scientific reports



OPEN Absence of Hall effect due to Berry curvature in phase space

Takehito Yokoyama

Transverse current due to Berry curvature in phase space is formulated based on the Boltzmann equations with the semiclassical equations of motion for an electron wave packet. It is shown that the Hall effect due to the phase space Berry curvature is absent because the contributions from "anomalous velocity" and "effective Lorentz force" are completely cancelled out.

Berry phase¹ plays an important role in a wide variety of condensed matter physics^{2,3} such as electric polarization^{4,5}, orbital magnetization^{6,7} and magnetoelectric response^{8,9}. The Berry phase is defined as the phase which the eigenstate will pick up when the external parameters of a system form a loop in the parameter space. The paremeters can be momentum, position, and time etc. Berry curvature in momentum space has a correction to the group velocity of the band dispersion which is perpendicular to the group velocity, anomalous velocity, leading to anomalous Hall effect^{10,11}. Berry curvature in real space also has a correction to the external electric field (force) which is perpendicular to the electric field, resulting in topological Hall effect^{12,13}. Momentum and real space Berry curvatures can be finite when there are nontrivial spin structures in momentum and real spaces, respectively.

The anomalous and topological Hall effects can be realized in several magnets including ferromagnets, antiferromagnets, chiral magnets, and magnetic topological insulators. Anomalous Hall effect has been observed in, e.g., SrRuO₃¹⁴, Mn₃Sn¹⁵, Mn₃Ge¹⁶, and Cr-doped (Bi, Sb)₂Te₃¹⁷, while topological Hall effect has been observed in, e.g., Nd₂Mo₂O₇¹⁸, MnSi¹⁹, MnGe²⁰, and Mn-doped Bi₂Te₃ film²¹. In magnets with strong spin orbit coupling, both anomalous and topological Hall effects can coexist as realized in SrRuO₃-SrIrO₃ bilayer²² and $Cr_x(Bi_{1-y})$ $Sb_{\nu})_{2-x}Te_3/(Bi_{1-\nu}Sb_{\nu})_2Te_3$ heterostructures^{23,24}. When there are nontrivial spin textures in real and momentum spaces, phase space Berry curvature, namely the Berry curvature including both real and momentum space derivatives, is also finite in general, which may lead to new effects^{2,6,25,26}. Then, it is natural to ask whether there is a Hall effect stemming from Berry curvature in phase space. This is the problem which we address in this paper.

In this paper, we investigate transverse current due to Berry curvature in phase space based on the Boltzmann equations with the semiclassical equations of motion for an electron wave packet. It is shown that the Hall effect due to the Berry curvature in phase space is absent because the contributions from "anomalous velocity" and "effective Lorentz force" are completely cancelled out.

Formulation

The semiclassical equations of motion for an electron wave packet under an electric field E read²⁷

$$\dot{\mathbf{r}} = (1 + \Omega_{\mathbf{k}\mathbf{r}})^{-1} \mathbf{v}_{\mathbf{k}},\tag{1}$$

$$\hbar \dot{\mathbf{k}} = -(1 - \Omega_{\mathbf{rk}})^{-1} e\mathbf{E}$$
⁽²⁾

with the group velocity $\mathbf{v}_{\mathbf{k}} = \frac{1}{\hbar} \frac{\partial \varepsilon_{\mathbf{k}}}{\partial \mathbf{k}}$, the Berry curvature in phase space $(\Omega_{\mathbf{kr}})_{ab} = i(\langle \partial_{k_a} u | \partial_{r_b} u \rangle - \langle \partial_{r_b} u | \partial_{k_a} u \rangle)$, and $\Omega_{\mathbf{kr}} = -\Omega_{\mathbf{rk}}^t$. Here, $|u\rangle$ and t denote the Bloch eigenstate and transpose, respectively.

