



The prognostic value of pretreatment prognostic nutritional index in patients with small cell lung cancer and its influencing factors: a meta-analysis of observational studies

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Background: Numerous studies identified that pretreatment prognostic nutritional index (PNI) was significantly associated with the prognosis in various kinds of malignant tumors. However, the prognostic value of PNI in small cell lung cancer (SCLC) remains controversial. We performed the present meta-analysis to estimate the prognostic value of PNI in SCLC and to explore the relationship between PNI and clinical characteristics.

Methods: We systematically and comprehensively searched PubMed, EMBASE, and Web of Science for available studies until April 17, 2020. Pooled hazard ratios (HRs) and their 95% confidence intervals (CIs) were used to evaluate the correlation between PNI and overall survival (OS) and progression-free survival (PFS) in SCLC. Odds ratios (ORs) and 95% CIs were applied to evaluate the relationship between clinical features and PNI in SCLC.

Results: A total of nine studies with 4,164 SCLC patients were included in the meta-analysis. The pooled data elucidated that lower PNI status was an independent risk factor for worse OS in SCLC (HR =1.43; 95% CI: 1.24–1.64; P<0.001), while there was no significant correlation between PNI status and PFS (HR =1.44; 95% CI: 0.89–2.31; P=0.134). We also found that Eastern Cooperative Oncology Group (ECOG) performance status ≥ 2 (OR =2.72; 95% CI: 1.63–4.53; P<0.001) and extensive-stage (ES) disease (OR =1.93; 95% CI: 1.62–2.30; P<0.001) were risk factors for low PNI, while prophylactic cranial irradiation (PCI) (OR =0.53; 95% CI: 0.40–0.69; P<0.001) was a protective factor for low PNI.

Conclusions: Our findings suggested that low PNI status was closely correlated with the decreased OS in SCLC. Surveillance on PNI, amelioration of nutritional and immune status, and timely initiation of PCI may improve the prognosis of SCLC.

Keywords: Prognostic nutritional index (PNI); small cell lung cancer (SCLC); prognosis; meta-analysis

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Introduction

Lung cancer is the most common malignant tumor type and the predominant cause of cancer-related deaths worldwide (1). The 5-year survival rate is only approximately 17% for lung cancer (2). Although small cell lung cancer (SCLC) only accounts for 15% of the pathological types of lung cancer (3), it is usually characterized by highly aggressive, early distant metastasis, and genomic instability, with an overall 5-year survival rate of less than 8% (4,5). Even though an early response to chemotherapy and radiotherapy is apparent for SCLC patients, they are predisposed to early recurrence and widespread metastasis, and most patients already have metastatic dissemination at the time of diagnosis, with worrisome prognosis (6,7). Therefore, it is necessary and vital to find appropriate prognostic biomarkers to effectively predict the prognosis of SCLC, which will be of great significance in improving the survival rate and implementing individual and precise management for these patients.

The prognostic nutritional index (PNI) was initially mentioned in 1980, and it was used to reflect the nutritional and immune status of patients by calculating the serum albumin level and total lymphocyte count in peripheral blood (8,9). Mounting evidence has shown that low PNI status is associated with unfavorable prognosis in gastrointestinal cancer (10-14), genitourinary cancer (15,16), gynecological cancer (17,18), and nasopharyngeal carcinoma (19,20). Recently, several studies revealed that PNI has a potential prognostic value in non-small cell lung cancer (NSCLC) (9,21,22). However, there was no consistent conclusion of whether PNI could be used as a potential prognostic biomarker in patients with SCLC. Hence, we presented the following article to estimate the prognostic value of PNI in SCLC patients and to analyze the relationship between PNI and clinical characteristics in these individuals in accordance with the Primary Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) reporting checklist (23) (available at <http://dx.doi.org/10.21037/jtd-20-1739>).

Methods

Search strategy

The present meta-analysis was performed according to the PRISMA statement. We systematically and comprehensively searched PubMed, EMBASE, and Web of Science to determine the available literature. The retrieval

time was from database establishment to April 17, 2020. The following search terms were used for study filtration: (“the prognostic nutritional index” OR “PNI”) AND (“lung cancer” OR “lung tumor” OR “lung carcinoma” OR “lung neoplasm” OR “small cell lung cancer” OR “SCLC”). Besides, to obtain potential eligible studies, we also manually searched pertinent references cited in the identified articles. This meta-analysis was registered in PROSPERO (<http://www.crd.york.ac.uk/PROSPERO>) and the registration number for this article is CRD42020192407.

