- neurological genitourinary (as appropriate), and rectal (as appropriate) will be assessed at time
- points indicated within the Schedule of Assessments (Table 3 and Table 4). The physical
- examination will include examination of known and suspected sites of disease.

### 1874 **9.1.1.4.** Vital Signs

- Heart rate, temperature, blood pressure, respiratory rate and weight will be assessed at time
- points indicated within the Schedule of Assessments (Table 3 and Table 4). Heart rate,
- temperature, blood pressure, and respiratory rate will also be assessed during TRC105 infusions
- as described in Section 5.1.2.2 and the footnotes of Table 3 and Table 4 (Schedule of
- 1879 Assessments).

## 1880 9.1.1.5. Performance Status

The ECOG scale (Section 21.2) will be used to assess performance status at Screening.

## 1882 **9.1.1.6.** ECG

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- 1883 Three consecutive 12-lead ECGs at least 2 min apart will be performed. It is preferable that the
- machine used has a capacity to calculate standard intervals automatically. ECG will be
- performed at the time points indicated in the Schedule of Assessments (Table 3 and Table 4) and
- as clinically indicated throughout the study.
- Prolonged QT intervals and torsades de pointes have been observed in association with
- pazopanib administration. Pazopanib is absorbed orally with median time to achieve peak
- concentrations of 2 to 4 hours after the dose. The timing of the ECG at day 15 should reflect the
- 1890 cardiac status at the anticipated maximal plasma concentrations of pazopanib based on the
- protocol-specified timing of pazopanib dosing on the days when ECG is performed.

## 1892 9.1.1.7. Patient Reported Outcomes

- Patient reported outcome questionnaires will be performed at the time points indicated in the
- Schedule of Assessments (Table 3 and Table 4).
- The EuroQol Group Patient Questionnaire (EQ-5D-5L) quality of life questionnaire comprises
- the following 5 dimensions: mobility, self-care, usual activities, pain/discomfort, and
- anxiety/depression. On the EQ-5D-5L, each dimension has 5 levels (e.g., no problems, slight
- problems, moderate problems, severe problems, and unable). EO-5D is one of a handful of
- measures recommended for use in cost-effectiveness analyses by the Washington Panel on Cost
- 1900 Effectiveness in Health & Medicine. In the UK, NICE has issued revised guidance that argues
- 1901 for the use of measures like EO-5D that have been weighted according to the social preferences
- of the UK population. The more specific ways in which EQ-5D is being used:
  - Monitoring the health status of patient groups at different moments in time, e.g. referral, admission, discharge, follow-up of outpatients.

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- Evaluation and audit of health care, by measuring changes in health status in individual patients and in groups of patients.
  - Assessing the seriousness of conditions at different moments in time.
  - Providing relevant information for resource allocation at a variety of levels.
  - Assisting in providing evidence about medical effectiveness in processes where drugs or procedures have to be approved.
- 1911 Establishing levels of population health status both locally and nationally. Examples include
- health surveys carried out in Canada, Finland, Spain (1994 Catalan health survey interview) UK
- 1913 (UK Department of Health Omnibus Sample Survey 1996, Health Survey for England) and the
- 1914 US (current Medical Expenditure Panel Survey by the Agency for Healthcare Research and
- 1915 Quality) [63-66].

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- 1916 EORTC QLQ-C30 is a questionnaire developed to assess the quality of life of cancer patients.
- 1917 The QLQ-C30 is composed of both functional scales and symptom scales. All the scales range in
- score from 0 to 100. A high scale score represents a higher response level. Thus a high score for
- a functional scale represents a high / healthy level of functioning, while a high score for a
- symptom scale / item represents a high level of symptomatology / problems.

## 1921 9.2. Adverse Events

- All observed or volunteered AEs regardless of suspected causal relationship to TRC105 and/or
- 1923 pazopanib study drugs will be reported as described below. The CRF page will collect onset time
- and signs and symptoms of infusion-related reactions. Medications administered and all other
- actions taken to treat the event, the outcome, and any recurrence upon re-challenge will be
- 1926 captured.

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#### 1927 9.2.1. Definition of Adverse Event

- An AE is any untoward medical occurrence in a trial patient who is administered a drug or
- biologic (medicinal product); the event may or may not have a causal relationship with the
- medicinal product. Examples of AEs include, but are not limited to the following:
- Clinically significant symptoms and signs including:
  - Signs and symptoms resulting from drug overdose, abuse, misuse, withdrawal, sensitivity, dependency, interaction or toxicity.
  - All possibly related and unrelated illnesses, including the worsening of a preexisting illness.
  - Injury or accidents. Note that if a medical condition is known to have caused the injury or accident (hip fracture from a fall secondary to dizziness), the medical condition (dizziness) and the outcome of the accident (hip fracture from a fall) should be reported as 2 separate AEs.
  - Symptoms or signs resulting from exposure *in utero*.

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- Abnormalities in physiological testing or physical examination findings that require clinical intervention or further investigation (beyond ordering a repeat confirmatory test).
  - Laboratory abnormalities that meet any of the following (Note: Merely repeating an abnormal test, in the absence of any of the below conditions, does not constitute an AE. Any abnormal test result that is determined to be an error does not require reporting as an AE.):
    - Test result that is associated with accompanying symptoms
    - Test result that requires additional diagnostic testing or medical/surgical intervention
    - Test result that leads to a change in TRC105 study drug dosing outside of protocol-stipulated dose adjustments or discontinuation from the trial, significant additional concomitant drug treatment, or other therapy
    - Test result that is considered to be an AE by the Investigator or TRACON

#### 1954 9.2.2. Serious Adverse Events

- An AE that meets one or more of the following criteria/outcomes is classified as a serious AE (SAE):
- Results in death
  - Is life-threatening (i.e., at immediate risk of death)
- Requires in patient hospitalization or prolongation of existing hospitalization
- Results in persistent or significant disability/incapacity
- Results in congenital anomaly/birth defect
  - Other important medical events that may not result in death, be life-threatening, or require hospitalization may be considered serious when, based upon appropriate medical judgment, they may jeopardize the patient or may require medical or surgical intervention to prevent one of the outcomes listed above. Examples of such events are intensive treatment in an emergency room for allergic bronchospasm; blood dyscrasias or convulsions that do not result in hospitalization; or the development of drug dependence or drug abuse.
- Serious also includes any other event that the Investigator or sponsor judges to be serious, or which is defined as serious by a regulatory authority in the country in which the event occurred.
- 1971 Progression of the malignancy under study (including signs and symptoms of progression)
- should not be reported as an AE unless the outcome is fatal during the trial or within the safety
- reporting period, in which case it should be reported as an SAE. Hospitalization due to signs and
- 1974 symptoms of disease progression should not be reported as an SAE. If the patient expires during
- the trial or within the safety reporting period, then the event leading to death must be recorded as
- an SAE with NCI CTC grade 5.

