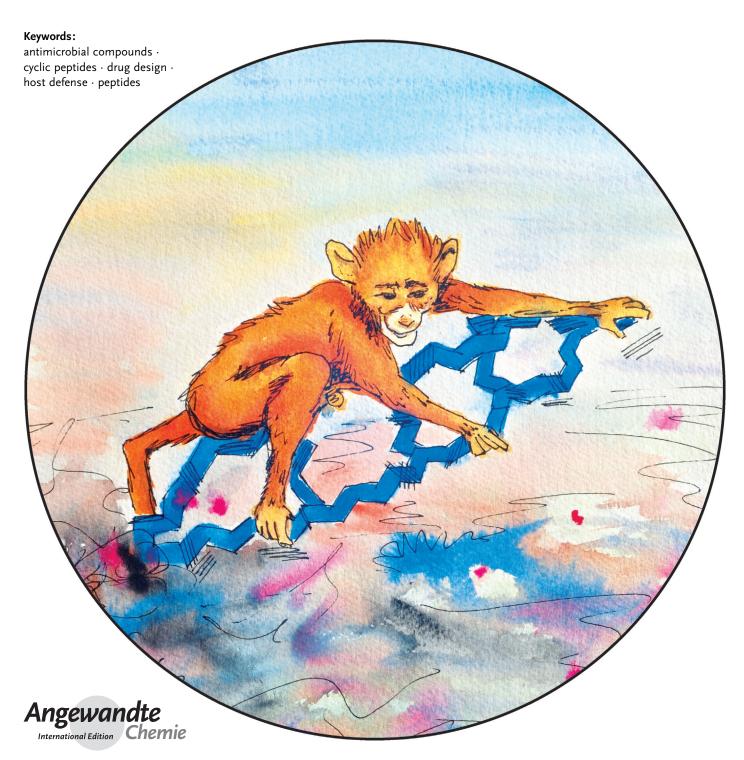


Theta Defensins

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# The Chemistry and Biology of Theta Defensins

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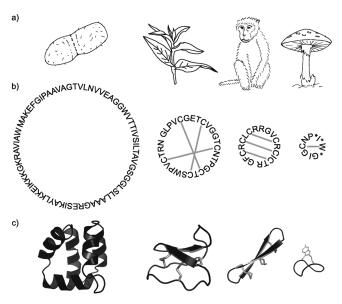
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**C**yclic peptides are found in a diverse range of organisms and are characterized by their stability and role in defense. Why is only one class of cyclic peptides found in mammals? Possibly we have not looked hard enough for them, or the technologies needed to identify them are not fully developed. We also do not yet understand their intriguing biosynthesis from two separate gene products. Addressing these challenges will require the application of chemical tools and insights from other classes of cyclic peptides. Herein, we highlight recent developments in the characterization of theta defensins and describe the important role that chemistry has played in delineating their modes of action. Furthermore, we emphasize the potential of theta defensins as antimicrobial agents and scaffolds for peptide drug design.

# 1. Naturally Occurring Cyclic Peptides

#### 1.1. Introduction

Ribosomally synthesized cyclic peptides have now been discovered in bacteria, fungi, plants, and animals,<sup>[1-4]</sup> and have a wide range of sizes, structures, and biological properties (Figure 1).<sup>[5-8]</sup> As the pharmaceutical industry has gained interest in peptide drugs, cyclic peptides have shown great potential because of their stability to degradation.<sup>[9-11]</sup> Theta defensins ( $\theta$ -defensins) are the only known class of cyclic peptides from mammals and the focus of this review, but they need to be understood in the broader context of cyclic



**Figure 1.** Diversity of cyclic peptides. a) Cyclic peptides occur in bacteria, plants, animals, and fungi. b) They vary in their sequences, size, and disulfide connectivities. Amino acid sequences of AS-48, kalata B1, RTD-1, and  $\alpha$ -amanitin with disulfide bonds as grey lines and post-translationally modified residues marked with an asterisk. c) Three-dimensional structures of AS-48 (PDB 1E68),<sup>[5]</sup> kalata B1 (PDB 1NB1),<sup>[6]</sup> RTD-1 (PDB 2LYF),<sup>[7]</sup> and  $\alpha$ -amanitin (PDB 1K83)<sup>[8]</sup> shown as cartoons.

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peptides as they share common features and challenges. We describe how some of these challenges have been addressed for other classes of cyclic peptides and how they might add to our understanding of  $\theta$ -defensins.

The cyclic peptides described herein are all direct gene products, but we note that there are many cyclic peptides synthesized nonribosomally.<sup>[12-13]</sup> An example is cyclosporin, a fungal peptide that is used as an immune suppressant.<sup>[14]</sup> There is also a growing number of bioactive peptides that have been artificially cyclized to take advantage of the increased stability afforded by a cyclic peptide backbone. An example is the cyclized cone snail venom peptide Vc1.1, which showed increased stability and potency in an animal pain model.<sup>[15]</sup>

Cyclic peptide discovery is challenging because the lack of termini makes cyclic peptides resistant to traditional sequencing methods. Furthermore, the unknown position of the "termini" and small size of the genes hamper the search for cyclic peptides at the nucleic acid level. However, new search methods are being developed, particularly using mass spectrometry,<sup>[16]</sup> and data on cyclic peptides that have been discovered are collected in Cybase.<sup>[17]</sup> We anticipate that as new discovery methods are developed, other classes of cyclic peptides will emerge, with novel bioactivities and applications.

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## 1.2. Bacterial Cyclic Peptides

Gene-encoded cyclic peptides produced by bacteria<sup>[4]</sup> typically comprise 30-70 amino acids and were the first reported class of ribosomal cyclic peptides; the cyclic backbone of AS-48, a bacteriocin, was elucidated in 1994.<sup>[18]</sup> Cyclic bacteriocins are produced by bacteria in the phylum Firmicutes and have globular structures comprising  $\alpha$ -helices.<sup>[4]</sup> Cyanobacteria produce the cyanobactins, which contain posttranslational modifications in addition to cyclization.<sup>[19]</sup> Both cyclic bacteriocins and cyanobactins seem to be produced for defense against competing bacteria and have potential applications as antimicrobial agents. In contrast, the cyclic pilins have a structural role and are used to transfer genetic material.<sup>[20]</sup> The biosynthetic mechanisms of bacterial cyclic peptides are better understood than those of their eukaryotic counterparts because the genes that encode them are often clustered with genes encoding their processing enzymes.<sup>[19,21]</sup> Understanding these mechanisms in bacteria will enable recombinant cyclic peptide production, thus facilitating the development of cyclic peptide therapeutics.

