

# Exosomes derived from MDR cells induce cetuximab resistance in CRC via PI3K/AKT signaling-mediated Sox2 and PD-L1 expression

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**Abstract.** The anti-EGFR antibody cetuximab is used as a first-line targeted therapeutic drug in colorectal cancer. It has previously been reported that the efficacy of the EGFR antibody cetuximab is limited by the emergence of acquired drug resistance. In our previous study the transmissibility effect of exosomes from drug resistant tumor cells to sensitive tumor cells was identified. It can therefore be hypothesized that drug resistant cells might affect neighboring and distant cells via regulation of exosome composition and behavior. However, the mechanism of exosomes in KRAS-wild-type colorectal cancer (CRC) remains unknown. In the present study, functional analysis of overall survival post-diagnosis in patients with KRAS wild-type and those with mutant CRC

was performed using human CRC specimens. Furthermore, it was demonstrated that multidrug resistance (MDR) cancer cell-derived exosomes were potentially a key factor, which promoted cetuximab-resistance in CRC cells and reduced the inhibitory effect of cetuximab in CRC xenograft models. The Cell Counting Kit-8 and colony formation assays were performed to assess the effects of exosomes derived from CRC/MDR cells on cetuximab resistance. Sphere formation assay results demonstrated that exosomes derived from CRC/MDR cells altered the self-renewal and multipotential ability of stem-cell-associated markers and facilitated resistance to cetuximab in cetuximab-sensitive cells. Furthermore, exosomes derived from CRC/MDR cells decreased sensitivity to cetuximab via the activation of PI3K/AKT signaling, which promoted Sox2 and programmed death-ligand 1 (PD-L1) mRNA and protein expression according to reverse transcription-quantitative PCR, western blotting and immunohistochemistry analyses, as well as apoptosis resistance both *in vitro* and *in vivo* according to a TUNEL assay. In conclusion, the results of the present study demonstrated that exosomes derived from CRC/MDR cells may promote cetuximab resistance in KRAS wild-type cells via activation of the PI3K/AKT signaling pathway-mediated expression of Sox2 and PD-L1, which will be useful for investigating a potential clinical target in predicting cetuximab resistance.

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**Abbreviations:** KRAS, Kirsten rat sarcoma viral oncogene homolog; EGFR, epidermal growth factor receptor; CRC, colorectal cancer; mCRC, metastatic CRC; MDR, multidrug resistance; TCGA, The Cancer Genome Atlas; CSCs, cancer stem cells; TME, tumor microenvironment; L-OHP, oxaliplatin; CCK-8, Cell Counting Kit-8; MAPK, mitogen-activated protein kinase

**Key words:** exosome, cetuximab, cancer stem cell, resistance, colorectal cancer

## Introduction

Colorectal cancer (CRC) is the third most common cancer worldwide and metastatic CRC (mCRC) continues to be associated with a poor prognosis (1). Therapeutic strategies for mCRC have improved over the past decades (2). However, there is an urgent need for novel therapeutic strategies for the patients who exhibit cancer progression even after treatment with cytotoxic chemotherapy and targeted agents (3). KRAS mutation represents one of the most prevalent genetic alterations in cancers (4). In CRC tumors, 85-90% of KRAS mutations occur in exon 2, with codon 12 and 13 being the most predominant

mutations (5). Anti-EGFR antibodies, such as cetuximab or panitumumab, have been demonstrated to be effective against RAS oncogene wild-type mCRC. However, patients will eventually develop drug resistance and further disease progression will occur regardless of the initial efficacy (6).

Therefore, a concentrated research effort has been made to elucidate the mechanism underlying the acquisition of resistance to anti-EGFR therapy by CRC. The occurrence of tumor somatic mutations in the RAS/RAF/MAPK, PI3K/PTEN/AKT and Janus kinase/STAT signaling pathways, have been reported to be potential therapeutic targets in CRC (7). However, therapeutic approaches proposed for overcoming resistance to anti-EGFR therapy have rarely been demonstrated to confer significant clinical benefits (8,9). Increasing evidence indicates that the tumor microenvironment (TME), including tumor-stromal cell interactions, also contributes to changes in tumor characteristics during tumor initiation and progression (10,11). These characteristics of the TME, including helping the formation of cancer stem cells (CSCs), are responsible for the development and maintenance of tumors and resistance to cytotoxic drugs (12).

Furthermore, based on the Hierarchy (CSC) Theory, which suggests CSCs are more likely to generate a tumor, it has been reported that CSCs have a longer life span and a greater ability to self-renew compared with non-stem cells (13). Therefore, it can be hypothesized that alterations in the TME prompt cetuximab resistance in CRC cells and that CSCs may be the intrinsic driving force for activating or inducing cetuximab resistance.

It has previously been demonstrated that certain specific exosomes, which are considered to be the main group of extracellular vesicles, are biologically active lipid-bilayer vesicles that are naturally released from different types of normal or tumor cells (14). Exosomes are lipid bilayer membrane vesicles (50-100 nm) derived from the luminal membrane of multivesicular bodies and are secreted via the fusion of multivesicular bodies with the plasma membrane or via budding from the membrane (15). These vesicles contain nucleic acids, proteins and lipids, which thereby allows for the transfer of genetic material and enable the exchange of information between cells within the microenvironment (10).

Our previous study has demonstrated that exosomes serve a key role in transmitting multidrug resistance (MDR) between tumor cells (16). However, few studies have been reported that the features that contribute to the transmissibility of drug resistance via exosomes in cetuximab-acquired resistant CRC (17). Furthermore, drug resistance often occurs following chemotherapy combined with cetuximab therapy in the clinic (18). Therefore, there is need for further investigations into the mechanism of anti-EGFR therapy resistance to support the development of novel therapeutic strategies.

In the present study, the potential association between exosomes derived from MDR cells and the response to anti-EGFR treatment in CRC cell lines was investigated. Furthermore, the possible effects of exosomes on sensitivity to anti-EGFR treatment were explored *in vivo* and *in vitro*. This suggested that inhibition of the secretion of exosomes from MDR cells could potentially represent a rational therapeutic strategy to prevent and overcome cetuximab resistance in patients with mCRC.

## Materials and methods

*The Cancer Genome Atlas (TCGA) gene expression data.* The TCGA database (<https://www.cancer.gov/about-nci/organization/ccg/research/structural-genomics/tcga>) created by the National Cancer Institute and the National Human Genome Research Institute, has characterized over 20,000 primary cancer and matched normal samples spanning 33 cancer types worldwide. The TCGA-colon adenocarcinoma study dataset (accession no. phs000178) was chosen to produce a survival curve for CRC. The gene expression profile data (mRNA) of patients with colon cancer were downloaded from TCGA database, and the gene expression profile data was transformed with  $\lg_2(x + 1)$ . Samples with missing data and large differences were removed, 372 colon cancer samples were identified, and the expression status of the KRAS gene in patients with colon cancer was analyzed. According to the median KRAS mRNA expression, the samples were divided into the KRAS mutant group and KRAS wild-type group.

*Patients and sample collection.* A total of 60 patients with CRC patients were enrolled in the study and fresh tissue samples were collected and fixed with 10% formalin solution at 23°C for 48 h after obtaining written informed consent between January 2020 and January 2022 at Shuguang Hospital, Shanghai University of Traditional Chinese Medicine (Shanghai, China). All patients with CRC were diagnosed according to Chinese Society of Clinical Oncology diagnosis and treatment guidelines for colorectal cancer 2018 (19). The inclusion criteria were as follows: i) Pathologically confirmed colon cancer; ii) stage I to III in TNM pathological staging according to the American Joint Committee on Cancer (20); iii) men or women aged 18-75 years; iv) Karnofsky's performance scoring  $\geq 70$  (21); v) no strict heart, liver, kidney or hematopoietic system disease or other factor affecting drug evaluation; and vi) volunteers who had given informed consent. Patients with any of the following conditions were excluded: i) Did not meet the inclusion criteria; ii) mental disorder, pregnancy or lactation; and iii) incomplete information. The patients in the CRC group were aged 45-75 years, with a mean age of  $52 \pm 11$  years. The patients in the advanced CRC group were aged 45-75 years, with a mean age of  $54 \pm 14$  years (Table SI). Among the 18 patients with early-stage CRC (age range, 45-75 years), with a mean age of  $52 \pm 11$  years enrolled in the present study, 8 were male and 10 were female. Of the 32 patients with advanced CRC (the majority of patients were diagnosed in advanced stages, which refers to stage II and III colon cancer) (22,23) (age range, 45-75 years; mean age,  $54 \pm 14$  years), 20 were male and 12 were female. Tumor samples and paired adjacent tissues were collected from all patients. Written informed consent was obtained from every patient.

The present study was approved by the Medical Ethics and Human Clinical Trial Committee of the affiliated hospital, Shuguang Hospital, Shanghai University of Traditional Chinese Medicine (Shanghai, China).

