

# A Systematic Review of Clinical Characteristics and Histologic Descriptions of Acute Tubular Injury



Yumeng Wen<sup>1</sup>, Chen Yang<sup>2</sup>, Steven P. Menez<sup>1</sup>, Avi Z. Rosenberg<sup>3</sup> and Chirag R. Parikh<sup>1</sup>

<sup>1</sup>Division of Nephrology, Johns Hopkins University School of Medicine, Baltimore, Maryland, USA; <sup>2</sup>Department of Pathology, Memorial Sloan Kettering Cancer Center, New York, New York, USA; and <sup>3</sup>Department of Pathology, Johns Hopkins University School of Medicine, Baltimore, Maryland, USA

**Introduction:** The term “acute tubular injury” (ATI) represents histopathologic renal tubular injury and often manifests clinically as acute kidney injury (AKI). Studies systematically summarizing the clinical presentation and histological changes in human ATI are limited.

**Methods:** We used a comprehensive search strategy to search human studies of ATI from 1936 to July 2019. We extracted study characteristics, clinical characteristics, and histologic descriptions of ATI by bright field, immunofluorescence, electron microscopy, and immunohistochemistry. We compared ATI histology as a function of tissue procurement type, timing, and etiologies.

**Results:** We included 292 studies comprising a total of 1987 patients. The majority of studies (222 of 292, 76%) were single-center case reports. The mean age of included patients was 47 years. In native kidney biopsy cases, baseline, peak, and latest creatinine were 1.3 mg/dl, 7.19 mg/dl, and 1.85 mg/dl respectively, and biopsy was performed mostly after peak creatinine (86.7%, 391 of 451). We identified 16 histologic descriptions of tubular injury, including tubular cell sloughing (115 of 292, 39.4%), tubular epithelial flattening/simplification (110 of 292, 37.7%), tubular dilatation (109 of 292, 37.3%), and tubular cell necrosis (93 of 292, 31.8%). There was no difference in tubular injury histology among different tissue procurement types (native kidney biopsy, transplant kidney biopsy, and autopsy), among different etiologies, or between different tissue procurement timing (before or after creatinine peaks in native kidneys). Electron microscopy and immunohistochemistry were used in a minority of studies.

**Conclusion:** ATI manifests with diverse histologic changes. Efforts to establish protocols to harmonize biopsy practices, to handle kidney biopsy for tissue interrogation, and to report results across clinical practice are needed to improve our understanding of this complex disease.

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KEYWORDS: acute kidney injury; acute tubular injury; acute tubular necrosis; histology; pathology

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Acute kidney injury (AKI) is common in hospitalized patients and is associated with significantly higher morbidity and mortality.<sup>1</sup> It represents the acute decline in kidney function, often measured by serum creatinine, with or without structural changes in the kidney. The morphologic and structural changes in the kidney vary widely, depending on the nature and mechanism of injury.

The histopathologic correlate of AKI can often be captured in the term “acute tubular injury” (ATI),

which reflects intrinsic kidney damage as a result of either ischemic or toxic insult affecting the functional and morphologic integrity of renal tubules.<sup>2</sup> Its manifestation as AKI includes rapid decline in glomerular filtration rate and frequently with oliguria. The prevalence of AKI attributable to ATI and the clinical presentation of ATI is difficult to evaluate because of the lack of large human tissue cohort studies correlating tubular injury patterns with clinical AKI.<sup>3</sup> However, it is clear that either AKI or ATI itself carries a high risk of mortality and morbidity, especially in the aging patient population.<sup>4</sup> Acute tubular injury is well recognized both in clinical and mechanistic studies as an important driver of chronic kidney disease (CKD) and progression to end-stage kidney disease (ESKD).<sup>5,6</sup> Unfortunately, promising therapies for ATI based on animal models have failed to translate into success in

**Correspondence:** Chirag R. Parikh, Division of Nephrology, Johns Hopkins University School of Medicine, 1830 E. Monument St., Suite 416, Baltimore, Maryland 21287, USA. E-mail: [chirag.parikh@jhmi.edu](mailto:chirag.parikh@jhmi.edu)

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human trials.<sup>7–10</sup> In addition, most preclinical ATI studies use animal models in which the pathogenesis and histopathology may be distinct from human ATI.<sup>11,12</sup> Therefore, it is essential to establish a large collection of human ATI biopsy samples to systematically establish and then link pathophysiology with potential therapeutic options in ATI.