#### 1.3. Fungal Cyclic Peptides

Fungi in the Amanitaceae family produce two classes of cyclic peptides, the amatoxins and phallocidins, both of which contain unusual posttranslational modifications.<sup>[2,22]</sup> While phallocidins comprise seven residues with a Cys-Trp sulfide link, amatoxins comprise eight residues with a Cys-Trp sulfoxide link and are hydroxylated.<sup>[23]</sup> Fungal cyclic peptides are flanked by Pro residues at their N- and C-terminal processing sites and are thought to be cyclized by a prolyl oligopeptidase.<sup>[22,24]</sup> Both the amatoxins and phallocidins are highly toxic to humans; amatoxins inhibit transcription by binding to RNA polymerase II and phallocidins bind to Factin, which stabilizes filament structures within the cell.<sup>[23,25]</sup>

## 1.4. Plant Cyclic Peptides

Plant cyclic peptides are grouped into three families, cyclotides,<sup>[26]</sup> sunflower trypsin inhibitors,<sup>[27]</sup> and orbitides.<sup>[28]</sup> Cyclotides comprise approximately 30 residues and are characterized by the cyclic cystine knot motif.<sup>[26,29]</sup> Following discovery of the prototypic cyclotide kalata B1,<sup>[29,30]</sup> cyclo-



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Solanaceae, and Cucurbitaceae families, and their structures, activities, and applications have been extensively reviewed.<sup>[2,31]</sup> Cyclotides are divided into the bracelet, Möbius, and trypsin inhibitor subfamilies, based on their sequences and activities.<sup>[26,32]</sup> Although the natural function of cyclotides is thought to be as plant defense molecules against insect pests,<sup>[33]</sup> several unrelated pharmacological activities have been reported: anti-HIV,<sup>[34]</sup> antibacterial,<sup>[35]</sup> antitumor,<sup>[36]</sup> hemolytic,<sup>[37]</sup> and uterotonic<sup>[38]</sup> activities. Membrane binding appears to be a mechanism common to these activities.<sup>[39]</sup> The exact mechanism of cyclotide biosynthesis is still not clear; however, it is thought that the cyclotide precursor is cleaved at the N terminus and an asparaginyl endopeptidase (AEP) carries out a transpeptidation reaction to form the cyclic backbone.<sup>[40]</sup> In addition to their obvious application in agriculture for plant protection, cyclotides have shown potential as a stable scaffold for the design of peptide drugs<sup>[41,42]</sup> and possible developments include their production in "plant factories".<sup>[43]</sup>

tides have been found in the Violaceae, Rubiaceae, Fabaceae,

The sunflower trypsin inhibitors are smaller than cyclotides, comprising only 14 residues and one disulfide bond.<sup>[27]</sup> The nucleic acid sequences that encode the sunflower family of cyclic peptides are buried within genes encoding albumin seed storage proteins and are cyclized by an AEP.<sup>[44]</sup> The potent trypsin inhibitory activity of sunflower trypsin inhibitor 1 (SFTI-1) has been exploited for the design of potential anticancer compounds; chemically synthesized analogues of SFTI-1 inhibit matriptase<sup>[45]</sup> and kallikrein-related peptidase-4,<sup>[46]</sup> proteases involved in breast and prostate cancer, respectively.

A recent report recommended the name orbitides for small cyclic plant peptides, earlier known as cyclolinopeptides or caryophyllaceae-type peptides.<sup>[47]</sup> Orbitides comprise five to 12 amino acids and, in contrast to cyclotides, have a high proportion of hydrophobic residues and lack disulfide bonds.<sup>[28,48]</sup> More than 100 orbitides have been discovered in ten plant families and, as cyclotides, their natural function in plants is thought to be as antiherbivore or antibacterial agents, although they have many different bioactivities in vivo.<sup>[28,47]</sup> DNA precursors of orbitides were identified in Saponaria vaccaria and their biosynthesis appears to involve cleavage of the precursor by an oligopeptidase followed by cyclization mediated by a serine protease.[49,50]

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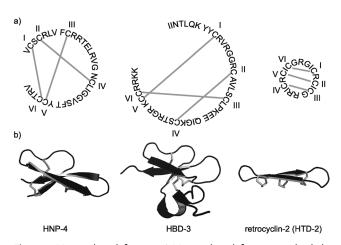
## 1.5. Mammalian Cyclic Peptides

Since their discovery in 1999,<sup>[51]</sup> significant progress has been made in understanding the antimicrobial activities of  $\theta$ defensins, but many questions remain, especially with regard to their biosynthesis. Recent progress in the synthesis and characterization of  $\theta$ -defensins should facilitate efforts to address these unsolved problems and identify new applications for  $\theta$ -defensins. We focus on these challenges and opportunities in the remainder of this article.

## 2. Mammalian Defensins

## 2.1. $\alpha$ -, $\beta$ -, and $\theta$ -Defensins

Defensins are disulfide-rich peptides that form part of the mammalian innate immune system, providing defense against microbial pathogens and regulating the immune response.<sup>[52–54]</sup>  $\alpha$ -Defensins comprise 29–35 amino acids and contain three disulfide bonds in a I–VI, II–IV, III–V arrangement (Figure 2).<sup>[55,56]</sup> Six  $\alpha$ -defensins are found in humans: the



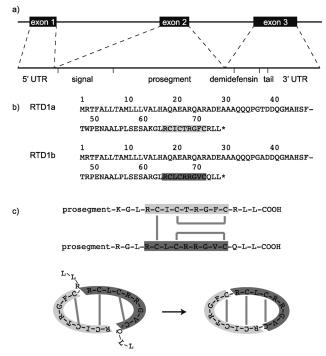
**Figure 2.** Mammalian defensins. a) Mammalian defensins are divided into three classes, α-defensins (e.g. HNP-4), β-defensins (e.g. HBD-3), and θ-defensins (e.g. HTD-2). Amino acids are represented by their one-letter codes, grey lines represent disulfide bonds and disulfide connectivities are shown by Roman numerals. b) Three-dimensional structures of HNP-4 (PDB 1ZMM),<sup>[56]</sup> HBD-3 (PDB 1KJ6),<sup>[58]</sup> and retrocyclin-2 (PDB 2ATG)<sup>[60]</sup> are shown in cartoon representation with disulfide bonds in grey.