*Establishment of the CRC/MDR cell line.* The human HCT116, LoVo, Caco-2, HT-29 and SW480 CRC cell lines were purchased from The Cell Bank of Type Culture Collection of

The Chinese Academy of Sciences. The HCT116 and LoVo cells were grown at 37°C in a 5% CO<sub>2</sub> humidified atmosphere in RPMI 1640 (HyClone; Cytiva) supplemented with 10% (v/v) heat-inactivated fetal calf serum (Gibco; Thermo Fisher Scientific, Inc.), 2 mM glutamine, 100 units/ml penicillin and 100 µg/ml streptomycin (Invitrogen; Thermo Fisher Scientific, Inc.). The HT-29, Caco-2 and SW480 cells were grown at 37°C in a 5% CO<sub>2</sub> humidified atmosphere in F12K (HyClone; Cytiva), DMEM (HyClone; Cytiva) and L-15 medium (HyClone; Cytiva), respectively, supplemented with 10% (v/v) heat-inactivated fetal calf serum (Gibco; Thermo Fisher Scientific, Inc.), 2 mM glutamine, 100 units/ml penicillin and 100 µg/ml streptomycin (Invitrogen; Thermo Fisher Scientific, Inc.). The HCT116/oxaliplatin (L-OHP) cells were established by gradually increasing the concentration of L-OHP in parental cells (HCT116) first. Subsequently, the cells were intermittently treated with a high-dose concentration (9.6-19.2 µg/ml) of L-OHP (24). Then they were routinely maintained in RPMI 1640 medium containing 5,000 ng/ml L-OHP (Sigma-Aldrich; Merck KGaA) as previously reported (25). The HCT116/L-OHP cells were designated as CRC/MDR cells (Table I) and maintained at 37°C in a 5% CO<sub>2</sub> humidified atmosphere in the L-OHP-containing medium containing RPMI 1640 supplemented with 10% (v/v) heat-inactivated fetal calf serum (Gibco; Thermo Fisher Scientific, Inc.); 2 mM glutamine, 100 units/ml penicillin and 100 µg/ml streptomycin (Invitrogen; Thermo Fisher Scientific, Inc.), and 5 µg/ml L-OHP (Sigma-Aldrich; Merck KGaA). A cell proliferation analysis assay was performed as described subsequently to detect the IC<sub>50</sub> values of chemotherapeutic drugs in MDR HCT116/MDR cells and parental HCT116 cells, which were treated with chemotherapeutic drugs with increasing concentrations (0, 125, 250, 500 and 1,000 µg/ml), including VCR, cDDP, 5-Fu and MMC, for 48 h at 37°C. However, CRC/MDR cells were cultured in L-OHP-free media for 1 week at 37°C prior to subsequent experimentation to make sure the cells were all in the same environment (26,27). The human CRC Caco-2 and HT-29 cell lines are usually considered as KRAS wild-type (28,29) and were purchased from The Cell Bank of Type Culture Collection of The Chinese Academy of Sciences with a statement of authentication.

**Exosome isolation and characterization.** The CRC/MDR cells were cultured in exosome-depleted complete medium (Serum-free Media for exosome culture; Umibio) while CRC cells were cultured in normal medium for 48 h at 4°C. Exosomes were extracted from tumor cells, including CRC and CRC/MDR cells via ultracentrifugation. Briefly, the supernatant was obtained via centrifugation at 2,000 x g for 30 min at 4°C and 10,000 x g for 30 min at 4°C as previously reported (30). The medium was then filtered using a 0.22-µm filter, followed by ultracentrifugation at 120,000 x g for 70 min at 4°C.

The exosome-enriched pellets were suspended in 50 µl PBS. For exosome TEM observation, 5 µl exosomes were loaded onto formvar carbon-coated grids, and were fixed with 1% glutaraldehyde solution at 4°C overnight. Subsequently, the exosomes were negatively stained with 50 µl 2% aqueous phosphotungstic acid for 60 sec at 23°C. The exosomes were imaged with a transmission electron microscope at 80 kV. The

Table I. Sensitivity to chemotherapy in HCT116 and HCT116/MDR cells.

Chemotherapeutic drugs	IC <sub>50</sub> (µg/ml)		Resistance factor
	HCT116	HCT116/MDR	
L-OHP	19.84±1.42	157.48±16.73 <sup>a</sup>	7.94
cDDP	3.32±0.37	26.38±1.92 <sup>a</sup>	7.95
5-Fu	7.14±1.21	33.29±3.64 <sup>a</sup>	4.66
MMC	2.55±0.35	12.50±2.49 <sup>a</sup>	4.90

<sup>a</sup>P<0.01 vs. HCT116. MDR, multidrug resistance; L-OHP, oxaliplatin; cDDP, cisplatin; 5-Fu, fluorouracil; MMC, mitomycin C.

number and size distribution of the exosomes were detected using a NanoSight LM10 Nanoparticle Characterization System (Malvern Instruments, Inc.). The exosomal protein concentration was quantified via the BCA method and exosome-associated protein marker HSP70 and CD81 expression was analyzed via western blotting.

**Western blotting.** Tumor cell (HT-29 and Caco-2 cells) proteins were extracted using RIPA lysis buffer (Beyotime Institute of Biotechnology) as previously reported (31). Protein concentration was quantified using the BCA method. A total of 40 µg protein for each group was separated via SDS-PAGE on a 12% gel and transferred to 0.45 µm PVDF membranes (Merck KGaA). Membranes were blocked with 5% BSA (Beyotime Institute of Biotechnology) for 2 h at 23°C and then incubated with primary antibodies against the following proteins at 4°C overnight: HSP70 (1:1,000; cat. no. 4876; Cell Signaling Technology, Inc.), CD81 (1:1,000; cat. no. ab79559; Abcam), EGFR (1:1,000; cat. no. 66455; ProteinTech Group, Inc.), phosphorylated (p)-EGFR (1:1,000; cat. no. 18986; ProteinTech Group, Inc.), AKT (1:1,000; cat. no. 4691; Cell Signaling Technology, Inc.), p-AKT (1:1,000; cat. no. 13038; Cell Signaling Technology, Inc.), Sox2 (1:1,000; cat. no. 3579; Cell Signaling Technology, Inc.) and programmed death-ligand 1 (PD-L1; 1:1,000; cat. no. 66248; ProteinTech Group, Inc.). GAPDH rabbit monoclonal antibody (1:1,000; cat. no. 5174; Cell Signaling Technology, Inc.) and tubulin rabbit monoclonal antibody (1:1,000; cat. no. 2148; Cell Signaling Technology, Inc.) were used as the internal control. The membranes were washed three times with TBS with 0.1% Tween-20 (TBST) and incubated at 37°C for 1 h with HRP-conjugated anti-rabbit secondary antibody (1:2,000; cat. no. ab97051; Abcam) and HRP-conjugated anti-mouse secondary antibody (1:2,000; cat. no. ab6728; Abcam). Then, the membranes were washed three times with TBST. Bands were visualized via chemiluminescence using Immobilon® ECL Ultra Western HRP Substrate (Merck KGaA) according to the manufacturer's protocol and a ChemiScope 6200 Touch (Clinx Science Instruments Co., Ltd.). The data were analyzed using ImageJ software (version 1.8.0; National Institutes of Health).

**Sphere formation assay.** The cells were transferred to ultra-low attachment plates (Corning, Inc.) in serum-free DMEM

(HyClone; Cytiva) containing 10 ng/ml Fibroblast Growth Factor (basic) (FUJIFILM Wako Pure Chemical Corporation), 10 mg/ml human insulin (CSTI), 100 mg/ml human transferrin (Roche Diagnostics) and 100 mg/ml BSA (Nacalai Tesque, Inc.) and incubated at 37°C in a 5% CO<sub>2</sub> incubator for 10 days. The number of cell spheres, defined as spherical, non-adherent cell clusters <100 μm in diameter, was quantified and the spheres were imaged using an inverted light microscope and analysed using ImageJ software (version 1.8.0; National Institutes of Health).

**Reverse transcription-quantitative PCR (RT-qPCR).** RNA was extracted from HT-29 and Caco-2 cells (1x10<sup>6</sup>) using TRIzol® (Takara Bio, Inc.) as previously reported (25). Total RNA was reverse transcribed into complementary DNA using the SuperScript™ IV First-Strand Synthesis System (Thermo Fisher Scientific, Inc.) according to the manufacturer's instructions. Then, the mixture system, including SYBR Green qPCR Master mix (Thermo Fisher Scientific, Inc.), cDNA templates and primers were applied for qPCR to detect the mRNA expression according to the manufacturer's instructions. The thermocycling conditions were: 95°C for 3 min, followed by 40 cycles of 95°C for 15 sec and 60°C for 15 sec. Primer sequences for target genes, CD133, Nanog, Oct-4, CD29, CD44, Sox2 and GAPDH are presented in Table SII. GAPDH was used as the reference gene. The mRNA expression levels were quantified using the CFX Connect Real-Time PCR Detection System (Bio-Rad Laboratories, Inc.) and were analyzed using the CFX management software v2.0 (Bio-Rad Laboratories, Inc.). Relative quantification of mRNA was performed using the 2<sup>-ΔΔC<sub>q</sub></sup> method (32).

**Cell proliferation analysis.** HT-29 and Caco-2 cells were seeded into 96-well plates (5,000 cells/well) and exposed to increasing concentrations of cetuximab (0, 125, 250, 500 and 1,000 μg/ml; Merck & Co., Inc.) for 24, 48 and 72 h at 37°C. The proliferative ability of cells was determined using the Cell Counting Kit-8 (CCK-8; Med Chem Express) assay for 2 h according to the manufacturer's protocol and is presented as cell proliferation (%). Absorbance was quantified at 450 nm using a Cytation 5 Cell Imaging Multi-Mode Reader (BioTek Instruments, Inc.). The half-maximal inhibitory concentration (IC<sub>50</sub>) of drugs was determined using GraphPad Prism version 8.0 (GraphPad Software, Inc.).

