

# Variants at the APOE/C1/C2/C4 Locus Modulate Cholesterol Efflux Capacity Independently of High-Density Lipoprotein Cholesterol

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**Background**—Macrophage cholesterol efflux to high-density lipoproteins (HDLs) is the first step of reverse cholesterol transport. The cholesterol efflux capacity (CEC) of HDL particles is a protective risk factor for coronary artery disease independent of HDL cholesterol levels. Using a genome-wide association study approach, we aimed to identify pathways that regulate CEC in humans.

*Methods and Results*—We measured CEC in 5293 French Canadians. We tested the genetic association between 4 CEC measures and genotypes at >9 million common autosomal DNA sequence variants. These analyses yielded 10 genome-wide significant signals ( $P < 6.25 \times 10^{-9}$ ) representing 7 loci. Five of these loci harbor genes with important roles in lipid biology (*CETP, LIPC, LPL, APOA1/C3/A4/A5,* and *APOE/C1/C2/C4*). Except for the *APOE/C1/C2/C4* variant (*rs141622900, P<sub>nonadjusted</sub>=1.0×10<sup>-11</sup>; P<sub>adjusted</sub>=8.8×10<sup>-9</sup>*), the association signals disappear when correcting for HDL cholesterol and triglyceride levels. The additional 2 significant signals were near the *PPP1CB/PLB1* and *RBFOX3/ENPP7* genes. In secondary analyses, we considered candidate functional variants for 58 genes implicated in HDL biology, as well as 239 variants associated with blood lipid levels and/or coronary artery disease risk by genome-wide association study. These analyses identified 27 significant CEC associations, implicating 5 additional loci (*GCKR, LIPG, PLTP, PPARA*, and *TRIB1*).

*Conclusions*—Our genome-wide association study identified common genetic variation at the *APOE/C1/C2/C4* locus as a major determinant of CEC that acts largely independently of HDL cholesterol. We predict that HDL-based therapies aiming at increasing CEC will be modulated by changes in the expression of apolipoproteins in this gene cluster. (*J Am Heart Assoc.* 2018;7: e009545. DOI: 10.1161/JAHA.118.009545.)

Key Words: HDL efflux • genome-wide association study • high-density lipoprotein cholesterol

A therosclerosis development requires initial entry of cholesterol in the arterial wall, which then accumulates in macrophages. Intensive reduction of low-density lipoprotein

cholesterol results in regression of atherosclerosis and reduction in related clinical cardiovascular events, without preventing completely such cardiovascular complications. This suggests that other factors, like vascular inflammation and high-density lipoproteins (HDLs), may be involved. Reverse cholesterol transport is the process whereby cholesterol is taken from peripheral tissues, like macrophages in the arterial wall, and brought back to the liver for excretion by a direct pathway involving HDL particles and an indirect pathway involving low-density lipoprotein particles in humans. Because mendelian randomization has not been able to establish a strong causal link between HDL cholesterol (HDL-C) levels and cardiovascular events,<sup>1</sup> HDL functionality, rather than HDL-C, is regarded as a biomarker of cardiovascular risk. Cholesterol efflux capacity (CEC) to HDL particles is the first step of reverse cholesterol transport, and that capacity of plasma HDL represents a key feature of their functionality. Cholesterol efflux from macrophages occurs mainly toward HDL particles through multiple pathways, including passive diffusion, as well as facilitated ABCG1- and SR-BI-dependent transport and active ABCA1-dependent transport.<sup>2</sup> CEC of

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Accompanying Data S1, Tables S1 through S7 and Figure S1 are available at https://www.ahajournals.org/doi/suppl/10.1161/JAHA.118.009545

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#### **Clinical Perspective**

#### What Is New?

 A comprehensive genome-wide association study performed in >5200 French Canadians identified a genetic association between the apolipoprotein E locus and cholesterol efflux capacity, an independent predictor of coronary artery disease.

#### What Are the Clinical Implications?

• Apolipoprotein E or other apolipoproteins may, thus, represent quantifiable biomarkers of cholesterol efflux capacity in the context of coronary artery disease event prediction or explain the outcomes of high-density lipoprotein–based therapies.

HDL represents the fraction of radiolabeled cholesterol exiting macrophages typically after 4 hours of incubation, and higher CEC values have been shown to be associated with reductions of both prevalent and incident cardiovascular disease,<sup>3–5</sup> although conflicting reports have been published.<sup>6</sup>

Identification of the genetic determinants of CEC may provide insights into its underlying mechanisms and open potential therapeutic avenues. Using a family-based study design, the heritability of CEC was estimated to be 13% to 31%.<sup>7</sup> A previous association study of candidate genes involved in HDL biogenesis and remodeling, performed in 846 individuals, has suggested that genetic determinants of CEC are different in men and women, and independent of HDL-C levels.<sup>8</sup> In the GRAPHIC cohort (N=850 individuals with genotypes and CEC measures available), 7 of the 55 tested HDL-C-associated variants were nominally associated with CEC (including the *LIPC* and *CETP* loci).<sup>7</sup>

Our aim was to identify common genetic determinants of CEC in a genome-wide association study (GWAS) of >5000 participants from the Montreal Heart Institute (MHI) Biobank. Because the process of cholesterol efflux is ubiquitous in peripheral cells, CEC can be measured from multiple cell types (reviewed by Talbot et al<sup>9</sup>). Because the transporters involved vary between cell types, we conducted our study with 2 different models representing 4 different conditions. Specifically, CEC was measured from J774 mouse macrophages in which cholesterol efflux is dependent mostly on SR-B1 and passive diffusion as well as mouse ABCA1 after its overexpression on overnight treatment with cAMP<sup>10</sup>; and baby hamster kidney (BHK) cells that overexpress human ABCA1 after overnight treatment with mifepristone.<sup>11</sup> The ABCA1 transporter is especially important because it allows unidirectional flux of cholesterol to lipid-free apolipoprotein A-I and small HDL particles,<sup>12</sup> and was tested with mifepristone-stimulated BHK and cAMP-stimulated J774 cells.

# Methods

The data and study materials will not be made available to other researchers for purposes of reproducing the results because of ethical considerations. All analytical methods are readily available.

# Participants

All participants were selected from the MHI Biobank and had 4 French-Canadian grandparents,<sup>13,14</sup> Demographics and clinical variables for the selected participants are available in Table S1. The participants provided written informed consent, and the project was approved by the MHI ethics committee.

#### **Blood Lipid and Biomarker Measures**

Plasma samples were obtained from venous blood collected on potassium-EDTA coated tubes (BD Vacutainers) and centrifuged according to the manufacturer's protocol. The samples were immediately aliquoted on ice and frozen at -80°C until analysis. All biomarker measurements were made without knowledge of genotypes. Lipid concentrations in serum were assessed by enzymatic/colorimetric assay using the Dimension Vista laboratory system (Siemens). Apolipoprotein A-I and apolipoprotein B concentrations were obtained by nephelometry on a BN ProSpec nephelometer (Siemens). The plasma concentrations of lecithin/cholesterol acyltransferase and myeloperoxidase were measured by ELISAs from Alpco Diagnostics. Nuclear magnetic resonance profiling of HDL subclasses was performed by Liposcience (Raleigh, NC).

# **CEC Assays**

Plasma samples were thawed at 4°C immediately before the cholesterol efflux assay to avoid lipoprotein remodeling. CEC of apolipoprotein B–depleted (HDL-enriched) plasma was measured essentially as described by de la Llera-Moya et al.<sup>10</sup> Immediately after thawing and gentle mixing of plasma, apolipoprotein B–containing lipoproteins were precipitated by the addition of 0.4 volume of PEG 6000 20% in 200 mmol/L glycine at pH 7.4, thorough mixing by 5-s vortexing, and incubation on ice for 20 minutes.<sup>15</sup> Apolipoprotein B–containing lipoprotein at 10,000*g* for 30 minutes at 4°C. An aliquot of supernatant (apolipoprotein B–depleted plasma) was obtained and transferred in a cooled

microtube. A nonsaturating dose of apolipoprotein B-depleted plasma (2.8% vol/vol, corresponding to 2% plasma) was used in cholesterol efflux assays on the basis of maximal cholesterol efflux and cholesterol efflux constant. CEC of HDL particles was measured in vitro with J774 macrophages in basal (non-cAMPstimulated) and stimulated (with cAMP) conditions. J774 cell cholesterol was labeled in presence of 2 µCi/mL [1,2]-<sup>3</sup>Hcholesterol (Perkin Elmer), 1% fetal bovine serum, and 2 µg/mL S-58035 (acetyl-Coenzyme A acetyltransferase [ACAT] inhibitor) for 24 hours. After equilibration of <sup>3</sup>H-cholesterol during 18 hours in presence of 0.2% BSA and 2  $\mu$ g/mL ACAT inhibitor plus or minus 0.3 mmol/L 8-bromo-cAMP, cholesterol efflux was initiated by adding individual apolipoprotein B-depleted plasma diluted in DMEM in triplicate wells for 4 hours at 37°C. <sup>3</sup>H-cholesterol counts were measured by beta counting (Tricarb; Perkin-Elmer) in aliquots of cell-free culture medium and J774 cell homogenates (solubilized in 0.2 N NaOH) mixed with scintillation cocktail (Ecolite; MP Biomedicals). CEC was also measured with ABCA1-expressing BHK-ABCA1 cells (generated by John F. Oram,<sup>11</sup> a kind gift from Dr Chongren Tang, University of Washington) essentially as for J774 cells, with the following differences: ABCA1 expression was induced during the equilibration period with 20 nmol/L mifepristone, and apolipoprotein B-depleted plasma was diluted at 1.4% (vol/vol) to reflect the lower cholesterol efflux constant with BHK-ABCA1 cells. We also considered measuring CEC with BHK-ABCG1 cells. However, these cells showed a wide range of ABCG1 overexpression levels, and this cellular system was not further pursued experimentally. Percentage CEC was calculated as follows: (<sup>3</sup>H-cholesterol counts in incubation medium/ <sup>3</sup>H-cholesterol counts in medium plus in J774 cell homogenate)×100%. Recovery of <sup>3</sup>H-cholesterol counts after 4-hour efflux was >90% of <sup>3</sup>H-cholesterol counts before incubation of cells. Background cholesterol efflux (obtained in the absence of apolipoprotein B-depleted plasma) was subtracted from individual cholesterol efflux values. To correct for interassay variability, CEC was measured with the same pool of 40 healthy volunteers' serum in each assay, and individual percentage CEC values were divided (normalized) by percentage CEC of control serum in each assay, as described previously.<sup>3,5</sup> Control serum CEC values were required to be within the limits defined by the historical mean of CEC for each model, plus or minus 2 SDs, to allow batch qualification. Technical variability (intra-assay and interassay coefficient of variation based on control serum values in each batch) is presented in Table S2. The global coefficient of variation of all CEC models was reduced by >3% after normalization with control serum values.