human neutrophil peptides (HNP1-4) and human defensins (HD5 and HD6), which are expressed in the Paneth cells of the intestine.<sup>[57]</sup>  $\beta$ -Defensins are larger than  $\alpha$ -defensins, comprising approximately 45 amino acids and three disulfide bonds in a I–V, II–IV, III–VI arrangement.<sup>[55,58]</sup> More than 30  $\beta$ -defensins are found in humans, predominantly in epithelial cells and the male reproductive tract.<sup>[59]</sup> The third class of mammalian defensins is the  $\theta$ -defensins (so named because of their structural similarity to the Greek letter theta), which comprise 18 residues and three disulfide bonds in a I–VI, II–IV, III–V, HI–IV, III–IV, III–V, III

## 2.2. Discovery of $\theta$ -Defensins

The first cyclic mammalian peptide, rhesus  $\theta$ -defensin 1 (RTD-1), was discovered during a study of defensin expression in rhesus macaque (Macacca mulata) leukocytes.[51] Fractionation and screening of a leukocyte extract resulted in the isolation of an 18-residue peptide with potent antibacterial activity, and sequencing of overlapping fragments led to the elucidation of the cyclic backbone. A series of thermolysin digestions revealed three parallel disulfide bonds, which are now termed the cyclic cystine ladder.<sup>[7,51,60]</sup> A search for the gene that encodes RTD-1 surprisingly revealed two genes (GenBank AF191102 and AF191103), each encoding a nine-residue fragment of RTD-1 (demidefensins RTD1a and RTD1b), followed by three residues and a stop codon (Figure 3a).<sup>[51]</sup> θ-Defensin genes and pseudogenes are named DEFT (defensin theta) and  $\psi$ DEFT genes, respectively. The genes appear to be truncated  $\alpha$ -defensin genes,<sup>[51]</sup> and the two encoded demidefensins<sup>[61]</sup> are spliced together to form the cyclic 18-residue  $\theta$ -defensin. To date,  $\theta$ defensins are the only cyclic peptides to be biosynthesized from two separate gene products.<sup>[3]</sup>

An unsolved question is why heterodimeric  $\theta$ -defensins are more abundant than homodimeric  $\theta$ -defensins. Isolation



*Figure 3.* θ-Defensins are synthesized by binary ligation of two nineresidue demidefensins.<sup>[51,53]</sup> a) Demidefensin genes comprise three exons and two introns. b) Demidefensin precursors comprise a signal sequence, prosegment, nine-residue demidefensin (RTD-1a, light grey and RTD1b, dark grey), and a three-residue tail. Amino acids are represented by one-letter codes and asterisks represent stop codons. c) In a proposed biosynthetic mechanism, one intermolecular and two intramolecular disulfide bonds (grey lines) join the two demidefensins. The N-terminal prosegment is cleaved and two new peptide bonds ligate the demidefensins, with concomitant loss of the tail residues to form the cyclic peptide.



of the homodimers of RTD1a and RTD1b (RTD-2 and RTD-3) illustrated that *n* demidefensin genes can give rise to (n/2)(n+1)  $\theta$ -defensins.<sup>[61,62]</sup> A third demidefensin, RTD1c, was later identified, and the six corresponding  $\theta$ -defensins RTD-1 to RTD-6 were isolated from macaque neutrophils.<sup>[61,63]</sup> Although the amount of  $\theta$ -defensin peptide varies by as much as a factor of three between individuals, RTD-1 accounts for around 50% of the total  $\theta$ -defensin content.<sup>[63]</sup> The preference for heterodimeric species over homodimeric

isolated at the peptide level are named according to the first letter of the species of primate in which they are found. However, as  $\theta$ -defensins are discovered in new species, a systematic nomenclature will need to be developed to avoid ambiguities.

A missing piece in our understanding of the biosynthesis of  $\theta$ -defensins is a lack of knowledge about their sequence diversity. The sequences of all known demidefensins encoded by genes and pseudogenes are shown in Table 1. All  $\theta$ -

species and the high proportion of RTD-1 compared to the other five variants might be a result of different gene copy numbers or different expression levels, but these questions remain to be addressed.

Another major challenge is to understand why  $\theta$ -defensin genes in humans exist as pseudogenes and so do not give rise to  $\theta$ -defensin peptides. Selsted and co-workers noted the sequence similarity of the genes encoding RTD-1 to the gene encoding a human  $\alpha$ -defensin-related pseudogene (GenBank U10267),<sup>[51]</sup> which contains a stop codon in the signal sequence. Lehrer and coworkers synthesized the  $\theta$ -defensin that would be produced from two transcripts of the pseudogene in the absence of the premature stop codon.<sup>[64]</sup> The peptide was named retrocyclin and showed potent anti-HIV activity and low cytotoxicity, adding an ironic twist to the question of why humans have not inherited intact θ-defensin genes.<sup>[64]</sup>

# 3. Distribution and Diversity

## 3.1. Sequence Diversity

Less is known about the distri-

bution and diversity of  $\theta$ -defensins than other cyclic peptides; at the time of writing this Review, 532 cyclotides had been isolated from 55 species of plants<sup>[17]</sup> since the elucidation of their cyclic structure in 1995,<sup>[29]</sup> but only 11 different  $\theta$ defensins had been isolated from three species of primates since their discovery in 1999.<sup>[51]</sup> This contrast might reflect the relative difficulties in obtaining primate tissue samples compared to plants, or a lack of efficient discovery tools. In addition to the six  $\theta$ -defensins isolated from rhesus macaques (Macacca mulata), four  $\theta$ -defensin cDNAs were identified in olive baboon (*Papio anubis*) leukocytes, suggesting that ten  $\theta$ defensins could be synthesized; however, only five were isolated.<sup>[65]</sup> The two peptides isolated from hamadryas baboon (Papio hamadryas) leukocytes, PhTD-1 and PhTD-3, were sequenced by MALDI-MS and had identical sequences to BTD-1 and BTD-3, respectively.<sup>[66]</sup> To date,  $\theta$ -defensions