Eligibility criteria

Studies were considered as eligible based on the following criteria: (I) patients were diagnosed with SCLC through histopathological or cytological confirmation; (II) the PNI value was evaluated before treatment; (III) the correlations between PNI and overall survival (OS) or progression-free survival (PFS) were reported in the identified studies; (IV) hazard ratios (HRs) and their 95% confidence intervals (CIs) were available in the multivariate analysis; (V) case-control or cohort studies. Studies were excluded if they were published as reviews, conference abstracts, letters, and case reports. We also excluded articles not published in English.

Data extraction

Two investigators (AMJ and RZ) extracted the data into Excel according to standardized formats independently. Any discrepancies regarding data extraction between them were resolved by discussion and consulting with another investigator (NL) for a consensus. The extracted data mainly included (I) basic characteristics of the included studies (first author of the study, year of publication, country, and sample size); (II) clinical characteristics of the included subjects (gender, age, disease stage, treatment type, PNI cut-off value, methods of cut-off value determination, median follow up time, and methods of survival analysis); (III) HR and its corresponding 95% CI between PNI and OS or PFS in multivariate analysis.

Quality assessment

The Newcastle-Ottawa Scale (NOS) was applied to quality assessment for included studies in our meta-analysis (24). The score for each study was determined from study selection, comparability assessment, determination of exposure and outcome, with a total score varies from 0 to 9.

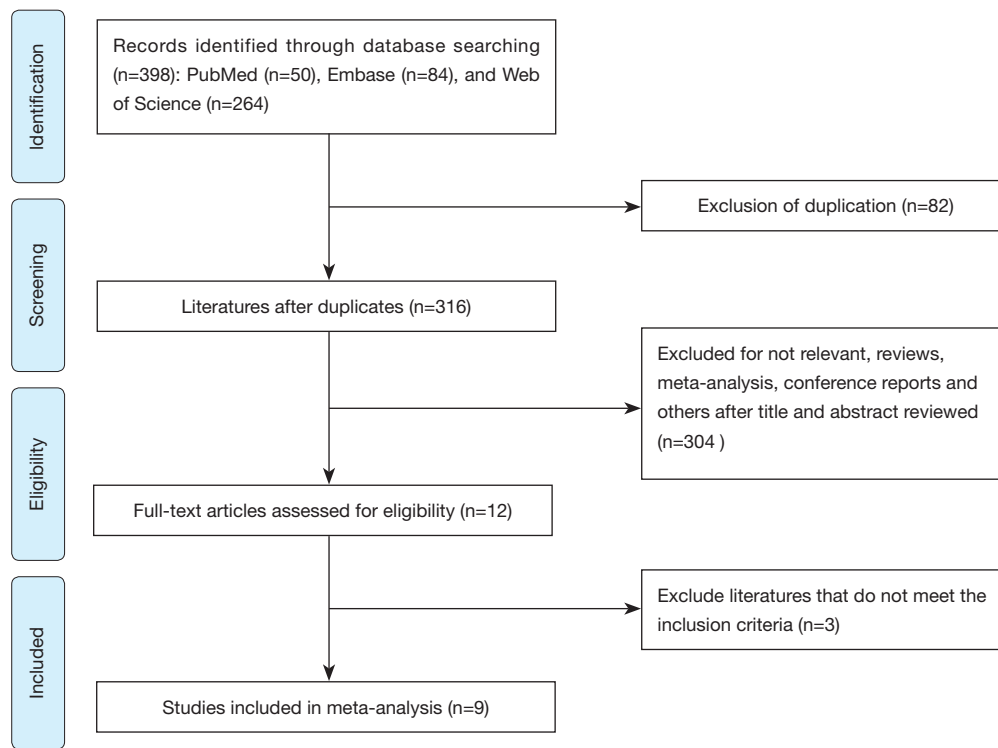


Figure 1 Flow chart of literature selection.

Studies with a score of not less than seven were considered high-quality studies. Two investigators (YYM and MDR) conducted quality assessment independently.

