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# Association of dietary intake with pneumothorax: A Mendelian randomization study

Qichen Liang<sup>a</sup>, Huimin Ma<sup>b</sup>, Liming Zhang<sup>a</sup>, Lu Ning<sup>b</sup>, Yajun Zhao<sup>a</sup>, Yang Li<sup>a</sup>, Baoyu He<sup>c</sup>, Aiping Yang<sup>d</sup>, Ziteng Zhang <sup>b,e,\*</sup>

<sup>a</sup> *School of Clinical Medicine, Jining Medical University, Jining, Shandong 272000, PR China*

<sup>b</sup> *Departments of Thoracic Surgery, Affiliated Hospital of Jining Medical University, Jining, Shandong 272000, PR China*

<sup>c</sup> *Department of Laboratory Medicine, Affiliated Hospital of Jining Medical University, Jining Medical University, Jining, Shandong 272000, PR* 

*China*

<sup>d</sup> *Departments of Obstetrics and Gynecology, Qinghai Red Cross Hospital, Xining, Qinghai 81000, PR China*

<sup>e</sup> *Departments of Thoracic Surgery, Qinghai Red Cross Hospital, Xining, Qinghai 81000, PR China*

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#### ABSTRACT

*Background:* An association between dietary habits and lung disease has been demonstrated in previous studies. Employing Mendelian randomization, we aimed to explore how different dietary intakes relate to pneumothorax, shedding light on the interplay among gut flora, the lung-gut axis, and pneumothorax.

*Methods:* Employing both two-sample and multi-sample Mendelian randomization (MR) analyses, we investigated 24 dietary intake variables to establish a strong association with pneumothorax. Causal inferences were drawn using the inverse variance weighted (IVW) method. To fortify our findings, we employed a diverse array of methodologies, including Weighted Median Estimator (WME), Weighted Mode, Simple Mode, Mendelian Randomization Pleiotropy Residual Sum and Outlier (MR-PRESSO), MR-Egger regression, and LASSO.

*Results:* Our analysis identified genetic variants reliably predicting dietary intakes, meeting stringent criteria (p < 5 × 10<sup>-8</sup>) and demonstrating independence (r<sup>2</sup> < 0.001). Causal-effect estimates derived from the IVW model unveiled a statistically significant association, indicating a causal correlation between pneumothorax and three dietary intakes. Specifically, heightened consumption of fresh fruit (OR = 0.196, 95%CI: 0.063–0.606,  $p = 0.004$ ) and dried fruit (OR = 0.323, 95%CI: 0.114-0.911,  $p = 0.032$ ) correlated with reduced pneumothorax risk, while increased processed meat intake (OR = 2.705, 95%CI: 1.026–7.128,  $p = 0.044$ ) showed a positive correlation.

*Conclusion:* In summary, our MR analysis yields robust evidence supporting a causal correlation between dietary elements and pneumothorax. This study significantly advances our comprehension of pneumothorax risk factors, protective agents, and the intricate mechanisms of the lung-gut axis.

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<sup>\*</sup> Corresponding author. Departments of Thoracic Surgery, Affiliated Hospital of Jining Medical University, Jining, Shandong 272000, PR China. *E-mail address:* [zitengzhang1353@163.com](mailto:zitengzhang1353@163.com) (Z. Zhang).

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#### <span id="page-1-0"></span>**1. Introduction**

