

RESEARCH ARTICLE

Reduced NK cell IFN- γ secretion and psychological stress are independently associated with herpes zoster

Choon Kwan Kim¹, Youn Mi Choi², Eunsin Bae², Mihn Sook Jue³, Hyung Seok So⁴, Eung-Soo Hwang^{5*}

1 Department of Internal Medicine, Division of Infectious Diseases, Veterans Health Service Medical Center, Seoul, Korea, **2** Department of Laboratory Medicine, Veterans Health Service Medical Center, Seoul, Korea, **3** Department of Dermatology, Veterans Health Service Medical Center, Seoul, Korea, **4** Department of Psychiatry, Veterans Health Service Medical Center, Seoul, Korea, **5** Department of Microbiology and Immunology, Seoul National University College of Medicine, and Institute of Endemic Diseases, Seoul National University Medical Research Center, Seoul, Korea

* hesss@snu.ac.kr



OPEN ACCESS

Citation: Kim CK, Choi YM, Bae E, Jue MS, So HS, Hwang E-S (2018) Reduced NK cell IFN- γ secretion and psychological stress are independently associated with herpes zoster. PLoS ONE 13(2): e0193299. <https://doi.org/10.1371/journal.pone.0193299>

Editor: Graciela Andrei, Katholieke Universiteit Leuven Rega Institute for Medical Research, BELGIUM

Received: July 16, 2017

Accepted: February 8, 2018

Published: February 21, 2018

Copyright: © 2018 Kim et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: All relevant data are within the paper and its Supporting Information files.

Funding: CKK received a grant from the Veterans Medical Research Institute, VHS Medical Center [grant number VHSMC16012, <http://seoul.bohun.or.kr>]. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Abstract

The pathogenesis of herpes zoster is closely linked to reduced varicella-zoster virus-specific cell-mediated immunity. However, little is known about the interplay between natural killer cells and psychological stress in the pathogenesis of herpes zoster. This study aimed to investigate possible associations among natural killer cells, T cells and psychological stress in herpes zoster. Interferon-gamma secretion from natural killer cell, psychological stress events, stress cognition scale scores and cytomegalovirus-specific cell-mediated immunity were compared between 44 patients with herpes zoster and 44 age- and gender-matched control subjects. A significantly lower median level of interferon-gamma secreted by natural killer cells was observed in patients with a recent diagnosis of herpes zoster than in control subjects (582.7 pg/ml vs. 1783 pg/ml; $P = 0.004$), whereas cytomegalovirus-specific cell-mediated immunity was not associated with herpes zoster. Psychological stress events and high stress cognition scale scores were significantly associated in patients with herpes zoster ($P < 0.001$ and $P = 0.037$, respectively). However, reduced interferon-gamma secretion from natural killer cell and psychological stress were not associated. In conclusion, patients with a recent diagnosis of herpes zoster display reduced interferon-gamma secretion from natural killer cells and frequent previous psychological stress events compared with controls. However, reduced natural killer cell activity is not an immunological mediator between psychological stress and herpes zoster.

Introduction

Herpes zoster (HZ) results from reactivation of the latent varicella-zoster virus (VZV) after a primary VZV infection. Several risk factors for HZ have been identified to date, such as older age, depressed cell-mediated immunity (CMI), diabetes, genetic susceptibility, trauma, recent

Competing interests: The authors have declared that no competing interests exist.

psychological stress, female gender and European ethnicity [1]. Although the role of adaptive immunity in HZ pathogenesis has been well investigated, the role of innate immunity has not. Reduced VZV-specific CMI is the most important risk factor for HZ [2–4], with VZV-specific effector T cell activity peaking at 1–3 weeks after the onset of HZ and decreasing rapidly thereafter [5]. Because natural killer (NK) cells also play important roles in the early stage of viral infection [6], NK cell activity might affect the pathogenesis of HZ. Although NK cell activity varies with age and gender among healthy individuals, only small variations are observed over a long period of time in each individual, and individuals have been subdivided into consistently high and low groups [7]. By comparing NK cell activity between patients with a recent HZ diagnosis and control subjects without a history of HZ, we aimed to investigate the possible role of NK cells in the pathogenesis of HZ.

Although psychological stress reduces overall immune function and promotes HZ [8, 9], the interplay among psychological stress, NK cell activity and HZ has not yet been clearly elucidated. NK cell activity is reduced in response to or during psychological stress [10, 11]. Based on previous findings, we hypothesized that NK cells might serve as an immunological mediator between psychological stress and HZ pathogenesis. Psychological stress can induce reactivation and shedding of cytomegalovirus (CMV) [12], and CMV infection might be a trigger for VZV reactivation in young adults [13]. Because CMV-specific immunity may be an important variable in HZ pathogenesis and a surrogate marker of overall T cell immunity, we also compared CMV-specific CMI between patients with HZ and control subjects.

Materials and methods

Subjects

Study participants were prospectively enrolled at the Veterans Health Service (VHS) Medical Center in Seoul, Korea from March 2016 to September 2016. This study was approved by the Institutional Review Board of the Veterans Health Service Medical Center [file number BOHUN 2015-12-002-001]. Written informed consent was obtained from the enrolled patients with HZ and control subjects. The inclusion criteria were the following: adults >18 years of age who were clinically diagnosed with HZ by dermatologists or infectious diseases specialists within 6 months of HZ onset. Subjects were excluded if they had one or more of the following conditions: fever $\geq 38.3^{\circ}\text{C}$, suspected or confirmed infection with diseases other than HZ, a VZV IgG seronegative status, current malignancy, human immunodeficiency virus infection, pregnancy or recent use of chemotherapeutic agents or immunosuppressive drugs within the past 6 months. Control subjects without a history of HZ were individually matched with the subjects with HZ at a ratio of 1:1 for age and gender. Control subjects included patients who visited the outpatient clinic in the VHS medical center and agreed to enroll in this study. The HZ histories of control subjects were evaluated according to patient memory and medical records greater than 10 years in length (the VHS adopted electronic medical records in 2004). HZ stage was simply classified as the eruptive stage, in which the skin displayed a vesicular rash, and the healing stage, in which the skin displayed a crust or healed scar. The initial clinical manifestations were determined based on interviews of subjects with HZ or were obtained from the electronic medical records. The size of skin lesions was classified as <20 cm or ≥ 20 cm. Numeric pain rating scale scores (1–10) were reported by patients with HZ and classified as 1–5 or 6–10. All enrolled patients with HZ and control subjects were questioned about HZ vaccination, previous surgeries, chronic illnesses, a previous history of malignancy and body mass index. Blood tests were performed to measure leukocyte counts, the levels of C-reactive protein (CRP), blood urea nitrogen (BUN), creatinine, aspartate aminotransferase (AST), alanine aminotransferase (ALT) and serum immunoglobulin (Ig), and the

