

1 **Analysis of anti-Omicron neutralizing antibody titers in different convalescent plasma sources.**

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27

## 28 Abstract

29 The latest SARS-CoV-2 variant of concern Omicron, with its immune escape from therapeutic anti-Spike  
30 monoclonal antibodies and vaccine-elicited sera, demonstrates the continued relevance of COVID19  
31 convalescent plasma (CCP) therapies. Lessons learnt from previous usage of CCP suggests focusing on  
32 outpatients and immunocompromised recipients, with high neutralizing antibody (nAb) titer units. In this  
33 analysis we systematically reviewed Omicron neutralizing plasma activity data, and found that  
34 approximately 50% (426/911) of CCP from unvaccinated donors neutralizes Omicron with a very low  
35 geometric mean of geometric mean titers for 50% neutralization ( $GM(GMT_{50})$ ) of about 17, representing  
36 a more than 24-fold reduction from paired WA-1 neutralization. Two doses of mRNA vaccines in  
37 nonconvalescent subjects had a similar 50% percent neutralization with Omicron neutralization  
38  $GM(GMT_{50})$  about 24. However, CCP from vaccinees recovered from previous variants of concern or  
39 third-dose uninfected vaccinees was nearly 100% neutralizing with Omicron  $GM(GMT_{50})$  over 200, a 12-  
40 fold Omicron neutralizing antibody increase compared to unvaccinated convalescents from former VOCs.  
41 These findings have implications for both CCP stocks collected in prior pandemic periods and plans to  
42 restart CCP collections. Thus, CCP from vaccinated donors provides an effective tool to combat variants  
43 that defeat therapeutic monoclonal antibodies.

## 44 Introduction

45 The SARS-CoV-2 Omicron variant of concern (VOC) (originally named VUI-21NOV-01 by Public Health  
46 England and belonging to GISAID clade GRA(B.1.1.529+BA.\*) was first reported on November 8, 2021 in  
47 South Africa, and shortly thereafter was also detected all around the world. Omicron mutations impact  
48 27% of T cell epitopes<sup>1</sup> and 31% of B cell epitopes of Spike, while percentages for other VOC were much  
49 lower<sup>2</sup>. The Omicron variant has further evolved to several sublineages which are named by PANGO  
50 phylogeny using the BA alias: the BA.1 wave of Winter 2021-2022 has been suddenly replaced by BA.2  
51 and BA.2.12.1 in Spring 2022, and by the BA.4 and BA.5 waves in Summer 2022..

52  
53 The VOC Omicron is reducing the efficacy of all vaccines approved to date (unless 3 doses are delivered)  
54 and is initiating an unexpected boost in COVID19 convalescent plasma (CCP) usage, with Omicron being  
55 treated as a shifted novel virus instead of a SARS-CoV-2 variant drift. Two years into the pandemics, we  
56 are back to the starting line for some therapeutic classes. Specifically, Omicron escapes viral  
57 neutralization by most monoclonal antibodies (mAbs) authorized to date with the lone exception of  
58 bebtelovimab<sup>3</sup>. Despite the development of promising oral small-chemical antivirals (molnupiravir and  
59 nirmatrelvir), the logistical and economical hurdles for deploying these drugs worldwide has prevented  
60 their immediate and widespread availability, and concerns remain regarding both molnupiravir (both  
61 safety<sup>4</sup> and efficacy<sup>5</sup>) and nirmatrelvir (efficacy), especially in immunocompromised subjects. COVID19  
62 convalescent plasma (CCP) was used as a frontline treatment from the very beginning of the pandemic.  
63 Efficacy outcomes have been mixed to date, with most failures explained by low dose, late usage, or  
64 both, but efficacy of high-titer CCP has been definitively proven in outpatients with mild disease stages<sup>6</sup>.  
65 Neutralizing antibody (nAb) efficacy against VOC remains a prerequisite to support CCP usage, which  
66 can now be collected from vaccinated convalescents, including donors recovered from breakthrough  
67 infections (so-called “hybrid” or “VaxCCP”)<sup>8</sup>: pre-Omicron evidence suggest that those nAbs have higher  
68 titers and are more effective against VOCs than those from unvaccinated convalescents<sup>9, 10</sup>. From a  
69 regulatory viewpoint, to date, plasma from vaccinees that have never been convalescent does not fall  
70 within the FDA emergency use authorization

71 There are tens of different vaccine schedules theoretically possible according to EMA and FDA approvals,  
72 including a number of homologous or heterologous boosts, but the most commonly delivered schedules  
73 in the western hemisphere have been: 1) BNT162b2 or mRNA-1273 for 2 doses eventually followed by a  
74 homologous boost; 2) ChAdOx1 for 2 doses eventually followed by a BNT162b2 boost; and 3)  
75 Ad26.COV2.S for 1 dose eventually followed by a BNT162b2 boost<sup>11</sup>. Many more inactivated vaccines  
76 have been in use in low-and-middle income countries (LMIC), which are target regions for CCP therapy:  
77 this is feasible given the lower number of patients at risk for disease progression there (lower incidences  
78 of obesity, diabetes, and hypertension, and lower median age) and the already widespread occurrence of  
79 collection and transfusion facilities. Most blood donors there have already received the vaccine schedule  
80 before, after or without having been infected, with a nAb titer generally declining over months<sup>12</sup>. Hence  
81 identifying the settings where the nAb titer is highest will definitively increase the efficacy of CCP  
82 collections. Variations in nAb titers against a given SARS-CoV-2 strain are usually reported as fold-changes  
83 in geometric mean titer of antibodies neutralizing 50% of cytopathic effect or foci (GMT<sub>50</sub>) compared to  
84 wild-type strains: nevertheless, fold-changes for groups that include non-responders can lead to highly  
85 artificial results and possibly over-interpretation. Rigorous studies have hence reported the percentage of

86 responders as primary outcome and provided fold-changes of GMT<sub>50</sub> where calculation is reasonable  
87 (100% responders in both arms)<sup>13</sup>.

88 To date the most rigorous data repository for SARS-CoV-2 sensitivity to antivirals is the Stanford  
89 University Coronavirus Antiviral & Resistance Database, but as of July 24, 2022 the tables there  
90 summarizing “Convalescent plasma” and “Vaccinee plasma” ([https://covdb.stanford.edu/search-](https://covdb.stanford.edu/search-drdb/?form_only)  
91 [drdb/?form\\_only](https://covdb.stanford.edu/search-drdb/?form_only) ) do not dissect the different heterologous or homologous vaccination schemes, the  
92 simultaneous occurrence of vaccination and convalescence, or the time from infection/vaccine to  
93 neutralization assay. Consequently, a more in-depth analysis is needed to better stratify CCP types.

