Efficacy and safety of PD-1/PD-L1 inhibitors plus nab-paclitaxel for patients with nonsmall cell lung cancer who have progressed after platinum-based chemotherapy

Fan Zhang^{*}, Di Huang^{*}, Lei Zhao^{*}, Tao Li, Sujie Zhang, Guoqing Zhang, Fang Yuan, Jie Zhang, Yuzi Zhang, Zhengyi Zhao, Longgang Cui, Jing Zhao, Guoqiang Wang, Shangli Cai, Yuezong Bai, Jinliang Wang and Yi Hu

Abstract

Background: Immunotherapy combined with platinum-based chemotherapy is now the standard first-line treatment for non-small cell lung cancer (NSCLC) patients. However, limited evidence exists to show the efficacy of immunotherapy plus taxanes for patients who have progressed after platinum-based chemotherapy.

Methods: The immunotherapy naive patients with metastatic NSCLC who received anti-PD-1/PD-L1 monotherapy or combined with nab-paclitaxel after prior platinum-based chemotherapy from 2015 to 2018 in PLA General Hospital were identified. The progression-free survival, overall survival (OS), objective response rate (ORR), disease control rate (DCR) and safety were assessed.

Results: Of 57 patients, 40 were treated with anti-PD-1/PD-L1 monotherapy and 17 were treated with anti-PD-1/PD-L1 plus nab-paclitaxel. With a median OS follow-up of 16.3 months, the nab-paclitaxel group showed significantly longer OS compared with the immune monotherapy group (median, 28.6 months *versus* 15.9 months, log-rank p=0.020). When adjusted by covariates in COX proportional regression model, both the treatment group [p=0.009, hazard ratio (HR) 0.361; 95% confidence interval (CI) 0.168–0.773] and performance status (p=0.003, HR 0.372; 95% CI 0.192–0.721) demonstrated independent association with the longer OS from combination therapy. In addition, ORR was 23.5% (4/17) in the immune checkpoints inhibitors (ICIs) plus nab-paclitaxel group *versus* 13.5% (5/37) in immune monotherapy group (p=0.439), with a DCR of 88.2% (15/17) and 59.5% (22/37) (p=0.034), respectively. The incidence of grade 3/4 adverse events was 23.5% (4/17) in the combination group and 2.5% (1/40) in the immune monotherapy group.

Conclusion: PD-1/PD-L1 inhibitor plus nab-paclitaxel resulted in significantly longer OS and higher response *versus* ICI single agent in metastatic NSCLC patients who have progressed after platinum-based chemotherapy. These findings need to be further explored by prospective studies.

Keywords: immune checkpoint inhibitor, nab-paclitaxel, non-small cell lung cancer

Received: 15 January 2020; revised manuscript accepted: 27 May 2020.

Introduction

Immune checkpoints inhibitors (ICIs), including anti-programmed cell death protein 1 (PD-1) or

anti-PD-1 ligand (PD-L1) monoclonal antibodies, have shown promising efficacy in the treatment of non-small cell lung cancer (NSCLC).^{1–8} 2020, Vol. 12: 1–11 DOI: 10.1177/ 1758835920936882

© The Author(s), 2020. Article reuse guidelines: sagepub.com/journalspermissions

Correspondence to: Jinliang Wang

Department of Oncology, Chinese PLA General Hospital, 28 Fuxing Road, Haidian, Beijing 100853, P.R. China

wangjinliang@126.com Yi Hu

Department of Oncology, Chinese PLA General Hospital, 28 Fuxing Road, Haidian, Beijing 100853, People's Republic of China

School of Medicine, Nankai University, Tianjin, People's Republic of China huyi0401@aliyun.com

Fan Zhang

Tao Li Sujie Zhang Guoqing Zhang Fang Yuan

Department of Oncology, Chinese PLA General Hospital, PLA School of Medicine, Beijing, People's Republic of China

Di Huang

School of Medicine, Nankai University, Tianjin, People's Republic of China

Department of Oncology,Chinese PLA General Hospital, PLA School of Medicine, Beijing, People's Republic of China

Lei Zhao

Translational Medicine Center, National Clinical Research Center for Normal Aging and Geriatric & The Key Lab of Normal Aging and Geriatric, Institute of Geriatric, PLA General Hospital, Beijing, People's Republic of China

Jie Zhang

Nursing Department, Chinese PLA General Hospital, PLA School of Medicine, Beijing, People's Republic of China

Yuzi Zhang Zhengyi Zhao Longgang Cui

Jing Zhao Guoqiang Wang Shangli Cai Yuezong Bai The Medical Department, 3D Medicines Inc.

journals.sagepub.com/home/tam



Creative Commons Non Commercial CC BY-NC: This article is distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 License (https://creativecommons.org/licenses/by-nc/4.0/) which permits non-commercial use, reproduction and distribution of the work without further permission provided the original work is attributed as specified on the SAGE and Open Access pages (https://us.sagepub.com/en-us/nam/open-access-at-sage).

Ther Adv Med Oncol

Shanghai, People's Republic of China *First authors: F. Zhang, D. Huang, and L. Zhao contributed equally to this work. Despite significantly prolonged overall survival in patients with NSCLC, only a small subset of patients could achieve benefit from ICIs mono-therapy.^{9,10} Therefore, various combination strategies are being designed to enhance and broaden the clinical benefits of immunotherapy.

