

## **ARTICLE**



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# Aluminum nitride nanophotonics for beyondoctave soliton microcomb generation and selfreferencing

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Frequency microcombs, alternative to mode-locked laser and fiber combs, enable miniature rulers of light for applications including precision metrology, molecular fingerprinting and exoplanet discoveries. To enable frequency ruling functions, microcombs must be stabilized by locking their carrier-envelope offset frequency. So far, the microcomb stabilization remains compounded by the elaborate optics external to the chip, thus evading its scaling benefit. To address this challenge, here we demonstrate a nanophotonic chip solution based on aluminum nitride thin films, which simultaneously offer optical Kerr nonlinearity for generating octave soliton combs and quadratic nonlinearity for enabling heterodyne detection of the offset frequency. The agile dispersion control of crystalline aluminum nitride photonics permits high-fidelity generation of solitons with features including 1.5-octave spectral span, dual dispersive waves, and sub-terahertz repetition rates down to 220 gigahertz. These attractive characteristics, aided by on-chip phase-matched aluminum nitride waveguides, allow the full determination of the offset frequency. Our proof-of-principle demonstration represents an important milestone towards fully integrated self-locked microcombs for portable optical atomic clocks and frequency synthesizers.

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ptical frequency combs, developed from solid-state or fiber mode-locked lasers, have evolved into photonic chip-based sources that feature the potential towards a miniaturized footprint and reduced cost<sup>1</sup>. Among various chipscale schemes<sup>2–5</sup>, microresonator Kerr frequency combs ("microcombs" hereafter) are of particular interest because of their high scalability for photonic integration 6-8. To enable phase-coherent microcombs, substantial efforts have been made towards soliton mode-locking on the one hand<sup>9–17</sup>, unveiling rich soliton physics on the other hand 18-20. Specifically, octavespanning soliton microcombs are important because it permits phase locking of the carrier-envelope offset (CEO) frequency  $(f_{ceo})$  via well-known f-2f interferometry<sup>21</sup>, and are prerequisite for chip-scale implementation of precision metrology<sup>22</sup>, frequency synthesizers<sup>23</sup> and optical clocks<sup>24</sup>. To date, silicon nitride (Si<sub>3</sub>N<sub>4</sub>) nanophotonics has proved viable for octave soliton operations with a terahertz repetition rate  $(f_{rep})^{25-27}$ . Nevertheless, such a large  $f_{rep}$  is not amenable for direct photodetection and poses challenges to access the CEO frequency with a value up to  $f_{\text{rep}}$ . In the meantime, the lack of intrinsic quadratic  $\chi^{(2)}$ nonlinearities in Si<sub>3</sub>N<sub>4</sub> films typically requires an external frequency doubler and off-chip optical circuitry for deriving the CEO frequency<sup>28,29</sup>. These off-chip optical components compromise the scaling advantage of microcombs and significantly set back self-locked microcombs for portable applications.

Aluminum nitride (AlN) semiconductors exhibit a noncentrosymmetric crystal structure, thereby possessing inherent optical  $\chi^{(2)}$  nonlinearity as well as Pockels electro-optic and piezoelectric properties<sup>30</sup>. Apart from the advances in ultraviolet light-emitting diodes<sup>31</sup> and quantum emitters<sup>32,33</sup>, AlN has also proved viable for low-loss nanophotonics in high-efficiency second-harmonic generation (SHG)34,35 and high-fidelity Kerr and Pockels soliton mode-locking 15,36. Therefore, it is feasible to establish an on-chip f-2f interferometer provided that an octave AlN soliton microcomb is available. This is a solution that is favored here comparing with the heterogeneous integration approach such as proposal based on hybrid gallium arsenide  $(GaAs)/Si_3N_4$  waveguides<sup>37</sup>. Despite that on-chip  $f_{ceo}$  detection was achieved from supercontinuua driven by a femtosecond laser in non-resonant  $\chi^{(2)}$  nanophotonic waveguides made from AlN<sup>38</sup> or lithium niobate (LN) thin films<sup>39,40</sup>, resonator microcombbased f-2f interferometry using nanophotonics, to our knowledge,