Statistical analysis

All statistical analyses were performed using STATA version 12.0 (Stata Corporation, College Station, Texas, USA) in our study. The pooled HRs and 95% CIs were calculated to estimate the correlation between PNI and the prognosis of SCLC. Odds ratios (ORs) and 95% CIs were applied to evaluate the relationship between clinical features and PNI of SCLC. Cochran's Q test and I^2 test were used to assess the statistical heterogeneity among the included studies, with significant statistical heterogeneity considered as $I^2 > 50\%$ and $P \leq 0.10$. A random-effect model was adopted, and subgroup analyses were performed to explore the potential sources of heterogeneity when significant statistical heterogeneity was detected. Otherwise, a fixed-effect model was adopted for pooled data analysis. We used sensitivity analysis to assess the stability of the pooled HRs by excluding each study sequentially from the meta-analysis. We adopted Begg's and Egger's tests to detect publication bias.

Results

Study selection and study characteristics

After a comprehensive and systematic search from electronic databases, we identified 398 potentially relevant studies. We remained 316 studies after removing duplicated literature. Subsequently, we screened titles and abstracts carefully, 304 studies were excluded, including irrelevant studies, reviews, conference abstracts, and others. After reading the full text, we excluded three studies that did not meet the inclusion criteria. Ultimately, a total of nine studies with 4,164 SCLC patients were included in the present meta-analysis. The detailed process of literature selection was presented in *Figure 1*.

Table 1 presented the detailed characteristics of the included studies. All the included literature were retrospective studies and published between 2015 and 2020. Of these, six studies were conducted in China (4,7,25,28-30), and the rest three studies were conducted in Japan (26), South Korea (27), and Turkey (31). Among these, six studies (4,7,25,27,28,31) enrolled SCLC patients with limited-stage (LS)/extensive-stage (ES) disease, two studies (26,29) enrolled patients with ES disease, and only one study (30)

Table 1 All relevant information in the literature

| Study | Year | Country | Sample size | Gender (M/F) | Age [range] | Disease stage | Treatment | Cut-off value | Cut-off value determination | Follow-up (month) | Survival analysis | HR | NOS score |
|------------------|------|-------------|-------------|--------------|-------------|---------------|-----------|---------------|--------------------------------|-------------------|-------------------|----------|-----------|
| Hong S (7) | 2015 | China | 724 | 627/97 | 59 [19–86] | LS/ES | C | 52.5 | Cutoff Finder, Web application | 39.5 (median) | OS | Reported | 7 |
| Hong X (25) | 2015 | China | 919 | 635/284 | 56 [16–84] | LS/ES | C/R | 45.0 | Other | NA | OS | Reported | 6 |
| Minami S (26) | 2017 | Japan | 97 | 77/20 | 70.5±8.7 | ES | C | 44.3 | ROC analysis | NA | OS, PFS | Reported | 7 |
| Go Si (27) | 2018 | South Korea | 220 | 193/27 | 68 [43–86] | LS/ES | C | 40.0 | Other | 49.2 (median) | OS, PFS | Reported | 8 |
| Jin S (28) | 2018 | China | 1,156 | 745/411 | 57 [23–85] | LS/ES | C+S/C+R | 53.9 | ROC analysis | NA | OS | Reported | 7 |
| Liu Q (29) | 2019 | China | 316 | 258/58 | NA | ES | C/C+R | 52.6 | ROC analysis | 10.0 (median) | OS | Reported | 7 |
| Zhang JQ (30) | 2019 | China | 172 | 126/46 | 58 [23–76] | LS | R/C+R | 53.0 | Median | 56.0 (median) | OS, PFS | Reported | 8 |
| Zhou T (4) | 2019 | China | 451 | 389/62 | 60 [19–82] | LS/ES | C/R | 37.5 | ROC analysis | NA | OS | Reported | 6 |
| Yenibertz D (31) | 2020 | Turkey | 109 | 98/11 | 59.0±8.2 | LS/ES | C | 48.5 | Median | NA | OS | Reported | 7 |

M, male; F, female; LS, limited-stage; ES, extensive-stage; C, chemotherapy; R, radiotherapy; S, surgery; NA, not available; OS, overall survival; PFS, progression-free survival; HR, hazard ratio; NOS, Newcastle-Ottawa Scale.

focused on patients with LS disease. The majority of patients were male (3,148, 75.6%), and the age of the subjects was ranged from 16 to 86 years old. The median sample size was 316 for the included studies (range, 97–1,156), and the median PNI cut-off value was 48.5 (range, 37.5–53.9). There were seven high-quality studies after performing quality assessment (Table 2).

