

## RESEARCH ARTICLE

# Cortical alterations in phobic postural vertigo – a multimodal imaging approach

Pauline Popp<sup>1,2,a</sup>, Peter zu Eulenburg<sup>1,2,3,a</sup>, Thomas Stephan<sup>1,2,3</sup>, Rainer Bögle<sup>1,2,3</sup>, Maximilian Habs<sup>1,3</sup>, Peter Henningsen<sup>3,4</sup>, Regina Feurecker<sup>1,3</sup> & Marianne Dieterich<sup>1,2,3,5</sup>

<sup>1</sup>Department of Neurology, Ludwig-Maximilians Universität, Munich, Germany

<sup>2</sup>Graduate School of Systemic Neurosciences, Ludwig-Maximilians Universität, Munich, Germany

<sup>3</sup>German Center for Vertigo and Balance Disorders, Ludwig-Maximilians Universität, Munich, Germany

<sup>4</sup>Department of Psychosomatic Medicine and Psychotherapy, Technical University, Munich, Germany

<sup>5</sup>SyNergy, Munich Cluster of Systems Neurology, Munich, Germany

## Correspondence

Marianne Dieterich, Department of Neurology, Ludwig-Maximilians-Universität München, Marchioninistraße 15, 81377 Munich, Germany. Tel: 0049-89-4400 72571; E-mail: marianne.dieterich@med.uni-muenchen.de

## Funding Information

The work was supported by funds from the German Research Foundation (GRK Grant Code 1091), the German Federal Ministry of Education and Research (BMBF Grant Code 01 EO 0901) and German Foundation for Neurology.

Received: 31 August 2017; Revised: 22 March 2018; Accepted: 22 March 2018

*Annals of Clinical and Translational Neurology* 2018; 5(6): 717–729

doi: 10.1002/acn3.570

<sup>a</sup>The authors contributed equally to the study.

## Introduction

Functional dizziness syndromes are among the most common diagnoses in chronic vertigo patients.<sup>1</sup> Recently, the Committee for Classification of Vestibular Disorders of the International Society for Neurootology – the Bárány Society – established a definition for functional dizziness syndromes for the disorder “persistent perceptual-postural dizziness” (PPPD)<sup>2,3</sup> based on clinical observations and data on phobic postural vertigo (PPV),<sup>4</sup> chronic subjective dizziness (CSD),<sup>5</sup> visual vertigo,<sup>6</sup> and space and motion discomfort.<sup>7</sup> As PPPD was not yet established at

## Abstract

**Objective:** Functional dizziness syndromes are among the most common diagnoses made in patients with chronic dizziness, but their underlying neural characteristics are largely unknown. The aim of this neuroimaging study was to analyze the disease-specific brain changes in patients with phobic postural vertigo (PPV). **Methods:** We measured brain morphology, task response, and functional connectivity in 44 patients with PPV and 44 healthy controls. **Results:** The analyses revealed a relative structural increase in regions of the prefrontal cortex and the associated thalamic projection zones as well as in the primary motor cortex. Morphological increases in the ventrolateral prefrontal cortex positively correlated with disease duration, whereas increases in dorsolateral, medial, and ventromedial prefrontal areas positively correlated with the Beck depression index. Visual motion stimulation caused an increased task-dependent activity in the subgenual anterior cingulum and a significantly longer duration of the motion aftereffect in the patients. Task-based functional connectivity analyses revealed aberrant involvement of interoceptive, fear generalization, and orbitofrontal networks. **Interpretation:** Our findings agree with some of the typical characteristics of functional dizziness syndromes, for example, excessive self-awareness, anxious appraisal, and obsessive controlling of posture. This first evidence indicates that the disease-specific mechanisms underlying PPV are related to networks involved in mood regulation, fear generalization, interoception, and cognitive control. They do not seem to be the result of aberrant processing in cortical visual, visual motion, or vestibular regions.

the beginning of this study, the patients included in our study were evaluated according to the initial diagnostic criteria of PPV.<sup>8,9</sup> PPV is defined by symptoms of subjective postural imbalance and dizziness, but objectively normal neuro-otological test results, often accompanied by obsessive-compulsive personality traits, anxious and depressive symptoms. Later posture and gait analyses disclosed typical leg muscle co-activation during stance and gait indicating an anxious behavior.<sup>4,9–11</sup> PPV patients are constantly preoccupied with their balance, anxiously monitoring it.<sup>10–14</sup> Precise posturographic analyses of stance showed that PPV patients increased their postural

sway by co-contracting the flexor and extensor leg muscles during normal stance.<sup>10–12</sup> During difficult balancing tasks, however, their posturographic data did not differ from those in healthy subjects.<sup>15</sup> Furthermore, compared to other types of vertigo the prevalence of psychiatric disorders, typically depression or anxiety is increased in functional dizziness.<sup>16,17</sup> At the beginning, the dizziness in PPV occurs in attacks often induced by typical triggers of phobic syndromes (e.g., bridges, driving a car) or by a moving visual scene<sup>8,9</sup> before it comes to a generalization of dizziness. Consequently, patients show avoidance behavior and a tendency to generalize the provoking stimuli.<sup>18</sup>

Up to now very little is known about how these behavioral consequences are linked to cortical neural networks, especially the visual and vestibular networks during visual stimulation and the emotional network. In a functional magnetic resonance imaging (fMRI) study with sound-evoked vestibular stimulation CSD patients showed reduced activity and altered functional connectivity in vestibular, visual, and prefrontal cortical regions.<sup>19</sup> These findings suggest that the persisting vestibular symptoms may be linked to aberrant activity and connectivity within the vestibular-visual-prefrontal network.

The current multimodal neuroimaging study analyzed brain morphology, activity, and connectivity in patients with PPV to pin-point disease-specific mechanisms, using voxel-based morphometry, functional magnetic resonance imaging, and functional connectivity analyses.

## Methods

### Participants

Forty-four patients with primary PPV (mean age  $44 \pm 14$  years, 24 females, 42 right-handers) and 44 healthy controls (HC, mean age  $43 \pm 14$ , 24 females, 42 right-handers) participated in the study. All patients were recruited from the Department of Neurology and the German Center for Vertigo and Balance Disorders, Ludwig-Maximilians University, Munich, Germany, from January 2010 to December 2015. They underwent a detailed neurological and neuro-otological onsite assessment to exclude possible organic or other somatoform disorders. Vestibular testing of the vestibulo-ocular reflex included bilateral caloric irrigation ( $30/44^{\circ}\text{C}$ ) for the low-frequency range and a clinical head-impulse test<sup>20</sup> for the high-frequency functions of the semicircular canals, as well as measurements of the subjective visual vertical and ocular torsion for otolith function.

The diagnosis of PPV was based on the following diagnostic criteria: subjective dizziness and/or posture and gait instability, but no pathological findings in neurological and

neuro-otological tests, as acknowledged by the Bárány Society.<sup>3,8</sup> The HCs were individually age- and gender-matched to the patients and had no history of psychiatric, neurological, or neuro-otological disorders. Patients and HCs were not allowed to take any psychoactive medication, and should not have a cerebrovascular disorder. All subjects completed the German version of the Beck Depression Inventory, BDI.<sup>21</sup> The study was approved by the local Ethics Committee of the Ludwig-Maximilians-University, Munich, Germany. All subjects gave their informed written consent to participate in the study.