For many decades, ATI was synonymous with acute tubular necrosis (ATN). However, frank tubular epithelial necrosis is only 1 histologic pattern observed in clinical ATI and may reflect particular etiologies and/or severity of injury. The diverse pathologic changes are often dynamic and may differ by the timing of kidney tissue procurement and etiology.<sup>3,13</sup> However, there are limited studies systematically profiling and relating the histologic changes in human ATI with each other and with the etiology. In this report, we systematically reviewed studies of human ATI with the goal of summarizing the clinical presentation and the histologic description of ATI, and we explored the histologic diversity as a function of timing of kidney tissue procurement during the clinical course and clinical etiologies.

## METHODS

### Data Source

We performed a comprehensive systematic PubMed search of articles published through July 2019. The earliest study was published in 1936. The search strategy included articles indexed under both the “kidney tubular necrosis, acute” (Medical Subject Headings), “acute tubular injury”, “ATI”, “acute tubular necrosis”, or “ATN” under title/abstract and “kidney biopsy”, “renal biopsy”, “pathology”, “histopathology”, “histology”, or “morphology” under title/abstract. A group of high-performance AKI search filters that includes >100 terms used in combination, including “acute kidney injury,” “tubular necrosis,” “azotemia,” and “ischemic injury,” were developed to identify articles to AKI in PubMed, Ovid Medline, and Embase.<sup>14</sup> We applied the sensitive filter for PubMed, which has a sensitivity of 96.1% and specificity of 95%, to the above relevant terms to increase search sensitivity. Studies with unavailable abstracts or full abstracts were then searched with the assistance of librarians from the Icahn School of Medicine at Mount Sinai and Johns Hopkins University School of Medicine. We limited our search to studies published in English. A full list of study reports is available in [Supplementary Material](#).<sup>S1–S292</sup>

### Study Selection

Studies reporting histology of ATI were included. We excluded studies that did not include human subjects,

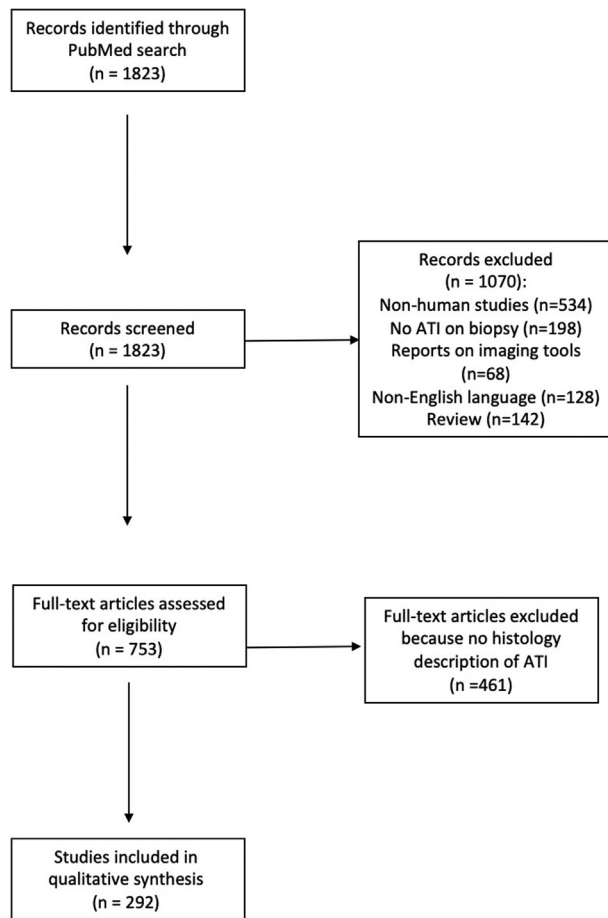
did not have ATI on the pathology report, did not report a histologic description of tubular injury, or reported imaging tools without a pathology report, as well as review articles. We did not find any donor kidney biopsy studies reporting histologic description of tubular injury. Two of these 3 authors (YW, CY, SPM) reviewed the abstracts and full texts for all studies, and disagreement was resolved upon discussion.