In this article, we demonstrate high-fidelity generation of octave soliton microcombs and subsequent  $f_{\rm ceo}$  detection using AlN-based nanophotoinc chips. Thanks to mature epitaxial growth, AlN thin films with highly uniform thickness are available, thus permiting lithographic control of group velocity dispersion (GVD) for comb spectral extension via dispersive wave (DW) emissions<sup>11</sup>. Our octave soliton microcombs possess separated dual DWs and moderate  $f_{\rm rep}$  of 433, 360, and 220 gigahertz, and are found to be reproducible from batch-to-batch fabrications. The results then allow us to capture the f-2f beatnote through on-chip SHG in phase-matched AlN waveguides. Our work establishes the great potential of non-centrosymmetric AlN photonic platforms for achieving portable self-locked microcomb sources in the near future.

## Results

**Experimental scheme description**. Figure 1a illustrates the implementation of microcomb-based f-2f interferometry from a nanophotonic chip. The strategy is to leverage noncentrosymmetric photonic media for co-integration of  $\chi^{(3)}$  octave soliton microcombs and  $\chi^{(2)}$  SHG doublers. For a proof-of-principle demonstration, we adopt an auxiliary laser (at  $f_{\rm aux}$ ) to

obtain sufficient SHG power (at  $2f_{\rm aux}$ ) from phase-matched optical waveguides. The use of the auxiliary laser can be eliminated by exploiting microring-based architectures to boost the SHG efficiency<sup>34</sup>. By subsequently beating  $f_{\rm aux}$  and  $2f_{\rm aux}$  with the  $f_{\rm n}$  and  $f_{\rm 2n}$  comb lines at their corresponding beatnotes of  $\delta_1$  and  $\delta_2$ , the  $f_{\rm ceo}$  signal reads:

$$f_{\text{ceo}} = \delta_2 - 2\delta_1 \tag{1}$$

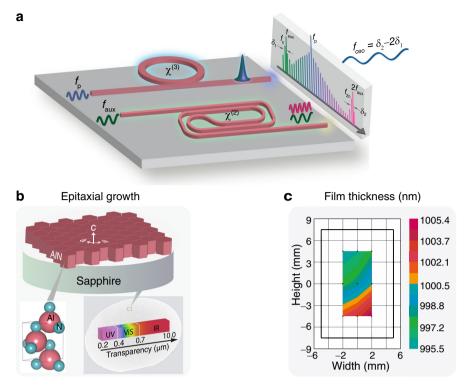
The AlN thin films in this work were epitaxially grown on a cplane sapphire substrate via metal-organic chemical vapour deposition 41,42. As illustrated in Fig. 1b, the AlN epilayer exhibits a hexagonal wurtzite structure with a unit cell shown in the bottom, highlighting the non-centrosymmetry. We also show an overall 2-inch AlN-on-sapphire wafer featuring a broadband transparency and a favored film thickness (Fig. 1c)—both are crucial factors to ensure octave GVD control. Great attention was also paid to the film crystal quality and surface roughness for lowloss photonic applications. The AlN nanophotonic chips were manufactured following electron-beam lithography, chlorinebased dry etching, and silicon dioxide (SiO<sub>2</sub>) coating processes and were subsequently cleaved to expose waveguide facets<sup>43</sup>. The intrinsic optical quality factors (Qint) of the AlN resonators were characterized to be ~1-3 million depending on the waveguide geometries. The detailed film and device characterization is presented in "Methods" and Supplementary Fig. 1.

Since wurtzite AlN manifests optical anisotropy for vertically or horizontally-polarized light<sup>44</sup>, we engineer the waveguide structures for optimizing the performance of fundamental transverse magnetic (TM<sub>00</sub>) modes, which allows the harness of its largest  $\chi^{(2)}$  susceptibility to ensure high-efficiency SHG. To expand microcomb spectra out of the anomalous GVD restriction, we exploit soliton-induced DW radiations by tailoring the resonator's integrated dispersion  $(D_{\rm int})^{11}$ :

$$D_{\text{int}} = \frac{D_2}{2!} \mu^2 + \frac{D_3}{3!} \mu^3 + \sum_{i \ge 4} \frac{D_i}{i!} \mu^i$$
 (2)