#### **Genetic Data**

The genetic data were generated in 2 phases, which were planned at the beginning of the project. Initially, we performed

low-pass whole-genome DNA sequencing on 1896 participants. This whole-genome DNA sequencing MHI Biobank phase 1 data set, including quality control steps, has been extensively described elsewhere.<sup>16</sup> The mean coverage per individual was  $\approx 5.6 \times$ , and we identified a total of 29 million biallelic variants. We generated the MHI Biobank phase 2 data set by genotyping 3397 participants on the Illumina MEGA array. We applied quality control steps using PLINK 1.9<sup>17</sup> or VCFtools. We excluded variants and samples with high missing rate (>5%), as well as variants in violation of the Hardy-Weinberg equilibrium ( $P < 1 \times 10^{-6}$ ). We also excluded samples that appear as population outliers in principal component analyses or individuals with abnormal inbreeding values. Finally, we used identity-by-descent analyses within and between the 2 genetic data sets to identify technical duplicates and pairs of related individuals. We found no pairs of closely related individuals (third degree or less) in the data sets because we had previously used another genotyping array (Illumina ExomeChip) to label related individuals in the MHI Biobank. We imputed autosomal variants on this quality-

from the Haplotype Reference Consortium<sup>18</sup> and the Michigan Imputation Server (https://imputationserver.sph.umich.edu).

controlled genome-wide genotyping data set using haplotype

#### **Statistical Analyses**

For genetic association testing, we only considered biallelic autosomal variants with a minor allele frequency  $\geq 1\%$  and an imputation quality score (rsq\_hat) >0.3. We applied inverse normal transformation to the CEC phenotypes. We tested the association between normalized phenotypes and genotypes (additive coding) using a linear regression framework as implemented in Efficient and Parallelizable Association Container Toolbox (EPACTS) (https://genome.sph.umich.ed u/wiki/EPACTS). We analyzed each genetic data set (MHI Biobank phase 1 and 2) separately, and subsequently combined results using an inverse variance meta-analysis method.<sup>19</sup> We tested 2 statistical models to find association between CEC and genotypes: In model 1, we corrected for sex, age squared, coronary artery disease (CAD) status, technical batches, statin treatment, and the first 10 principal components. In model 2, we corrected for the same covariates as in model 1, but also for HDL-C and triglyceride levels. Because the inflation factors ( $\lambda_{GC}$ ) were all near unity, we did not adjust results with the kinship matrix nor did we apply genomic control correction. We used Bonferroni correction to define the significance threshold of our experiment: assuming  $\approx$ 1 million independent variants, 4 CEC phenotypes, and 2 statistical models, we set  $\alpha = 6.25 \times 10^{-9}$ .

To assess possible residual confounding in the association between the APOE/C1/C2/C4 variant and CEC when controlling for HDL-C and triglycerides that would be

attributable to nonlinear relationships between HDL-C and CEC and between triglycerides and CEC, we used nonparametric smoothing techniques that support a linear relationship for both parameters. To assess remaining nonlinearity, we tested models with restricted cubic spline transformation for HDL-C and triglycerides. Results show that the spline transformation did not affect the significance of the *APOE/ C1/C2/C4* association. To assess whether there may be residual confounding attributable to effect modifiers, we tested models including interaction terms between HDL-C and other covariates, and between triglycerides and other covariates. Again, results show that the addition of the interaction terms did not affect the significance of the *APOE/C1/C2/C4* association (Data S1).

#### Analyses of Candidate Variants and Genes

On the basis of a review of the literature, we selected the following genes as candidate regulators of CEC: ABCA1, ABCG1, ANGPTL3, CYP27A1, CETP, GALNT2, LCAT, LIPC, LIPG, LPL, NR1H2, NR1H3, and SCARB1. We also retrieved genes encoding for proteins found in HDL particles by proteomic analyses<sup>20</sup>; the list of these genes is presented in Table S3. For these 58 genes (literature review and/or proteomic analyses), we annotated and prioritized for secondary analyses missense, nonsense, and canonical splice site variants. We also retrieved all significant expression quantitative trait loci (eQTL) for these 58 genes from the GTEx database. In total, this corresponds to 3933 variants, including 2213 variants that map to the major histocompatibility complex region and are correlated eQTLs for the genes C4A, C4B, and/or APOM. We used the SNPclip tool in the LDlink program<sup>21</sup> to identify independent variants (defined using linkage disequilibrium [LD]  $r^2 < 0.5$  in individuals of North European ancestry): this analysis yielded 251 independent variants. Because not all variants could be retrieved by LDlink, we selected  $\alpha = 1 \times 10^{-4}$  to declare significance in this secondary analysis (an effective Bonferroni correction for 500 tests). We also looked up CEC association results for variants associated with blood lipid levels (n=160 single-nucleotide polymorphisms [SNPs]) or CAD risk (n=86 SNPs) by GWAS,<sup>22-26</sup> using a statistical threshold of  $\alpha$ =2.1×10<sup>-4</sup> (Bonferroni correction for 239 different variants). For these secondary analyses of candidate variants, we did not correct for the number of CEC phenotypes and statistical models to assess statistical significance.

#### **Results**

#### **Correlation Analyses With CEC**

To characterize interindividual variation in CEC between individuals, we measured HDL-mediated cholesterol efflux

using 2 different cellular models to generate 4 different CEC phenotypes in 996 CAD-free participants and 1000 patients with myocardial infarction from the MHI Biobank (MHI Biobank phase 1 in Table S1). To complement this analysis, we also measured in the same participants additional blood lipid phenotypes and HDL-associated proteins using standard biochemistry assays and nuclear magnetic resonance techniques (Methods). Results were largely concordant between healthy individuals and patients with myocardial infarction (Figure 1). As expected, we observed an inverse correlation between HDL-C and triglyceride levels in both controls and myocardial infarction cases (Spearman's  $\rho$ =-0.52,  $P < 2.2 \times 10^{-16}$ ). HDL-C levels were also strongly correlated with the number or size of HDL particles and apolipoprotein A-I concentration in cases and controls (Spearman's  $\rho_{all}$ >0.6,  $P_{all} \le 2.2 \times 10^{-16}$ ). As previously noted,<sup>4,7</sup> HDL-C levels were positively correlated with CEC using the J774 or BHK models, although the strength of the correlations varied from one phenotype to the other: the correlations were strong with the J774-basal model (Spearman's  $\rho_{control}=0.63$ ,  $\rho_{case}=0.66$ ,  $P_{\text{both}} < 2.2 \times 10^{-16}$ ), modest with the J774-stimulated model (Spearman's  $\rho_{control}$ =0.38,  $\rho_{case}$ =0.37,  $P_{both}$ <2.2×10<sup>-16</sup>) and the BHK-stimulated model (Spearman's  $\rho_{control}$ =0.43,  $\rho_{\text{case}}$ =0.34,  $P_{\text{both}}$ <2.2×10<sup>-16</sup>), and weak or absent with the J774-ABCA1-dependent model (Spearman's  $\rho_{control}$ =-0.06,  $\rho_{case}$ =-0.07,  $P_{control}$ =0.07,  $P_{case}$ =0.03). Using unsupervised hierarchical clustering, we noted that the BHK-stimulated assay clustered with the J774-basal model in controls, but with the J774-stimulated and J774-ABCA1-dependent measures in cases (Figure 1). Finally, we confirmed that CECs were associated with reduced prevalent CAD events, thus replicating previous observations<sup>3</sup> and validating our data set (Table 1).

#### **GWAS for CEC**

To identify genetic variants associated with CEC, we performed GWAS in 1896 participants from the MHI Biobank phase 1 data set described above who also had their whole genome sequenced.<sup>16</sup> To increase our discovery power, we also measured CEC and other lipid phenotypes in an additional 863 CAD-free participants and 2534 patients with CAD (MHI Biobank phase 2 in Table S1). We tested association between genotypes and each of the 4 CEC phenotypes using 2 different statistical models (Methods). In the second model, we adjusted for HDL-C and triglyceride levels because of their correlations with the CEC measures (Figure 1). Because adjustment with heritable covariates (such as HDL-C and triglyceride levels) can lead to falsepositive associations in the GWAS context (ie, collider bias),<sup>27</sup> we always compare association results without and with HDL-C and triglyceride adjustment for all SNPs highlighted in this



**Figure 1.** Matrix of pairwise Spearman's correlation coefficients calculated for high-density lipoprotein (HDL) and other blood lipid–related phenotypes measured in (A) 996 coronary artery disease–free participants and (B) 1000 patients with myocardial infarction (MI) from the Montreal Heart Institute Biobank. For each pairwise comparison, we added to the plot the corresponding Spearman's correlation coefficient ( $\rho$ ) if the correlation test *P*<0.05. We applied unsupervised hierarchical clustering using Wald's method to graphically represent the results. Apo indicates apolipoprotein; BHK, baby hamster kidney; CEC, cholesterol efflux capacity; Chol., cholesterol; dep., dependent; LCAT, lecithin/ cholesterol acyltransferase; LDL, low-density lipoprotein; Med., medium; MPO, myeloperoxidase; Part., particle; stim., stimulated; TG, triglycerides.

study. We also report CEC results for the most significant variants stratified on CAD case-control status (Table S4), as well as their association results with HDL-C and triglyceride levels (Tables S5 and S6).

Association results without and with adjustment for HDL-C and triglyceride levels are summarized in Figures 2 and 3, respectively. In all 8 meta-analyses, we did not detect inflation of the test statistics, suggesting minimal, if any, confounding because of experimental or population stratification artifacts. Overall, we identified 10 association signals at 7 different loci that reached genome-wide significance (Table 2). Of these 7 loci, 5 include genes with well-known function in lipid and/or HDL biological features: *LPL*, *LIPC*, *CETP*, *APOA1/C3/A4/A5*, and *APOE/C1/C2/C4*. Not surprisingly, genetic associations at 4 of these 5 loci (*LPL*, *LIPC*, *CETP*, and *APOA1/C3/A4/A5*) are significant in model 1, but weaker under model 2 when we adjust for HDL-C and triglyceride levels (Table 2). For the *APOE/C1/C2/C4* variants, however, although the CEC association signals weaken with the adjustment in model 2, they remain nearly significant. For instance, the associations between *rs141622900* and CEC measures from the BHK-stimulated assay are  $P=1.03 \times 10^{-11}$  and  $P=8.81 \times 10^{-9}$  in

 Table 1. Association Between CEC and Prevalent CAD Case-Control Status in the MHI Biobank Phase 1 (943 Controls and 954 CAD Cases)

	Baseline Model			Adjusted for HD	L-C and Triglycerides	
CEC	OR	95% CI	P Value	OR	95% CI	P Value
J774 basal	0.62	0.56–0.69	<2.2×10 <sup>-16</sup>	0.63	0.54–0.72	4.1×10 <sup>-11</sup>
J774 stimulated	0.65	0.58–0.72	$<2.2\times10^{-16}$	0.73	0.65–0.83	$1.4 \times 10^{-6}$
J774 ABCA1 dependent	0.85	0.77–0.94	0.0012	0.94	0.84–1.05	0.29
BHK stimulated	0.90	0.81–1.00	0.039	1.23	1.08–1.40	0.0015

The ORs and 95% CIs are provided per SD of CEC. All models are adjusted for sex, age squared, and statin use. When indicated, we also adjusted for HDL-C and triglyceride levels. BHK indicates baby hamster kidney; CAD, coronary artery disease; CEC, cholesterol efflux capacity; CI, confidence interval; HDL-C, high-density lipoprotein cholesterol; MHI, Montreal Heart Institute; OR, odds ratio.