Pneumothorax, commonly known as a collapsed lung, occurs when air infiltrates the pleural cavity, disrupting the normal lungchest wall interaction and hindering lung expansion [\[1\]](#page-10-0). Subtypes include familial spontaneous pneumothorax, tension pneumothorax, and menstrual pneumothorax, the latter posing a severe risk by compressing blood vessels due to heightened pleural pressure. It can develop as a complication of medical procedures such as lung biopsies or central venous catheterization, or interventions requiring access to the chest cavity. Spontaneous pneumothorax, devoid of trauma or iatrogenic causes, arises from abnormal air communication among alveolar spaces [\[2,3](#page-10-0)]. It represents a prevalent lower respiratory pleural disorder necessitating thoracic surgical intervention, with hospitalization rates increasing from 9.1 to 14.1 per 100,000 annually over the past five decades [\[4\]](#page-10-0). Recurrence rates are notably high, with one study indicating a 35 % recurrence rate among American males [[5](#page-10-0)]. Additionally, COVID-19 viral pneumonia in non-ventilated patients can lead to spontaneous pneumothorax [\[6,7](#page-10-0)]. Mechanisms typically involve structural lung parenchymal changes, such as fibrotic and cystic alterations, resulting in alveolar ruptures [\[8,9](#page-10-0)]. Significant risk factors include elevated body mass index (BMI), smoking, subpulmonary abnormalities, emphysema-like changes, inflammation, matrix metalloproteinases (MMPs), and familial causes [\[10](#page-10-0),[11\]](#page-10-0). Needle aspiration (NA) and intercostal chest drain (ICD) insertion with

#### **Table 1**

Detailed information on 24 dietary habits.



<span id="page-2-0"></span>underwater seal connection are presently the primary therapeutic interventions for spontaneous pneumothorax. However, given its recurrent and potentially fatal nature, early preventive measures and identification of modifiable risk factors are crucial to reducing pneumothorax incidence.

In recent years, there has been a notable increase in interest concerning the influence of dietary habits on physical well-being, propelled by a burgeoning body of evidence linking diverse dietary elements to health outcomes  $[12,13]$  $[12,13]$ . Notably, an expanding corpus of research has begun to unveil connections between diet and pulmonary disorders [\[14](#page-10-0)–20]. Epidemiologic studies have underscored the positive correlation between the consumption of antioxidant-rich foods—such as vitamin C, vitamin E, beta-carotene, and flavonoids—and lung health [\[21](#page-10-0)]. Conversely, the ingestion of processed meats and akin products has been implicated in compromised pulmonary function and related ailments [\[22](#page-10-0)]. However, a significant caveat of these investigations is their vulnerability to confounding variables that may confound lifestyle influences, thereby casting doubt on their findings. Moreover, scant attention has been paid to investigating the impact of dietary patterns on pneumothorax.

Conventional observational studies are prone to biases stemming from myriad confounding variables, including factors like BMI and smoking, which could potentially influence pneumothorax outcomes. Therefore, we chose Mendelian randomization (MR) as a methodological recourse to explore the causal correlation between specific dietary profiles and pneumothorax incidence. MR, as a research method that simulates the causal inference of randomized controlled trials (RCTs) by using genetic variance as an instrumental variable (IV), thus mitigating a key limitation of RCTs—unmeasured confounding factors [\[23,24](#page-10-0)]. Pursuant to this objective, we have undertaken both two-sample MR and multi-sample MR analyses to explore 24 dietary parameters and delineate robust associations with pneumothorax. These findings aspire to yield enriched insights conducive to clinical decision-making on preventive interventions [\[25,26](#page-10-0)].

#### **2. Materials and methods**

#### *2.1. Data source*

Data pooled from the UK Biobanking Study on Dietary Intake Habits, facilitated by the IEU Open GWAS program, were obtained, comprising approximately 500,000 participants from Scotland, Wales, and England, aged 40–69 years between 2006 and 2010 [[27\]](#page-10-0). Participants offered comprehensive data on biomedical samples, anthropometric measurements, lifestyle, and consent for health monitoring. Utilizing a touchscreen questionnaire, the study assessed the frequency of consumption of various food items and beverages over the preceding year. For instance, participants were asked about their daily intake of fresh fruits. Detailed information on 24 dietary habits is presented in [Table 1](#page-1-0). Pneumothorax data for European populations, encompassing 479,902 individuals (3798 cases of European descent and 476,104 European ancestry controls [\[28](#page-10-0)], were sourced from Sakaue et al. Both datasets stem from the Integrative Epidemiology Unit (IEU) study of European populations and are accessible for download via the IEU Open GWAS program [\(https://gwas.mrcieu.ac.uk/datasets/](https://gwas.mrcieu.ac.uk/datasets/)). Given their availability in public databases, no additional ethical approval is required, facilitating unrestricted access for researchers.