CMV and VZV serologic statuses at the time of enrollment. Interferon-gamma release assays (IGRAs) for NK cells and CMV-specific T cells were performed simultaneously. The raw data of all study participants were shown in [S1 File](#).

NK cell IGRA

One milliliter of whole blood was collected, immediately transferred to a NK-Vue™ test tube (ATgen™, Seongnam, Korea) and incubated at 37°C for 17 h [14, 15]. The NK-Vue™ test tube was coated with Promocar™ to stimulate the NK cells. Due to the small background activities compared with the test tubes, the negative control tubes were not used, as recommended in the manufacturer's instructions ([S2 File](#)). Supernatants from the incubated tubes were stored at -70°C. An IFN- γ ELISA was performed on the thawed supernatants according to the manufacturer's instructions (ATgen™, Seongnam, Korea). The lower limit of detection for the NK cell IGRA was 40 pg/ml, and the upper limit was set to 2000 pg/ml for convenience.

CMV-specific T cell IGRA

Three milliliters of whole blood were collected and immediately transferred to each of the following 3 CMV-Quantiferon™ tubes (Qiagen, Hilden, Germany): a negative control tube (nil), a positive control tube (mitogen) and a CMV antigen (Ag) tube. The antigen tube contained a variety of CMV peptides, including pp65, IE-1, IE-2, pp50 and gB. After 24 h of incubation at 37°C, the supernatants were collected and stored at -70°C. An IFN- γ ELISA was performed on the thawed supernatants according to the manufacturer's instructions. The background IGRA value from the nil tube was subtracted from the value of CMV Ag tube, and the resulting value was used in the subsequent analysis. The upper limit for the CMV-specific T cell IGRA was set to 10 IU/ml for convenience.

Stress events and stress cognition scales

Psychological stress events were classified into more than 14 life categories, such as health, financial, residential, familial, occupational, educational, sexual, religious, marital, social, abuse, separation, recent loss, self-esteem and expression problems. Subjects were interviewed to determine whether they had experienced the abovementioned stress events within the previous 6 months. The stress cognition scale was measured using a questionnaire comprising 21 questions or statements, such as 'I feel like crying', 'I have become more suspicious', 'I feel like breaking something', and 'I can't do anything'. This questionnaire was validated by correlating the stress cognition scale score with three pre-existing measures related to stress, such as the Korean version of Symptom Checklist-90-Revised (SCL-90-R), the Korean version of the global assessment of recent stress (GARS) scale, and the perceived stress questionnaire (PSQ) [16]. Each question was weighted with scores of 0–4 points according to a Likert-style answer: 'not at all' (0), 'somewhat' (1), 'moderately' (2), 'very much' (3), and 'absolutely' (4). The sum of each score was used as the stress cognition scale score, with a possible range of 0 to 84 points.

Statistical analysis

Means of continuous variables for the subjects' characteristics and laboratory parameters were compared among groups using two-sided Student's t-tests for normally distributed variables, and medians were compared using the Mann-Whitney U test for non-normally distributed variables, such as age, stress cognition scale scores, and CMV-specific T cell and NK cell IFN- γ secretion. Median values for NK cell IFN- γ secretion among the 3 groups were

compared using the Kruskal-Wallis test and adjusted using Dunn’s multiple comparison test. The distribution of categorical variables between groups were compared using Fisher’s exact test. A logistic regression analysis of individual risk factors for herpes zoster was performed. GraphPad Prism version 7.0 (GraphPad Software, La Jolla, CA, USA), SPSS version 24 (IBM, Armonk, NY, USA) and the R program (version 3.4.3) were used for statistical analyses.

Results

Study population

Forty-four subjects with HZ and 44 control subjects were enrolled in the present study. The demographics of the study population are shown in Table 1. The median ages of the subjects with HZ and control subjects were 71 and 71 among the HZ and control subjects, respectively. The male-to-female ratio was high (37:7) because the study subjects were enrolled at a hospital for veterans. Patients with HZ and control subjects were not matched according to chronic illnesses or histories of malignancy. Although patients with current cancer were excluded from the present study, the frequency of patients with a history of malignancy was greater in the HZ group than in the control subjects ($P = 0.039$). Based on the assumption that HZ vaccination does not affect NK cell activity, the history of HZ vaccination was not adjusted between the patients with HZ and control subjects. Therefore, fewer patients with HZ had received the HZ vaccination ($N = 6$) than the control subjects ($N = 16$). The median time intervals from vaccination to study enrollment were 22 months (range: 10–58 months) and 12 months (range: 2–24 months) in patients with HZ and control subjects, respectively. The subjects with HZ displayed more frequent psychological stress events ($P < 0.001$) and higher stress cognition scale scores ($P = 0.037$) than the control subjects. Histories of smoking and alcohol consumption; previous surgeries; the mean body mass index; and chronic illnesses, such as hypertension, diabetes, ischemic heart disease and chronic renal failure, were not different between patients with HZ and control subjects.

Table 1. Characteristics of patients with HZ and control subjects.

