



Identification of molecular subtypes in lung adenocarcinoma based on DNA methylation and gene expression profiling—a bioinformatic analysis

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Background: Molecular typing based on deoxyribonucleic acid (DNA) methylation and gene expression can extend understandings of the molecular mechanisms involved in lung adenocarcinoma (LUAD) and enhance current diagnostic, treatment, and prognosis prediction approaches.

Methods: Gene expression and DNA methylation data sets of LUAD were obtained from The Cancer Genome Atlas (TCGA), and the differential gene and methylation expression levels were analyzed.

Results: We successfully divided the LUAD samples into 2 clinically relevant subtypes with significantly different survival times and tumor stages according to the transcriptome and methylation data. We found significant differences in the survival status, age, gender, tumor stage, node stage, and clinical stage between the 2 subtypes. The hub genes identified in the subnetworks, including *NCAPG*, *CCNB1*, *DLGAP5*, *HLA-DQA1*, *HLA-DPA1*, *HLA-DPB1*, *SFTP*, *SCGBA1A*, and *SFTPD*, were correlated with the cell cycle and immune system. The Gene Ontology annotation of the hub genes showed that the biological processes included organelle fission mitotic nuclear division, and sister chromatid segregation. The cellular components included chromosomal region, spindle, and kinetochore. The molecular functions included tubulin-binding, microtubule-binding, and DNA replication origin binding. The Kyoto Encyclopedia of Genes and Genomes signaling pathways related to the hub genes mainly included the cell cycle, human T-cell leukemia virus (type 1) infection, inflammatory bowel disease, and the intestinal immune network for immunoglobulin A production. The clinical stage difference was also confirmed in the validation group using the GSE32863 data set.

Conclusions: Our findings extend understandings of the pathogenesis of LUAD and can be used to improve current diagnosis, treatment, and prognosis prediction strategies.

Keywords: Lung adenocarcinoma (LUAD); cancer subtypes; The Cancer Genome Atlas (TCGA); Gene Expression Omnibus (GEO); methylation

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Introduction

Lung cancer is the leading cause of death and morbidity globally, and accounts for 18.4% of cancer-related deaths and 11.6% of total cancer cases (1). Non-small cell lung carcinomas (NSCLCs) and small-cell carcinomas represent 85% of lung cancers. Lung adenocarcinoma (LUAD) represents up to 40% of NSCLCs (2) and is highly invasive and metastatic, with a dismal 5-year survival rate of 19.5% (3). Despite substantial improvements in computed-tomography imaging, bronchoscopy, sputum cytology, and therapy (4), patient survival rates remain unsatisfactory. Thus, it is necessary to explore the key factors and molecular mechanisms associated with lung cancer to refine current diagnostic and therapeutic strategies.

In cancer, deviant epigenetic regulation includes miRNA gene silencing, DNA methylation, mRNA and non-coding RNA methylation, histone methylation, and histone acetylation (5). Deoxyribonucleic acid (DNA) methylation is an important mechanism of gene epigenetics and is involved in regulating gene expression and cell differentiation. DNA methylation regulates gene expression by recruiting proteins involved in gene repression or by inhibiting the binding of transcription factor(s) to DNA (6). Methylation at the 5th carbon atom of cytosine residues is the most widely studied epigenetic modification in plants and mammals. In mammals, DNA methylation mainly occurs in cytosine-phosphoric acid-guanine (CpG) islands (i.e., 300–3,000 bp DNA fragments rich in CpG dinucleotide), and 40% of the gene promoter regions contain CpG islands (7). Studies have shown that many tumors, including LUAD, undergo methylation at an early stage (8,9), and the progression of tumors is positively correlated with the accumulation of aberrant DNA methylation (10). DNA methylation is a genetic modification that does not change the DNA sequence and is associated with the subtypes and prognosis of LUAD. Although cumulating evidences have demonstrated the abnormal DNA methylations level in LUAD, the comprehensive regulatory network and pathways analyses of DNA methylation levels and miRNA epigenetic alterations have not yet been conducted (11).

Previous studies have reported different LUAD subtypes based on methylation or gene expression (12,13). Moreover, methylation and gene expression have been combined to

identify the pathologic subtypes of NSCLC, including small cell lung cancer and LUAD, instead of molecular typing (14). However, it has been established that methylation and gene expression are intrinsically linked, and most importantly, methylation can affect gene expression. Thus, this study sought to classify LUAD samples by integrating methylation and transcriptome data to find a novel typing approach and identify novel genes that affect prognosis and diagnosis to provide a theoretical basis for individualized treatment. We present the following article in accordance with the STREGA reporting checklist (available at <https://atm.amegroups.com/article/view/10.21037/atm-22-3340/rc>).