#### *2.2. Selection of instrumental variables*

In this study, MR served as the analytical framework for assessing the causal influence of dietary intake habits on pneumothorax



**Fig. 1.** Directed acyclic graph of Mendelian randomization (MR) framework showing hypothesis of dietary intake and pneumothorax.

[\(Fig. 1](#page-2-0)). To establish genetic variation as a robust IV, MR established three key assumptions crucial for valid IVs.

- (1) IVs must demonstrate robust associations with each dietary intake habit.
- (2) IVs should exhibit no correlation with confounding factors.
- (3) IVs ought not to have a direct connection with the outcome, exerting an influence on dietary intake patterns solely through pneumothorax.

To bolster the solidity of the study's conclusions, stringent criteria were employed for selecting single nucleotide polymorphisms (SNPs) as IVs. A significance threshold of p < 5 × 10<sup>-8</sup> was imposed to filter the IVs, followed by consolidation to identify pertinent genetic variants meeting the criteria of independence  $(r^2 < 0.001$ , within 10,000 kb) [\[29](#page-10-0)]. Assessment of the genetic instrument's strength entailed computation of the F-statistic and  $R^2$ , both calculated for each SNP individually and for the amalgamated SNPs. To rectify bias in effect estimation, SNPs with F-values below 10 were excluded, indicative of limited explanatory capacity concerning exposure [\[26,30](#page-10-0)].

The  $R^2$  and F statistics were calculated using the following equation (31):

 $R^2 = 2 \times (1 - EAF) \times EAF \times \beta^2$ 

$$
F = \left(\frac{R^2}{1 - R^2}\right) \left(\frac{N - k - 1}{k}\right)
$$

Excluded from the analysis were SNPs that conflicted with resultant SNPs to ensure alignment of effector alleles, while those exhibiting palindromic traits and intermediate allele frequencies were omitted.

#### *2.3. MR analysis*

In the univariate MR analysis, the inverse variance weighting (IVW) method was predominantly employed to examine the relationship between various dietary intake habits and pneumothorax. Auxiliary analyses utilized Simple Mode methods, Weighted Mode, Weighted Median Estimator (WME), and MR-Egger regression [\[32](#page-11-0)]. The IVW method is the most robust analytical technique in MR studies, maximizing statistical power. It estimates the pooled causal effect by aggregating Wald ratios from each SNP [\[33](#page-11-0),[34\]](#page-11-0). MR-Egger regression is utilized to evaluate pleiotropy, delivering a reliable causal effect estimate even with a varying proportion of invalid IVs. MR-Egger intercept tests further determine the average horizontal pleiotropic effect; a significant intercept term (P *<* 0.05) signifies the presence of overall directional pleiotropy [[30\]](#page-10-0). Heterogeneity is examined through Cochran's Q-tests for IVW and Rücker's Q-tests for MR-Egger, with P-values less than 0.05 indicating substantial heterogeneity. WME combines multiple SNPs to yield a consistent causal estimate. A potential causal relationship between outcome and exposure was considered plausible if the IVW results yielded statistical significance and the estimates from the four MR analyses aligned directionally. Two-sample MR involves selecting two sets of samples, namely SNP-exposure variables and SNP-outcome variables. This approach may introduce heterogeneity due to fixed SNP loci and potential variations in populations and sequencing methods. To ascertain the reliability and robustness of results, sensitivity analysis was performed using Mendelian randomization pleiotropy residual sum and outlier (MR-PRESSO) and leave-one-out analysis. Subsequently, credible evidence of causality was screened for, facilitating further multivariate MR analysis. The IVW method was primarily utilized for multivariate MR analysis, followed by LASSO for selection of feature SNPs and enhancement of stability. To ensure the stability of results, the MR-PRESSO test was employed to detect outliers and directional heterogeneity [\[35](#page-11-0)]. In this exploratory study, the FDR method was employed to adjust for multiple comparisons in multivariate MR [\[31](#page-10-0)]. Causality is deemed credible if the FDR result is below 0.05. Statistical analyses were executed using R 4.3.2, leveraging software packages "MRPRESSO," "MVMR," "TwoSampleMR," and "MendelianRandomization."