**Figure 2.** Genome-wide association results for 4 different cholesterol efflux capacity measures in 5293 participants from the Montreal Heart Institute Biobank. Quantile-quantile and Manhattan plots are in the left and right columns of the figure, respectively. Association tests are corrected for sex, age squared, coronary artery disease status, experimental batches, statin treatment, and the first 10 principal components. For each association signal that reaches genome-wide significance ( $P < 6.25 \times 10^{-9}$ ), we highlighted the closest relevant gene(s).BHK indicates baby hamster kidney; dep., dependent; GC, genomic control inflation factor; N, number of variants with minor allele frequency  $\geq 1\%$  tested.

models 1 and 2, respectively (Table 2). Similarly, for the J774stimulated and J774-ABCA1-dependent assays, the associations with the *APOE/C1/C2/C4* variants remain strong in model 2 (P=1.03×10<sup>-6</sup> and P=2.89×10<sup>-7</sup>, respectively).

Our CEC GWAS identified 2 loci not previously implicated in lipid or HDL biological features: an intergenic variant on

chromosome 2 associated with J774-basal CEC and variants in strong LD on chromosome 17 with J774-ABCA1-dependent CEC (Table 2). In both cases, the association signals are stronger in model 2, although there is evidence of association in model 1 as well. These improved association statistics could be attributable to collider biases: although the effect alleles at



**Figure 3.** Genome-wide association results for 4 different cholesterol efflux capacity measures in 5293 participants from the Montreal Heart Institute Biobank. Quantile-quantile and Manhattan plots are in the left and right columns of the figure, respectively. Association tests are corrected for sex, age squared, coronary artery disease status, experimental batches, statin treatment, high-density lipoprotein cholesterol and triglyceride levels, and the first 10 principal components. For each association signal that reaches genome-wide significance ( $P < 6.25 \times 10^{-9}$ ), we highlighted the closest relevant gene(s). BHK indicates baby hamster kidney; dep., dependent; GC, genomic control inflation factor; N, number of variants with minor allele frequency  $\geq 1\%$  tested.

both loci modulate CEC and HDL-C in the same direction (Table 1 and Table S4), the effect on triglyceride levels is in the opposite direction (Table S6). The index SNP on chromosome 2 (*rs75657792*) has a low minor allele frequency (2.3%), has suboptimal imputation quality in the MHI Biobank phase 2 data set (imputation rsq\_hat=0.63), and is the only variant at the

locus that reaches genome-wide significance (Figure 3). Therefore, this could represent a false-positive association. Bioinformatic annotation of the variant yielded little insights: rs75657792 has no strong LD proxies ( $r^2>0.8$ ), is not an eQTL in GTEx, and does not map to a regulatory sequence defined using histone tail epigenomic marks.<sup>28,29</sup> However, the

5293
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Phenotypes
CEC
With
Associations
Significant
Genome-Wide
Table 2.

Individuals

чР <i>:75657792</i> :77069344 52070895	Chromosome (Position) 2 (28 965 430) 8 (19 821 782) 15 (58 723 939)	CEC J774 basal	Allele A1/A2 C/G T/G					Triglycerides)			
75657792 77069344 \$2070895	2 (28 965 430) 8 (19 821 782) 15 (58 723 939)	J774 basal	<i>д G G</i>	Ę	β	SE	P Value	β	SE	P Value	Locus
77069344 \$2070895	8 (19 821 782) 15 (58 723 939)	· · · · ·	<u>П</u> G	0.977	-0.3361	0.0752	7.78×10 <sup>-6</sup>	-0.4467	0.0759	$3.95 \times 10^{-9*}$	PPP1CBPLB1
2070895	15 (58 723 939)	1		0.099	0.2008	0.0327	$7.96 \times 10^{-10*}$	0.0671	0.033	0.04178	7d7
			AIG	0.770	-0.1424	0.0232	8.49×10 <sup>-10</sup> *	-0.059	0.0234	0.01178	TIPC
:247616	16 (56 989 590)		ЛС	0.686	-0.1466	0.0211	$4.08 \times 10^{-12*}$	0.0275	0.0211	0.193	CETP
:247616	16 (56 989 590)	J774 stimulated	ЛС	0.686	-0.1308	0.0209	$4.23 \times 10^{-10*}$	0.0194	0.0211	0.3586	CETP
:445925	19 (45 415 640)		AIG	0.886	-0.1933	0.0306	$2.57 \times 10^{-10*}$	-0.1513	0.031	$1.03 \times 10^{-6}$	APOE/C1/C2/C4
:964184	11 (116 648 917)	J774 ABCA1 dependent	CIG	0.857	0.2019	0.0281	$6.78 \times 10^{-13*}$	0.0756	0.0287	0.008398	APOA1/C3/A4/A5
:4889908	17 (77 657 521)		ЛС	0.715	0.1047	0.0221	$2.22 \times 10^{-6}$	0.1341	0.0222	$1.57 \times 10^{-9*}$	RBFOX3 ENPP7
:445925	19 (45 415 640)		AIG	0.886	-0.2155	0.0303	$1.20 \times 10^{-12*}$	-0.1586	0.0309	$2.89 \times 10^{-7}$	APOE/C1/C2/C4
:141622900	19 (45 426 792)	BHK stimulated	AIG	0.942	-0.2833	0.0417	$1.03 \times 10^{-11*}$	-0.2406	0.0418	$8.81 \times 10^{-9}$	APOE/C1/C2/C4
statistical thresh hg19 of the hu	iold considers the number of man genome. Alleles are on	f variants ( $\approx$ 1 million independent) the positive strand. The EAF and	, the number of Cl the direction of th	EC measure are effect siz	s (n=4), and the ε (β) are for all	number of st ele A2. Model	atistical models (n=2 1 is adjusted for se	2) tested (α=6.2 <sup>t</sup> :x, age squared,	$5 \times 10^{-9}$ ). * in coronary arte	dicates significant / sry disease status, e	Values. Coordinates are furst providences, stati
204104 4889908 445925 141622900 statistical thresh hg 19 of the hu ment, and the fi	11 (110 040 317)           17 (77 657 521)           19 (45 415 640)           19 (45 426 792)           old considers the number of man genome. Alleles are on rst 10 principal components	1/14 ADUA1 UEDETUCTIL BHK stimulated 'variants (≈1 million independent) i the positive strand. The EAF and s. Model 2 includes the same covar	μα       μα <td>0.71( 0.71( 0.88( 0.942 0.942</td> <td>o Hľ</td> <td>0.1013           5         0.1047           5         0.1047           5         -0.2155           2         -0.2155           sures (n=4), and the sures (n=4), and the tristyco of HDL-C and trigtyco of HDL-</td> <td>v. curs         0.0201           5         0.1047         0.0221           3         -0.2155         0.0303           2         -0.2833         0.0417           sures (n=4), and the number of stitusice (si) are for allele A2. Model of HDL-C and trigivocride levels. E         0.0417</td> <td>v. 2013         v. 2013         v. 2010         v. 2010           5         0.1047         0.0221         <math>2.22 \times 10^{-6}</math>           3         -0.2155         0.0303         <math>1.20 \times 10^{-124}</math>           2         -0.2833         0.0417         <math>1.03 \times 10^{-114}</math>           5         -0.2833         0.0417         <math>1.03 \times 10^{-114}</math>           5         -0.2833         0.0417         <math>1.03 \times 10^{-114}</math></td> <td>v. 2013         v. 0201         v. 0201         v. 0203           5         0.1047         0.0221         2.22×10<sup>-6</sup>         0.1341           5         -0.2155         0.0303         1.20×10<sup>-12*</sup>         -0.1586           2         -0.2833         0.0417         1.03×10<sup>-11*</sup>         -0.2406           2         -0.2833         0.0417         1.03×10<sup>-11*</sup>         -0.2406           sures (n=4), and the number of statistical models (n=2) tested (<math>\infty</math>= 6.2! tester (<math>\infty</math>= 6.2! tester (<math>\infty</math>= 6.2! tester (<math>\infty</math>= 6.2! tester (<math>\infty</math>= 0.2406         -0.2406           aures (n=4), and the number of statistical models (n=2) tested (<math>\infty</math>= 6.2! tester (<math>\infty</math>= 0.2406         -0.2406</td> <td>v         v.v.cor         v.v.cor         v.v.cor         v.v.cor         v.v.cor         v.v.cor         v.v.cor           5         0.1047         0.0221         2.22×10<sup>-6</sup>         0.1341         0.0222           5         -0.2155         0.0303         1.20×10<sup>-12*</sup>         -0.1586         0.0309           2         -0.2833         0.0417         1.03×10<sup>-11*</sup>         -0.2406         0.0418           sures (n=4), and the number of statistical models (n=2) tested (a=6.25 × 10<sup>-9</sup>). * in tisze (β) are for allele A2. Model 1 is adjusted for sex, age squared, coronary arte of PDL-C and triptiventide levels. BHK indicates baby hamster kidney. CEC, cholestare</td> <td>r         u.u.cori         u</td>	0.71( 0.71( 0.88( 0.942 0.942	o Hľ	0.1013           5         0.1047           5         0.1047           5         -0.2155           2         -0.2155           sures (n=4), and the sures (n=4), and the tristyco of HDL-C and trigtyco of HDL-	v. curs         0.0201           5         0.1047         0.0221           3         -0.2155         0.0303           2         -0.2833         0.0417           sures (n=4), and the number of stitusice (si) are for allele A2. Model of HDL-C and trigivocride levels. E         0.0417	v. 2013         v. 2013         v. 2010         v. 2010           5         0.1047         0.0221 $2.22 \times 10^{-6}$ 3         -0.2155         0.0303 $1.20 \times 10^{-124}$ 2         -0.2833         0.0417 $1.03 \times 10^{-114}$ 5         -0.2833         0.0417 $1.03 \times 10^{-114}$ 5         -0.2833         0.0417 $1.03 \times 10^{-114}$	v. 2013         v. 0201         v. 0201         v. 0203           5         0.1047         0.0221         2.22×10 <sup>-6</sup> 0.1341           5         -0.2155         0.0303         1.20×10 <sup>-12*</sup> -0.1586           2         -0.2833         0.0417         1.03×10 <sup>-11*</sup> -0.2406           2         -0.2833         0.0417         1.03×10 <sup>-11*</sup> -0.2406           sures (n=4), and the number of statistical models (n=2) tested ( $\infty$ = 6.2! tester ( $\infty$ = 0.2406         -0.2406           aures (n=4), and the number of statistical models (n=2) tested ( $\infty$ = 6.2! tester ( $\infty$ = 0.2406         -0.2406	v         v.v.cor         v.v.cor         v.v.cor         v.v.cor         v.v.cor         v.v.cor         v.v.cor           5         0.1047         0.0221         2.22×10 <sup>-6</sup> 0.1341         0.0222           5         -0.2155         0.0303         1.20×10 <sup>-12*</sup> -0.1586         0.0309           2         -0.2833         0.0417         1.03×10 <sup>-11*</sup> -0.2406         0.0418           sures (n=4), and the number of statistical models (n=2) tested (a=6.25 × 10 <sup>-9</sup> ). * in tisze (β) are for allele A2. Model 1 is adjusted for sex, age squared, coronary arte of PDL-C and triptiventide levels. BHK indicates baby hamster kidney. CEC, cholestare	r         u.u.cori         u

single-nucleotide polymorphism.