where  $D_2$ ,  $D_3$ , and  $D_i$  are  $i_{\rm th}$ -order GVD parameters, while  $\mu$  indexes the relative azimuth mode number with respect to the pump ( $\mu=0$ ). In the dispersion modeling, we have accounted for both the material (AlN) and geometry (cross section, bending radius, and slanted sidewall) dispersion<sup>42</sup>. To prevent avoided mode crossing from interrupting soliton mode-locking, we adopt a weak pulley-coupling configuration (concentric angle of 6°), which helps suppress the excitation of higher-order resonator modes (Supplementary Fig. 1c).

Octave soliton microcombs. Our GVD engineered AlN resonators are coated with a SiO2 protection layer, making it less susceptible to the ambient compared with the air-cladded Si<sub>3</sub>N<sub>4</sub> counterpart<sup>25-29</sup>. An example of the resonator modal profile is shown in the inset of Fig. 2a. The top panel of Fig. 2a plots the D<sub>int</sub> curve from a 50 μm-radius AlN resonator through numerical simulation (see "Methods"). In spite of the limited anomalous GVD window (solid light blue region), octave microcomb operation is feasible via DW radiations at phase-matching conditions  $D_{int} = 0$ , allowing for spectral extension into normal GVD regimes (solid light orange regions). Note that the occurrence of such dual DWs benefits from the optimal film thickness in our AlN system, while the DW separation is agilely adjustable over one octave through the control of resonator's dimensions (Supplementary Fig. 2). Around the telecom band, the D<sub>int</sub> value (red dots) was characterized by calibrating the resonator's transmission with a fiber-based Mach-Zehnder interferometer<sup>15,17</sup>. The experimental result matches well with the simulated one (inset of Fig. 2a) with an extracted  $D_2/2\pi$  of ~6.12 MHz.



**Fig. 1 Experimental scheme. a** Illustration of f-2f interferometry using octave-spanning soliton microcombs and second-harmonic generators in a nanophotonic platform harboring both  $\chi^{(3)}$  and  $\chi^{(2)}$  susceptibilities. The offset frequency  $f_{ceo}$  is accessible from the beatnotes of  $\delta_1$  and  $\delta_2$ , and  $f_p$  is the pump laser frequency. **b** Top: sketch of a hexagonal aluminum nitride (AIN) layer (lattice constants: a and c) epitaxially grown on a c-plane sapphire substrate. Bottom: unit cell of an AIN crystal (left) and photograph image of a 2-inch AIN wafer featuring a broad transparency window from ultraviolet (UV), visible (ViS) to infrared (IR) regimes (right). **c** Spectroscopic ellipsometer mapping of the AIN film thickness in a region of  $4 \times 9$  mm<sup>2</sup>, showing a minor variation of 1000 ± 5 nm denoted by the right color bar.

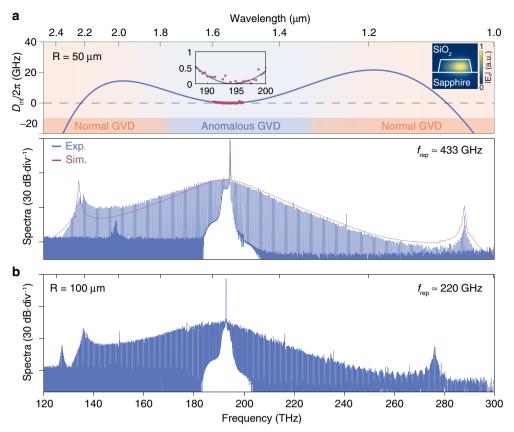
We then explore soliton mode-locking based on a rapid frequency scan scheme to address the abrupt intracavity thermal variation associated with transitions into soliton states<sup>15</sup>. The soliton spectrum is recorded using two grating-based optical spectrum analyzers (OSAs, coverage of 350-1750 nm and 1500-3400 nm). The experimental setup is detailed in Supplementary Fig. 4. The bottom panel of Fig. 2a plots the soliton spectrum from a 50 µm-radius AlN resonator, featuring a moderate  $f_{\text{rep}}$  of 433 GHz and an observable spectral span of 1.05-2.4 µm, exceeding one optical octave. Meanwhile, solitoninduced DW radiations occur at both ends of the spectrum, in agreement with the predicted Dint curves. Note that the highfrequency DW exhibits an evident blue shift from the  $D_{\rm int} = 0$ frequency, which is mainly ascribed to Raman-induced soliton red shifts (relative to the pump frequency)<sup>45,46</sup>. The soliton recoils make less impact here due to dual DW radiations<sup>47</sup>. This conclusion is supported by our modeling when comparing the soliton spectra with and without Raman effects (Supplementary Fig. 2b).