### Neuroimaging data acquisition

Structural and functional images were acquired on a clinical 3T scanner (GE, Signa Excite HD, Milwaukee, WI, USA) at the hospital of the Ludwig-Maximilians-University, Munich, using a 12-channel head coil. The functional images were recorded using a T2\*-weighted gradient-echo echo-planar imaging sequence sensitive to blood oxygen level dependent (BOLD) contrast (repetition time TR = 2.45 sec, echo time TE=40 msec, flip angle FA=90°, voxel size  $3 \times 3 \times 3$  mm, 38 transversal slices). Each of the three consecutive functional runs contained 264 MRI volumes covering the whole brain. Four prior scans to allow for magnetization equilibrium by the scanner were discarded automatically. Slices were measured in an ascending interleaved order. The high-resolution structural T1-weighted image (slice thickness=0.7 mm, matrix  $256 \times 256$ , field of view 220 mm, phase encoding direction=anterior/posterior, FA=15 msec, bandwidth=31.25, voxel size:  $0.86 \times 0.86 \times 0.7$  mm) was acquired at the start of the MRI session.

### Functional neuroimaging

Thirty-four patients (mean age  $40 \pm 13$ , 16 females, 32 right-handers) and 37 HCs (mean age  $43 \pm 26$ , 18 females, 34 right-handers) participated in the functional neuroimaging experiment.

The subjects were equipped with a Lumina LU400-Pair button response unit (<http://cedrus.com/lumina/>), ear-plugs, and sound-isolating headphones. A laptop running MATLAB 8.0 (The MathWorks, Inc., Natick, Massachusetts, US) and the cogent 2000 toolbox ([http://www.vislab.ucl.ac.uk/cogent\\_2000.php](http://www.vislab.ucl.ac.uk/cogent_2000.php)) delivered the stimuli. The field of view was restricted to  $\pm 24.9^{\circ}$  in the horizontal and  $\pm 18.9^{\circ}$  in the vertical plane.

The stimulation paradigm consisted of subsequent periods of stationary and moving patterns, intended to trigger the visual motion aftereffect (MAE), that is, the illusion that occurs after being exposed to a moving directional stimulus for a prolonged time.<sup>22</sup> The experiment, modeled

in a block-design, comprised three runs ( $3 \times 11$  min), each including 12 blocks of moving stimulation ( $7^\circ/\text{sec}$ ), followed by a stationary period of 27.5 sec each. The stimuli consisted of 600 black and white dots (diameter =  $0.5^\circ$ ) randomly positioned on a gray background. The subjects were instructed to indicate the end of the experienced MAE using the response unit during the stationary period.

## Data analysis

### Behavioral data

The behavioral data were analyzed in SPSS 22.0 (SPSS Inc., Chicago, Illinois, US). After testing for normality, two-sample *t*-tests were applied to compare the means of the latencies of the MAE in the different conditions between the two groups. *P* values below a value of 0.05 were considered significant.

### Voxel- and surfaced-based morphometry (VBM/SBM)

The CAT12 toolbox Version 1109 (<http://dbm.neuro.uni-jena.de/cat/>) was used to perform voxel-based morphometry and surface-based cortical thickness analysis. The T1-weighted image was DARTEL-normalized<sup>23</sup> to MNI space, segmented into gray matter, white matter, and cerebrospinal fluid and smoothed with an 8-mm FWHM Gaussian kernel filter. Surface reconstructions of cortical thickness values for each hemisphere were resampled and then smoothed with a 15-mm filter.<sup>24</sup> The modulated normalized volume images were combined in a whole-brain voxelwise statistical analysis (two-sample *t*-test) in the VBM approach and age and total intracranial volume (TIV) were entered as nuisance regressors in each comparison. An association was tested for BDI score and duration of disease and voxelwise gray matter density information in two separate random-effects multiple regression analyses. Activation maps were thresholded at  $P < 0.001$  (uncorrected) for cluster definition and considered significant at  $P < 0.05$  (FDR corrected) at a cluster level with a minimum cluster size of 10 voxels.<sup>25</sup> The resulting regions were visualized and identified with the anatomy toolbox in SPM12.<sup>26</sup>

### Task-based fMRI data analysis

Data processing was performed with MATLAB using SPM12 (<http://www.fil.ion.ucl.ac.uk/spm/software/spm12/>). Prior to preprocessing the motion fingerprint algorithm<sup>27</sup> was used to detect head motion larger than 3 mm or  $3^\circ$  in any axis or direction within one session with respect to the first image.

The functional images were slice-time corrected and realigned to the mean image of the respective run. The structural image was coregistered to the mean functional image, followed by segmentation into gray and white matter and DARTEL registration. Subsequently, the functional images were normalized with the DARTEL-derived flow fields<sup>23</sup> using a publicly available template (<http://brain-development.org/ixi-dataset/>) and spatially smoothed with a 6-mm Gaussian kernel filter.

A general linear model (GLM) assessed the effects of the task parameters on the BOLD activation for each subject using SPM 12. First-level GLMs included the experimental conditions Motion and Aftermotion, convolved with the canonical hemodynamic response function (HRF). Motion was modeled as of fixed duration, whereas the Aftermotion duration was defined by inserting the individually recorded duration of the MAE in the design matrix. The previously acquired realignment parameters were included as additional regressors of no interest. Low frequency signal drift was eliminated using a standard high-pass filter (cut-off, 128s). The contrasts Motion and Aftermotion were defined to compute contrast images.

The contrast images from the first-level analysis were used to employ a random-effects model to examine group differences. Two-sample *t*-tests between healthy subjects and patients as well as linear correlation analyses with behavioral data were performed. Activation maps were thresholded at  $P < 0.001$  (uncorrected) for cluster definition and considered significant at  $P < 0.05$  (FDR corrected) at a cluster level with a minimum cluster size of 10 voxels.<sup>25</sup> The resulting regions were visualized and identified with the anatomy toolbox (Version 2.2c) in SPM12.<sup>26</sup>

### Task-based functional connectivity

Functional connectivity was analyzed using the DPARSFA 4.1 (<http://rfmri.org/dpabi>) toolkit implemented in SPM 12, using the first run of the functional images. Data were slice-time corrected and realigned for head motion correction. The corrected images were DARTEL-normalized to MNI space,<sup>23</sup> resampled to  $2 \times 2 \times 2$  mm<sup>3</sup> and spatially smoothed with a 6-mm FWHM Gaussian kernel filter. Nuisance covariates, including cerebrospinal fluid and white matter signals, were regressed out of the BOLD signals, and band-pass filtering (0.01–0.1 Hz) was applied to reduce noise derived from physiological signals. Based on the results obtained in the VBM analysis and fMRI experiment, regions of interest (ROIs) were defined as follows: frontopolar area (fpPFC), orbitofrontal cortex (OFC), and subgenual anterior cingulate cortex (sACC). Activity within the anatomically defined ROIs was extracted and correlated

**Table 1.** Demographical, clinical, and psychophysical data in phobic postural vertigo patients (PPV) and healthy controls (HC).