Methods

Study design

This is a bioinformatics analysis study and the molecular subtypes of LUAD were identified based on DNA methylation and gene expression profiling. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

Data download and preliminary process

Gene expression and DNA methylation data sets of LUAD (comprising 59 normal and 526 tumor samples) were obtained from The Cancer Genome Atlas (TCGA) (<https://www.cancer.gov/about-nci/organization/ccg/research/structural-genomics/tcga>). The differential methylation sites were identified using the *ChAMP* package based on paired tumor and non-tumor samples (n=24). The differentially expressed genes (DEGs) were screened using the R package *limma* [the screening criteria were a |log fold change (FC)| value >0.5 and a P value <0.05 for the gene expression and methylation sites].

Identification of molecular subtypes related to prognosis

In total, 454 LUAD samples with prognostic information, gene expression, and methylation data from TCGA data sets were used for the molecular typing. Methylation sites with missing values were removed from the data set before

Table 1 The clinical characteristics of the 2 subtypes from the validation data set

Characteristics	Subtype 1 (n=37)	Subtype 2 (n=21)	P
Age (years), mean (SD)	69.41 (9.05)	66.29 (9.06)	0.213
Gender (male), n (%)	8 (21.6)	5 (23.8)	1.000
Smoking status (never), n (%)	22 (59.5)	7 (33.3)	0.101
Stage, n (%)			0.003
Stage I	28 (75.7)	6 (28.6)	
Stage II	3 (8.1)	8 (38.1)	
Stage III	6 (16.2)	6 (28.6)	
Stage IV	0 (0.0)	1 (4.8)	
Recurrence (yes), n (%)	10 (27.0)	9 (42.9)	0.345
KRAS mutation type, n (%)			0.757
G12A	1 (2.9)	1 (4.8)	
G12C	5 (14.3)	2 (9.5)	
G12D	4 (11.4)	1 (4.8)	
G12E	0 (0.0)	1 (4.8)	
G12V	3 (8.6)	2 (9.5)	
G13V	1 (2.9)	0 (0.0)	
WT	21 (60.0)	14 (66.7)	

SD, standard deviation; WT, wild type.

analysis. First, feature selection was applied to select the most variable genes based on their expression, and a Cox regression analysis was conducted to predict the methylation sites. The LUAD samples were also classified into different subtypes using the non-negative matrix factorization (NMF) method using the R package *CancerSubtypes*. NMF (15) is currently recognized as one of the most effective clustering methods based on omics features. The effectiveness of the clustering was measured by the silhouette width, which ranges from -1 to 1. The larger the silhouette width, the better the degree of separation. The default number of runs was set to 30. The DEGs between the molecular subtypes were screened using the following criteria: a $|\log_{2}FC|$ value >0.8 and a false discovery rate (FDR) <0.05 in the *limma* package, and an FDR <0.01 for the methylation sites in the *ChAMP* package.

Analysis of differences among different subtypes and validation

The clinical characteristics and prognostic data between

the clusters were compared using the *t*-test, chi-square test, or rank-sum test as appropriate in the R package *tableone*. A P value <0.05 was considered statistically significant. We downloaded the GSE32863 data set (tumor samples, $n=58$), which included gene expression, methylation sites, and clinical data, such as recurrence and tumor stage data, from the Gene Expression Omnibus (GEO) database as a validation set. The GSE32863 data set was classified according to the expression of the DEGs and methylation sites, and the clinical data among the different subtypes were compared (see *Table 1*). The “Complex Heatmap” package was then used to draw heatmaps.

Gene Ontology (GO) term enrichment and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analyses

GO and KEGG pathway enrichment analyses were performed to identify the key genes and pathways involved in LUAD. The GO functional annotation was composed of cellular components (CCs), molecular functions (MFs),