The single crystal nature of AlN thin films permits reproducible optical refractive indices in each manufacture run. This, in combination with their uniform film thickness control, leads to a high predictability for the dispersion engineering, making it feasible to predict octave soliton combs at various repetition rates. For instance, our GVD model indicates that octave spectra with repetition rates further decreased by two times are anticipated from  $100\,\mu\text{m}$ -radius AlN resonators at optimal widths of 3.3– $3.5\,\mu\text{m}$  (Supplementary Fig. 3a). Figure 2b plots the recorded soliton comb spectrum at a resonator width of  $3.5\,\mu\text{m}$ , where a  $f_{\text{rep}}$  of ~220 GHz and dual DWs separated by more than one octave are achieved simultaneously. Such a low  $f_{\text{rep}}$  is amenable

for direct photodetection with state-of-the-art unitravelling-carrier photodiodes  $^{48}$ . We also noticed the occurrence of a weak sharp spectrum around 130 THz, which might arise from modified local GVD due to avoided mode crossing  $^{49}$ . In our nanophotonic platform, we could further predict resonator geometries for achieving octave solitons with an electronically detectable  $f_{\rm rep}$  of  $\sim\!109$  GHz (Supplementary Fig. 3b). Nonetheless, the strong competition between Kerr nonlinearities and stimulated Raman scattering (SRS) must be taken into account since the free spectral range (FSR) of the resonator is already smaller than the  $A_1^{\rm TO}$  phonon linewidth ( $\sim\!138$  GHz) in AlN epilayers  $^{42}$ .

Since the SHG from the auxiliary laser (1940-2000 nm) available in our laboratory is beyond the soliton spectral coverage shown in Fig. 2, we further adjust the resonator dimensions for extending microcomb spectra below 1 µm. As plotted in Fig. 3a, the phase-matching condition ( $D_{int} = 0$ ) for high-frequency DW radiations below 1 µm is fulfilled by elevating the resonator radius to 60 µm while maintaining its width around 2.3 µm. In the meantime, low-frequency DWs could also be expected and their spectral separation is adjustable by controlling the resonator width. Guided by the tailored  $D_{int}$  curves, we fabricated the AlN resonators and recorded octave soliton spectra at a  $f_{rep}$  of ~360 GHz (Fig. 3b). Lithographic control of DW radiations (indicated by vertical arrows) is also verified by solely adjusting the resonator width, allowing the spectral extension below 1 µm (width of 2.3 or 2.4 µm). The low- and high-frequency DWs are found to exhibit distinct frequency shifting rates, consistent with the  $D_{\rm int}$  prediction. The observable soliton spectra (from top to bottom of Fig. 3b) cover 1.5, 1.3, and 1.2 optical octaves by normalizing the total span ( $\Delta f$ ) to its beginning frequency ( $f_1$ ), that is  $\Delta f/f_1$ . Such a definition permits a fair comparison among

