

# **Prognostic significance of lymphatic vessel** invasion diagnosed by D2-40 in Chinese invasive breast cancers

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## Abstract

Lymphatic vessel invasion (LVI) is promising in determining prognosis and treatment strategies, but the application of LVI as a histopathological criterion in breast cancer patients especially those of different subgroups is controversial. This research aims to evaluate the prognostic value of LVI assessed by D2-40 not only in patients with early invasive breast cancer but also in lymph node-negative, lymph node-positive, luminal A-like, luminal B-like, HER2-enriched, and triple-negative subgroups.

The study cohort included 255 patients with a median follow-up of 101 months. Immunohistochemical staining for D2-40 was performed to identify LVI.

LVI was present in 64 (25.1%), 15 (12.1%), 49 (37.4%), 19 (20.9%), 23 (27.7%), 13 (31.7%), and 9 (22.5%), respectively, in the whole cohort, lymph node-negative, lymph node-positive, luminal A-like, luminal B-like, HER2-enriched, and triple-negative patients. LVI was associated with large tumor size (P=.04), high histological grade (P=.004), involved lymph node (P<.001), and high expression of Ki-67 (P=.003). No significant difference was found among patients with different subtypes and LVI status. The presence of LVI was significantly associated with adverse disease-free survival in the whole cohort (P<.001), lymph node-negative (P<.001), luminal A-like (P<.001), and luminal B-like patients (P<.001) in both of the univariate and multivariate survival analysis.

This study indicated that the presence of LVI stained by D2-40 provided independent prognostic information not only in the whole cohort but also in the subgroup of patients with lymph node-negative, lymph node-positive, luminal A-like, and luminal B-like diseases, which may make a case for routine clinical assessment of LVI using D2-40.

**Abbreviations:** CI = confidence interval, DFS = disease-free survival, ER = estrogen receptor, H&E = hematoxylin and eosin, HR = hazard ratio, IHC = immunohistochemistry, LVI = lymphatic vessel invasion, PR = progesterone receptor.

Keywords: breast carcinoma, D2-40, lymphatic vessel invasion, prognostic, recurrence

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KWH and JJS contributed equally to this study.

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#### The authors report no conflicts of interest.

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## 1. Introduction

Breast cancer is a common malignant disease in women and one of the main causes of cancer death in the female. In Chinese population, >268,000 women were diagnosed with breast cancer and about 70,000 cases died from it in 2015, accounting for 15% of all female new cancers and 7% of all female deaths due to cancers.<sup>[1]</sup> However, due to detection and systemic adjuvant therapy, the survival rate has improved over the last decade.

Prediction of breast cancer prognosis based on specific markers can provide useful information to guide early therapeutic decisions. Predict factors including tumor size, lymph node status, histological type and nuclear grade have been established as conventional clinical factors; estrogen receptor (ER), progesterone receptor (PR), and HER2 status are recognized as molecular biological factors.<sup>[2]</sup> Among these, lymph node metastasis, which initially occurs by migration of carcinoma cells into the lymphatic vessels at the primary site, is one of the most important prognostic factors for breast cancer. The presence of lymphovascular invasion in a primary tumor has been used as an indication for the ability of this tumor to metastasis outside the breast and was recognized as one of the factors that should determine a treatment plan of breast cancer according to the 2005 St. Gallen consensus meeting.<sup>[3]</sup> The term "lymphovascular invasion" refers to invasion of either blood vessels or lymphatic vessels.<sup>[4]</sup> Because the invasion of lymphatic vessels was found to be the major type of lymphovascular

invasion in breast cancer, the present study assessed only the invasion of lymphatic vessels used lymphatic vessel specific marker (D2-40) and referred it as "lymphatic vessel invasion" (LVI).