Characteristics	Number of patients		P values
	HZ (N = 44)	Controls (N = 44)	
Age	71.0 [67.0; 75.5]	71.0 [68.0; 75.5]	0.927
Men/women	37/7 (84.1%)	37/7 (84.1%)	1.0
Chronic illness	31 (70.5%)	29 (65.9%)	0.819
History of malignancy	11 (25.0%)	3 (6.8%)	0.039
History of HZ vaccination	6 (13.6%)	16 (36.4%)	0.025
Smoking	5 (11.4%)	2 (4.5%)	0.434
Alcohol consumption	15 (34.1%)	17 (38.6%)	1.0
History of surgery	6 (13.6%)	2 (4.5%)	0.266
Psychological stress events	27 (61.4%)	5 (11.4%)	<0.001
Stress cognition scale scores	14.0 [7.5; 18.0]	9.0 [5.0; 14.0]	0.037
Body mass index (kg/m ²)	24.5±2.8	23.9±3.0	0.2616

P values for continuous variables were calculated using a two-sided Student’s t-test or the Mann-Whitney U test. Descriptive data are presented as the means±standard deviations (SD) for normally distributed variables and medians [interquartile ranges (IQR)] for non-normally distributed variables. Fisher’s exact test was used for categorical variables.

<https://doi.org/10.1371/journal.pone.0193299.t001>

Laboratory parameters

The white blood cell counts, lymphocyte counts, and the AST, ALT, CRP, and serum IgG, IgA and IgM levels were not different between the patients with HZ and control subjects (Table 2). All enrolled patients with HZ and control subjects were seropositive for CMV IgG and VZV IgG. All study subjects were CMV IgM-seronegative, and 4 of the 44 (9.1%) subjects with HZ were VZV IgM-seropositive. A higher median VZV IgG level was observed in subjects with HZ than in the control subjects (4000 mIU vs. 1883 mIU/ml, $P < 0.001$), whereas the CMV IgG level was not different between the patients with HZ and control subjects.

NK cell and CMV-specific T cell IGRAs

We first compared the levels of IFN- γ secreted from NK cells between the patients (N = 44) with HZ and the control subjects (N = 44) to investigate the association between NK cell activity and HZ (Fig 1). A significantly lower median level of IFN- γ was secreted from NK cell in subjects with HZ than from those of the control subjects (582.7 pg/ml vs. 1783 pg/ml, $P = 0.004$), whereas the median level of IFN- γ secreted from CMV-specific T cells was not significantly different between the patients with HZ and control subjects (0.955 IU vs. 4.645 IU, $P = 0.3310$).

In a subgroup analysis (Fig 2), we analyzed the levels of IFN- γ secreted from NK cells according to the HZ stage. forty-four patients with HZ were classified into the eruptive stage group (N = 18) and the healing stage group (N = 26). The median level of IFN- γ secreted from NK cells was statistically significantly different among three groups, including the control group ($P = 0.0079$). Although the median level of IFN- γ secreted from NK cells was not different between the eruptive stage group and the healing stage group (762.5 pg/ml vs. 496 pg/ml, $P > 0.999$), the levels were statistically significantly different between the healing stage group and the control group (496 pg/ml vs. 1783 pg/ml, $P = 0.0107$).

NK cell IFN- γ secretion and psychological stress

The association between NK cell IFN- γ secretion and psychological stress was analyzed in 88 enrolled subjects. The group (N = 32) that had previously experienced a psychological stress

Table 2. Comparison of laboratory parameters between patients with HZ and control subjects.

Parameters	Number of patients		P values
	HZ (N = 44)	Control (N = 44)	
WBC (cells/ μ l)	5800 [5115; 7365]	5695 [5015; 6815]	0.904
Lymphocytes (cells/ μ l)	1760 [1430; 2110]	1905 [1450; 2315]	0.374
hs CRP (mg/l)	0.90 [0.39; 3.20]	0.75 [0.40; 1.45]	0.332
AST (U/l)	25.0 [22.0; 28.5]	25.0 [21.0; 30.5]	0.799
ALT (U/l)	23.0 [17.0; 32.5]	21.0 [17.0; 26.5]	0.579
Creatinine (mg/dl)	0.95 [0.81; 1.16]	1.03 [0.82; 1.15]	0.567
IgG (g/l)	12.5 \pm 2.8	12.4 \pm 2.2	0.903
IgA (g/l)	2.42 [1.73; 2.96]	2.34 [1.99; 2.98]	0.686
IgM (g/l)	0.76 [0.62; 1.07]	0.80 [0.58; 1.07]	0.851
VZV IgG levels (mIU/l)	4000 [2334.5; 4000.0]	1883 [1263.5; 2499.0]	<0.001

P values for continuous variables were calculated using a two-sided Student's t-test or the Mann-Whitney-U test. Descriptive data are presented as the means \pm SD for normally distributed variables and medians (IQRs) for non-normally distributed variables. Abbreviations and normal ranges: WBC, white blood cell (4–10.0 $\times 10^3$ cells/ μ l); lymphocytes (1.5–4 $\times 10^3$ cells/ μ l); hs CRP, high-sensitivity C-reactive protein (<3.0 mg/l); AST, aspartate aminotransferase (13–33 U/l); ALT, alanine aminotransferase (8–42 U/l); creatinine (0.7–1.2 mg/dl); IgG (7–16 g/l); IgA (0.7–4.0 g/l); IgM (0.4–2.3 g/l); VZV, varicella-zoster virus.