Numerous clinical trials are ongoing to investigate the efficacy of ICIs combined with other treatments in NSCLC, including chemotherapy, anti-angiogenesis and other novel agents which may activate tumor immunogenicity. Accumulating evidence indicates that chemotherapy could not only induce apoptosis of cancer cells, but also immediate immunogenic effects including the upregulation of the expression of PD-L1 and major histocompatibility complex (MHC) class I, activation of nuclear factor- κB (NF- κB) signaling and modulation of the tumor infiltrating lymphocytes.¹¹⁻¹⁴ Vascular endothelial growth factors involved in the angiogenesis were also considered as a mediator of the immune response from multiple aspects, including promoting the suppressive immune related cells subpopulation, such as T regulatory cells and myeloid-derived suppressor cells, inhibiting the maturation of dendritic cells that present tumor-associated antigen and suppressing T cell infiltration due to abnormal tumor vasculature.¹⁵⁻¹⁸ Taken together, this evidence provided rationale to combine chemotherapy and/or anti-angiogenesis therapy with immunotherapy to exert a synergistic effect and maximize the benefit of immunotherapy.

Several studies have clearly demonstrated that the addition of PD-1/PD-L1 inhibitor to standard platinum-based chemotherapy with or without antiangiogenesis therapy could exert a synergistic effect in patients with chemotherapy-naïve NSCLC, providing a better objective response rate (ORR) and improved clinical outcomes.^{1,7,19-22} Immunotherapy combined with platinum-based therapy with or without anti-angiogenesis therapy are now standard first-line treatment options for patients with NSCLC, including platinum-pemetrexed plus pembrolizumab or carboplatin/paclitaxel/bevacizumab plus atezolizumab for non-squamous NSCLC, and platinum-paclitaxel/nab-paxlitaxel plus pembrolizumab for squamous NSCLC. However, for patients who have progressed after platinum-based chemotherapy or who are not candidates for platinum drugs, the rational partner to immunotherapy has not been defined.

Taxanes play a central role in the management of advanced NSCLC and single agent taxane is

standard treatment of patients with metastatic NSCLC.^{23–27} Taxanes may have pleiotropic immune-modulating effects, including promoting the maturation of dendritic cells and enhancing the secretion of proinflammatory cytokine.28,29 Nab-paclitaxel (albumin-bound paclitaxel), a form of paclitaxel formulated without the use of solvent, was also considered as a potential partner with ICIs.^{30,31} The phase 3 randomized controlled trial IMpassion 130 revealed the prolonged progression-free survival (PFS) among patients with metastatic triple-negative breast cancer treated with atezolizumab plus nab-paclitaxel compared with patients who received placebo plus nabpaclitaxel (7.2 months versus 5.5 months; hazard ratio 0.8; p = 0.002).³²

However, investigations of ICIs plus nab-paclitaxel for NSCLC patients with prior platinumbased chemotherapy is limited. Therefore, we conducted this retrospective analysis to investigate the efficacy and safety of ICIs combined with nab-paclitaxel for patients with metastatic NSCLC who have progressed after platinumbased chemotherapy.

Methods

Patients

Patients with metastatic NSCLC who received immunotherapy at General Hospital of the People's Liberation Army (PLA General Hospital) were screened between March 2015 and June 2018 (Supplemental material Figure S1 online). The inclusion criteria were: (a) patients with histological confirmed stage IV NSCLC; (b) treated with ICI monotherapy or ICI plus nab-paclitaxel after progression with platinum-based chemotherapy as the metastaticsetting treatment; (c) immunotherapy naïve; (d) any ECOG PS status. The exclusion criteria were: (a) patients who received PD-1/PD-L1 inhibitors as first-line therapy; (b) patients with PD-1/PD-L1 inhibitors in combination with therapies other than nab-paclitaxel; (c) patients who never received platinum-based chemotherapy. Drugs were given according to the instructions. The Ethics Committee of PLA General Hospital approved the study (S2018-141-01) and written informed consent (including the description of the study, risks and discomforts, benefits, confidentiality, etc.) was provided by all patients, which was in accordance with the Declaration of Helsinki.

Study objectives

The objectives of this analysis were to assess the impact of ICI plus nab-paclitaxel on patient PFS, overall survival (OS), ORR, disease control rate (DCR) and safety profile. PFS was defined as the interval between the initiation of treatment and disease progression, death from any cause, or last follow-up visit. OS was defined as the interval between the initiation of treatment and death from any cause or last follow-up visit. The tumor response was evaluated according to the Response Evaluation Criteria in Solid Tumor (RECIST, version 1.1) as a complete response, partial response, progressive disease or stable disease. Adverse events were graded per the National Cancer Institute Common Terminology Criteria for Adverse Events, version 4.0.

Statistical analysis

Chi-square or Fisher's exact test were used to compare categorical variables. PFS and OS were analyzed using the Kaplan-Meier method and comparisons between different groups were assessed using a stratified log-rank test and/or a Gehan-Breslow-Wilcoxon test, which were intended to assess the differences at later or earlier time points, respectively. Cox proportional multivariable model was used to assess independent predictive factors associated with PFS or OS. Variables with $p \leq 0.05$ or that might have an important effect on prognosis were included into multivariable models. Data were analyzed using GraphPad Prism (version 7.01, GraphPad Software, USA) and SPSS statistical software (version 20.0, SPSS, IBM Corporation, USA). A two-sided p value <0.05 was considered statistically significant.