HDL-C, high-density lipoprotein cholesterol; SNP,

chromosomal region surrounding rs75657792 does interact physically with PLB1, a gene that encodes a membraneassociated phospholipase and that is located 98 kb downstream, as assessed using Hi-C technology in the human liver (Figure S1).<sup>30</sup> The other signal on chromosome 17 is statistically more convincing: the index variant (rs4889908) is common (minor allele frequency=28.5%), its imputation quality is high (rsq\_hat=0.96), and many SNPs in high LD are genome-wide significant at the same locus (Figure 3). rs4889908 and its strong LD proxies are not eQTLs in GTEx and do not establish physical interactions with nonadjacent chromosomal regions.<sup>29,30</sup> However, rs35636768, which is in strong LD with the index rs4889908 variant ( $r^2$ =0.98 in French Canadians) maps to a DNAse I hypersensitive region in human CD14<sup>+</sup> monocytes.<sup>28</sup> Although interesting, these in silico annotations remain speculative. Ultimately, the confirmation of these 2 signals as CEC loci will require independent replication in additional samples.

#### Candidate CEC Genes and Variants

To improve statistical power to identify CEC loci, we prioritized 13 genes from literature searches and another 45 genes from proteomic analyses of HDL particles (Methods).<sup>20</sup> For these 58 genes, we retrieved from our meta-analyses, association results for coding variants (missense, nonsense, and essential splice site) as well as variants annotated as eQTLs in the GTEx database.<sup>29</sup> In total, we found 11 significant association signals from 6 different loci: missense variants for LIPG, APOA4, and APOE, a nonsense variant for LPL, and eQTLs for LIPC and PLTP (Table 3). All but one of these association signals were stronger in model 1; the exception was the eQTL variant rs6073966 for PLTP, which became significant only when adjusting for HDL-C and triglyceride levels (model 2 in Table 3). This could arise because of a collider bias (Table 3, Tables S5 and S6). The association signals at LPL, LIPG, and APOA4 were only significant in model 1. In contrast to what we observed for the variant associated most strongly with CEC at the LIPC locus (rs2070895 in Table 2), the LIPC eQTL variant (rs35128881) remained significant when adjusting for HDL-C and triglyceride levels (Table 3). The missense variant (rs7412) in APOE was significant in models 1 and 2.

Finally, we retrieved all variants previously associated by GWAS with blood lipid levels or CAD and looked up their results in our CEC meta-analyses. For the 4 CEC measures, we found 16 significant association signals divided among 9 loci (Table 4). Many of these loci are already described in the previous sections: *LPL*, *LIPC*, *CETP*, *APOA1/C3/A4/A5*, *APOE/C1/C2/C4*, and *PLTP*. This secondary analysis allowed us to highlight 3 additional loci: *GCKR-rs1260326* associated with J774-stimulated and J774-ABCA1–dependent CEC,

Analyses
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g Proteins
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Previously Implicated in CEC
Genes Previously Implicated in CEC
s in Genes Previously Implicated in CEC
Variants in Genes Previously Implicated in CEC
Candidate Variants in Genes Previously Implicated in CEC
3. Candidate Variants in Genes Previously Implicated in CEC

	Annotation	Nonsense LPL	GTEx_eQTL <i>LIPC</i>	Missense LIPG	Missense AP0A4	Missense APOE	Missense AP0A4	Missense APOE	Missense AP0A4	Missense LIPG	Missense APOE	GTEx_eQTL <i>PLTP</i>
C and	P Value	0.02412	4.123×10 <sup>-6</sup> *	0.0007405	0.04088	$6.084 \times 10^{-6*}$	0.03593	1.066×10 <sup>-5</sup> *	0.002438	0.004713	1.178×10 <sup>-7</sup> *	3.932×10 <sup>-5</sup> *
Isted for HDL-	SE	0.0335	0.0248	0.0851	0.0294	0.0357	0.0286	0.0357	0.0291	0.0842	0.0353	0.0248
Model 2 (Adju Triglycerides)	β	0.0755	-0.1143	0.2871	0.0601	-0.1616	0.06	-0.1571	0.0882	0.238	-0.1872	0.1019
	P Value	$2.184 \times 10^{-9*}$	$3.08 \times 10^{-6*}$	7.492×10 <sup>-5</sup> *	1.158×10 <sup>-5</sup> *	1.285×10 <sup>-9</sup> *	1.087×10 <sup>-6</sup> *	4.882×10 <sup>-11</sup> *	1.216×10 <sup>-7</sup> *	$9.903 \times 10^{-5*}$	1.745×10 <sup>-11*</sup>	0.002982
	SE	0.0332	0.0247	0.0846	0.0286	0.0353	0.0282	0.035	0.0285	0.0834	0.0351	0.0247
Model 1	β	0.1986	-0.1153	0.3349	0.1254	-0.214	0.1375	-0.2301	0.1509	0.3246	-0.236	0.0733
	EAF	0.095	0.791	0.014	0.862	0.917	0.862	0.917	0.862	0.014	0.917	0.803
	Allele A1/A2	90	116	ЯG	ЭЦ	ЭЦ	ЭЦ	ЭЦ	ЭЦ	ЯG	ЛС	ЭЦ
	CEC	J774 basal			J774 stimulated		J774 ABCA1 dependent		BHK stimulated		-	
	Chromosome (Position)	8 (19 819 724)	15 (58 692 148)	18 (47 109 955)	11 (116 692 334)	19 (45 412 079)	11 (116 692 334)	19 (45 412 079)	11 (116 692 334)	18 (47 109 955)	19 (45 412 079)	20 (44 570 192)
	SNP	15328	rs35128881	rs77960347	rs5104	IS7412	rs5104	<i>IS</i> 7412	IS5104	rs77960347	IS7412	rs6073966

For these analyses, the sample size is N=5293 and the statistical threshold is  $\alpha$ =1×10<sup>-4</sup> (Methods). \* indicates significant *P* values. Coordinates are for build hg19 of the human genome. Alleles are on the positive strand. The EAF and the direction of the effect size (β) are for allele A2. Model 1 is adjusted for sex, age squared, coronary artery disease status, experimental batches, statin treatment, and the first 10 principal components. Model 2 includes the same covariates as model 1, but also HDL-C and triglyceride levels. BHK indicates baby hamster kidney, CEC, cholesterol efflux capacity; EAF, effect allele frequency; eQTL, expression quantitative trait loci; HDL, high-density lipoprotein; HDL-C, HDL cholesterol; SNP, single-nucleotide polymorphism.

*PPARA-rs4253772* associated with J774-stimulated CEC, and *TRIB1-rs2954029* associated with J774-ABCA1–dependent CEC (Table 4). Except for *APOE/C1/C2/C4* and *PLTP*, association signals at all other loci were only significant in model 1 (Table 4). Focusing on SNPs associated with HDL-C levels by GWAS, Koekemoer et al previously reported 10 variants nominally associated with CEC in the GRAPHIC cohort.<sup>7</sup> In our data set, we confirmed the association between J774-stimulated CEC measures and variants at the *LIPC* and *CETP* loci in model 1, consistent with our genomewide significant results (Table S7). However, none of the 10 SNPs was associated with CEC in model 2 (Table S7). The *PLTP* locus, which is significantly associated with CEC in our data set (Tables 3 and 4), was not associated with this phenotype in the GRAPHIC cohort, nor with HDL-C levels.<sup>7</sup>

#### Discussion

In the largest human genetic study of CEC of HDL to date, we used different cell models and conditions in >5000 participants to identify its genetic determinants independently or not from HDL-C levels. In the genome-wide analysis, variants at the LPL, LIPC, CETP, APOA1/C3/A4/A5, and APOE/C1/ C2/C4 loci were associated with different measures of CEC, but the associations were lost when adjusting for HDL-C, except for APOE/C1/C2/C4. An intergenic variant on chromosome 2, located in a region that interacts physically with PLB1 located 98 kb downstream, and variants in strong LD on chromosome 17 were also associated with CEC, and their associations strengthened after adjustment for HDL-C, potentially suggesting collider biases. When turning to candidate genes previously implicated in CEC or encoding proteins found in HDL particles, candidate functional variants in LIPC, PLTP, and APOE passed the statistical threshold for association with CEC, despite adjustment for HDL-C levels. Finally, when all variants previously associated with blood lipid levels or CAD risk by GWAS were evaluated, the APOE/C1/ C2/C4 locus was again found to be significantly associated with CEC, whether or not association tests were adjusted for HDL-C or triglyceride levels.

Genetic polymorphisms at the *APOE/C1/C2/C4* cluster on chromosome 19 were associated with CEC by GWAS independently of the participants' plasma HDL-C levels (Table 2) or CAD status (Table S3). The models and conditions involved were BHK cells overexpressing human *ABCA1* and J774 cells stimulated by cAMP (expressing mouse *Abca1*). Because of extensive LD at the locus, which of *APOE*, *APOC1*, *APOC2*, *APOC4*, or even *TOMM40* causally influence CEC is difficult to determine, although *APOE* itself is a strong candidate. First, we identified an association between CEC and the *APOE* missense variant *rs7412* (Table 3). Second,

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apolipoprotein E is a major apolipoprotein that is present in chylomicrons, very-low-density lipoproteins, and HDL; interacts with several cell surface receptors; and has multiple effects on lipid metabolism. Apolipoprotein E in serum-free medium has been demonstrated to mediate ABCA1-dependent cholesterol efflux and assembly of HDL particles, particularly through its C-terminal lipid-binding domain.<sup>31</sup> Systemic overexpression of human APOE was also shown to increase ABCA1-mediated CEC from mice hepatocytes.<sup>32</sup> This is in contrast with a recent study with apolipoprotein E knockout mice apolipoprotein B-depleted serum samples, which exhibit a marked reduction of CEC from cAMPstimulated J774 macrophages.<sup>33</sup> Indeed, isolated HDLs from apolipoprotein E knockout mice exhibit increased CEC from cAMP-stimulated J774 and ABCA1-overexpressing BHK cells, but not with ABCG1-overexpressing cells.<sup>34</sup> This suggests that the observed decrease in serum HDL CEC from apolipoprotein E knockout mice is because of low levels of HDL particles and not loss of apolipoprotein E in HDL particles.<sup>33</sup> In fact, the apolipoprotein E content of isolated mouse HDLs is negatively correlated with ABCA1-dependent efflux from BHK cells.<sup>34</sup> Interestingly, HDL-associated apolipoprotein E independently predicted recurrent cardiovascular events in a large clinical trial.35