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**Fig. 2 Octave soliton microcombs at hundreds of gigahertz repetition rates. a** Top: integrated dispersion ( $D_{int}$ ) of a 50 μm-radius (R) AIN resonator (cross section:  $1.0 \times 2.3 \,\mu\text{m}^2$ ), where the anomalous and normal group velocity dispersion (GVD) regimes are indicated by solid light blue and orange colors in the bottom. Insets: zoom-in view of measured (red dots) and simulated (blue curve)  $D_{int}$  values in the center, and electric field abs( $E_z$ ) of the TM<sub>00</sub> resonator mode with a normalized color bar in the right. Bottom: soliton microcomb spectra from the experiment (Exp., blue curve) and simulation (Sim., red curve) at an on-chip pump power of ~390 mW. The resonator  $Q_{int}$  is 1.6 million and the repetition rate ( $f_{rep}$ ) is estimated to be around 433 GHz. **b** Soliton microcomb spectrum from a 100 μm-radius AIN resonator (cross section:  $1.0 \times 3.5 \,\mu\text{m}^2$ ) with a decreased  $f_{rep}$  of ~220 GHz. The applied pump power is ~1 W at a resonator  $Q_{int}$  of 2.5 million.

soliton microcomb generation in distinct pump regimes across different material platforms, suggesting high competitiveness of our AlN microcomb span comparing to state-of-the-art values reported in Si<sub>3</sub>N<sub>4</sub> microresonators<sup>26</sup>.

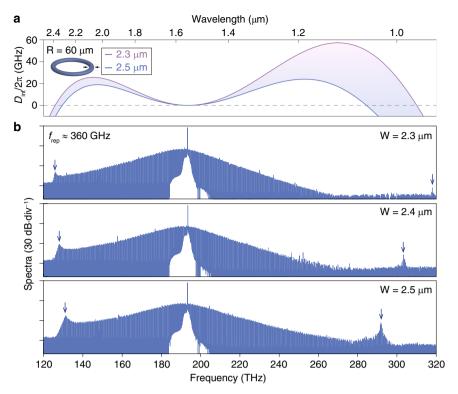
On-chip second harmonic generator. We then explore the cointegration of SHG based on the  $\chi^{(2)}$  susceptibility of AlN for matching the DW peak below 1 µm (middle panel of Fig. 3b). To fulfill the demanding requirement of spectral overlaps with the microcomb, we adopt a straight waveguide configuration, which allows a broader phase-matching condition albeit at the cost of reduced conversion efficiencies comparing to its counterpart using dual-resonant microresonators \$^{34,50}. Through modeling, we predict an optimal waveguide width of ~1.38 µm for fulfilling the modal-phase-matching condition (Supplementary Fig. 5a), while the actual waveguide width was lithographically stepped from 1.32 to 1.46 µm (spacing of 5 nm) accounting for possible deviations during the manufacturing process.

Figure 4 a shows a section of 6 cm-long SHG waveguides co-fabricated with the microcomb generator. At a fixed fundamental wavelength (1970 nm), we located the phase-matching waveguide at the width of 1.395  $\mu$ m, close to the predicted width. The corresponding SHG spectra are plotted in Fig. 4b, where we achieve a high off-chip SHG power over 50  $\mu$ W by boosting the fundamental pump power from a thulium-doped fiber amplifier to compensate the SHG efficiency (Supplementary Fig. 5b). In the meantime, the wavelength-dependent SHG power shown in the

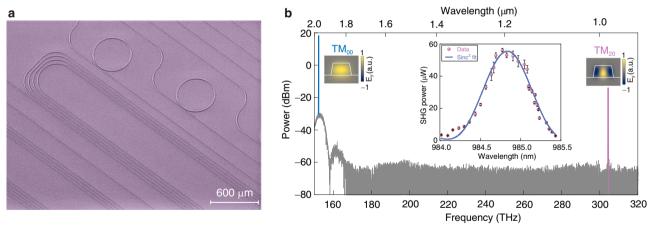
inset indicates a large 3-dB phase-matching bandwidth of  $\sim$ 0.8 nm, which, together with an external heater for thermal fine-tuning, is sufficient to cover the target comb lines for subsequent heterodyne beating.

Nanophotonics-based f-2f interferometry. By combining outgoing light from optimal AlN soliton and SHG generators on the calibrated OSAs, we are able to estimate the f-2f beatnote frequency to be approximately 32 GHz limited by the resolution of the OSAs. To electronically access the  $f_{ceo}$  signal in real time, we employ a scheme sketched in Fig. 5a. The recorded soliton spectrum after suppressing pump light by a fiber Bragg grating (FBG) indicates a high off-chip power close to −40 dBm for the high-frequency DW (Supplementary Fig. 6a). Meanwhile, a wavelength-division multiplexer (WDM) is utilized to separate the f and 2f frequency components before sent into the photodetectors (PDs). Two tunable radio frequency (RF) synthesizers are introduced as the local oscillators (LO1 and LO2) to down convert the photodetector signals for effective capture of the f-2fbeat signal at a convenient low-frequency band with an electronic spectrum analyzer (ESA, range of 20 Hz-26.5 GHz).