94

## 95 Methods

96 On July 23, 2022, we searched PubMed, medRxiv and bioRxiv for research investigating the efficacy of  
97 CCP (either from vaccinated or unvaccinated donors) against SARS-CoV-2 VOC Omicron for article  
98 (pre)published after December 1, 2019, using English language as the only restriction. In PubMed we  
99 used the search query (“convalescent plasma” or “convalescent serum”) AND (“neutralization” or  
100 “neutralizing”) AND “SARS-CoV-2”, while in bioRxiv and medRxiv we searched for abstract or title  
101 containing “convalescent, SARS-CoV-2, neutralization” (match all words). When a preprint was published,  
102 the latter was used for analysis. We also screened the reference lists of reviewed articles for additional  
103 studies not captured in our initial literature search. Articles underwent evaluation for inclusion by two  
104 assessors (D.F. and D.S.) and disagreements were resolved by a third senior assessor (A.C.). We excluded  
105 review articles, meta-analyses, studies reporting antibody levels by serological assays other than  
106 neutralization, as well as studies exclusively analyzing nAbs in vaccine-elicited plasma/serum from non-  
107 convalescent subjects. In unvaccinated subjects, convalescence was annotated according to infecting  
108 sublineage (pre-VOC Alpha, VOC Alpha, VOC Beta, VOC Delta, or VOC Omicron sublineages). Given the  
109 heterologous immunity that develops after vaccination in convalescents, the infecting lineage was not  
110 annotated in vaccine recipients. In vaccinees, strata were created for 2 homologous doses, 3 homologous  
111 doses, or post-COVID-19 and post-vaccination (Vax-CCP). The mean neutralizing titer for WA-1 (pre-Alpha  
112 wild-type), Omicron and number out of total that neutralized Omicron was abstracted from studies.

113 Statistical significance between means was investigated using Tukey’s test.

114

## 115 Results

116 Our literature search identified 29 studies dealing with the original Omicron lineage (BA.1), that were  
117 then manually mined for relevant details : the PRISMA flowchart for study selection is provided in Figure  
118 1. Given the urgency to assess efficacy against the upcoming VOC Omicron, most studies (with a few  
119 exceptions<sup>14, 15, 16, 17</sup>) relied on Omicron pseudovirus neutralization assays, which, as opposed to live  
120 authentic virus, are scalable, do not require BSL-3 facilities, and provide results in less than 1 week.  
121 GMT<sub>50</sub> of nAb and fold-reduction (in GMT<sub>50</sub> against Omicron compared to wild-type SARS-CoV-2 (e.g.,  
122 WA-1) were the most common ways of reporting changes, which reduces variability due to difference in  
123 neutralization assays used.

124 Figure 2 and Table 1 summarize that neutralizing activity to WA-1 from CCP collected from subjects  
125 infected with pre-Alpha SARS-CoV-2 (Supplementary Table 1), Alpha VOC (Supplementary Table 2), Beta  
126 VOC (Supplementary Table 3), Delta VOC (Supplementary Table 4) or plasma from nonconvalescent  
127 subjects vaccinated with 2 mRNA vaccine doses (Supplementary Tables 5 and 6) The same plasma types  
128 computed a geometric mean of multiple GMT<sub>50</sub> from many studies with about a 21-fold reduction against  
129 BA.1 geomeans compared to wild-type SARS-CoV-2 geomeans. CCP from uninfected vaccinees receiving a  
130 third vaccine dose registered geomean of the GMT<sub>(50)</sub> of 2,723 (or 10- fold higher nAb geomean of the  
131 GMT<sub>50</sub>) to wild-type viral assays: in this group the nAb geomean of the GMT<sub>50</sub> fold-reduction against BA.1  
132 was 9, but importantly the geomean of the GMT<sub>(50)</sub> was close to 291 again. The approximately 21-fold  
133 reduction in nAb geomean of the GMT<sub>(50)</sub> from wild-type to BA.1 was reversed by the 10-15-fold  
134 increase in nAb geomean of the GMT<sub>(50)</sub> from either boosted vaccination or VaxCCP.

135 In addition to the nAb GMT<sub>50</sub> levels showing potency, the percentage of individuals within a study cohort  
136 positive for any level of BA.1 neutralization shows the likelihood of a possible donation having anti-BA.1  
137 activity. All studies but one tested a limited number of 20 to 40 individuals. The pre-Alpha CCP showed  
138 that most (18 of 27 studies) had less than 50% of individuals tested within a study with measurable BA.1  
139 neutralizing activity: only 2 out of 27 studies indicated 100% of individuals tested showed BA.1  
140 neutralization (Figure 3). Likewise, most of the studies investigating Alpha and Beta CCP showed similar  
141 percent with nAb. Delta CCP had 6 of 7 studies with more than 50% BA.1 neutralization. The plasma from  
142 studies of the 2-dose mRNA vaccines indicated a more uniform distributive increase in percent of  
143 individual patients with measurable Omicron nAb's. The stark contrast is Vax-CCP, where 16 of 19 studies  
144 had 100% of individuals tested with anti-BA.1 nAb. The 3-dose vaccinee studies similarly had 12 of 17  
145 studies with 100% measurable nAb.

146 There were 5 studies which directly compared anti-WA-1 versus BA.1 nAb titers in nonvaccinated pre-  
147 Alpha, Alpha, Beta, and Delta CCP, and vaccinated plasma with the same nAb assay (Figure 4). nAb GMT<sub>50</sub>  
148 against WA-1 was higher for Alpha and Delta CCP but lower for Beta CCP. nAb geomean of the GMT<sub>(50)</sub>  
149 against BA.1 was actually highest for Beta CCP 13 geomean with geomean levels of 9, 8, 10 for pre-Alpha,  
150 Alpha and Delta (Figure 4, panel A). In these 5 studies, nAb geomean of the GMT<sub>(50)</sub> rose from 2-dose  
151 vaccinations to VaxCCP to the 3-dose boosted vaccination. Importantly, for nAb geomean of the GMT<sub>(50)</sub>  
152 against BA.1 were 13 to 103 to 223, respectively representing a 8 to 17-fold rise (Figure 4, panel B).

153 Another set of 9 matched vaccination studies inclusive of plasma collected after 2- and 3-dose schedules,  
154 as well as Vax-CCP depicted a 23-fold rise in geomean of the GMT<sub>(50)</sub> of anti-BA.1 nAb from the 2-dose  
155 vaccine to post COVID-19 vaccinees, and a 21-fold increase after the third vaccine dose. The pattern was  
156 similar for nAb geomean of the GMT<sub>(50)</sub> against WA-1 (Figure 4, panel C).

157 The AZD1222, 3-dose mRNA-1273 and Ad26.CO2 vaccines were understudied, with 3 or less  
158 independent studies at different time points, reported in Table 10. The GMT<sub>50</sub> nAb to BA.1 after 3-  
159 mRNA-1273 doses ranged 60 to 2000, with a 5 to 15 fold reduction compared with WA-1. GMT<sub>50</sub> of anti-  
160 BA.1 nAbs after AZD1222 vaccine was modest (~10 to 20), as with Ad26.CO2 vaccine (~20 to 40). Two  
161 studies reported on post-COVID-19/post-mRNA-1273 with nAb GMT<sub>50</sub> against BA.1 of 38 and 272. Studies  
162 with 100% of individual patient samples neutralizing BA.1 included 2 3-dose mRNA-1273 studies, one  
163 AZD1222 study, and one post-COVID-19/post-mRNA-1273 study.

