

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active.

### **Infection Prevention and Control**

# Simulated Pediatric Resuscitation Use for Personal Protective Equipment Adherence Measurement and Training During the 2009 Influenza (H1N1) Pandemic

Christopher M. Watson, M.D., M.P.H.; Jordan M. Duval-Arnould, M.P.H.; Michael C. McCrory, M.D.; Stephan Froz, E.M.T.; Cheryl Connors, M.S., R.N.; Trish M. Perl, M.D., M.Sc.; Elizabeth A. Hunt, M.D., M.P.H., Ph.D.

uring an influenza pandemic, such as with the 2009 influenza A (H1N1) virus, minimizing the risk of bidirectional health care-associated transmission between patients and health care workers (HCWs) represents an urgent priority with unique challenges.<sup>1,2</sup> During the 14 months that the World Health Organization (WHO) considered the 2009 outbreak to be novel, sustained, and global in spread-a true pandemicthe 2009 H1N1 virus was detected in 214 territories and countries and attributed to at least 18,449 deaths, with some further estimates suggesting that 20% to 40% of the population was infected at some point.<sup>3,4</sup> Unlike annual influenza and more akin epidemiologically to past epidemics, the 2009 H1N1 attack rate was greatest among children 5 to 14 years of age, exceeding 14 times the attack rate for adults 60 years and older.<sup>5</sup> Hospitalization rates were also greatest among children younger than 4 years of age, with higher mortality among children younger than 5 years of age and those with at least one high-risk medical condition.6 Additional descriptive studies of morbidity and mortality due to 2009 H1N1 among pediatric patients have emerged, further substantiating these trends.7-11 With additional observational evidence suggesting increased transmission among HCWs in pediatric emergency departments (EDs), the observations from this pandemic should trigger increased attention to limiting highly infectious outbreak transmission in health care settings, especially for those who care for children.12

Most hospital preparedness plans for such highly infectious outbreaks rely on implementation of strict isolation measures and personal protective equipment (PPE) use. In June 2009 the U.S. Centers for Disease Control and Prevention (CDC) compiled a case series of HCWs in the United States infected with the 2009 H1N1 virus.<sup>1</sup> Of note, 13 (27%) of the 48 infections were acquired in health care settings, with the majority of settings reporting poor adherence with recommended usage of PPE. Unfortunately, the risk of insufficient PPE use by HCWs may increase during acute scenarios requiring emergent intervention such as cardiac or respiratory arrest.

### Article-at-a-Glance

**Background:** Previous experience with simulated pediatric cardiac arrests (that is, mock codes) suggests frequent deviation from American Heart Association (AHA) basic and advanced life support algorithms. During highly infectious outbreaks, acute resuscitation scenarios may also increase the risk of insufficient personal protective equipment (PPE) use by health care workers (HCWs). Simulation was used as an educational tool to measure adherence with PPE use and pediatric resuscitation guidelines during simulated cardiopulmonary arrests of 2009 influenza A patients.

Methods: A retrospective, observational study was performed of 84 HCWs participating in 11 in situ simulations in June 2009. Assessment included (1) PPE adherence, (2) confidence in PPE use, (3) elapsed time to specific resuscitation maneuvers, and (4) deviation from AHA guidelines. Results: Observed adherence with PPE use was 61% for eye shields, 81% for filtering facepiece respirators or powered air-purifying respirators, and 87% for gown/gloves. Use of a "gatekeeper" to control access and facilitate donning of PPE was associated with 100% adherence with gown and respirator precautions and improved respirator adherence. All simulations showed deviation from pediatric basic life support protocols. The median time to bag-valve-mask ventilation improved from 4.3 to 2.7 minutes with a gatekeeper present. Rapid isolation carts appeared to improve access to necessary PPE. Confidence in PPE use improved from 64% to 85% after the mock code and structured debriefing.

**Conclusions:** Large gaps exist in the use of PPE and selfprotective behaviors, as well as adherence to resuscitation guidelines, during simulated resuscitation events. Intervention opportunities include use of rapid isolation measures, use of gatekeepers, reinforcement of first responder roles, and further simulation training with PPE.

Previous experience with non-outbreak-related simulated pediatric cardiac arrests (that is, mock codes) at three urban academic medical centers revealed frequent deviation from standardized American Heart Association (AHA) pediatric basic life support and advanced life support algorithms, with delayed time to critical interventions at baseline.<sup>13</sup>These delays occurred without the added complexity of needing to don PPE. During the 2003 Severe Acute Respiratory Syndrome (SARS) outbreak, Abramson, Canzian, and Brunet found that use of simulated resuscitation initially indicated that 3.5 to 5.5 minutes were required to don full SARS PPE, thus delaying time to intervention.<sup>14</sup>This finding prompted subsequent protocol adaptations and training initiatives to improve time to intervention while minimizing risk of exposure. However, issues that may positively or negatively influence the interaction between rapidly managing acute patient deteriorations and the likelihood of HCWs protecting themselves with PPE are not fully understood.