<https://doi.org/10.1371/journal.pone.0193299.t002>

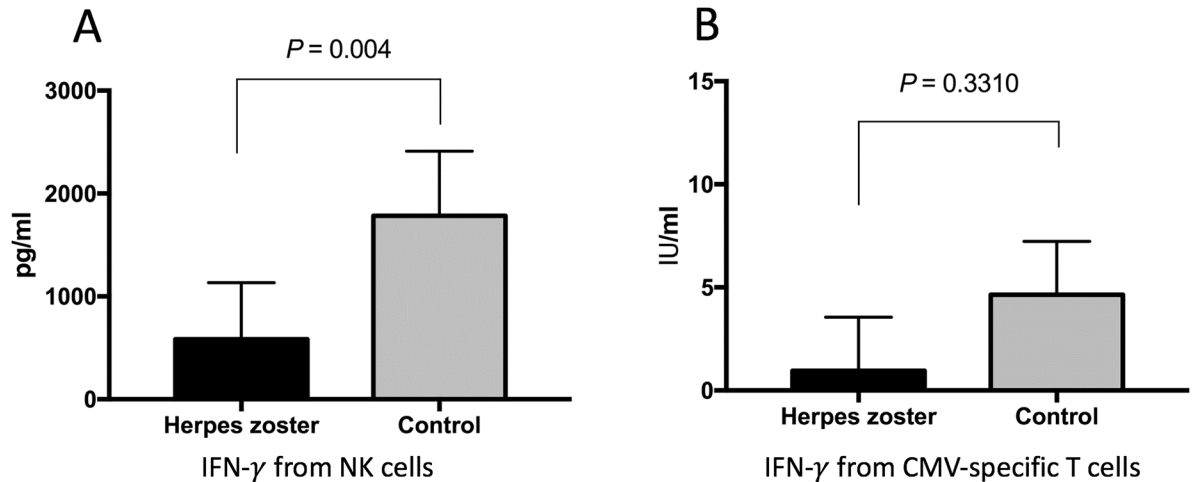


Fig 1. Comparison of IFN- γ levels secreted from NK cells (A) and CMV-specific T cells (B) from patients with HZ and control subjects. Median values are depicted with a 95% confidence interval bar. The *P* value was calculated using the Mann-Whitney U test.

<https://doi.org/10.1371/journal.pone.0193299.g001>

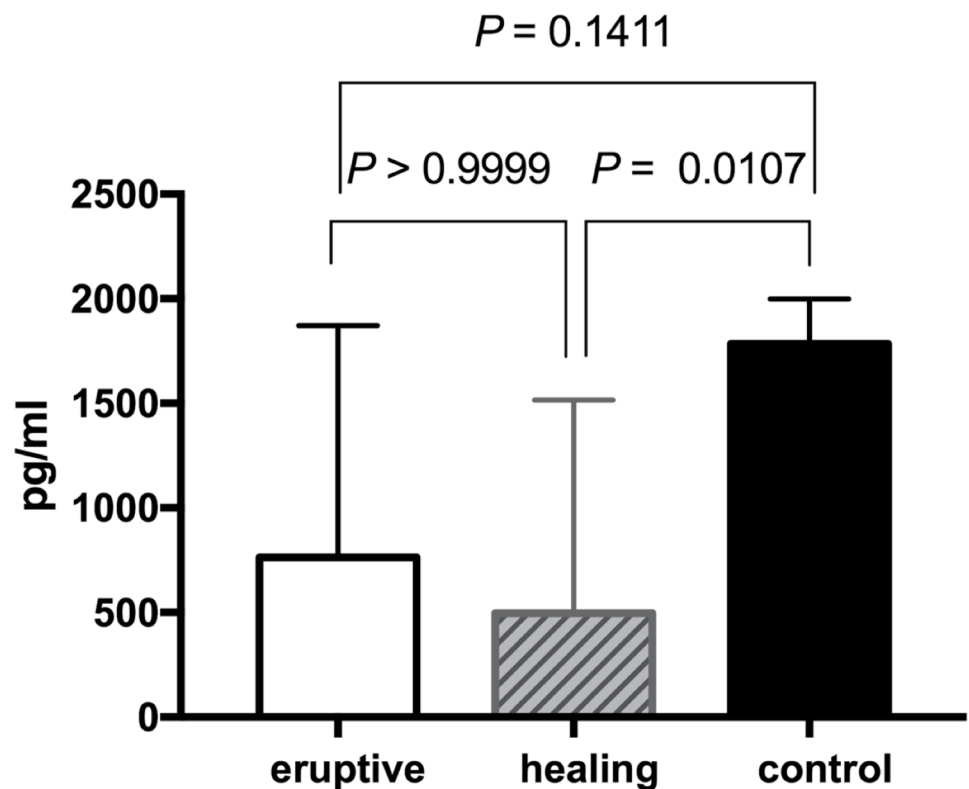


Fig 2. NK cell IFN- γ secretion according to HZ stage. The difference in levels of IFN- γ secreted from NK cells from subjects in the eruptive stage (N = 18), healing stage (N = 26) and control groups (N = 44) are shown. Median values are depicted with a 95% CI bar and were compared among three groups using the Kruskal-Wallis test (*P* = 0.0079). Median values were compared between two groups, and *P* values were adjusted using the Dunn's multiple comparison test.

<https://doi.org/10.1371/journal.pone.0193299.g002>

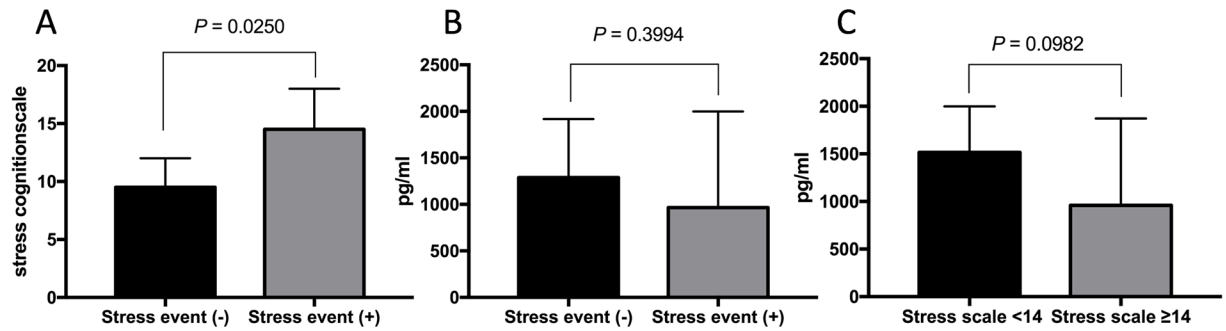


Fig 3. Association between psychological stress and levels of IFN- γ secretion from NK cells. The stress cognition scale scores were compared (Fig. 3A) between the subjects with psychological stress event (N = 32) and the subjects without psychological event (N = 56). The levels of IFN- γ secretion from NK cells were compared between the subjects with psychological stress event and the subjects without psychological stress event (Fig. 3B). The levels of IFN- γ secretion from NK cells were compared between the subjects (N = 49) with psychological stress cognition scale scores <14 and the subjects (N = 39) with psychological stress cognition scale scores \geq 14 (Fig. 3C). The median values are depicted with 95% CIs. P values were calculated using the Mann-Whitney U test.