164 Few data exist for comparisons among different vaccine boosts. For CoronaVac® (SinoVac), three doses  
165 led to 5.1 fold reduction in anti-BA.1 nAb GMT<sub>50</sub> compared to wild-type<sup>18</sup>, while for Sputnik V nAb titer  
166 moved from a 12-fold reduction at 6-12 months up to a 7-fold reduction at 2-3 months after a boost with  
167 Sputnik Light<sup>19, 20</sup>. These *in vitro* findings have been largely confirmed *in vivo*, where prior heterologous  
168 SARS-CoV-2 infection, with and without mRNA vaccination, protects against BA.1 re-infection<sup>21</sup>.

169

170 Seventeen studies analyzed the efficacy of CCP and VaxCCP against Omicron sublineages other than BA.1  
171 (summarized in Table 2). Those studies largely confirmed that Omicron CCP *per se* is poorly effective  
172 against the cognate or other Omicron sublineages<sup>22</sup> (with the lone exception of cross-reactions among  
173 lineages sharing L452 mutations<sup>23</sup> and broad-spectrum nAbs elicited by BA.5<sup>24</sup>). On the contrary, both  
174 homologous and heterologous efficacy of Omicron VaxCCP is again universally preserved<sup>15, 25</sup>. Despite  
175 evidences that concentrated pooled human IgG from convalescent and vaccinated donors has 5-fold  
176 reduced potency against BA.5 compared to wild-type SARS-CoV-2<sup>26</sup>, such VaxCCP derivative is devoid of  
177 IgA and IgM nAbs. These findings have important implications if a VaxCCP program is going to be re-  
178 launched at the time of BA.2 and BA.4/5 waves.

## 179 Discussion

180 Since nAbs are by definition antiviral, CCP with a high nAb GMT<sub>50</sub> is preferable, and there is now strong  
181 clinical evidence that nAb titers correlate with clinical benefit in randomized clinical trials<sup>6, 7</sup>. Although  
182 nAb titers correlate with vaccine efficacy<sup>27, 28</sup>, it is important to keep in mind that SARS-CoV-2-binding  
183 non-neutralizing antibodies can similarly provide protection via Fc-mediated functions<sup>29, 30</sup>. However,  
184 such functions are harder to measure and no automated assay exist for use in clinical laboratories.  
185 Hence, whereas the presence of a high nAb GMT<sub>50</sub> in CCP is evidence for antibody effectiveness *in vitro*,  
186 the absence of nAb titer does not imply lack of protection *in vivo* where Fc effects mediate protection by  
187 other mechanisms such as antibody-dependent cell-mediated cytotoxicity, complement activation and  
188 phagocytosis.

189 The mechanism by which CCP from vaccinated COVID-19 convalescent individuals better neutralizes  
190 Omicron lineages probably a combination of higher amounts of nAb and broader antibody specificity.  
191 Higher amounts of antibody could neutralize antigenically different variants through the law of mass  
192 action<sup>31</sup> whereby even lower affinity antibodies elicited to earlier variants would bind to the Omicron  
193 variant as mass compensates for reduced binding strength to drive the reaction forward. In addition,  
194 vaccinated COVID-19 convalescent individuals would have experienced SARS-CoV-2 protein in two  
195 antigenically different forms: as part of intact infective virions generated *in vivo* during an infectious  
196 process and as antigens in vaccine preparations. As the immune system processes the same antigen in  
197 different forms, there are numerous opportunities for processing the protein in different manners that  
198 can diversity the specificity of the immune response and thus increase the likelihood of eliciting  
199 antibodies that react with variant proteins. Structurally, it has been shown that third dose mRNA  
200 vaccination induces mostly class 1/2 antibodies encoded by IGHV1-58;IGHJ3-1 and IGHV1-69;IGHJ4-1  
201 germlines, but not the IGHV2-5;IGHJ3-1 germline, broadly cross-reactive Class 3 antibodies seen after  
202 infection<sup>32</sup>.

203 Our analysis provides strong evidence that, unlike what has been observed in Syrian hamster models<sup>33</sup>,  
204 CCP from unvaccinated donors is unlikely (less than 50%) to have any measurable Omicron neutralization.  
205 Although the nAb GMT<sub>50</sub> threshold for clinical utility remains poorly defined, it is noticeable that low BA.1  
206 nAb GMT<sub>50</sub> were generally detected in CCP after infection from pre-Omicron VOCs.

207 On the contrary, despite the huge heterogeneity of vaccine schedules, CCP from vaccinated and COVID-  
208 19 convalescent individuals (Vax-CCP) consistently harbors high nAb titers against BA.1 and novel  
209 sublineages if collected up to 6 months since last event (either vaccine dose or infection). These Omicron  
210 neutralizing levels are comparable in dilutional titers to that of WA-1 CCP neutralizing WA-1, but their  
211 prevalence is much higher at this time, facilitating recruitment of suitable donors. Pre-Omicron CCP  
212 boosted with WA-1-type vaccines induces heterologous immunity that effectively neutralizes Omicron in  
213 the same assays which rule in or out therapeutic anti-Spike monoclonal antibodies. Consequently,  
214 prescreening of Vax-CCP donors for nAb titers is not necessary, and qualification of Vax-CCP units remains  
215 advisable only within clinical trials. A more objective way to assess previous infection (convalescence)  
216 would be measuring anti-nucleocapsid (N) antibodies, but unfortunately these vanish quickly<sup>34, 35</sup>.  
217 Previous symptomatic infection and vaccination can be established by collecting past medical history  
218 (PMH) during the donor selection visit, which is cheaper, faster, and more reliable than measuring rapidly  
219 declining anti-N antibodies. Although there is no formal evidence for this, it is likely that asymptomatic  
220 infection (leading to lower nAb levels in pre-Omicron studies) also leads to lower nAb levels after  
221 vaccination compared to symptomatic infection, given that disease severity correlates with antibody titer  
222<sup>36, 37</sup>; hence those asymptotically infected donors missed by investigating PMH are also less likely to be  
223 useful.

224 The same reasoning applies to uninfected vaccinees receiving third dose boosts, but several authorities,  
225 including the FDA, do not currently allow collection from such donors for CCP therapy on the basis that  
226 the convalescent polyclonal and poly-target response is a prerequisite for efficacy and superior to the  
227 polyclonal anti-Spike-only response induced by vaccinees. This may be a false premise for recipients of  
228 inactivated whole-virus vaccines (e.g., BBIBP-CorV or VLA2001): for BBIBP-CorV, the efficacy against  
229 Omicron is largely reduced<sup>18, 20, 38</sup>, but the impact of boost doses is still unreported at the time of writing.  
230 Table 1 and Table 9 clearly show that 3-doses of BNT162b2 are enough to restore nAb levels against  
231 Omicron in the absence of SARS-CoV-2 infection.