Table 1: Sequences of demidefensins encoded	y θ-defensin (DEFT)	) genes and pseudogenes (ψDEFT).
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Species	Demidefensin	Sequence <sup>[a]</sup>
<i>Macaca mulata</i> <sup>[51, 63, 67]</sup> (rhesus macaque)	DEFT2 (RTD-1a) DEFT1 (RTD-1b) DEFT3 (RTD-1c) DEFT4	RCICTRGFCRLL RCLCRRGVCQLL RCICVLGICRLL RCICTRGVCQLL
<i>Homo sapiens<sup>[64, 67]</sup></i> (human)	ψDEFT1,2,3,5,6 ψDEFT4	RCICGRGICRLL RCICGRRICRLL
Pongo Pygmaeus abelii <sup>[67]</sup> (Sumatran orangutan)	ψDEFT1 DEFT1,2,4 DEFT3	RCICRRGVCRFL RCICRRGVCRLL RCICGRGVCRLL
Gorilla gorilla <sup>[67]</sup> (lowland gorilla)	ψDEFT1	R <b>C</b> ICGRGICRLL
Pan troglodytes <sup>[67]</sup> (common chimpanzee)	ψDEFT1	R <b>C</b> IGGRGI <b>C</b> GLL
Pan paniscus <sup>[67]</sup> (bonobo chimpanzee)	ψDEFT1	R <b>C</b> IGGRGI <b>C</b> GLL
Hylobates syndactylus <sup>[67]</sup> (siamang)	DEFTI	R <b>C</b> ICGRGVCRLL
<i>Macaca nemestrina</i> <sup>[67]</sup> (pig-tailed macaque)	DEFTI	R <b>C</b> ICRRGVCQLL
Colobus guereza kikutensis <sup>[67]</sup> (kikuyu colobus)	DEFT1	R <b>C</b> V <b>C</b> TRGF <b>C</b> HLL
<i>Papio anubis<sup>[65]</sup> (olive baboon)/ Papio hamadryas<sup>[66]</sup> (hamadryas baboon)</i>	BTD-a BTD-b BTD-c BTD-d	RCVCTRGFCRLL RCVCRRGVCQLL RCICLLGICRLL RCFCRRGVCQLL

[a] Demidefensin sequence with cysteines in bold and the three-residue "tail" in grey.

defensins isolated at the peptide level contain six cysteine residues. However, it is interesting that the *Pan troglodytes* and *Pan paniscus* pseudogenes only encode four cysteines. The greatest sequence variation occurs in the four residues of the  $\beta$ -turn and the number of arginine residues, which result in charges from +2 to +6.<sup>[67]</sup> In contrast to cyclotides, no acyclic  $\theta$ -defensin analogues have been reported, an observation that might reflect a different biosynthetic mechanism to cyclotides.

#### 3.2. Distribution of $\theta$ -Defensins in Primates

The distribution of  $\theta$ -defensins in primate species and the origin of the stop codon in  $\psi$ DEFT genes are as yet not understood. A phylogenetic study of 21 species of old and new world primates identified new DEFT and  $\psi$ DEFT genes (Table 1)<sup>[67]</sup> and concluded that the silencing mutation in

ψDEFT genes occurred in a common ancestor of humans, chimpanzees, and gorillas around 7.5–10 million years ago when the orangutan lineage diverged.<sup>[67]</sup> Orangutans (*Pongo Pygmaeus abelii*) have both intact and silenced copies of DEFT genes.<sup>[68]</sup> Furthermore, the stop codon appears to have arisen by a series of stepwise mutations in codon 17; CAC/T (histidine) in old world monkeys, CAG (glutamine) in orangutans, and TAG (stop) in new world monkeys.<sup>[59,67]</sup>

In accordance with their role in innate immunity,  $\theta$ defensins are produced mainly in the bone marrow.<sup>[51]</sup> They are expressed in the phagocytic cells, neutrophils and monocytes, but not lymphocytes or eosinophils, and do not appear to be secreted but are localized in azurophil granules.<sup>[51,54]</sup> However, expression of RTD-1 by Paneth cells in crypts of the small intestine of rhesus macaques was reported recently.<sup>[69]</sup> In humans, expression of  $\psi$ DEFT genes is highest in bone marrow but is also observed in skeletal muscle, spleen, thymus, and testis.<sup>[67]</sup>

## 3.3. Human Retrocyclins

Does our inability to inately produce  $\theta$ -defensins make humans more susceptible to HIV? This burning question will be difficult to answer conclusively. No  $\theta$ -defensin peptides have been found in humans, but there are six  $\psi$ DEFT genes in the human genome: five ( $\psi$ DEFT1-5) on chromosome 8p23 (gi: 501091),<sup>[70]</sup> upstream of the HNP-1 gene, and one ( $\psi$ DEFT6) on chromosome 1q41.<sup>[59,67]</sup> Although retrocyclin-1 inhibits two Thai HIV-1 subtypes, sequencing of DEFT genes from persistently HIV-1 seronegative female sex-workers from Thailand showed that they all had  $\psi$ DEFT genes.<sup>[71]</sup> These results led to the conclusion that the HIV-1 resistance of these women was not due to  $\theta$ -defensin production. Nevertheless, the possibility remains that some isolated populations might have retained intact DEFT genes, and hence have intrinsic protection from HIV.<sup>[71]</sup>

## 4. Gene Structure and Biosynthesis

## 4.1. Structure of DEFT Genes

DEFT genes comprise three exons and two introns (Figure 3a). The exons encode a 76-residue propeptide comprising a 20-residue signal peptide, 44-residue prosegment, nine-residue demidefensin, and three-residue tail (Figure 3b).<sup>[51]</sup> Although the structures and disulfide connectivities of  $\alpha$ - and  $\theta$ -defensins differ, common features in their precursor peptides suggest that similar processing mechanisms might be used.<sup>[53]</sup>

## 4.2. Biosynthesis of Cyclic Peptides

Understanding the biosynthetic mechanism is a challenge for all classes of cyclic peptides, but much less is known about the biosynthesis of  $\theta$ -defensins than of other classes. Cyclization is thought to have arisen separately in the different kingdoms, although similar features, such as a proteasemediated transpeptidation, are present.<sup>[1,72]</sup> In cyclotides, conserved Gly-Leu-Pro sequences at the N termini of both the cyclotide and propeptide are recognized by the AEP protease. The C-terminal propeptide is thought to be cleaved, allowing the N terminus of the cyclotide to replace it in the recognition site. The N-terminal Gly then acts as a nucleophile, cyclizing the peptide chain.<sup>[40]</sup> Similarly, the TrbC pilin in bacteria is cleaved and cyclized by the TraF protease.<sup>[20,53]</sup> θ-Defensin biosynthesis also probably involves a protease; however, two new peptide bonds need to be formed.