LIPC codes for hepatic lipase, which hydrolyzes triglycerides into diacylglycerols and free fatty acids, and in so doing converts intermediate-density lipoproteins into low-density lipoproteins. Hepatic lipase also converts lipid-rich large HDL<sub>2</sub> to smaller and denser HDL<sub>3</sub> particles by hydrolyzing triglycerides and phospholipids. It also increases the formation of pre- $\beta$ -HDL, which is an important acceptor particle for ABCA1-dependent cholesterol efflux. HDL particles from mice deficient in hepatic lipase increase macrophage cholesterol efflux, which is thought to be attributable to the enrichment in phospholipids of HDL.<sup>36</sup> Similarly, HDL particles from patients with hepatic lipase deficiency, a rare autosomal recessive disorder resulting in elevated plasma levels of HDL-C, increase SR-BI- and ABCA1-dependent cholesterol efflux.<sup>37</sup> Thus, the impact of hepatic lipase on macrophage CEC is not entirely clear. The candidate approach aspect of our study suggests that LIPC is associated with basal cholesterol efflux from J774 cells, but not with stimulated or ABCA1-dependent efflux. We also noted allelic heterogeneity at the LIPC locus. Indeed, the top LIPC variant (rs2070895, Table 2) is in weak LD with the LIPC eQTL (rs35128881, Table 3) and the LIPC GWAS sentinel variant (rs1532085, Table 4) in French Canadians ( $r^2 < 0.005$ ). In contrast, the eQTL and GWAS LIPC variants are in modest LD ( $r^2=0.39$ ). However, only the eQTL LIPC variant rs35128881 remains significantly associated with HDL efflux on adjustments for HDL-C levels (Table 3), potentially suggesting a more specific regulatory role for this variant on CEC.

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	omosomosida		dolla		Model 1			Model 2 (Ad Triglycerides	justed for H	IDL-C and	
SNP	(Position)	CEC	Allele A1/A2	EAF	β	SE	P Value	β	SE	P Value	Locus (Lipid Traits and CAD)
rs12678919	8 (19 844 222)	J774 basal	AIG	0.092	0.1975	0.0337	$4.447 \times 10^{-9*}$	0.073	0.034	0.03163	LPL (HDL-C, triglycerides, CAD)
rs1532085	15 (58 683 366)		AIG	0.386	-0.1176	0.0203	$7.026 \times 10^{-9*}$	-0.0494	0.0204	0.01562	LIPC (TC, HDL-C, triglycerides)
rs3764261	16 (56 993 324)		ALC	0.685	-0.145	0.0211	$6.565 \times 10^{-12*}$	0.0283	0.0211	0.1798	<i>CETP</i> (TC, HDL-C, LDL-C, trigly
rs1260326	2 (27 730 940)	J774	ШC	0.387	-0.0838	0.0217	0.0001079*	-0.0512	0.022	0.02011	GCKR (TC, triglycerides)

Table 4. CEC Association Results (N=5293) for Variants Previously Associated With Blood Lipid Levels or CAD Risk

BHK indicates baby hamster kidney, CAD, coronary artery disease; CEC, cholesterol efflux capacity, EAF, effect allele frequency; HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; SNP, single-nucleotide For these analyses, the statistical threshold is  $\alpha$ =2.1 × 10<sup>-4</sup> (Methods). \* indicates significant *P* values. Coordinates are for build hg19 of the human genome. Alleles are on the positive strand. The EAF and the direction of the effect size (β) are for allele A2. Model 1 is adjusted for sex, age squared, CAD status, experimental batches, statin treatment, and the first 10 principal components. Model 2 includes the same covariates as model 1, but also HDL-C and triglyceride levels. oolymorphism; TC, total cholesterol.

*APOE/C1/C2/C4* (TC, HDL-C, LDL-C, CAD)

 $1.066 \times 10^{-5*}$ 

0.0357 0.0291

-0.1571 0.0435

 $4.882 \times 10^{-11*}$ 

0.035

-0.2301

0.917 0.857

ПС С1G

 $3.793 \times 10^{-5*}$ 

0.0284

0.1169

0.1344

*APOA1/C3/A4/A5* (TC, HDL-C, LDL-C,

triglycerides, CAD)

*APOA1/C3/A4/A5* (TC, HDL-C, LDL-C,

0.008398

0.0287

0.0756

 $6.781 \times 10^{-13*}$ 

0.0281

0.2019

0.857

СG

917)

11 (116 648

rs964184

triglycerides, CAD)

GCKR (TC, triglycerides) TRIB1 (TC, HDL-C, LDL-C,

0.002046

0.0196

 $5621 \times 10^{-6*}$  $3.288 \times 10^{-5*}$  triglycerides, CAD)

*APOE/C1/C2/C4* (TC, HDL-C, LDL-C, CAD)

 $6.084 \times 10^{-6*}$ 

-0.1616 -0.0927 -0.0495 -0.0604

 $1.285 \times 10^{-9*}$ 

0.0353

-0.214

 $7.491 \times 10^{-5*}$ 

0.0307 0.0218 0.0193

-0.1214

-0.0989

0.387

J774 ABCA1 dependent

2 (27 730 940)

8 (126 490 972)

603)

22 (46 627

rs4253772 rs1260326 rs2954029

-0.0803

0.453

0.3389

0.0211

0.0201

 $4.932 \times 10^{-10*}$ 

0.0209

-0.1301

0.685 0.917 0.886

AIC 717 717 717 717 717 717

16 (56 993 324) 19 (45 412 079)

rs3764261

rs7412

PPARA (TC, LDL-C)

0.002815

0.031

0.0244

CETP (TC, HDL-C, LDL-C, triglycerides)

glycerides)

LDL-C,

*APOA1/C3/A4/A5* (TC, HDL-C,

0.04428

0.0295

0.0593

÷2

 $6.336 \times 10^{-1}$ 

0.0286

0.1143

0.857

СG

stimulated

917)

11 (116 648

rs964184

triglycerides, CAD)

APOE/C1/C2/C4 (TC, HDL-C, LDL-C, CAD)

1.178×10<sup>-7</sup>\* 0.0002143\*

-0.0886

0.005993

-0.0654

554 015)

(44

rs6065906

(45 412 079)

rs7412

-0.236

-0.1872

 $1.745 \times 10^{-11*}$ 

0.2302

0.0212 0.0353 0.0239

0.0254

 $5.381 \times 10^{-8*}$ 

0.0211 0.0351 0.0238

-0.1149

0.685 0.917 0.215

ALC TTC

324)

993

(56

16 19 20

rs3764261

stimulated

BHK

917)

11 (116 648

rs964184

412 079)

19 (45 4

rs7412

PL TP (HDL-C, triglycerides)

CETP (TC, HDL-C, LDL-C, triglycerides)

Our genetic study has 2 main limitations. First, and most important, we have not been able to identify replication cohorts for our findings. As discussed, many of our CEC top association signals are biologically plausible, yet in the absence of independent replication, they should be considered cautiously. CEC experiments, especially in the scale of our study, remain technically challenging, and few large cohorts (with genetic data available) have generated HDL efflux phenotypes. Furthermore, although previous studies have focused on the J774stimulated CEC assay, some of our most interesting discoveries are with other CEC measures, which are not readily available elsewhere. Second, although our GWAS represents the first and largest effort to identify genome-wide significant loci associated with CEC, our sample size remains modest (N=5293 participants) and we, therefore, have limited power to find weak effect variants. This is an important consideration given that most SNPs discovered by GWAS across any phenotypes have small phenotypic consequences. Our limited power also prevents us from using sophisticated statistical methods, such as LD score regression, to estimate CEC heritability or genetic correlations with other complex human diseases and traits.<sup>38,39</sup> Given our limited power, future replication studies of our CEC associations should consider inflation of the estimated effect sizes because of the winner's curse. As an indication, we applied the FDR Inverse Quantile Transformation (FIQT) method<sup>40</sup> to adjust the effect sizes for the CEC variants reported in Table 2 and estimate that a sample size of  $\approx$ 3000 participant is required to replicate our results.

In conclusion, variation at the *APOE* locus is one of the strongest genetic determinants that modulate CEC independently of HDL-C. Because apolipoproteins resulting from gene expression at the *APOE* locus are found in HDL particles, the content of one or more of these proteins in HDL particles may provide a readily quantifiable marker that can be useful to predict variations in CEC in patients and predict CAD risk, as well as explaining the outcomes of HDL-based therapies that aim at increasing HDL functionality.

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# SUPPLEMENTAL MATERIAL

# Data S1.

# Supplemental Methods.

In order to assess possible residual confounding in the association between the APOE/C1/C2/C4 variant and CEC when controlling for HDL-C and triglycerides that would be due to non-linear relationships between HDL-C and CEC and between triglycerides and CEC, we used non-parametric smoothing techniques which support a linear relationship for both parameters. In order to assess remaining non-linearity, we tested models with restricted cubic spline transformation for HDL-C and triglycerides. Results show that the spline transformation did not impact the significance of the APOE/C1/C2/C4 association. In order to assess whether there may be residual confounding due to effect modifiers, we tested models including interaction terms between HDL-C and other covariates, and between triglycerides and other covariates. Again, results show that the addition of the interaction terms did not impact the significance of the APOE/C1/C2/C4 association.

# 1. METHODS

Residual confounding is a bias that remains after controlling for a confounding factor in a model. For a continuous confounder, residual bias may occur if the controlled factor is measured with error, or entered in the statistical model with inappropriate coding, or when it is coded by a linear term while its real effect corresponds to a non-linear shape.

# 1.1. Methodology

In this manuscript, the CEC (J774 stimulated) was transformed to normality using inverse normal transformation. The association between CEC and APOE/C1/C2/C4 variant was tested in phase1 and phase2 separately and then combined using an inverse variance meta-analysis method.