### Data Extraction

We extracted publication year, study type (case report, case series, or cohort study), single- versus multi-center study, country of first author, clinical information, and histology description. Clinical information included subjects’ age, sex, ethnicity, comorbidities, baseline kidney function, peak creatinine, latest creatinine, suspected etiologies, descriptive kidney outcome, timing of biopsy (before vs. after creatinine peak, after kidney transplantation), and type of tissue procurement (native kidney biopsy, transplant kidney biopsy, and autopsy). Histology descriptions of tubular injury included descriptions under light microscopy, immunofluorescence, electron microscopy, and immunohistochemistry. We extracted all morphologic descriptions of tubular injury reported, and provided the definition of these descriptions based on prior studies, review, and books ([Supplementary Table S1](#)).<sup>15–20</sup> Examples of these histologic descriptions can be found in included studies.<sup>16,21–27</sup>

### Statistical Analysis

We used descriptive statistics such as means and proportions. Because of the large number of missing data points from underreporting, we reported both the percentage of each clinical feature and the overall availability of data across included studies and by tissue procurement types. We reported timing of kidney biopsy, biochemical characteristics, and clinical outcome (last serum creatinine or descriptive outcome if last serum creatinine was not reported) in native kidney biopsy cases. We reported the percentage of each histologic description based on the number of studies rather than patients, as it was not feasible to extract discrete histologic features at the patient level in the majority of case series and large cohorts. We compared the histologic descriptions among different types of tissue procurement (native kidney biopsy, transplant kidney biopsy, and autopsy) and among different etiologies using 1-way analysis of variance. We then compared the histologic descriptions based on timing of biopsy (before or after serum creatinine peaks) in native kidney biopsy cases using the  $\chi^2$  test. We performed all analyses using Stata Version 14.2



**Figure 1.** Study flowchart. ATI, acute tubular injury.

(StataCorp, College Station, TX). We considered *P* values <0.05 as statistically significant.

## RESULTS

Our PubMed search identified 1823 articles, of which 1070 were excluded and 753 were selected for full text screen (Figure 1). Reasons for exclusion included nonhuman studies (n = 534), no ATI on biopsy (n = 198), review articles (n = 142), imaging reports without

pathology findings (n = 68), and non-English language (n = 128). Following a full text screening, an additional 461 studies were excluded because they did not describe the histologic patterns of ATI. For the purposes of this systematic review, 292 articles were used for further analysis (Supplementary References S1–S292).

The majority of these articles consisted of case reports (222, 76%), followed by case series (66, 22.6%) and cohort studies (4, 1.4%) cohorts. In total, these reports and studies reflected 1987 patients with a combined 2022 kidney biopsies and autopsies. Patient characteristics and the availability of data overall and in native kidney biopsy, transplant kidney biopsy, and autopsy studies are shown in Table 1. The mean age of the patients was 47 years, and 40.8% were female. Of the patients, 60.9% were white and 28% were black. In all, 38.2% patients had hypertension and 20.8% were diabetic. A total of 71.7% (1450 of 2022) were native kidney biopsies, 17.4% (351 of 2022) of cases were biopsies performed after kidney transplantation, and 10.9% (221 of 2022) were autopsies. Among native kidney biopsy cases, ATI was often associated with severe AKI (mean peak creatinine of 7.19 mg/dl), and incomplete recovery with a mean creatinine increase to 1.85 mg from 1.3 mg/dl at baseline. In postdischarge follow-up, descriptive kidney outcomes were reported in 109 patients. A total of 44 patients were reported to have normal kidney function, 11 patients developed CKD, 40 patients developed ESKD, 1 patient subsequently received a kidney transplant, and 13 patients died. Among native kidney biopsy cases for which the timing of kidney biopsy is available (451 of 1450, 31.1%), 13.3% (60 of 451) were biopsies performed before creatinine peaked, and 86.7% (391 of 451) were biopsies performed after creatinine peaked. A summary of the biochemical characteristics, timing