Results

Patient characteristics and treatment

From March 2015 to June 2018, a total of 89 patients receiving ICIs therapy in PLA General Hospital were screened. A total of 57 patients were included in this analysis (40 patients in the ICI monotherapy group and 17 in the ICI plus nab-paclitaxel group) based on the inclusion and exclusion criteria (supplemental Figure S1). Overall, the baseline characteristics of the patients were generally balanced between the two groups, except for a higher ratio of male patients in the ICI plus nab-paclitaxel group (82.4% versus 77.5%, p=0.000) (Table 1). Moreover, the proportion of

patients with brain metastasis was higher in the ICI plus nab-paclitaxel group (41.2% *versus* 25.0%) despite no significant difference.

Efficacy

At the time of data analysis, the median OS followup was 16.3 months in the total population. A trend of longer PFS was observed in the patients from the ICI plus nab-paclitaxel group than in the ICI monotherapy group [median, 7.5 months] versus 3.7 months; hazard ratio (HR), 0.70; 95% confidence interval (CI), 0.38-1.27; Gehan-Breslow-Wilcoxon p = 0.049; Figure 1(A)], indicating the significantly longer PFS from the adding of chemotherapy at early time points. Patients treated with ICI plus nab-paclitaxel had significantly prolonged OS versus ICI monotherapy (median, 28.6 months versus 15.9 months; HR 0.42, 95% CI 0.20-0.89, log-rank p=0.020, Figure 1(B)). In a multivariable model including the Karnofsky Performance Status (KPS) and treatment group, the treatment of ICI plus nab-paclitaxel (HR, 0.361; 95% CI 0.168-0.773; p=0.009) and a KPS of 90 (HR, 0.372; 95% CI 0.192-0.721; p=0.003) remained independent indictors for superior OS (Table 2). For most subgroups, the difference was not statistically significant, but the data also indicated a trend of PFS (supplemental Figure S2) and OS (supplemental Figure S3) benefit towards the combination treatment strategy.

The rate of objective response was 13.5% in the ICI monotherapy group and 23.5% in the ICI plus nab-paclitaxel group (Table 3). No patients had a complete response. The disease control rate was 59.5% in the ICI monotherapy group as compared with 88.2% in the ICI plus nab-paclitaxel group. Best objective response for all patients is depicted in supplemental Figure S4.

As shown in Figure 2(A) and (B), a 53-year-old male patient #1 Y1285984 with lung adenocarcinoma was treated with nivolumab 200 mg q3w plus nab-paclitaxel 200 mg d1, 5 q3w as second-line therapy. After two cycles of treatment, the size of the lung lesion was significantly decreased. As shown in Figure 2(C) to (H), another 53-year-old male patient #2 Y1881072 with lung adenocarcinoma was also treated with nivolumab 200 mg q3w plus nab-paclitaxel 200 mg d1, 5 q3w as second-line therapy. The lesion in lung had nearly disappeared after four cycles. The size of lesions in adrenal gland and mediastinal lymph nodes was also significantly decreased.

Table 1. Demographics and baseline characteristics.
--

Characteristic	ICI monotherapy N = 40	ICI + nab-paclitaxel N = 17	p value
Median age, years (range)	60 (38–80)	55 (36–72)	0.461
<65years	33 (82.5%)	14 (82.4%)	0.99
≥65years	7 (17.5%)	3 (21.4%)	
Sex, n (%)			0.000
Male	31 (77.5%)	14 (82.4%)	
Female	9 (22.5%)	3 (17.6%)	
Tumor histology, <i>n</i> (%)			0.537
Squamous	14 (35.0%)	4 (23.5%)	
Adenocarcinoma	26 (65.0%)	13 (76.5%)	
Smoking history, <i>n</i> (%)			0.844
Former or current	19 (47.5%)	10 (58.8%)	
Never	20 (50.0%)	7 (41.2%)	
Unknown	1(2.5%)	0	
Performance status (KPS), n (%)			0.914
90	26 (65.0%)	10 (58.8%)	
80	5 (12.5%)	3 (17.6%)	
≤70	9 (22.5%)	4 (23.6%)	
EGFR/ALK mutations	14 (35.0%)	5 (29.4%)	0.766
Previous systemic therapy			
Platinum-based therapy	40 (100%)	17 (100%)	
EGFR TKI	13 (32.5%)	5 (29.4%)	0.682
Anti-angiogenesis therapy	14 (33.3%)	9 (52.9%)	0.207
No. of previous systemic treatments			0.749
1	14 (35.0%)	6 (35.3%)	
2	13 (32.5%)	7 (41.2%)	
≥3	13 (32.5%)	4 (23.5%)	
Metastatic site			
Brain	10 (25.0%)	7 (41.2%)	0.222
Liver	7 (16.7%)	2 (11.8%)	0.714
Bone	14 (35.0%)	4 (23.5%)	0.394



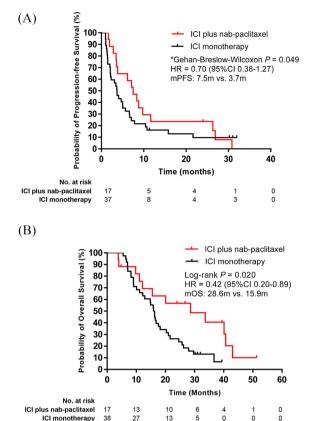


Figure 1. Patient survival. Kaplan–Meier survival curves comparing the progression-free survival (A) and overall survival (B) between anti-PD-1/PD-L1 monotherapy and anti-PD-1/PD-L1 plus nab-paclitaxel therapy.