The following two models were tested:

Model 1

CEC ~ SNP + Age-squared + Sex + CAD status + Statins + PC1-10 + batches **Model 2** CEC ~ SNP + Age-squared + Sex + CAD status + Statins + PC1-10 + batches + HDL-C + Log (TG)

where log (TG) is the natural log-transformed TG

To assess if there is any residual confounding in **Model 2**, we tested 4 other models. Three models including interaction between HDL and log (TG) and other covariates and one model including HDL and log (TG) splines:

#### Model 3

CEC ~ SNP + Age-squared + Sex + CAD status + Statins + PC1-10 + batches + HDL-C + Log (TG) + HDL \* log (TG)

# Model 4

CEC ~ SNP + Age-squared + Sex + CAD status + Statins + PC1-10 + batches + HDL-C + Log (TG) +HDL \* log (TG) + age2\*HDL + age2 \* log (TG) + sex \*HDL + sex \*log (TG) + CAD status \*HDL +CAD status \*log (TG) + statin \*HDL + statin \*log (TG)

# Model 5

Model 5 keeps only the significant interactions in Model 4, separately for phase 1 and phase 2. **Phase1:** 

CEC ~ SNP + Age-squared + Sex + CAD status + Statins + PC1-10 + batches + HDL-C + Log (TG) + HDL \* log (TG) + age2\*HDL + CAD status \*HDL **Phase2:** 

CEC ~ SNP + Age-squared + Sex + CAD status + Statins + PC1-10 + batches + HDL-C + Log (TG) +HDL \* log (TG) + sex \*HDL + statin \*log (TG) **Model 6** CEC ~ SNP + Age-squared + Sex + CAD status + Statins + PC1-10 + batches + HDL-C + Log (TG)

 $CEC \sim SNP + Age-squared + Sex + CAD \ status + Statins + PC1-10 + batches + HDL-C + Log \ (TG) + spline \ (HDL) + spline \ (log \ (TG))$ 

# 2. RESULTS

# Table 2-1: Association between non-normalized CEC and ApoE\_rs445925 variant in phase1

Model	Beta	Std Err	P-value
Model1	0.0241	0.0061	7.56E-05
Model2	0.0114	0.0047	0.0162
Model3	0.0112	0.0047	0.0184
Model4	0.0110	0.0047	0.0196
Model5	0.0104	0.0047	0.0268
Model6	0.0109	0.0047	0.0204

#### Table 2-2: Association between non-normalized CEC and ApoE\_rs445925 in phase2

Model	Beta	Std Err	P-value
Model1	0.0265	0.0054	8.37E-07
Model2	0.0178	0.0041	1.84E-05
Model3	0.0177	0.0041	1.94E-05
Model4	0.0177	0.0041	1.89E-05
Model5	0.0176	0.0041	2.04E-05
Model6	0.0177	0.0041	1.64E-05

#### Table 2-3: Association between normalized CEC and ApoE\_rs445925 variant in phase1

Model	Beta	Std Err	P-value
Model1	0.1776	0.0444	6.59E-05
Model2	0.0848	0.0346	0.0145
Model3	0.0829	0.0346	0.0166
Model4	0.0821	0.0345	0.0173
Model5	0.0772	0.0343	0.0246
Model6	0.0809	0.0341	0.0180

 Table 2-4:
 Association between normalized CEC and ApoE\_rs445925 variant in phase2

Model	Beta	Std Err	P-value
Model1	0.1659	0.0332	6.04E-07
Model2	0.1114	0.0255	1.28E-05
Model3	0.1108	0.0254	1.35E-05
Model4	0.1107	0.0254	1.3E-05
Model5	0.1103	0.0254	1.42E-05
Model6	0.1105	0.0252	1.18E-05

•						
Model	Fixed effect p- value	Fixed effect BETA	Fixed effect STD	Random effect p-value	Random effect BETA	Random effect STD
Model 1	2.52E-10	0.0255	0.0040	2.52E-10	0.0255	0.0040
Model 2	1.49E-06	0.0150	0.0031	2.15E-06	0.0150	0.0032
Model 3	1.82E-06	0.0149	0.0031	4.97E-06	0.0148	0.0032
Model 4	1.92E-06	0.0148	0.0031	8.48E-06	0.0147	0.0033
Model 5	3.05E-06	0.0145	0.0031	6.08E-05	0.0144	0.0036
Model 6	1.8E-06	0.0147	0.0031	1.69E-05	0.0146	0.0034

Table 2-5: Meta-analysis for the association between non-normalized CEC and ApoE\_rs445925 variant

 Table 2-6: Meta-analysis testing the association between normalized CEC and ApoE\_rs445925 variant

Model	Fixed effect p-value	Fixed effect BETA	Fixed effect STD	Random effect p-value	Random effect BETA	Random effect STD
Model1	1.56E-10	0.1701	0.0266	1.56E-10	0.1701	0.0266
Model2	6.66E-07	0.1020	0.0205	6.66E-07	0.1020	0.0205
Model3	8.19E-07	0.1010	0.0205	8.19E-07	0.1010	0.0205
Model4	8.28E-07	0.1007	0.0204	8.28E-07	0.1007	0.0204
Model5	1.34E-06	0.0986	0.0204	1.34E-06	0.0986	0.0204
Model6	7.92E-07	0.1000	0.0203	7.92E-07	0.1000	0.0203

	MHI Biobai	nk (phase 1)	MHI Biobank (phase 2)			
	Controls	Cases	Controls	Cases		
N	996	1000	883	2589		
Mean age, years (SD)	66.0 (10.1)	66.8 (8.9)	60.7 (10.8)	66.6 (8.4)		
Male sex, % (n)	72.0 (717)	72.6 (726)	37.7 (333)	81.7 (2115)		
Coronary artery disease, % (n)	0 (0)	100 (1000)	0 (0)	100 (2589)		
Hypertension, % (n)	62.2 (620)	74.0 (740)	36.4 (321)	73.7 (1900)		
Diabetes mellitus, % (n)	19.8 (197)	29.2 (292)	8.2 (72)	27.8 (717)		
Dyslipidemia, % (n)	73.2 (729)	92.1 (921)	39.0 (343)	91.7 (2361)		

Table S1. Demographics and clinical information for the participants involved in the study.

All participants were recruited in the Montreal Heart Institute (MHI) Biobank and had four French-Canadian grandparents. For age, we provide means and standard deviations at recruitment. Coronary artery disease (CAD) is defined as previous diagnosis of myocardial infarction or revascularization procedures (percutaneous coronary intervention). Hypertension is defined as a previous diagnosis of hypertension, on antihypertensive therapy or with systolic blood pressure ≥140 mmHg or diastolic blood pressure ≥90 mmHg. Diabetes mellitus is defined as a previous diagnosis of diabetes or treatment with antidiabetic drugs. Dyslipidemia is defined as a previous diagnosis of hypercholesterolemia or treatment with lipid-lowering drugs. This table includes all participants for whom we had cholesterol efflux capacity (CEC) measures. For the genome-wide association studies (GWAS), we analyzed participants with CEC and genetic data available: 943 controls and 953 CAD cases in phase 1; 863 controls and 2,534 CAD cases in phase 2. Table S2. Technical variability of cholesterol efflux capacity assays assessed with QC sample (pooled normal human serum, apoB-depleted) in each assay batch (N=106).

Efflux model - Component	Intra-assay CV, median (%)	Intra-assay CV, mean (%)	Inter-assay CV, (%)
J774 - Basal	6.6	7.1	18.1
J774 - cAMP-stimulated	6.2	6.5	18.4
J774 - ABCA1- dependent	4.9	6.2	22.0
BHK-ABCA1 - Mifepristone- stimulated	6.0	6.3	22.2

Intra-assay coefficient of variation (CV) is calculated from 4 QC efflux values per batch and the median or mean %CV of all batches is presented. Percent inter-assay CV is calculated from QC efflux values from all batches.

Table S3. Proteins, and corresponding genes, found in HDL particles by proteomic analyses.<sup>2</sup>

Protein	Gene	Protein	Gene
IPI00021841	APOA1	IPI00020091	ORM2
IPI00021854	APOA2	IPI00022431	AHSG
IPI00304273	APOA4	IPI00305457	SERPINA1
IPI00021842	APOE	IPI00022895	A1BG
IPI00021855	APOC1	IPI00021885	FGA
IPI00021856	APOC2	IPI00022463	TF
IPI00021857	APOC3	IPI00296170	HPR
IPI00022731	APOC4	IPI00022432	TTR
IPI00177869	APOL1	IPI00298853	GC
IPI00030739	APOM	IPI00022229	APOB
IPI00299435	APOF	IPI00022434	ALB
IPI00006662	APOD	IPI00418163	C4B
IPI00298828	АРОН	IPI00032258	C4A
IPI00291262	CLU	IPI00022395	C9
IPI00022331	LCAT	IPI00298971	VTN
IPI00006173	CETP	IPI00029863	SERPINF2
IPI00022733	PLTP	IPI00022426	AMBP
IPI00022368	-	IPI00218192	ITIH4
IPI00452748	SAA1	IPI00032220	AGT
IPI00006146	SAA2	IPI00006114	SERPINF1
IPI00019399	SAA4	IPI00032328	KNG1
IPI00218732	PON1	IPI00022420	RBP4
IPI00299778	PON3	IPI00337558	PCYOX1
IPI00164623	C3	IPI00022488	НРХ

Table S4. Cholesterol efflux capacity association results stratified on coronary artery disease casecontrol status.

	J774 basal CONTROLS MODEL 1			J774 basal CASES MODEL 1		
Chr:Pos_A1/A2_rsID	Freq1	BETA (SE)	P-value	Freq1	BETA (SE)	P-value
2:28965430_G/C_rs75657792	0.0231	0.3453 (0.1127)	0.002191	0.0179	0.18 (0.0918)	0.04989
8:19819724_C/G_rs328	0.0962	0.1376 (0.0564)	0.01471	0.0933	0.2148 (0.0414)	2.06E-07
8:19821782_T/G_rs77069344	0.0998	0.151 (0.0557)	0.006686	0.0972	0.209 (0.0406)	2.73E-07
8:19844222_A/G_rs12678919	0.093	0.1243 (0.0572)	0.02984	0.09	0.2163 (0.042)	2.57E-07
15:58683366_A/G_rs1532085	0.3872	-0.1218 (0.0347)	0.0004426	0.384	-0.1157 (0.0247)	2.75E-06
15:58692148_G/T_rs35128881	0.2062	0.1285 (0.0421)	2.30E-03	0.2132	0.1194 (0.0293)	4.71E-05
15:58723939_G/A_rs2070895	0.2335	0.0647 (0.0393)	0.0997	0.2275	0.1616 (0.0285)	1.36E-08
16:56989590_C/T_rs247616	0.3254	0.1775 (0.0359)	7.54E-07	0.3097	0.1273 (0.0259)	8.77E-07
16:56993324_C/A_rs3764261	0.3265	0.1746 (0.0359)	1.13E-06	0.3105	0.1268 (0.0259)	9.38E-07
18:47109955_A/G_rs77960347	0.0132	0.3438 (0.1493)	0.02127	0.0145	0.3519 (0.1013)	0.0005125
ChriPos A1/A2 rclD	J//4		S IVIODEL Z	J//		NODEL Z
	Freq1	0 260	P-value	Freq1	0 2505	P-value
2:28965430_G/C_rs75657792	0.0231	(0.1127)	0.001059	0.0179	(0.0916)	8.68E-05
8:19819724_C/G_rs328	0.0962	(0.0565)	0.8038	0.0933	(0.0415)	0.009432
8:19821782_T/G_rs77069344	0.0998	0.0164 (0.0558)	0.7691	0.0972	0.0908 (0.0408)	0.02592
8:19844222_A/G_rs12678919	0.093	-0.0049 (0.0573)	0.9318	0.09	0.1104 (0.0421)	0.00871
15:58683366_A/G_rs1532085	0.3872	-0.0698 (0.0347)	0.04438	0.384	-0.0379 (0.0247)	0.1257
15:58692148_G/T_rs35128881	0.2062	0.1712 (0.0421)	4.66E-05	0.2132	0.0841 (0.0294)	0.004175
15:58723939_G/A_rs2070895	0.2335	-0.027 (0.0394)	0.4923	0.2275	0.0857 (0.0285)	0.002695
16:56989590_C/T_rs247616	0.3254	-0.0028 (0.0361)	0.939	0.3097	-0.0484 (0.026)	0.06266
16:56993324_C/A_rs3764261	0.3265	-0.006 (0.0361)	0.868	0.3104	-0.0476 (0.0259)	0.06636
18:47109955_A/G_rs77960347	0.0133	0.2955 (0.1493)	0.04782	0.0145	0.3188 (0.1013)	0.001649
	J774 stim. CONTROLS MODEL 1			J77	4 stim. CASES N	VIODEL 1
Chr:Pos_A1/A2_rsID	Freq1	BETA (SE)	P-value	Freq1	BETA (SE)	P-value
2:27730940_T/C_rs1260326	0.4016	-0.0624 (0.0347)	0.07174	0.3926	-0.0/16 (0.0251)	0.004405
11:116648917_G/C_rs964184	0.1271	-0.1135 (0.0506)	0.02502	0.1396	-0.1152 (0.0343)	0.0007764
11:116692334_C/T_rs5104	0.1375	-0.1667 (0.0495)	0.000765	0.1373	-0.1003 (0.0349)	0.004021
16:56989590_C/T_rs247616	0.3254	0.1726 (0.0359)	1.54E-06	0.3098	0.1085 (0.0259)	2.83E-05