**Table 1.** Patient characteristics and data availability in included studies

Variable	Characteristics			
	Overall N = 2022	Native kidney biopsy n = 1450	Transplant kidney biopsy n = 351	Autopsy n = 221
Age, yr, mean (data available, N)	47 (1389)	45.5 (961)	51.4 (328)	41 (87)
Female, % (data available, n/N)	40.8 (561/1376)	39.9 (383/961)	41.7 (137/328)	47 (41/87)
Ethnicity, % (data available, n/N)				
White	60.9 (198/325)	60.5 (181/299)	0	70.8 (17/24)
Black	28 (91/325)	28.1 (84/299)	50 (1/2)	25 (6/24)
Hispanic	2.5 (8/325)	2.3 (7/299)	50(1/2)	0
Asian	5.2 (17/325)	5.4 (16/299)	0	4.2 (1/24)
Other	3.4 (11/325)	3.7 (11/299)	0	0
Hypertension, % (data available, n/N)	38.2 (221/578)	40 (208/516)	50 (3/6)	17.9 (10/56)
Diabetes mellitus, % (data available, n/N)	20.8 (110/530)	22.2 (104/468)	16.7 (1/6)	8.9 (5/56)

**Table 2.** Timing of kidney biopsy, biochemical characteristics, descriptive clinical outcomes, and data availability in native kidney biopsy studies

Variable	Data available, % (n/N)	
Timing/type of kidney tissue procurement, % (n/N)	31.1 (451/1450)	
Before serum creatinine peaked	13.3% (60/451)	
After serum creatinine peaked	86.7% (391/451)	
Baseline serum creatinine, mg/dl	1.3	28.1 (407/1450)
Peak serum creatinine, mg/dl	7.19	26.7 (387/1450)
Last serum creatinine, mg/dl	1.85	25.7 (372/1450)
Descriptive clinical outcome, N	7.5 (109/1450)	
Normal kidney function	44	
Chronic kidney disease	11	
End-stage renal disease	40	
Kidney transplant	1	
Death	13	

of biopsy, descriptive clinical outcome, and data availability in all native kidney biopsy studies are shown in [Table 2](#).

The various etiologies of ATI were described in 95.2% of studies (278 of 292). These included medication (n = 78), infection (n = 33), hypoperfusion (n = 4), hyperbilirubinemia (n = 12), rejection in transplanted kidneys (n = 5), toxins (n = 47), hemolysis (n = 11), rhabdomyolysis (n = 8), glomerular disease (n = 19), oxalate nephropathy (n = 10), a combination of more than 1 etiology (n = 6), and other etiologies (n = 39). Six studies included series of patients with different etiologies.

All studies reported histologic findings; however, only 34.2% of studies (100 of 292) reported a tubular injury pattern, whereas the rest did not report which proportion of tubules was involved. Proximal tubular injury was reported in 92% of studies (92 of 100), and involvement of the loop of Henle and distal tubules/collecting duct were reported in 7% (7 of 100) and 33% of studies (33 of 100), respectively. The extent of tubular injury was mostly semiquantitative but was reported in only 10.3% of studies (30 of 292). [Figure 2a](#) summarizes the results of tubular injury descriptions found throughout the included studies. The most common histologic descriptors of tubular injury included tubular cell sloughing (115 of 291, 39.4%), tubular epithelial flattening/simplification (110 of 292, 37.7%), tubular dilatation (109 of 292, 37.3%), tubular cell necrosis (93 of 292, 31.8%), regenerative changes (82 of 292, 28.1%), and tubular cell vacuolization (77 of 292, 26.4%). Other descriptors included loss of brush border, tubular degeneration, tubular cell apical blebbing, tubular basement membrane denudation, tubular basement membrane involvement (rupture, thickening, or thinning), tubular cell edema, tubular cell calcification, tubular cell apoptosis, tubulitis, and tubular