*Log-rank *p* value for progression-free survival was 0.241. Cl, confidence interval; HR, hazard ratio; ICl, immune checkpoint inhibitor; m, months; mPFS, median progressionfree survival; PD-1, programmed cell death protein 1; PD-L1, PD-1 ligand; KPS, Karnofsky Performance Status; TKI, tyrosine kinase inhibitor

Adverse events

Adverse events of any grade occurred in 12 of 17 patients (70.6%) who received ICI plus nab-paclitaxel and in 28 of 40 patients (70.0%) who received ICI monotherapy (Table 4). Incidence of grade 3–4 treatment-related adverse events was higher in those treated with ICI plus nab-paclitaxel [4 (23.5%) of 17 patients] than in those treated with ICI monotherapy [1 (2.5%) of 40 patients]. The grade 3–4 treatment-related adverse events in ICI plus nab-paclitaxel group were pneumonitis [three (17.6%)], fever [two (11.8%)], fatigue [one (5.9%)] and neutropenia [one (5.9%)]. The grade 3–4 treatment-related adverse events in ICI monotherapy group were nausea [one (2.5%)]. There were no treatment-related deaths.

Discussion

In this study, we observed that the combination of ICI and nab-paclitaxel was associated with significantly improved OS among patients with metastatic NSCLC who have progressed after platinum-based chemotherapy. This represents the first retrospective study to date evaluating the efficacy of immunotherapy plus nab-paclitaxel in this patient population. In addition, we identified a higher incidence of adverse events in patients receiving ICI plus nab-paclitaxel compared with patients receiving ICI monotherapy. Nevertheless, most of the adverse events were manageable.

Previous studies have shown that PD-1/PD-L1 inhibitor monotherapy has an ORR range from 14% to 24% and median PFS range from 1.9 months to 3.9 months as second-line or later therapy in unselected patients with NSCLC.^{2–4,6,33–35} In our study, the ORR in ICI monotherapy group was 12.8% and the median PFS was 3.7 months, which seemed to be consistent with previous results.

Several trials that evaluated the efficacy of anti-PD-1/anti-PD-L1 plus platinum-based chemotherapy in the NSCLC population revealed an ORR of 49.0–57.9%, with median PFS of 6.3– 6.4 months in squamous NSCLC,^{19,36} and an ORR of 47.6–63.5%, with median PFS of 8.3– 13.0 months in non-squamous NSCLC.^{1,7,20}

Nab-paclitaxel was reported to be effective as firstline therapy for NSCLC regardless of whether being used as single-agent or combined with platinum drugs. One phase II multicenter study demonstrated an ORR of 16%, with a median PFS of 6 months in patients with NSCLC who received single-agent nab-paclitaxel q3w as first-line therapy.²³ In addition, 67% of the patients in this trial were squamous NSCLC. Another phase I/II phase study suggested that weekly single-agent nabpaclitaxel was also effective in patients with chemotherapy-naïve advanced NSCLC, with an ORR of 30% and a median PFS of 5 months.³⁷ A phase III study indicated that nab-paclitaxel plus carboplatin has a significantly higher ORR (33% versus 25%, p=0.005) compared with solvent-based paclitaxel plus carboplatin as first-line therapy for advanced NSCLC, although without a significant PFS improvement in the total population (6.3m versus 5.8 m, p = 0.214).³⁸ Nab-paclitaxel is also a satisfactory treatment option for patients with refractory NSCLC who have progressed after

Therapeutic Advances in Medical Oncology 12

Parameter	Progre	ession-free sur	vival	Overall survival						
	Univariable analysis			Univariable analysis			Multivariable analysis			
	HR	95% CI	Log- rank <i>p</i>	HR	95% CI	Log- rank p	HR	95% CI	Log- rank <i>p</i>	
Age										
≥65 <i>versus</i> <65 years	0.669	0.299-1.497	0.328	0.918	0.385-2.186	0.847				
Sex										
Female <i>versus</i> male	1.052	0.524-2.113	0.886	1.108	0.526-2.335	0.787				
Smoking status										
Former/current <i>versus</i> never	1.194	0.675-2.112	0.543	1.486	0.815-2.710	0.196				
Performance status (KPS)										
90 <i>versus</i> ≤80	0.454	0.248-0.83	0.01	0.437	0.231-0.829	0.011	0.372	0.192-0.721	0.003	
Tumor histology										
Adenocarcinoma <i>versus</i> squamous	1.015	0.545-1.89	0.964	0.990	0.520-1.886	0.976				
LDH level at baseline										
≥200 versus <200	1.18	0.654-2.13	0.583	1.253	0.673-2.331	0.477				
EGFR/ALK status										
Mutant versus wild type	0.814	0.448-1.481	0.501	1.064	0.561-2.016	0.849				
Prior lines for metastatic d	lisease									
≥2 versus 1	1.172	0.646-2.125	0.601	0.913	0.494-1.688	0.772				
Metastatic site										
Brain										
Yes <i>versus</i> no	1.232	0.672-2.259	0.5	1.257	0.671-2.354	0.475				
Liver										
Yes <i>versus</i> no	1.616	0.773-3.377	0.202	0.740	0.343-1.597	0.443				
Bone										
Yes <i>versus</i> no	1.352	0.742-2.464	0.324	1.683	0.892-3.177	0.108				
Treatment group										
Combination <i>versus</i> monotherapy	0.698	0.383-1.273	0.049*	0.420	0.198-0.892	0.024	0.361	0.168-0.773	0.009	