**Colony formation assays.** The HT-29 and Caco-2 cells were seeded in six-well plates (~100 cells/well). Cells were treated with different exosomes for 72 h as previously reported (33). Subsequently, cells were washed twice with PBS, cultured in RPMI640 medium and DMEM (HyClone; Cytiva) and allowed to form colonies at 37°C for 14 days. Media were replaced every 4-5 days. Cell colonies were defined as cell populations >0.5 mm in diameter and the number of cell colonies was counted manually. Colonies were washed three times with PBS, and were then fixed with 95% ethanol at room temperature for 20 min. Colonies were stained with crystal violet (2%) at 37°C for 30 min. Following extensive washing with PBS, the cells were observed under a light microscope (Olympus Soft Imaging Solutions GmbH) and five fields were selected randomly for colony counting. The colony formation

rate was then calculated using the following equation: Colony formation rate=(number of clones)/(number of seeded cells) x100%.

**Sphere formation assay for tumor stem cells.** For formation of spheres, cells were cultured in NeuroCult NS-A basal serum-free medium (human; Stemcell Technologies, Inc.) supplemented with 20 μg/ml heparin (Stemcell Technologies, Inc.), 20 ng/ml hEGF (R&D Systems, Inc.), 10 ng/ml hFGF-b (PeproTech, Inc.) and NeuroCult NS-A Proliferation Supplements (Stemcell Technologies, Inc.). This combination of medium is usually used for stem cells. Cells were seeded at low densities in 12-well low-adhesion plates (1 ml per well). The cells were cultured and then seeded at clonal density in low-adhesion plates. The spheroids were grown until Day 7 and images were captured at a magnification of x100 using an inverted light microscope (first-generation). Thereafter, the first-generation spheroids were dissociated to single cells, and equal numbers of live cells were cultured. Subsequently, the cells were plated at clonal density, resulting in second-generation spheroids. The number of second-generation spheroids was quantified using ImageJ software (version 1.8.0; National Institutes of Health). As previously reported, 7 days were considered to be one cycle (34). Viable spheres were those active spheres which had the ability to grow just like cells. These viable spheres defined as SP cells possessing stem cell characteristics, such as proliferation, self-renewal and differentiation (35).

**Tumor mouse model.** HT-29 cells were harvested in serum-free PBS and 100 μl cell suspensions (1x10<sup>7</sup> cells/ml) were injected subcutaneously into 20 female BALB/c nude mice (age, 6-8 weeks; weight, ~20.0±0.6 g; Shanghai SLAC Laboratory Animal Co. Ltd.; license no. SCXK2017-0005). The animal experiment was approved by the Institutional Animal Care and Use Committee of Shuguang Hospital, Shanghai University of Traditional Chinese Medicine (approval no. PZSHUTCM200724027). The animals were kept under specific pathogen-free conditions. The room temperature was 20°C, the relative humidity was 60%. The light/dark cycle was 12/12 h. The animals had free access to drinking water and food normally, and were fasting for 12 h before the experiment. When the tumors reached an average volume of 100 mm<sup>3</sup>, the mice were randomly divided into four groups (n=5) as follows: i) Saline (0.2 ml per mouse) intraperitoneal injection daily; ii) 0.05 g/kg cetuximab solution intraperitoneal injection every other day; iii) 100 μl CRC exosomes peritumoral injection then 0.05 g/kg cetuximab solution intraperitoneal injection every other day; iv) 100 μl CRC/MDR exosomes peritumoral injection then 0.05 g/kg cetuximab solution intraperitoneal injection every other day. The length and width of tumors were recorded every 3 days. After 27 days from the first day as marked as day 0, according to the Guideline of assessment for humane endpoints in animal experiment (RB/T 173-2018), the diameter of tumors was <1.2 cm. The humane endpoints in the present study were that the animals showed no activity, including grooming, food intake of <1 g per day, or >20% body weight loss over 3 days (the maximum body weight loss over the entire experimental period was <15% and the maximum body weight loss over 3 days was <12%), or the diameter of tumors was >1.2 cm. The

animals were sacrificed via cervical dislocation in deep anesthesia (5% isoflurane; 5 l/min for 1 min) and were surgically removed primary tumors and weighed. Tumor volumes were quantified using the following formula: length x width<sup>2</sup> x 0.5.

**TUNEL assay.** The TUNEL assay was performed using a DeadEnd™ Colorimetric TUNEL System kit (Promega Corporation) to detect the apoptosis of the subcutaneous tumors according to the manufacturer's protocol (16). The peeled tumor was washed with xylene twice for 5 min each. The tumors were fixed with 10% neutral formalin at 23°C for 3 h, dehydrated in gradient alcohol, embedded in paraffin, and sliced into 4- $\mu$ m thick slices, and heated at 65°C for 1 h. The tissues were dewaxed, washed with running water and repaired with pH 8.0 EDTA antigen repair solution in a water bath at 98°C for 25 min. The tissue was treated with Proteinase K working liquid for 15-30 min, and the mixture of the TUNEL reaction was prepared according to the instructions. TUNEL working solution was added to the tissues and these were incubated in the dark at 37°C for 60 min. The samples were rinsed with PBS three times. Subsequently, 50  $\mu$ l converter-POD was added to the specimen after the slide was dried. The slide was covered and reacted at 37°C in a dark wet box, and rinsed three times within 30 min. Subsequently, 50  $\mu$ l 5 mg/ml DAPI substrate was added and tissues were incubated at 25°C for 3 min. The tissues were rinsed with PBS for 10 min at 25°C. Subsequently, the tissue was sealed with Anti-Fade Mounting Medium (ab104135; Abcam). A drop of glycerin was added to observe the apoptotic cells (200-500 cells in total) and capture images with a 20x objective and 10x eyepiece. Three random fields of view per sample were observed. The observation and capture of digital images was performed using a Nikon E80i fluorescence microscope (Nikon Corporation).

**Immunohistochemistry (IHC).** Tumor tissue samples were fixed with 4% paraformaldehyde solution for 3 h at 23°C, and then embedded with paraffin after gradient dehydration. Paraffin-embedded tumor tissue samples (5- $\mu$ m-thick sections) were selected for IHC. Slices were placed in an oven for 30 min for dewaxing and were then hydrated with gradient alcohol. Subsequently, the slides were washed again with PBS and incubated with the prefabricated avidin peroxidase macromolecular complex for 30 min. The peroxidase reaction was completed by incubation in PBS containing 0.01% hydrogen peroxide at room temperature for ~5 min. The paraffin sections were incubated in the sealing solution [10% donkey serum (Gibco; Thermo Fisher Scientific, Inc.) + 5% skim milk + 4% BSA + 0.1% TritonX-100] for 10 min, then incubated with antibodies at 4°C overnight. The primary antibodies for IHC were p-EGFR (1:750; cat. no. 18986; ProteinTech Group, Inc.), p-AKT (1:300; cat. no. 4060; Cell Signaling Technology, Inc.), Sox2 (1:300; cat. no. 14962; Cell Signaling Technology, Inc.) and PD-L1 (1:300; cat. no. 66248; ProteinTech Group, Inc.). The slides were washed again with PBS. The slices were incubated with Polymer helper for 20 min, and then washed with PBS for 5 min three times. Subsequently, the samples were incubated with an HRP-conjugated goat anti-rabbit IgG secondary antibody (1:2,000; cat. no. ab6702; Abcam) at 37°C for 1 h. DAB (cat. no. ab64238; Abcam) was added immediately after the liquid around the tissue dried, slides were incubated at room

temperature for 10 min and the reaction was terminated with water washing. The slices were dehydrated and made transparent after counter-staining with hematoxylin for 5 min at room temperature. Results of staining were assessed using a Nikon E80i light microscope (Nikon Corporation).

**Statistical analysis.** SPSS version 21.0 (IBM Corp.) was used for the statistical analysis. DFS was compared using a two-sided log-rank test. Hazard ratios with 95% confidence intervals were calculated using the Cox proportional hazards model. The Kaplan-Meier method was used to draw survival curves, and a log-rank test was carried out to compare the survival rates. The Wilcoxon test was used for continuous variables and Pearson's  $\chi^2$  test for categorical variables. All data obtained in the present study are presented as the mean  $\pm$  SD from at least three independent experiments. Statistical analyses were performed using GraphPad Prism 8.0 (GraphPad Software, Inc.). A two-sided unpaired Student's t-test with Benjamini-Hochberg correction was used to compare two groups. Differences among three or more groups were analyzed using one-way ANOVA followed by Tukey's post-hoc test.  $P < 0.05$  was considered to indicate a statistically significant difference.

