

# Neutrophil-to-lymphocyte ratio is associated with sensitivity to platinum-based chemotherapy and prognosis in patients with advanced serous ovarian carcinoma

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**Abstract.** The role of the neutrophil-to-lymphocyte ratio (NLR) in predicting sensitivity to chemotherapy and prognosis has attracted great interest in several types of cancer. In the present study, the correlation between pre-chemotherapy NLR and sensitivity to platinum-based chemotherapy and prognosis in patients with advanced serous ovarian carcinoma was examined by retrospectively reviewing the medical records of 50 patients with stage III-IV serous ovarian carcinoma from 2005 to 2012. Patients were divided into high-NLR (32 patients) and low-NLR (18 patients) groups according to a cutoff value of 2.47. This cutoff was calculated using a receiver operating characteristic (ROC) curve that demonstrated 84% specificity and 60% sensitivity. Patient characteristics, sensitivity to platinum-based chemotherapy and prognosis were subsequently compared. The results revealed no significant difference in patient characteristics between the two groups. In the low-NLR group, 14 of 18 patients (77.8%) were sensitive to platinum-based chemotherapy, whereas 11 of 32 were sensitive in the high-NLR group (34.4%) ( $P=0.007$ ). Overall and disease-free survival (DFS) were significantly longer in the low-NLR than in the high-NLR group ( $P=0.013$  and  $P=0.043$ , respectively). The current results suggested that pre-chemotherapeutic NLR may serve as a biomarker of sensitivity to platinum-based chemotherapy and prognosis in patients with advanced serous ovarian carcinoma.

## Introduction

Epithelial ovarian carcinoma is a common cause of cancer deaths in women worldwide. The predominant subtype is serous ovarian carcinoma, which accounts for more than 50% of all ovarian carcinomas (1). The diagnosis is generally made at an advanced stage because of the insidious disease onset and lack of effective screening strategies. The standard treatment for these patients consists of primary debulking surgery followed by platinum-based chemotherapy. The initial response to first-line platinum-based chemotherapy is favorable; however, most patients develop recurrences and resistance to platinum-based chemotherapy, resulting in poor 5- and 10-year survivals of ~32 and 15% respectively (1,2). There are currently no biomarkers that reliably predict the response to platinum-based chemotherapy. Identification of dependable biomarkers is needed to facilitate planning optimal personalized treatment strategies and predicting the prognosis of patients with advanced serous ovarian carcinoma.

Systemic inflammation plays a crucial role in the development and progression of several types of cancer. Systemic inflammation can up-regulate cytokines and inflammatory mediators, inhibit apoptosis, initiate angiogenesis, remodel the extracellular matrix, and trigger DNA damage (3,4). Biological indicators of the severity of systemic inflammation include C-reactive protein, neutrophil-to-lymphocyte ratio (NLR), platelet-to-lymphocyte ratio, lymphocyte-to-monocyte ratio, and platelet count (5-9). Among these indicators, NLR has been attracting great interest because it is reproducible and easy and inexpensive to measure in routine clinical practice. Several researchers have reported the association between a high NLR and poor prognosis in patients with various types of carcinoma (9-12). Furthermore, a high NLR is reportedly a useful predictor of poor response to treatment and disease recurrence (13-15).

Several studies into the relationship between NLR and prognosis of ovarian carcinoma have been published; however, their conclusions are controversial. Most of these studies have focused on pre-treatment NLRs. We evaluated the relationship between NLR before initiating chemotherapy,

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after primary debulking surgery, and sensitivity to platinum-based chemotherapy and prognosis. We considered that the pre-chemotherapy NLR would more accurately reflect the severity of inflammation and predict the sensitivity to chemotherapy than the pre-surgery NLR. To the best of our knowledge, this is the first reported investigation of this hypothesis.