Table 2. Univariable and multivariable analysis of progression-free survival and overall survival.

*Gehan-Breslow-Wilcoxon p adopted. The log-rank p value for progression-free survival was 0.241. CI, confidence interval; HR, hazard ratio; KPS, Karnofsky Performance Status; LDH, lactate dehydrogenase

ICI monotherapy ICI plus nab-paclitaxel N = 37N = 17 Objective response, n (%; 95% CI) 5 (13.5%; 5.5-26.3) 4 [23.5%; 8.5-46.0] Estimated difference, % (95% CI) 10.0% (-13.0 to 33.0) 0.439 p value Disease control rate, n (%; 95% CI) 15 [88.2%: 67.3-97.9] 22 (59.5%: 44.5-73.1) Estimated difference, % (95% CI) 28.7% (9.65-54.0) p value 0.034 Best overall response, n (%) 0 0 Complete response 5 (13.5%) 4 (23.5%) Partial response Stable disease 17 (45.9%) 11 (64.7%) 15 (40.5%) 2 (11.8%) Progressive disease CI, confidence interval; ICI, immune checkpoint inhibitor; RECIST, Response Evaluation Criteria in Solid Tumor

 Table 3.
 Responses assessed per RECIST version 1.1.

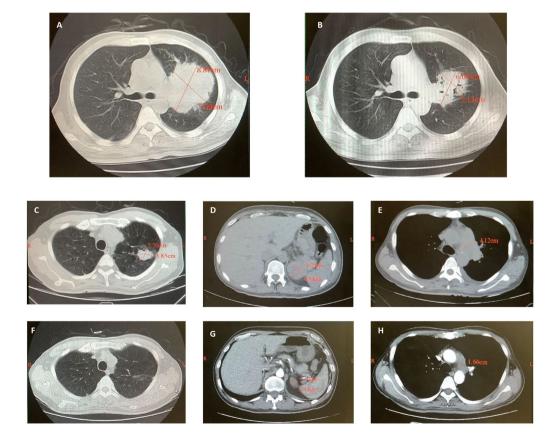


Figure 2. Computed tomography images showing the response to nab-paclitaxel combination therapy in two patients. Compared with lung lesions at baseline on 4 June 2015 (A), (B) shows a significant decrease in the size of lung lesions (24 August 2015) in patient #1 Y1285984 treated with nivolumab plus nab-paclitaxel. Compared with lung lesions on 28 May 2016 (C), adrenal gland on 1 July 2016 (D) and mediastinal lymph nodes on 1 July 2016 (E) at baseline, (F, G and H) show significant decreases in the size of metastatic lesions in another 53-year old male patient ,Y1881072, treated with nivolumab plus nab-paclitaxel.

Therapeutic Advances in Medical Oncology 12

Table 4. Adverse events.

		ICI monotherapy N = 40				ICI plus nab-paclitaxel N = 17				
	Any	Grade 1-2	Grade 3	Grade 4	Grade 5	Any	Grade 1-2	Grade 3	Grade 4	Grade 5
Treatment related										
Any	28 (70.0%)	27 (67.5%)	1 (2.5%)	0	0	12 (70.6%)	8 (47.1%)	3 (17.6%)	1 (5.9%)	0
Nausea	6 (15.0%)	5 (12.5%)	1 (2.5%)	0	0	4 (23.5%)	4 (23.5%)	0	0	0
Fatigue	8 (20.0%)	8 (20.0%)	0	0	0	3 (17.6%)	2 (11.8%)	1 (5.9%)	0	0
Rash	2 (5.0%)	2 (5.0%)	0	0	0	3 (17.6%)	3 (17.6%)	0	0	0
Vomiting	0	0	0	0	0	2 (11.8%)	2 (11.8%)	0	0	0
Leukopenia	4 (10.0%)	4 (10.0%)	0	0	0	2 (11.8%)	2 (11.8%)	0	0	0
Neutropenia	1 (2.5%)	1 (2.5%)	0	0	0	2 (11.8%)	1 (5.9%)	0	1 (5.9%)	0
Hypothyroidism	0	0	0	0	0	1 (5.9%)	1 (5.9%)	0	0	0
Increased alanine aminotransferase	0	0	0	0	0	1 (5.9%)	1 (5.9%)	0	0	0
Pneumonitis	4 (10.0%)	4 (10.0%)	0	0	0	3 (17.6%)	0	3 (17.6%)	0	0
Fever	6 (15.0%)	6 (15.0%)	0	0	0	2 (11.8%)	0	2 (11.8%)	0	0
Constipation	1 (2.5%)	1 (2.5%)	0	0	0	0	0	0	0	0
Myalgia	2 (5.0%)	2 (5.0%)	0	0	0	1 (5.9%)	1 (5.9%)	0	0	0
Anemia	4 (10.0%)	4 (10.0%)	0	0	0	2 (11.8%)	2 (11.8%)	0	0	0
Appetite decreases	2 (5.0%)	2 (5.0%)	0	0	0	0	0	0	0	0
Thrombocytopenia	1 (2.5%)	1 (2.5%)	0	0	0	1 (5.9%)	1 (5.9%)	0	0	0