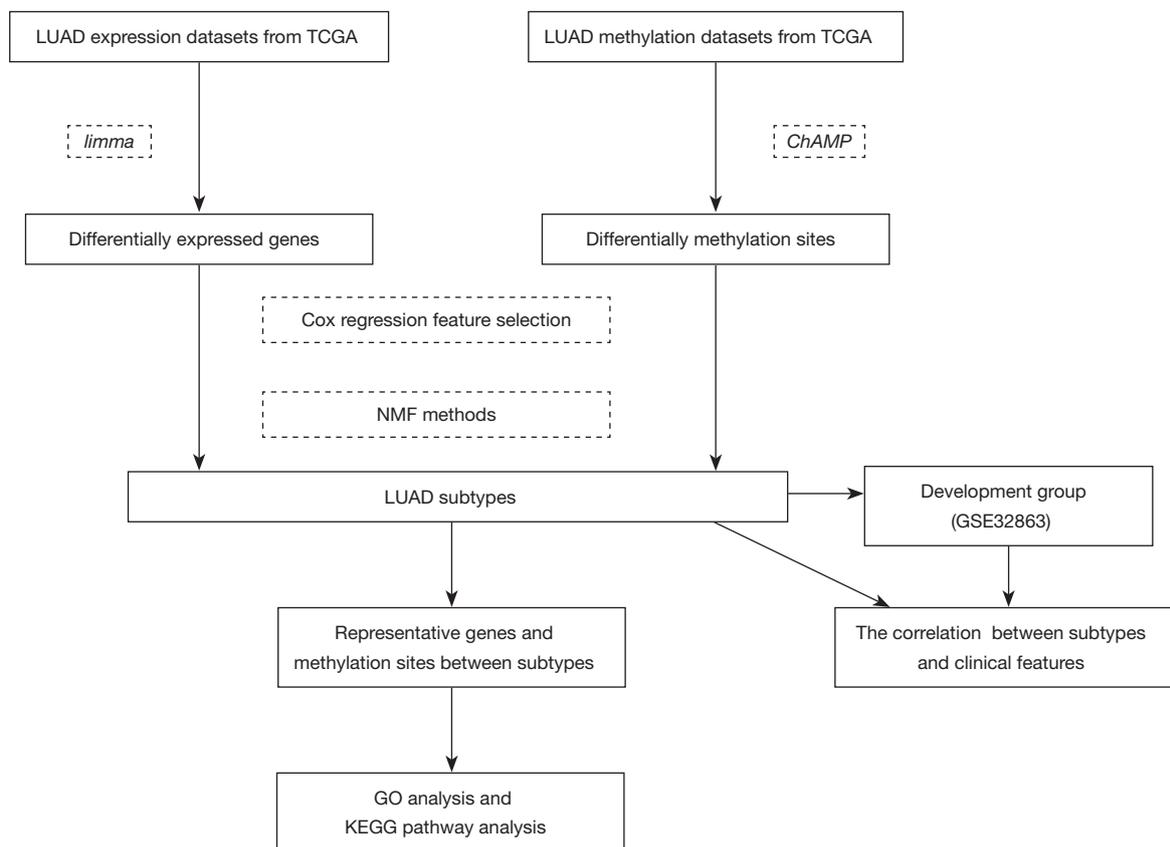


Figure 1 The work flow for the data analysis in this study. LUAD, lung adenocarcinoma; TCGA, The Cancer Genome Atlas; NMF, non-negative matrix factorization; GO, Gene Ontology; KEGG, Kyoto Encyclopedia of Genes and Genomes.

and biological processes (BPs). KEGG is a public database used to analyze gene-related pathways to explore biological systems (16). The “*clusterProfiler*” and “*ggplot2*” R packages were used to visualize the enrichment results.

Construction of protein-protein interaction (PPI) network and analysis of representative genes

The PPI network of the representative genes and methylation sites was generated using Search Tool for the Retrieval of Interacting Genes/Proteins (STRING; <http://string-db.org>) (17). To obtain the best results, the minimum required interaction score was set to 0.4. The PPI network was visualized with Cytoscape 3.9.0 (18) and the MCODE plug-in was used to build subnetworks using the following parameters: a MCODE score >5, degree cutoff =2, node score cutoff =0.2, node density cutoff =0.1, k-score =2, and maximum depth =100 (19).

Statistical analysis

The distribution of the differentially expressed genes was shown by heatmap and volcano map. The differences of gene expression between the two groups were compared by *t*-test and expressed by boxplot. All the statistical analyses were performed by using R software (Version 4.1.1). A P value <0.05 was considered as statistical significance.

Results

Data download and preliminary process

The data sets of LUAD expression and methylation obtained from TCGA included 59 normal and 526 tumor samples. The workflow of the data analysis conducted in this study is shown in *Figure 1*. First, we analyzed the difference in gene expression using the R package *limma*. The number of dysregulated genes was 2,874. The *ChAMP*