Correlation between PNI and OS in SCLC

A total of nine studies reported HRs and 95% CIs between OS and PNI in SCLC. As the results of the heterogeneity test indicated significant heterogeneity among the studies ($I^2=66.8\%$, $P=0.002$), we applied a random effect model for pooled data analysis. The result revealed that low PNI was an independent risk factor for worse OS in SCLC (HR =1.43; 95% CI: 1.24–1.64; $P<0.001$, Figure 2). Subsequently, we performed subgroup analyses stratified by country, sample size, tumor stage, treatment type, PNI cut-off value, the methods of cut-off value determination, and NOS score to explore the potential sources of heterogeneity. The results demonstrated that the heterogeneity was significantly reduced after stratified by sample size and tumor stage, while there was still significant heterogeneity across the remaining subgroups (Table 3). Therefore, the sample size and tumor stage might be the potential sources of heterogeneity. Besides, the subgroup analyses stratified by the methods of PNI cut-off value determination revealed that low PNI was associated with the worse OS when the cut-off value was determined by ROC curve analysis (HR =1.48; 95% CI: 1.17–1.87; $P=0.001$) and other methods (HR =1.37; 95% CI: 1.09–1.72; $P=0.007$). However, low PNI was not significantly correlated with the OS in SCLC when the median was used to determine the PNI cut-off value (HR =1.45; 95% CI: 0.86–2.43; $P=0.160$). Moreover, the results of other subgroups confirmed that low PNI was significantly correlated with unfavorable OS in SCLC, as summarized in Table 3.

Correlation between PNI and PFS in SCLC

There were three studies reported HRs and 95% CIs between PFS and PNI in SCLC. Since the heterogeneity test suggested that there was significant heterogeneity among the included studies ($I^2=63.3\%$, $P=0.066$), we adopted a random effect model to calculate the pooled data. The result of the pooled data analysis revealed that there was no significant correlation between low PNI and PFS in SCLC (HR =1.44; 95% CI: 0.89–2.31; $P=0.134$, Figure 3).

Table 2 Quality assessment conducted according to the NOS for all included studies

| Study | Selection | | | | Comparability: comparability of cohorts on the basis of the design or analysis | Outcome | | | Total |
|--------------|--|-------------------------------------|---------------------------|--|--|-----------------------|---|----------------------------------|-------|
| | Representativeness of the exposed cohort | Selection of the non-exposed cohort | Ascertainment of exposure | Demonstration that outcome of interest was not present at start of study | | Assessment of outcome | Was follow-up long enough for outcomes to occur | Adequacy of follow up of cohorts | |
| Hong S | ★ | ☆ | ★ | ★ | ★ ☆ | ★ | ★ | ★ | 7 |
| Hong X | ☆ | ★ | ★ | ★ | ★ ☆ | ★ | ★ | ☆ | 6 |
| Minami S | ★ | ★ | ★ | ★ | ★ ☆ | ★ | ★ | ☆ | 7 |
| Go SI | ★ | ☆ | ★ | ★ | ★★ | ★ | ★ | ★ | 8 |
| Jin S | ☆ | ★ | ★ | ★ | ★ ☆ | ★ | ★ | ★ | 7 |
| Liu Q | ★ | ☆ | ★ | ★ | ★ ☆ | ★ | ★ | ★ | 7 |
| Zhang JQ | ☆ | ★ | ★ | ★ | ★★ | ★ | ★ | ★ | 8 |
| Zhou T | ★ | ☆ | ★ | ★ | ★ ☆ | ★ | ★ | ☆ | 6 |
| Yenibertiz D | ☆ | ★ | ★ | ★ | ★★ | ★ | ★ | ☆ | 7 |

★ , represents points of score; ☆ , means no score. NOS, Newcastle-Ottawa Scale.

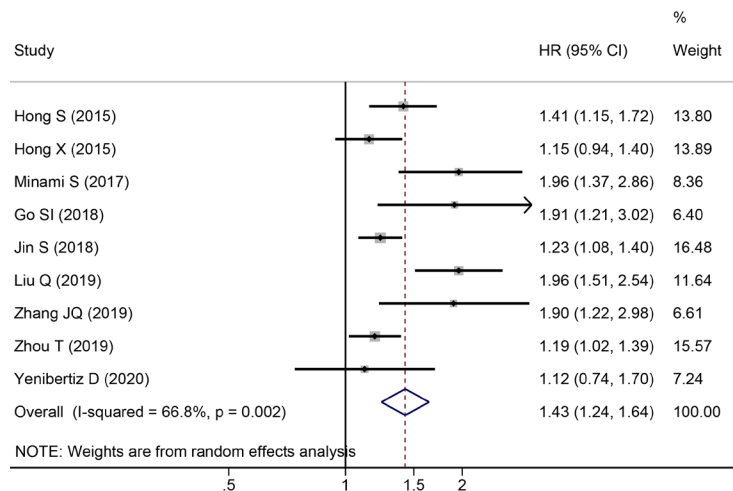


Figure 2 Forest plot of the association between low PNI status and OS in patients with SCLC. PNI, prognostic nutritional index; OS, overall survival; SCLC, small cell lung cancer.