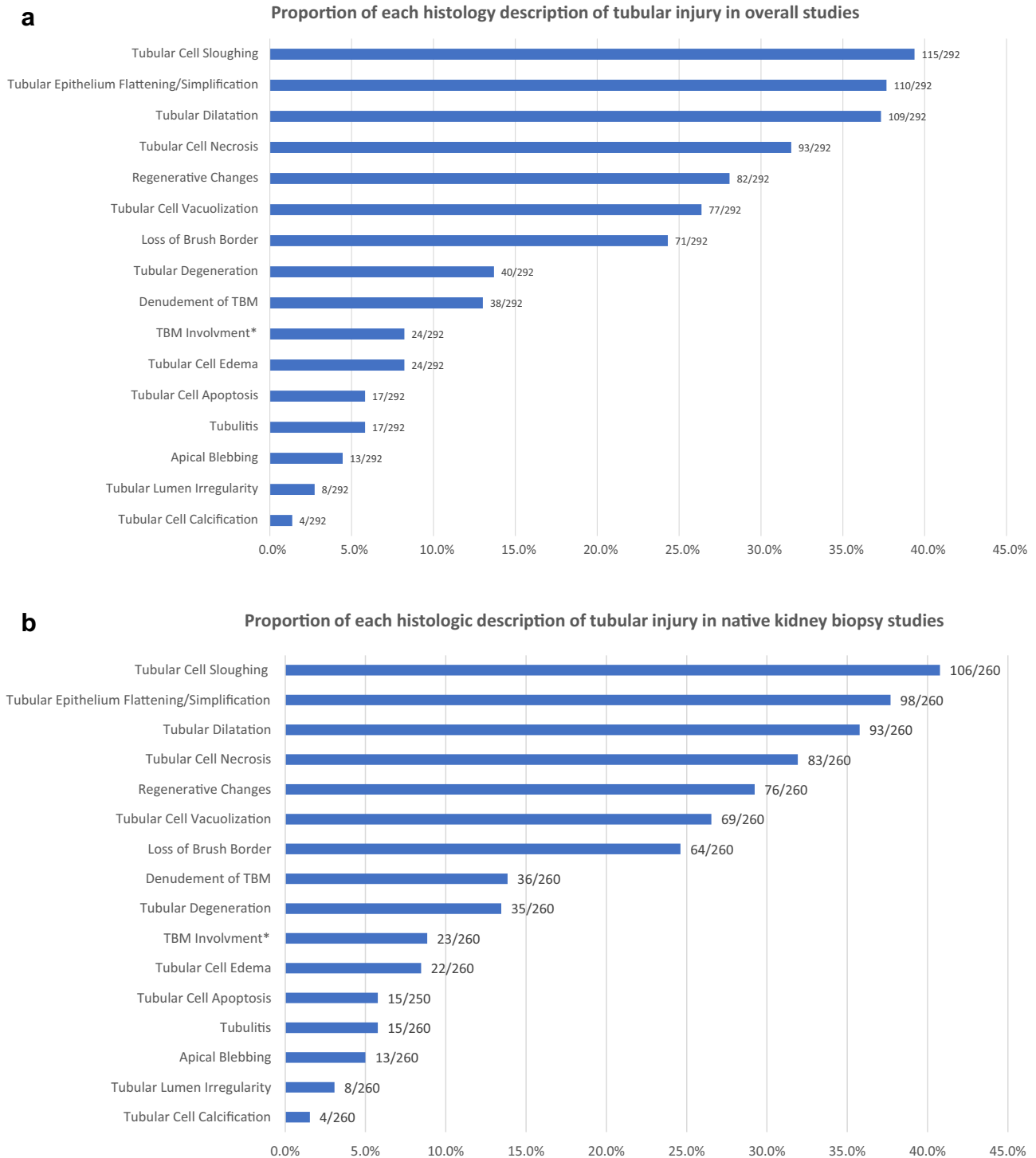
lumen irregularity. Granular casts and epithelial casts were reported in 5.5% (16 of 292) and 7.2% of studies (21 of 292). A separate analysis of histologic descriptions of tubular injury in native kidney biopsy studies showed similar results ([Figure 2b](#)).