The distribution function f obeys the Boltzmann equation: 28,2

$$f - f_0 = -\tau_{\mathbf{k}} \hbar \dot{\mathbf{k}} \cdot \mathbf{v}_{\mathbf{k}} \frac{\partial f_0}{\partial \varepsilon}.$$
(3)

Here, f_0 is the Fermi distribution function, and τ_k is the transport lifetime:

$$\frac{1}{\tau_{\mathbf{k}}} = \sum_{\mathbf{k}'} \omega_{\mathbf{k},\mathbf{k}'} (1 - \cos\theta) \tag{4}$$

Department of Physics, Tokyo Institute of Technology, Tokyo 152-8551, Japan. email: yokoyama@stat.phys.titech. ac.jp

where $\omega_{\mathbf{k},\mathbf{k}'}$ and θ are the scattering rate and the angle between $\mathbf{v}_{\mathbf{k}}$ and $\mathbf{v}_{\mathbf{k}'}$, respectively.

Results. Now, we will show that Hall effect due to phase space Berry curvature is absent. First, consider two dimensional systems. Then, we have from Eqs. (1) and (2)

$$\det(1 + \Omega_{\mathbf{k}\mathbf{r}})\dot{\mathbf{r}} = (1 + \mathrm{Tr}\Omega_{\mathbf{k}\mathbf{r}} - \Omega_{\mathbf{k}\mathbf{r}})\mathbf{v}_{\mathbf{k}},\tag{5}$$

$$\det(1 - \Omega_{\mathbf{rk}})\dot{h}\mathbf{k} = -(1 - \mathrm{Tr}\Omega_{\mathbf{rk}} + \Omega_{\mathbf{rk}})\mathbf{e}\mathbf{E}$$
(6)

where $\Omega_{\mathbf{kr}}$ and $\Omega_{\mathbf{rk}}$ are 2×2 matrices. In the following, for simplicity, we will drop the terms with $\text{Tr}\Omega_{\mathbf{kr}}$ and $\text{Tr}\Omega_{\mathbf{rk}}$, which does not change the conclusions. Note that $\text{Tr}\Omega_{\mathbf{kr}} = -\text{Tr}\Omega_{\mathbf{rk}}$ holds.

The charge current is calculated as

$$\mathbf{j} = -e \int \mathbf{\dot{r}} f D d\mathbf{k} = -\frac{e}{(2\pi)^2} \int (1 - \Omega_{\mathbf{k}\mathbf{r}}) \mathbf{v}_{\mathbf{k}} (1 + \Omega_{\mathbf{r}\mathbf{k}}) e\mathbf{E} \cdot \mathbf{v}_{\mathbf{k}} \tau_{\mathbf{k}} \frac{\partial f_0}{\partial \varepsilon} \frac{d\mathbf{k}}{\det(1 + \Omega_{\mathbf{k}\mathbf{r}})}$$
$$= -\frac{e^2}{(2\pi)^2} \int ((\mathbf{E} \cdot \mathbf{v}_{\mathbf{k}}) \mathbf{v}_{\mathbf{k}} - (\mathbf{E} \cdot \mathbf{v}_{\mathbf{k}}) \Omega_{\mathbf{k}\mathbf{r}} \mathbf{v}_{\mathbf{k}} + (\mathbf{v}_{\mathbf{k}} \cdot \Omega_{\mathbf{r}\mathbf{k}} \mathbf{E}) \mathbf{v}_{\mathbf{k}} - (\mathbf{v}_{\mathbf{k}} \cdot \Omega_{\mathbf{r}\mathbf{k}} \mathbf{E}) \Omega_{\mathbf{k}\mathbf{r}} \mathbf{v}_{\mathbf{k}}) \tau_{\mathbf{k}} \frac{\partial f_0}{\partial \varepsilon} \frac{d\mathbf{k}}{\det(1 + \Omega_{\mathbf{k}\mathbf{r}})}$$
(7)

where *D* is the density of states: $D = \frac{1}{(2\pi)^2} \det(1 - \Omega_{\mathbf{rk}})^6$. Thus, we obtain the conductivity tensor of the form

$$\sigma_{ij} = -\frac{e^2}{(2\pi)^2} \int \left(\mathbf{v}_{\mathbf{k}}^i \mathbf{v}_{\mathbf{k}}^j - \Omega_{\mathbf{k}\mathbf{r}}^{ik} \mathbf{v}_{\mathbf{k}}^k \mathbf{v}_{\mathbf{k}}^j + \Omega_{\mathbf{r}\mathbf{k}}^{kj} \mathbf{v}_{\mathbf{k}}^k \mathbf{v}_{\mathbf{k}}^i - \Omega_{\mathbf{r}\mathbf{k}}^{kj} \Omega_{\mathbf{k}\mathbf{r}}^{il} \mathbf{v}_{\mathbf{k}}^k \mathbf{v}_{\mathbf{k}}^l \right) \tau_{\mathbf{k}} \frac{\partial f_0}{\partial \varepsilon} \frac{d\mathbf{k}}{\det(1 + \Omega_{\mathbf{k}\mathbf{r}})}$$
(8)

where the superscripts denote the components of vectors and matrices, and the repeated indices are summed over.