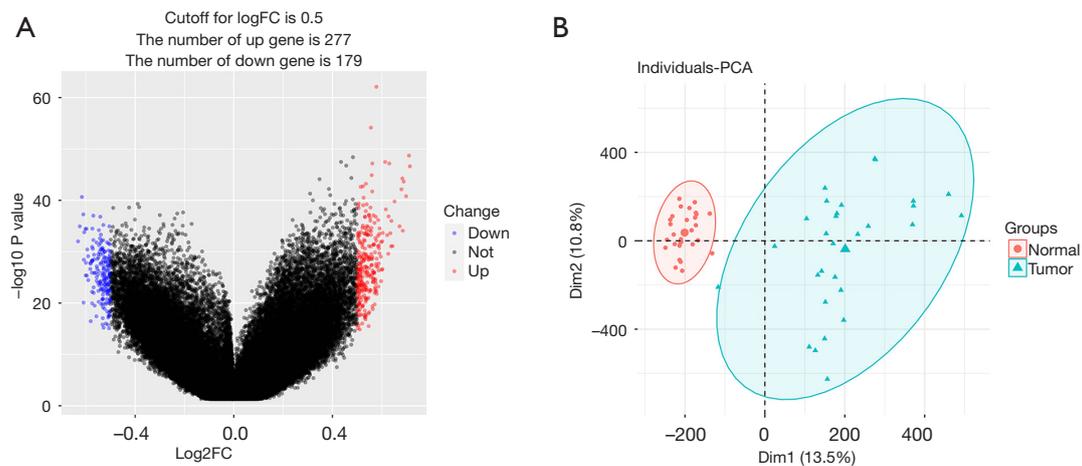


Figure 2 The number of aberrant regulated genes and regulated methylation sites. FC, fold change; PCA, principal component analysis.

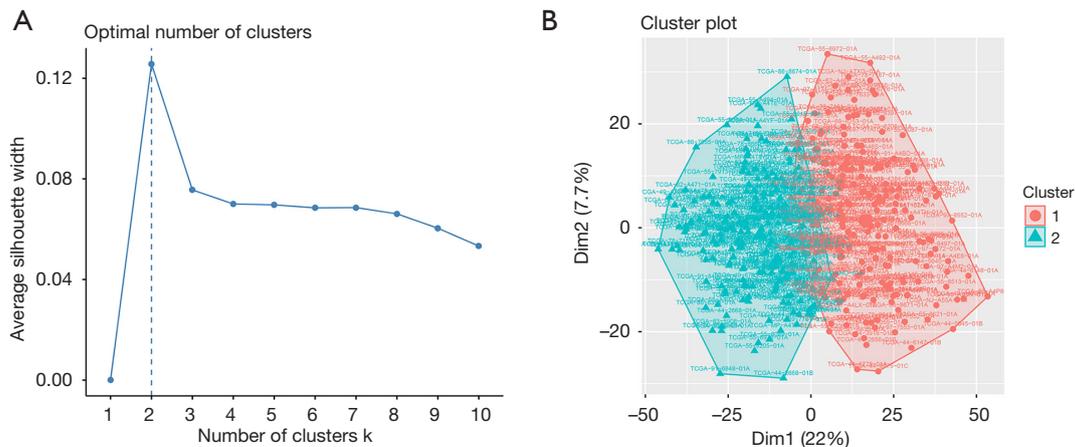


Figure 3 The optimal number of cluster K and TCGA-ID for each subtype. (A) The optimal number of cluster K. (B) TCGA-ID for each subtype. TCGA, The Cancer Genome Atlas; ID, identity document.

package was used to identify the differential methylation sites based on paired tumor and non-tumor samples ($n=24$). The number of dysregulated methylation sites was 453 (for further details see *Figure 2A,2B*). After feature selection by Cox regression, 1,622 genes and 83 methylation sites were selected for molecular typing.

Identification of 2 subtypes by an unsupervised hierarchical cluster analysis

The average silhouette showed that the optimal number of cluster K was 2 (see *Figure 3A,3B*). We successfully divided LUAD into 2 subtypes using the NMF method, and the specific results are shown in *Figure 3*. The average

silhouette width of the 2 subtypes was 0.98 (see *Figure 4A*). The survival rate differed significantly between the 2 groups (see *Figure 4B*). The heatmap of the sample similarity matrix is shown in *Figure 4C*. The relationships between stage, methylation site, and gene expression are shown in *Figure 5*. We next identified 379 DEGs genes (see *Figure S1A*) and 67 methylation sites (see *Figure S1B*) between the subtypes. The DEGs between the subtypes were screened using the *limma* package (criteria: a $|\log_2FC|$ value >0.8 and an FDR <0.05), and the methylation sites were screened using the *ChAMP* package (criteria: an adjusted P value <0.01). The corresponding genes of the methylation sites are shown in *Table S1*, included *BCAT1*, *CDC42*, *DLX5*, *HOXA5*, and *OTX1*, and were closely