## Results

*Activation of the EGFR signaling pathway is associated with a poor prognosis in cetuximab-treated patients with CRC.* According to IHC scoring (36) results, 78.3% (47/60) and 20.0% (12/60) of tumors were defined as presenting high and low BRAF protein expression levels, respectively (Fig. 1A). Analysis of the TCGA gene expression data demonstrated that the overall survival rate at 3 years postdiagnosis in patients with KRAS wild-type ( $n=229$ ) was markedly higher than the overall survival rate in patients with the KRAS mutation ( $n=143$ ) ( $P < 0.05$ ). However, 3-12 years postdiagnosis, the overall survival rates in patients with KRAS wild-type were lower than overall survival rates in patients with the KRAS mutation (Fig. 1B). As previously reported, KRAS-mutant CRC is associated with a poorer prognosis compared with KRAS wild-type CRC (37).

The dose and time-dependent effects of cetuximab on cell proliferation were assessed using the CCK-8 assay to explore the sensitivity of different CRC cell lines to cetuximab. Caco-2 and HT-29 cell lines with cetuximab treatment exhibited a marked cetuximab-sensitive phenotype compared with the other CRC cell lines (HCT116, HCT116/L, LoVo and SW480 cells) (Fig. 1C). It should be noted that although the cell proliferation was slightly increased at 72 h compared with 48 h in HT-29 cells, the difference was too small to be statistically significant. Anti-EGFR antibody treatment was effective in the KRAS wild-type Caco-2 and HT-29 cell lines, which were used as cetuximab-sensitive cells in the subsequent experiments.

*Exosomes derived from CRC/MDR cells could increase resistance to cetuximab in vitro.* Increasing evidence suggests that exosomes secreted by cancer cells are key mediators of cell-to-cell communication (16,38) and have the capacity to considerably modify the TME, which impacts disease

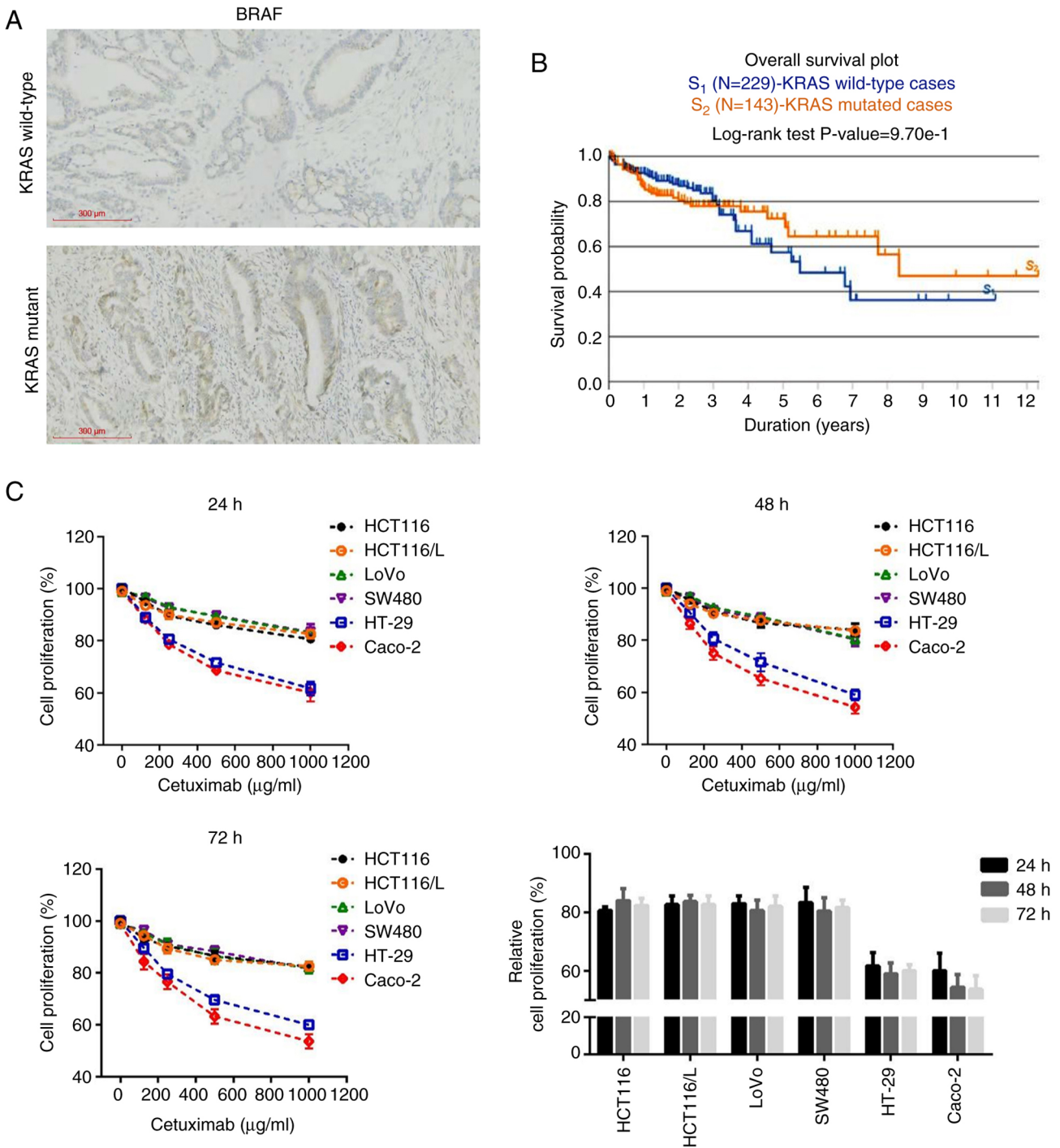


Figure 1. EGFR signaling pathway is associated with poor prognosis in patients with KRAS mutation with colorectal cancer. (A) Immunohistochemistry scoring criteria to classify tumor BRAF protein expression. Representative immunohistochemistry micrographs of KRAS in KRAS mutation and KRAS wild-type tissue. Scale bar, 300  $\mu\text{m}$ . (B) Kaplan-Meier plot showing the overall survival probability of patients with metastatic colorectal cancer according to genetic profile (KRAS mutation vs. KRAS wild-type). (C) Cells were cultured with 0, 125, 250, 500 or 1,000  $\mu\text{g/ml}$  cetuximab for 24, 48 and 72 h. A Cell Counting Kit-8 assay was used to assess relative cell proliferation of CRC cells in relation to controls, which were not treated with cetuximab.

progression. Compared with HCT116 cells, HCT116/MDR cells showed chemotherapeutic drug resistance (Table I), and the difference was statistically significant ( $P < 0.01$ ). The exosomes secreted from CRC/MDR cells and their parental sensitive CRC cells were analyzed for their phenotype (purity and shape) using TEM and for size and particle number using the LM10 Nanoparticle Characterization System (Fig. 2A). A previous study reported that the particles were determined to

be cup-shaped membrane-bound vesicles with a diameter of  $\sim 100$  nm (39). The mean diameter of these nanovesicles ranged between 90 and 120 nm for exosomes from both CRC/MDR cells and their parental sensitive CRC cells (data not shown), which was consistent with the characteristic features of exosomes.

The results of the present study also revealed that the mean sizes of exosomes from CRC/MDR cells and their parental