#### 4.3. $\theta$ -Defensins: Putting the Pieces Together

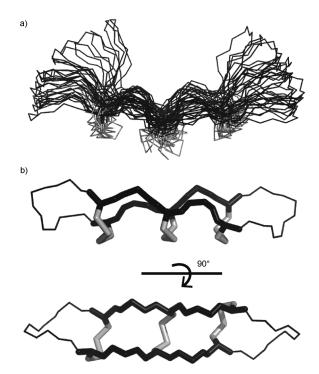
How do two peptide and three disulfide bonds form between two demidefensins to build a  $\theta$ -defensin? A likely explanation is that two disulfide-stabilized  $\beta$ -hairpins are formed and held together by the interchain disulfide for ligation (Figure 3 c).<sup>[51]</sup> Structural properties of the precursors might also direct association of demidefensins for ligation.<sup>[62]</sup> Possible developments arising from the elucidation of  $\theta$ defensin biosynthesis are the identification of new cyclic peptides and insights into how peptide cyclization has arisen in mammals.

Evidence for whether human cells have retained an ability to process demidefensin transcripts is inconclusive. In two separate studies, human promyelocytic HL-60 cells, which are able to process  $\alpha$ -defensins, were transfected with pro- $\theta$ defensin cDNA. In one study, neither mature  $\theta$ -defensins nor  $\theta$ -defensin precursors were detected,<sup>[53]</sup> but in the other study, the presence of cyclic retrocyclins that had anti-HIV activity was reported.<sup>[73]</sup> Furthermore, in the latter study, aminoglycosides were used to read through the stop codon in the human wDEFT gene, restoring endogenous expression of retrocyclin.<sup>[73]</sup> A yeast two-hybrid screen was used to identify proteins that interact with defensin precursors and might be involved in their processing. Stromal cell derived factor-2-like protein 1 (SDF2L1), which is part of the endoplasmic reticulum chaperone complex, interacted with  $\alpha$ -,  $\beta$ -, and  $\theta$ defensins, thus suggesting that it might have a role in the biosynthesis or packaging.<sup>[74]</sup>

# 5. Structure and Stability

#### 5.1. NMR Structures of $\theta$ -Defensins in Solution

Structures of naturally occurring and modified  $\theta$ -defensins have been determined from NMR data, giving insights into their stability and mechanism of action. The  $\beta$ -sheet structure cross-braced by three disulfide bonds was predicted by a molecular dynamics model<sup>[51]</sup> and later confirmed by the NMR structure of RTD-1 (PDB 1HVZ) in solution.<sup>[75]</sup> Broadened NMR signals and few nuclear Overhauser enhancement (NOE) interactions indicated that that RTD-1 is flexible (Figure 4 a).<sup>[75]</sup> The structure of synthetic retrocyclin (HTD-2, PDB 2ATG) was then determined in the presence of sodium dodecyl sulphate (SDS) micelles, which might stabi-



**Figure 4.** NMR structures of  $\theta$ -defensins. a) Ensemble of the 20 lowest-energy structures of RTD-1 (PDB 1HVZ)<sup>[75]</sup> with disulfide bonds in grey. This was the first  $\theta$ -defensin structure published and suggested flexibility of the turn region. b) The symmetrical  $\theta$ -defensin BTD-2 (PDB 2LYE)<sup>[7]</sup> with the cyclic cystine ladder motif shown as sticks.

lize the extended conformation of the peptide.<sup>[60]</sup> More recently, the development of NMR methods facilitated the determination of well-defined structures of three  $\theta$ -defensins and the characterization of the cyclic cystine ladder (Figure 4b): RTD-1 (PDB 2LYF); HTD-2 (PDB 2LZI); and the first symmetrical  $\theta$ -defensin BTD-2 (PDB 2LYE).<sup>[7]</sup> The structures comprise two highly constrained  $\beta$ -strands joined by  $\beta 1'$  turns, and heteronuclear NOEs and predicted order parameters suggested that  $\theta$ -defensins are more rigid than previously thought.<sup>[7]</sup> Several structures of  $\theta$ -defensins are now available in the Protein Data Bank for modeling and peptide design applications.<sup>[76,77]</sup>

The possible role of self-association in the mechanism of action of  $\theta$ -defensins is still unclear. Density ultracentrifugation and NMR diffusion measurements suggested that retrocyclin forms trimers at high concentrations. A model was proposed in which the peptides self-associate along their longitudinal axes with the disulfide bonds facing inwards, based on selective broadening of the cysteine signals.<sup>[60]</sup> NMR diffusion measurements on RTD-1, BTD-2, and HTD-2 supported this hypothesis and extended the concentration range, suggesting that other aggregation states might be formed.<sup>[7]</sup>

The disulfide connectivities in the cyclic cystine ladder are well established and have been confirmed by several methods: a computational method that predicts disulfide bond connectivities in peptides;<sup>[78]</sup> comparison of the energyminimized structures of all 15 possible disulfide connectivities; calculation of the probabilities of each possible disulfide connectivity using PADLOC<sup>[79]</sup> (*Pattern of disulfides from local constraints*); and measurement of cysteine–cysteine distances in a structure calculated without disulfide bond restraints.<sup>[76]</sup>