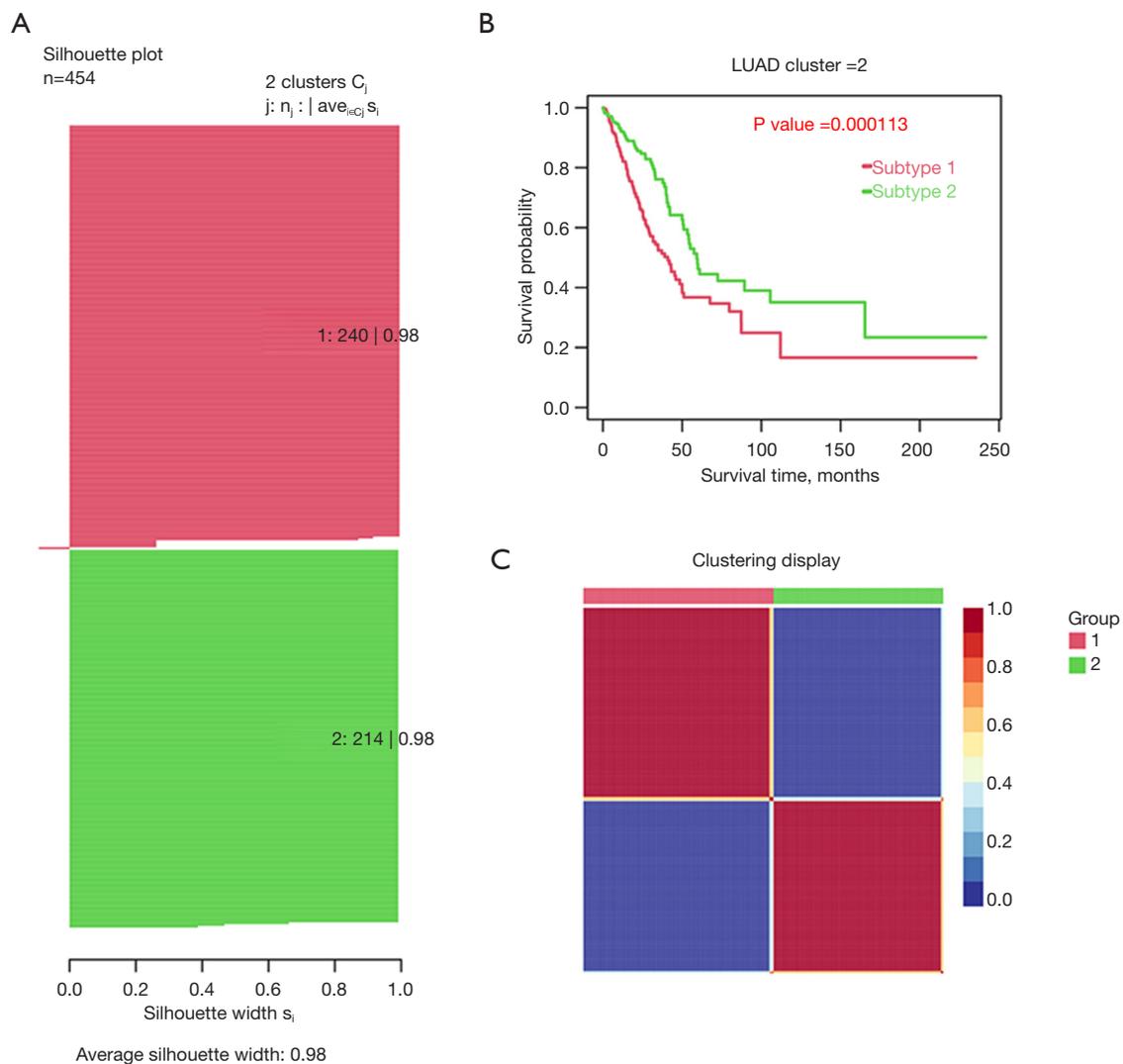


Figure 4 A total of 2 subtypes were obtained by unsupervised learning. (A) Silhouette plots for the identified cancer subtypes. (B) Survival R package cancer subtypes. (C) Heatmap of the sample similarity matrix. LUAD, lung adenocarcinoma.

associated with the development of LUAD.

Analysis of differences among different subtypes

We also explored the differences in age, survival status, gender, recurrence, and tumor, node, metastasis (TMN) stage between the molecular subtypes. The survival rates were 0.18% and 0.25% for subtypes 1 and 2, respectively, with a mean survival time of 27.0 and 33.3 months, respectively ($P=0.02$) (see *Table 2*). With the exception of recurrence status, there were significant differences in the survival status, age, gender, pathologic M stage, pathologic

N stage, pathologic T stage, and clinical stage between the 2 subtypes (see *Table 2*). Significant differences were found in the proportions of patients between subtype 1 (of whom 46.7%, 29.2%, 18.8%, and 5.0% had tumor stage I, II, III, and IV, respectively) and subtype 2 (of whom 64.5%, 18.2%, 11.7%, and 3.7% had tumor stage I, II, III, and IV, respectively) ($P=0.001$).