progression on platinum-based chemotherapy. In several phase II trials on patients with refractory NSCLC after progression to platinum-based chemotherapy, nab-paclitaxel demonstrated an ORR of 19–32%, with a median PFS of 4.5– 4.9 months.^{39–41} In our study, ICI plus nab-paclitaxel demonstrated encouraging efficacy in 17 patients who have progressed after platinum-based chemotherapy, with an ORR of 23.5% (95% CI 8.5–46.0), including four who reached partial response, a median PFS of 7.5 months, and a median OS of 28.6 months. A majority (64.7%) of the patients were treated as third line or later therapy, further suggesting that nab-paclitaxel might be an effective partner to immunotherapy.

Despite the refractory features of patients included in our study, the survival status was encouraging in patients received ICI plus nab-paclitaxel. Previous clinical trials indicated that patients with squamous NSCLC who received first-line treatment exhibited inferior clinical outcome compared with patients with other NSCLC subtypes.^{42–44} In our study, 31.6% of the total population (18 out of 57) and 23.5% (4 out of 17) of the ICI plus nab-paclitaxel group were squamous NSCLC. Furthermore, 81% of the total population and 82% of the ICI plus nab-paclitaxel group were younger patients (<65 years old). The high proportion of non-squamous NSCLC and younger patients included in our study may contribute to the superior OS benefit (HR, 0.42; 95% CI 0.20–0.89; p=0.020) from combination therapy.

The incidence of grade 3–4 adverse events was 73% in IMpower 131 and the incidence of grade

3–5 adverse events was 69.8% in Keynote-407.^{19,36} The chemotherapy regimen was platinum-based in these two clinical trials, which might contribute to the higher incidence of grade 3–4 adverse events. In the present study, although the incidence of grade 3–4 adverse events was higher in the ICI plus nab-paclitaxel group (23.5% *versus* 2.5%), most of the adverse events were manageable. No death occurred due to treatment-related adverse events.

There are several limitations in this study. First, the limited sample size and the retrospective nature might contribute to the unavoidable bias and compromise the evidence level. The baseline characteristics were also imbalanced between the two groups, with a higher proportion of male patients in the ICI plus nab-paclitaxel group. Nevertheless, sex was not an independent indicator for PFS or OS in the univariable and multivariable analysis. Second, the assessment of PD-L1 expression is not mandatory for the majority of the studied patients, and thus was not included into analysis, which may lead to potential bias. In addition, there is a lack of chemotherapy-only group. Further investigations are warranted to explore the synergy effects of the combination therapy.

In conclusion, we observed that PD-1/PD-L1 inhibitor plus nab-paclitaxel was associated with significantly longer OS and higher response with tolerable safety compared with single agent ICI as second line therapy or higher in metastatic, refractory NSCLC patients with prior platinum-based therapy. These findings need to be further explored by prospective studies.

Authors' note

Yuzi Zhang, Jing Zhao, Guoqiang Wang and Shangli Cai are currently affiliated with Burning Rock Biotech Ltd.

Acknowledgment

We would like to thank all the patients in this study.

Conflict of interest statement

The authors declare that there is no conflict of interest.

Funding

The authors disclosed receipt of the following financial support for the research, authorship, and/ or publication of this article: this work was supported by grants from the National Natural Science Foundation of China (81672996 to Yi Hu,

81402552 to Fan Zhang, and 81770204 to Lei Zhao), The Young Talent Program of PLA General Hospital and the Young Talent Foundation of PLA General Hospital (2018XXFC-3,2019XXJSYX03 to Fan Zhang; 2018XXFC-11,2019XXJSYX11 to Lei Zhao), The Big Data Project of PLA General Hospital (to Lei Zhao), Major projects of the ministry of science and technology during the 13th fiveyear plan period (2018ZX09201013 to Guoqing Zhang), National Key R&D Program of China, Stem Cell and Translation Research (2017YFA0106200 to Fang Yuan).

ORCID iD

Fan Zhang 6798-2422

https://orcid.org/0000-0001-

Supplemental material

Supplemental material for this article is available online.