## 5.2. Thermal and Proteolytic Stability of $\theta$ -Defensins

The constrained structure of  $\theta$ -defensins gives them remarkable stability, consistent with resistance to high concentrations of proteases in inflamed tissue.<sup>[51,53]</sup> Synthetic  $\theta$ defensin analogues with varying numbers and arrangements of disulfide bonds revealed the dependence of the structure and stability on the cyclic cystine ladder.<sup>[77]</sup> Although analogues with three, two, or one central disulfide bond were structured and stable, those lacking disulfide bonds or a cyclic backbone were unstructured and rapidly degraded in serum. NMR studies showed that  $\theta$ -defensin analogues with two or three disulfide bonds retained their structure at temperatures above 80 °C.[77] In contrast, the disulfide bonds were not necessary for antibacterial or membrane-binding properties; however, the cyclic cystine ladder might have a role in molecular recognition and antibacterial activity at physiological salt concentrations.<sup>[53]</sup> Acyclic θ-defensin analogues have weaker antibacterial activity than cyclic  $\theta$ defensins, suggesting that the cyclic backbone is important for activity.<sup>[75,77]</sup> A D-retrocyclin analogue synthesized for even greater stability showed more potent anti-HIV activity than L-retrocyclin.[80]

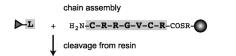
## 6. Synthesis

#### 6.1. Chemical Synthesis

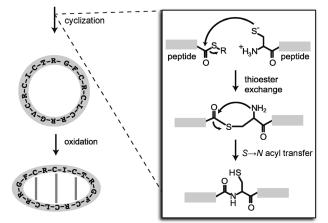
The accessibility of  $\theta$ -defensins by chemical synthesis (Figure 5) has facilitated studies of their activities and mechanisms. Early syntheses employed fluorenylmethoxycarbonyl (Fmoc) chemistry for the assembly of the peptide backbone by solid-phase peptide synthesis (SPPS), followed by oxidation to bring the termini (residues 1 and 18) into proximity for ligation using 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide (EDC) and hydroxybenzotriazole (HOBt) as coupling reagents.<sup>[51,61]</sup> Although this strategy was successful, racemization of the cysteine residues led to poor yields.<sup>[53]</sup> In a later study, three methods were investigated for cyclization of the oxidized RTD-1 precursor: 1) *O*-benzotriazole-*N*,*N*,*N*'-tetramethyl-uronium-hexafluoro-phosphate

(HBTU) and *N*,*N*-diisopropylethylamine (DIPEA) in dimethylformamide (DMF); 2) (benzotriazol-1-yloxy)tris(dimethyl amino)phosphonium hexafluorophosphate (BOP) and DIPEA in DMF; and 3) HOBt and EDC in dimethylsulfoxide (DMSO).<sup>[75]</sup> While a mixture of products was obtained with HBTU owing to side reactions, both BOP and EDC/HOBt gave the desired major product. The EDC/ HOBt method was recommended for ease of purification.<sup>[75]</sup>

The challenge of  $\theta$ -defensin cyclization has now been largely overcome by the use of native chemical ligation.



H<sub>2</sub>N-C-I-C-T-R-G-F-C-R-C-L-C-R-R-G-V-C-R-COSR



**Figure 5.** Chemical synthesis of  $\theta$ -defensins involves three stages: assembly of the peptide chain on resin; cleavage from the resin; and cyclization and oxidation to form the circular backbone and three disulfide bonds. A grey sphere represents the resin bead and amino acids are represented by one-letter codes. The peptide chain is assembled from C to N terminus by coupling N-terminal protected (grey triangle) amino acids to the free N terminus of the peptide chain. Inset: cyclization by native chemical ligation. The reaction involves thioester exchange between a thioester linker (COSR) at the C terminus of the peptide with the free thiol of an N-terminal cysteine. A spontaneous  $S \rightarrow N$  acyl transfer then releases the free cysteine thiol and forms a peptide bond.

Native chemical ligation<sup>[81]</sup> was originally developed for the ligation of two peptide chains, but is also ideal for the synthesis of cyclic peptides, especially those containing multiple cysteine residues.<sup>[82]</sup> The reaction involves thioester exchange between a C-terminal thioester linker and the free thiol of an N-terminal cysteine residue. Formation of the peptide bond is achieved by an irreversible  $S \rightarrow N$  acyl transfer, which regenerates the free cysteine thiol (Figure 5 inset).<sup>[81]</sup> Several native  $\theta$ -defensins and analogues have been synthesized using Boc SPPS followed by concurrent cyclization by native chemical ligation and oxidation.<sup>[7,77]</sup> Fmoccompatible strategies are also becoming more available, and recently a 'one-pot' cyclization and oxidation of a linear  $\theta$ -defensin precursor was reported.<sup>[83]</sup>

#### 6.2. Semirecombinant Synthesis

A lack of efficient in vivo cyclization methods has hindered the recombinant production of  $\theta$ -defensins, but several semirecombinant approaches have been reported. RTD-1 and an analogue containing three N-methylated residues were synthesized using codon reprograming in a cell-free expression system.<sup>[84]</sup> The peptide precursors contained a C-terminal Cys-Pro-glycolic acid sequence that self-rearranges to form a diketopiperadine thioester, which then rearranges to cyclize the peptide.<sup>[84]</sup> An advantage of this approach is that nonstandard or N-methylated amino acids can be included. A bacterial expression system has also been used to produce RTD-intein precursors, which are then cyclized either in vitro or in vivo by a modified intein-splicing mechanism.<sup>[85]</sup> This strategy opens up the possibility of producing cyclic peptide libraries or isotope-labeled peptides recombinantly; however, the yields need to be improved for large-scale production.<sup>[85]</sup>

#### 6.3. Chemically Modified $\theta$ -Defensins

Many 0-defensin analogues have been synthesized chemically to study the their mechanism of action or to optimize antibacterial or antiviral properties. Examples include pseudogene products, Lys mutants to enable labeling with chromophores, Tyr mutants, and retro- and enantioanalogues for anti-HIV and carbohydrate-binding studies.<sup>[86,87]</sup> Chemical synthesis has also been used to produce simplified retrocyclin analogues,<sup>[88]</sup> disulfide-bond analogues, and 0-defensin analogues containing non-native bioactive epitopes.<sup>[76,77]</sup> Oxidation of unprotected cysteine residues appears to give the native I-VI, II-V, III-IV (laddered) disulfide connectivity.<sup>[76]</sup> Nevertheless, in one study, RTD-1 was synthesized using orthogonally protected cysteines, and it was noted that correct disulfide pairing was assisted by the formation of  $\beta$  sheets.<sup>[89]</sup> RTD-1 has also been used to illustrate a method for synthesizing peptides with multiple disulfides in complex arrangements without the need for orthogonal protection; the disulfide bonds in RTD-1 were replaced with Watson-Crick base pairs.<sup>[90]</sup> The resulting RTD-1 analogue had a similar twisted β-sheet structure to native RTD-1, low hemolytic activity, and slightly higher antibacterial activity than native RTD-1.