Since the prognostic value of LVI in breast cancer was first reported in 1964,<sup>[5]</sup> numerous studies had confirmed the importance of LVI as a prognostic factor, but the application of LVI as a histopathological criterion remained controversial.<sup>[6-</sup> <sup>11]</sup> According to the expression status of ER, PR, HER2, and Ki-67, breast cancer can be categorized as 4 molecular subtypes: luminal A-like, luminal B-like, HER2-enriched, and triplenegative.<sup>[12]</sup> In a review of previous studies, we found that those studies often examined a combination of lymph node negative and positive breast cancers and patients of all subtypes (luminal A-like, luminal B-like, HER2-enriched, and triple-negative). Undoubtedly, these patients were heterogeneous both in behavior and therapy accepted. Therefore, the prognostic values of LVI in these subgroups are as yet uncertain. Additionally, the presence or absence of axillary lymph node involvement, which is defined as lymph node-positive or lymph node-positive negative, is associated with significantly different prognosis for breast cancer. Lymph node-negative breast cancer has a relatively good prognosis (10-20% mortality) and the improvement of survival with adjuvant chemotherapy in these patients is less than in lymph node-positive cases. Therefore, reliable prognostic markers are important in deciding whether to use adjuvant systemic therapy or not. In comparison, we assessed LVI not only in the whole cohort but also in each subgroup: lymph nodenegative, lymph node-positive, luminal A-like, luminal B-like, HER2-enriched, and triple-negative patients. Additionally, the majority of former studies used hematoxylin and eosin (H&E) stain, by which blood vessel invasion could not be distinguished. D2-40 is a novel monoclonal antibody that reacts with a fixationresistant epitope, which is a glycosylated or non-glycosylated epitope of gp36, on the lymphatic endothelium but did not react with the endothelium of capillaries, arteries, and veins in normal and neoplastic tissues on formalin-fixed paraffin-embedded tissues.<sup>[13]</sup> Its usefulness for detecting intratumoral lymph vessels has been reported in various carcinomas, including breast.<sup>[13-16]</sup> The sensitivity and specificity of using D2-40 as a method in detecting lymphatic invasion in breast cancer as well as other cancer types are 97.3% and 98.8%, respectively.<sup>[17]</sup> Since then, several studies have concluded that relying on D2-40 to detect LVI was a much responsible approach in predicting outcomes in patients with breast cancer.<sup>[2,18–20]</sup>

In this study, we examine the prognostic value of LVI using D2-40 stain in Chinese patients with early, and in particular lymph node-negative, lymph node-positive, luminal A-like, luminal B-like, HER2- enriched, and triple-negative invasion breast cancer.

#### 2. Materials and methods

### 2.1. Patients

Primary tumors from patients who underwent surgery between the years 2005 to 2008 at Shandong Cancer Hospital Affiliated to Shandong University were formalin-fixed and paraffin-embedded for this study (n=255). To limit the potential confounding effects of other tumor types on the analysis, only invasive ductal carcinomas of the breast were included in the present study. Patients that received no surgical treatment, diagnosed with invasive ductal carcinomas in situ or metastasis, and lack insufficient follow-up data, pathology slides, and tissue blocks were excluded. Age, tumor size, lymph node status, histological type, and grade were retrieved from routine reports. The median age of patients at time of diagnosis was 48 years (range, 26–72 years). Patients were treated with radiation, hormones, or chemotherapy according to their pathological reports. Disease-free survival (DFS) was defined as the period from the date of primary surgery until the date of the first recurrence of breast cancer. Written informed consent was obtained from each patient, and the protocol was approved by the ethics committee of Shandong Cancer Hospital Affiliated to Shandong University.

#### 2.2. Immunohistochemistry

A single representative block from each of the 255 specimens was stained with D2-40 (Princeton, New Jersey, Covance, Monoclonal Antibody, SIG-3730) diluted 1:100. Tissue sections (4- $\mu$ m thick) were dewaxed in xylene and rehydrated in a sequence of descending concentrations of ethanol. Block endogenous hydrogen peroxidase activity in 3% H<sub>2</sub>O<sub>2</sub> for 15 minutes and block nonspecific binding by incubation in 10% horse serum for 30 minutes. Subsequently, sections were incubated with the primary antibody at room temperature for an hour. Detect sites of binding with 3, 30 diaminobenzidine (Vector, code SK 4001, Burlingame, CA) as the chromogenic substrate by the Envision technique (Dako, code K5007), according to the manufacturer's instruction. After counter-stained with hematoxylin, the sections were dehydrated and mounted with DPX.