At our own hospital, in May 2009, early in the course of the 2009 pandemic-local responders and the pediatric medical emergency team (PMET) were called to the bedside of a ward patient admitted with the presumed diagnosis of aspiration pneumonia who had developed severe hypoxemia and required intubation. The patient then required an additional procedure in the pediatric ICU to have a larger endotracheal tube placed. It was subsequently discovered that the patient had been 2009 H1N1-positive on a screening sample from admission. Thus, as a result of poor situational awareness and adherence with PPE guidelines, 27 staff in multiple settings required post-exposure antiviral prophylaxis. This episode prompted us to reflect on our policies regarding PPE use for PMET calls during the H1N1 outbreak and to use simulation as a tool to objectively evaluate this clinical problem. In this context, the study described in this article was conducted to investigate the use of in situ simulation as an evaluation and educational tool for emerging threats and to measure (1) PPE adherence of staff during simulated pediatric cardiopulmonary arrest for suspected 2009 H1N1 patients, (2) confidence in PPE use, (3) elapsed time to specific resuscitation maneuvers, and (4) deviation from AHA guidelines.

### Methods

### STUDY SETTING AND DESIGN

The Johns Hopkins Hospital is a 1,000-bed, tertiary care hospital, which includes the 180-bed Children's Medical and Surgical Center and serves Baltimore and surrounding areas.

In June 2009, at the onset of the 2009 H1N1 outbreak, a series of in situ simulations were conducted in an eight-week period as a quality assurance exercise to identify gaps in adherence and educate staff on appropriate self-protective behaviors and institutional PPE protocols. The Johns Hopkins Pediatric Cardiopulmonary Resuscitation (CPR) Advisory Committee and Hospital Epidemiology and Infection Control groups approved the program. Retrospective analysis of data acquired during these simulations was performed for this study. The Johns Hopkins Institutional Review Board approved this study protocol.

### STUDY PROTOCOL

One simulation was conducted on each inpatient ward and in several key outpatient settings of the Children's Medical and Surgical Center and the adjacent Kennedy Krieger Institute. Six standardized age-appropriate case vignettes, each depicting a patient with fever and cough known to be influenza A-positive, were developed, as follows: (1) inpatient infant; (2) inpatient school-aged child; (3) inpatient adolescent; (4) inpatient oncology patient; (5) outpatient school-aged child; and (6) outpatient oncology child.

The simulations featured a variety of size-appropriate mannequins (vendor information available by request from the author) with audible breath sounds, palpable pulses, and arrhythmia generators. Cases were selected on the basis of the age and clinical appropriateness for each setting tested. Institutional signs indicating enhanced droplet precautions required were posted, and all essential PPE, as shown in Appendix 1 (available in online article), was staged outside the patient care area per protocol. Standard emergency equipment, including standardized pediatric code carts, medications boxes, and defibrillators, was available.

In each inpatient setting, a bedside "code button" triggers an alarm when activated. *Time Zero* was defined as the time that this code button was triggered, that is, code activation. On arrival of the first responder at the bedside, a brief vignette was read and the responder was instructed to continue as if the scenario were a real emergency call. Each scenario started with profound hypoxia such that immediate bag-valve-mask (BVM) ventilation was indicated. All scenarios progressed to a pulseless electrical activity cardiopulmonary arrest at 3 minutes after the first responder's entry and ended at 10 minutes. The PMET was not activated initially in any scenario because the explicit targets of this phase of the study were local responders, as would be anticipated during the first 5 minutes of any resuscitation outside an urgent care setting.

### DATA COLLECTION

After each simulation, which took approximately 10 minutes,

participants completed brief anonymous surveys, providing information including previous PPE training and respirator fit testing and recall of perceived pre-simulation and actual postsimulation personal confidence in the ability to appropriately use PPE.

Each session was videotaped using an in situ mobile audiovisual cart (vendor information available by request from the author) and reviewed with a summary of errors made and lessons learned distributed to each nurse manager as part of the quality assurance effort. Subsequently, performance and outcome measurements were obtained by reviewing videotapes and survey data. Three reviewers [C.M.W., J.D.A., M.C.M.] watched the videotapes and independently assessed use of PPE and elapsed time to specific resuscitation maneuvers, and then discussion occurred until consensus was achieved. PPE adherence was defined as the visual confirmation by reviewer consensus of both the appropriate PPE selection and correct use in agreement with CDC guidance on proper donning techniques, although the adequacy of the respirator seal could not be further qualitatively tested in real time because of the observational nature of the simulations.15

### MEASURES

*Outcomes.* The primary outcome measure was the proportion of staff wearing appropriate PPE per hospital protocol, including adherence with each type of PPE.

*Resuscitation Time Intervals.* The primary resuscitation performance outcome of interest was the elapsed interval from Time Zero to first responder arrival at bedside, representing the time during which the child could not receive any airway support. Secondary resuscitation performance outcomes concerned the maneuvers specified in the protocol: elapsed time to airway opening, application of oxygen, initiation of BVM ventilation, and identification of pulselessness; and interval between onset of pulselessness and initiation of chest compressions. The time goals for the maneuvers were adapted from the authors' previous study of the first five minutes of pediatric resuscitation.<sup>13</sup>

### DATA ANALYSIS

Means and standard deviations (SDs) or medians and interquartile ranges were calculated as appropriate for either normally or nonnormally distributed continuous variables. Proportions were compared using a chi-square statistic, and continuous variables were compared using the Wilcoxon rank sum test. All data analyses were conducted using Stata/IC version 10.1 (Stata Statistical Software; Release 10, StataCorp, College Station, Texas).