## Materials and methods

**Patients and data.** This study was a retrospective study of data drawn from patients' medical records. We reviewed the records of 50 patients with stage III or IV serous ovarian cancer treated at Osaka City University Hospital between January 2005 and December 2012. High-grade serous ovarian carcinoma had been diagnosed histologically in all patients. We excluded patients for whom pre-treatment neutrophil or lymphocyte counts were unavailable. All patients had undergone primary debulking surgery followed by six 3-weekly cycles of carboplatin plus paclitaxel. We collected information on the following clinical variables: Age, Federation of Gynaecology and Obstetrics (FIGO) stage, serum cancer antigen (CA125) concentration, size of postoperative residual tumor, leukocyte count, and response to platinum-based chemotherapy. The NLR was defined as the neutrophil count divided by the lymphocyte count and was calculated 2 days before initiation of chemotherapy, which was commenced ~2 weeks after primary debulking surgery. A ROC curve was generated to determine the cutoff value of NLR for predicting sensitivity to platinum-based chemotherapy. Patients were allocated to low-NLR group and high-NLR group on the basis of this cutoff value.

We obtained informed consent for treatment from all patients and received approval from the Institutional Review Board of Osaka City University Hospital before initiating this study (IRB no. 2020-288).

**Chemotherapy and evaluation of the effect of treatment.** All patients underwent six 3-weekly cycles of chemotherapy with paclitaxel plus carboplatin (175 mg/m<sup>2</sup> of paclitaxel infused >3 h; dosage of carboplatin calculated with an area under the curve of 5 and infused >1 h). Platinum resistance was defined as <6 months between last dose of platinum and recurrence and platinum sensitivity as longer than 6 months between last platinum dose and recurrence. DFS was defined as the time between dates of diagnosis and disease recurrence. Overall survival (OS) was defined as the time between dates of diagnosis and death or most recent follow-up.

**Statistical analysis.** We compared characteristics, sensitivity to platinum-based chemotherapy, OS, and DFS between patients in the low-NLR and high-NLR groups. EZR software version 1.3 (Saitama Medical Center, Jichi Medical University, Saitama, Japan) was used for all statistical analyses. The data are represented as mean  $\pm$  standard deviation. Student's t-test was used to compare differences between the data. Fisher's exact test was used to identify differences in the distribution of categorical variables between groups. Kaplan-Meier plots and log-rank tests were used to analyze OS and DFS. P-value <0.05 was considered to denote statistical significance.

Table I. Patient characteristics.

Characteristic	Value
Number of patients	50
Age, years	
Mean $\pm$ SD	60.64 $\pm$ 10.89
Range	36-79
FIGO stage, n (%)	
IIIA	1 (2)
IIIB	4 (8)
IIIC	37 (74)
IVA	5 (10)
IVB	3 (6)
CA125 (U/ml)	
Mean $\pm$ SD	2365.3 $\pm$ 3063.9
Range	62-12,300
NLR before chemotherapy	
Mean $\pm$ SD	4.04 $\pm$ 3.83
Range	0.89-18.8
Postoperative residual tumor, n (%)	
None	5 (10)
$\leq$ 1 cm	12 (24)
>1 cm	33 (66)
Sensitivity to platinum-based chemotherapy, n (%)	
Sensitive	25 (50)
Resistant	25 (50)

SD, standard deviation; FIGO, International Federation of Gynecology and Obstetrics; NLR, neutrophil-to-lymphocyte ratio.

## Results

**Patient characteristics.** The characteristics of all patients are shown in Table I. Their age ranged from 36 to 79 years (mean age, 60.64 years). The most prevalent disease stage was IIIC, accounting for 74% of all patients. Pre-chemotherapy NLRs ranged from 0.89 to 18.8 (mean, 4.04). Half of the patients were sensitive to platinum-based chemotherapy and the other resistant to it.

**ROC curve for determining NLR cutoff value for predicting sensitivity to platinum-based chemotherapy.** We generated an ROC curve to determine the cutoff value for predicting sensitivity to platinum-based chemotherapy (Fig. 1). This resulted in a cutoff value of 2.47. The specificity for prediction of sensitivity to platinum-based chemotherapy was 84% and the sensitivity of this cutoff value was 60%. We therefore adopted an NLR cutoff of 2.47 for allocating patients to high-NLR ( $\geq$ 2.47) and low-NLR (<2.47) groups.