# 7. Antimicrobial Activity

## 7.1. Antibacterial and Membrane-Disruptive Activity

The antimicrobial activity of  $\theta$ -defensins led to their initial discovery and is thought to be their natural function.<sup>[51]</sup> While  $\alpha$ -,  $\beta$ -, and  $\theta$ -defensing are all antimicrobial at 0.5–5  $\mu$ M,  $\theta$ defensins have the advantage of a lower sensitivity to physiological salt concentrations.<sup>[54]</sup> The cyclic backbone might have a role in this salt insensitivity; the acyclic analogue oRTD-1 is three times less active than cyclic RTD-1 against E. coli and S. aureus.<sup>[51]</sup> In contrast, the disulfide bonds are not essential for antibacterial activity.<sup>[77]</sup> 0-Defensins also bind to and neutralize bacterial toxins. Retrocyclin-1 and its analogues are active against anthrax bacilli and spores, and bind to anthrax lethal toxin.<sup>[91]</sup> However, acyclic or reduced and alkylated analogues of retrocyclin lack activity, suggesting that the cyclic backbone and disulfide bonds have a role in toxin binding.<sup>[91]</sup> Furthermore, retrocyclin-1 is active against L. monocytogenes and inhibits listeriolysin O, a pore-forming toxin that enables bacteria to escape from phagosomes.<sup>[92]</sup>



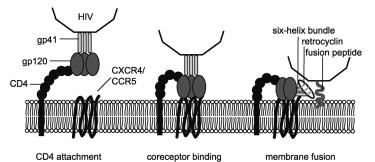
Antifungal activities against *C. albicans*, *C. neoformans*, *V. dahliae*, and *F. oxysporum* have also been reported.<sup>[51,65,93]</sup>

Understanding the mechanism of antimicrobial activity in the complex inflammatory environment where numerous inhibitors and enhancers are present is extremely challenging.<sup>[54]</sup> The antibacterial activity of  $\theta$ -defensing is thought to be caused by their interaction with membranes or glycoproteins, as both enantiomers have similar antibacterial activity.<sup>[80]</sup> As illustrated by the activity of RTD-1 against clinical isolates of antibiotic-resistant S. aureus and P. aeruginosa, θ-defensins might be effective against resistant bacteria because they target the cell membrane rather than specific enzymes.<sup>[94]</sup> Differential scanning calorimetry and Xray scattering measurements showed that  $\theta$ -defensins are selective for anionic membranes over zwitterionic membranes and indicated that the positively charged face of  $\theta$ -defensing interacts with anionic phospholipid headgroups.<sup>[95]</sup> Surface plasmon resonance (SPR) measurements also showed that BTD-2 has higher affinity for anionic membranes than zwitterionic membranes

and the number of disulfide bonds did not affect membranebinding affinity.<sup>[77]</sup> Measurements of membrane curvature by small-angle X-ray scattering showed that θ-defensins generate negative saddle-splay curvature in bacterial membrane models, indicating a potential for membrane disruption dependent on the composition of cationic amino acids.<sup>[96]</sup> Oriented circular dichroism and X-ray diffraction of RTD-1 in lipid bilayers showed both a parallel membrane-bound state and a transmembrane pore-forming state of the peptide. However, the transition between these two states and the exact mechanism was unclear.<sup>[97]</sup> Solid-state NMR measurements indicated that <sup>15</sup>N-labeled retrocyclin-2 adopted a transmembrane orientation in dilauroylphosphatidylcholine (DLPC) bilayers, but a more in-plane ( $\approx 65^{\circ}$ ) orientation in the thicker 1-palmitoyl-2-oleoyl-sn-glycero-3-phosphatidylcholine (POPC) bilayer.<sup>[98]</sup>

## 7.2. Antiviral Activity

The potent anti-HIV properties of retrocyclin were first reported by Cole and co-workers,<sup>[64]</sup> and their therapeutic potential has generated much interest.<sup>[68]</sup> Retrocyclin inhibits HIV-1 replication at low micromolar concentrations but only when added to the cells before infection, as it does not directly inactivate the virus, but prevents its entry by blocking the fusion of the viral envelope and cell membrane (Figure 6).<sup>[64,86,99]</sup> Inhibition of the viral entry might be mediated by the ability of retrocyclin to recognize and bind sugar molecules on cell surfaces; a fluorescently labeled retrocyclin analogue formed patches on the surface of CD4 + cells.<sup>[64,87]</sup> Cell-fusion assays and SPR showed that retrocyclin-1 binds to the C-heptad repeat of gp41, preventing the formation of a six-helix bundle (Figure 6).<sup>[100]</sup> Docking studies revealed glutamic acid residues in the C-heptad repeat-2 segments of gp41 to which arginine residues of retrocyclin might bind. However, mutation of these residues did not lead



**Figure 6.** Simplified mechanism of HIV virus entry into a host cell. The HIV virus attaches to the host cell membrane by binding of the viral gp120 protein with a CD4 receptor on the host cell surface. Changes in the conformation of gp120 then allow it to bind to the coreceptor, either chemokine receptor CXCR4 or CCR5. Coreceptor binding exposes the hydrophobic gp41 fusion peptide, which inserts into the host cell membrane. Each subunit of the trimeric gp41 protein then folds in half to form the six-helix bundle, drawing the viral and host cell membranes together and resulting in membrane fusion. Retrocyclin is thought to inhibit HIV entry by binding to the six-helix bundle of gp41.

to resistance to retrocyclin because the mutations rendered the virus less infective.<sup>[101]</sup> In a recent study, RC-101 was effective against HIV strains that were resistant to the fusion inhibitor enfuvirtide, suggesting that the two antivirals bind to different sites on the heptad repeat-2 domain.<sup>[102]</sup> Furthermore, the effects of compensatory mutations in viral strains resistant to RC-101 were studied, to help guide the development of fusion inhibitors based on retrocyclin.<sup>[102]</sup>