GO and KEGG enrichment analysis and PPI network construction of hub genes

The DEGs and corresponding genes of the methylation

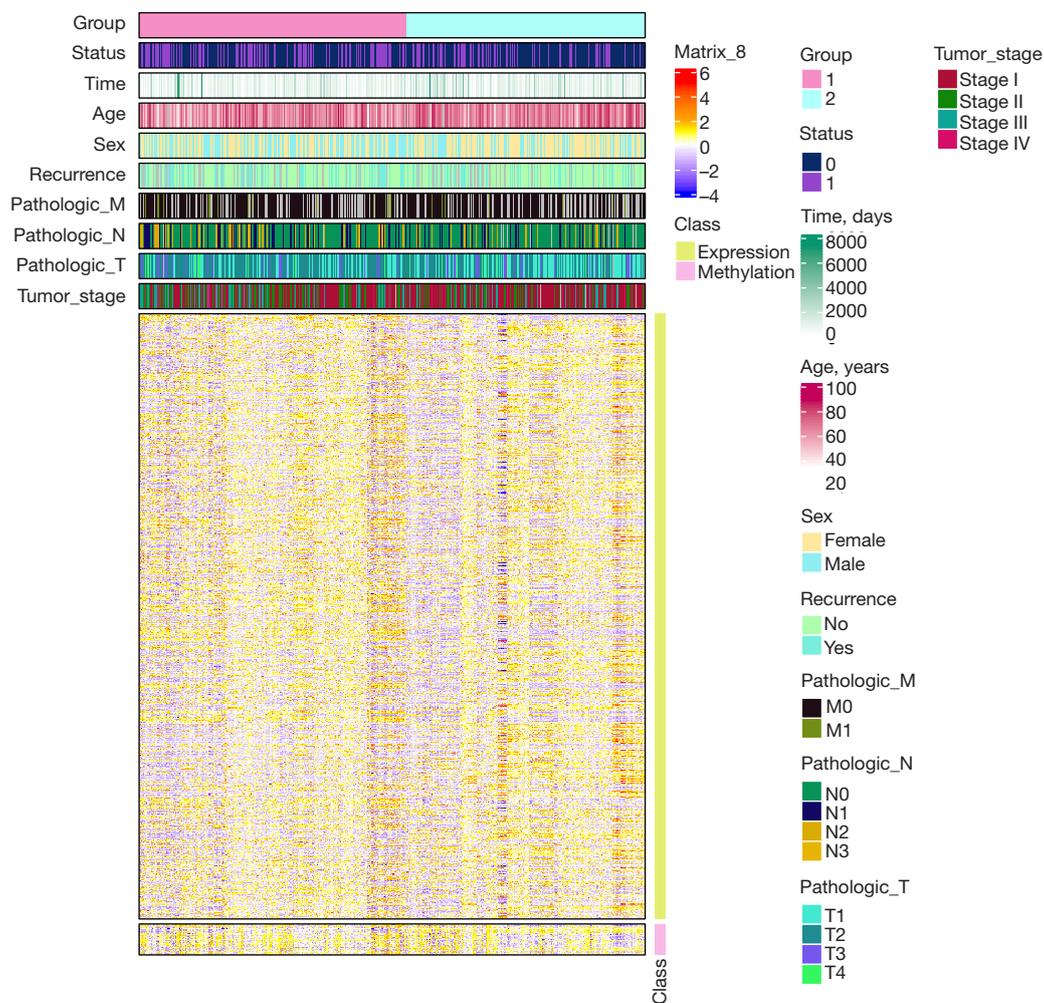


Figure 5 Heatmap of the correlations between the DEGs and methylation sites and clinical characteristics in each subtype. Pathologic_M: pathological metastasis; Pathologic_T: primary pathological tumor; Pathologic_N: pathological lymph node status. DEGs, differentially expressed genes.

sites were used to perform an enrichment analysis and construct a PPI network. The GO annotation showed the MFs included tubulin-binding, microtubule-binding, and DNA replication origin binding (see Figure S2A). The CCs included chromosomal region, spindle, and kinetochore (see Figure S2B). The BPs included organelle fission mitotic nuclear division, and sister chromatid segregation (see Figure S2C). The KEGG signaling pathways related to the hub genes mainly included the cell cycle, human T-cell leukemia virus (type 1) infection, inflammatory bowel disease, and the intestinal immune network for immunoglobulin A (IgA) production (see Figure S2D).