232 Another point to consider is that information on nAb levels after the third vaccine dose has been almost  
233 exclusively investigated for only 1 month of follow-up, while studies on convalescents extend to more  
234 than 6 months: to date it seems hence advisable to start from convalescent vaccinees rather than  
235 uninfected 3-dose vaccinees. This is also confirmed by immune escape reported *in vivo* after usage of  
236 vaccine (non-convalescent) plasma<sup>39</sup> despite very high nAb titres, likely due to restricted antigen  
237 specificity. Vaccine schedules with a delayed boost seem to elicit higher and broader nAb levels than the  
238 approved, short schedules<sup>40, 41, 42, 43</sup>, but this remain to be confirmed in larger series. The same is true for  
239 breakthrough infections from Alpha or Delta VOC in fully BNT162b2 vaccinated subjects<sup>44</sup>, although  
240 variation in time from infection due to successive waves is a major confounder.

241 With the increase of Omicron seroprevalence in time, polyclonal intravenous immunoglobulins collected  
242 from regular donors could become a more standardized alternative to CCP, but their efficacy to date (at  
243 the peak of the vaccinations campaign) is still 16-fold reduced against Omicron compared to wild-type

244 SARS-CoV-2<sup>45</sup>, and such preparations include only IgG and not IgM and IgA, which have powerful SARS-  
245 CoV-2 activity<sup>46, 47</sup>. Nevertheless, FDA recently reported efficacy of hyperimmune serum against BA.1,  
246 BA.2, BA.3, BA.2.12.1, and BA.4/5<sup>48</sup>.

247 CCP collection from vaccinated convalescents (regardless of infecting sublineage, vaccine type and  
248 number of doses) is likely to achieve high nAb titer against VOC Omicron, and, on the basis of lessons  
249 learnt with CCP usage during the first 2 years of the pandemic. Although in ideal situations one would  
250 prefer RCT evidence of efficacy against Omicron before deployment, there is concern that variants are  
251 generated so rapidly that by the time such trials commenced this variant could be replaced for another.  
252 Given the success of CCP in 2 outpatient RCTs reducing hospitalization<sup>6, 7</sup> and the loss of major mAb  
253 therapies due to Omicron antigenic changes, the high titers in CCP collected from vaccinated  
254 convalescents provides an immediate option for COVID-19, especially in LMIC. Given the reduced  
255 hospitalization rate with Omicron compared to Delta<sup>49</sup>, it is even more relevant to identify patient  
256 subsets at risk of progression in order to minimize the number needed to treat to prevent a single  
257 hospitalization: moving from the same criteria used for mAb therapies while using the same (now  
258 unused) in-hospital facilities seems a logical approach.

259 We declare we have no conflict of interest related to this manuscript.

260

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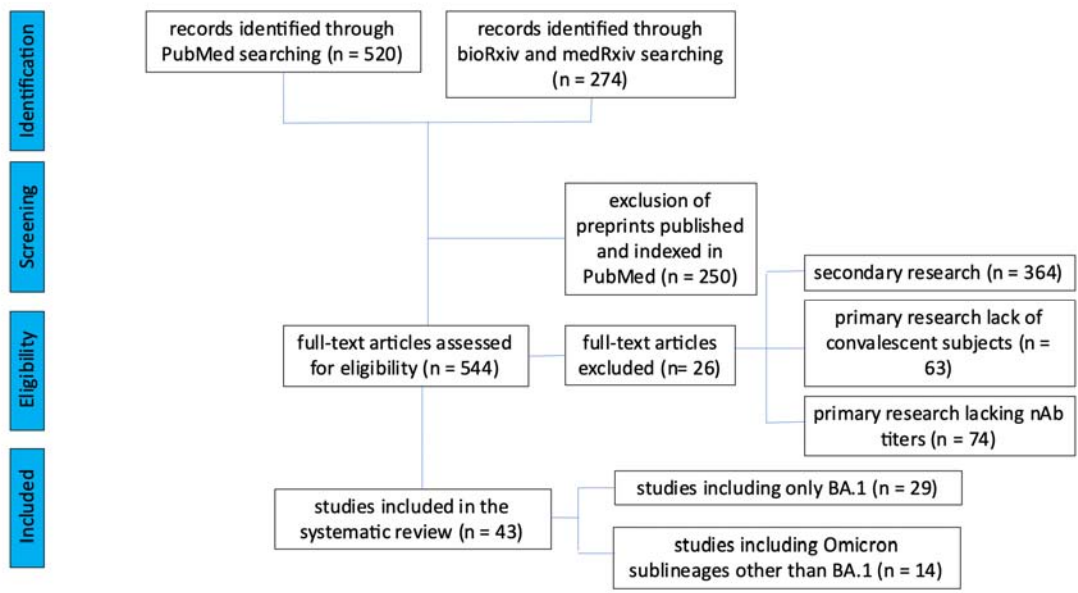
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567 **Figure 1**