We see that the off diagonal conductivity is finite in general and satisfies $\sigma_{ij} = \sigma_{ji}$ since $\Omega_{\mathbf{kr}} = -\Omega_{\mathbf{rk}}^t$. Therefore, the Hall effect is absent. Intuitively, this may be interpreted as follows. As for the anomalous Hall effect, a contribution from the Berry curvature to velocity (anomalous velocity) is the origin of this effect. Regarding the topological Hall effect, a contribution from the Berry curvature to force (effective Lorentz force) leads to this effect. The phase space Berry curvature induces a velocity perpendicular to the group velocity \mathbf{v} and a force perpendicular to the electric field E as seen from Eqs. (5) and (6) (due to the antisymmetric parts of $\Omega_{\mathbf{kr}}$ and $\Omega_{\mathbf{rk}}$). Both terms contribute to the Hall response. However, these two contributions completely cancel out each other since $\Omega_{\mathbf{kr}} = -\Omega_{\mathbf{rk}}^t$, resulting in the vanishing of the Hall effect.

Next, consider three dimensional systems. Following the same procedure as in the two dimensional systems, we obtain the conductivity tensor of the form

$$\sigma_{ij} = -\frac{e^2}{(2\pi)^3} \int (A\mathbf{v}_{\mathbf{k}})_i (A\mathbf{v}_{\mathbf{k}})_j \tau_{\mathbf{k}} \frac{\partial f_0}{\partial \varepsilon} \frac{d\mathbf{k}}{\det A}$$
(9)

with $A \equiv (1 + \Omega_{kr})^{-1}$. We again find that the conductivity tensor is symmetric and hence the Hall effect is absent. The ladder vertex corrections are included in the relaxation time in Eq. (4). As can be seen from Eq. (9), even if we multiply any other (vertex) functions by the integrand of Eq. (9), the Hall effect is still absent.

If fact, Berry curvature in phase space just gives some corrections to the anomalous and topological Hall effects. To see this, let us consider the semiclassical equations of motion for an electron wave packet²⁷

$$\dot{\mathbf{r}} = \mathbf{v}_{\mathbf{k}} - \Omega_{\mathbf{k}\mathbf{r}}\dot{\mathbf{r}} - \Omega_{\mathbf{k}\mathbf{k}}\mathbf{k},\tag{10}$$

$$\hbar \dot{\mathbf{k}} = -e\mathbf{E} + \Omega_{\mathbf{rr}}\hbar \dot{\mathbf{r}} + \Omega_{\mathbf{rk}}\hbar \dot{\mathbf{k}}$$
(11)

where Ω_{kk} and Ω_{rr} are Berry curvature in momentum and real spaces respectively. These equations reduce to

$$\dot{\mathbf{r}} = BA\mathbf{v}_{\mathbf{k}} + \frac{e}{\hbar}\tilde{\Omega}_{\mathbf{k}\mathbf{k}}\mathbf{E},\tag{12}$$

$$\dot{\mathbf{k}} = \tilde{\Omega}_{\mathbf{rr}} \mathbf{v}_{\mathbf{k}} - \frac{e}{\hbar} A^t B^t \mathbf{E}$$
(13)