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The onset date of an SAE is defined as the date on which the event initially met serious criteria (e.g., the date of admission to a hospital). The end date is the date on which the event no longer

met serious criteria (e.g., the date the patient was discharged from a hospital).

### 1980 9.2.2.1. Hospitalization

- AEs associated with in-patient hospitalization, or prolongation of an existing hospitalization, are
- considered serious. Any initial admission, even if the duration is less than 24 hours is considered
- serious. In addition, any transfer within the hospital to an acute/intensive care unit is considered
- serious (e.g., transfer from the psychiatric wing to a medical floor or transfer from a medical
- 1985 floor to a coronary care unit). However, the following situations **should not** be considered
- 1986 serious:

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- Rehabilitation facility admission
- Hospice facility admission
- 1989 Respite care
- Skilled nursing facility admission
- Nursing home admission
- Emergency room visit
- Outpatient same day surgery/procedure
- Hospitalization or prolongation of hospitalization in the absence of precipitating clinical
   AEs as follows:
  - Admission for treatment of preexisting condition not associated with the development of a new or worsened AE
- 1998 Social admission
- 1999 Administrative admission (e.g., for yearly physical exam)
- 2000 Protocol-specified admission during a clinical trial
- 2001 Optional admission not associated with a precipitating clinical AE (e.g., for elective cosmetic surgery)
  - Preplanned treatments or surgical procedures that are not related to an SAE
  - Hospitalization for observation without an AE
  - Diagnostic and therapeutic noninvasive and invasive procedures, such as surgery, should not be reported as AEs. The medical condition for which the procedure was performed should be reported if it meets the definition of an AE (e.g., acute appendicitis that begins during the AE reporting period should be reported as an AE and the appendectomy should be recorded as a Concomitant Procedure).

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#### 9.3. Reporting Adverse Events

# 2011 9.3.1. Eliciting Adverse Event Information

- The Investigator is to report all directly observed AEs and all AEs spontaneously reported by the
- trial patient using concise medical terminology. In addition, each trial patient will be questioned
- about AEs at each clinic visit following initiation of treatment. The question asked will be,
- "Since your last clinic visit have you had any health problems?"

#### 2016 9.3.2. Adverse Event Reporting Period

- The AE reporting period for this trial begins with informed consent and ends following the
- 2018 completion of the 28-day follow-up visit or at least 28 days after the last dose of pazopanib or
- 2019 TRC105 study drug is administered, whichever occurs later. Data for patients who screen fail
- will not be collected in the clinical database. However, if a screen fail patient experiences an AE
- that is considered related to study conduct or study procedures during the screening period, the
- event will be tracked on the Screening Log and the event will be assessed for reportability. All
- events that occur following randomization, even if the patient does not go on to receive study
- treatment, will be entered on CRFs. AEs occurring prior to the initiation of the study treatment
- will be considered "baseline-emergent adverse events" and will be recorded on the
- 2026 corresponding CRFs.

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- All AEs that occur in trial patients during the AE reporting period specified in the protocol must
- be reported to TRACON, whether or not the event is considered study treatment-related. In
- addition, any known untoward event that occurs beyond the AE reporting period that the
- 2030 Investigator assesses as a suspected adverse reaction to the investigational medications/products
- should also be reported as an AE.

# 2032 9.3.3. Reporting Requirements

- Each AE is to be classified by the Investigator as SERIOUS or NONSERIOUS (Section 9.2.2 for
- serious adverse event definition). This classification of the event determines the reporting
- procedures to be followed. If an SAE occurs, reporting will follow local and international
- 2036 regulations, as appropriate.
- The Investigator must notify the Sponsor of any AE that meets one of the criteria for an SAE
- immediately upon learning of the event. Any subsequent revisions that are made to information
- pertaining to serious adverse events (e.g., change in seriousness criteria, relationship to study
- 2040 drug etc.) should also be communicated to TRACON immediately. This notification should be
- 2041 made to:

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Following notification, the Investigator will report the SAE in the AE CRF via the data management system. The initial AE CRF is to be updated with more detailed SAE information within **5 calendar days** of the event whenever possible.

In the rare event that the Investigator is not immediately aware of an SAE (for example, if the study subject seeks urgent medical attention elsewhere), the Investigator is to notify the Sponsor immediately upon learning of it and document his/her first awareness.

Each SAE should be followed until resolution, returns to the patient's pre-treatment baseline status, or until such time as the Investigator determines that it has become stable. Information pertaining to follow-up of SAEs should also be sent to the TRACON Pharmaceuticals Inc.

SAEs that are unexpected and reported as associated with use of the study drugs TRC105 or pazopanib will be submitted to the US Food and Drug Administration (FDA), Competent Authorities and Ethics Committees in other countries taking part in the study, as well as all participating clinical sites in all countries as required by applicable regulatory authorities. Investigators should report to their local IEC/IRB as dictated by their board's policies and procedures. For events which are fatal or life-threatening, unexpected, and reported as associated with use of the investigational products, a 7-Day Alert Report will be submitted to the regulatory authorities within 7 calendar days of receipt of the SAE information. For all other AEs that are serious, unexpected, and reported as associated with use of the investigational products, a written report will be made no more than 15 calendar days from the date TRACON learns of the event. Participating clinical sites will be notified of these events in parallel.

All AEs, including SAEs, are to be reported on the AE CRFs.

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#### 9.3.4. Recording Adverse Events in the Case Report Forms

- The Investigator is to report all directly observed AEs and all AEs spontaneously reported by the
- trial patient. In addition, each trial patient will be questioned about AEs. All AEs that meet the
- criteria specified in Section 9.2.1 are to be recorded on patient source documents and on the
- 2085 CRFs. AEs should be reported using concise medical terminology on the CRFs.