568 PRISMA flowchart for the current study.

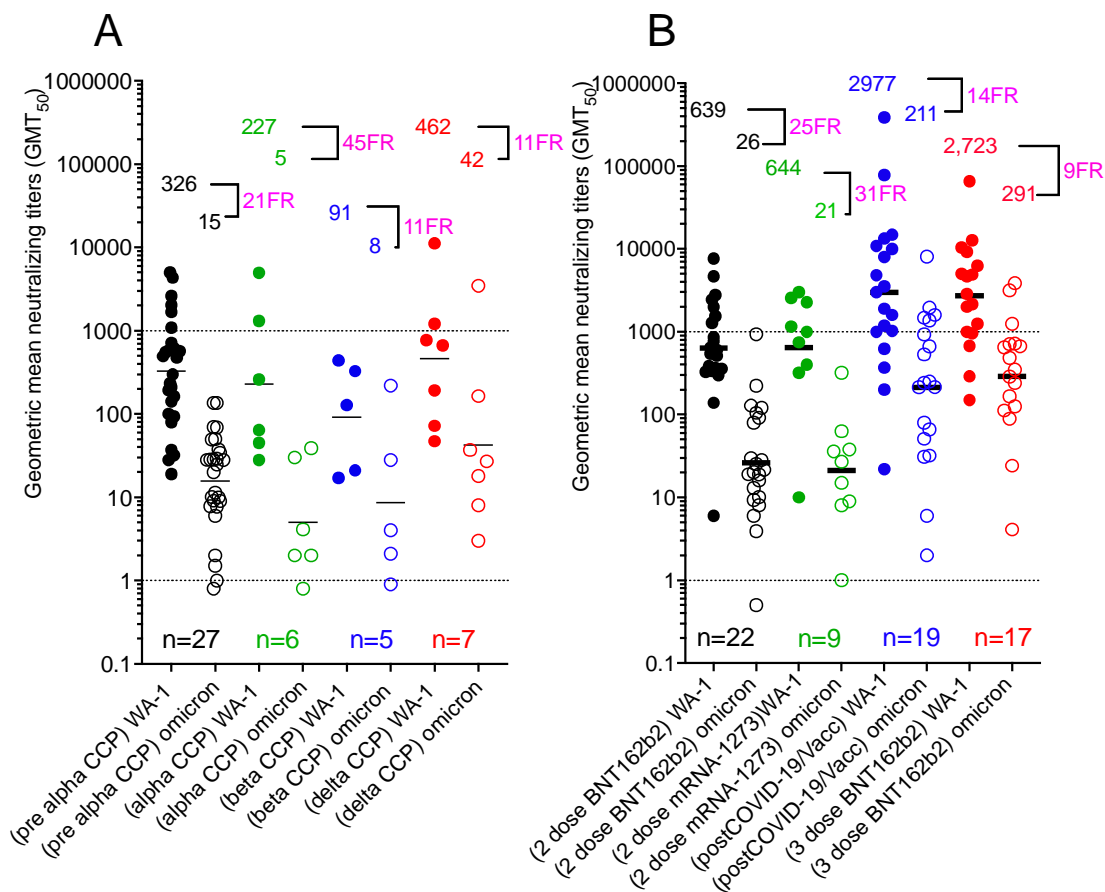


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571 **Figure 2**

572 Geometric mean neutralizing titers (GMT<sub>50</sub>) against WA-1 versus Omicron BA.1 by study for A)  
 573 unvaccinated convalescent plasma and B) vaccinated plasma with or without COVID-19. Geomeans for  
 574 entire study groups with neutralization of WA-1 in filled circles with Omicron in empty circles with  
 575 geomeans and fold reduction (FR) above data and number of studies above x-axis. All geomeans are not  
 576 statistically significant in difference by multiple comparison in Tukey's test.



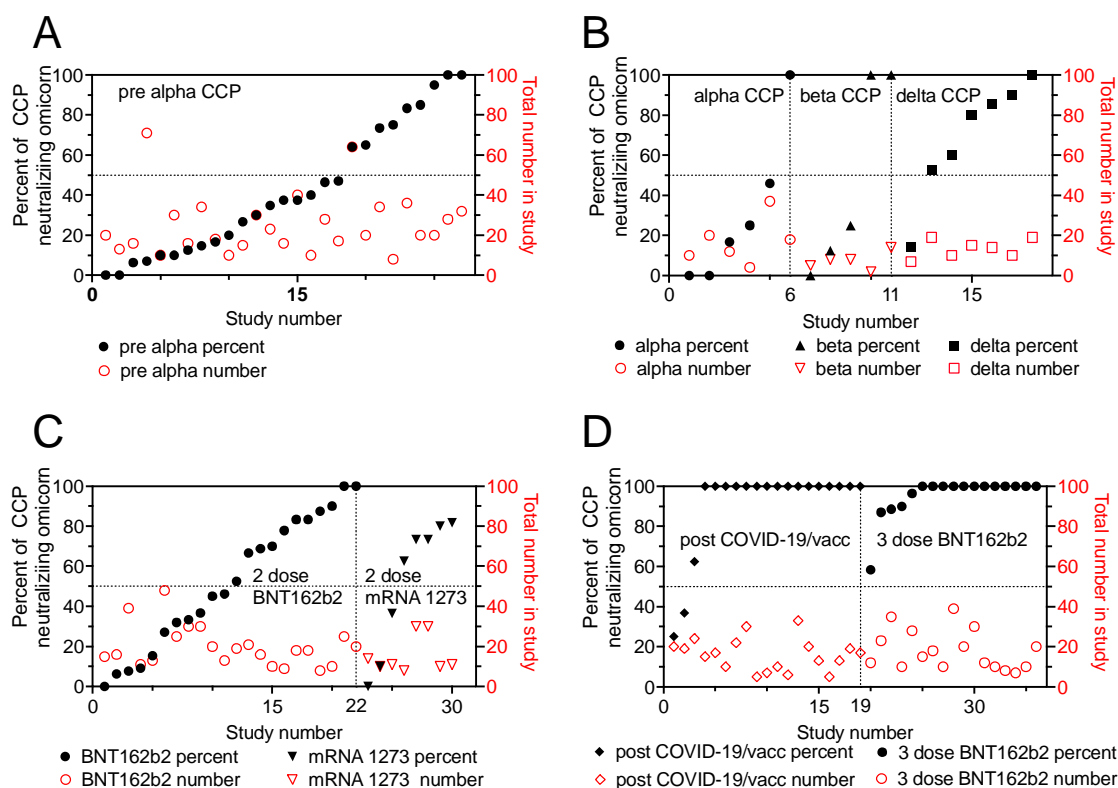
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## 582 Figure 3

583 Percent of individual plasma samples in each study showing any titer of Omicron BA.1 neutralization. The  
 584 percent of samples within a study condition which neutralized Omicron graphed in increasing  
 585 percentages with the number of samples tested on the right y axis. A) pre-Alpha CCP neutralization of  
 586 Omicron; B) Alpha, Beta and Delta CCP neutralization of Omicron C) 2 dose mRNA vaccines neutralization  
 587 of Omicron D) post-COVID-19/post-vaccine (VaxCCP) and uninfected 3-dose vaccine neutralization of  
 588 Omicron.

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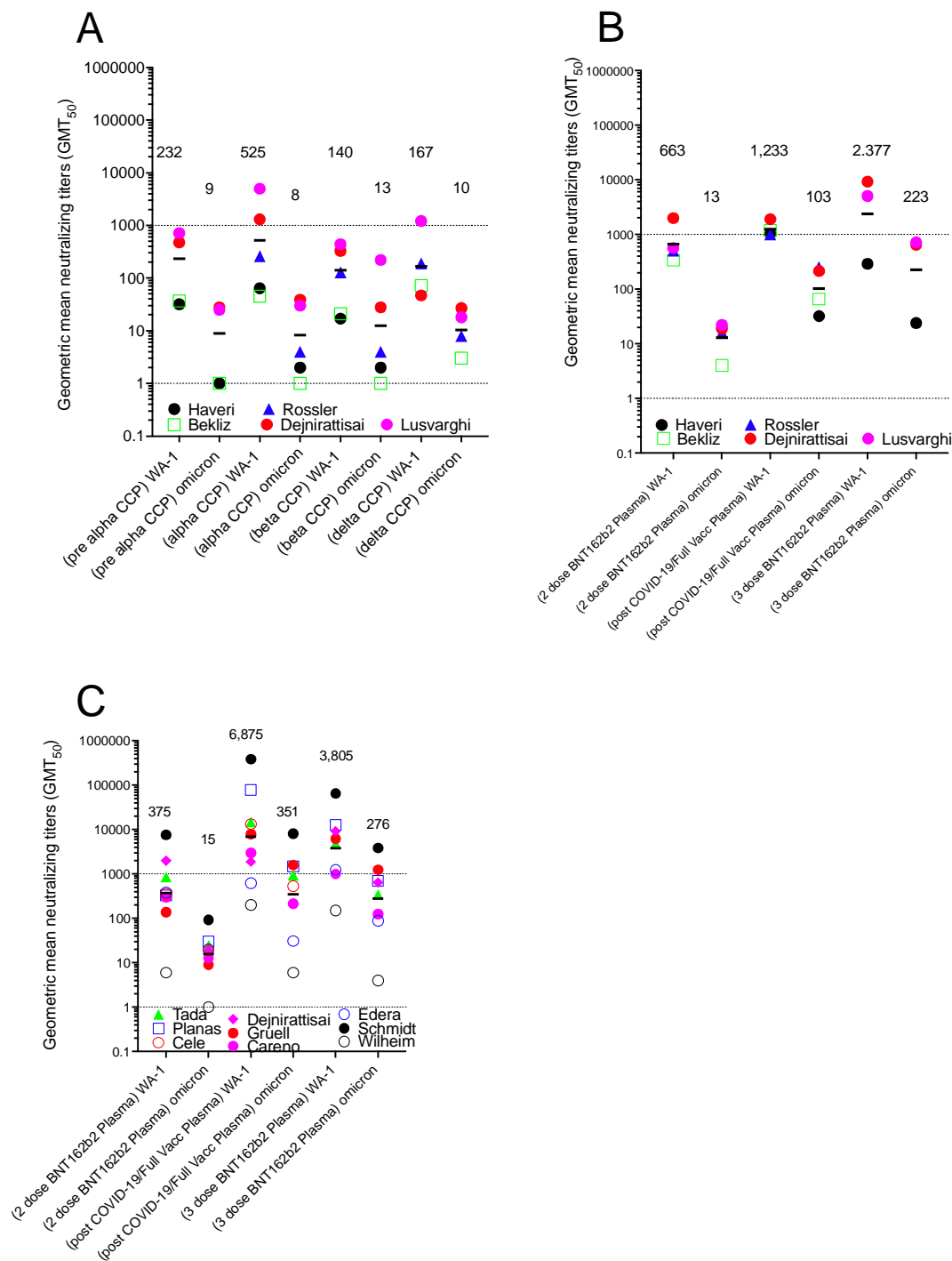
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596 **Figure 4**