<https://doi.org/10.1371/journal.pone.0193299.g003>

event had higher psychological stress cognition scale scores than the subjects (N = 56) who had not experienced a stress event (median 14.5 vs. 9.5, $P = 0.0250$, Fig 3A). The levels of IFN- γ secretion were compared according to psychological stress event (Fig 3B) and psychological stress scale scores (Fig 3C) which was categorized by <14 (N = 49) and \geq 14 (N = 39). Neither the psychological stress event (median 1288 pg/ml vs. 965.6 pg/ml, $P = 0.3994$, Fig 3B) nor the psychological stress cognition scale score (median 1515 pg/ml vs. 959 pg/ml, $P = 0.0982$, Fig 3C) was associated with NK cell IFN- γ secretion.

Risk factors for HZ

Multivariate logistic regression analyses were performed to identify risk factors associated with HZ compared with the control subjects. Odds ratios (ORs) and 95% CIs were estimated using logistic regression models. All variables that were identified as significant in the univariate analysis were included in the logistic regression model (Table 3, model 1). The VZV IgG levels were not included as a variable because they were tested after the occurrence of HZ. Stress cognition scale scores and NK cell IFN- γ secretion were categorized by cut-off values of 14 and 600 pg/ml, respectively, considering the small number of subjects and their distributions. We identified the effects of the independent variables associated with herpes zoster by estimating the ORs using a stepwise logistic regression model (Table 3, model 2). The following independent risk factors for HZ were identified in model 2: a history of malignancy (OR 5.403), psychological stress events (OR 20.356) and NK cell IFN- γ levels less than 600 pg/ml (OR 6.775).

Table 3. Logistic regression analysis of factors associated with HZ.

Risk factors	Model 1 (All variables that were significant in the univariate analysis)			Model 2 (Backward stepwise variable selection from model 1)		
	OR	95% CI	P values	OR	95% CI	P values
History of HZ vaccination	0.320	0.070–1.471	0.143	0.305	0.067–1.386	0.124
History of malignancy	5.63	1.103–28.701	0.038	5.403	1.111–26.266	0.037
Psychological stress events	17.87	4.431–72.099	<0.001	20.356	5.164–80.238	<0.001
Stress cognition scale score <14	0.49	0.147–1.655	0.252			
NK cell IFN- γ secretion <600 pg/ml	6.4	1.72–23.804	0.006	6.775	1.876–24.465	0.004

P values, OR and CI were calculated using the R program.

<https://doi.org/10.1371/journal.pone.0193299.t003>

Table 4. Factors affecting IFN- γ levels in NK cells among 44 patients with HZ.

Factors	No. of patients	Median levels of IFN- γ secretion from NK cells (pg/ml)	<i>P</i> values
Age (years)			0.169
<70	19	958.5	
\geq 70	25	391.9	
Gender			0.759
Male	37	599.7	
Female	7	543.2	
Chronic illness			0.414
Yes	31	599.7	
No	13	404.8	
History of malignancy			0.692
Yes	11	599.7	
No	33	565.7	
HZ vaccination			0.352
Yes	6	1148.70	
No	38	554.45	
Alcohol consumption			0.431
Yes	16	471.05	
No	28	1016.80	
Psychological stress events			0.325
Yes	27	958.5	
No	17	391.9	
Stress cognition scale scores			0.348
<14	18	1085.45	
\geq 14	26	540.25	
Size of skin lesions			0.032
<20 cm	26	1020.25	
\geq 20 cm	18	271.05	
Initial pain scale scores (NRS^a)			
1–5	22	582.7	0.887
6–10	22	685.6	

^aNRS: numeric rating scale. *P* values were calculated using the Mann-Whitney U test.

<https://doi.org/10.1371/journal.pone.0193299.t004>

The variable of psychological stress cognition scale scores was dependent on the variable of psychological stress events.

Factors affecting NK cell IFN- γ secretion

The levels of IFN- γ secretion from NK cells was also compared among 44 subjects with HZ stratified according to the following factors: age, gender, chronic illness, history of malignancy, HZ vaccination, alcohol consumption, psychological stress event, stress cognition scale scores, size of the skin lesion and initial pain scale scores (Table 4). Patients with a skin lesion of more than 20 cm had lower levels of NK cell IFN- γ secretion than patients with a skin lesion less than 20 cm ($P = 0.032$). The other factors, including psychological stress events and stress cognition scale scores, were not related to NK cell IFN- γ secretion.