Correlation between PNI and clinical characteristics in SCLC

To explore the risk factors of low PNI in SCLC, we further analyzed the relationship between low PNI status and clinical characteristics of the enrolled patients in each eligible study. The analyzed clinical characteristics mainly included gender (male *vs.* female), smoking history (smoker

vs. never smoker), Eastern Cooperative Oncology Group (ECOG) performance status (2–3 *vs.* 0–1), disease stage (ES *vs.* LS), and whether received prophylactic cranial irradiation (PCI) (PCI *vs.* non-PCI). We found that ECOG performance status ≥ 2 (OR =2.72; 95% CI: 1.63–4.53; $P < 0.001$, *Figure 4A*) and ES disease (OR =1.93; 95% CI: 1.62–2.30; $P < 0.001$, *Figure 4B*) were risk factors for low PNI. However, PCI was a protective factor for low PNI in

Table 3 Subgroup analyses for low PNI status on OS in SCLC patients

| Variables | No. of studies | Test of association, pooled-HR (95% CI) | Test of heterogeneity | |
|---------------------------------|----------------|---|-----------------------|-------|
| | | | I ² (%) | P |
| Total | 9 | 1.43 (1.24–1.64) | 66.8 | 0.002 |
| Country | | | | |
| China | 6 | 1.37 (1.18–1.59) | 69.5 | 0.006 |
| Others | 3 | 1.62 (1.13–2.31) | 56.1 | 0.103 |
| Sample size | | | | |
| ≥400 | 4 | 1.23 (1.14–1.34) | 0 | 0.497 |
| <400 | 5 | 1.76 (1.44–2.16) | 30.2 | 0.220 |
| Disease stage | | | | |
| LS/ES | 6 | 1.25 (1.14–1.37) | 17.4 | 0.301 |
| ES | 2 | 1.96 (1.58–2.42) | 0 | 1.000 |
| LS | 1 | 1.90 (1.22–2.97) | - | - |
| Treatment | | | | |
| Chemotherapy | 4 | 1.53 (1.22–1.93) | 44.0 | 0.147 |
| Mix | 5 | 1.37 (1.14–1.64) | 74.4 | 0.004 |
| PNI cut-off value | | | | |
| >48.5 | 4 | 1.53 (1.22–1.92) | 75.0 | 0.007 |
| ≤48.5 | 5 | 1.35 (1.10–1.65) | 61.4 | 0.035 |
| PNI cut-off value determination | | | | |
| ROC curve analysis | 4 | 1.48 (1.17–1.87) | 81.4 | 0.001 |
| Median | 2 | 1.45 (0.86–2.43) | 65.3 | 0.090 |
| Others | 3 | 1.37 (1.09–1.72) | 58.0 | 0.093 |
| NOS score | | | | |
| ≥7 | 7 | 1.56 (1.29–1.88) | 66.8 | 0.006 |
| <7 | 2 | 1.17 (1.04–1.33) | 0 | 0.790 |

PNI, prognostic nutritional index; OS, overall survival; SCLC, small cell lung cancer; LS, limited-stage; ES, extensive-stage; NOS, Newcastle-Ottawa Scale.

SCLC patients (OR =0.53; 95% CI: 0.40–0.69; P<0.001, *Figure 4C*). Besides, it showed that gender (OR =1.04; 95% CI: 0.78–1.37; P=0.798, *Figure 4D*) and smoking history (OR =1.10; 95% CI: 0.74–1.65; P=0.631, *Figure 4E*) were not significantly correlated with the occurrence of low PNI.