597 Geometric mean neutralizing titers (GMT<sub>50</sub>) of anti-WA.1 or anti-Omicron BA.1 neutralizing antibodies in  
 598 plasma samples from 5 studies investigating diverse SARS-CoV-2 infecting lineage or vaccination status. 5  
 599 studies characterized A) pre-Alpha, Alpha, Beta and Delta CCP for Omicron nAb compared to WA-1, and  
 600 also B) 2 or 3 doses BNT162b2 plasma, as well as post-COVID-19 plus BNT162b vaccine (VaxCCP). C) 9  
 601 additional studies looked at the same vaccine conditions in the first 5 comparing WA-1 nAb to Omicron  
 602 nAb.



603

604

605

606 **Table 1**

607 Comparison of WA-1 to Omicron BA.1 nAb and percent with any Omicron BA.1 nAb amongst VOC CCP  
 608 and vaccination status.

plasma type	number of studies	WA-1 nAb GMT <sub>50</sub>	Omicron BA1 nAb GMT <sub>50</sub>	fold reduction in nAb GMT <sub>50</sub> vs. Omicron BA.1	total number individuals in all studies	total Omicron BA.1 neutralizing number	Omicron BA.1 neutralizing percent
pre-Alpha	27	326	15	21	679	300	44
Alpha	6	227	5	45	101	38	38
Beta	5	91	8	11	37	19	51
Delta	7	462	42	11	94	69	73
2 dose BNT162b2 plasma	22	639	26	25	434	204	47
2 dose mRNA-1273 plasma	9	644	21	31	134	81	60
post-COVID-19/full vacc plasma	19	2977	211	14	305	269	88
3 dose BNT162b2 plasma	17	2,723	291	9	307	293	95

609

610 **Table 2**

611 Efficacy of CCP, vaccinee plasma and VaxCCP expressed as GMT<sub>50</sub> against Omicron sublineages.

CCP source	target Omicron sublineage			
	BA.1	BA.2	BA.2.12.1	BA.4/5
wild-type CCP (unvaccinated)	↓ <sup>50</sup> (including BA.1.1)	↓ <sup>50</sup>	no data	no data
uninfected 3-dose mRNA vaccinee plasma	↓ <sup>50</sup> (including BA.1.1) <sup>15, 25</sup>	↓ <sup>50</sup>	no data	stronger escape than BA.2 <sup>23, 51, 52</sup>
any pre-Omicron VOC VaxCCP	no data	= <sup>53</sup>	no data	24
Delta VaxCCP	no data	no data	<sup>23</sup>	<sup>23</sup>
BA.1 CCP	↓ <sup>22</sup>	no data	no data	7.5-7.6-fold lower than against BA.1 <sup>23, 51, 52, 54, 55</sup>
BA.1 VaxCCP	1:2929 at 9-12 days <sup>15, 25, 48, 56</sup>	1.3 to 1.8-fold lower <sup>50, 57, 58</sup> 4.2-fold lower <sup>59</sup> than against the parental BA.1 sublineage; no neutralization <sup>60</sup> <sub>48</sub>	1.8-fold lower than against BA.2 <sup>23, 51, 61, 62</sup> > 5-fold lower compared to wild-type <sup>56</sup> <sub>48</sub>	2.6-3.2-fold lower than against BA.1 <sup>54, 55, 61, 63</sup> 4.5-fold lower than against BA.2 <sup>55</sup> > 5-fold lower compared to wild-type <sup>56</sup> <sub>48</sub>
BA.2 CCP	no data	no data	no data	poor <sup>55</sup> <sub>63</sub>
BA.2 VaxCCP	1.2-fold lower compared to wild-type <sup>56</sup>	1.5-fold lower compared to wild-type <sup>56</sup>	2.5-fold lower compared to wild-type <sup>56</sup>	2.5-fold lower compared to wild-type <sup>56</sup>
BA.2.12.1 CCP	no data	no data	no data	no data
BA.2.12.1 VaxCCP	no data	no data	no data	no data
BA.4/5 CCP	557 (2-FR) <sup>24</sup>	884 (1-FR) <sup>24</sup>	no data	1,047 <sup>24</sup>
BA.4/5 VaxCCP	2,785 (2-FR) <sup>24</sup>	4244 (1-FR) <sup>24</sup>	no data	3,779 <sup>24</sup>