#### 2086 9.3.5. Grading of Adverse Event Severity

- To report AEs on the CRFs, the Investigator will use the severity grading as described in NCI CTCAE (Version 4.03).
- 2089 Every effort should be made by the Investigator to assess the AE according to CTCAE criteria.
- 2090 However, the diagnosis term should not be altered to accommodate the CTCAE dictionary. If the
- 2091 Investigator is unable to assess severity because the term is not described in NCI CTCAE
- 2092 (Version 4.03), severity of MILD, MODERATE, SEVERE, LIFE-THREATENING, or FATAL
- 2093 may be used to describe the maximum intensity of the AE. For purposes of consistency, these
- intensity grades are defined as follows:

#### **Table 12:** Adverse Event Grading

| Grade | Non-CTCAE Severity | Definition  |
|-------|--------------------|---|
| 1     | Mild               | Does not interfere with patient's usual function        |
| 2     | Moderate           | Interferes to some extent with patient's usual function |
| 3     | Severe             | Interferes significantly with patient's usual function  |
| 4     | Life-Threatening   | That event results in immediate risk of patient's death |
| 5     | Fatal              | That event results in patient's death                   |

Note the distinction between the severity and the seriousness of an AE. A severe event is not necessarily a serious event. For example, a headache may be severe (interferes significantly with patient's usual function) but would not be classified as serious unless it met 1 of the criteria for serious events.

# 9.3.6. Relationship of Adverse Event to TRC105 and Pazopanib Study Drugs

In this study, TRC105 study drug may be given in combination with pazopanib. The relationship of an AE to TRC105 study drug and pazopanib should be classified by the Investigator independently using the following guidelines:

- Suspected Adverse Reaction to TRC105: There is a reasonable possibility that TRC105 caused the AE (i.e., there is evidence to suggest a causal relationship between TRC105 and the AE). See Section 2.6.1.1 for common TRC105 adverse reactions; however, the most current version the TRC105 IB should be referenced.
- Suspected Adverse Reaction to pazopanib: There is a reasonable possibility that pazopanib caused the AE (i.e., there is evidence to suggest a causal relationship between pazopanib and the AE). Section 2.6.1.2 for a list of common pazopanib adverse reactions; however, the most current version the pazopanib product labeling should be referenced.

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- Not Related: There is no reasonable possibility that the AE is associated with TRC105
   study drug or pazopanib.
- Causality assessment should be reported for every AE. An AE could be reported as related to one
- study drug, both study drugs or not related to any study drug. Please refer to Section 2.6.1.1 and
- Section 2.6.1.2 for the most common TRC105 and pazopanib adverse reactions; for complete
- 2117 reference, please use the most current version the TRC105 IB and the most current version the
- pazopanib product labeling. Note: an AE could be considered as related or possibly related to
- study drug(s) even if not referenced in the IB or the product labeling if in the opinion of the
- investigator there is a reasonable possibility that the drug(s) may have caused the AE.

# 2121 9.3.7. Expectedness Assessment

- 2122 All TRC105 AEs and suspected adverse drug reactions are considered "unexpected" if not listed
- in the applicable section of the current TRC105 Investigator Brochure (IB) or not listed at the
- specificity or severity that has been observed and listed in the IB. For example, under this
- 2125 definition, hepatic necrosis would be unexpected (by virtue of greater severity) if the IB referred
- only to elevated hepatic enzymes or hepatitis. Similarly, cerebral thromboembolism and cerebral
- vasculitis would be unexpected (by virtue of greater specificity) if the IB listed only cerebral
- vascular accidents. "Unexpected," as used in this definition, also refers to AEs or suspected
- 2129 adverse reactions that are mentioned in the IB as occurring with a class of drugs or as anticipated
- 2130 from the pharmacological properties of the drug, but are not specifically mentioned as occurring
- 2131 with the particular drug under investigation.
- 2132 For the expectedness assessment of AEs related to pazopanib, the most recent product labeling
- 2133 will be referenced.

#### 2134 **9.3.8.** Exposure *in Utero*

- A pregnant patient will be withdrawn from the study. If any trial patient (or partner of a trial
- patient) becomes or is found to be pregnant during the study or within 180 days of discontinuing
- 2137 the investigational medication/product, the Investigator must report the information to
- 2138 TRACON, or designee via the Pregnancy Notification Report Form. This must be done
- 2139 irrespective of whether an AE has occurred and within 24 hours of awareness of the pregnancy.
- The information submitted should include the anticipated date of delivery.
- 2141 The Investigator will follow the patient (or partner of a trial patient) until completion of the
- pregnancy or until pregnancy termination (i.e., induced abortion) and then notify TRACON, or
- 2143 its designee, of the outcome within 5 days or as specified below. The Investigator will provide
- 2144 this information as a follow-up to the initial report. The reason(s) for an induced abortion must
- 2145 be specified.
- For pregnancies of partners of male participating in the study: all partners who become pregnant
- and provide appropriate consent to TRACON will be monitored to the completion or termination
- of the pregnancy as described above.
- 2149 The Investigator should follow procedures for reporting an SAE if pregnancy outcome meets
- criteria for an SAE (i.e., spontaneous abortion, stillbirth, neonatal death, or congenital anomaly
- 2151 [including that in an aborted fetus]).

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- In the case of a live birth, the "normality" of the newborn can be assessed at the time of birth and
- 2153 the Pregnancy Outcome Report Form should be completed (i.e., no minimum follow-up period
- of a presumably normal infant must pass before a Pregnancy Outcome Report Form can be
- completed). The "normality" of an aborted fetus can be assessed by gross visual inspection
- unless pre-abortion laboratory findings are suggestive of a congenital anomaly.
- 2157 Additional information about pregnancy outcomes that are classified as SAEs follows:
- "Spontaneous abortion" includes miscarriage and missed abortion.
- All neonatal deaths that occur within 1 month of birth should be reported, without regard to causality, as SAEs. In addition, any infant death after 1 month that the Investigator assesses as possibly related to the *in utero* exposure to the investigational medication should also be reported.

#### 2163 9.3.9. Follow-up of Unresolved Adverse Events

- All AEs should be followed until they are resolved or return to the patient's pre-treatment
- baseline, or the Investigator assesses them as stable; every effort should be made to make this
- 2166 determination by the 28-day follow-up visit. Any increase or decrease in AE grade should be
- 2167 recorded as a new AE.