ER and PR status was defined with the cutoff value of 1% positive tumor cells.<sup>[21]</sup> HER2-positive was defined as scored 3+ by immunohistochemistry (IHC); for scored 2+, FISH was performed to determine HER2 positivity; and 0 and 1+ are regarded as negative.<sup>[22]</sup> Ki-67 is frequently measured both as a static marker of proliferative activity and as a possible dynamic intermediate or surrogate marker of treatment efficacy.<sup>[23]</sup> Ki-67positive tumor cells were identified by the method described by Bukholm et al.<sup>[24]</sup> In brief, a total of 10 fields of cell nuclei Ki-67 stained cells were randomly chosen and 500 cells were counted under each field. Then, we calculated the percentages of Ki-67 positive cells. Ki-67 ≤14% was defined as low expression and Ki-67 > 14% as high expression.<sup>[25,26]</sup> LVI was identified by tumor cells within D2-40 positively stained vessels.<sup>[18]</sup> The cases were categorized as LVI-positive or LVI-negative. Typical histologic pictures of LVI-positive and LVI-negative by D2-40 staining are shown in Figure 1A and B, respectively. The molecular subtypes of breast cancer were categorized as follows: luminal A-like (ER and PR positive and HER2 negative and low Ki-67), luminal Blike (ER and/or PR positive and at least one of the following: HER2 negative and high Ki-67; HER2 positive), HER2-enriched (ER and PR negative, HER2 positive), and triple-negative (ER, PR and HER2 negative)).<sup>[12]</sup> Interpretation of IHC results was made by 2 investigators without knowledge of clinical characteristics and the status of other prognostic variables.

#### 2.3. Statistical analysis

Statistical analysis was performed using SPSS statistics 19.0. Chisquare test was used to analyze the significance of relationships between the status of LVI and variables in the whole cohort (Table 1) and the subgroups including lymph node-negative, lymph node-positive, luminal A-like, luminal B-like, HER2enriched, and triple-negative disease (Table 2). Survival curves for patients were calculated by the Kaplan-Meier method and analyzed by the log-rank test. Univariate and multivariate survival



Figure 1. (A) LVI-positive by D2-40 staining. Positive staining of lymphatic endothelium with D2-40 shows the presence of tumor emboli in the lumen of the lymph vessels (red arrow). The endothelia of the adjacent blood vessels are negative for D2-40 (black arrow) (×100). (B) LVI-negative by D2-40 staining. No tumor emboli are noted within the lumen of the lymph vessels positive stained by D2-40 (red arrow). The endothelia of the adjacent blood vessels are negative for D2-40 (black arrow). The endothelia of the adjacent blood vessels are negative for D2-40 (black arrow). The endothelia of the adjacent blood vessels are negative for D2-40 (black arrow). The endothelia of the adjacent blood vessels are negative for D2-40 (black arrow) (×100). LVI=lymphatic vessel invasion.

#### Table 1

The inter-relationship between clinic-pathological characteristics and LVI in patients with invasive ductal breast cancer.

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Age, y   .80 $\leq 50$ 96 (75.6)   31 (24.4)     >50   95 (73.2)   33 (25.8)     Tumor size, mm   .04 $\leq 20$ 76 (83.5)   15 (16.5)     21-50   103 (71.0)   42 (29.0)     >50   12 (63.2)   7 (36.8)     Lymph node status   .001     0   109 (87.9)   15 (12.1)     1-3   38 (69.1)   17 (30.9) $\geq 4$ 44 (57.9)   32 (42.1)     Histological grade   .004     1   37 (80.4)   9 (19.6)     II   88 (83.0)   18 (17.0)     III   66 (64.1)   37 (35.9)     ER status   .23     No   59 (70.2)   25 (29.8)     Yes   132 (77.2)   39 (22.8)     PR status   .32     No   73 (71.6)   29 (28.4)     Yes   138 (77.1)   35 (22.9)     HER2 status   .32     No   135 (75.8)   43 (24.2)     Yes   56 (72.7)   21 (27.3)     Ki-67 expression   .003 <th>Clinicopathological factors</th> <th>No, n, %</th> <th>Yes, n, %</th> <th>P value</th>	Clinicopathological factors	No, n, %	Yes, n, %	P value
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Tumor size, mm   .04 $\leq 20$ 76 (83.5)   15 (16.5)     21-50   103 (71.0)   42 (29.0)     >50   12 (63.2)   7 (36.8)     Lymph node status    <.001	>50	95 (73.2)	33 (25.8)	
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Tumor size, mm			.04
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$\begin{array}{cccccccccccccccccccccccccccccccccccc$	Luminal A-like	. ,		.25
Yes     72 (79.1)     19 (20.9)       Luminal B-like     .50       No     131 (76.2)     41 (23.8)       Yes     60 (72.3)     23 (27.7)       HER2-enriched     .29       No     163 (76.2)     51 (23.8)       Yes     28 (68.3)     13 (31.7)       Triple-negative     .68       No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	No	119 (72.6)	45 (27.4)	
Luminal B-like     .50       No     131 (76.2)     41 (23.8)       Yes     60 (72.3)     23 (27.7)       HER2-enriched     .29       No     163 (76.2)     51 (23.8)       Yes     28 (68.3)     13 (31.7)       Triple-negative     .68       No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	Yes	72 (79.1)	19 (20.9)	
No     131 (76.2)     41 (23.8)       Yes     60 (72.3)     23 (27.7)       HER2-enriched     .29       No     163 (76.2)     51 (23.8)       Yes     28 (68.3)     13 (31.7)       Triple-negative     .68       No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	Luminal B-like			.50
Yes     60 (72.3)     23 (27.7)       HER2-enriched     .29       No     163 (76.2)     51 (23.8)       Yes     28 (68.3)     13 (31.7)       Triple-negative     .68       No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	No	131 (76.2)	41 (23.8)	
HER2-enriched     .29       No     163 (76.2)     51 (23.8)       Yes     28 (68.3)     13 (31.7)       Triple-negative     .68       No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	Yes	60 (72.3)	23 (27.7)	
No     163 (76.2)     51 (23.8)       Yes     28 (68.3)     13 (31.7)       Triple-negative     .68       No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	HER2-enriched	. ,		.29
Yes     28 (68.3)     13 (31.7)       Triple-negative     .68       No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	No	163 (76.2)	51 (23.8)	
Triple-negative     .68       No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	Yes	28 (68.3)	13 (31.7)	
No     160 (74.4)     55 (25.6)       Yes     31 (77.5)     9 (22.5)       Recur     <.001	Triple-negative	. ,		.68
Yes     31 (77.5)     9 (22.5)       Recur     <.001	No	160 (74.4)	55 (25.6)	
Recur     <.001       No     122 (89.1)     15 (10.9)       Yes     69 (58.5)     49 (41.5)	Yes	31 (77.5)	9 (22.5)	
No 122 (89.1) 15 (10.9) Yes 69 (58.5) 49 (41.5)	Recur	. /	. ,	<.001
Yes 69 (58.5) 49 (41.5)	No	122 (89.1)	15 (10.9)	
	Yes	69 (58.5)	49 (41.5)	