612

613

614 **Supplementary table 1**

615 Synopsis of *in vitro* studies investigating the efficacy of pre-Alpha CCP against Omicron

616

reference	Time since infection	(pre-Alpha CCP) WA-1 GMT <sub>50</sub>	(pre-Alpha CCP) fold drop vs. BA.1	(pre-Alpha CCP) BA.1 GMT <sub>50</sub>	(pre-Alpha CCP) number in study	(pre-Alpha CCP) BA.1 neutralizing number	(pre-Alpha CCP) BA.1 neutralizing percent
Zeng <sup>64</sup>		4980	177	28	18	3	17
Liu <sup>65</sup>		4344	32	136	10	2	20
Schmidt <sup>66</sup>	1.2 mo	2616	38	69	20	19	95
Schmidt <sup>66</sup>	12 mo	2037	15	136	20	17	85
Schmidt <sup>66</sup>	6 mo	1678	49	34	20	13	65
Arien <sup>67</sup>		1086	22	49	10	1	10
Lusvarghi <sup>68</sup>		715	29	25	16	2	13
Hoffman <sup>69</sup>		614	80	8	17	8	47
Zou <sup>70</sup>		601	16	38	64	41	64
Planas <sup>14</sup>	6 mo	569	20	28	16	6	38
Planas <sup>14</sup>	12 mo	580	20	29	23	8	35
Zhang <sup>71</sup>		556	8	70	28	28	100
Gruell <sup>72</sup>	1.5 mo	494	82	6	30	3	10
Gruell <sup>72</sup>	12 mo	93	12	8	30	9	30
Dejnirattisai <sup>73</sup>		475	17	28	32	32	100
Sheward <sup>74</sup>		300	6	50	34	25	74
Tada <sup>75</sup>		233	26	9	10	4	40
Aggerwal <sup>76</sup>		210	21	10	20	0	0
Zhao <sup>77</sup>		193	17	11	16	1	6
Bowen <sup>78</sup>		162	16	10	28	13	46
Zou <sup>70</sup>		142	5	28	36	30	83
Carreno <sup>79</sup>		100	11	9	15	4	27
Syed <sup>80</sup>		80	4	20	8	6	75
Bekliz <sup>15</sup>		37	45	1	34	5	15
Haveri <sup>81</sup>		32	32	1	13	0	0
Li <sup>82</sup>		28	14	2	71	5	7
Kurahashi <sup>83</sup>		19	13	2	40	15	38
GM (GMT <sub>50</sub> )		326	21	15			44
total					679	300	

617

618 **Supplementary table 2**

619 Synopsis of *in vitro* studies investigating the efficacy of Alpha CCP against Omicron

reference	Time since infection	(Alpha CCP) WA-1 GMT <sub>50</sub>	(Alpha CCP) fold reduction vs. BA.1	(Alpha CCP) BA.1 GMT <sub>50</sub>	(Alpha CCP) number	(Alpha CCP) BA.1 neutralizing number	(Alpha CCP) BA.1 neutralizing percent
Lusvarghi <sup>68</sup>		4978	166	30	4	1	25
Dejnirattisa <sup>73</sup>		1313	34	39	18	18	100
Rosler <sup>16</sup>		260	64	4	10	0	0
Haveri <sup>81</sup>		64	32	2	20	0	0
Bekliz <sup>15</sup>		45	56	1	12	2	17
Li <sup>82</sup>		28	14	2	37	17	46
GM (GMT <sub>50</sub> )		525	65	8			38
total					101	38	

620

621



622 **Supplementary table 3**

623 Synopsis of *in vitro* studies investigating the efficacy of Beta CCP against Omicron.

reference	Time since infection	(beta CCP) WA-1 GMT <sub>50</sub>	(beta CCP) fold reduction vs. BA.1	(beta CCP) BA.1 GMT <sub>50</sub>	(beta CCP) number	(beta CCP) BA.1 neutralizing number	(beta CCP) BA.1 neutralizing percent
Lusvarghi <sup>68</sup>		439	2	220	2	2	100
Dejnirattisai <sup>73</sup>		327	12	28	14	14	100
Rosler <sup>16</sup>		128	32	4	8	1	13
Bekliz <sup>15</sup>		21	23	1	8	2	25
Haveri <sup>81</sup>		17	8	2	5	0	0
GM (GMT <sub>50</sub> )		140	11	13			51
Total					37	19	

624

625 **Supplementary table 4**

626 Synopsis of *in vitro* studies investigating the efficacy of Delta CCP against Omicron.

reference	Time since infection	(Delta CCP) WA-1 GMT <sub>50</sub>	(Delta CCP) fold drop vs. BA.1	(Delta CCP) BA.1 GMT <sub>50</sub>	(Delta CCP) number	(Delta CCP) BA.1 neutralizing number	(Delta CCP) BA.1 neutralizing percent
Zeng <sup>64</sup>		11200	3	3733	19	10	53
Lechmere <sup>84</sup>		4751	28	170	14	12	86
Lusvarghi <sup>68</sup>		1211	66	18	15	12	80
Aggerwal <sup>76</sup>		770	21	37	10	9	90
Rosler <sup>16</sup>		192	25	8	7	1	14
Bekliz <sup>15</sup>		72	24	3	10	6	60
Dejnirattisai <sup>73</sup>		47	2	27	19	19	100
GM (GMT <sub>50</sub> )		167	17	10			73
Total					94	69	

627

628 **Supplementary table 5**

629 Synopsis of *in vitro* studies investigating the efficacy of plasma from uninfected recipients of 2 BNT162b2  
 630 doses against Omicron.

reference	Time since second BNT162b2 dose	(2 dose BNT162b2 plasma) WA-1 GMT <sub>50</sub>	(2 dose BNT162b2 plasma) fold reduction vs. BA.1	(2 dose BNT162b2 plasma) BA.1 GMT <sub>50</sub>	(2 dose BNT162b2 plasma) number	(2 dose BNT162b2 plasma) BA.1 neutralizing number	(2 dose BNT162b2 plasma) BA.1 neutralizing percent
Schmidt <sup>66</sup>	1 mo	7627	83	92	18	15	83
Liu <sup>65</sup>		4669	21	222	13	6	46
Zeng <sup>64</sup>		2769	23	120	48	13	27
Schmidt <sup>66</sup>	5 mo	2435	19	128	18	15	83
Dejnirattisai <sup>73</sup>		1993	105	19	20	20	100
Chatterjee <sup>40</sup>		1544	2	935	25	25	100
Syed <sup>80</sup>		1280	16	80	21	14	67
Tada <sup>75</sup>		859	34	25	9	7	78
Bowen <sup>78</sup>		764	27	28	10	9	90
Chatterjee <sup>40</sup>		641	6	105	19	10	53
Hoffman <sup>69</sup>	3 mo	604	60	10	11	1	9
Lusvarghi <sup>68</sup>		562	26	22	39	3	8
Gruell <sup>72</sup>	1 mo	546	68	8	30	10	33
Rosler <sup>16</sup>	1 mo	512	32	16	20	9	45
Edara <sup>85</sup>	1 mo	384	19	20	13	2	15
Muik <sup>17</sup>		368	61	6	25	8	32
Cele <sup>86</sup>		359	19	19	8	7	88
Bekliz <sup>15</sup>		338	86	4	16	11	69
Planas <sup>14</sup>	5 mo	329	11	30	16	1	6
Carreno <sup>79</sup>		300	23	13	10	7	70
Gruell <sup>72</sup>	5 mo	139	15	9	30	11	37
Wilheim <sup>87</sup>		6	11	1	15	0	0
GM (GMT <sub>50</sub> )		639	25	26			47
Total					1319	35	

631

632 **Supplementary table 6**

633 Synopsis of *in vitro* studies investigating the efficacy of plasma from uninfected recipients of 2 mRNA-  
 634 1273 doses against Omicron.