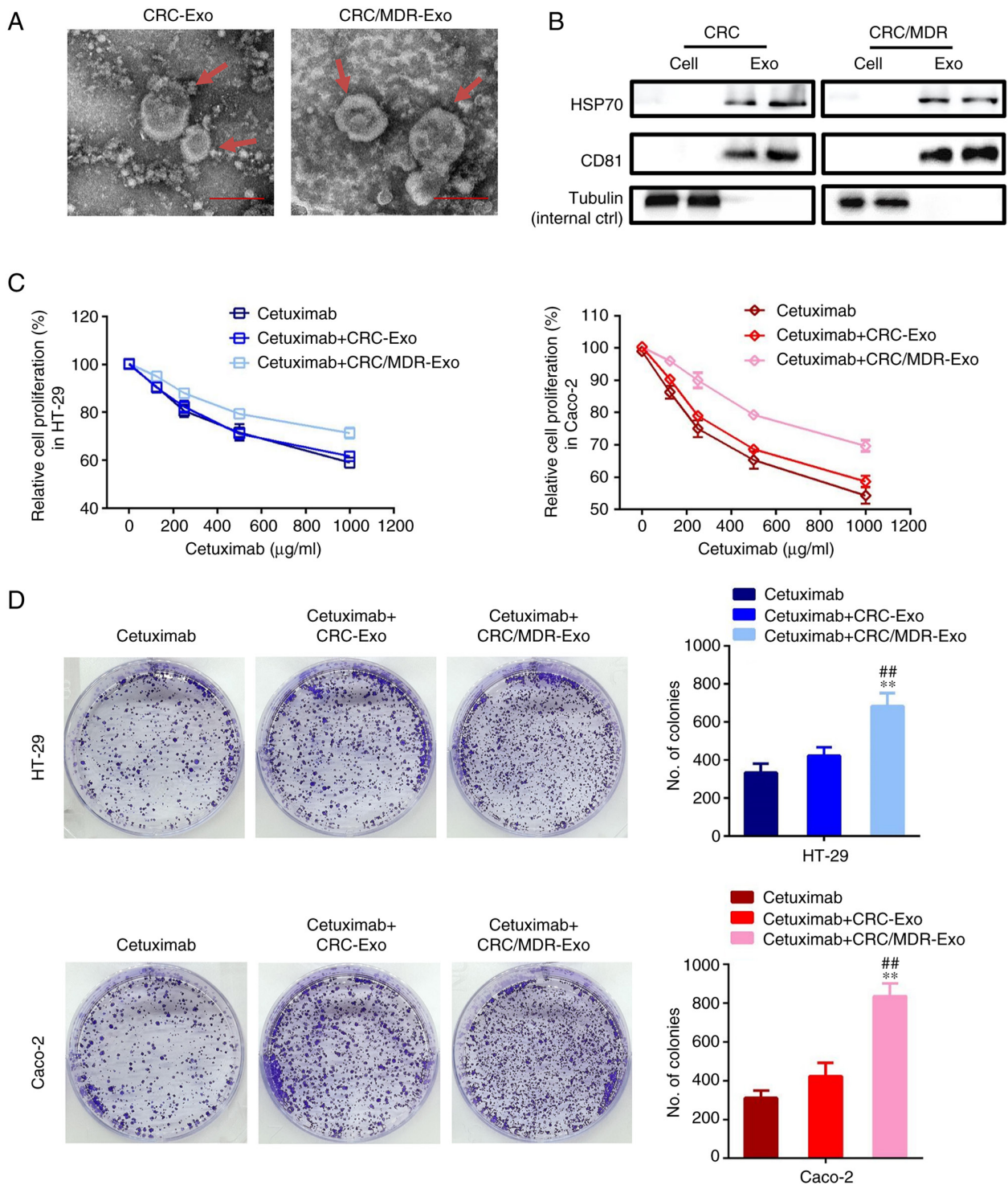


Figure 2. Exosomes derived from CRC/MDR cells increase cetuximab resistance in cetuximab-sensitive cells. (A) Exosomes isolated from the cell culture medium of CRC and CRC/MDR cells were analyzed for phenotype (purity and shape) using TEM. Red arrows indicate representative exosome examples. Scale bar, 100 nm. (B) Western blotting was performed to detect the exosome markers, HSP70 and CD81. Tubulin was used as an internal control. The surface markers of exosomes could not be observed in normal cells. By contrast, tubulin can be observed in cells, while HSP70 and CD81 cannot be observed in cells. (C) A Cell Counting Kit-8 assay was used to assess the effect of cetuximab on the proliferation of HT-29 and Caco-2 cells pre-treated with exosomes derived from CRC/MDR cells or CRC/MDR cells for 72 h in relation to controls, which were not treated with exosomes. The concentrations of cetuximab used for the drug dose-response curve analysis of the indicated cells were 0, 125, 250, 500 and 1,000  $\mu\text{g/ml}$ . (D) HT-29 and Caco-2 cells were treated with exosomes derived from CRC cells and CRC/MDR cells for colony formation analysis. Cells were imaged using a light microscope fitted with a digital camera. The differences among the several groups were analyzed using one-way ANOVA followed by Duncan's test. Data are presented as the mean  $\pm$  SD from at least three experiments. <sup>\*</sup> $P < 0.01$  vs. cetuximab; <sup>\*\*</sup> $P < 0.01$  vs. cetuximab + CRC-Exo. CRC, colorectal cancer; exo, exosome; MDR, multidrug resistance.

sensitive cells were all between 100 and 200 nm, whereas the particle numbers for the exosomes were all  $>2.0 \times 10^7$  particles/ml (data not shown), although without significant

differences. Furthermore, the exosome markers HSP70 and CD81 were detected via western blotting. The exosomes from both CRC/MDR cells and their parental sensitive CRC cells

(referred to as CRC/MDR-Exo and CRC Exo), showed the typical exosomal marker proteins (40) of HSP70 and CD81 (Fig. 2B).

The effect of the exosomes of CRC/MDR cells on the spread of drug resistance of cetuximab to KRAS-wild-type cell lines was investigated. HT-29 and Caco-2 cells were incubated with CRC-exosomes or CRC/MDR-exosomes and treated with cetuximab at different concentrations for cell proliferation analysis. CRC/MDR-exosome treatment markedly reduced the sensitivity of HT-29 and Caco-2 cells to cetuximab (Fig. 2C). Similar efficiency was also noted in the clone formation assay of HT-29 and Caco-2 cells. The clone formation assay showed that compared with the cetuximab group, the CRC-exosome treatment group exhibited no significant differences in colony numbers in HT-29 cells or Caco-2 cells, while the colony formation numbers of both cell lines in the CRC/MDR-exosome group were increased compared with those in the cetuximab group (Figs. 2D and S1).

*Exosomes derived from CRC/MDR cells regulate the protein expression levels of EGFR-associated proteins in HT-29 and Caco-2 cells.* As demonstrated in a previous study (41), the RAS-RAF signaling pathway is one of the downstream signaling pathways of EGFR, and even if the KRAS gene is mutated in the RAS-RAF signaling pathway, the phenomenon of targeted resistance is not entirely due to the expression of EGFR and p-EGFR. The western blotting results showed that, compared with the control group, the intervention of CRC-exosomes had no significant effect on the expression of EGFR, p-EGFR and Akt in Caco-2 and HT-29 cells (Fig. 3A, C and D). However, the CRC/MDR-exosome intervention had significant effect on the expression of Sox2 and PD-L1 in Caco-2 cells (Fig. 3D).

The phosphorylation levels of AKT were significantly elevated in the CRC/MDR-exosomes group compared with the CRC-exosomes group in HT-29 cells. However, CRC/MDR-exosome treatment demonstrated no significant effect on the phosphorylation levels of AKT in Caco-2 cells (Fig. S2). Therefore, HT-29 cells were selected for subcutaneous xenograft in the animal model.

Based on the aforementioned results and other studies (16,42), we hypothesized that CRC/MDR-exosomes could activate the phosphorylation of components of the PI3K/AKT signaling pathway in HT-29 cells and regulate the Sox2-mediated activity of stem cells in Caco-2 cells. These effects together potentially directed the inhibitory effect of cetuximab resistance in KRAS wild-type cells (Fig. 3B). Furthermore, the present study demonstrated that CRC/MDR-exosomes significantly regulated the protein expression levels of the key EGFR signaling pathway protein AKT in KRAS-wild type cells compared with that in the CRC exosome treatment group.

*Exosomes derived from CRC/MDR cells increase tumorigenesis-associated CSC gene expression.* Cell proliferation is a fundamental step in tumorigenesis progression, which can be analyzed via sphere-formation. In the present study, the number and size of spheres were quantified and analyzed using stem cell medium to test sphere-forming capacity (Fig. 4A and B). Previous studies reported that a

clear distinction could be observed in the capacity of cells to form spheres under different treatment conditions (43,44). Consistent with previous studies, spheres were counted as 10-100  $\mu$ M size range, and in this size range the spheres had more capability to grow. The present study demonstrated that CRC/MDR-exosomes caused an increase of heterogeneous cell populations containing a number of sphere-forming subpopulations in HT-29 cells and Caco-2 cells compared with the cells without exosomes treatment, especially in the range of 20 to 39, 40 to 59, and 80 to 100  $\mu$ M size compared with the control group under the same culture conditions. Furthermore, the present study compared the mRNA expression levels of stem-cell-associated markers, such as CD133, Nanog, Oct-4, CD29, CD44 and Sox2, via RT-qPCR to assess whether the spheres formed had the features of tumor-initiating cells. Results of the present study also showed that higher expression levels of Nanog, CD44 and Sox2 were observed under CRC/MDR-exosomes treatment as compared with the normal culture condition without exosome treatment. The expression levels of CD29 were also significantly higher in CRC/MDR-exosome treatment cells compared with cells without exosome treatment in HT-29 cells (Fig. 4C and D). The aforementioned results suggested that sphere forming cells may exhibit increased stemness following treatment with CRC/MDR-exosomes.

*Effects of exosomes derived from CRC/MDR cells in vivo.* Subcutaneous xenograft of HT-29 cells in nude mouse models was performed to assess whether CRC/MDR-exosomes inhibited the anti-tumor effects of cetuximab *in vivo*. Treatment with exosomes derived from CRC/MDR cells also reduced the inhibitory effect of cetuximab on the tumor growth as compared with cetuximab alone, whereas the exosomes derived from CRC cells greatly recovered the inhibitory effect of cetuximab on the tumor growth (Fig. 5A). Final tumor volume and weight assessment demonstrated that tumors derived from the cetuximab + CRC/MDR-exosomes group were significantly heavier than those in the group of exosomes derived from CRC cells with cetuximab treatment (Fig. 5B and C).

Subsequently, the TUNEL assay was performed to observe the apoptotic tumor cells in the subcutaneous xenograft of HT-29 cells with different treatments (Fig. 5D and E). The treatment of cells with exosomes derived from CRC/MDR cells followed by incubation with cetuximab demonstrated significantly fewer apoptotic tumor cells in the xenograft tumor compared with the cetuximab + CRC-exosome group. However, the TUNEL assay showed the most apoptotic tumor cells in the cetuximab alone group.