Characteristics	PPV	HC
Participants (total)	44	44
Age (SD) in years	44 (14)	43 (14)
Gender	24 females	24 females
Handedness	42 right-handed	42 right-handed
BDI (SD)	9.3 (6.3)	0.9 (1.1)
Duration of disease (SD) in months	33 (37)	–
Participants fMRI experiment	34	37
Age (SD) in years	40 (13)	43 (26)
Gender	16 females	18 females
Handedness	32 right-handed	34 right-handed
MAE (SD) in seconds	5.70 (1.71)	3.68 (1.17)

SD, standard deviation; BDI, Beck Depression Inventory; MAE, motion aftereffect.

with all other gray matter voxels in the brain. The obtained correlation coefficients were then transformed to Fisher *z*-scores. To identify differences in the connectivity of the ROIs between patients and controls, a two-sample *t*-test was carried out. A threshold  $P = 0.05$  was set after FDR correction, with a critical cluster size of 50.

## Results

The mean BDI score of the patients was  $9.3 \pm 6.3$  SD and  $0.9 \pm 1.1$  SD in controls. Across all runs, the patients had significantly longer ( $P < 0.0001$ ) experiences of the MAE as the HCs (Table 1, Fig. 1).

### Voxel-based morphometry

Poor data quality as a result of hardware instabilities of the scanner (spiking) during image acquisition led to the exclusion of seven patients from the study. Therefore, 37 patients and 37 HCs participated in the final VBM/SBM data analyses.

Patients showed increased gray matter volumes (GMV) in the thalamus bilaterally, more specifically, the prefrontal projection zone of the thalamus,<sup>28</sup> precentral gyrus, and primary motor cortical areas and reductions in left supra-marginal gyrus (SMG), bilateral cerebellar lobules, and right posterior middle frontal gyrus (Table 2, Fig. 2).

The duration since disease onset was associated with increased GMV in the ventrolateral prefrontal cortex (vlPFC) bilaterally. A negative correlation was found in postcentral gyrus bilaterally, cerebellar vermis, and right SMG. Relatively higher BDI scores were associated with increased GMV in frontopolar PFC (fpPFC), orbitofrontal cortex (OFC), dorsolateral PFC (dlPFC), medial PFC

(mPFC) as well as bilateral pre- and right postcentral gyrus and with decreased GMV in right middle occipital gyrus, bilateral cerebellar lobules, and left thalamus. SBM analysis revealed greater values for cortical thickness in HCs for ventromedial prefrontal cortex, the insular sulcus and the lingual gyrus in the left hemisphere and a region bordering the anterior cingulate gyrus and the cuneus in the right hemisphere (Fig. 3).

### Functional MRI

To be included in the functional neuroimaging analysis, subjects had to complete at least two runs. The main reason for data exclusion was premature termination of the task-experiment by the subject (overanxiousness). Consequently, 19 patients and 19 matched HCs were included in the final fMRI analysis.

### Task-based fMRI

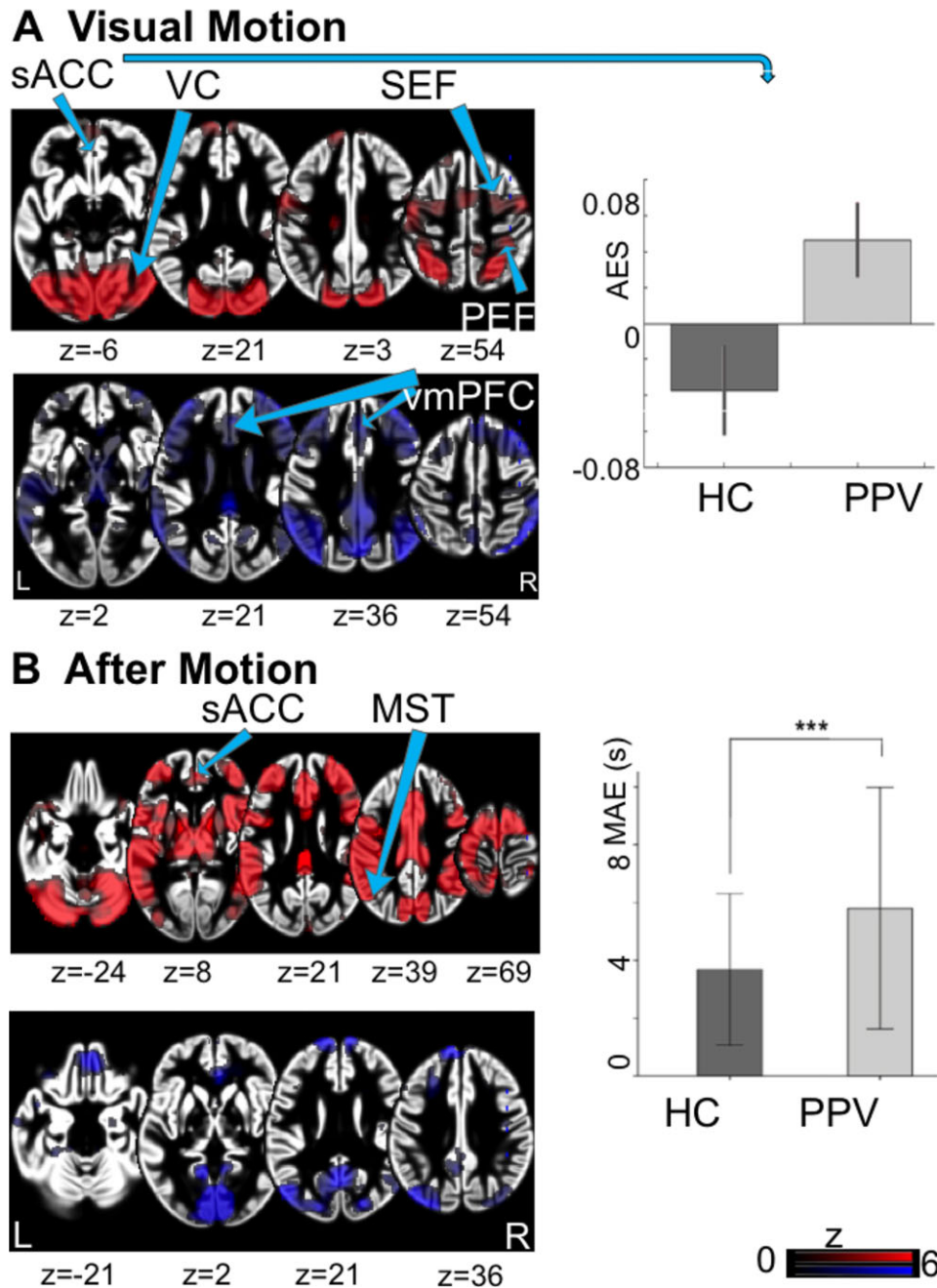
During the visual motion experiment, the response revealed a typical bilateral activation–deactivation pattern in visual and vestibular cortical areas (Fig. 1).<sup>29</sup> Compared to HCs, patients showed increased activations in the subgenual anterior cingulate cortex (sACC). No significant deactivations were found in patients or HCs (Table 3).

### Task-based functional connectivity

Patients showed higher functional connectivity than HCs between fpPFC and thalamus, anterior insular, parahippocampal gyrus, ACC, amygdala, and posterior medial frontal gyrus (Table S1, Fig. 4). Furthermore, patients showed lower functional connectivity of the fpPFC with posterior cerebellar lobules, SMG, and middle temporal gyrus areas. In patients the connections were increased between OFC and precentral gyrus, calcarine fissure and superior parietal lobule. Functional connectivity in patients compared to HCs was decreased between OFC and inferior frontal gyrus, cerebellar vermis, and posterior lobules. Stronger connectivity was seen in patients between sACC and left inferior frontal gyrus, fpPFC, lingual gyrus, postcentral gyrus, thalamus, and cerebellar lobule. There was no decreased connectivity with the sACC.