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- All serious and those non-serious events assessed by the Investigator as suspected adverse
- reaction to the investigational medication/product must continue to be followed even after the
- patient's participation in the trial is over, until the event is either resolved, improved to the
- patient's pre-treatment baseline or better, stable without anticipated future change or the patient
- 2172 is lost to follow-up, or in the case of a suspected adverse reaction, later determined to be not
- 2173 related to the investigational medicinal product.

#### 2174 9.4. Safety Monitoring

- The TRACON Clinical Team will monitor safety throughout the study via the following activities:
  - Surveillance and reporting of SAEs according to regulatory guidelines as outlined in the safety plan
    - Routine monitoring of non-serious AEs as they are recorded in the CRFs and the source documents at study sites
    - Periodic teleconferences with the Principal Investigators to share experiences and ensure communication
      - New toxicity information that may affect the treatment of patients on this study will be promptly communicated in writing to all participating clinical sites and institutions participating in this clinical trial
- An Independent Data Monitoring Committee (IDMC) will review the data from the trial.

  The IDMC will periodically review the progress of the study and accumulating safety and efficacy data. Following each review, the IDMC will recommend to the Sponsor (TRACON Pharma) whether to continue the trial unchanged, modify the conduct of the

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| 2190 | study, or terminate the study early. The DMC will be comprised of 3 voting members     |
|------|--|
| 2191 | external to the Sponsor, including a clinician specializing in the treatment of        |
| 2192 | angiosarcoma, a clinician with broader specialty in oncology clinical studies, and a   |
| 2193 | biostatistician with expertise in the design, analysis, and interpretation of oncology |
| 2194 | clinical studies.  |

- There are 2 committees monitoring safety across all studies of TRC105, a separate charter for each committee will define the roles and responsibilities.
  - A formally chartered external Safety Review Team
- A formally chartered TRACON in-house Safety Review Team

# 2199 9.5. Steering Committee

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An external steering committee comprised of sarcoma experts assisted with study design and will review study amendments as needed.

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shipment information.

| 2202   | 10.                                     | OTHER ASSESSMENTS  |
|--|---|--|
| 2203   | 10.1.                                   | Other Laboratory Assessments   |
| 2204   | 10.1.1.                                 | Pharmacokinetics   |
| 2205<br>2206                                 | -                                       | for storage until the time of analysis. See separate manual for specific collection, storage and shipping information.   |
| 2207   | 10.1.1.1.                               | TRC105 and Pazopanib Concentrations  |
| 2208<br>2209<br>2210<br>2211<br>2212<br>2213 | the time possible Samples we laboratory | ood sample for each TRC105 and pazopanib pharmacokinetics (PK) to be collected at pints indicated in the Schedule of Assessments (Table 3 and Table 4) (if applicable). Will be stored at approximately -70°C. Samples will be batch shipped as indicated in the manual to a central laboratory. See separate laboratory guide for further collection and information. Additional PK samples may also be collected at the time of unexpected ents. |
| 2214   | 10.1.2.                                 | TRC105 Immunogenicity  |
| 2215<br>2216                                 |   | for storage until the time of analysis. See separate manual for specific collection, storage and shipping information.   |
| 2217<br>2218<br>2219<br>2220<br>2221<br>2222 | Assessment the context and stored       | 105 antibody concentrations will be measured using validated enzyme-linked orbent assay (ELISA) methods at the time points specified in the Schedule of ints (Table 4) in all patients. Anti-TRC105 antibody concentrations will be evaluated in t of PK/pharmacodynamics parameters and AE profiles. Samples will be separated at approximately -70 °C. See separate laboratory guide for further collection and information.                     |
| 2223   | 10.1.3.                                 | Protein Biomarkers   |
| 2224<br>2225<br>2226<br>2227<br>2228<br>2229 | Schedule of and shipped to be analy     | vzed include, but are not limited to, VEGF, VEGF-R2, placental growth factor (PIGF), 05. Please see the separate laboratory guide for further collection and shipment  |
| 2230   | 10.1.4.                                 | Circulating Tumor Cells  |
| 2231   | One 10 ml                               | LEDTA tube of blood for circulating tumor cells (CTCs) will be collected on the days   |

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indicated within the Schedule of Assessments (Table 3 and Table 4). Samples will be shipped to

for analysis. Please see the separate laboratory guide for further collection and

| 2235 | 10.1.5. | Archival | Tumor    | Specimens   |
|------|---------|----------|----------|-------------|
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- Specimens (formalin-fixed, paraffin-embedded) of the primary cancer and/or metastatic
- angiosarcoma tumor for each study participant will be obtained and centrally reviewed,
- retrospectively, to corroborate diagnosis of angiosarcoma. Other markers that may relate to
- 2239 efficacy or toxicity of TRC105 may also be explored.
- 2240 It is preferable that the entire paraffin block be submitted, but if this is not feasible, then at least
- 2241 10 unstained slides are requested for immunohistochemical analysis (sections of ~ 5 microns are
- 2242 preferred).
- Samples will be stored at room temperature and shipped to . for storage
- until the time of analysis. See separate laboratory guide for further collection and shipment
- 2245 information.