*Effects of CRC/MDR-exosomes on the protein expression levels of EGFR-associated proteins in vivo.* The protein expression levels of p-EGFR, p-AKT, Sox2 and PD-L1 in the xenograft tumor tissues were detected via IHC. The images obtained via IHC demonstrated that treatment with exosomes derived from CRC-MDR cells followed by incubation with cetuximab demonstrated higher expression levels (based on the trend of brown staining in each group) of Sox2 and PD-L1 in the xenograft tumor compared with cetuximab alone. This suggested that exosomes from CRC/MDR cells activated cetuximab-sensitive cells and enhanced cell stemness



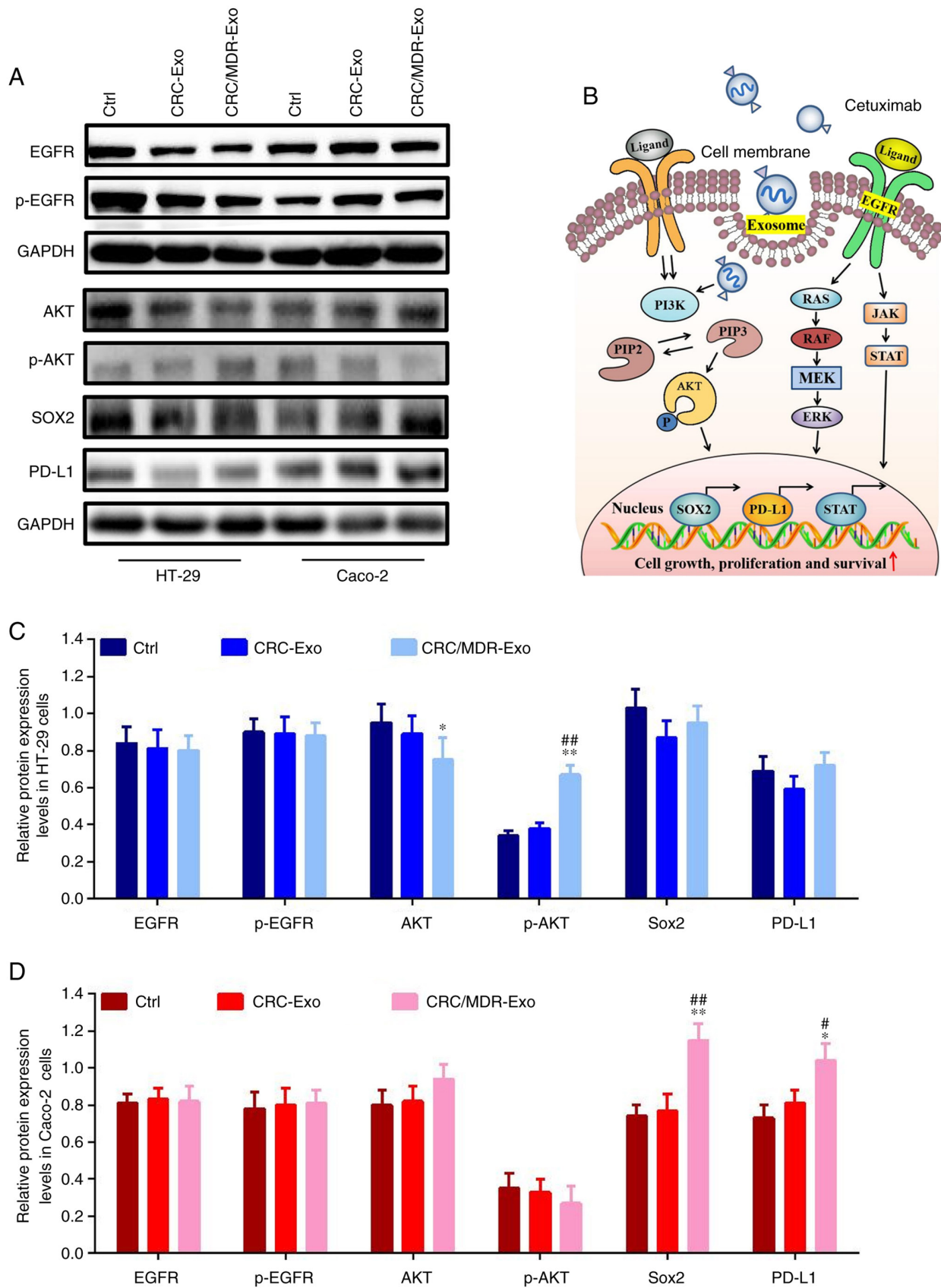


Figure 3. Exosomes derived from CRC/MDR cells regulate EGFR-related protein expression levels in HT-29 and Caco-2 cells. (A) Western blotting of EGFR, p-EGFR, AKT, p-AKT, Sox2 and PD-L1 proteins in HT-29 and Caco-2 cells with the indicated treatments. (B) Proposed working model. In KRAS wild-type CRC cells, the activation of the PI3K/AKT signaling pathway increased cell proliferation and survival, increased the expression and transcription of Sox2 and PD-L1 and resulted in cetuximab resistance. Western blotting quantitative assays of EGFR, p-EGFR, AKT, p-AKT, Sox2 and PD-L1 proteins in (C) HT-29 and (D) Caco-2 cells with the indicated treatments. The differences among the several groups were analyzed using one-way ANOVA followed by Duncan's test. Data are presented as the mean  $\pm$  SD from at least three experiments. \* $P < 0.05$  and \*\* $P < 0.01$  vs. cetuximab; # $P < 0.05$  and ## $P < 0.01$  vs. cetuximab + CRC-Exo. CRC, colorectal cancer; exo, exosome; MDR, multidrug resistance; Ctrl, Control; p, phosphorylated; PIP2, phosphatidylinositol-4, 5-bisphosphate; PIP3, phosphatidylinositol-3,4,5-triphosphate; JAK, Janus kinase; PD-L1, programmed death-ligand 1.

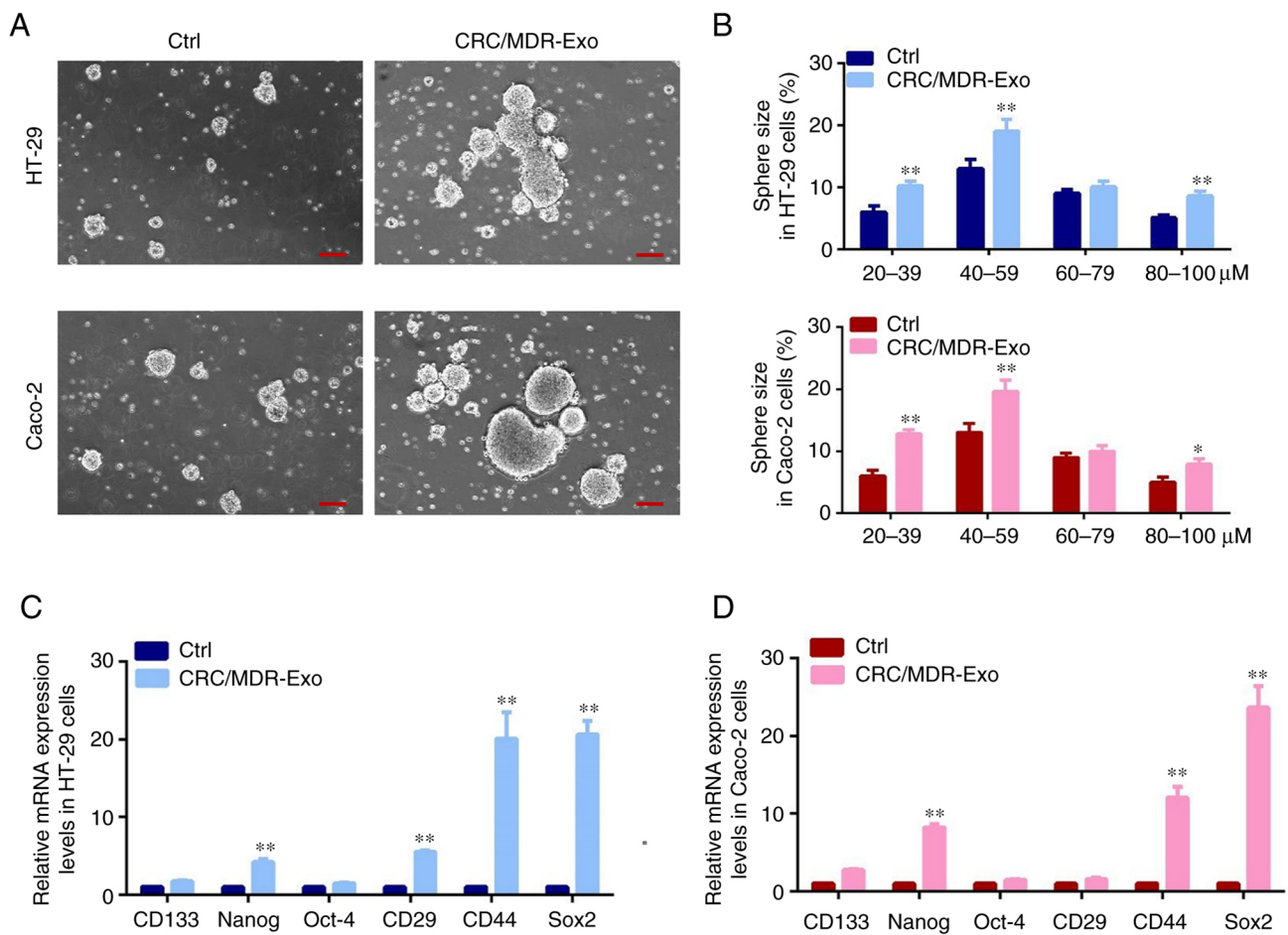


Figure 4. Exosomes derived from CRC/MDR cells increase the population of cetuximab-sensitive cells and stem cell-related gene expression. (A) Representative phase contrast micrographs of cell spheres (diameter  $\geq 200 \mu\text{m}$  was considered as a sphere) isolated from HT-29 and Caco-2 cells with or without CRC/MDR-exosome treatment. Scale bar,  $100 \mu\text{m}$ . (B) Number and size of spheres were quantified 3 days after plating by counting viable spheres/well. (C and D) mRNA expression levels of CD133, Nanog, Oct-4, CD29, CD44 and Sox2 in HT-29 and Caco-2 cells with or without CRC/MDR-exosome treatment were analyzed via reverse transcription-quantitative PCR. Cells were cultured with normal medium in Control group. The differences among the several groups were analyzed using one-way analysis of variance (ANOVA) followed by Duncan's test. Data are presented as the mean  $\pm$  SD from at least three experiments. \* $P < 0.05$  and \*\* $P < 0.01$  vs. Ctrl. CRC, colorectal cancer; exo, exosome; MDR, multidrug resistance; Ctrl, control.

via stimulation of the PI3k/AKT signaling pathway, which conferred cetuximab resistance in CRC cells (Fig. 6 and Table SIV).