### **3. Results**

In our univariate MR analysis, we explored the relationship between 24 dietary habits and pneumothorax incidence ([Table 2\)](#page-4-0). Our results unveiled three dietary factors significantly linked to pneumothorax. Specifically, increased consumption of dried fruit (OR  $=$ 0.323, 95 % CI: 0.114–0.911,  $p = 0.032$ ) and fresh fruit (OR = 0.196, 95 % CI: 0.063–0.606,  $p = 0.004$ ) correlated with reduced pneumothorax risk, while elevated intake of processed meat (OR = 2.705, 95 % CI: 1.026-7.128,  $p = 0.044$ ) was positively associated with pneumothorax incidence. The strength of these causal associations was assessed using the appropriate methods [\[36](#page-11-0)], as depicted in [Fig. 2](#page-7-0).

Sensitivity analyses were performed on these variables. Although variability was noted in dried fruit intake, attributed to differences in analytical platforms, experimental procedures, or study cohorts, the MR Egger intercept test did not yield statistically significant results for any variable, suggesting the absence of horizontal pleiotropy among SNPs. Similarly, the MR-PRESSO method performed did not yield meaningful results in the presence of horizontal pleiotropy ([Table 3\)](#page-8-0). Rücker's Q-tests and Cochran's Q-tests, examining IVW and MR-Egger regression for fresh fruit and processed meat intake, indicated no significant SNP heterogeneity. Hence, the presence of such variability does not affect our findings' interpretation. Additionally, leave-one-out analysis, as illustrated in [Fig. 3](#page-8-0), underscored the robustness of causality scores for positive associations. Subsequent multivariate analyses focused on the three identified variables [\[37](#page-11-0)]. Initially, the LASSO technique for selection of feature SNPs. Subsequent multivariate MR analysis identified

#### <span id="page-4-0"></span>**Table 2**



(*continued on next page*)

# **Table 2** (*continued* )



(*continued on next page*)

#### **Table 2** (*continued* )



one statistically significant causal link: processed meat intake's effect on pneumothorax ( $p = 0.016$ , FDR = 0.047) ([Table 4](#page-8-0)). These results align with our univariate MR analysis, suggesting that processed meat consumption heightens pneumothorax risk, with no evidence of pleiotropy in the MR Egger intercept analysis ( $p = 0.056$ ) ([Fig. 4\)](#page-9-0).