reference	time since second RNA-1273 dose	(2 dose mRNA-1273 plasma) WA-1 GMT <sub>50</sub>	(2 dose mRNA-1273 plasma) fold drop vs. BA.1	(2 dose mRNA-1273 plasma) BA.1 GMT <sub>50</sub>	(2 dose mRNA-1273 plasma) number	(2 dose mRNA-1273 plasma) BA.1 neutralizing number	(2 dose mRNA-1273 plasma) BA.1 neutralizing percent
Doria-Rose <sup>88</sup>		3016	48	63	30	22	73
Syed <sup>80</sup>		2560	8	320	10	8	80
Doria-Rose <sup>88</sup>		2269	84	27	30	22	73
Bowen <sup>78</sup>		1155	32	36	11	9	82
Tada <sup>75</sup>		999	26	38	8	5	63
Edara <sup>85</sup>	1 mo	745	50	15	11	4	36
Carreno <sup>79</sup>		400	43	9	10	10	100
Rosler <sup>16</sup>	5 mo	320	40	8	10	1	10
Wilheim <sup>87</sup>		10	20	1	14	0	0
GM (GMT <sub>50</sub> )		644	31	21			60
Total					134	81	

635

636 **Supplementary table 7**

637 Synopsis of *in vitro* studies investigating the efficacy of plasma from infected and vaccinated (2 BNT162b2  
638 doses) subjects (VaxCCP) against Omicron.

reference	month since last event (either infection or vaccination)	(post-COVID-19/full vacc plasma) WA-1 GMT <sub>50</sub>	(post-COVID-19/full vacc plasma) fold drop vs. BA.1	(post-COVID-19/full vacc plasma) BA.1 GMT <sub>50</sub>	(post-COVID-19/full vacc plasma) number	(post-COVID-19/full vacc plasma) BA.1 neutralizing number	(post-COVID-19/full vacc plasma) BA.1 neutralizing percent
Schmidt <sup>66</sup>		388872	48	8102	17	17	100
Planas <sup>14</sup>		78162	53	1475	22	22	100
Tada <sup>75</sup>		14868	16	929	7	7	100
Cele <sup>86</sup>		13333	25	533	13	13	100
Kawoaka <sup>89</sup>		10863	16	665	5	5	100
Kawoaka <sup>89</sup>		10002	7	1369	13	13	100
Lechmere <sup>84</sup>		8843	5	1769	15	15	100
Gruell <sup>72</sup>		7997	5	1599	30	30	100
Arien <sup>67</sup>		4822	20	241	10	10	100
Carreno <sup>79</sup>		3000	14	214	10	10	100
Dejnirattisai <sup>73</sup>		1899	9	215	17	17	100
Li <sup>82</sup>		1598	20	80	20	20	100
Bekliz <sup>15</sup>		1190	18	66	6	6	100
Haveri <sup>81</sup>		1024	32	32	33	33	100
Rosler <sup>16</sup>		1000	4	250	5	5	100
Edara <sup>85</sup>		625	20	31	24	15	63
Kurahashi <sup>83</sup>	12 mo	369	7	51	19	19	100
Wilheim <sup>87</sup>		200	32	6	20	5	25
Kurahashi <sup>83</sup>	1 mo	22	14	2	19	7	37
GM (GMT <sub>50</sub> )		3124	15	210			88
total					305	269	

639

640 **Supplementary table 8**

641 Synopsis of *in vitro* studies investigating the efficacy of plasma from uninfected subjects vaccinated with  
 642 3 BNT162b2 doses against Omicron.

reference	Time since third BNT162b2 vaccine dose	(3 dose BNT162b2 plasma) WA-1 GMT <sub>50</sub>	(3 dose BNT162b2 plasma) fold drop vs. BA.1	(3 dose BNT162b2 plasma) BA.1 GMT <sub>50</sub>	(3 dose BNT162b2 plasma) number	(3 dose BNT162b2 plasma) BA.1 neutralizing number	(3 dose BNT162b2 plasma) BA.1 neutralizing percent
Schmidt <sup>66</sup>	1 mo	65617	17	3860	18	18	100
Planas <sup>14</sup>		12739	18	708	20	20	100
Zeng <sup>64</sup>		10412	3	3155	23	20	87
Dejnirattisai <sup>73</sup>		9219	14	649	20	20	100
Gruell <sup>72</sup>	1 mo	6241	5	1248	30	30	100
Lusvarghi <sup>68</sup>		5029	7	718	39	39	100
Tada <sup>75</sup>		4892	14	349	12	12	100
Liu <sup>65</sup>		4673	7	668	15	15	100
Kawoaka <sup>89</sup>		2866	6	485	10	10	100
Arien <sup>67</sup>		2157	13	166	10	10	100
Hoffman <sup>69</sup>	1 mo	2006	7	287	10	9	90
Edara <sup>85</sup>		1247	14	89	35	31	89
Carreno <sup>79</sup>		1000	8	125	10	10	100
Syed <sup>80</sup>		960	4	240	8	8	100
Muik <sup>17</sup>		673	6	112	28	27	96
Haveri <sup>81</sup>		290	12	24	7	7	100
Wilheim <sup>87</sup>	0.5 mo	150	37	4	12	7	58
GM (GMT <sub>50</sub> )		2723	9	291			95
total					307	293	

643

644

645 **Supplementary table 9**

646 Synopsis of *in vitro* studies investigating the efficacy of plasma from uninfected subjects vaccinated with  
 647 3 doses of mRNA-1273, AZD-1222 or Ad26.COVID against BA.1. Because of diversity of vaccines the  
 648 geomeans and sums were not computed.

649

reference	vaccine type	WA-1 GMT <sub>50</sub>	fold drop vs. BA.1	BA.1 GMT <sub>50</sub>	number	BA.1 neutralizing number	BA.1 neutralizing percent
Careno <sup>79</sup>	COVID19 + mRNA-1273	3000	11	272	10	10	100
Edara <sup>85</sup>	COVID19 + mRNA-1273 6 mo	931	25	38	13	9	69
Careno <sup>79</sup>	3 dose mRNA-1273	1000	17	60	10	10	100
Doria-Rose <sup>88</sup>	3 dose mRNA-1273	8457	4	2002	30	30	100
Doria-Rose <sup>88</sup>	3 dose mRNA-1273	4216	6	650	30	30	100
Edara <sup>85</sup>	3 dose mRNA-1273	1395	15	96	17	16	94
Dejnirattisai <sup>90</sup>	AZD1222	390	19	21	41	41	100
Rosler <sup>16</sup>	AZD1222	250	25	10.0	20	0	0
Planas <sup>14</sup>	AZD1222 5 mo	187	18	10	18	2	10
Syed <sup>80</sup>	Ad26.COVID	28	1	20.0	9	2	22
Schmidt <sup>66</sup>	Ad26.COVID 1 mo	588	24	25	19	2	11
Schmidt <sup>66</sup>	Ad26.COVID 6 mo	982	23	43	19	11	58

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