### Table 1. Demographic Characteristics of 2009 H1N1 Simulation Respondents $(N = 87)^*$

Age, median (IQR), years	28 (24–32)
Sex <i>n</i> (%)	
Men	7 (8)
Women	80 (92)
Profession, n (%)	
Nurse	62 (71.3)
Physician	15 (17.2)
Respiratory therapist	3 (3.5)
Support technician	3 (3.5)
Pharmacist	2 (2.3)
Physician extender (PA/NP)	1 (1.2)
Student	1 (1.2)

\* Eighty-four persons participated in the simulations. IQR, interquartile range; PA, physician assistant; NP, nurse practitioner.

### Results

### PARTICIPANT CHARACTERISTICS

A total of 11 in situ simulations were conducted: 8 in inpatient settings, 2 in outpatient settings, and 1 in the pediatric emergency department (ED). A total of 87 people participated in immediate postsimulation debriefing and PPE training sessions; all 87 returned the completed survey instruments, and 84 (96%) participated in the simulations. Teams were variably composed of physicians, nurses, respiratory therapists, support technicians, pharmacists, physician extenders and students. Nurses comprised 71% (62) of the 87 of the participants and were universally the first responders. The median (interquartile range [IQR]) age of participants was 28 (range, 24–32 years) and 80 (92%) were women (Table 1, above). The mean simulation group size consisted of 7.6 (SD, ± 3.2) people.

### **PPE ADHERENCE AND ATTITUDES**

Use of PPE by first responders ranged from full contact and enhanced droplet precautions to none at all. In 5 (45%) of the 11 mock codes, at least 1 participant never donned an appropriate respirator despite the fact that high-risk procedures such as positive-pressure ventilation and compressions were being performed. Observed use of eye protection was 61% (51/84); gown/gloves, 87% (73/84); and filtering facepiece respirator (N95; 3M, St. Paul)/positive air-purifying respirator (PAPR), 81% (68/84), with 63 (75%) choosing N95s and the remaining 5 staff participants (6%) wearing PAPRs. Participants were consistently observed adjusting eye protection and masks after prolonged contact with the patient and other infectious surfaces. Additional observations included participants in all settings improperly wearing N95 respirators with deviations including mask inversion, failure to use straps properly, and absence of ensured respirator seal. Thirty-four (40%) of the 84 staff participants either neglected to secure or improperly secured their gown using the provided ties.

Despite the fact that 73 (84%) of the 87 staff members completing the surveys reported previous N95 respirator fit testing, only 56 (64%; p = .002; Table 2, right) initially reported confidence in the use of appropriate PPE, which increased to 74 (85%) after the mock codes.

The logistical delay to don appropriate PPE was perceived by observers to lead to "staggered" room entry and "fractured" situational awareness due to visual and auditory impairment resulting directly from PPE as well as indirectly from greater selfpreoccupation. Also, access to necessary PPE appeared to be improved by the use of "rapid isolation carts" previously stocked and maintained with the clinical setting's code cart.

### **RESUSCITATION TIME INTERVALS**

The median (IQR) time in minutes to key resuscitation maneuvers from the code activation were as follows: arrival of first responder at the bedside (0.3 [range, 0.1-0.9]), airway opening (3.7 ([2.0-4.8]), application of oxygen (1.6 [0.1-1.9]), initiation of BVM ventilation (4.1 [3.3-4.7]), and identification of pulselessness (3.9 [1.8-4.6]). The median time to initiation of chest compressions from onset of pulselessness was 0.7 (0.5-1.1) minutes. Table 3 (page 519) demonstrates the proportion of mock codes where the key resuscitation maneuvers were performed within the goal time frames.

### ORGANIZATIONAL FACTORS INFLUENCING PPE USE

Finally, in 2 of the 11 inpatient mock codes, participants assigned an experienced nurse (the "gatekeeper") to control access to the room and facilitate donning of PPE by critical team members. Comparison of resuscitation maneuvers between the gatekeeper-identified and no-gatekeeper groups revealed that performance was not different, with the exception of time to initiating BVM ventilation. As shown in Table 4 (page 520), the median (IQR) time to assist ventilation was significantly shorter for mock codes with a gatekeeper present (gatekeeper: 2.7 minutes [range, 2.2–3.3] versus no gatekeeper: 4.3 [range, 3.5–4.7]; p = .03).

All 15 participants were compliant with appropriate gown and respirator precautions but not eye protection if a gatekeeper was present. In the settings that did not assign a gatekeeper,

Observed PPE Use by Staff <sup>†</sup> N (%)	
Eye	51/84 (61)
Gown/gloves	73/84 (87)
Respirator	68/84 (81)
PAPR	5/84 (6)
N95	63/84 (75)
No respirator	16/84 (19)
Previously fit tested for N95 respirator	73/87 (84)
Prior training	
Enhanced droplet precautions	53/87 (61)
Powered air-purifying respirator (PAPR)	39/87 (45)
Medical simulation	79/87 (91)
Confidence in use of PPE before training	
Confident	56/87 (64)
Not confident	13/87 (15)
Neither agree/disagree	18/87 (21)
Confidence in use of PPE after training	
Confident	74/87 (85)
Not confident	4/87 (5)
Neither agree/disagree	9/87 (10)

Table 2. Personal Protective Equipment (PPE) Outcomes

for 2009 H1N1 Simulations\*

 $^{\star}$  The mean number of participants per simulation was 7.6  $\pm$  3.2. N95, filtering facepiece respirator (3M, St. Paul).