## Discussion

In this multimodal imaging study, we analyzed morphological changes and task-based functional activity and connectivity of cortical networks in patients with chronic functional dizziness, in particular the subtype PPV. We found that the PPV patients had an increase in structural parameters and functional connectivity in regions of the



**Figure 1.** Activation–deactivation pattern during visual motion fMRI experiment and the ensuing motion aftereffect. Statistically significant regions superimposed on a publicly available template from 555 healthy subjects (<http://brain-development.org/ixi-dataset/>) ( $P < 0.0001$ , uncorrected, for cluster definition and considered significant at  $P < 0.05$  (FDR corrected) at cluster level). Red cluster depict significant activation, blue cluster depict significant deactivations. (A) Activation–deactivation pattern in all subjects during visual motion paradigm. Plot depicts activity extracted from sACC in PPV patients and HC. (B) Activation–deactivation pattern in all subjects during aftermotion paradigm. Plot depicts the duration of the subjective motion aftereffect (MAE) in seconds in PPV and HC. The three asterixes indicate the highly significant ( $P < 0.0001$ ) difference in MAE perception duration between the two groups. AES, arbitrary effect size; FEF, frontal eye fields; L, left; MST, medial superior temporal; PEF, parietal eye fields; R, right; sACC, subgenual anterior cingulate cortex; SEF, supplemental eye fields; VC, visual cortex; vmPFC, ventromedial prefrontal cortex.

**Table 2.** Gray matter volume in phobic postural vertigo patients (PPV) compared to healthy controls (HC) including covariats.

Brain Region	Hemisphere	Peak <i>T</i> value	Voxels	MNI coordinates			Brodmann area
				<i>x</i>	<i>y</i>	<i>z</i>	
PPV>HC							
Thalamus	r	8.10	5157	3	-21	10	
Thalamus	l	7.48	3862	-17	-32	8	
Paracentral lobule	l	3.83	171	-10	-30	78	BA4
Precentral gyrus	l	3.41	59	-37	-27	69	BA4
Postcentral gyrus	l	3.44	23	-21	-40	73	BA3
PPV<HC							
Supramarginal gyrus	l	4.00	765	-49	-36	31	BA40
Cerebellum, lobule VIIa Crus II	r	3.40	81	11	-87	-29	
Cerebellum, lobule VIIa Crus I	l	3.41	72	-52	-62	-21	
Posterior MFG	r	3.33	36	12	-5	52	BA6
BDI positive correlation							
Precentral gyrus	r	6.34	1570	6	-25	59	BA4
mPFC	r	5.09	430	5	64	-8	BA10
Paracentral lobule	r	4.83	405	10	-28	80	BA4
dIPFC	r	4.26	74	27	36	28	
dIPFC	l	4.19	41	-35	35	43	
vmPFC/OFC	l	3.83	163	-38	50	-17	
Precentral gyrus	l	3.78	149	-36	-21	69	BA4
vmPFC	r	3.76	10	12	31	-11	BA11
Superior occipital gyrus	r	3.74	18	28	-72	40	
Postcentral gyrus	r	3.70	173	46	-32	61	BA1
BDI negative correlation							
Cerebellum, lobule VI	r	4.89	326	29	-48	-37	
Thalamus	l	4.74	239	-13	-15	0	
Middle occipital gyrus	r	4.55	346	51	-76	7	
Cerebellum, lobule IX	l	4.18	158	-12	-47	-45	
Disease duration positive correlation							
vIPFC	l	4.30	501	-55	34	3	BA45
vIPFC	r	3.77	18	52	-2	19	BA44
Disease duration negative correlation							
Postcentral gyrus	r	4.41	539	63	-20	36	BA1
Postcentral gyrus	l	4.05	132	-46	-23	43	BA3
Supramarginal gyrus	r	3.94	284	50	-47	50	
Superior frontal gyrus	r	3.88	72	10	37	57	
Cerebellum, dentate gyrus	r	3.84	357	14	-65	-33	
Cerebellum, vermis	r	3.77	83	3	-55	-28	

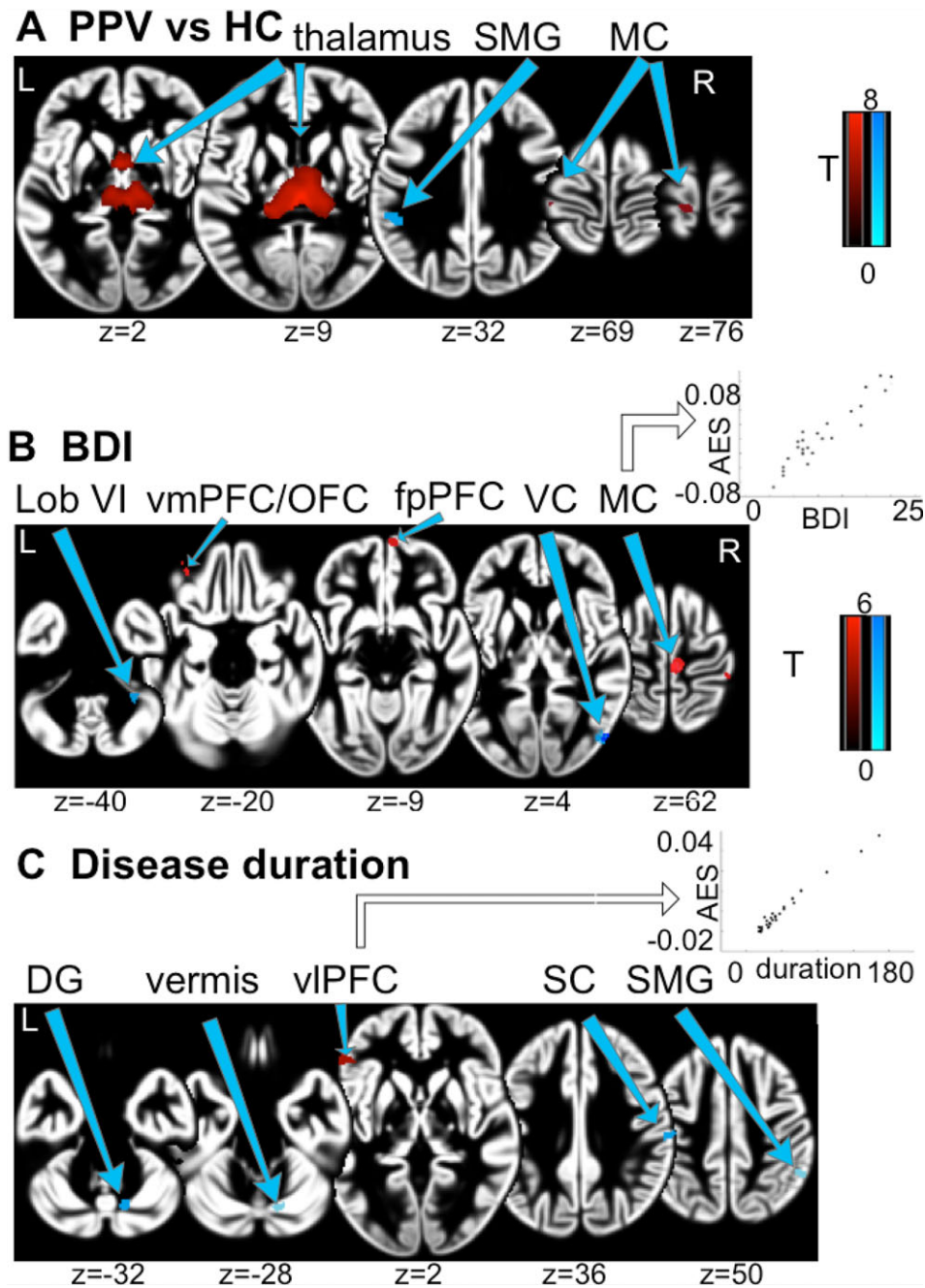
DIPFC, dorsolateral prefrontal cortex; l, left; MFG, medial frontal gyrus; mPFC, medial prefrontal cortex; OFC, orbitofrontal cortex; r, right; vIPFC, ventrolateral prefrontal cortex; vmPFC, ventromedial prefrontal cortex.