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#### 11. STATISTICS

# 2247 **11.1. Sample Size**

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- A hazard ratio (HR) of 0.55 is considered to be clinically relevant. Based on 1:1 randomization
- and the use of log-rank test at the 2-sided alpha of 0.05 level of significance, 95 events provides
- 2250 83% power to detect a HR of 0.55.
- The expected PFS of angiosarcoma patients treated with pazopanib who have progressed
- following first line treatment is 4 months. A HR of 0.55 corresponds to an improvement of
- median PFS from 4 months to 7.27 months. Due to the uncertainty of the treatment effect, and
- heterogeneity among the cutaneous and non-cutaneous subgroups, an adaptive enrichment design
- will be employed. The adaptive design calls for enrolling two cohorts, with 120 adult patients in
- 2256 Cohort 1, and 70 adult patients in Cohort 2. The initially planned final analysis will be
- 2257 conducted when 60 events are observed from Cohort 1 and 35 events are observed from cohort 2,
- 2258 whichever comes at a later time. A projection of events in Cohort 1 will be done prior to the
- interim analysis, and the sample size of Cohort 1 may be increased by no more than 20% to
- compensate for a lack of expected events. Similarly, a projection of events in Cohort 2 will be
- done at 55 events in Cohort 1 and the sample size and number of events in Cohort 2 only may be
- increased by no more than 20% to compensate for a lack of or expected time frame of events.
- A formal interim analysis will be performed after observing 40 events or 30 days after enrolling
- 2264 120 patients in cohort 1. Based on the results obtained at this interim analysis, the trial will be
- classified into one of four zones: favorable, promising, enrichment, and unfavorable. The future
- course of the trial may be modified in one of the following ways (Figure 3):
  - 1. Favorable Zone: If the conditional power of demonstrating treatment effect with full population is high, i.e.,  $CP_F > 95\%$ , the trial is considered to fall into the favorable zone. In this case, it will continue with the initially planned enrolment of 120 adult patients for Cohort 1 and 70 adult patients for Cohort 2 from both cutaneous and non-cutaneous patients. The final analysis will be performed on the full population when 60 events are observed for Cohort 1 and 35 events for Cohort 2, whichever comes later.
  - 2. Promising Zone: If the conditional power of demonstrating treatment effect with full population is between 30% and 95% inclusive, the trial is considered to fall into the promising zone. If the trial falls into promising zone, enrollment into Cohort 2 will be increased from 70 adult patients to 220 adult patients from the full population and the events in Cohort 2 will be increased from 35 to 110. The final analysis will be performed on the full population when 60 events are observed from the 120 adult patients in Cohort 1 and 110 events are observed from the 220 adult patients in Cohort 2, whichever comes later.
  - 3. Enrichment Zone: If the conditional power for the full population is < 30% and the conditional power for the cutaneous subgroup is > 50%, the enrollment for Cohort 2 will be restricted to the cutaneous subgroup only and 160 adult patients will be enrolled. The final analysis will be performed on the cutaneous subgroup only, when 60 events are observed from the 120 adult patients in Cohort 1 and when 110 events are observed in cutaneous subgroup from both cohorts combined.

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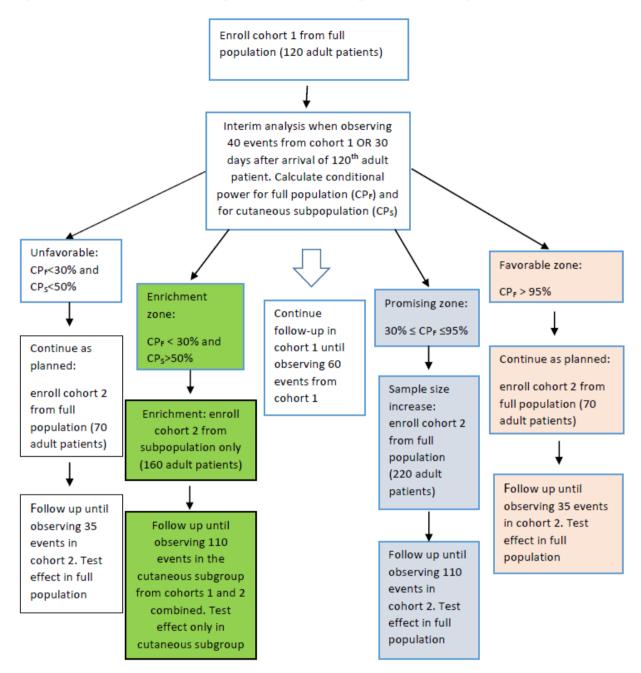
 4. Unfavorable Zone: If the conditional power for the full population is < 30% and the conditional power for cutaneous subgroup is ≤ 50%, the trial is considered to fall into the unfavorable zone. In this case, the trial will remain unchanged, enrolling 120 adult patients for Cohort 1 and 70 adult patients for Cohort 2 from the full population. The final analysis will be performed on full population when 60 events are observed from the 120 adult patients in Cohort 1 and 35 events are observed from the 70 adult patients whichever comes later.

The IDMC might recommend termination of the trial for futility by exercising its judgment based on the totality of the data at interim time if TRC105 seems doing worse than the control group.

In order to preserve the statistical integrity of the adaptive design it is **pre-specified** that 120 subjects will be enrolled in Cohort 1, and followed until 60 events are obtained. This specification was based on initial assumptions about patient enrollment rates and HRs. The actual rates could vary from these initial assumptions. In addition it has not been factored in the impact of possible patient drop-outs. If there are too many drop-outs from Cohort 1, it may either become impossible to obtain the required 60 events or the duration of patient follow-up may become excessively prolonged. To mitigate these risks, the sample size of Cohort 1 and Cohort 2 may increase by a maximum of 20% as detailed in the statistical analysis plan (SAP) in a manner that does not increase the Type 1 error rate.

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### Figure 3: Schematic Representation of Adaptive Trial Design



# 11.1.1. Definition of Analyzed Study Populations

The following study populations will be considered when reporting study results:

• The study population for safety includes all patients receiving at least a portion of 1 dose of either TRC105 or pazopanib. If a patient actually received study drug not according to their randomized treatment arm, they will be analyzed for safety in the treatment arm according to the study drug they actually received.

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• The study population for efficacy will include all randomized adult patients (intent-to-treat, ITT).

#### 11.2. Data Analysis

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- Descriptive statistics (such as means, medians, standard deviations and ranges for continuous
- data and percentages for categorical data) will be used to summarize patient characteristics,
- treatment administration/compliance, immunogenicity (anti-TRC105 antibodies), efficacy, PK
- parameters, protein biomarkers, and archival tumor tissue. Data will also be displayed
- 2320 graphically, where appropriate. Additional details of data analyses for the study may be found in
- 2321 the statistical analysis plan (SAP).