ER = estrogen receptor, LVI = lymphatic vessel invasion, PR = progesterone receptor.

analysis were performed in the whole cohort and subgroups (Table 3) using Kaplan-Meier method and Cox proportional hazards model with a stepwise backward elimination to derive a final model of variables with a significant independent relationship with DFS. For each variable, the hazard ratio (HR) and the 95% confidence interval (CI) were calculated. All statistical analyses were 2-sided with significance defined as P < .05.

### 3. Results

# 3.1. Correlation between LVI and clinicopathological factors in the whole cohort, in lymph node-negative patients, in lymph node-positive patients and in breast cancer subtypes patients

As shown in Table 1, LVI was detected in 25.1% of the whole cohort. LVI was associated with large tumor size (P=.04), high histological grade (P=.004), involved lymph node (P<.001), high expression of Ki-67 (P=.003), and tumor recurrence (P<.001). No association was seen with patient age, ER status, PR status, HER2 status, and breast cancer subtypes. The proportion of LVI was highest in the HER2-enriched group (31.7%) and was lowest in the luminal A-like group (20.9%).

Table 2 shows that only tumor recurrence (P < .001) was significantly associated with LVI in lymph node-negative patients. In lymph node-positive patients, LVI was significantly more frequent in patients in with high tumor grade (P = .04), high Ki-67 expression (P = .01) and tumor recurrence (P < .001). In luminal A-like patients, the presence of LVI was associated with large tumor size (P = .04), involved lymph node (P = .004), high tumor grade (P = .003), high Ki-67 expression (P < .001), and tumor recurrence (P < .001). In luminal B-like patients, LVI was associated with high histological grade (P = .003), involved lymph node (P = .003), involved lymph node (P = .01), and tumor recurrence (P < .001). No parameter was found significantly associated with LVI in neither HER2-enriched nor triple-negative subtypes.

# 3.2. Survival analysis of LVI in the whole cohort, in lymph node-negative patients, in lymph node-positive patients, and in breast cancer subtypes patients

The mean follow-up period was  $97\pm28.219$  months. The presence of LVI was analyzed with DFS data using the Kaplan-

# Table 2

The inter-relationship between clinicopathological characteristics and LVI in patients with lymph node-negative, lymph node-positive, luminal A-like, luminal B-like, HER2-enriched, and triple-negative disease.