The important role that chemistry has had in elucidating the structure–activity relationships of  $\theta$ -defenins is illustrated by the variety of  $\theta$ -defensin analogues that have been synthesized. For example, a more active retrocyclin analogue (retrocyclin-101) was developed by replacing Arg9 with Lys.<sup>[103]</sup> In another study, the retro-analogue of retrocyclin was actually found to increase HIV infection.<sup>[104]</sup> The ability of retrocyclin to inhibit HIV entry is dependent on the HIV subtype, possibly because of different glycoproteins displayed.<sup>[103]</sup> HIV-infected human cells grown in the presence of retrocyclin-101 to select for resistance showed only a fivefold decrease in HIV-1 susceptibility. Three mutations were identified in the resistant viruses, all of which were in the envelope glycoproteins gp120 and gp41 and were replacements of negative or neutral residues with positively charged residues.[105]

 $\theta$ -Defensins also have anti-influenza and antiherpes activities, in which their carbohydrate-binding "lectin" properties might have a role.<sup>[106]</sup> Retrocyclin is active against the influenza A virus, binding to surfactant protein D<sup>[107]</sup> and inhibiting viral entry by cross-linking and immobilizing membrane glycoproteins that have a role in membrane fusion.<sup>[108]</sup> Cells and chicken embryos transfected with retrocyclin-2 showed reduced infection with H5N1 avian influenza virus, but it was not clear whether the cells expressed cyclic retrocyclin.<sup>[109]</sup> Chemically synthesized truncated  $\theta$ -defensin analogues have also shown anti-influenza A activity.<sup>[88,110]</sup> Furthermore, a series of  $\theta$ -defensins and analogues inhibited the entry of herpes simplex virus into cells by binding surface glycoproteins<sup>[111]</sup> and retrocyclin-2 was effective as a prophylaxis against herpes simplex virus type 1 in a keratitis mouse model.<sup>[112]</sup>

## 8. Applications

The most obvious application of  $\theta$ -defensins is their use as antimicrobials. Although their antibacterial activities are comparable to those of other antimicrobial peptides, the potent anti-HIV activity of  $\theta$ -defensins has garnered the most interest. Several reports have proposed the use of retrocyclins in topical microbicides for reducing HIV-1 transmission.<sup>[113,114]</sup> A dissolving polyvinyl alcohol film formulation of RC-101 has been tested for in vitro and ex vivo safety and efficacy as a vaginal microbicide, but long-term stability and bioavailability testing still need to be carried out.<sup>[115]</sup>

In a recent report it was suggested that  $\theta$ -defensions could also be used as immunomodulatory agents.<sup>[116,117]</sup> While  $\alpha$ and  $\beta$ -defensing stimulate the adaptive immune response,  $\theta$ defensins suppress the production of proinflammatory cytokines, modulating the immune response.<sup>[55,117]</sup> In a polymicrobial sepsis model, mice dosed with RTD-1 had lower levels of tumor necrosis factor and interleukins. Furthermore, systemically administered  $\theta$ -defensins were nontoxic, stable in plasma, and did not stimulate an immune response in adult chimpanzees.<sup>[117]</sup> In an earlier study, prophylactic treatment with RTD-1 prevented lethal infection of mice with a mouseadapted strain of severe acute respiratory syndrome coronavirus.<sup>[118]</sup> However, 0-defensins are neither virus- nor lipopolysaccharide (LPS)-neutralizing, suggesting that their immunomodulatory activity and ability to bind to carbohydrate-containing cell-surface receptors helped prevent viral infection.<sup>[117,118]</sup> Furthermore, binding to cell-surface receptors or plasma proteins might concentrate θ-defensins at infection sites.[59]

 $\theta$ -Defensing are attractive scaffolds for peptide drug design because of their constrained structure, stability, low cvtotoxicity, and inherent bioactivities.<sup>[77]</sup> The concept of a 'prototypic design template' has been applied to tachyplesin analogues, which have similar rigid structures to  $\theta$ -defensing, but do not have the additional advantage of a cyclic backbone.<sup>[89]</sup> As a proof-of-concept that  $\theta$ -defensins can be used as scaffolds for peptide drugs, the cyclic cystine ladder of RTD-1 was used to constrain and stabilize the integrin-binding Arg-Gly-Asp (RGD) sequence in either one or both loops.<sup>[76]</sup> The RGD-containing  $\theta$ -defensing showed potent and selective integrin-binding activity, illustrating that the  $\theta$ -defensin scaffold can acquire a non-native bioactivity. Furthermore, higher integrin-binding activity of analogues containing RGD in both loops suggested that the natural symmetry of  $\theta$ -defensins might be exploited in the design of bifunctional peptide therapeutics.<sup>[76]</sup> Development of chemical synthesis strategies for  $\theta$ -defensin analogues will help to drive their application as drug scaffolds by providing access to novel molecules containing unnatural amino acids, labels, mutations, and chemical modifications.

# 9. Summary and Outlook

The 1990s saw the emergence of the new field of cyclic peptides, as the structures and ribosomal origins of cyclic peptides in bacteria, fungi, and plants were elucidated. The discovery of  $\theta$ -defensins in 1999 revealed that mammals also produce cyclic peptides. Since 2000, chemical tools for discovery, sequencing, synthesis, and structure determination have facilitated investigations into the structures and mechanisms of action of cyclic peptides. While interest in the antimicrobial properties of  $\theta$ -defensins has led to mechanistic insights, the discovery of new  $\theta$ -defensins has lagged considerably behind other cyclic peptides. Furthermore, the biosynthetic mechanism of  $\theta$ -defensins from two separate gene products remains one of the most intriguing yet elusive questions in the field. The growing interest in peptide-based drugs in the pharmaceutical industry, as well as the increasing number of validated targets for drugs that disrupt proteinprotein interactions, provides many opportunities for applications of  $\theta$ -defensins. Further studies on the structures, mechanisms of action, and synthesis of  $\theta$ -defensins will help to promote their development as therapeutic agents. We anticipate that the next few years will see the discovery of new  $\theta$ -defensing and the development of novel applications based on their favorable chemical properties and biological activities.

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