As highlighted in Fig. 5b, we record two down-converted beatnotes of  $\Delta f_1$  and  $\Delta f_2$  with a signal-to-noise ratio (SNR) of 10 dB at a resolution bandwidth of 1 MHz. A much higher SNR is anticipated by applying a finer detection bandwidth upon locking the telecom pump laser as well as the  $f_{\rm ceo}$  frequency<sup>23,24</sup>. The corresponding f-2f beatnote is  $\overline{f_{\rm ceo}} = 2f_{\rm LO1} + f_{\rm LO2} - \Delta f_2$ 



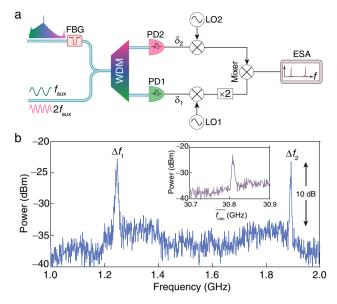
**Fig. 3 Octave soliton microcombs with agilely tunable spectra. a** Engineered integrated dispersion ( $D_{int}$ ) curves of 60 μm-radius (R) AIN resonators at varied widths of 2.3-2.5 μm revealed by the colored shadow regime. **b** Corresponding soliton microcomb spectra at resonator widths of 2.3, 2.4, and 2.5 μm from the top to bottom panel, respectively. The repetition rate ( $f_{rep}$ ) is -360 GHz, while the vertical arrows in spectral wings indicate the emergence of DWs. Akin to Fig. 2a, high-frequency DWs here also exhibit an evident blue shift from the  $D_{int} = 0$  position. From a sech<sup>2</sup> fit, the corresponding temporal pulse duration is estimated to be -23, 22, and 19 fs (from top to bottom), respectively.



**Fig. 4 Second-harmonic generators. a** Colored scanning electron microscope images of fabricated AIN nanophotonic chips composed of octave microcomb generators (microring resonators) and SHG waveguides (total length of 6 cm, not fully shown). The weak pulley-coupled geometry (concentric angle of  $6^{\circ}$ ) in the resonators is not observable due to the large scale bar. **b** SHG spectra collected from a modal phase-matched waveguide (width of 1.395  $\mu$ m) at an on-chip pump power of 355 mW. Insets: electric fields ( $E_y$ , normalized color bars) of the pump (TM<sub>00</sub>) and SHG (TM<sub>20</sub>) modes, as well as wavelength-dependent SHG power (pink dots) with a sinc<sup>2</sup>-function fit (blue curve). The error bars reflect the SHG power variation from continuous three measurements, and the larger error in the center arises from the temperature fluctuation inside the waveguides, which in turn impacts the phase-matching condition<sup>34</sup>.

(inset of Fig. 5b) since the local oscillator frequencies  $f_{\rm LO1}$  and  $f_{\rm LO2}$  are chosen to be larger than beatnotes of  $\delta_1$  and  $\delta_2$ . Based on the relative frequency positions of the auxiliary laser and its neighboring comb tooth (Supplementary Fig. 6b), we reach an actual  $f_{\rm ceo} = {\rm FSR} - \overline{f_{\rm ceo}}$  due to the involvement of  $f_{\rm n}$  and  $f_{\rm 2n+1}$  comb lines in the heterodyne beating. On the other hand, the  $f_{\rm LO1}$  and  $f_{\rm LO2}$  frequencies are freely adjustable up to 40 and

20 GHz in our scheme, which could further expand the accessible range of the  $f_{\rm ceo}$  frequency based on the down-conversion process presented here. Meanwhile, the RF synthesizers are synchronized to a common external frequency reference, suggesting that the captured down-converted f-2f signals are available for further locking the comb teeth in a feedback loop<sup>28</sup>.