# 11.2.1. Analysis of Primary Objective

- 2323 PFS is defined as time from randomization to either first disease progression (per independent
- radiology review of images by RECIST 1.1) or death from any cause. For the purpose of
- analysis for patients who are alive at the time of analysis and have not had disease progression,
- the following rules will apply: (1) The patient will be censored on the date of the last tumor
- assessment documenting absence of progressive disease; (2) if the patient was given antitumor
- treatment other than study drug treatment, the patient will be censored as of the date of the last
- 2329 tumor assessment prior to initiating that antitumor therapy; (3) if the patient was removed from
- study for toxicity or other reason, the patient will be censored as of the date of the last tumor
- assessment on study. With regard to missed tumor assessments, in the event of one missed tumor
- assessment followed by a subsequent assessment of progressive disease (PD), the subsequent PD
- 2333 assessment qualifies as objective tumor progression. In the event of more than one consecutive
- 2334 missing tumor assessment followed by a subsequent assessment of PD, the patient will be
- censored at the last adequate tumor assessment.
- The primary efficacy analysis will test the null hypothesis that the HR of the experimental arm
- relative to the control arm is 1 against the alternative hypothesis that the HR is less than 1, at the
- 2-sided 0.05 level of significance. The hypothesis test will be based on the method of Jenkins,
- Stone and Jennison [67], thereby ensuring strong control of Type 1 error for this adaptive design.
- Additional supportive analyses will include Kaplan-Meier plots for each treatment arm and the
- p-value and confidence interval for the HR, unadjusted for the adaptive nature of the design.
- A pre-planned assessment of the primary endpoint will additionally be done to determine the
- primary endpoint of PFS without censoring patients with tumor response who undergo curative
- intent surgical resection. A Cox regression model will be used to explore the potential influences
- of the stratification factors on the primary PFS endpoint. In addition, the potential influences of
- baseline patient characteristics will be evaluated.
- An exploratory, sensitivity analysis will be conducted that will apply the modified RECIST 1.1
- separately to cutaneous lesions and to non-cutaneous lesions for each patient with both cutaneous
- and non-cutaneous sites of disease.
- The secondary endpoints will be tested in a hierarchical order only if the test for primary
- endpoint is significant at 2-sided significance level 0.05. More specifically, if the test for PFS is
- significant at the final analysis time, the objective response rate (ORR) will be tested next at the
- same 2-sided 0.05 level followed by the test for overall survival (OS) at the same level.

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#### 11.2.2. Analysis of Pharmacokinetics

- Serum TRC105 and plasma pazopanib concentrations will be measured using validated methods
- and assessed for potential correlations with response, PFS, survival, AEs, and baseline
- characteristics using descriptive statistics and models as appropriate. To the extent possible
- potential PK drug interaction between pazopanib and TRC105 and the relationship between
- pazopanib exposure and efficacy/safety endpoints in the pazopanib alone arm will be explored,
- and examine the assumption that the exposure-response relationship for pazopanib is flat and that
- 2361 there is no drug interaction between pazopanib and TRC105 in the combination arm. Intensive
- pharmacokinetic data collection with additional analyses will be done for patients 12-17 years of
- age to provide data in support of extrapolation of the treatment effects of TRC105 and pazopanib
- from adults to this pediatric population.

#### 11.2.3. Analysis of Protein Biomarkers

- Angiogenic protein biomarker data for each patient who received at least 1 dose of TRC105 or
- pazopanib will be listed.

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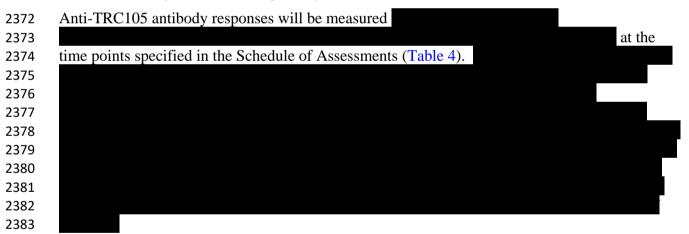
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# 2368 11.2.4. Analysis of Circulating Tumor Cells

- Endoglin expressing circulating tumor cell data for each patient who received at least 1 dose of
- 2370 TRC105 or pazopanib will be listed.

# 11.2.5. Analysis of Immunogenicity



Anti-TRC105 antibody responses will be evaluated in the context of PK parameters and AE profiles. To the extent possible as provided by the available data, the effect of anti-TRC105

antibodies on the PK, pharmacodynamics (PD), efficacy, and safety of TRC105 will be

evaluated.

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| <b>12.</b> | DIRECT ACCESS T | TO SOURCE I | DATA/DOCUMENTS |
|------------|-----------------|-------------|----------------|
|------------|-----------------|-------------|----------------|

All data entered on CRFs/eCRFs must be verifiable within the patients' source documents 2389 (written or electronic record). The Investigator /institution guarantees TRACON representatives 2390 and appropriate regulatory authorities direct access to the original source records for the duration 2391 of the agreed study record retention period. Printouts of source records that are electronically 2392 obtained and stored will not be acceptable for audit/inspection unless provided as certified exact 2393 2394 copies and the data remains as meaningful and useful as in its original electronic state. 2395 Legally protected subject identification and other personal health information must be securely stored with limited access by the participating institutions. Unless secure provisions are 2396 established by the institution to allow TRACON (or designee) to perform remote monitoring of 2397 electronic source records, TRACON (or designee) will review source records/data on site and 2398 2399 will not remove any such protected health information.

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| 2400 | <b>13.</b> | OUALITY CONTROL | AND QUALITY ASSURANCI |
|------|------------|-----------------|-----------------------|
|      |            |                 |                       |

- 2401 Monitoring visits to clinical investigator sites will be made by TRACON or its representatives
- 2402 periodically during the trial to ensure that GCPs and all aspects of the protocol are being
- 2403 followed.
- 2404 The trial site will also be subject to possible inspection by the institutional review board (IRB) or
- independent ethics committee (IEC) or other appropriate regulatory authority. The trial site is
- 2406 also subject to quality assurance (QA) audits performed by TRACON or its representatives.
- 2407 It is important that the Investigator(s) and their relevant personnel are available during the
- 2408 monitoring visits, audits, and inspections and that sufficient attention, time, and support is
- 2409 devoted to the process.
- 2410 TRACON and its representatives will be governed by applicable regulations, good clinical
- practice standards, and internal SOPs for the conduct of monitoring visits and QA audits.
- 2412 Protocol deviations will be captured in TRACONs electronic data capture system.

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#### 14. ETHICS

2413

#### 2414 14.1. Institutional Review Board (IRB)/Independent Ethics Committee (IEC)

- 2415 Before this study starts, the trial protocol, protocol amendments, informed consent forms, and
- 2416 any other information provided to study patients will be submitted to the Food and Drug
- 2417 Administration (FDA), European Medicines Agency (EMA) or other national health authorities
- and to each IEC/IRB for review, as applicable. As required, the study will not start at a given
- 2419 investigational center before the IEC/IRB and health authority (where applicable) for the center
- 2420 gives written approval or a favorable opinion.
- All correspondence and other evidence of appropriate and timely communications with the
- 2422 IRB/IEC should be retained in the Investigator/site files. Copies of all IRB/IEC approvals should
- 2423 also be forwarded to TRACON.
- 2424 The only circumstance in which an amendment may be initiated prior to IRB/IEC approval is
- 2425 where the change is necessary to eliminate apparent immediate hazards to the patients. In that
- event, the Investigator must notify the IRB/IEC and TRACON in writing within 5 business days
- 2427 after the implementation.