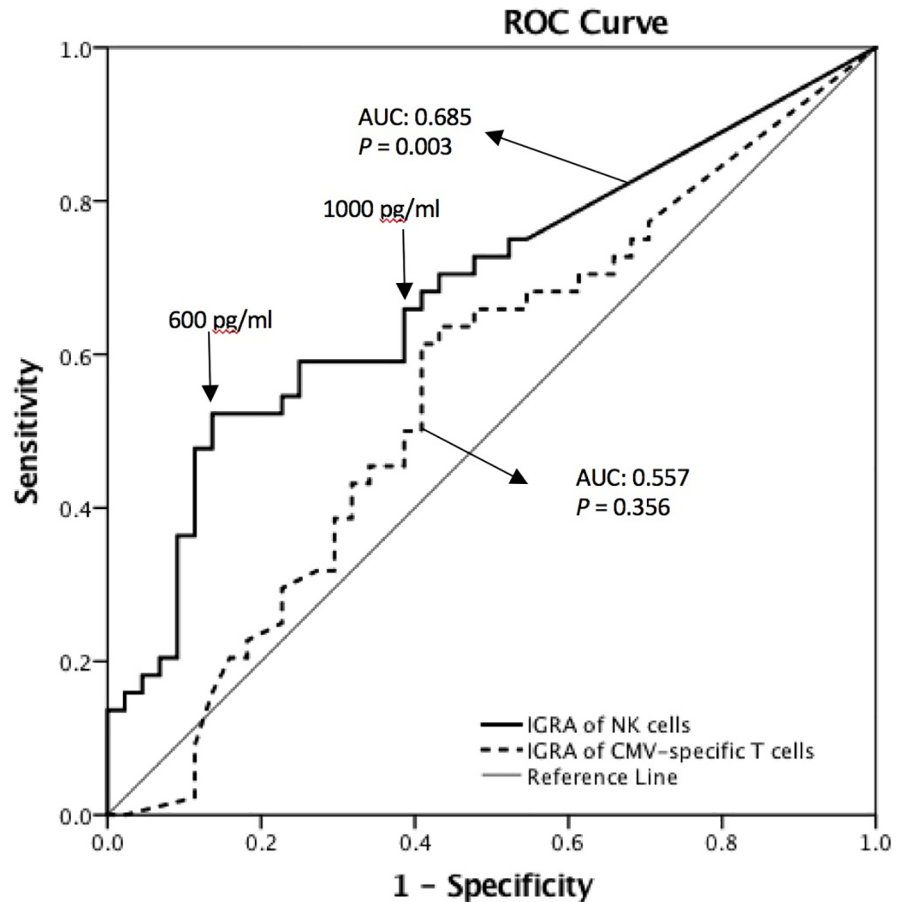


Fig 4. ROC curves for IGRAs using NK (continuous line) and CMV-specific T (dotted line) cells from subjects with HZ. The points corresponding to 600 pg/ml (the most distant point from the diagonal line) and 1000 pg/ml (the smallest square of the distance between the left upper corner and the curve) for the NK cell IGRA are indicated on the ROC curve. The area under the curve (AUC) and asymptotic P value were calculated for each test based on the assumption of nonparametric data and the null hypothesis (true area = 0.5) using SPSS software. IGRA: IFN- γ -release assay, AUC: area under the curve.

<https://doi.org/10.1371/journal.pone.0193299.g004>

Receiver operating characteristic (ROC) curve analysis of NK cell IGRA data

A ROC curve analysis was used to determine the utility of NK cell and CMV-specific T cell IGRAs for the diagnosis of recent HZ (Fig 4). At a cut-off value of 600 pg/ml, the sensitivity and specificity for HZ were 50% and 86.4%, respectively, and at a cut-off value of 1000 pg/ml, the sensitivity and specificity for HZ were 59.1% and 78.2%, respectively. Therefore, due to its low sensitivity and high specificity for HZ, the NK cell IGRA might be useful for differentiating between patients with recent HZ and patients without a history of HZ (area under curve: 0.685, 95% CI: 0.573–0.798, $P = 0.003$), whereas the CMV-specific T cell IGRA was not useful (area under the curve: 0.557, 95% CI: 0.436–0.679, $P = 0.356$).

Discussion

Due to the major role of T cell-mediated adaptive immunity in HZ pathogenesis, studies of the role of NK cells in HZ have been very limited. Following elucidation of the complex

mechanism of NK cell activation in the past few decades [17], studies examining the associations between the activity of NK cells and diseases were performed using recent technical advances for measuring NK cell activity [18,19]. In contrast to general concepts of NK cell activation during viral infection, we observed low levels of IFN- γ secretion from NK cell of patients with HZ compared with controls without a history of HZ. Our findings are supported by the results of two previous studies of NK cell activity in patients with HZ: NK cell cytotoxicity was reduced during HZ infection in immunocompromised hosts [20], and IFN- γ production by peripheral blood mononuclear cells (PBMCs) was decreased during the early stages of HZ infection [21]. Based on these findings, VZV activates the immune system and simultaneously uses several immune evasion mechanisms to limit NK cell activity. VZV infection was recently shown to modulate the expression of a ligand for the natural killer group 2D (NKG2D), a potent NK cell-activating receptor [22,23]. After VZV is reactivated in the dorsal ganglion and skin, the overall activity of NK cells from peripheral blood might be reduced through the modulation of NKG2D expression. However, we are unable to determine whether low levels of IFN- γ secretion from NK cell was a predisposing factor for HZ or secondary consequence of the immunomodulatory effects of VZV in the present study. Multiple sequential tests over a long period are required in the same patients with HZ to clarify the change in NK cell IFN- γ secretion after VZV reactivation.

Moreover, psychological stress events were more common and stress cognition scale scores were higher in patients with HZ than in the controls. Although psychological stress provokes the occurrence of HZ, this link is difficult to prove scientifically because HZ itself causes psychological stress [24]. We investigated both psychological stress events before HZ and the stress cognition scale scores after HZ to overcome self-reporting bias and clarify the unclear temporal relationship between psychological stress and HZ. We determined that a psychological stress event provokes HZ and influences the psychological stress cognition scale score after HZ. In addition, NK cell IFN- γ secretion was not reduced in the HZ patients with stress events compared with the HZ patients without stress events. Although this finding contradicts findings from previous studies showing that psychological stress suppresses NK cell cytotoxicity and IFN- γ production from PBMCs [25], it at least suggests that reduced NK cell activity does not mediate the association of HZ and psychological stress. According to another recent study, psychological stress simultaneously reduces perforin expression on NK cells and blood cortisol levels [26]. Although we did not compare the cortisol levels between patients with HZ and control subjects in the present study due to the diurnal variations in these levels, the association between cortisol levels and NK cell IFN- γ secretion in patients with HZ should be investigated in a future study.

Disseminated HZ involving more than 3 dermatomes can occur in immunocompromised hosts, and thus, the severity of HZ symptoms is associated with the robustness of host immune function. In fact, severe forms of varicella infection have been reported in patients with NK cell deficiency [27]. As expected, we identified a correlation between reduced NK cell IFN- γ secretion and the size of the skin lesion. Thus, NK cell immunity plays a role in the clinical manifestations of HZ. The interplay between NK cell activity and VZV-specific T cell immunity should be investigated, to determine the clinical significance of reduced levels of IFN- γ secretion from NK cell.