**Fig. 5 f-2f heterodyne measurement. a** Schematic diagram for assessing the  $f_{\rm ceo}$ . The symbol "×2" indicates a radio frequency doubler. The experimental details are introduced in Supplementary Fig. 6c. **b** Freerunning f-2f beatnotes after the down-conversion process, suggesting a signal-to-noise ratio of 10 dB at a resolution bandwidth of 1 MHz. The local oscillator frequencies  $f_{\rm LO1}$  and  $f_{\rm LO2}$  are chosen to be 11.8 and 9.1 GHz, respectively. Inset: the equivalent curve of  $\overline{f_{\rm ceo}} = 2f_{\rm LO1} + f_{\rm LO2} - \Delta f_2$ .

## **Discussion**

We demonstrate nanophotonics-based implementation of f-2f interferometry by leveraging  $\chi^{(3)}$  octave solitons and  $\chi^{(2)}$  SHG cofabricated from a non-centrosymmetric AlN photonic platform. Thanks to agile GVD engineering offered by epitaxial AlN thin films, our octave soliton microcombs can be reliably produced with dual DWs and sub-THz repetition rates (220–433 GHz) that are accessible with unitravelling-carrier photodiodes. The overall soliton spectral span is adjustable up to 1.5 octaves, on a par with state-of-the-art values (1.4 octaves) reported in Si<sub>3</sub>N<sub>4</sub> microresonators. We further perform the f<sub>ceo</sub> measurement with the aid of an auxiliary laser for enabling SHG in phase-matched AlN waveguides, thus allowing for spectral overlap with the desired octave soliton.

For future development, the spectral restriction of octave solitons for matching with the auxiliary laser wavelength can be relaxed by exploiting high-efficiency SHG in dual-resonant microresonators, which allows direct doubling of a selected comb line in the low frequency DW band<sup>51</sup>. Meanwhile, the octave-spanning microcomb's repetition rate can be further reduced by leveraging on-chip Pockels electro-optical frequency division<sup>52</sup>. By shifting the phase matching condition for SHG, it is also possible to extend octave solitons into the near-visible band, giving access to self-locked near-visible microcombs for precision metrology. Our results represent an important milestone to unlock the potentials of octave microcomb technologies for portable applications.

#### Methods

**Nanofabrication**. The surface roughness and crystal quality of our AlN epilayer were respectively characterized by an atomic force microscope and an X-ray diffraction scan, indicating a root-mean-square roughness of 0.2 nm in  $1 \times 1 \, \mu m^2$  region and an FWHM linewidth of ~46 and 1000 arcsec along [002] and [102] crystal orientations, respectively. The film thickness was mapped by a spectroscopic ellipsometer (J.A. Woollam M-2000), providing a quick and preliminary selection of the desired AlN piece for octave soliton generation with dual DWs. As shown in Supplementary Fig. 1a, in spite of varied film thicknesses across a 2-inch AlN

wafer, we can reliably locate the desired region for reproducible octave device fabrication.

To further reduce the propagation loss, the AlN photonic chips were annealed at 1000 °C for 2 h. The resonator Q-factors were probed by sweeping a tunable laser (Santec TSL-710) across the cavity resonances and then fitted by a Lorentzian function. In the 100 µm-radius AlN resonators (width of 3.5 µm), we achieve a recorded  $Q_{\rm int}$  of 3.0 million, while the 50 µm-radius resonators (width of 2.3 µm) exhibit a decreased  $Q_{\rm int}$  of 1.6 million, indicating the dominant sidewall scattering loss of our current fabrication technology. The related resonance curves are plotted in Supplementary Fig. 1c and d.

**Numerical simulation**. The  $D_{\rm int}$  of the AlN resonators is investigated using a finite element method (FEM) by simultaneously accounting for the material and geometric chromatic dispersion. The overall  $D_{\rm int}$  value is approximated with a fifth-order polynomial fit applied to the simulated modal angular frequencies:  $\omega_{\mu} = \omega_0 + \mu D_1 + D_{\rm int}$  where  $D_1/(2\pi)$  is the resonator's FSR at the pump mode  $\mu = 0$ .