with $B = (1 + A\Omega_{\mathbf{kk}}A^t\Omega_{\mathbf{rr}})^{-1}$, $\tilde{\Omega}_{\mathbf{kk}} = BA\Omega_{\mathbf{kk}}A^t$ and $\tilde{\Omega}_{\mathbf{rr}} = A^t\Omega_{\mathbf{rr}}BA$. Note that $\tilde{\Omega}_{\mathbf{kk}}$ and $\tilde{\Omega}_{\mathbf{rr}}$ are antisymmetric: $\tilde{\Omega}_{\mathbf{kk}}^t = -\tilde{\Omega}_{\mathbf{kk}}$ and $\tilde{\Omega}_{\mathbf{rr}}^t = -\tilde{\Omega}_{\mathbf{rr}}$. Therefore, Berry curvature in phase space renormalizes the Berry curvatures in momentum and real spaces, leading to corrections to the anomalous and topological Hall effects. When $\Omega_{\mathbf{rr}} = 0$ or $\Omega_{\mathbf{kk}} = 0$, we find that Berry curvature effects can be resonantly enhanced when $\det(1 + \Omega_{\mathbf{kr}}) \sim 0$. These results are applicable to ,e.g., chiral magnets which may be described by the Rashba model with inhomogeneous magnetization.

Although Hall effect due to Berry curvature in phase space is absent, it also affects other physical quantities since it gives a correction to the density of states⁶.

As an example, let us consider the surface state of topological insulators coupled to a magnet where the inplane magnetization varies in one dimension (x) as conical magnets. The Hamiltonian is then given by

$$H = (\hbar v k_v + m_x(x))\sigma_x + (-\hbar v k_x + m_v(x))\sigma_v + m_z\sigma_z$$
(14)

where σ_i and m_i (i = x, y, z) represent the Pauli matrices and the exchange field, respectively. Then, the density of states in the presence of the phase space Berry curvature is calculated as²

$$D = \frac{1}{(2\pi)^2} |1 - (\Omega_{\mathbf{rk}})_{xx}| = \frac{1}{(2\pi)^2} \left| 1 - \frac{\hbar v m_z m_x'}{2\varepsilon^3} \right|$$
(15)

with $\varepsilon = \pm \sqrt{(\hbar v k_y + m_x(x))^2 + (-\hbar v k_x + m_y(x))^2 + m_z^2}$. The phase space Berry curvature $(\Omega_{\mathbf{rk}})_{xx}$ has an expression similar to that of the momentum space Berry curvature for massive Dirac fermions². It becomes large when the spatial gradient of the magnetization is large and the energy is around the bottom of the conduction band or top of the valence band. When $(\Omega_{\mathbf{rk}})_{xx} = 1$, the density of states becomes zero and consequently some physical quantities may become zero or divergent. For $v \sim 10^6 \text{m/s}$, $m_x' \sim \text{ImeV/nm}$, and ε , $m_z \sim 10 \text{ meV}$, we have $(\Omega_{\mathbf{rk}})_{xx} \sim 3$.

Conclusions

In this paper, we have investigated transverse current due to Berry curvature in phase space based on the Boltzmann equations with the semiclassical equations of motion for an electron wave packet. We have shown that the Hall effect due to the Berry curvature in phase space is absent because the contributions from "anomalous velocity" and "effective Lorentz force" are completely cancelled out.