prefrontal cortex and the associated thalamic projection zones bilaterally, as well as in the primary motor cortex compared to controls. Furthermore, analyses in the patients revealed a decrease in gray matter volume and connectivity in cerebellar regions including the cerebellar vermis and the posterior lobules as well as the supramarginal gyrus. Gray matter volume increases in various prefrontal areas correlated positively with the disease duration and the depression index. These task-based functional activity and connectivity analyses disclosed an aberrant involvement of networks known to be involved in regulating mood, emotion, and interoception as well as motor control. Much to

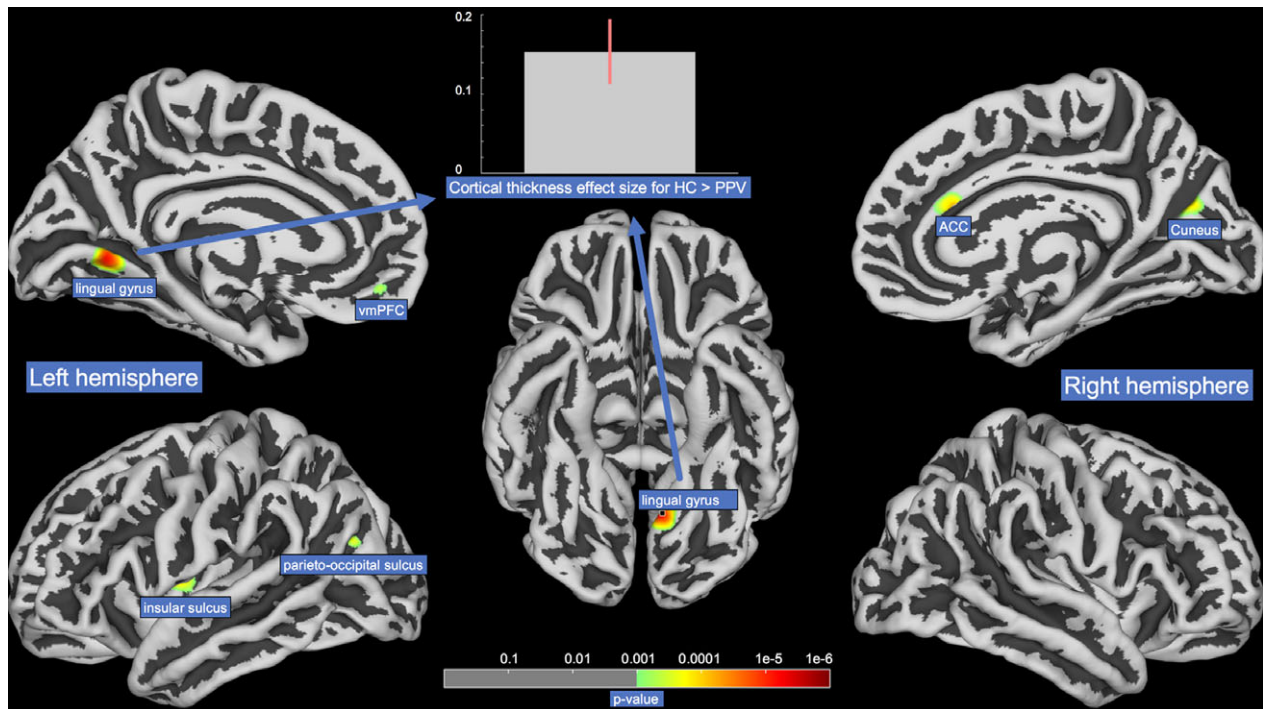
our surprise no significant effects were found in the primary visual and vestibular cortical areas.

### Prefrontal cortex and thalamus

PPV patients demonstrated a significant gray matter increase in the “higher-order” thalamus, which projects to the prefrontal cortex<sup>28</sup> and plays a vital role in mood-regulating circuits and enables fast responses to threats.<sup>30</sup> Complementary, task-based functional connectivity analyses revealed a hyperconnectivity between the mPFC, sACC, and the mediodorsal thalamus. Indeed, recent studies



**Figure 2.** Structural differences found in phobic postural vertigo patients (PPV). Significant VBM results superimposed on a publicly available template from 555 healthy subjects (<http://brain-development.org/ixi-dataset/>) ( $P < 0.0001$  (uncorrected) for cluster definition and considered significant at  $P < 0.05$  (FDR corrected at cluster level)). Red colored clusters depict gray matter increases, blue cluster depict gray matter decreases. (A) Gray matter volume (GMV) changes in PPV patients compared to healthy controls (HC). (B) GMV changes in PPV patients that correlated positively with the BDI values ( $P < 0.001$ ). The scatter plot depicts the GMV changes for the right paramedian motor cortex (leg region) in the precentral gyrus that showed a positive correlation with the BDI index. (C) GMV changes in PPV patients that correlated with the duration of the disease. The scatter plot depicts the GMV changes for the left vIPFC which correlated with the duration in months. AES, arbitrary effect size; BDI, beck depression index; DG, dentate gyrus; fpPFC; frontopolar prefrontal cortex; L, left; Lob VI, cerebellar lobule VI; MC, motor cortex; OFC, orbitofrontal cortex; R, right, SMG; supramarginal gyrus; VC, visual cortex; vIPFC, ventrolateral prefrontal cortex; vmPFC, ventromedial prefrontal cortex.



**Figure 3.** Differences in cortical thickness in phobic postural vertigo patients (PPV) compared to healthy controls (HC). All results shown reflect regions where the PPV patients had a lesser cortical thickness compared to the healthy controls. Exemplary effect size illustrated for the finding in the lingual gyrus of the left hemisphere. For a detailed atlas-based localization of the nodes, please see Table S1. ACC, anterior cingulate cortex; vmPFC, ventromedial prefrontal cortex.

reported increased thalamo-cortical connectivity in various mood disorders during phobic-related threat stimulation.<sup>31</sup> As PPV patients are particularly sensitive to certain sensory stimuli or social situations<sup>3,8</sup> and exhibit a constantly anxious appraisal behavior,<sup>10,11,15</sup> possibly their networks regulating fear responses and emotion might be altered.

Individual disease duration in the patients correlated with volume increase in the vlPFC bilaterally, which is particularly relevant in the cognitive control of motor inhibition and facilitates the capacity to sustain attention.<sup>32,33</sup> PPV patients seem to extensively use these processes, as they are typically constantly occupied with controlling their posture and engaged in intense rumination.<sup>10–12,14,34</sup> Thus, over time the recruitment of additional resources to avoid phobic responses could manifest as a structural volume increase in vlPFC.