# 2428 14.2. Ethical Conduct of the Study

- 2429 The trial will be performed in accordance with the protocol, applicable local regulatory
- requirements and laws, and the International Conference on Harmonisation (ICH) Guideline on
- 2431 Good Clinical Practice, which supports the application of ethical principles that have their origin
- in the Declaration of Helsinki (see ICH E6, § 2.1).

### 2433 14.3. Written Informed Consent

- The informed consent form language must be agreed upon by TRACON and the IRB/IEC and
- 2435 must be in compliance with ICH GCP, local regulatory requirements, and legal requirements.
- 2436 The informed consent information must not be changed without prior approval by TRACON and
- 2437 the IRB/IEC. The informed consent form used in this trial, and any changes made during the
- course of the trial, must be approved by both the IRB/IEC and TRACON, or designee, before
- 2439 use.
- 2440 It is the responsibility of the Investigator to give each patient full and adequate verbal and written
- information regarding the objective and procedures of the trial and the possible risks involved.
- 2442 This information must be provided to the patient prior to undertaking any trial-related procedure.
- Patients must be informed about their right to withdraw from the trial at any time. Furthermore,
- 2444 it is the responsibility of the Investigator to ensure all patients are appropriately informed before
- obtaining their signed and dated consent. Signatures from the Investigator conducting the
- informed consent discussion should also be obtained prior to undertaking any trial-related
- procedure. Consent by a legally authorized representative is not permitted. Should an impartial
- witness be needed, ICH E6 requirements for impartial witnesses will apply.
- 2449 The Investigator will retain the original of each patient's signed consent form in the
- 2450 Investigator/site files.

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# 2451 14.4. Patient Compensation

- Patients will not be compensated for participation in this trial; this will be outlined in the patient
- 2453 informed consent form.

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#### 15. DATA HANDLING AND RECORDKEEPING

#### 2455 **15.1. Inspection of Records**

- 2456 CRFs are required and should be completed for each patient who receives any treatment with
- either TRC105 or pazopanib. Screen failure CRFs will not be collected. Nevertheless, records of
- 2458 potential patients identified and screened shall be retained on site screening logs. The completed
- original CRFs are the sole property of TRACON and should not be made available in any form
- 2460 to third parties without written permission from TRACON (except for authorized representatives
- of a Regulatory Authority and in accordance with Health Portability and Accountability Act
- 2462 (HIPAA) regulations).
- 2463 It is the Investigator's responsibility to ensure completion and to review and approve all CRF
- data. The Investigator will sign off on his/her data per patient. These signatures serve to attest
- that the Investigator has reviewed and approved the information contained on the CRFs and that
- the information is complete, accurate, and true. At all times, the Investigator has final personal
- responsibility for the accuracy and authenticity of all clinical and laboratory data entered on the
- 2468 CRFs.

2454

- The use of electronic CRFs (eCRFs) to capture study data using automated computerized data
- capture systems does not change the principles and requirements for collecting study data. The
- 2471 Investigator still retains final personal responsibility for eCRF data and any associated data
- pertaining to it (e.g., metadata including any record of change to the originally recorded data).
- 2473 The Investigator's signed approval of the eCRF data serves to attest that the electronic data and
- all of its associated metadata (including changes) has been reviewed and accepted as complete,
- accurate, and true for each patient in the study.
- 2476 All CRF/eCRF data must be verifiable in the patient's source records by TRACON or its
- 2477 designee. TRACON will review CRF data as compared to source records in an attempt to
- 2478 identify missing and spurious data and notify the Investigator of findings so that proper
- 2479 corrections can be made. TRACON representatives (monitors and auditors) and regulatory
- 2480 inspectors shall have direct access to the original source records in its original recorded format:
- 2481 electronic or hardcopy.
- 2482 TRACON (or its designee) will perform all data management functions associated with the
- 2483 study. Data will be captured electronically in this study. Automated data verification ("edit
- 2484 checks") will be used to ensure that the data are logical and consistent. Any inconsistencies will
- be queried for clarification or correction as appropriate by the clinical site.

#### 2486 15.2. Retention of Records

- To allow for appropriate evaluations and/or audits by regulatory authorities or TRACON, the
- 2488 Investigator agrees to keep records, including the identity of all participating patients (sufficient
- 2489 information to link records, CRFs and hospital records), all original signed informed consent
- forms, copies of all CRFs, source documents, and detailed records of treatment disposition.
- Essential documents will be retained until at least 2 years after the last approval of a marketing
- 2492 application in an ICH region and until there are no pending or contemplated marketing

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| 2493<br>2494<br>2495 | applications in an ICH region or at least 2 years have elapsed since the formal discontinuation of clinical development of the investigational product. These documents should be retained for a longer period, however, if required by the applicable regulatory requirements or by an agreement |
|----------------------|---|
| 2496                 | with the sponsor.   |
| 2497                 | If the Investigator relocates, retires, or for any reason withdraws from the study, then TRACON   |
| 2498                 | should be prospectively notified. The study records must be transferred to an acceptable  |
| 2499                 | designee, such as another Investigator or another institution. The Investigator must inform   |
| 2500                 | TRACON of any such transfer of responsibilities and properly identify the person or institution   |
| 2501                 | assuming the responsibility. The responsible Investigator/institution must obtain TRACON's  |
| 2502                 | written permission before disposing of any records.   |

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#### 16. **DEFINITION OF END OF TRIAL**

#### 2504 **16.1.** End of Trial in all Participating Countries

- 2505 End of trial in all participating countries is defined as the time at which all patients enrolled in
- 2506 the study have completed the follow up period, all data collection and clean-up activities have
- been completed, including all query resolution.
- 2508 For clinical investigational centers located in the European Union (EU), a declaration of the end
- of the clinical study will be made according to the procedures outlined in Directive 2001/20/ED,
- 2510 Article 10(c); for other countries, local regulations will be followed.

# 2511 16.2. TRACON Study Discontinuation Criteria

- 2512 Premature termination of this trial may occur because of a regulatory authority decision, change
- 2513 in opinion of the IRB/IEC, study drug safety problems or availability, or at the discretion of
- 2514 TRACON. In addition, TRACON retains the right to discontinue development of TRC105 at
- 2515 any time.