Sensitivity analysis and publication bias

To evaluate the stability of the pooled data, we performed sensitivity analysis by omitting each study sequentially

from the meta-analysis. It showed that the pooled HRs for OS fluctuated between the pooled 95% CIs, indicating the pooled HRs for OS in SCLC were stable (*Figure 5*). Subsequently, to detect the existence of publication bias, we performed Begg's test and Egger's test. Begg's funnel plot showed good symmetry (*Figure 6*), indicating that there was no significant publication bias. Further quantitative analyses revealed that the literature included in the present study did not exist publication bias (Begg's test: P=0.175, Egger's test: P=0.254). Because of limited data are available for low

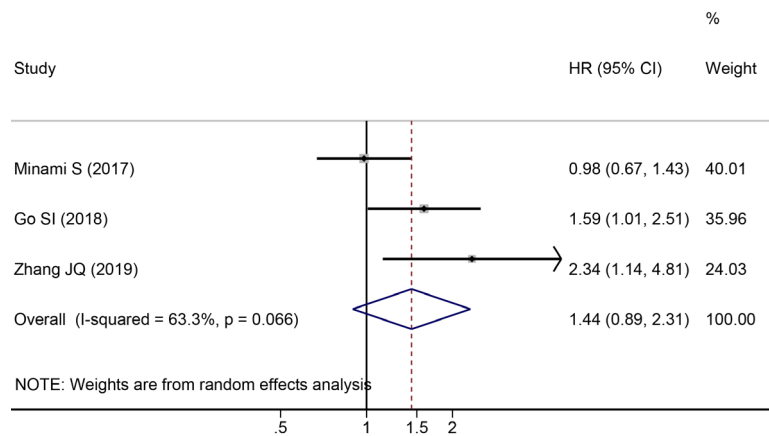


Figure 3 Forest plot of the association between low PNI status and PFS in patients with SCLC. PNI, prognostic nutritional index; PFS, progression-free survival; SCLC, small cell lung cancer.

PNI and PFS in the included studies, we did not perform sensitivity analysis and publication bias test.

Discussion

SCLC is considered as a lethal and highly aggressive malignant tumor due to its characteristics of rapid tumor growth, early recurrence, and widespread metastasis (32). Although significant improvements have been seen in early detection and treatment in SCLC, it remains a worse prognosis (32). There is an urgent need to find a potential biomarker that can effectively predict the prognosis of SCLC to improve the clinical outcome. In recent decades, numerous studies identified that PNI status before treatment was significantly associated with the survival outcomes in various malignant tumors. Previously published meta-analyses also showed that low PNI was closely related with worse OS (9,21,22) and PFS (21,22) in NSCLC. Although there is an increasing number of studies reported that PNI was also related to the prognosis in SCLC, these results derived from different centers, with controversial conclusions. Therefore, we conducted this study to evaluate the prognostic value of PNI in SCLC via meta-analysis, and to explore the relationship between PNI and clinical characteristics of these individuals.

A total of nine studies with 4,164 SCLC patients were included in the current meta-analysis. The result indicated that low PNI status before treatment was significantly associated with a reduced OS in SCLC. Consistent with the pooled result, the results of subgroup analyses showed that low PNI was also significantly associated with worse OS in

SCLC when the studies were stratified by country, sample size, disease stage, treatment type, PNI cut-off value, and NOS score. However, subgroup analysis stratified by the methods of PNI cut-off value determination showed that low PNI was associated with the worse OS when the cut-off value was determined by ROC curve analysis and other methods, while low PNI was not significantly associated with the OS in SCLC when the median was used to determine the PNI cut-off value. On the one hand, it may be attributed to the fact that only two studies used the median to determine the PNI cut-off value in the include studies. On the other hand, it also suggests that the appropriate methods should be applied to determine the cut-off value in future studies. Further sensitivity analysis and publication bias test showed that the pooled data with good robustness. Therefore, PNI can be significant in predicting the OS in SCLC. However, the result of our study showed that PNI status was not significantly associated with the PFS in SCLC. Considering only three studies reported the data for PFS, it needs to be further validated in the future.

PNI is a widely used nutritional indicator that can reflect the nutritional and immune status of patients with malignancy based on the serum albumin level and total lymphocyte count in peripheral blood (9). Several potential mechanisms can explain the relationship between low PNI and poor prognosis in SCLC. First of all, the serum albumin level in PNI can significantly reflect the nutritional status of patients. Previous studies reported that hypoproteinemia was frequently related to reduced quality of life and diminished life expectancy due to