16:56993324_C/A_rs3764261	0.3265	0.169 (0.0359)	2.52E-06	0.3105	0.1094 (0.0259)	2.35E-05
19:45412079_C/T_rs7412	0.0845	0.1772 (0.0601)	0.003179	0.081	0.2321 (0.0436)	1.03E-07
19:45415640_G/A_rs445925	0.1151	0.1795 (0.0522)	0.0005918	0.112	0.1983 (0.0379)	1.65E-07
22:46627603_C/T_rs4253772	0.1153	0.101 (0.052)	0.05219	0.1141	0.127 (0.0387)	0.001018
	J774 s	stim. CONTROL	S MODEL 2	J77	4 stim. CASES N	MODEL 2
Chr:Pos_A1/A2_rsID	Freq1	BETA (SE)	P-value	Freq1	BETA (SE)	P-value
2:27730940_T/C_rs1260326	0.4016	-0.0699 (0.0347)	0.04373	0.3926	-0.0235 (0.0252)	0.3509
11:116648917_G/C_rs964184	0.1271	-0.0927 (0.0507)	0.06725	0.1395	-0.0386 (0.0344)	0.2611
11:116692334_C/T_rs5104	0.1375	-0.1229 (0.0496)	0.01326	0.1373	-0.0104 (0.0349)	0.7649
16:56989590_C/T_rs247616	0.3254	0.0214 (0.0361)	0.554	0.3098	-0.0454 (0.026)	0.08065
16:56993324_C/A_rs3764261	0.3265	0.0173 (0.0361)	0.6321	0.3105	-0.0436 (0.0259)	0.09299
19:45412079_C/T_rs7412	0.0845	0.1044 (0.0602)	0.0826	0.081	0.1918 (0.0437)	1.13E-05
19:45415640_G/A_rs445925	0.1151	0.1001 (0.0524)	0.05605	0.112	0.1675 (0.0379)	1.01E-05
22:46627603_C/T_rs4253772	0.1153	0.0897 (0.052)	0.0848	0.1141	0.0946 (0.0387)	0.01454
	J774 ABC	A1-dep. CONT	ROLS MODEL 1	J774 ABCA1-dep. CASES MODEL 1		
Chr:Pos_A1/A2_rsID	Freq1	BETA (SE)	P-value	Freq1	BETA (SE)	P-value
2:27730940_T/C_rs1260326	0.4013	-0.1286 (0.0345)	0.0001978	0.3928	-0.056 (0.0251)	0.02585
8:126490972_A/T_rs2954029	0.4656	-0.1019 (0.0336)	0.002441	0.4477	-0.0835 (0.024)	0.0005021
11:116648917_G/C_rs964184	0.1271	-0.1988 (0.0505)	8.25E-05	0.1396	-0.2069 (0.0342)	1.41E-09
11:116692334_C/T_rs5104	0.1376	-0.1598 (0.0495)	0.001255	0.1373	-0.1305 (0.0348)	0.0001797
17:77657521_C/T_rs4889908	0.2898	-0.1469 (0.0365)	5.86E-05	0.2815	-0.0848 (0.0271)	0.001733
19:45412079_C/T_rs7412	0.0845	0.1729 (0.0601)	0.00401	0.0811	0.2414 (0.0436)	3.07E-08
19:45415640_G/A_rs445925	0.1151	0.1761 (0.0523)	0.0007521	0.112	0.2165 (0.0378)	1.04E-08
	J774 ABC	A1-dep. CONT	ROLS MODEL 2	J774 A	BCA1-dep. CAS	ES MODEL 2
Chr:Pos_A1/A2_rsID	Freq1	BETA (SE)	P-value	Freq1	BETA (SE)	P-value
2:27730940_T/C_rs1260326	0.4015	-0.0865 (0.0346)	0.01248	0.3927	-0.0092 (0.0252)	0.7159
8:126490972_A/T_rs2954029	0.4656	-0.0842 (0.0336)	0.01236	0.4477	-0.0468 (0.024)	0.0515
11:116648917_G/C_rs964184	0.1271	-0.0993 (0.0506)	0.04998	0.1396	-0.0668 (0.0343)	0.05196
11:116692334_C/T_rs5104	0.1376	-0.0899 (0.0496)	0.07013	0.1373	-0.0307 (0.0349)	0.3789
17:77657521_C/T_rs4889908	0.2897	-0.1857 (0.0364)	3.39E-07	0.2815	-0.1051 (0.027)	0.0001019
19:45412079_C/T_rs7412	0.0845	0.0976 (0.0602)	0.1049	0.081	0.1776 (0.0437)	4.80E-05
19:45415640_G/A_rs445925	0.1151	0.0866 (0.0524)	0.0982	0.112	0.1809 (0.0379)	1.82E-06
		_				
	BHK	stim. CONTROL	S MODEL 1	BH	K stim. CASES N	NODEL 1
Chr:Pos_A1/A2_rsID	Freq1	BETA (SE)	P-value	Freq1	BETA (SE)	P-value

11:116648917_G/C_rs964184	0.1295	-0.0948 (0.05)	0.05768	0.1396	-0.132 (0.0342)	0.0001117	
11:116692334_C/T_rs5104	0.1383	-0.1801 (0.0495)	0.0002708	0.1374	-0.1493 (0.0347)	1.73E-05	
16:56993324_C/A_rs3764261	0.3289	0.1538 (0.0359)	1.81E-05	0.3119	0.0941 (0.0258)	0.0002663	
18:47109955_A/G_rs77960347	0.0136	0.5513 (0.1473)	0.0001817	0.0146	0.2218 (0.1004)	0.02714	
19:45412079_C/T_rs7412	0.0844	0.1739 (0.0599)	0.003716	0.0827	0.269 (0.0431)	4.55E-10	
19:45426792_G/A_rs141622900	0.0587	0.2387 (0.0722)	0.0009406	0.0584	0.3058 (0.0509)	1.85E-09	
20:44554015_T/C_rs6065906	0.221	-0.0581 (0.0408)	0.1541	0.2128	-0.0744 (0.0295)	0.01173	
20:44570192_C/T_rs6073966	0.1986	-0.0567 (0.0419)	0.1762	0.1951	-0.0788 (0.0304)	0.009457	
	BHK stim. CONTROLS MODEL 2			BHK stim. CASES MODEL 2			
	BHK	stim. CONTROL	S MODEL 2	BH	K stim. CASES N	MODEL 2	
Chr:Pos_A1/A2_rsID	BHK : Freq1	stim. CONTROL BETA (SE)	S MODEL 2 P-value	BH Freq1	K stim. CASES N BETA (SE)	NODEL 2 P-value	
Chr:Pos_A1/A2_rsID 11:116648917_G/C_rs964184	BHK 9 Freq1 0.1295	stim. CONTROL BETA (SE) -0.0403 (0.05)	S MODEL 2 P-value 0.4198	BH Freq1 0.1396	K stim. CASES N BETA (SE) -0.0503 (0.0342)	MODEL 2 P-value 0.1422	
Chr:Pos_A1/A2_rsID 11:116648917_G/C_rs964184 11:116692334_C/T_rs5104	BHK           Freq1           0.1295           0.1383	stim. CONTROL: BETA (SE) -0.0403 (0.05) -0.141 (0.0495)	S MODEL 2 P-value 0.4198 0.004423	BH Freq1 0.1396 0.1374	K stim. CASES N BETA (SE) -0.0503 (0.0342) -0.0749 (0.0348)	P-value           0.1422           0.03141	
Chr:Pos_A1/A2_rsID 11:116648917_G/C_rs964184 11:116692334_C/T_rs5104 16:56993324_C/A_rs3764261	BHK           Freq1           0.1295           0.1383           0.3288	stim. CONTROL           BETA (SE)           -0.0403           (0.05)           -0.141           (0.0495)           0.0023           (0.0361)	S MODEL 2           P-value           0.4198           0.004423           0.9487	BH Freq1 0.1396 0.1374 0.3119	K stim. CASES N BETA (SE) -0.0503 (0.0342) -0.0749 (0.0348) -0.0399 (0.0258)	P-value           0.1422           0.03141           0.1222	
Chr:Pos_A1/A2_rsID           11:116648917_G/C_rs964184           11:116692334_C/T_rs5104           16:56993324_C/A_rs3764261           18:47109955_A/G_rs77960347	BHK           Freq1           0.1295           0.1383           0.3288           0.0136	stim. CONTROL BETA (SE) -0.0403 (0.05) -0.141 (0.0495) 0.0023 (0.0361) 0.5381 (0.1472)	S MODEL 2           P-value           0.4198           0.004423           0.9487           0.0002578	BH           Freq1           0.1396           0.1374           0.3119           0.0146	K stim. CASES N BETA (SE) -0.0503 (0.0342) -0.0749 (0.0348) -0.0399 (0.0258) 0.0991 (0.1005)	P-value           0.1422           0.03141           0.1222           0.3241	
Chr:Pos_A1/A2_rsID           11:116648917_G/C_rs964184           11:116692334_C/T_rs5104           16:56993324_C/A_rs3764261           18:47109955_A/G_rs77960347           19:45412079_C/T_rs7412	BHK           Freq1           0.1295           0.1383           0.3288           0.0136           0.0844	stim. CONTROL BETA (SE) -0.0403 (0.05) -0.141 (0.0495) 0.0023 (0.0361) 0.5381 (0.1472) 0.0941 (0.06)	S MODEL 2           P-value           0.4198           0.004423           0.9487           0.0002578           0.1169	BH           Freq1           0.1396           0.1374           0.3119           0.0146           0.0827	K stim. CASES N BETA (SE) -0.0503 (0.0342) -0.0749 (0.0348) -0.0399 (0.0258) 0.0991 (0.1005) 0.2155 (0.0432)	P-value           0.1422           0.03141           0.1222           0.3241           6.26E-07	
Chr:Pos_A1/A2_rsID           11:116648917_G/C_rs964184           11:116692334_C/T_rs5104           16:56993324_C/A_rs3764261           18:47109955_A/G_rs77960347           19:45412079_C/T_rs7412           19:45426792_G/A_rs141622900	BHK           Freq1           0.1295           0.1383           0.3288           0.0136           0.0844           0.0587	stim. CONTROL BETA (SE) -0.0403 (0.05) -0.141 (0.0495) 0.0023 (0.0361) 0.5381 (0.1472) 0.0941 (0.06) 0.1435 (0.0723)	SMODEL 2           P-value           0.4198           0.004423           0.9487           0.0002578           0.1169           0.04729	BH           Freq1           0.1396           0.1374           0.3119           0.0146           0.0827           0.0584	K stim. CASES N BETA (SE) -0.0503 (0.0342) -0.0749 (0.0348) -0.0399 (0.0258) 0.0991 (0.1005) 0.2155 (0.0432) 0.2768 (0.0509)	P-value           0.1422           0.03141           0.1222           0.3241           6.26E-07           5.49E-08	
Chr:Pos_A1/A2_rslD           11:116648917_G/C_rs964184           11:116692334_C/T_rs5104           16:56993324_C/A_rs3764261           18:47109955_A/G_rs77960347           19:45412079_C/T_rs7412           19:45426792_G/A_rs141622900           20:44554015_T/C_rs6065906	BHK           Freq1           0.1295           0.1383           0.3288           0.0136           0.0844           0.0587           0.221	stim. CONTROL BETA (SE) -0.0403 (0.05) -0.141 (0.0495) 0.0023 (0.0361) 0.5381 (0.1472) 0.0941 (0.06) 0.1435 (0.0723) -0.012 (0.0408)	SMODEL 2           P-value           0.4198           0.004423           0.9487           0.0002578           0.1169           0.04729           0.7686	BH           Freq1           0.1396           0.1374           0.3119           0.0146           0.0827           0.0584           0.2128	K stim. CASES N BETA (SE) -0.0503 (0.0342) -0.0749 (0.0348) -0.0399 (0.0258) 0.0991 (0.1005) 0.2155 (0.0432) 0.2768 (0.0509) -0.1284 (0.0295)	P-value           0.1422           0.03141           0.1222           0.3241           6.26E-07           5.49E-08           1.33E-05	