<sup>†</sup> The total number of participants who completed the surveys was 87. Review of mock code videos allowed for documentation of PPE used by 84 participants.

100% participant adherence was not achieved for any type of PPE (gown, respirator, or eye protection). Respirator adherence was significantly improved in settings that assigned a gatekeeper (gatekeeper: 15/15 [100%] versus no gatekeeper: 53/69 [77%]; p = .03).

### Discussion

This study is the first to examine gaps in use of appropriate selfprotective behaviors by staff during pediatric resuscitation and the association that such measures have with adherence to resuscitation guidelines. From this study, it is clear that substantial gaps remain in (1) knowledge and utilization of self-protective measures by HCWs and (2) delivery of care according to AHA pediatric basic life support algorithms (Table 5, page 521). Future outbreak-related health care–associated infections experienced by staff may significantly affect hospital preparedness through unanticipated staff absenteeism, as well as potential transmission of infection by staff to other patients.

### PPE ADHERENCE IN CONTEXT

A 2009 survey of HCWs in ICUs showed that only 63% of

Table 3. Resuscitation Outcomes for 2009 H1N1 Simulations $(N = 11)^*$						
Resuscitation Maneuver	Median Time Elapsed, min.	Interquartile Range, min.	Time Goals for Maneuvers, min.	Teams That Met Time Goal, <i>n</i> (%)		
Compared with Time Zero <sup>†</sup>						
First arrival	0.1	0.1–0.3	—	_		
First entry	0.3	0.1–0.9	_			
Vignette end	0.5	0.4–0.8	—			
Airway opened	3.7	2.0-4.8	0.5	0 (0)		
Oxygen therapy	1.6	0.9–1.9	1.0	3 (27)		
BVM ventilation	4.1	3.3-4.7	1.0	0 (0)		
Pulse checked	3.9	1.8–4.6	0.5	1 (9)		
Compared with when required <sup>‡</sup>						
Pulselessness identified	0.3	0.25–0.5	1.0	10 (91)		
Chest compressions	0.7	0.5–1.1	1.0	8 (73)		

\* BVM, bag-valve-mask.

<sup>†</sup> Time elapsed from Time Zero until variable performed

<sup>‡</sup> Time elapsed from when maneuver was indicated until it was performed, as not all variables were indicated at Time Zero.

respondents were able to identify adequate annual influenza PPE, with only 62% reporting high adherence.<sup>16</sup> Previous clinical simulation studies for suspected pandemic influenza patients at nine Australian tertiary care hospitals revealed that PPE adherence ranged from 73% for eye protection to 93% for N95 respirators.<sup>17</sup> Nearly 41% of the HCWs' close contacts would have met criteria for postexposure prophylaxis. Similar observations were noted during the SARS outbreak. In one case series of 17 HCWs who acquired SARS at a health care setting, use of PPE was inconsistent, with 82% of the cases reporting exposure during a high-risk procedure.<sup>18</sup> Disruption of this transmission pattern, therefore, offers an appealing target for intervention. However, attempts to mitigate health care-associated transmission also must incorporate considerations for emergent care and resuscitation, particularly given the high morbidity and mortality of this influenza strain in pediatric patients.

### SELF-PROTECTIVE BEHAVIORAL MODEL

One theoretical model examining self-protective behaviors in the workplace developed in response to the SARS outbreak divided influential factors into organizational, environmental, and individual domains.<sup>19</sup> Organizational attributes such as a positive safety climate and available equipment and supplies, as well as personal beliefs and attitudes, underpin the personal intent, willingness, and ability to apply self-protective behaviors. This model is corroborated by additional findings from the SARS outbreak that consistent HCW adherence to recommended barrier precautions was most closely associated with recent infection control training and personal experience with a confirmed

case.<sup>20,21</sup> Building on this self-protective behavior model, the CDC and WHO both subsequently released guidance on influenza prevention strategies recommending routine use of both standard and droplet precautions, with the added use of enhanced precautions to include N95 respirators during high-risk, aerosol-generating procedures.<sup>22,23</sup> However, substantial gaps remain in baseline knowledge and practices to minimize health care setting transmission.

### THE "DELAY EFFECT"

The first responder plays a critical role in resuscitation; simple measures taken in the first seconds of an acute event may avert progression to cardiopulmonary arrest. Unfortunately, during the SARS outbreak, expanded PPE requirements were found to limit the ability of the first responder to enter the room rapidly during an acute event.<sup>14</sup>This delay effect, due to donning of PPE, was reproduced in a study of first responders which demonstrated improved time to intervention through use of modified gowns with pre-tied neck straps and front-tying waist straps.24 During the SARS outbreak, the delay effect led to the proposal of a protocol for modified PPE requirements for the first responder until further HCWs in full PPE arrived. Subsequent study of ED staff during a simulated resuscitation scenario also demonstrated subjective impairment in assessment abilities and task performance when wearing enhanced PPE as compared to without PPE, although objective measure data, which did not include the time taken to don PPE, were similar.<sup>25</sup> Such subjective impairment, particularly in communication, was also reported by staff in our own study among those wearing PAPRs as