**Characteristics of high- and low-NLR groups and comparison of platinum sensitivity between group.** Table II shows patient characteristics according to groups. We compared

Table II. Characteristics of patients in the low- and high-NLR groups.

Characteristic	Low-NLR group (<2.47)	High-NLR group ( $\geq$ 2.47)	P-value
No. of patients	18	32	-
Age, years			0.821 <sup>a</sup>
Mean $\pm$ SD	61.11 $\pm$ 9.76	60.38 $\pm$ 11.62	
Range	47-75	36-79	
FIGO stage, n			0.588 <sup>b</sup>
IIIA	0	1	
IIIB	1	3	
IIIC	16	21	
IVA	1	4	
IVB	0	3	
CA125 (U/ml)			0.641 <sup>a</sup>
Mean $\pm$ SD	2,638.11 $\pm$ 3,416.06	2,211.88 $\pm$ 2,876.52	
Postoperative residual tumor, n			0.115 <sup>b</sup>
None	4	1	
$\leq$ 1 cm	4	8	
>1 cm	10	23	

Significance was determined using a <sup>a</sup>Student t-test or <sup>b</sup>Fisher's exact test. NLR, neutrophil-to-lymphocyte ratio; FIGO, International Federation of Gynecology and Obstetrics; SD, standard deviation.

Table III. Number of platinum-sensitive and platinum-resistant patients in the low- and high-NLR groups.

Variable	Low-NLR group ( $\leq$ 2.47) n=18	High-NLR ( $\geq$ 2.47) n=32	P-value
Platinum-sensitive, n (%)	14 (77.8)	11 (34.4)	0.007 <sup>a</sup>
Platinum-resistant, n (%)	4 (22.2)	21 (65.6)	

Significance was determined using a <sup>a</sup>Fisher's exact test. NLR, neutrophil-to-lymphocyte ratio.

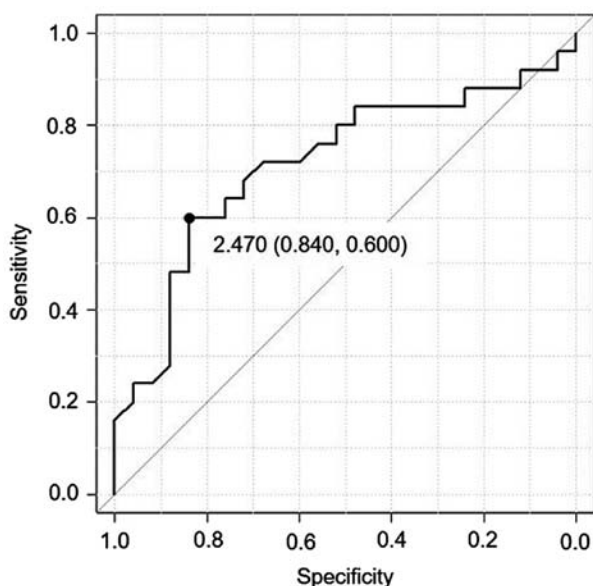


Figure 1. Receiver operating characteristic curve determining the cutoff value of neutrophil-to-lymphocyte ratio for the prediction of sensitivity to platinum-based chemotherapy. Area under the curve=0.717; 95% confidence interval, 0.568-0.866.

age, FIGO stage, serum CA125 concentration, and size of postoperative residual tumor. None of the studied factors differed significantly between the groups, suggesting that the NLR value was the only difference between them. Next, we compared sensitivity to platinum-based chemotherapy between the two groups. Table III shows the number of patients with platinum-sensitive and platinum-resistant disease in each group. In the low-NLR group, 77.8% of patients were sensitive to platinum-based chemotherapy, whereas in the high-NLR group 34.4% were sensitive to it. Thus, the low-NLR group was significantly more sensitive to platinum-based chemotherapy than was the high-NLR group (P=0.007).