A PPI network was constructed using STRING to identify the hub genes (see Figure S3). The PPI network was imported into Cytoscape, and 3 subnetworks were generated using the MCODE plug-in. Hub genes in each subnetwork were defined by the degree of neighborhood connectivity. The hub genes of cluster 1, which included *NCAPG*, *CCNB1*, and *DLGAP5*, were correlated with cell cycle regulation. The hub genes of cluster 2, which included *HLA-DQA1*, *HLA-DPA1*, and *HLA-DPB1*, were correlated with T cell recruitment and anti-programmed death-1 (PD-1) therapy. Finally, the hub genes of cluster 3, which consisted of *SPTPA*, *SFTPB* and *SFTPC*, were correlated

Table 2 The clinical characteristics of the 2 subtypes from the training data set

Characteristics	Group 1 (n=240)	Group 2 (n=214)	P
Patient status (death), n (%)	102 (42.5)	61 (28.5)	0.003
Survival time (months), mean (SD)	27.0 (27.9)	33.3 (32.4)	0.02
Age (years), mean (SD)	63.40 (10.57)	66.63 (9.62)	0.001
Sex (male), n (%)	129 (53.8)	82 (38.3)	0.001
Recurrence			0.22
Missing	39 (16.3)	33 (15.4)	
No	129 (53.8)	131 (61.2)	
Yes	72 (30.0)	50 (23.4)	
Pathologic_M			0.38
Missing	72 (30.0)	75 (35.0)	
M0	156 (65.0)	132 (61.7)	
M1	12 (5.0)	7 (3.3)	
Pathologic_N			0.001
Missing	2 (0.8)	9 (4.2)	
N0	143 (59.6)	157 (73.4)	
N1	52 (21.7)	28 (13.1)	
N2	42 (17.5)	20 (9.3)	
N3	1 (0.4)	0 (0.0)	
Pathologic_T			0.007
Missing	1 (0.4)	2 (0.9)	
T1	65 (27.1)	91 (42.5)	
T2	145 (60.4)	94 (43.9)	
T3	21 (8.8)	19 (8.9)	
T4	8 (3.3)	8 (3.7)	
Tumor_stage			0.001
Missing	1 (0.4)	4 (1.9)	
Stage I	112 (46.7)	138 (64.5)	
Stage II	70 (29.2)	39 (18.2)	
Stage III	45 (18.8)	25 (11.7)	
Stage IV	12 (5.0)	8 (3.7)	

SD, standard deviation; Pathologic_M, pathological metastasis; Pathologic_T, primary pathological tumor; Pathologic_N, pathological lymph node status.

with anti-inflammatory processes (see Figure S4).

Validation of subtypes and the correlation between subtypes and clinical data in LUAD

Among the DEGs and corresponding genes of the methylation sites, the validation group (i.e., the GSE32863 data set) only comprised 298 genes and 2 methylation sites. Next, we classified the 58 samples into 2 subtypes using the NMF method (see Figure S5). Consistent with subtype 2 from the training data set, subtype 1 in the validation data set similarly exhibited high expressions of *E2FB*, *CENPU*, *NDC80*, *TYMS*, *SC14L6*, *PLA2G1B*, *LHFPL3-AS1*, *C8orf34-AS1*, and *DMBT1*. The gene corresponding to methylation site cg0544326 was downregulated in subtype 2 in the training data set and subtype 1 in the validation data set. Additionally, the hub genes of *NCAPG*, *CCNB1*, and *DLGAP5* were overexpressed in subtype 1 in the training data set (see Figure 5) and subtype 2 in the validation data set (see Figure S5). Consistent with the literature, we found that *DLGAP5* overexpression in tumor patients was associated with a poor prognosis (20,21). The clinical data of the LUAD patients were also analyzed. In the validation group, the proportions of patients with stage I, II, III, IV were 28.6%, 38.1%, 28.6% and 4.8% in subtype 2, respectively, and 75.7%, 8.1%, 16.2% and 0.0% in subtype 1, respectively. Group 2 in the validation data set and subtype 1 in TCGA data set shared a similar expression pattern and advanced clinical stage.