References

- Langer CJ, Gadgeel SM, Borghaei H, et al. Carboplatin and pemetrexed with or without pembrolizumab for advanced, nonsquamous non-small-cell lung cancer: a randomised, phase 2 cohort of the open-label KEYNOTE-021 study. Lancet Oncol 2016; 17: 1497–1508.
- Borghaei H, Paz-Ares L, Horn L, et al. Nivolumab versus docetaxel in advanced nonsquamous non-small-cell lung cancer. N Engl J Med 2015; 373: 1627–1639.
- Brahmer J, Reckamp KL, Baas P, et al. Nivolumab versus docetaxel in advanced squamous-cell non-small-cell lung cancer. N Engl J Med 2015; 373: 123–135.
- 4. Fehrenbacher L, Spira A, Ballinger M, *et al.* Atezolizumab versus docetaxel for patients with previously treated non-small-cell lung cancer (POPLAR): a multicentre, open-label, phase 2 randomised controlled trial. *Lancet* 2016; 387: 1837–1846.
- Reck M, Rodriguez-Abreu D, Robinson AG, et al. Pembrolizumab versus chemotherapy for PD-L1-Positive Non-Small-Cell Lung Cancer. N Engl J Med 2016; 375: 1823–1833.
- Rittmeyer A, Barlesi F, Waterkamp D, et al. Atezolizumab versus docetaxel in patients with previously treated non-small-cell lung cancer (OAK): a phase 3, open-label, multicentre randomised controlled trial. *Lancet* 2017; 389: 255–265.

- Gandhi L, Rodriguez-Abreu D, Gadgeel S, et al. Pembrolizumab plus chemotherapy in metastatic non-small-cell lung cancer. N Engl J Med 2018; 378: 2078–2092.
- Wang L, Zhao D, Qin K, et al. Effect and biomarker of nivolumab for non-small-cell lung cancer. *Biomed Pharmacother* 2019; 117: 109199.
- Gettinger S, Horn L, Jackman D, et al. Five-year follow-up of nivolumab in previously treated advanced non-small-cell lung cancer: results from the CA209-003 Study. J Clin Oncol 2018; 36: 1675–1684.
- Shergold AL, Millar R and Nibbs RJB. Understanding and overcoming the resistance of cancer to PD-1/PD-L1 blockade. *Pharmacol Res* 2019; 145: 104258.
- Hato SV, Khong A, de Vries IJM, *et al.* Molecular pathways: the immunogenic effects of platinum-based chemotherapeutics. *Clin Cancer Res* 2014; 20: 2831–2837.
- Peng J, Hamanishi J, Matsumura N, et al. Chemotherapy induces programmed cell deathligand 1 overexpression via the nuclear factorκB to foster an immunosuppressive tumor microenvironment in ovarian cancer. Cancer Res 2015; 75: 5034–5045.
- Liu WM, Fowler DW, Smith P, et al. Pretreatment with chemotherapy can enhance the antigenicity and immunogenicity of tumours by promoting adaptive immune responses. Br J Cancer 2010; 102: 115–123.
- Mohan N, Hosain S, Zhao J, et al. Atezolizumab potentiates Tcell-mediated cytotoxicity and coordinates with FAK to suppress cell invasion and motility in PD-L1(+) triple negative breast cancer cells. Oncoimmunology 2019; 8: e1624128.
- 15. Ott PA, Hodi FS and Buchbinder EI. Inhibition of immune checkpoints and vascular endothelial growth factor as combination therapy for metastatic melanoma: an overview of rationale, preclinical evidence, and initial clinical data. *Front Oncol* 2015; 5: 202.
- Terme M, Pernot S, Marcheteau E, et al. VEGFA-VEGFR pathway blockade inhibits tumor-induced regulatory T-cell proliferation in colorectal cancer. *Cancer Res* 2013; 73: 539–549.
- Roskoski R Jr. Properties of FDA-approved small molecule protein kinase inhibitors. *Pharmacol Res* 2019; 144: 19–50.
- Lacal PM and Graziani G. Therapeutic implication of vascular endothelial growth factor receptor-1 (VEGFR-1) targeting in cancer cells and tumor microenvironment by competitive and

non-competitive inhibitors. *Pharmacol Res* 2018; 136: 97–107.

- Paz-Ares L, Luft A, Vicente D, et al. Pembrolizumab plus chemotherapy for squamous non-small-cell lung cancer. N Engl J Med 2018; 379: 2040–2051.
- Socinski MA, Jotte RM, Cappuzzo F, et al. Atezolizumab for first-line treatment of metastatic nonsquamous NSCLC. N Engl J Med 2018; 378: 2288–2301.
- 21. Weng YM, Peng M, Hu MX, *et al.* Clinical and molecular characteristics associated with the efficacy of PD-1/PD-L1 inhibitors for solid tumors: a meta-analysis. *Onco Targets Ther* 2018; 11: 7529–7542.
- 22. Chen S, Hu B and Li H. A meta-analysis of nivolumab for the treatment of advanced non-small-cell lung cancer. *Onco Targets Ther* 2018; 11: 7691–7697.
- 23. Green MR, Manikhas GM, Orlov S, *et al.* Abraxane, a novel Cremophor-free, albuminbound particle form of paclitaxel for the treatment of advanced non-small-cell lung cancer. *Ann Oncol* 2006; 17: 1263–1268.
- 24. Fossella FV, DeVore R, Kerr RN, et al. Randomized phase III trial of docetaxel versus vinorelbine or ifosfamide in patients with advanced non-small-cell lung cancer previously treated with platinum-containing chemotherapy regimens. The TAX 320 Non-Small Cell Lung Cancer Study Group. J Clin Oncol 2000; 18: 2354–2362.
- 25. Fidias PM, Dakhil SR, Lyss AP, et al. Phase III study of immediate compared with delayed docetaxel after front-line therapy with gemcitabine plus carboplatin in advanced nonsmall-cell lung cancer. J Clin Oncol 2009; 27: 591–598.
- 26. Yuan B, Liu Y, Yu X, *et al.* FOXM1 contributes to taxane resistance by regulating UHRF1controlled cancer cell stemness. *Cell Death Dis* 2018; 9: 562.
- Jiménez-López J, El-Hammadi MM, Ortiz R, et al. A novel nanoformulation of PLGA with high non-ionic surfactant content improves in vitro and in vivo PTX activity against lung cancer. *Pharmacol Res* 2019; 141: 451–465.
- 28. Emens LA and Middleton G. The interplay of immunotherapy and chemotherapy: harnessing potential synergies. *Cancer Immunol Res* 2015; 3: 436–443.
- 29. Jia D, Li L, Andrew S, *et al.* An autocrine inflammatory forward-feedback loop after chemotherapy withdrawal facilitates the