In the present study, IFN- γ levels in CMV-specific T cells did not differ significantly between patients with HZ and control subjects, suggesting that patients with HZ and control subjects are equally affected by immune senescence because CMV-specific CMI decreases prominently with age [28]. Although CMV-specific CMI might represent overall T cell immunity in CMV-seropositive patients, the results from the present study suggests that it does not directly reflect VZV-specific CMI in patients with HZ. Otherwise, the number of subjects in

this study might be insufficient to show a statistically significant difference in the levels of IFN- γ secretion from CMV-specific T cells between patients with HZ and control subjects.

This study had three major limitations. First, we could not evaluate the interplay among NK cell activity, VZV-specific CMI and HZ because precise VZV-specific CMI measurements were difficult to perform. Although the ELISPOT (enzyme-linked immunospot) assay has been used as a standard method for measuring VZV-specific CMI measurement [29], there is no known VZV antigen or epitope available for predicting protective VZV-specific CMI. Second, subjects with HZ were clinically diagnosed based on limited serological evidence and not viral isolation or PCR, and the control subjects who participated in the present study may represent a source of bias due to incomplete memories regarding their HZ histories. Third, because the tests for NK cell activity were not performed before HZ occurred, the precise role of NK cells in HZ pathogenesis was not elucidated. In conclusion, we report that patients with a recent HZ diagnosis display reduced NK cell IFN- γ secretion activity and more frequent previous psychological stress events compared with controls. However, the reduced NK cell activity is not an immunological mediator between psychological stress and HZ pathogenesis.

Supporting information

S1 File. Case report form for 44 HZ and 44 control subjects.
(XLSX)

S2 File. Brief manual of NK cell IGRA.
(PDF)

Acknowledgments

We would like to thank American Journal Experts for providing English language editing services.

Author Contributions

Conceptualization: Mihn Sook Jue, Hyung Seok So, Eung-Soo Hwang.

Data curation: Choon Kwan Kim, Youn Mi Choi, Eunsin Bae, Mihn Sook Jue, Hyung Seok So, Eung-Soo Hwang.

Formal analysis: Choon Kwan Kim, Youn Mi Choi, Eunsin Bae, Mihn Sook Jue, Hyung Seok So, Eung-Soo Hwang.

Funding acquisition: Choon Kwan Kim, Eung-Soo Hwang.

Investigation: Choon Kwan Kim, Youn Mi Choi, Eunsin Bae, Mihn Sook Jue, Eung-Soo Hwang.

Methodology: Choon Kwan Kim, Youn Mi Choi, Eunsin Bae, Mihn Sook Jue, Hyung Seok So, Eung-Soo Hwang.

Project administration: Choon Kwan Kim, Eung-Soo Hwang.

Resources: Choon Kwan Kim, Eung-Soo Hwang.

Software: Choon Kwan Kim, Eung-Soo Hwang.

Supervision: Choon Kwan Kim, Mihn Sook Jue, Eung-Soo Hwang.

Validation: Choon Kwan Kim, Youn Mi Choi, Eunsin Bae, Mihn Sook Jue, Eung-Soo Hwang.

Visualization: Choon Kwan Kim, Eung-Soo Hwang.

Writing – original draft: Choon Kwan Kim, Eung-Soo Hwang.

Writing – review & editing: Mihn Sook Jue, Hyung Seok So, Eung-Soo Hwang.