### **4. Discussion**

#### *4.1. Potential mechanisms*

Our study delved into an extensive analysis of the latest genome-wide association study (GWAS) data, unveiling significant connections between pneumothorax and dietary habits regarding fresh fruit, dried fruit, and processed meat intake. Specifically, we unearthed an inverse relationship between fresh fruit and dried fruit consumption and the likelihood of pneumothorax, contrasting with a positive association found between processed meat consumption and pneumothorax risk. Studies suggest that the onset of pneumothorax is rooted in minute inflammatory irregularities and disruptions in the integrity of elastic tissues, notably mediated by matrix metalloproteinase [[10\]](#page-10-0). Lungs, inhabiting a hyperoxic environment, become particularly susceptible to oxidative harm, as evidenced by experimental data indicating the potential of oxidants to incite lung ailments by catalyzing the release of pro-inflammatory agents like cytokines and chemokines  $[38]$  $[38]$ . The presence of antioxidants in fruits, including vitamin E, vitamin C, and an array of carotenoids (e.g., lutein, lycopene, β-carotene, and α-carotene), confers robust protection against oxidative stress [[39\]](#page-11-0). The role of vitamins and their derivatives in maintaining pleural health is well-established [\[35](#page-11-0)–41]. In cases of pneumothorax, characterized by oxidative stress, vitamins are essential for neutralizing free radicals. They counteract the effects of inflammatory mediators, such as vascular endothelial growth factor (VEGF), which increase pleural permeability and impair the mesothelial barrier. For instance, vitamin C and its derivatives shield mesothelial cells from oxidative damage during inflammation and help sustain the mesothelial barrier [\[42](#page-11-0)]. The nuclear transcription factor NF-κB connects oxidative stress with the expression of genes related to systemic inflammation, including tumor necrosis factor-alpha (TNF-α), interleukin-1 (IL-1), interleukin-8 (IL-8), and intercellular adhesion molecule-1 (ICAM-1 or CD54) [40–[42\]](#page-11-0). TNF-α and interleukin-1 beta (IL-1β) have been found to reduce ascorbic acid uptake in human endothelial cells via the sodium-dependent vitamin C transporter (SVCT2) [[43,44\]](#page-11-0). An animal study showed that retinoic acid, compared to simvastatin, significantly reduced inflammatory damage and promoted repair of lung tissue, indicating a vitamin A-dependent mechanism for mitigating oxidative damage and aiding lung regeneration [[48\]](#page-11-0). Furthermore, vitamin B has been shown to suppress T lymphocyte function and proliferation, as well as inhibit the release of cytokines and chemokines [[36\]](#page-11-0). Moreover, fruits stand as rich sources of soluble fiber, subject to partial fermentation by commensal gut bacteria, yielding short-chain fatty acids (SCFAs) such as butyrate, propionate, and acetate. These SCFAs exhibit anti-inflammatory properties through the activation of free fatty acid receptors (G protein-coupling receptors (GPRs) 41 and 43) and the inhibition of histone deacetylases (HDACs). Similarly, dried fruits, having undergone dehydration to preserve nutrient content, serve as valuable reservoirs of fiber and vitamins [\[45](#page-11-0),[46\]](#page-11-0).

<span id="page-7-0"></span>

**Fig. 2.** Forest plot of two-sample Mendelian randomization (MR) estimates of the association between dietary intake and pneumothorax risk.

#### <span id="page-8-0"></span>**Table 3**

MR-PRESSO results of 24 dietary associations with pneumothorax.





**Fig. 3.** Leave-one-out analysis of dietary intake and risk of pneumothorax.





<span id="page-9-0"></span>

<b>Exposure</b>	Sample size for the exposure	OR (95% CI)			<b>P-Value</b>
Fresh fruit intake	446462	$0.38(0.08 \text{ to } 1.75)$			0.2165
Dried fruit intake	421764	0.70 (0.21 to 2.38)			0.5697
Processed meat intake	461981	4.31 (1.32 to 14.13)			$*0.0158$
			0.51		
		No Pneumothorax Pneumothorax			

**Fig. 4.** Forest plots of multivariable Mendelian randomization (MR) in specific dietary intakes.

Dietary variations influence gut microbiota composition, thereby impacting nutrient metabolism [[47,48\]](#page-11-0). Notably, murine models have revealed a correlation between alterations in gut microbiota and enhanced airway responsiveness [[49\]](#page-11-0). Furthermore, our findings resonate with the idea that processed meat consumption contradicts the antioxidant and anti-inflammatory properties linked with fresh and dried fruit intake [\[50](#page-11-0)]. Processed meat products often contain trace amounts of nitrites (in dry salt or brine solutions), generating reactive nitrogen species that exacerbate inflammatory processes in airways and lung tissues [[51\]](#page-11-0). Animal studies, including long-term exposure of rats to nitrite-rich water, have reported the development of emphysema [\[52\]](#page-11-0). Nitric oxide, primarily produced by inducible nitric oxide synthase (iNOS/NOS2), is the main source of reactive nitrogen involved in inflammation, leading to oxidative and nitrative lung damage [[57\]](#page-11-0). Meat contains high levels of advanced glycation end products (AGEs), which increase further during cooking. Dietary AGEs (dAGEs) contribute to elevated oxidative stress and inflammation, activating nuclear factor (NF)-κB [\[53](#page-11-0)–55]. Diets high in processed meats are linked to increased biomarkers of chronic low-grade inflammation (61). Specifically, high meat intake correlates with higher serum levels of C-reactive protein, vascular endothelial growth factor, interleukin-6 (IL-6), and anti-alpha-1-antitrypsin (AAT) [\[56](#page-11-0)].