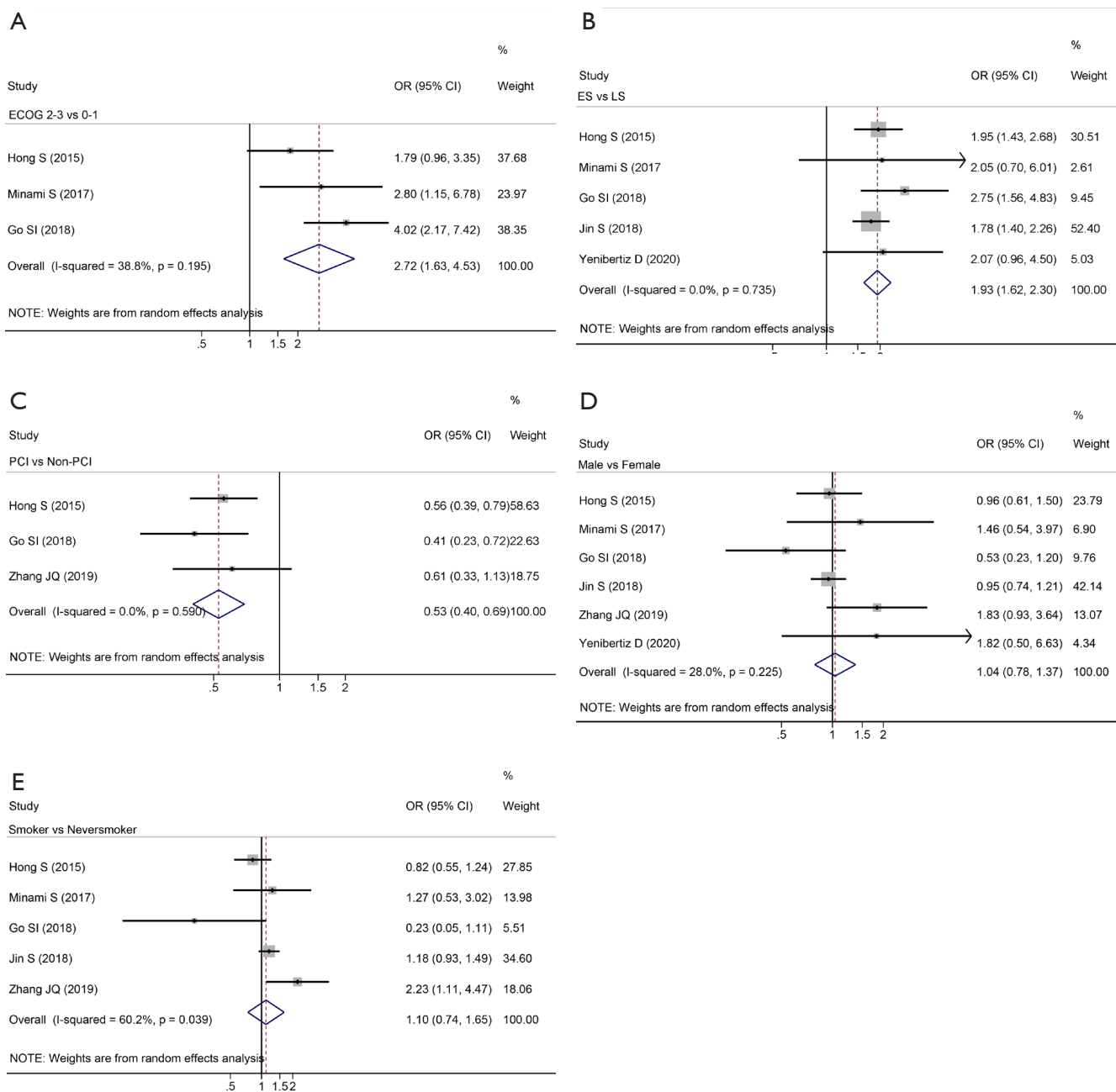


Figure 4 Forest plot of the association between low PNI status and clinical characteristics of patients with SCLC. (A) ECOG performance status, (B) disease stage, (C) PCI, (D) gender, (E) smoking histology. PNI, prognostic nutritional index; SCLC, small cell lung cancer; ECOG, Eastern Cooperative Oncology Group; PCI, prophylactic cranial irradiation.

immunosuppression and diminished muscle mass in patients with malignancy (33,34). Furthermore, Paccagnella *et al.* also reported that hypoproteinemia in cancer patients could result in malnutrition and weight loss, thus leading to a poor prognosis and raised cancer-associated deaths

in these patients (35,36). Moreover, in recent years, the importance of inflammation and the immune system has been highlighted in numerous studies (37-39). On the one hand, inflammation within the tumor microenvironment is closely related to cancer development and progression