Mood disorders are a common comorbidity in PPV<sup>12</sup> and CSD.<sup>5</sup> A positive correlation was observed between BDI and GMV in several prefrontal areas in our patients. A mutual characteristic of depression and PPV is an increased self-focus and excessive self-referential appraisal, mainly regulated by mPFC.<sup>35</sup> The dlPFC is generally associated with attentional top-down control suppression of fear-induced behavior and part of the cognitive control

network.<sup>36</sup> Increased GMV in mPFC in more depressive PPV patients might reflect the excessive self-focus and appraisal,<sup>37</sup> whereas an increase in dlPFC may be the result of cognitive control of immoderate fear response<sup>31</sup> to the expected postural threat.

### Affective, interoceptive, and orbitofrontal networks

During the visual motion stimulation experiment patients showed significantly increased activation in the sACC, a region involved in emotional processing<sup>38</sup> commonly found to be inactive during visual motion stimulation in healthy subjects.<sup>29</sup> For comparison, CSD patients showed decreased activity in the postero-insular vestibular cortex, hippocampus, and ACC and a disruption of the vestibular-visual-anxiety network when stimulated with loud, short tone bursts to evoke a vestibular-acoustic response.<sup>19</sup> These results during stimulation differ from our findings; however, the stimulation paradigm and targeted sensory modality were not visual but auditory-vestibular. Our findings (during visual stimulation) suggest that the pathophysiology of PPV includes some deficits in fear regulation as the visual stimuli lead to the activation of pathways related to fear. The interaction of



**Table 3.** Significant hyperactivations during visual stimulation and aftermotion period in phobic postural vertigo (PPV) patients versus healthy controls (HC)

Brain Region	Hemisphere	Peak <i>T</i> value	Voxels	MNI coordinates			Cytoarchitectonic area
				<i>x</i>	<i>y</i>	<i>z</i>	
Visual motion PPV>HC							
Mid orbital gyrus	l	4.52	21	-4	26	-6	s24
Visual motion PPV<HC							
None	-	-	-	-	-	-	-
After motion PPV<HC							
Mid orbital gyrus	l	3.77	15	-4	32	-8	s32
After motion PPV<HC							
None	-	-	-	-	-	-	-

l, left.

emotional disorders and vestibular symptoms has been comprehensively discussed in the literature<sup>39,40</sup>. Although not all PPV patients qualify as having psychiatric disorders, most develop an avoidance behavior.<sup>8</sup> Moreover, an introverted, dependent, and anxious personality is a potential risk factor for the development and negative course of PPV.<sup>41</sup> Patients with a personality of high resilience and optimism are less likely to develop persistent dizziness after an acute vestibular disorder,<sup>42</sup> whereas personality traits such as neuroticism and introversion influence brain responses to vestibular and visual stimuli on visual-vestibular-anxiety systems.<sup>43,44</sup> We found task-dependent hyperconnectivities within brain networks regulating various aspects of emotional behavior and interoceptive pathways in PPV patients (Fig. 4), known to have similarly altered connectivities in patients with mood disorders<sup>45,46</sup>: the fear-generalization network,<sup>47</sup> the interoceptive network,<sup>48</sup> and the orbitofrontal network.<sup>49</sup> The enhanced connectivity within these networks might explain PPV patients' over-generalization and phobic response to certain stimuli or situations, a disturbed self-awareness, and an increased compensatory mechanism for evaluating the specificity of potentially phobic stimuli, respectively.

The networks, which are in healthy controls typically highly connected during visual motion processing, including the precuneus, SMG and MT/V5,<sup>50</sup> appeared to be less connected in PPV patients during our experiment. From posturographic studies and gait analyses it is known that PPV patients exceedingly rely on visual input during standing<sup>51</sup> and walking.<sup>10</sup> Patients with visually induced dizziness, also known as visual vertigo, also show an increased connectivity between thalamus, occipital cortex, and cerebellar areas.<sup>52</sup> Furthermore, during a self-motion simulation in PPPD patients higher dizziness handicap values correlated positively with occipital activity.<sup>53</sup> Thus, it seems possible that PPV patients shift their attentional focus toward mere visual information and as a

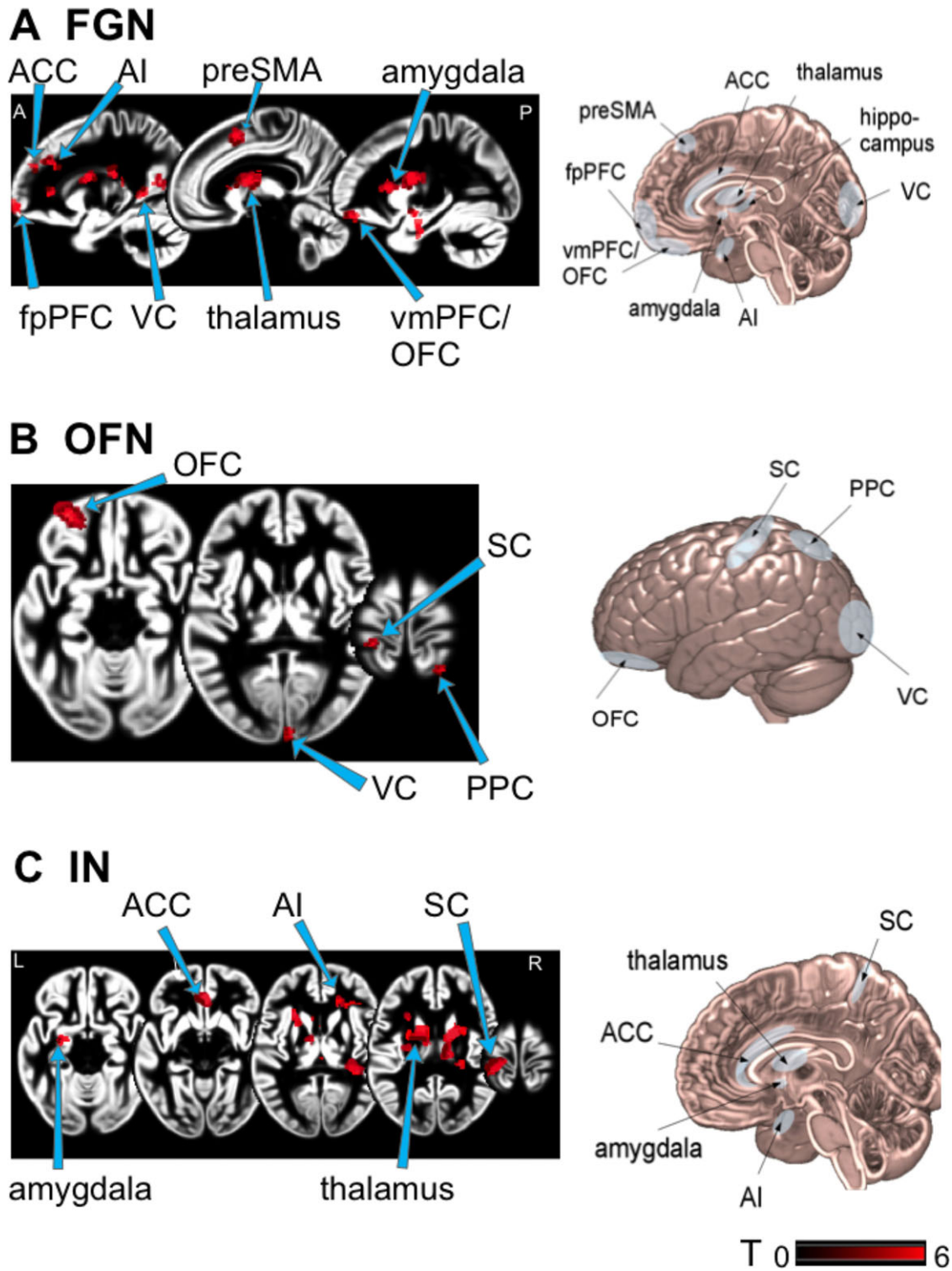
consequence attenuate secondary visual integrating networks. This might result in the high sensitivity to visual stimuli, which is underpinned by the significantly longer duration of the MAE.