*Comparison of prognosis between high- and low-NLR groups.* Fig. 2 shows the OS and DFS of the two groups. The low-NLR group had significantly better OS and DFS than did the high-NLR group (P=0.013 and P=0.043, respectively). These results suggest that the NLR can serve as a biomarker for predicting the prognosis of patients with serous ovarian carcinoma who undergo debulking surgery followed by platinum-based chemotherapy.

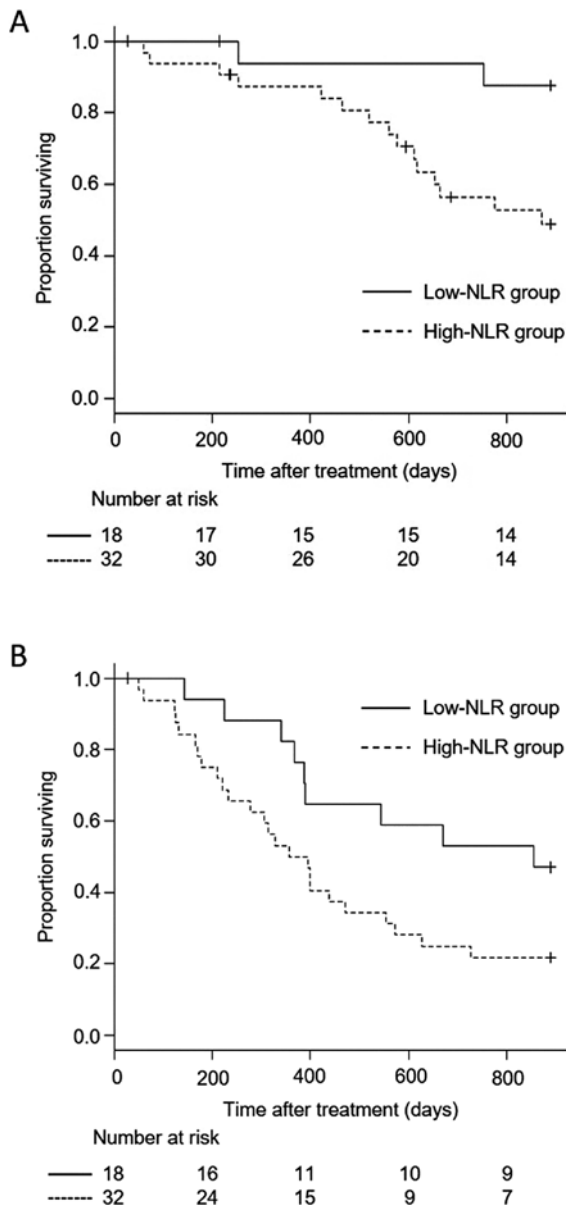


Figure 2. Overall and disease-free survival according to neutrophil-to-lymphocyte ratios (NLR). (A) Overall survival ( $P=0.013$ ). (B) Disease-free survival ( $P=0.043$ ).

## Discussion

In this study of patients with ovarian serous carcinoma who had undergone debulking surgery followed by platinum-based chemotherapy, we investigated the ability of pre-chemotherapy NLR to predict sensitivity to platinum-based chemotherapy and prognosis. We found that the pre-chemotherapy NLR predicted sensitivity to chemotherapy and prognosis when we used a cutoff value of 2.47.

The crucial impact of inflammation and the associated leukocyte recruitment on cancer development was first reported in 1863 by Virchow. It is now clear that inflammation-related neutrophils and immunocytes are components of the tumor microenvironment and play an essential role in the neoplastic process by fostering proliferation of, and communication between cancer cells and that microenvironment (3,16,17). Tumor development and progression are

initiated via DNA damage and overproduction of cytokines such as vascular endothelial growth factor (VEGF), tumor necrosis factor alpha (TNF- $\alpha$ ), and interleukin (IL)-2 and IL-6 (16,18). The inflammatory response involves clustering of immune cells, including tumor-associated macrophages and neutrophils, tumor-induced T cells, dendritic cells, and innate lymphoid cells. This accumulation of cells prompts initiation and progression of cancer and may simultaneously suppress cancer progression (19-22).