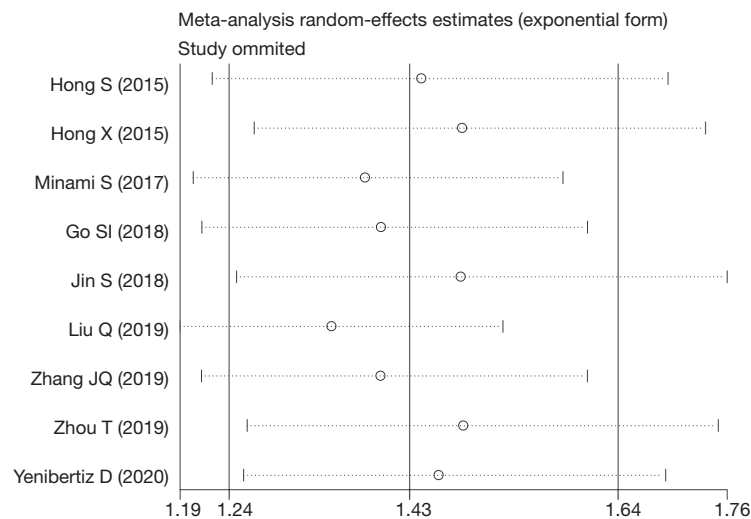


Figure 5 Sensitivity analysis of the relationship between low PNI status and OS in patients with SCLC. PNI, prognostic nutritional index; OS, overall survival; SCLC, small cell lung cancer.

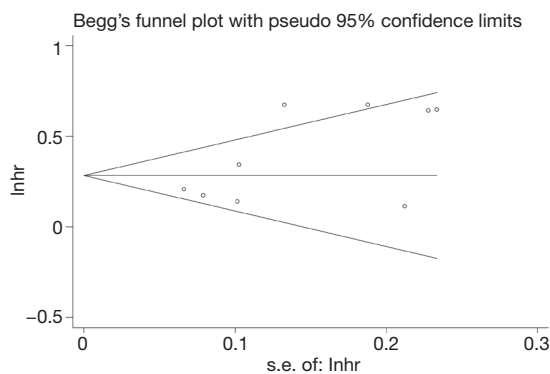


Figure 6 Funnel plot of publication bias for OS in patients with SCLC. OS, overall survival; SCLC, small cell lung cancer.

due to various mechanisms (37). On the other hand, lymphocyte in peripheral blood can reflect the systemic inflammatory state of patients with malignancy, and it plays a crucial role in cell-mediated immune response (9). A recent retrospective study conducted in Japan also reported that lymphocytopenia was associated with worse OS in LS-SCLC (39). Taken together, PNI is a significant prognostic factor in SCLC.

We then explored the relationship between PNI status and clinical features of SCLC patients. It revealed that ECOG performance status ≥ 2 and ES disease were risk factors for the occurrence of low PNI. However, PCI was a protective factor for the occurrence of low PNI. It can be explained by the fact that patients with worse ECOG

performance status and ES disease are frequently associated with malnutrition, cachexia, and impaired immune response. Besides, Suzuki *et al.* reported that LS-SCLC patients who received PCI were correlated with favorable OS and higher total lymphocyte count (39).

To the best of our knowledge, our study is the first meta-analysis that comprehensively evaluated the prognostic value of PNI in SCLC and explored the relationship between PNI and clinical characteristics in these patients. However, several limitations in the present study need to be noticed. First of all, there was significant heterogeneity in our pooled analysis, and the results of subgroup analyses revealed that sample size and disease stage might be the potential sources of heterogeneity. Furthermore, the majority of included studies were conducted in Asia, with retrospective design, lacking prospective studies and data from other regions. Moreover, considering only three studies focused on the prognostic value of PNI for PFS in SCLC, the correlation between PNI and PFS needs to be further validated. Therefore, large-scale, multicenter, and well-designed prospective studies are needed to validate our results.

Conclusions

In summary, PNI can be significant in predicting the prognosis in SCLC. The current meta-analysis also suggested that low PNI was associated with ECOG performance status, disease stage, and PCI. Surveillance

on PNI, amelioration of nutritional and immune status, and timely initiation of PCI may improve the prognosis of patients with SCLC. More large-scale and multicenter prospective studies are warranted to validate our results.

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Footnote

Reporting Checklist: The authors have completed the PRISMA reporting checklist. Available at <http://dx.doi.org/10.21037/jtd-20-1739>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/jtd-20-1739>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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