## Discussion

Cetuximab is one of the most widely used EGFR inhibitors in the treatment of patients with mCRC and wild-type KRAS status and use in combination with chemotherapy is a standard first-line treatment regimen (8). However, primary or acquired resistance to cetuximab often occurs during targeted therapy (45). To optimize individualized cetuximab therapy in patients with CRC, it is essential to identify the possible mechanism of the response to cetuximab therapy. Previous studies of drug resistance to EGFR inhibitors have focused on understanding resistance mechanisms to EGFR kinase inhibitors and the findings from those studies have been applied to develop the next generation of clinical trials for CRC treatment (41,46). However, there has been limited exploration of the mechanisms of acquired cetuximab resistance and the mechanism of acquired cetuximab resistance has been neglected in the TME.

The TME originates from the idea of 'seed and soil', which was proposed by Stephen Paget (47). The 'seed and soil' hypothesis holds that metastasis depends on the interaction between the 'seed' (cancer cell) and the 'soil' (host micro-environment). Accumulating evidence has demonstrated that exosomes support the development of drug resistance in cancer cells via the secretion of different proteins and nucleic acids in the TME, which can establish drug resistance in nearby or distant cancer cells (10,29,48). It was therefore hypothesized that one mechanism by which MDR cells containing exosomes might facilitate tumor niche development is via the alteration of the tumor stroma. Therefore, the present study aimed to investigate the potential regulatory effect of MDR cells on CRC cells that were sensitive to cetuximab.

TCGA analysis demonstrated that patients with KRAS wild-type had longer progression-free survival than those with KRAS-mutant. Previous data have shown that mCRC lesions harboring KRAS and BRAF mutations are highly associated with a poor prognosis and poor objective response to cetuximab therapy (49). Furthermore, an increasing trend (brown staining indicating positive cells) of serine/threonine-protein kinase BRAF protein expression levels was also identified

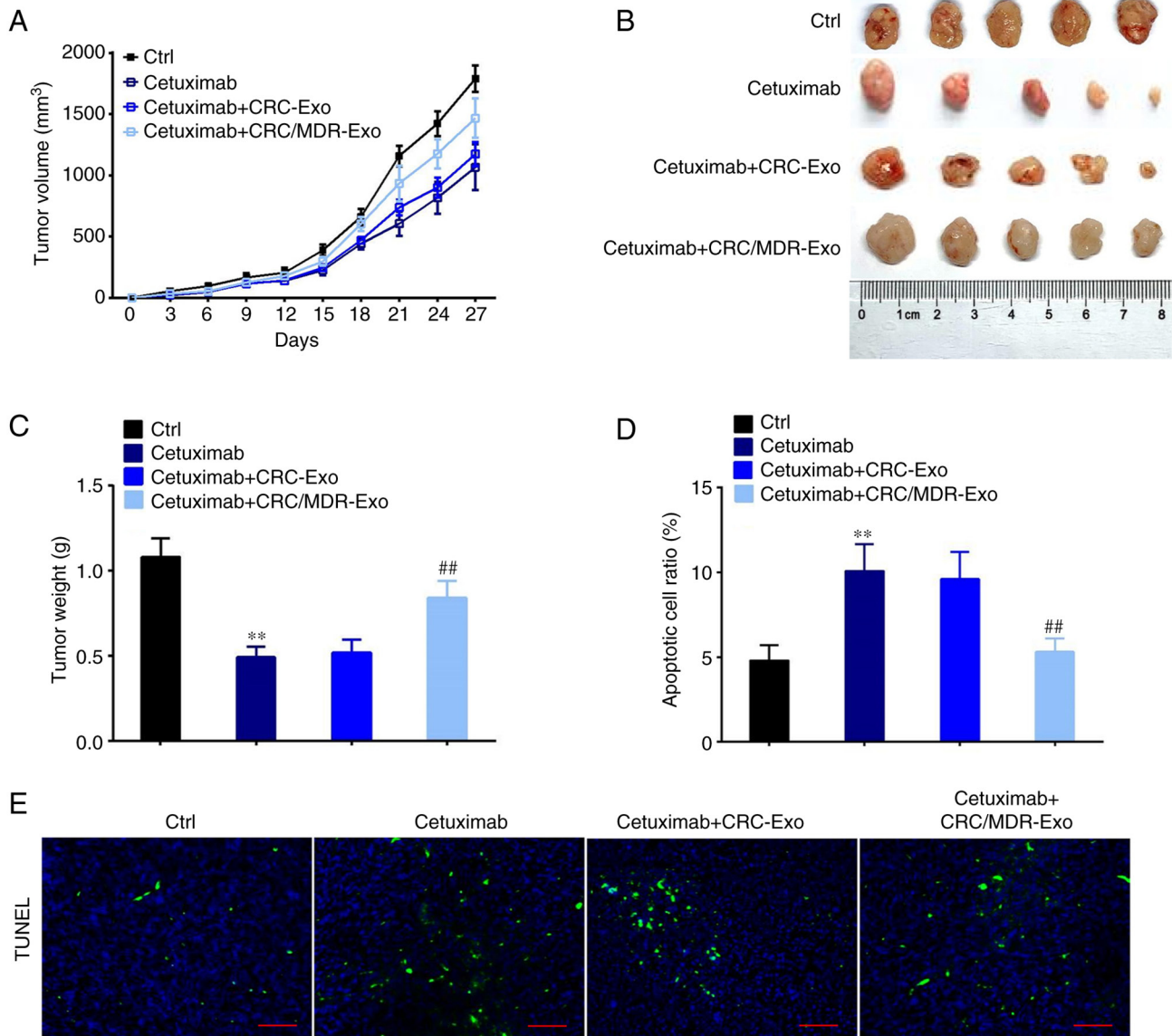


Figure 5. Exosomes derived from CRC/MDR cells decrease cetuximab sensitivity in a HT-29 cell xenograft tumor model. (A) Tumor volume in each group was measured once every 3 days from day 0 to day 27 after HT-29 cell subcutaneous implantation. (B) Xenografts of HT-29 cells treated with normal saline, cetuximab, exosomes derived from CRC cells combined with cetuximab or exosomes derived from CRC/MDR cells combined with cetuximab. At 4 weeks following subcutaneous implantation, the average tumor volumes in each group were calculated. (C) Tumors were surgically removed from nude mice and weighed after 27 days. (D and E) Representative micrographs of TUNEL labeling of tumors from different treatment groups. Scale bar, 200  $\mu$ m. The differences among several groups were analyzed using one-way ANOVA followed by Duncan's test. \*\* $P < 0.01$  vs. control; ## $P < 0.01$  vs. cetuximab + CRC-Exo. CRC, colorectal cancer; exo, exosome; MDR, multidrug resistance.

in patients with mCRC with a lack of response to cetuximab therapy (KRAS mutant group). The results of the present study were in accordance with the findings of a previous study, which reported that cetuximab and panitumumab anti-EGFR therapy significantly improved the survival of patients with KRAS wild-type memorial sloan-kettering cancer center, but was ineffective in the KRAS mutant group (50).

TEM and the assessment of the protein expression levels of exosome specific proteins were performed to characterize exosomes obtained from the culture medium of CRC and CRC/MDR cells. It was demonstrated that exosomes derived from CRC/MDR cells significantly increased resistance to cetuximab treatment in previously cetuximab-sensitive CRC cells. These results were in agreement with the previously

reported observation of chemotherapy-induced drug resistance in CRC (51). Furthermore, it has been reported that even with targeted therapeutic agents, such as cetuximab or panitumumab, resistance has also occurred in patients with mCRC (52) and has been implicated as a selection process for CSC-like cells (53).