Chromosome and position are on build hg19 of the human genome. Allele frequency (Freq1) and the direction of the effect (BETA) are for allele A2. Statistical models 1 and 2 are defined in the **Methods** section. For these analyses, there were maxima of 1,752 controls and 3,433 cases.

Table S5. Association results between variants associated with cholesterol efflux capacity and HDLcholesterol levels in 5,168 French Canadians from the MHI Biobank.

Chr:Pos_A1/A2_rsID	Freq1	Effect	StdErr	P-value
2:27730940_T/C_rs1260326	0.3957	0.0014	0.0204	0.9441
2:28965430_G/C_rs75657792	0.0195	0.0497	0.0712	0.4851
8:19819724_C/G_rs328	0.0942	0.2378	0.0333	9.08E-13
8:19821782_T/G_rs77069344	0.098	0.245	0.0328	7.36E-14
8:19844222_A/G_rs12678919	0.091	0.2339	0.0338	4.45E-12
8:126490972_A/T_rs2954029	0.4539	0.064	0.0195	0.001059
11:116648917_G/C_rs964184	0.1352	0.0947	0.0284	0.0008485
11:116692334_C/T_rs5104	0.1372	-0.0077	0.0286	0.7886
15:58683366_A/G_rs1532085	0.3853	-0.1222	0.0201	1.19E-09
15:58692148_G/T_rs35128881	0.2108	0.0589	0.0241	0.01457
15:58723939_G/A_rs2070895	0.2296	0.1309	0.023	1.35E-08
16:56989590_C/T_rs247616	0.315	0.2145	0.0209	8.97E-25
16:56993324_C/A_rs3764261	0.3159	0.2128	0.0209	1.90E-24
17:77657521_C/T_rs4889908	0.2843	-0.0034	0.0218	0.8767
18:47109955_A/G_rs77960347	0.014	0.2042	0.0839	0.01493
19:45412079_C/T_rs7412	0.0821	0.0082	0.0354	0.8167
19:45415640_G/A_rs445925	0.113	0.0186	0.0308	0.5447
19:45426792_G/A_rs141622900	0.0578	-0.0222	0.042	0.5977
20:44554015_T/C_rs6065906	0.2149	-0.0278	0.024	0.2466
20:44570192_C/T_rs6073966	0.1955	-0.0276	0.0247	0.2645
22:46627603_C/T_rs4253772	0.1144	0.0313	0.0311	0.3136

Only variants highlighted in **Tables 1-3** of the main article are presented. HDL-C levels were inverse normal-transformed after correction for sex, age-squared, coronary artery disease status, technical batches, statin treatment, and the first ten principal components. We applied an additive genetic model. Chromosome and position are on build hg19 of the human genome. Allele frequency (Freq1) and the direction of the effect are for allele A2.

Table S6. Association results between variants associated with cholesterol efflux capacity and triglyceride (TG) levels in 5,168 French Canadians from the MHI Biobank.

Chr:Pos_A1/A2_rsID	Freq1	Effect	StdErr	P-value
2:27730940_T/C_rs1260326	0.3958	-0.0822	0.0203	5.21E-05
2:28965430_G/C_rs75657792	0.0195	-0.1005	0.0712	0.1581
8:19819724_C/G_rs328	0.0942	-0.1824	0.0334	4.52E-08
8:19821782_T/G_rs77069344	0.098	-0.17	0.0328	2.27E-07
8:19844222_A/G_rs12678919	0.091	-0.1736	0.0339	2.96E-07
8:126490972_A/T_rs2954029	0.4539	-0.0804	0.0195	3.85E-05
11:116648917_G/C_rs964184	0.1352	-0.2795	0.0282	3.34E-23
11:116692334_C/T_rs5104	0.1372	-0.162	0.0285	1.24E-08
15:58683366_A/G_rs1532085	0.3853	0.036	0.0201	0.07377
15:58692148_G/T_rs35128881	0.2108	0.0137	0.0241	0.571
15:58723939_G/A_rs2070895	0.2296	0.0008	0.0231	0.9718
16:56989590_C/T_rs247616	0.315	0.0044	0.0211	0.8339
16:56993324_C/A_rs3764261	0.3159	0.0056	0.0211	0.7898
17:77657521_C/T_rs4889908	0.2843	0.0206	0.0218	0.344
18:47109955_A/G_rs77960347	0.014	0.0618	0.0839	0.4618
19:45412079_C/T_rs7412	0.0821	0.1721	0.0353	1.10E-06
19:45415640_G/A_rs445925	0.113	0.1401	0.0307	5.06E-06
19:45426792_G/A_rs141622900	0.0578	0.21	0.0419	5.31E-07
20:44554015_T/C_rs6065906	0.2149	0.0226	0.024	0.3469
20:44570192_C/T_rs6073966	0.1955	0.029	0.0247	0.2408
22:46627603_C/T_rs4253772	0.1144	0.0546	0.0311	0.07867

Only variants highlighted in **Tables 1-3** of the main article are presented. TG levels were inverse normaltransformed after correction for sex, age-squared, coronary artery disease status, technical batches, statin treatment, and the first ten principal components. We applied an additive genetic model. Chromosome and position are on build hg19 of the human genome. Allele frequency (Freq1) and the direction of the effect are for allele A2.

SNP	Chr.	A1/A2 EAF		Model 1			Model 2 (a	djusted for HD	L-C and TG)
	(position)			BETA	SE	Р	BETA	SE	Р
rs13326165	3 (52532118)	A/G	0.2123	-0.0118	0.0241	0.6238	-0.0152	0.0244	0.532
rs13107325	4 (103188709)	T/C	0.08273	0.0763	0.0383	0.04658	0.0587	0.0388	0.13
rs6450176	5 (53298025)	A/G	0.76299	-0.0117	0.023	0.611	0	0.0234	0.9985
rs605066	6 (139829666)	T/C	0.60377	0.0122	0.02	0.5416	0.022	0.0202	0.2758
rs581080	9 (15305378)	C/G	0.61722	0.0175	0.0261	0.5023	0.0119	0.0262	0.6495
rs970548	10 (46013277)	A/C	0.26224	0.0056	0.0226	0.8036	-0.0193	0.0228	0.3968
rs1532085	15 (58683366)	A/G	0.38563	-0.0651	0.0204	0.0014	-0.0127	0.0202	0.5302
rs3764261	16 (56993324)	A/C	0.68461	-0.1301	0.0209	4.93E-10	0.0201	0.0211	0.3389
rs7255436	19 (8433196)	A/C	0.51263	-0.0257	0.0194	0.1854	-0.0015	0.0196	0.9404
rs737337	19 (11347493)	T/C	0.0705	-0.0231	0.0392	0.555	0.0083	0.0394	0.8331

Table S7. Association results between HDL-C-associated SNPs that were reported previously to be nominally associated with cholesterol efflux capacity (CEC) in 850 individuals from GRAPHIC.<sup>3</sup>

Association results for CEC (J774-stimulated assay) were generated using data from 5,293 French-Canadian individuals from the Montreal Heart Institute Biobank. Coordinates are for build hg19 of the human genome. Alleles are on the positive strand. The effect allele frequency (EAF) and the direction of the effect size (beta) are for allele A2. Model 1 is adjusted for sex, age-squared, coronary artery disease status, experimental batches, statin treatment, and the first 10 principal components. Model 2 includes the same covariates as Model 1, but also HDL-cholesterol and triglyceride (TG) levels. SE, standard error. Figure S1. Chromosome conformation (Hi-C) data from human liver obtained from the HUGIn browser<sup>1</sup> around SNP rs75657792, which is associated with J774-basal CEC values.



The top panel represents the gene at the locus (shades of red indicate expression levels in human liver). Histone tail marks obtained by ChIP-seq are represented in the middle panel. The Hi-C data is summarized in the bottom panel. The black rectangle corresponds to the anchor point and includes rs75657792. The black and red lines indicate the number of observed and expected Hi-C contacts between the anchor point and the corresponding regions. The line in blue corresponds to the  $-\log_{10}(P-value)$ . We can observe two strong Hi-C signals between the anchor point and the *PLB1* gene (red oval).

#### Supplemental References:

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