	Simu	Simulation <sup>†</sup>		
	Gatekeeper Identified N = 2	No Gatekeeper <i>N</i> = 9	P Value	
Resuscitation Maneuver				
Compared with Time Zero <sup>‡</sup>				
Airway opened	2.8 (2.2–3.3)	4.3 (1.8–5.2)	.51	
Oxygen therapy	1.3 (0.6–1.9)	1.6 (0.9–1.9)	.60	
BVM ventilation	2.7 (2.2–3.3)	4.3 (3.5–4.7)	.03§	
Pulse check	2.7 (1.8–3.7)	4.0 (2.4–4.6)	.24	
Compared with when required <sup>#</sup>				
Pulselessness identified	0.3 (0.2–0.3)	0.3 (0.25–0.5)	.29	
Chest compressions	0.81 (0.49–1.1)	0.73 (0.5–0.95)	.81	
	Partic	ipants		
	Gatekeeper Identified N = 15	No Gatekeeper N = 69	P Value	
PPE Adherence				
Wore respirator	100%	77%	.03§	
Wore gown	100%	84%	.09	
Wore eye protection	60%	61%	.95	

### Table 4. Resuscitation Outcomes and Personal Protective Equipment (PPE) Adherence for Gatekeeper-Identified and No-Gatekeeper 2009 H1N1 Simulations (N = 11)\*

\* BVM, bag-valve-mask.

<sup>†</sup> All values are medians (interquartile range).

<sup>‡</sup> Time elapsed from Time Zero until variable performed.

§ Statistically significant at p < .05.

<sup>#</sup> Time elapsed from when maneuver was indicated until it was performed, as not all variables were indicated at Time Zero.

compared with N95 respirators.

Interestingly, in our study, the delay effect was largely absent, except for one simulation, because at the time of room entry, many staff actually wore PPE that was insufficient or improper as compared with our current guidelines for enhanced droplet precautions, despite transparent observation of PPE adherence (Appendix 1). In contrast to all other simulations, however, the delay effect was observed in the only simulation in which the first responders electively donned PAPRs before entry. In this setting, the first responders did not enter until 2 minutes, as compared with the median study entry of 0.3 minutes. Thus, although direct comparison of correct use of N95 respirator versus PAPR was not possible because of the low incidence of PAPR use and the observational nature of this study, the utility of any added benefit provided by PAPR usage must be weighed against significant delays and impairment in emergent care delivery.

### **RECOMMENDATIONS FOR EMERGENCY PPE**

An additional layer of complexity in this debate stems from the critical analysis of whether the routine use of N95 respirators offers any significant additional advantage over the use of surgical masks in clinical practice, in large part due to inconsistent and inappropriate use by HCWs,<sup>26</sup> as also demonstrated in the current study. As stated, 75% of the staff wore N95 respirators and 84% reported previous fit testing; however, because of the anonymous nature of the survey, previous fit testing could not be correlated with observed appropriate PPE adherence or choice of respirator. One study has demonstrated that without previous fit testing, nearly 50% of providers are able to achieve an adequate seal but that without regular use, there is decay in the ability to achieve an adequate seal over time.<sup>27</sup> Although laboratory testing suggests variable, but clearly increased protection, with N95s as compared with surgical masks, the clinical translation of this finding was not observed in a non-inferiority trial of influenza transmission among HCWs.<sup>28,29</sup> Such mixed findings have only fueled unresolved questions as to the role of fit testing and the use of inappropriately donned respirators, particularly in emergency situations under pandemic circumstances. The PAPR offers superior protection and is the "gold standard," but its utility is compromised by the delay effect and by at least subjective impairment of resuscitation tasks.

Given that the current evidence base remains inadequate to offer definitive guidelines during high-risk procedures such as pediatric resuscitation, it is imperative to simplify recommendations as much as possible. We propose that the first responder for emergent interventions use standard precautions and immediately begin providing care, with the caveat that after an additional HCW with appropriate enhanced-droplet precaution PPE arrives, the first responder "tag out" to complete the donning of PPE.<sup>30</sup> This minimizes exposure incurred by the first responder and ensures rapid intervention. Compelled by the prevalence of inadequate and inappropriate PPE usage, as we have noted, we can conclusively recommend that even basic measures warrant further training and simulation to ensure proper usage and staff safety.

### RAPID ISOLATION CART AND GATEKEEPER ROLES

A clinical crossroads is met when local responders and the PMET respond for a patient not known to be infected with influenza during a pandemic. In the setting of a high prevalence of inpatient influenza, the safety of the providers is most conservatively ensured by immediate implementation of enhanced droplet precautions for all team members at the time of call activation. This is made feasible with the institution of rapid isolation carts cohorted with code carts. Such rapid isolation carts should be stocked with gowns, gloves, surgical masks, N95 respirators of all types fit tested, eye protection, and PAPRs.