2503

- 2516 TRACON reserves the right to discontinue the trial prior to inclusion of the intended number of
- patients, but intends only to exercise this right for valid scientific or administrative reasons. If a
- trial is prematurely terminated or discontinued, TRACON will promptly notify the Investigator.
- After notification, the Investigator must contact all ongoing participating patients within a 28-
- day time period. As directed by TRACON, all trial materials must be collected and all CRF data
- 2521 must be completed to the greatest extent possible.

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| 2522 | <b>17.</b> | <b>PUBLICA</b> | TION OF | <b>TRIAL</b> | RESUL | TS |
|------|------------|----------------|---------|--------------|-------|----|
|------|------------|----------------|---------|--------------|-------|----|

- Publication of trial results is discussed in the Investigators' Clinical Trial Agreement.
- 2524 The sponsor is responsible for ensuring that the public has access to the appropriate information
- about the study by conforming to local and regional requirements for registration and posting of
- 2526 results.
- 2527 The study will be listed in public databases on clinical studies www.clinicaltrials.gov and the
- European www.clinicaltrialsregister.eu clinical trials database (EudraCT). The summary of the
- study results will also be available at the appropriate time on www.clinicaltrials.gov and
- 2530 www.clinicaltrialsregister.eu websites.

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# 2531 18. FINANCING AND INSURANCE

2532 Financing and Insurance are discussed in the Investigators' Clinical Trial Agreement.

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#### **19.** INVESTIGATOR PROTOCOL AGREEMENT: 105SAR301 2533 2534 I understand that all information concerning this study supplied to me by TRACON Pharmaceuticals, Inc. is confidential information. I have read this protocol and agree to conduct 2535 the study according to all applicable regulations, Good Clinical Practice Guidelines, and in 2536 accordance with the Clinical Trial Agreement. 2537 I understand that this protocol, all protocol amendments, and all materials provided to potential 2538 study patients must be submitted to and approved by the appropriate IRB/IEC prior to 2539 implementation. 2540 I have read this protocol and agree that it contains all necessary details for carrying out this 2541 study. I will conduct the study as outlined herein and will complete the study within the time 2542 2543 designated. I will provide copies of the protocol and all pertinent information to all individuals responsible to 2544 me who assist in the conduct of this study. I will discuss this material with them to ensure that 2545 they are fully informed regarding the study drug, the conduct of the study, and the obligations of 2546 confidentiality. 2547 Coordinating Investigator (where required): 2548 Name (typed or printed): 2549 2550 Institution and Address: 2551 Signature Date (Day Month Year) 2552 2553 Principal (Site) Investigator: 2554 2555 Name (typed or printed): 2556 Institution and Address: Telephone Number: 2557 2558 Date (Day Month Year) 2559 Signature Note: If the address or telephone number of the investigator changes during the course of the 2560 study, written notification will be provided by the investigator to the sponsor.

2562 Please sign and return this agreement to:



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| 2723 | 21.  | APPENDICES  |   |
|------|------|-------------|---|
| 2724 | 21.1 | Ammondin 1. | Notional Company Institute (NCI) Common Torreits also |

- 2724 21.1. Appendix 1: National Cancer Institute (NCI) Common Terminology
  2725 Criteria for Adverse Events (CTCAE)
- The NCI CTCAE (Version 4.03) should be used to assess AEs and may be reviewed on-line at the following NCI website:
- https://evs.nci.nih.gov/ftp1/CTCAE/CTCAE\_4.03\_2010-06-14\_QuickReference\_8.5x11.pdf

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# 21.2. Appendix 2: ECOG Performance Status

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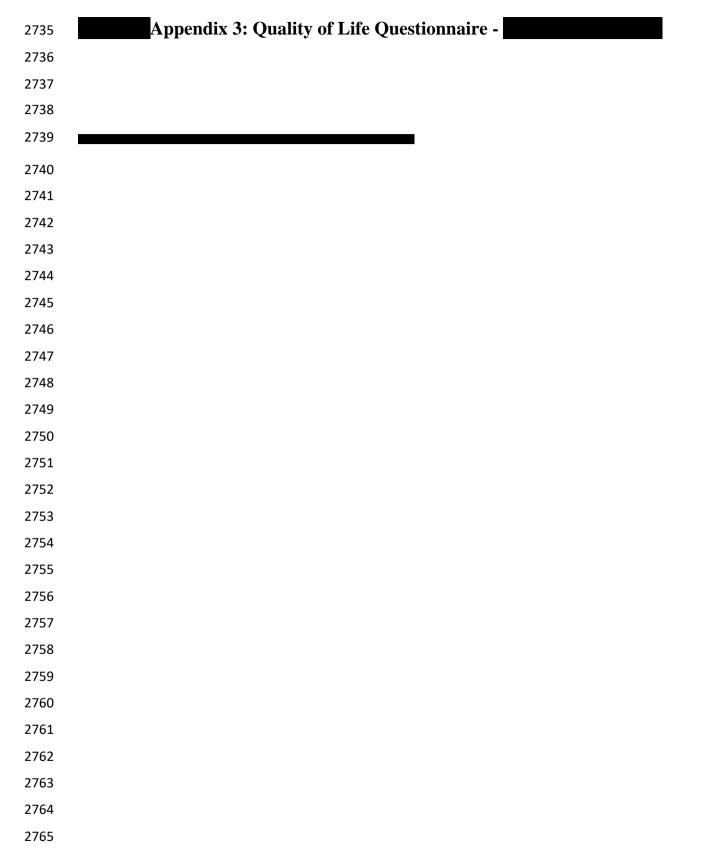
| Grade | Performance  |
|-------|--|
| 0     | Fully active, able to carry on all pre-disease performance without restriction.  |
| 1     | Restricted in physically strenuous activity but ambulatory and able to carry out work of a light or sedentary nature, e.g., light house work, office work. |
| 2     | Ambulatory and capable of all self-care but unable to carry out any work activities. Up and about more than 50% of waking hours.                           |
| 3     | Capable of only limited self-care, confined to bed or chair more than 50% of waking hours.   |
| 4     | Completely disabled. Cannot carry on any self-care. Totally confined to bed or chair.  |
| 5     | Dead.  |

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**21.4.** 

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