There was no difference in histologic descriptions of ATI among tissue procurement types or among different etiologies ([Supplementary Tables S2 and S3](#)). Tubular lumen irregularity was more commonly reported in native kidney biopsies performed before creatinine peaked, but this was likely clinically nonsignificant, given the low incidence of this description ([Supplementary Table S4](#)).

None of the studies reported positive findings on immunofluorescence in the tubular cells or interstitium. A subset of studies (123 of 292; 42.1%) reported ultrastructural results by electron microscopy. Apart from histologic changes consistent with findings from light microscopy, additional ultrastructural changes noted within tubular cells were also reported. These included changes in tubular cytoplasm, nucleus, and various organelles such as endoplasmic reticulum, endosome, lysosome, autophagosome, and mitochondria ([Supplementary Table S5](#)). Of the studies, 53 of 292 (18.2%) performed immunohistochemistry (IHC) or staining for other receptors. Among these studies, 14 studies used IHC to identify lymphocytes and macrophages, 6 studies stained for myoglobin, 4 studies stained for the proliferation marker Ki-67, 3 studies stained for kidney injury molecule-1 (KIM-1), and 2 studies stained for apoptosis (i.e., cleaved caspase-3).

## DISCUSSION

In this systematic review, we identified 292 human studies with reports of histologic manifestations of ATI in 1987 participants. Most studies were single-center studies and case reports. Clinical information such as demographic characteristics, comorbidities, baseline kidney function, and clinical outcomes were not universally reported. Overall, native kidney biopsies were performed in middle-aged men with mild baseline kidney impairment, presenting with severe AKI and with poor clinical outcomes. Native kidney biopsies were often performed after serum creatinine peaked in the course of AKI. On histological reporting, proximal tubules were commonly involved with 16 different histologic descriptions, such as tubular cell sloughing, tubular epithelium flattening/simplification, tubular dilatation, tubular cell necrosis, regenerative and tubular cell vacuolization. Among these qualitative studies, there was no difference in tubular injury histology among native kidney biopsies, transplant kidney biopsies, and autopsies, based on timing of biopsy



**Figure 2.** (a) Reporting of histologic descriptors of tubular injury in overall studies. (b) Reporting of histologic descriptors of tubular injury in native kidney biopsy studies. \*TBM involvement includes tubular basement membrane rupture, duplication, thickening, or thinning.

or among different etiologies. Electron microscopy and immunohistochemistry were used in a minority of studies.

The need for better understanding of ATI clinically and histologically in aging patients with more advanced CKD is unmet. Contemporary AKI is much more common in older patients and occurs in 25% of

patients older than 75 years in the intensive care setting.<sup>4,5</sup> However, even in this relative younger population in the present study, ATI caused severe AKI in most cases, often with incomplete recovery. This is consistent with previous studies of long-term outcomes of AKI including significant risk for CKD, ESKD, and mortality.<sup>28</sup> However, notably absent in the

literature are biopsy findings in patients with mild AKI, which is 3- to 4-fold more common than severe AKI in clinical practice.<sup>29</sup>

Histologically, ATI most commonly involves proximal tubular injury, which is consistent with morphologies in animal models of toxic and septic injury.<sup>30</sup> The primary location of injury in states of hypoperfusion is still a matter of debate. Early human studies demonstrated a relatively greater extent of injury to the distal nephrons, such as the medullary thick ascending limbs (mTAL) and medullary collecting ducts, than to the proximal tubules.<sup>31,32</sup> However, a warm ischemia reperfusion model demonstrated primarily proximal (S3 segment) tubular injury, whereas cold ischemia models commonly resulted in mTAL injury.<sup>13,30,33</sup> Although our study demonstrated almost universal involvement of proximal tubules, the analysis could be limited by sampling bias, limited appreciation on routine histologic stains of the various tubular segments, and limited morphologic changes that are appreciated by anatomic pathologists in the affected segments.