The clear and rapid identification of a gatekeeper appears to facilitate self-protective behaviors at the organizational level. Restricting nonessential or nonprotected providers during highrisk procedures remains a key strategy to reduce exposure. As such, a more experienced clinician or support staff may best fill this role. Our study suggests that a gatekeeper may improve respirator adherence, and likely gown and eye protection as well. During debriefing, participants stated that after they were aware that the patient was in distress, their priority was to provide rapid care despite knowing the patient was on enhanced precautions. They believed that donning PPE would slow them down. In this study, integrating the gatekeeper role served to protect more providers, with no adverse impact on resuscitation performance. For five of the key measures analyzed, participants for whom room access was controlled by a gatekeeper performed maneuvers on as timely a basis as their counterparts but with markedly better personal protection. Surprisingly, time to providing necessary ventilation support was 1.6 minutes earlier for gatekeepercontrolled groups. This suggests that incorporating the gatekeeper role into a code-response protocol during pandemic influenza or other suspected airborne contagions will not prolong time to critical care provision but perhaps improve it. The gatekeeper effect is likely driven by greater (1) situational awareness resulting from minimal direct patient care responsibilities, (2) familiarity with requisite precautions, and (3) knowledge of strategies for rapid staging, distribution, and donning of PPE. This effect may mitigate the delay effect while ensuring consistent and proper use of PPE, thereby minimizing delayed entry

and maximizing opportunities for early resuscitation intervention as well as HCW safety.

# WORKING TOWARD SYSTEMATIC QUALITY IMPROVEMENT

Further translation of the findings in this study into systematic improvements occurred at several levels at our institution. At the end of each scenario, individual participants were debriefed in a standardized manner regarding donning of PPE, maintenance of a rapid isolation cart, advice on use of a gatekeeper to increase adherence with PPE, and management of a deteriorating patient before arrival of the PMET, with emphasis on how this response would differ for a child on "enhanced droplet precautions." In addition, this study's results were shared with the nurse manager and nurse educator responsible for each inpatient unit and outpatient clinic studied at The Johns Hopkins Hospital. This empowered local providers to review their capacities and capabilities to respond to pediatric emergency scenarios during a highly infectious outbreak; provide feedback to their staff on self-protective behaviors and resuscitation protocols; and implement local measures, such as provider-specific training measures, to improve PPE adherence and optimize resuscitation.

Through these forums, emergency bedside equipment was also subsequently standardized throughout the Children's Medical and Surgical Center. Further review of the findings of this study with the hospital CPR Advisory Committee prompted the recommendation that a gatekeeper be used for all medical emergency teams during the outbreak and that emergency responders initially use standard precautions. The study's results and recommendations (Table 5, page 522) were also provided to the Johns Hopkins Hospital Incident Command Center and the Johns Hopkins Hospital Epidemiology and Infection Control Department, which provide administrative investment and oversight, during the course of the outbreak. Collectively, these steps helped to advance PPE standardization during pediatric resuscitations in the Johns Hopkins Children's Medical and Surgical Center, the uniform preparation and deployment of standardized rapid isolation PPE carts with each code cart, and the development of a scalable plan for hospitalwide PPE training during pediatric resuscitation using in-situ simulation.

Following an initial series of "train-the-trainer" modules with nurse managers, this scalable plan relied on the baseline in-situ simulation training of staff by each nursing supervisor, using predeveloped and standardized clinical cases and debriefing materials, in all clinical areas. Subsequent to these training sessions, follow-up random mock codes led by the Johns Hopkins Simulation Center staff to test for skill decay and reinforce PPE ad-

### Table 5. Key Findings and Recommendations

### **Key Findings**

Pediatric staff inconsistently and improperly use personal protective equipment (PPE) during simulated resuscitation, placing themselves and other patients at greater risk of health care-associated infection.
Simulation using PPE may increase personal confidence in proper PPE use.

Enhanced precautions may lead to delayed room entry in the emergency setting.

■ Identification of a "gatekeeper" leads to more consistent and proper use of PPE.

■ Deployment of rapid isolation carts may facilitate distribution of PPE during emergency resuscitation scenarios.

■ In situ simulation offers a mobile and highly reproducible mechanism for systemic implementation of PPE training during an outbreak.

■ Pediatric staff infrequently meet resuscitation maneuver goals according to American Heart Association pediatric basic life support algorithms during the first 5 minutes of resuscitation.

herence practices were to be conducted for the duration of the outbreak. Given the relative decline in the attack rate of 2009 H1N1 during summer 2009 and the subsequent distribution of vaccine concurrent with the 2009–2010 influenza season, the magnitude of this epidemic ultimately did not require the further implementation of this training strategy. However, we believe that in the setting of another highly contagious infectious outbreak, this plan represents a novel and rapidly deployable strategy to specifically address knowledge and practice gaps in both PPE adherence among HCWs, as well as the first five minutes of pediatric resuscitation. To our knowledge, such a scalable plan with an explicit, dual focus on both PPE adherence and the first five minutes of resuscitation has not been developed or tested previously.

### STUDY LIMITATIONS

Given that this is a retrospective, observational study, we cannot be sure our observations regarding the effect of the gatekeeper were independent of unmeasured or unknown confounders. In addition, the number of simulations was small and may not be generalizable to other institutions. Perceived pretraining confidence was assessed by retrospective recall and simultaneously, with posttraining assessment, which may have introduced bias toward a perceived confidence increase. However, the data were consistent with observations from our actual clinical practice and helped us delineate weaknesses in our current isolation protocols that might be of use to other facilities. We also cannot be sure that the use of gatekeepers, rapid isolation carts, or amended isolation recommendations for the first

### Recommendations

Resuscitation simulation should be included as part of health care enterprisewide training packages during highly infectious outbreaks as a means to train, test, and reinforce self-protective behaviors.