Received: 18 February 2021; Accepted: 26 May 2021 Published online: 08 June 2021

References

- 1. Berry, M. . V. Quantal phase factors accompanying adiabatic changes. Proc. R. Soc. Lond. Ser. A 392, 45 (1984).
- 2. Xiao, D., Chang, M.-C. & Niu, Q. Berry phase effects on electronic properties. Rev. Mod. Phys. 82, 1959 (2010).
- 3. Vanderbilt, D. Berry Phases in Electronic Structure Theory: Electric Polarization, Orbital Magnetization and Topological Insulators (Cambridge University Press, Cambridge, 2018).
- 4. Resta, R. Theory of the electric polarization in crystals. *Ferroelectrics* 136, 51 (1992).
- 5. King-Smith, R. D. & Vanderbilt, D. Theory of polarization of crystalline solids. Phys. Rev. B 47, 1651 (1993).
- 6. Xiao, D., Shi, J. & Niu, Q. Berry phase correction to electron density of states in solids. Phys. Rev. Lett. 95, 137204 (2005).
- 7. Thonhauser, T., Ceresoli, D., Vanderbilt, D. & Resta, R. Orbital magnetization in periodic insulators. *Phys. Rev. Lett.* **95**, 137205 (2005).
- 8. Qi, X.-L., Hughes, T. L. & Zhang, S.-C. Topological field theory of time-reversal invariant insulators. Phys. Rev. B 78, 195424 (2008).
- Essin, A. M., Moore, J. E. & Vanderbilt, D. Magnetoelectric polarizability and axion electrodynamics in crystalline insulators. *Phys. Rev. Lett.* 102, 146805 (2009).
- 10. Nagaosa, N., Sinova, J., Onoda, S., MacDonald, A. H. & Ong, N. P. Anomalous Hall effect. Rev. Mod. Phys. 82, 1539 (2010).
- Liu, C.-X., Zhang, S.-C. & Qi, X.-L. The quantum anomalous Hall effect: Theory and experiment. Annu. Rev. Condens. Matter Phys. 7, 301 (2016).
- Ye, J. et al. Berry phase theory of the anomalous Hall effect: Application to colossal magnetoresistance manganites. Phys. Rev. Lett. 83, 3737 (1999).
- Bruno, P., Dugaev, V. K. & Taillefumier, M. Topological Hall effect and Berry phase in magnetic nanostructures. *Phys. Rev. Lett.* 93, 096806 (2004).
- 14. Fang, Z. et al. The anomalous Hall effect and magnetic monopoles in momentum space. Science 302, 92 (2003).
- Nakatsuji, S., Kiyohara, N. & Higo, T. Large anomalous Hall effect in a non-collinear antiferromagnet at room temperature. Nature (London) 527, 212 (2015).
- Nayak, A. K. *et al.* Large anomalous Hall effect driven by a nonvanishing Berry curvature in the noncolinear antiferromagnet Mn₃ Ge. Sci. Adv. 2, e1501870 (2016).
- 17. Chang, C.-Z. *et al.* Experimental observation of the quantum anomalous Hall effect in a magnetic topological insulator. *Science* **340**, 167 (2013).
- Taguchi, Y., Oohara, Y., Yoshizawa, H., Nagaosa, N. & Tokura, Y. Spin chirality, Berry phase, and anomalous Hall effect in a frustrated ferromagnet. Science 291, 2573 (2001).
- 19. Neubauer, A. et al. Topological Hall effect in the a phase of MnSi. Phys. Rev. Lett. 102, 186602 (2009).
- 20. Kanazawa, N. et al. Large topological Hall effect in a short-period helimagnet MnGe. Phys. Rev. Lett. 106, 156603 (2011).
- Liu, C. et al. Dimensional crossover-induced topological Hall effect in a magnetic topological insulator. Phys. Rev. Lett. 119, 176809 (2017).
- 22. Matsuno, J. et al. Interface-driven topological Hall effect in SrRuO3-SrIrO3 bilayer. Sci. Adv. 2, e1600304 (2016).
- 23. Yasuda, K. et al. Geometric Hall effects in topological insulator heterostructures. Nat. Phys. 12, 555 (2016).
- 24. Jiang, J. et al. Concurrence of quantum anomalous Hall and topological Hall effects in magnetic topological insulator sandwich heterostructures. Nat. Mater. 19, 732 (2020).
- 25. Xiao, D., Shi, J., Clougherty, D. P. & Niu, Q. Polarization and adiabatic pumping in inhomogeneous crystals. *Phys. Rev. Lett.* **102**, e1600304 (2009).
- Zhou, J-H., Jiang, H., Niu, Q & Shi, J-R. Topological invariants of metals and the related physical effects. Chin. Phys. Lett. 30, 027101 (2013).
- Sundaram, G. & Niu, Q. Wave-packet dynamics in slowly perturbed crystals: Gradient corrections and Berry-phase effects. *Phys. Rev. B* 59, 14915 (1999).
- 28. Ashcroft, N. W. & Mermin, N. D. Solid State Physics (Saunders, Philadelphia, 1976).
- 29. Sinitsyn, N. A. Semiclassical theories of the anomalous Hall effect. J. Phys. Condens. Matter 20, 023201 (2008).

Author contributions

T.Y. obtained the results and wrote the manuscript.

Funding

This work was supported by JSPS KAKENHI Grant Number JP30578216 and the JSPS-EPSRC Core-to-Core program "Oxide Superspin".

Competing interests

The authors declare no competing interests.

Additional information

Correspondence and requests for materials should be addressed to T.Y.

Reprints and permissions information is available at www.nature.com/reprints.

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

© The Author(s) 2021