### Motor cortex/Cerebellum

We found structural increases in the leg area of the primary motor cortex,<sup>54</sup> which moreover correlated positively with the depression index and functional hyperconnectivity between motor and prefrontal cortex. These findings are complemented by results from posturographic studies and gait analyses in PPV patients, for they show a typical abnormal strategy for postural control of stance and gait.<sup>10,11</sup> The constant co-contraction of the anti-gravity muscles during normal stance in PPV patients seems to be an expression of the irrational fear of imbalance,<sup>11</sup> also observed in specific phobias.<sup>55</sup> It seems likely that the increase in primary motor cortex structure and connectivity with prefrontal areas reflects the predominant cognitive control of stance and gait. On the other hand, the decreases in cerebellar vermis and bilateral cerebellar posterior lobes correlated positively with BDI and disease duration. The cerebellum is important for several aspects of sensorimotor integration such as automatic subconscious motor control.<sup>56</sup> The enhanced use of the primary motor cortex in PPV patients renders the function of subconscious motor control of the cerebellum unnecessary.

### Limitations

The limitation of our study is that the only psychiatric measure available was the BDI, as we found these strong similarities in alterations compared to mood disorders. Future studies should further elucidate the relation and influence of factors such as anxiety and depression on functional dizziness. It is also important to note, that a



**Figure 4.** Altered functional connectivity networks in phobic postural vertigo patients (PPV) compared to healthy controls (HC) with schematic illustrations. Statistically significant regions superimposed on a publicly available template from 555 healthy subjects (<http://brain-development.org/ixi-dataset/>).  $P < 0.05$  was set after FDR correction, with a critical cluster size of 50. ACC, anterior cingulate cortex; AI, anterior insula; FGN, fear-generation network; fpPFC, frontopolar prefrontal cortex; IN, interoceptive network; l, left; OFC, orbitofrontal cortex; OFN, orbitofrontal network; PMC, primary motor cortex; PPC, posterior parietal cortex; preSMA, pre-supplementary motor area; r, right; SC, somatosensory cortex; VC, visual cortex; vmPFC, ventromedial prefrontal cortex.

large number of patients were unable to perform the longer lasting visual stimulation part of the study due to high anxiety. This could indicate that our cohort reflects a more “benign anxiety” patient subgroup.

Taken together, first evidence can be provided that patients with PPV, show an aberrant structure and function of networks and brain regions, known to be altered in mood disorders. On the basis of combined morphometric and functional data, we propose that the disease-specific underlying mechanisms in PPV lie within networks and areas involved in mood regulation, fear generalization, interoception, and cognitive control. Intriguingly, they were not a result of structural changes in primary visual or multisensory vestibular cortical areas. This raises the question whether PPV rather lies at the interface between functional and psychiatric disorders.

## Acknowledgments

We thank Judy Benson, Ludwig-Maximilians-University, Munich, for copyediting the manuscript and Sabine Eßer, Ludwig-Maximilians-University, Munich, for copyediting the illustrations and all subjects for participating in the study. The work was supported by funds from the German Research Foundation (GRK Grant Code 1091), the German Federal Ministry of Education and Research (BMBF Grant Code 01 EO 0901) and German Foundation for Neurology.

## Author Contributions

PP: acquisition and analysis of data, drafting of manuscript and figures. PzE: analysis of data, drafting of manuscript and figures. TS: design of the study, analysis of data. RB, RF, MH, PH: acquisition and analysis of data. MD: conception and design of study, drafting of manuscript.

## Conflict of Interest

The authors report no conflicts of interest.

## References

- Obermann M, Bock E, Sabev N, et al. Long-term outcome of vertigo and dizziness associated disorders following treatment in specialized tertiary care: the Dizziness and Vertigo Registry (DiVeR) Study. *J Neurol* 2015;262:2083–2091.
- Dieterich M, Staab JP. Functional dizziness: from phobic postural vertigo and chronic subjective dizziness to persistent postural-perceptual dizziness. *Curr Opin Neurol* 2017;30:107–113.
- International Classification of Diseases ICD-11 Beta Draft —Joint Linearization for Mortality and Morbidity Statistics [Internet]. [Cited 10 Feb 2017]. Available: <http://apps.who.int/classifications/icd11/browse/l-m/en/#/http://id.who.int/icd/entity/2005792829>
- Brandt T, Dieterich M. Phobischer Attacken-Schwankschwindel, ein neues Syndrom? *Münch Med Wochenschr* 1986;128:247–250.
- Staab JP. Chronic subjective dizziness. *Continuum (Minneapolis)* 2012;18:1118–1141.
- Bronstein AM. Visual vertigo syndrome: clinical and posturography findings. *J Neurol Neurosurg Psychiatry* 1995;59:472–476.
- Jacob RG, Woody SR, Clark DB, et al. Discomfort with space and motion: a possible marker of vestibular dysfunction assessed by the situational characteristics questionnaire. *J Psychopathol Behav Assess* 1993;15:299–324.
- Brandt T. Phobic postural vertigo. *Neurology* 1996;46:1515–1519.
- Dieterich M, Staab JP, Brandt T. Functional (psychogenic) dizziness. *Handb Clin Neurol* 2017;139:447–468.
- Schniepp R, Wuehr M, Huth S, et al. Gait characteristics of patients with phobic postural vertigo: effects of fear of falling, attention, and visual input. *J Neurol* 2014;261:738–746.
- Wuehr M, Brandt T, Schniepp R. Distracting attention in phobic postural vertigo normalizes leg muscle activity and balance. *Neurology* 2017;88:284–288.
- Brandt T, Strupp M, Novozhilov S, Krafczyk S. (2012): Artificial neural network posturography detects the transition of vestibular neuritis to phobic postural vertigo. *J Neurol* 2012;259:182–184.
- Holmberg J, Tjernström F, Karlberg M, et al. Reduced postural differences between phobic postural vertigo patients and healthy subjects during postural threat. *J Neurol* 2009;256:1258–1262.
- Querner V, Krafczyk S, Dieterich M, Brandt T. Patients with somatoform phobic postural vertigo: the more difficult the balance task, the better the balance performance. *Neurosci Lett* 2000;285:21–24.
- Holmberg J, Karlberg M, Harlacher U, Magnusson M. Experience of handicap and anxiety in phobic postural vertigo. *Acta Otolaryngol* 2005;125:270–275.
- Lahmann C, Henningsen P, Brandt T, et al. Psychiatric comorbidity and psychosocial impairment among patients with vertigo and dizziness. *J Neurol Neurosurg Psychiatry* 2015;86:302–308.
- Yardley L. Overview of psychological effects of chronic dizziness and balance disorders. *Otolaryngol Clin North Am* 2000;33:603–616.
- Holmberg J, Karlberg M, Fransson PA, Magnusson M. Phobic postural vertigo: body sway during vibratory proprioceptive stimulation. *NeuroReport* 2003;14:1007–1011.