■ During a highly infectious outbreak, gatekeepers and rapid isolation carts represent low-cost, high-impact strategies for potentially optimizing self-protective behaviors among staff.

■ First responders to a pediatric emergency call should always follow standard precautions, although initial delay of enhanced precautions may allow for rapid and life-saving intervention without significant added risk.

■ Pediatric resuscitation simulation needs to be continually utilized as a quality-control and educational measure to improve the first 5 minutes of resuscitation, particularly in the setting of an outbreak requiring modification of standard personal protective behaviors.

responder will translate to more rapid care of the child with a deteriorating condition. Given that our staff were often at the bedside for several minutes before opening the airway and initiating BVM, these recommendations should be studied prospectively in the context of an enhanced first responder educational program.<sup>13</sup>

### Conclusions

Simulation using a pediatric patient with 2009 H1N1 suggests that significant gaps remain in the knowledge and use of selfprotective behaviors during resuscitation and in the delivery of pediatric basic life support. To optimize the first five minutes of pediatric resuscitation during highly infectious outbreaks, dedicated educational and training initiatives are needed that focus on incorporating the appropriate use of self-protective behaviors so as to minimize HCW's health care-associated infections. Future opportunities for clear intervention include reinforcement of first responder roles, rapid isolation measures, implementation of a standardized gatekeeper, and re-education and training with the appropriate use of PPE. Simulation technologies offer a highly appealing, practical, and scalable mechanism for the rapid identification of these knowledge gaps and integration of training initiatives into the health care setting during a highly infectious outbreak such as pandemic influenza.

The authors thank the Women's Board of the Johns Hopkins Hospital for its generous support of the Johns Hopkins Medicine Simulation Center through the funding of a mobile audiovisual cart that was used for the purposes of this study. The views expressed in this article are those of the authors and do not reflect the official policy or position of the Department of the Navy, Department of Defense, or the U.S. Government.

Christopher M. Watson, M.D., M.P.H., is Staff, Department of Pediatrics, Walter Reed National Military Medical Center, Bethesda, Maryland; Assistant Professor, Uniformed Services University of Health Sciences, Bethesda, Maryland; and Adjunct Assistant Professor, Department of Anesthesiology and Critical Care Medicine, Johns Hopkins University School of Medicine, Baltimore. Jordan M. Duval-Arnould, M.P.H., is Informatician in Residence, Quality and Safety Research Group, Johns Hopkins University School of Medicine. Michael C. McCrory, M.D., is Assistant Professor, Anesthesiology and Pediatrics, Wake Forest University School of Medicine, Winston-Salem, North Carolina. Stephan Froz, E.M.T., was Simulation Technician, Johns Hopkins Medicine Simulation Center, Baltimore. Cheryl Connors, M.S., R.N., is Nurse Manager, Pediatric Clinical Research Unit, Department of Nursing, The Johns Hopkins Hospital, Baltimore. Trish M. Perl, M.D., M.Sc., is Professor, Departments of Medicine, Pathology & Epidemiology, Johns Hopkins School of Medicine; and Elizabeth A. Hunt, M.D., M.P.H., Ph.D., is Assistant Professor, Departments of Anesthesiology and Critical Care Medicine & Pediatrics, Johns Hopkins University School of Medicine. Please address correspondence to Christopher Watson, cwatso23@jhmi.edu.



See the online version of this article for

Appendix 1. Enhanced Droplet Precaution Signs

### References

 U.S. Centers for Disease Control and Prevention (CDC): Novel influenza A (H1N1) virus infections among health-care personnel—United States, Apr.—May 2009. *MMWR Morb Mortal Wkly Rep* 58:641–645, Jun. 19, 2009.
Novel Swine-Origin Influenza A (H1N1) Virus Investigation Team: Emergence of a novel swine-origin influenza A (H1N1) virus in humans. *N Engl J Med* 360:2605–2615, Jun. 18, 2009. Erratum in *N Engl J Med* 361:102, Jul. 2, 2009.

3. World Health Organization (WHO): *World Now at the Start of 2009 In-fluenza Pandemic*. Jun. 11, 2009. http://who.int/mediacentre/news/statements/2009/h1n1\_pandemic\_phase6\_20090611/en/index.html (last accessed Sep. 20, 2011).

4. World Health Organization (WHO): *H1N1 in Post-Pandemic Period*. Aug 10, 2010. http://www.who.int/mediacentre/news/statements/2010/h1n1\_vpc\_20100810/en/index.html (last accessed Sep. 20, 2011).

5. Centers for Disease Control and Prevention (CDC): 2009 Pandemic Influenza A (H1N1) virus infections: Chicago, Illinois, Apr.–Jul. 2009. *MMWR Morb Mortal Wkly Rep* 58:913–918, Aug. 28, 2009.

6. U.S. Centers for Disease Control and Prevention (CDC): Surveillance for pediatric deaths associated with 2009 pandemic Influenza A (H1N1) virus infection: United States, Apr.–Aug. 2009. *MMWR Morb Mortal Wkly Rep* 58:941–947, Sep. 4, 2009.