Tubular injury is a dynamic disease process. Tubular cells may involve a series of histological changes and transitions from an injury phase to a maintenance phase and finally to repair/recovery or chronic injury.<sup>34</sup> Brush border loss, tubular simplification, flattening, and resultant dilatation and irregularity of tubular lumen represent a series of perhaps sequential events during this process of tubular injury.<sup>16</sup> However, this chain of events is poorly established in the clinical literature. Notably, we have demonstrated that there were no significant histologic differences between biopsies performed before versus after serum creatinine peaked, further reinforcing the notion that serum creatinine is an insensitive marker for the extent of tubular injury.<sup>35</sup> The increasingly recognized roles of urinary biomarkers in AKI will hopefully facilitate early detection of tubular injury and enhance the understanding of the disease process and its correlation with histological changes.<sup>35</sup>

Numerous medications, including anticoagulants, antibiotics, and immune check point inhibitors, have been found to be associated with ATI with different proposed mechanisms.<sup>36–38</sup> Our knowledge of certain tubular diseases, such as light chain tubulopathy, bilirubin cast nephropathy, and phosphate nephropathy, have also been emerging.<sup>39–41</sup> Although tubular injury can be caused by hypoperfusion or toxicities from medications, crystal, or pigment casts, they can also occur in primary glomerular diseases and acute interstitial nephritis and can even be part of antibody-mediated rejection in transplant kidneys. The mechanisms of ATI associated with these diseases were

diverse, likely involving ischemic injury from hemodynamic compromise in nephrotic syndrome, and tubular toxicity from proteinuria or hemoglobin cast, or immune-mediated tubular injury.<sup>42–44</sup> However, our study failed to demonstrate any significant difference in tubular cell injury between various etiologies, possibly due to lack of power in certain disease groups. Patients with ATI secondary to well-established etiologies such as hypoperfusion rarely undergo a kidney biopsy in clinical practice, which may result in selection bias. These clinical cases are also unlikely to be published, leading to publication bias. The majority of included studies were case reports and single-center case series, which are inherently subject to low reproducibility, accuracy, and interobserver variability.<sup>45–47</sup> The lack of high-quality human ATI studies therefore highlights the importance of establishing multicenter studies with uniform sampling methods and standardized reporting system to make meaningful subgroups of ATI based on pathophysiology and disease severity.

In our assessment, a small proportion of studies applied immunohistochemistry stains. These additional stains were used to differentiate inflammatory cell infiltration or to facilitate the understanding of the pathogenesis. Several tissue markers, such as KIM-1, neutrophil gelatinase-associated lipocalin (NGAL), hemoxygenase-1, and osteopontin, have been shown to correlate with stage of ATI, to differentiate etiologies, to identify pathogenic pathways, and to provide prognoses.<sup>48–55</sup> Because little is known about the expression of tissue markers in human ATI and their correlation with histological changes, the utility of these markers requires further investigation.<sup>54–59</sup> Similarly, only less than half of the included studies performed electron microscopy. Ischemic and toxic ATI may have different patterns of injury, with ischemic ATI marked by autophagy pathway with extensive autophagosomes, and toxic ATI marked by extensive necrosis, dilation of endoplasmic reticulum, and mitochondria swelling with inclusions.<sup>60–62</sup> Incorporating electron microscopy in a standardized protocol for diagnosing and studying human ATI may improve the global understanding of ATI pathophysiology, link histology to etiologies, and advance precision medicine in kidney diseases.