repopulation of drug-resistant breast cancer cells. *Cell Death Dis* 2017; 8: e2932.

- Awasthi N, Kronenberger D, Stefaniak A, et al. Dual inhibition of the PI3K and MAPK pathways enhances nab-paclitaxel/gemcitabine chemotherapy response in preclinical models of pancreatic cancer. *Cancer Lett* 2019; 459: 41–49.
- 31. West H, McCleod M, Hussein M, et al. Atezolizumab in combination with carboplatin plus nab-paclitaxel chemotherapy compared with chemotherapy alone as first-line treatment for metastatic non-squamous non-small-cell lung cancer (IMpower130): a multicentre, randomised, open-label, phase 3 trial. Lancet Oncol 2019; 20: 924–937.
- Schmid P, Adams S, Rugo HS, et al. Atezolizumab and nab-paclitaxel in advanced triple-negative breast cancer. N Engl J Med 2018; 379: 2108–2121.
- Herbst RS, Baas P, Kim D-W, et al. Pembrolizumab versus docetaxel for previously treated, PD-L1-positive, advanced non-small-cell lung cancer (KEYNOTE-010): a randomised controlled trial. *Lancet* 2016; 387: 1540–1550.
- Garon EB, Rizvi NA, Hui R, *et al.* Pembrolizumab for the treatment of non-small-cell lung cancer. *N Engl J Med* 2015; 372: 2018–2028.
- 35. Rizvi NA, Mazières J, Planchard D, et al. Activity and safety of nivolumab, an anti-PD-1 immune checkpoint inhibitor, for patients with advanced, refractory squamous non-small-cell lung cancer (CheckMate 063): a phase 2, single-arm trial. *Lancet Oncol* 2015; 16: 257–265.
- 36. Jotte RM, Cappuzzo F, Vynnychenko I, et al. Socinski IMpower131: primary PFS and safety analysis of a randomized phase III study of atezolizumab + carboplatin + paclitaxel or nabpaclitaxel vs carboplatin + nab-paclitaxel as 1L therapy in advanced squamous NSCLC. J Clin Oncol 2018; 36(Suppl. 18): LBA9000.

- Rizvi NA, Riely GJ, Azzoli CG, *et al.* Phase I/ II trial of weekly intravenous 130-nm albuminbound paclitaxel as initial chemotherapy in patients with stage IV non-small-cell lung cancer. *J Clin Oncol* 2008; 26: 639–643.
- Socinski MA, Bondarenko I, Karaseva NA, et al. Weekly nab-paclitaxel in combination with carboplatin versus solvent-based paclitaxel plus carboplatin as first-line therapy in patients with advanced non-small-cell lung cancer: final results of a phase III trial. J Clin Oncol 2012; 30: 2055–2062.
- 39. Wu Y, Feng J, Hu W, *et al.* A randomized placebo-controlled clinical study of nab-paclitaxel as second-line chemotherapy for patients with advanced non-small cell lung cancer in China. *Biosci Rep* 2017; 37: BSR20170020.
- 40. Sakata S, Saeki S, Okamoto I, *et al.* Phase II trial of weekly nab-paclitaxel for previously treated advanced non-small cell lung cancer: Kumamoto thoracic oncology study group (KTOSG) trial 1301. *Lung Cancer* 2016; 99: 41–45.
- 41. Tanaka H, Taima K, Morimoto T, *et al.* A singlearm phase II study of nab-paclitaxel for patients with chemorefractory non-small cell lung cancer. *BMC Cancer* 2017; 17: 683.
- Sandler A, Gray R, Perry MC, *et al.* Paclitaxelcarboplatin alone or with bevacizumab for nonsmall-cell lung cancer. *N Engl J Med* 2006; 355: 2542–2550.
- Scagliotti GV, Parikh P, von Pawel J, et al. Phase III study comparing cisplatin plus gemcitabine with cisplatin plus pemetrexed in chemotherapynaive patients with advanced-stage nonsmall-cell lung cancer. J Clin Oncol 2008; 26: 3543–3551.
- Scagliotti G, Novello S, von Pawel J, et al. Phase III study of carboplatin and paclitaxel alone or with sorafenib in advanced non-small-cell lung cancer. *J Clin Oncol* 2010; 28: 1835–1842.

Visit SAGE journals online journals.sagepub.com/ home/tam

SAGE journals