The spectral dynamics of octave soliton microcombs is numerically explored based on nonlinear coupled mode equations by incorporating the Raman effect 46,53:

$$\begin{split} \frac{\partial}{\partial t} a_{\mu} &= -\left(\frac{\kappa_{\mu}}{2} + i\Delta_{\mu}^{a}\right) a_{\mu} + ig_{K} \sum_{k,l,n} a_{k}^{*} a_{l} a_{n} \delta(l+n-k-\mu) \\ &- ig_{R} \sum_{k,l} a_{l} [\mathcal{R}_{k} \delta(l+k-\mu) + \mathcal{R}_{k}^{*} \delta(l-k-\mu)] + \xi_{P} \end{split} \tag{3}$$

$$\frac{\partial}{\partial t} \mathcal{R}_{\mu} = -\left(\frac{\gamma_{\rm R}}{2} + i\Delta_{\mu}^{\rm R}\right) \mathcal{R}_{\mu} - ig_{\rm R} \sum_{k,l} a_{k}^{*} a_{l} \delta(l - k - \mu) \tag{4}$$

Here a and  $\mathcal R$  are the mode amplitudes of cavity photons and Raman phonons with subscripts k,l,n being the mode indices, while  $g_{\rm K}$  and  $g_{\rm R}$  represent the nonlinear coupling strength of Kerr and Raman processes, respectively. The driving signal strength is  $\xi_{\rm P}=\delta(\mu)\sqrt{\frac{\kappa_{\rm E}\rho^{\rm P}_{\rm m}}{\hbar\omega_{\rm p}}}$  at an on-chip pump power  $P_{\rm in},\,\kappa_{\mu}\,(\kappa_{\rm e,\mu})$  denotes the total (external) cavity decay rate of the  $\mu^{\rm th}$  photon mode, and  $\gamma_{\rm R}$  is the Raman phonon decay rate. The detuning from a  $D_1$ -spaced frequency grid is indicated by  $\Delta_{\mu}^a=\omega_{\mu}-\omega_{\rm P}-\mu D_1$  and  $\Delta_{\mu}^{\rm R}=\omega_{\rm R}-\mu D_1$  with  $\omega_{\rm P}$  and  $\omega_{\rm R}$  being pump and Raman shift angular frequencies, respectively.

In the simulation, we set the time derivative of Raman items in Eq. (4) to zero to speed up the computation since the decay rate of phonons is much larger than that of photons. We also consider frequency-independent  $\kappa_\mu/(2\pi)\approx 120$  MHz and  $\kappa_{\rm e,\mu}/(2\pi)\approx 75$  MHz based on measured Q-factors of 50 µm-radius AlN resonators (Supplementary Fig. 1c). Because incident light is TM-polarized, the involved  $A_1^{\rm TO}$  Raman phonon in AlN exhibits an  $\omega_{\rm R}/(2\pi)\approx 18.3$  THz with an FWHM of  $\gamma_{\rm R}/(2\pi)\approx 138$  GHz<sup>41</sup>. The  $g_{\rm K}/2\pi$  is calculated to be 0.73 Hz for a given nonlinear refractive index  $n_2=2.3\times 10^{-19}$  m²/W, while an optimal  $g_{\rm R}/2\pi=0.29$  MHz is adopted, resulting in a soliton spectrum matching well with measured one in Fig. 2a. The simulated high frequency DW also exhibits an evident blue shift comparing with the case of  $g_{\rm R}/2\pi=0$  MHz (Supplementary Fig. 2b).

## **Data availability**

The source data that support the findings of this study are available in figshare repository: https://doi.org/10.6084/m9.figshare.15167895.v1.

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#### Author contributions

H.X.T and X.L conceived the idea. X.L. performed the device design, fabrication, and measurement with the assistance from Z.G., A.B., J.S. and J.L., Z.G. and X.L. performed the soliton simulation. X.L. and H.X.T. wrote the manuscript with the input from all other authors. H.X.T supervised the project.

## **Competing interests**

The authors declare no competing interests.

#### **Additional information**

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