The underreporting of demographic information, clinical presentations, and patterns of tubular injury in a number of included studies may have contributed to potential selection bias and sampling biases. In addition, as different histologic changes may describe the same disease process, there may have been redundancy in the nomenclature. This highlights the importance of standardized case descriptions and pathology reports in

both clinical practice and research, where harmonization of the ontologies for histologic description of ATI would be most useful. Standardized descriptions of ATI histology to include severity and phase of damage have not been established to correlate disease severity clinically and to provide prognosis.<sup>16</sup> A recent study of pathology reports on glomerulonephritis proposed a standardized classification to facilitate diagnosis, to make treatment decisions, and to evaluate prognosis.<sup>63</sup> The introduction of the Banff classification has also benefited clinical decision making in the transplantation setting.<sup>64</sup> Tubular cell simplification, sloughing, and regeneration in native kidney biopsies were shown to correlate well with clinical AKI. There are also scoring systems developed to evaluate transplant ATI and its prognostic value.<sup>65,66</sup> However, these scoring systems have not been widely assessed in large cohorts of native kidney biopsies, and the prognostic value of each histologic description remains unclear. Standardizing pathology reporting may help to objectively quantify the extent and severity of tubular injury, to predict renal recovery, to stimulate epidemiologic and mechanistic research, and eventually to shed light on therapeutic options for ATI.

This current systematic review is the first study to systematically summarize the histologic manifestation of human ATI. We used a comprehensive search strategy with a sensitive filter for AKI to maximize our search.<sup>14</sup> With the assistance of librarians in the Icahn School of Medicine at Mount Sinai and Johns Hopkins University School of Medicine, we were able to include studies as early as 1936. However, we do acknowledge several important limitations. The current review focused only on human ATI studies, and animal studies were excluded. This may underestimate the variety of histological changes in tubular injury. As mentioned above, this analysis was also subject to publication bias, as patients with mild AKI or AKI from well-established etiologies are less likely to undergo kidney biopsy. There could also be selection bias and sampling bias reflected by the amount of missing information in clinical characteristics and injury pattern. However, the results of our study highlighted the importance of standardized case presentation and pathology report. With the efforts of large collaborative, multi-center studies such as the Kidney Precision Medicine Project within which biopsies are being performed in participants with ATI, our knowledge of this common disease will hopefully improve in this decade.<sup>67</sup>

In conclusion, ATI biopsies were performed in relatively young patients with underlying mild CKD. Injury is located primarily in the proximal tubules, with many histological manifestations. However, there

is a significant underreporting of clinical details and histology descriptions. We urge establishing protocols to harmonize biopsy practices, handling of kidney biopsy samples for tissue interrogation, and reporting of results across clinical centers. This will facilitate knowledge generation and the development of meaningful subgroups to link histological changes on biopsy to clinical etiologies so as to guide prognosis and practice.

## DISCLOSURE

CRP is a member of the advisory board of RenalytixAI and owns equity in the same. He also serves as a DSMB member for Genfita. All the other authors declared no competing interests.

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## AUTHOR CONTRIBUTIONS

YW, CY, and CRP participated in the study design. YW, CY, and SPM participated in abstract and full text review. YW carried out statistical analysis. YW, CY, SPM, AZR, and CRP drafted and revised the paper, and all authors approved the final version.

## SUPPLEMENTARY MATERIAL

[Supplementary File \(PDF\)](#)

**Table S1.** Histologic descriptions of tubular injury and definition based on prior studies.

**Table S2.** Tubular injury histologic descriptors in ATI in native kidney biopsy, transplant kidney biopsy, and autopsy studies.

**Table S3.** Histologic description of tubular injury in ATI based on categories of etiologies.

**Table S4.** Histologic description of tubular injury in ATI before and after creatinine peaked in native kidney biopsies.

**Table S5A.** Histologic descriptions of tubular cell ultrastructure reported in studies of ATI.

**Table S5B.** Histologic descriptions of tubular cell ultrastructure seen both under electron microscopy and brightfield.

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