Neutrophils account for 50-60% of leukocytes and become more numerous in the presence of inflammation. An increase in neutrophil count indicates systemic inflammation and has been reported to be involved in tumor proliferation, invasion, and angiogenesis (3,23,24). Cancer-associated angiogenesis and cellular DNA damage are promoted by cytotoxic mediators such as reactive oxygen species and neutrophil elastase, which are released by neutrophils (25). Neutrophils recruit inflammatory mediators, including IL-1, IL-6, TNF, and VEGF, and then inhibit the cytotoxic activity of lymphocytes, impairing activation of adaptive immunity (26,27). Neutrophils can facilitate tumor development by remodeling the extracellular matrix, providing pro-angiogenic factors, and inhibiting lymphocyte activity (28).

Hematological markers that reflect systemic inflammation, such as the NLR, have recently attracted considerable interest as predictors of prognosis and sensitivity to chemotherapy in patients with cancer. An increasing number of researchers have reported a relationship between pre-operative NLR and prognosis in patients with ovarian cancer. However, several studies have failed to confirm this relationship (29). Additionally, the mechanism(s) by which a high NLR contributes to poor prognosis and poor sensitivity to chemotherapy is still poorly understood. The NLR precisely expresses the balance between neutrophils and immunocytes, a high NLR reflecting an up-regulated innate immune response with increased concentrations of cytokines and tumor macrophage infiltration. The NLR has therefore been considered a novel prognostic indicator (30,31). It is also reportedly associated with the responses of several cancers to chemotherapy (32-35), indicating it potentially has value as a biomarker for predicting sensitivity to chemotherapy. Blood cell counts are routinely checked at the beginning of diagnosis or treatment, making the NLR a convenient, cost-effective, and reproducible marker in clinical practice. However, varying means of determining optimal thresholds have been used to predict prognosis and sensitivity to chemotherapy. Some studies have used ROC analysis to calculate the threshold, others have used the median NLR, and still other have used the interquartile limits. The resultant lack of consensus on threshold values, and therefore on definitions of normal and high NLRs, has hindered the use of the NLR both in daily clinical practice and in investigating the relationship between NLR and prognosis in patients with ovarian cancer.

To the best of our knowledge, this is the first study to investigate the relationships between pre-chemotherapeutic NLR and sensitivity to platinum-based chemotherapy and prognosis in patients with serous ovarian carcinoma. In this study, we used the post-debulking surgery, pre-chemotherapy NLR rather than the NLR before initiation of any treatment because we believe that the former more accurately reflects

the status of cancer-related inflammation and therefore more reliably predicts tumor sensitivity to chemotherapy. We found that pre-chemotherapy NLR is associated with sensitivity to platinum-based chemotherapy and prognosis in patients with advanced high-grade serous ovarian carcinoma. Several studies have used the pre-treatment NLR to predict the sensitivity to chemotherapy or prognosis. However, to the best of our knowledge, no studies have investigated the post-debulking surgery, pre-chemotherapy NLR.

The limitations of this study include that it was a relatively small, single institution, retrospective study using univariate analysis. Further investigation, including validation in a second cohort, are needed before NLR can be confidently recommended in clinical practice. However, we believe that our findings contribute to progress in the use of the NLR to predict sensitivity to platinum-based chemotherapy and prognosis in patients with serous ovarian carcinoma.

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### Availability of data and materials

The datasets used and/or analyzed during the present study are available from the corresponding author on reasonable request.

### Authors' contributions

TF and TS designed the present study. TF, MKaw, YA, SN, MS, YI, HM, MY, MKas, YH, TI and TY collected and analyzed the data. TF, MKaw and TS wrote the manuscript. TF and MKaw confirm the authenticity of all the raw data. All authors read and approved the final manuscript.

### Ethics approval and consent to participate

The present study was approved by the Institutional Review Board of Osaka City University Hospital before its initiation (approval no. 2020-288). Written informed consent for all treatment was obtained from all patients.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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