It has been reported that CSCs maintain the vitality of tumor cell populations via self-renewal and infinite proliferation, which suggests that CSCs can participate in tumorigenesis, invasion and metastasis and have critical significance in chemoradiotherapy resistance (54). Generally, tumor stem cells in organs can be distinguished by surface markers, such as CD24 ligand for P-selectin, CD44 hyaluronan receptor, CD133 five-transmembrane glycoprotein expressed



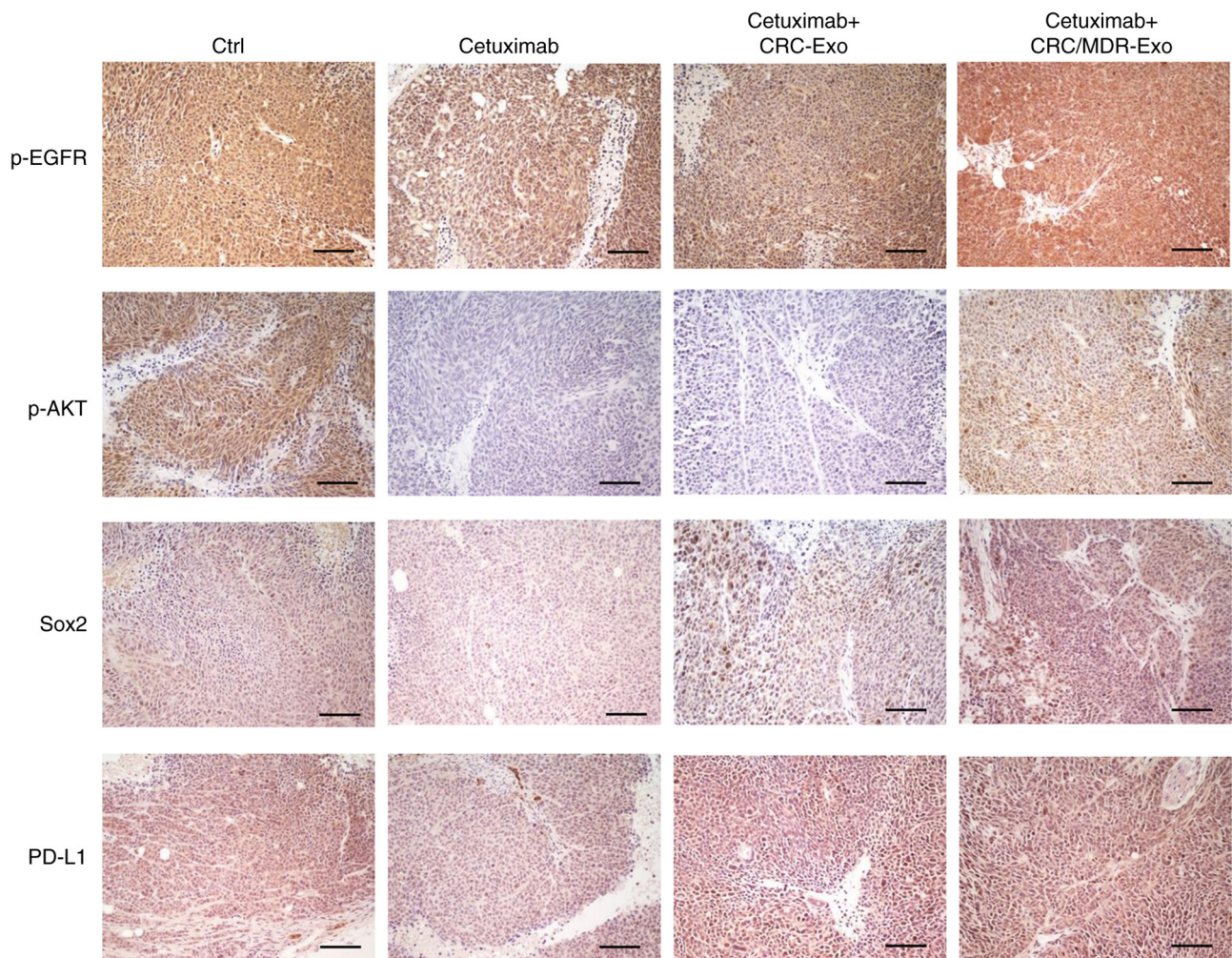


Figure 6. Regulation effect of EGFR-related proteins after exosome treatment in the HT-29 xenograft tumor model. Representative micrographs of xenograft tumor tissues in mice of all treatment groups subjected to immunohistochemistry analysis using p-EGFR, p-AKT, Sox2 and PD-L1 antibodies. Brown staining indicates positive cells. Scale bar, 100  $\mu\text{m}$ . CRC, colorectal cancer; p, phosphorylated; exo, exosome; MDR, multidrug resistance; PD-L1, programmed death-ligand 1.

on the cell surface and epithelial cell adhesion molecule, as a side population of cells with ABC transporters and aldehyde dehydrogenase activity (55). In the present study, both HT-29 and Caco-2 cells consistently demonstrated several CSC-like features, such as an enhanced ability to generate tumor spheres *in vitro* and enhanced tumorigenic ability *in vivo*.

Aberrant Sox2 expression is associated with numerous malignancies and has well-characterized roles in tumor growth, metastasis and drug resistance (56). Emerging evidence has reported that inhibition of PI3K signaling decreases the protein expression levels of Sox2 in CSCs, which suggests that PI3K/mTOR inhibition may successfully circumvent cetuximab resistance via the downregulation of Sox2 and the modulation of downstream transcriptional programs (57,58). In the present study, Sox2 and PD-L1 protein expression levels in Caco-2 cells were related to the response to CRC/MDR-exosomes. Meanwhile, based on the morphology of sphere samples, cell stemness was demonstrated to be markedly increased in cells treated with exosomes from CRC/MDR cells compared with untreated controls, which suggested that anti-EGFR therapy resistance could be related to the change of the TME after chemotherapy resistance. The TME in CRC

may potentially disrupt the downstream signal transduction of anti-EGFR therapy, which renders the wild-type KRAS CRC resistant to cetuximab. The present study revealed that CRC/MDR-exosomes could activate the phosphorylation of components of the PI3K/AKT signaling pathway in HT-29 cells and regulate the Sox2-mediated activity of stem cells in Caco-2 cells. Numerous clinical investigations have reported that even with targeted therapeutic agents such as cetuximab or panitumumab, resistance has developed in patients with mCRC and therefore has been implicated as a selection process for CSC-like cells (49,59).

In our previous study, it was reported that elevated protein levels of PI3K and AKT were observed in MDR colorectal cancer cells compared with in sensitive cancer cells (57). This suggests a major resistance mechanism in PI3K/AKT-activated protein kinase (MAPK)-targeted therapies in CRC. Moreover, the previous study suggests that the activation of a non-canonical and independent PI3K/AKT signaling pathway, which involved the overexpression of Sox2 and PD-L1 mediated the activation of tumor stem cells in CRC (25). However, in the present study that Sox2 and PD-L1 protein expression levels demonstrated different responses to

CRC/MDR-exosomes in different KRAS wild-type cells. A new mechanism that promotes cetuximab resistance progression via CRC/MDR-exosome regulation of the activation of the PI3K/AKT signaling pathway and Sox2 and PD-L1 protein expression levels was proposed in the present study.

It was previously demonstrated that exosome delivery from cisplatin-resistant gastric cancer cells may induce chemoresistance phenotypes in sensitive cancer cells (16). The present study demonstrated that MDR/CRC-exosomes can change the cell proliferation and apoptotic phenotype of cetuximab-sensitive CRC cells. Furthermore, the CRC-exosomes demonstrated a smaller effect on the cell apoptotic phenotype of cetuximab-sensitive CRC cells compared with the MDR/CRC-exosomes. To the best of our knowledge, the present study is the first to document the transmissibility of cetuximab resistance via CRC/MDR-exosomes, not only by the enhanced generation of tumor spheres *in vitro*, but also via enhanced tumorigenic ability *in vivo*. Furthermore, the translational regulation of Sox2 and PD-L1 via the PI3K/AKT signaling pathway was also demonstrated as a response potentially mediated by CRC/MDR-exosomes.

However, the major limitations of the present study include that downstream regulation of PI3K/AKT in cetuximab-sensitive cells was not investigated following treatment with CRC/MDR-exosomes. Furthermore, in clinical applications cetuximab is administered to patients with mCRC, in combination with irinotecan when irinotecan-based therapy has failed (60). It has been reported that patients with KRAS mutant could not benefit from cetuximab; however, a recent clinical study has reported that patients with RAS wild-type tumors derived a significant benefit from the addition of cetuximab to FOLFIRI (fluorouracil, leucovorin, and irinotecan, the first-line treatment of mCRC) (61). Therefore, it is also important to study chemotherapy resistance in KRAS mutants. Although certain factors in drug resistance have previously been widely studied, the findings of the present study present important new possibilities for future clinical application.

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### Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

### Authors' contributions

ZWe and ZWa performed the experiments. ZL, MZ, YZ, QC, LZ and QT analyzed the data and prepared figures. HS and HZ

conceived and designed the experiments, analyzed the data and critically revised the manuscript. ZWe and HS confirm the authenticity of all the raw data. All authors have read and approved the final manuscript.

### Ethics approval and consent to participate

This study was approved by the Institutional Animal Care and Use Committee of Shuguang Hospital, Shanghai University of Traditional Chinese Medicine (approval no. PZSHUTCM20 0724027; Shanghai, China). The present study was approved by the Medical Ethics and Human Clinical Trial Committee of the affiliated hospitals, Shanghai University of Traditional Chinese Medicine (approval no. 2020-824-31; Shanghai, China). All of the patients or their parents/guardians provided written informed consent.

### Patient consent for publication

Not applicable.

### Competing interests

The authors declare that they have no competing interests.

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