#### *4.2. Strength and limitations*

This study marks a pioneering application of MR analysis to investigate the causal relationship between dietary intake and pneumothorax. By strictly adhering to instrumental variable conditions in MR studies and making necessary model assumptions, we discerned no significant evidence of heterogeneity. Notably, this study offers several advantages over traditional observational research, chiefly due to its superior data sources and study design. First, MR analysis allows for the assessment of causal relationships with minimal confounder interference and reverse causation bias, thus circumventing inherent limitations of conventional observational methods. Second, our utilization of GWAS data from the most extensive and current studies bolsters the statistical robustness of causal inference. Finally, employing a stringent protocol for SNP screening and employing multiple complementary MR analysis techniques yielded highly meaningful results, thereby mitigating false positives and ensuring result accuracy.

However, our MR analysis faces limitations. First, the GWAS data, drawn from individuals of European descent, lack age and sex information, limiting generalizability and warranting broader population-based GWAS studies. Second, our study falls short of fully elucidating the mechanism underpinning the relationship between gastroesophageal reflux disease (GERD) and pneumothorax, necessitating further laboratory investigations. Third, assessment of dietary habits via touchscreen questionnaires introduces potential biases in analysis. Lastly, focusing solely on dietary intake habits as an exposure phenotype precludes exploration of the effects of specific nutrients on pneumothorax, given the complexity of food composition. Nonetheless, this study sheds light on a pivotal interaction between gut microbial homeostasis and lung health, known as the "lung-gut axis" [[57,58](#page-11-0)]. Mounting evidence suggests the significant role of gut flora in maintaining metabolic stability and triggering lung disease pathogenesis [\[59](#page-11-0)]. Microbial fermentation of dietary fiber produces short-chain fatty acids like acetic acid, propionic acid, and butyric acid, potentially crucial in regulating airway inflammation. Therefore, the lung-gut axis emerges as a promising therapeutic target for lung disease. Dysbiosis of gut microbiota compromises gut barrier function, predisposing individuals to lung diseases. Modulating dietary intake to influence gut flora may mitigate associated lung diseases.

#### **5. Conclusions**

This study hypothesizes a link between dietary habits and pneumothorax risk. However, the effectiveness of dietary interventions for pneumothorax treatment must be confirmed through RCTs. Adolescents, who have the highest rates of pneumothorax, frequently face repeated hospitalizations and relapses (66). Our study attempted to reduce pneumothorax-related complications and alleviate the psychological stress on adolescents by improving their daily dietary habits.

Pneumothorax imposes a substantial global economic burden annually and profoundly affects patients' quality of life. Our findings offer insights to empower clinicians in enhancing health education for pneumothorax patients, emphasizing modifications in vitamins, dietary fiber, etc., and advocating dietary habit adjustments such as increased fruit intake and reduced processed meat consumption. Such dietary modifications hold promise in reducing pneumothorax risk for high-risk individuals, thereby enriching our understanding of pneumothorax risk factors, protective elements, and the role of the lung-gut axis.

#### **Data availability statement**

Genetic association data for the selected risk factors can be found in the IEU OpenGWAS database [\(https://gwas.mrcieu.ac.uk/](https://gwas.mrcieu.ac.uk/))

#### <span id="page-10-0"></span>**CRediT authorship contribution statement**

**Qichen Liang:** Writing – review & editing, Writing – original draft, Resources, Investigation, Data curation, Conceptualization. **Huimin Ma:** Writing – review & editing, Supervision, Software, Project administration. **Liming Zhang:** Writing – original draft, Methodology, Formal analysis. **Lu Ning:** Writing – review & editing, Funding acquisition, Formal analysis. **Yajun Zhao:** Writing – review & editing, Formal analysis, Data curation. **Yang Li:** Writing – review & editing, Validation, Formal analysis. **Baoyu He:** Writing – review & editing, Supervision, Methodology. **Aiping Yang:** Writing – review & editing, Supervision, Resources, Project administration. **Ziteng Zhang:** Writing – review & editing, Methodology, Funding acquisition.

#### **Declaration of competing interest**

The authors declare no conflict of interest.

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