7. Halasa N.B.: Update on the 2009 pandemic influenza A H1N1 in children. *Curr Opin Pediatr* 22:83–87, Feb. 2010.

8. Miroballi Y., et al.: Novel influenza A (H1N1) in a pediatric health care facility in New York City during the first wave of the 2009 pandemic. *Arch Pediatr Adolesc Med* 164:24–30, Jan. 2010.

9. Libster R., et al.: Pediatric hospitalizations associated with 2009 pandemic influenza A (H1N1) in Argentina. *N Engl J Med* 362:45–55, Jan. 7, 2010.

10. O'Riordan S., et al.: Risk factors and outcomes among children admitted to hospital with pandemic H1N1 influenza. *CMAJ* 182:39–44, Jan. 12, 2010.

11. Bettinger J.A., et al.: Pandemic influenza in Canadian children: A summary of hospitalized pediatric cases. *Vaccine* 28:3180–3184, Apr. 19, 2010.

12. Santos C.D., Bristow R.B., Vorenkamp J.V.: Which health care workers were most affected during the spring 2009 H1N1 pandemic? *Disaster Med Public Health Preparedness* 4:47–54, Mar. 2010.

13. Hunt E.A., et al.: Simulation of in-hospital pediatric medical emergencies and cardiopulmonary arrests: Highlighting the importance of the first 5 minutes. *Pediatrics* 121:e34–e43, Jan. 2008.

14. Abrahamson S.D., Canzian S., Brunet F.: Using simulation for training and to change protocol during the outbreak of severe acute respiratory syndrome. *Crit Care* 10(1):R3, Feb. 2006. http://www.biomedcentral.com/content/pdf/cc3916.pdf (last accessed Sep. 2011).

15. U.S. Centers for Disease Control and Prevention (CDC): Healthcare-associated Infections (HAIs): *Tools for Protecting Healthcare Personnel*. Nov. 2010. http://www.cdc.gov/HAI/prevent/ppe.html (last accessed Sep. 20, 2011).

16. Daugherty E.L., et al.: The use of personal protective equipment for control of influenza among critical care clinicians: A survey study. *Crit Care Med* 37:1210–1216, Apr. 2009.

17. Swaminathan A., et al.: Personal protective equipment and antiviral drug use during hospitalization for suspected avian or pandemic influenza. *Emerg Infect Dis* 13:1541–1547, Oct. 2007.

18. Ofner-Agostini M., et al.: Cluster of cases of severe acute respiratory syndrome among Toronto healthcare workers after implementation of infection control precautions: A case series. *Infect Control Hosp Epidemiol* 27:473–478, May 2006.

19. Moore D., et al.: Protecting health care workers from SARS and other respiratory pathogens: Organizational and individual factors that affect adherence to infection control guidelines. *Am J Infect Control* 33:88–96, Mar. 2005.

20. Shigayeva A., et al.: Factors associated with critical-care healthcare workers' adherence to recommended barrier precautions during the Toronto severe acute respiratory syndrome outbreak. *Infect Control Hosp Epidemiol* 28:1275–1283, Nov. 2007.

21. Gamage B., et al.: Protecting health care workers from SARS and other respiratory pathogens: A review of the infection control literature. *Am J Infect Control* 33:114–121, Mar. 2005.

22. U.S. Centers for Disease Control and Prevention (CDC): *Seasonal Influenza* (*Flu*): *Prevention Strategies for Seasonal Influenza in Healthcare Settings*. Sep. 2010. http://www.cdc.gov/flu/professionals/infectioncontrol/healthcare settings.htm (last accessed Sep. 20, 2011).

23. World Health Organization (WHO): Infection Prevention and Control in Health Care for Confirmed or Suspected Cases of Pandemic (H1N1) 2009 and Influenza-like Illnesses. Jun. 25, 2009. http://www.who.int/csr/resources/ publications/SwineInfluenza\_infectioncontrol.pdf (last accessed Sep. 20, 2011). 24. Watson L., et al.: The "delay effect" of donning a gown during cardiopulmonary resuscitation in a simulation model. *CJEM* 10:333–338, Jul. 2008.

25. Udayasiri R., et al.: Emergency department staff can effectively resuscitate in level C personal protective equipment. *Emerg Med Australas* 19:113–121, Apr. 2007.

26. Gralton J., McLaws M.L.: Protecting healthcare workers from pandemic influenza: N95 or surgical masks? *Crit Care Med* 38:657–667, Feb. 2010.

27. Lee M.C., et al.: Respirator-fit testing: Does it ensure the protection of healthcare workers against respirable particles carrying pathogens? *Infect Control Hosp Epidemiol* 29:1149–1156, Dec. 2008.

28. Loeb M., et al.: Surgical mask vs N95 respirator for preventing influenza among health care workers: A randomized trial. *JAMA* 302:1865–1871, Nov. 4, 2009.

29. Srinivasan A., Perl T.M.: Respiratory protection against influenza. *JAMA* 302:1903-1904, Nov. 4, 2009.

30. Siegel J.D., et al.: 2007 Guideline for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings. http://www.cdc.gov/hicpac/pdf/isolation/isolation2007.pdf (last accessed Sep. 20, 2011).

## Online-Only Content