Discussion

We successfully stratified LUAD into 2 clinically relevant subtypes with a high silhouette width (0.98) using unsupervised multi-omics integration methods. Subtype 1 in TCGA data set had a worse prognosis and higher tumor stage than subtype 2 ($P < 0.05$). We also identified the representative genes and genes corresponding to the methylation sites for the 2 molecular types and validated our molecular typing results using an external validation data set. Group 2 in the validation data set exhibited a similar expression pattern to subtype 1 in TCGA data set, consisting of samples associated with more advanced tumor staging. Interestingly, the functional enrichment analysis showed that the representative genes and the corresponding genes of the methylation sites were enriched in GO terms, including “cell cycle” and “immune”, which are significantly correlated with the development of LUAD. The PPI

network analysis generated 3 subnetworks. The identified hub genes in these subnetworks, including *NCAPG*, *CCNB1*, *DLGAP5*, *HLA-DQA1*, *HLA-DPA1*, *HLA-DPB1*, *SPTPA*, *SFTPB* and *SFTPC*, were correlated with cell cycle regulation, T cell recruitment, anti-PD-1 therapy, and anti-inflammatory processes. This study identified some candidate molecules and pathways associated with the prognosis of LUAD, providing potential therapeutic targets for the comprehensive treatment of this subtype of lung cancer.

The high expression of the DEGs of *E2FB*, *CENPU*, *NDC80*, and *TYMS* in subtype 1 was related to higher mortality and more advanced tumor stage, consistent with the literature (22,23). For example, *CENPU* downregulation significantly inhibited lung adenocarcinoma cell (LAC) proliferation, migration, and invasion, mediated by phosphatidylinositide 3-kinases (*PI3K*)/protein kinase B pathway inactivation (23). Further, *TYMS* downregulation has been found to be associated with sensitivity to pemetrexed in lung cancer cell lines (24). Thus, genes can also be used to predict responses to therapeutic drugs.

The tumor microenvironment plays an important role in supporting tumor growth. A number of studies have investigated the effect of DNA methylation on the behavior of tumor-associated stromal cells. DNA methylation is involved in the activation of stromal cells, and the methylation of certain genes contributes to the precancerous activity of stromal cells (25). The genes corresponding to the methylation sites included *BCAT1*, *CDC42*, *DLX5*, *HOXA5*, and *OTX1*. The alteration of methylation levels is reportedly involved in the development and progression of lung cancer (26,27). *BCAT1* has been shown to induce proliferation and invasion in lung cancer (28), while *CDC42* has been shown to be involved in cell transformation, proliferation, survival, invasion, and metastasis in multiple cancer types (29). Further, it has been shown that *DLX5* promotes cell proliferation by upregulating *MYC* (30) and upregulated *OTX1* can enhance the proliferation, invasion, and migration of lung cancer cells by activating the *JAK/STAT* signaling pathway (31).

In the present study, *HLA-DQA1*, *HLA-DPA1*, and *HLA-DPB1* were the hub genes in subnetwork 2. As previously reported, the DR and DQ isotypes of the human leukocyte antigen (HLA) class II heterodimeric molecules (32) are strongly associated with autoimmune diseases. Further, the overall survival time of patients with LUAD has been shown to be affected by *HLA-DR* (33).

The upregulated genes of *SFTPB* and *SFTPD* in

subnetwork 3 have been reported to be immune- and inflammation-related genes (34,35). Notably, the signaling pathways related to the hub genes mainly include human T-cell leukemia virus (type 1) infection, the intestinal immune network for IgA production, and inflammatory bowel disease, which are also related to the immune system. Consistent with previous studies, our findings suggest that the prognosis of LUAD is closely related to immunity, which is the basis of immunotherapy (22,36). For example, the mechanism of the immunosuppressive effect of anti-PD-1 involves the inhibition of cell signal transduction and activity by mediated PD-1 in immune cells. This revolutionary breakthrough has played an important part in improving LUAD treatment efficacy (22,36).

A major limitation of this study is the lack of prognostic data in the validation group, which could not be solved, as the data was downloaded from a public database. Subtype 1 in the training data set had a relatively worse prognosis as compared with subtype 2. No prognostic information was available; however, it can be concluded that a poor prognosis could be associated with subtype 2 in the validation data set, as both subtypes exhibited similar expression patterns and were associated with a more advanced tumor stage. Further, other critical parameters such as non-coding ribonucleic acid (RNA) data and clinical characteristics were not considered when the molecular typing was conducted in our study, such as micro-RNA.

Conclusions

In summary, using the NMF method and the multi-omics information of gene expression and DNA methylation sites, we successfully divided LUAD into 2 clinically relevant subtypes. The representative genes and the corresponding genes of the methylation sites were identified. The functional analysis showed significant enrichment in GO terms “cell cycle” and “immune”, which were significantly correlated with the development of LUAD. Our research highlights the prognostic role of DNA methylation sites and extends understandings of the mechanisms underlying LUAD tumorigenesis.

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Footnote

Reporting Checklist: The authors have completed the STREGA reporting checklist. Available at <https://atm.amegroups.com/article/view/10.21037/atm-22-3340/rc>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://atm.amegroups.com/article/view/10.21037/atm-22-3340/coif>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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