19. Indovina I, Riccelli R, Chiarella G, et al. Role of the insula and vestibular system in patients with chronic subjective sizziness: an fMRI study using sound-evoked vestibular stimulation. *Front Behav Neurosci* 2015;9:334.
20. Halmagyi GM, Curthoys IS. A clinical sign of canal paresis. *Arch Neurol* 1988;45:737–739.
21. Beck AT, Steer RA, Brown GK. BDI–II, beck depression inventory: manual, 2nd ed. Boston: Harcourt Brace, 1996.
22. Mather G, Pavan A, Campana G, Casco C. The motion aftereffect reloaded. *Trends Cogn Sci.* 2008;12:481–487.
23. Ashburner J. A fast diffeomorphic image registration algorithm. *NeuroImage* 2007;38:95–113.
24. Dahnke R, Yotter RA, Gaser C. Cortical thickness and central surface estimation. *NeuroImage* 2013;65:336–348.
25. Genovese CR, Lazar NA, Nichols T. Thresholding of statistical aps in functional neuroimaging using the false discovery rate. *NeuroImage* 2002;15:870–878.
26. Eickhoff SB, Stephan KE, Mohlberg H, et al. A new SPM toolbox for combining probabilistic cytoarchitectonic maps and functional imaging data. *NeuroImage* 2005;25:1325–1335.
27. Wilke M. An alternative approach towards assessing and accounting for individual motion in fMRI timeseries. *NeuroImage* 2012;59:2062–2072.
28. Behrens TE, Johansen-Berg H, Woolrich MW, et al. Non-invasive mapping of connections between human thalamus and cortex using diffusion imaging. *Nat Neurosci* 2003;6:750–757.
29. Dieterich M, Bense S, Stephan T, et al. fMRI signal increases and decreases in cortical areas during small-field optokinetic stimulation and central fixation. *Exp Brain Res* 2003;148:117–127.
30. Goossens L, Schruers K, Peeters R, et al. Visual presentation of phobic stimuli: amygdala activation via an extrageniculostriate pathway? *Psychiatry Res* 2007;155:113–120.
31. Duval ER, Javanbakht A, Liberzon I. Neural circuits in anxiety and stress disorders: a focused review. *Ther Clin Risk Manag* 2015;11:115–126.
32. Badre D, Wagner AD. Left ventrolateral prefrontal cortex and the cognitive control of memory. *Neuropsychologia* 2007;45:2883–2901.
33. Levy BJ, Wagner AD. Cognitive control and right ventrolateral prefrontal cortex: reflexive reorienting, motor inhibition, and action updating. *Ann N Y Acad Sci* 2011;1224:40–62.
34. Brandt T, Huppert D, Dieterich M. Phobic postural vertigo: a first follow-up. *J Neurol* 1994;241:191–195.
35. Lemogne C, Bastard G, Mayberg H, et al. In search of the depressive self: extended medial prefrontal network during self-referential processing in major depression. *Soc Cogn Affect Neurosci* 2009;4:305–312.
36. Comte M, Schön D, Coull JT, et al. Dissociating bottom-up and top-down mechanisms in the cortico-limbic system during emotion processing. *Cereb Cortex* 2016;26:144–155.
37. Etkin A, Egner T, Kalisch R. Emotional processing in anterior cingulate and medial prefrontal cortex. *Trends in Cogn Sci* 2011;15:85–93.
38. Palomero-Gallagher N, Eickhoff SB, Hoffstaedter F, et al. Functional organization of human subgenual cortical areas: relationship between architectural segregation and connectional heterogeneity. *NeuroImage* 2015;115:177–190.
39. Staab JP, Ruckenstein MJ. Chronic dizziness and anxiety: effect of course of illness on treatment outcome. *Arch Otolaryngol Head Neck Surg* 2005;131:675–679.
40. Balaban CD, Jacob RG, Furman JM, Shepard NT. Neurologic bases for comorbidity of balance disorders, anxiety disorders and migraine: neurotherapeutic implications. *Expert Rev Neurother* 2011;11:379–394.
41. Staab JP, Rohe DE, Eggers SD, et al. Anxious, introverted personality traits in patients with chronic subjective dizziness. *J Psychosom Res* 2014;76:80–83.
42. Tschan R, Best C, Beutel ME, et al. Patients' psychological well-being and resilient coping protect from secondary somatoform vertigo and dizziness (SVD) 1 year after vestibular disease. *J Neurol* 2011;258:104–112.
43. Indovina I, Riccelli R, Staab JP, et al. Personality traits modulate subcortical and cortical vestibular and anxiety responses to sound-evoked otolithic receptor stimulation. *J Psychosom Res* 2014;77:391–400.
44. Riccelli R, Indovina I, Staab JP, et al. Neuroticism modulates brain visuo-vestibular and anxiety systems during a virtual rollercoaster task. *Hum Brain Mapp* 2017;38:715–726.
45. Feldker K, Heitmann CY, Neumeister P, Bruchmann M, et al. Brain responses to disorder-related visual threat in panic disorder. *Hum Brain Mapp* 2016;37:4439–4453.
46. Heitmann CY, Feldker K, Neumeister P, et al. Abnormal brain activation and connectivity to standardized disorder-related visual scenes in social anxiety disorder. *Hum Brain Mapp* 2016;37:1559–1572.
47. Onat S, Büchel C. The neuronal basis of fear generalization in humans. *Nat Neurosci* 2015;18:1811–1818.
48. Khalsa SS, Rudrauf D, Feinstein JS, Tranel D. The pathways of interoceptive awareness. *Nat Neurosci* 2009;12:1494–1496.
49. Schuck NW, Cai MB, Wilson RC, Niv Y. Human orbitofrontal cortex represents a cognitive map of state space. *Neuron* 2016;91:1402–1412.
50. Deutschländer A, Bense S, Stephan T, et al. Rollvection versus linearvection: comparison of brain activations in PET. *Hum Brain Mapp* 2004;21:143–153.
51. Querner V, Krafczyk S, Dieterich M, Brandt T. Somatoform phobic postural vertigo: body sway during optokinetically induced roll vection. *Exp Brain Res* 2002;143:269–275.

52. Van Ombergen A, Heine L, Jillings S, et al. Altered functional brain connectivity in patients with visually induced dizziness. *Neuroimage Clin* 2017;14:538–545.
53. Ricelli R, Passamonti L, Toschi N, et al. Altered insular and occipital responses to simulated vertical self-motion in patients with Persistent Postural-Perceptual Dizziness. *Front Neurol* 2017;8:529.
54. Ehrsson HH, Geyer S, Naito E. Imagery of voluntary movement of fingers, toes, and tongue activates corresponding body-part-specific motor representations. *J Neurophysiol* 2003;90:3304–3316.
55. Wuehr M, Kugler G, Schniepp R, et al. Balance control and anti-gravity muscle activity during the experience of fear at heights. *Physiol Rep* 2014;2:e00232.
56. Morton SM, Bastian AJ. Cerebellar control of balance and locomotion. *Neuroscientist* 2004;10:247–259.

## Supporting Information

Additional supplemental material may be found online in the Supporting Information section at the end of the article:

**Table S1.** Significant altered functional connectivity of regions of interests (ROI) in phobic postural vertigo patients (PPV) compared to healthy controls (HC)