References

1. Gershon AA, Gershon MD, Breuer J, Levin MJ, Oaklander AL, Griffiths PD. Advances in the understanding of the pathogenesis and epidemiology of herpes zoster. *J Clin Virol*. 2010; 48;Suppl 1: S2–S7. [https://doi.org/10.1016/S1386-6532\(10\)70002-0](https://doi.org/10.1016/S1386-6532(10)70002-0) PMID: 20510263
2. Burke BL, Steele RW, Beard OW, Wood JS, Cain TD, Marmer DJ. Immune responses to varicella-zoster in the aged. *Arch Intern Med*. 1982; 142: 291–293. PMID: 6277260
3. Levin MJ, Smith JG, Kaufhold RM, Barber D, Hayward AR, Chan CY, et al. Decline in varicella-zoster virus (VZV)-specific cell-mediated immunity with increasing age and boosting with a high-dose VZV vaccine. *J Infect Dis*. 2003; 188: 1336–1344. <https://doi.org/10.1086/379048> PMID: 14593591
4. Levin MJ, Gershon AA, Dworkin RH, Brisson M, Stanberry L. Prevention strategies for herpes zoster and post-herpetic neuralgia. *J Clin Virol*. 2010; 48;Suppl 1: S14–S19. [https://doi.org/10.1016/S1386-6532\(10\)70004-4](https://doi.org/10.1016/S1386-6532(10)70004-4) PMID: 20510262
5. Weinberg A, Levin MJ. VZV T cell-mediated immunity. *Curr Top Microbiol Immunol*. 2010; 342: 341–357. https://doi.org/10.1007/82_2010_31 PMID: 20473790
6. Schuster IS, Coudert JD, Andoniou CE, Degli-Esposti MA. “Natural regulators”: NK cells as modulators of T cell immunity. *Front Immunol*. 2016; 7: 235. <https://doi.org/10.3389/fimmu.2016.00235> PMID: 27379097
7. Pross HF, Baines MG. Studies of human natural killer cells. I. In vivo parameters affecting normal cytotoxic function. *Int J Cancer*. 1982; 29: 383–390. <https://doi.org/10.1002/ijc.2910290404> PMID: 7085128
8. Schmader K, Studenski S, MacMillan J, Grufferman S, Cohen HJ. Are stressful life events risk factors for herpes zoster? *J Am Geriatr Soc*. 1990; 38: 1188–1194. <https://doi.org/10.1111/j.1532-5415.1990.tb01497.x> PMID: 2246455
9. Segerstrom SC, Miller GE. Psychological stress and the human immune system: a meta-analytic study of 30 years of inquiry. *Psychol Bull*. 2004; 130: 601–630. <https://doi.org/10.1037/0033-2909.130.4.601> PMID: 15250815
10. Schedlowski M, Jacobs R, Stratmann G, Richter S, Hädicke A, Tewes U, et al. Changes of natural killer cells during acute psychological stress. *J Clin Immunol*. 1993; 13: 119–126. <https://doi.org/10.1007/BF00919268> PMID: 8320310
11. Witek-Janusek L, Gabram S, Mathews HL. Psychologic stress, reduced NK cell activity, and cytokine dysregulation in women experiencing diagnostic breast biopsy. *Psychoneuroendocrinology*. 2007; 32: 22–35. <https://doi.org/10.1016/j.psyneuen.2006.09.011> PMID: 17092654
12. Mehta SK, Stowe RP, Feiveson AH, Tyring SK, Pierson DL. Reactivation and shedding of cytomegalovirus in astronauts during spaceflight. *J Infect Dis*. 2000; 182: 1761–1764. <https://doi.org/10.1086/317624> PMID: 11069250
13. Ogunjimi B, Hens N, Pebody R, Jansens H, Seale H, Quinlivan M, et al. Cytomegalovirus seropositivity is associated with herpes zoster. *Hum Vaccin Immunother*. 2015; 11: 1394–1399. <https://doi.org/10.1080/21645515.2015.1037999> PMID: 25905443
14. Koo KC, Shim DH, Yang CM, Lee SB, Kim SM, Shin TY, et al. Reduction of the CD16(-)CD56bright NK cell subset precedes NK cell dysfunction in prostate cancer. *PLOS One*. 2013; 8: e78049. <https://doi.org/10.1371/journal.pone.0078049> PMID: 24223759
15. Lee SB, Cha J, Kim IK, Yoon JC, Lee HJ, Park SW, et al. A high-throughput assay of NK cell activity in whole blood and its clinical application. *Biochem Biophys Res Commun*. 2014; 445: 584–590. <https://doi.org/10.1016/j.bbrc.2014.02.040> PMID: 24561245
16. Koh KB, Park JK, Cho S. Development of the stress-induced cognition scale. *Yonsei Med J*. 2006; 47: 384–392. <https://doi.org/10.3349/ymj.2006.47.3.384> PMID: 16807989
17. Gilfillan S, Ho EL, Cella M, Yokoyama WM, Colonna M. NKG2D recruits two distinct adapters to trigger NK cell activation and costimulation. *Nat Immunol*. 2002; 3: 1150–1155 <https://doi.org/10.1038/ni857> PMID: 12426564
18. Barkin J, Rodriguez-Suarez R, Betito K. Association between natural killer cell activity and prostate cancer: a pilot study. *Can J Urol*. 2017; 24: 8708–8713. PMID: 28436356

19. Jobin G, Rodriguez-Suarez R, Betito K. Association between natural killer cell activity and colorectal cancer in high-risk subjects undergoing colonoscopy. *Gastroenterology*. 2017; 153: 980–987. <https://doi.org/10.1053/j.gastro.2017.06.009> PMID: 28625834
20. Ihara T, Kamiya H, Starr SE, Arbeter AM, Lange B. Natural killing of varicella-zoster virus (VZV)-infected fibroblasts in normal children, children with VZV infections, and children with Hodgkin's disease. *Acta Paediatr Jpn*. 1989; 31: 523–528. <https://doi.org/10.1111/j.1442-200X.1989.tb01349.x> PMID: 2559575
21. Saibara T, Maeda T, Onishi S, Yamamoto Y. Depressed immune functions in the early phase of varicella-zoster virus reactivation. *J Med Virol*. 1993; 39: 242–245. <https://doi.org/10.1002/jmv.1890390312> PMID: 8385706
22. Campbell TM, McSharry BP, Steain M, Slobedman B, Abendroth A. Varicella-zoster virus and herpes simplex virus 1 differentially modulate NKG2D ligand expression during productive infection. *J Virol*. 2015; 89: 7932–7943 <https://doi.org/10.1128/JVI.00292-15> PMID: 25995251
23. Schmiedel D, Mandelboim O. Disarming cellular alarm systems—manipulation of stress-induced NKG2D ligands by human herpesviruses. *Front Immunol*. 2017; 8: 390. <https://doi.org/10.3389/fimmu.2017.00390> PMID: 28443092
24. Harpaz R, Leung JW, Brown CJ, Zhou FJ. Psychological stress as a trigger for herpes zoster: might the conventional wisdom be wrong? *Clin Infect Dis*. 2015; 60: 781–785. <https://doi.org/10.1093/cid/ciu889> PMID: 25389252
25. Agarwal SK, Marshall GD, Jr. Stress effects on immunity and its application to clinical immunology. *Clin Exp Allergy*. 2001; 31: 25–31. <https://doi.org/10.1111/j.1365-2222.2001.01005.x> PMID: 11167947
26. Duggal NA, Upton J, Phillips AC, Hampson P, Lord JM. NK cell immunosenescence is increased by psychological but not physical stress in older adults associated with raised cortisol and reduced perforin expression. *Age*. 2015; 37: 9748 <https://doi.org/10.1007/s11357-015-9748-2> PMID: 25663421
27. Orange JS. Natural killer cell deficiency. *J Allergy Clin Immunol*. 2013; 132: 515–525. <https://doi.org/10.1016/j.jaci.2013.07.020> PMID: 23993353
28. Vasto S, Colonna-Romano G, Larbi A, Wikby A, Caruso C, Pawelec G. Role of persistent CMV infection in configuring T cell immunity in the elderly. *Immun Ageing*. 2007; 4: 2. <https://doi.org/10.1186/1742-4933-4-2> PMID: 17376222
29. Levin MJ, Schmader KE, Pang L, Williams-Diaz A, Zerbe G, Canniff J, et al. Cellular and humoral responses to a second dose of herpes zoster vaccine administered 10 years after the first dose among older adults. *J Infect Dis*. 2016; 213: 14–22. <https://doi.org/10.1093/infdis/jiv480> PMID: 26452397