Clinicopathological factors	No	Yes	P value
Node-negative disease $(n = 124)$	n=109 (87.9%)	n=15 (12.1%)	
Age (≤50/>50 y)	54/55	5/10	.24
Size (<20/>20 mm)	50/59	4/11	.16
Grade (  &   /  )	82/27	10/5	.69
ER status (no/ves)	32/77	6/9	.40
PR status (no/ves)	38/71	7/8	.37
HER2 status (no/ves)	80/29	10/5	.81
Ki-67 expression (low/high)	46/63	3/12	.17
Luminal A-like (no/ves)	67/42	11/4	.54
Luminal B-like (no/yes)	74/35	10/5	84
HER2-enriched (no/ves)	95/14	11/4	30
Triple-negative (no/ves)	91/18	13/2	.00
Recur (no/ves)	75/34	3/12	.001
Node-nositive disease $(n = 1.31)$	n = 82 (62.6%)	n = 49 (37.4%)	<.001
Are $(<50/50$ v)	42/40	26/23	84
Size $(<20/>20 mm)$	26/56	11/38	26
Positive lymph node $(1-3/\sqrt{1})$	38/44	17/32	.20
Crade (1.8,   /   )	13/30	17/32	.13
ER status (no/vos)	27/55	10/30	.04
DD status (no/yes)	27/33	10/00	.00
HEP2 status (10/ yes)	55/27	22/27	.01
Vi 67 overeggion (low/bigh)	00/21 06/46	11/28	.04
KI-07 EXPRESSION (IOW/NIGN)	30/40	11/38	.01
Luminal A-like (no/yes)	52/30	34/15	.49
LUTITIAL B-TIKE (10/yes)	57725	31/18	.40
HER2-enriched (no/yes)	68/14	40/9	.65
Inple-negative (no/yes)	09/13	42/7	18.
Recur (no/yes)	47/35	12/37	<.001
Luminal A-like patients $(n=91)$	n = 72 (79.1%)	n = 19 (20.9%)	0.4
Age $(\leq 50/>50 y)$	30/30	10/9	.84
Size $(\leq 20/>20 \text{ mm})$	39/33	5/14	.04
Lympn node status (no/yes)	42/30	4/15	.004
Grade (I & II/III)	63/9	11/8	.003
ER status (no/yes)	0/72	2/17	.06
PR status (no/yes)	4/68	1/18	10.
KI-67 expression (iow/nign)	72/0	12/7	<.001
Recur (no/yes)	51/21	1/18	<.001
Luminal B-like patients $(n = 83)$	n = 60 (72.3%)	n = 23 (27.7%)	-
Age $(\leq 50/>50 \text{ y})$	34/26	11/12	.47
Size ( $\leq 20/>20$ mm)	21/39	5/18	.24
Lymph node status (no/yes)	35/25	5/18	.003
Grade (I & II/III)	44/16	10/13	.01
ER status (no/yes)	0/60	1/22	.62
PR status (no/yes)	10/50	6/17	.51
HER2 status (no/yes)	32/28	15/8	.33
Ki-67 expression (low/high)	5/55	0/23	.36
Recur (no/yes)	51/9	5/18	<.001
HER2-enriched patients $(n=41)$	n=28 (68.3%)	n=13 (31.7%)	
Age (≤50/>50 y)	14/14	5/8	.49
Size (<20/>20 mm)	6/22	2/11	.98
Lymph node status (no/yes)	14/14	4/9	.25
Grade (I & II/III)	4/24	3/10	.80
Ki-67 expression (low/high)	3/25	2/11	.93
Recur (no/yes)	7/21	5/8	.61
Triple-negative patients $(n = 40)$	n=31 (77.5%)	n=9 (22.5%)	
Age (≤50/>50 y)	12/19	5/4	.61
Size (<20/>20 mm)	10/21	3/6	.73
Lymph node status (no/yes)	18/3	2/7	.13
Grade (I & II/III)	14/17	3/6	.80
Ki-67 expression (low/high)	2/29	0/9	.93
Recur (no/yes)	13/18	4/5	.80

 $\mathsf{ER} = \mathsf{estrogen} \ \mathsf{receptor}, \ \mathsf{LVI} = \mathsf{lymphatic} \ \mathsf{vessel} \ \mathsf{invasion}, \ \mathsf{PR} = \mathsf{progesterone} \ \mathsf{receptor}.$ 

# Table 3

# Correlation between DFS and clinicopathological variables in patients with primary operable invasive ductal breast cancer.

All patients (n=255)	Univariate analysis		Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Ane (<50/>50 v)	835 (584-1 195)	33		
Size $(<20/>20 mm)$	1 861 (1 235-2 805)	.003	1 225 (784-1 914)	37
Grade ( $1 \& II/III$ )	2 413 (1 681–3 463)	< 001	1 566 (1 153-2 129)	.07
l vmph node status (no/ves)	1 734 (1 201–2 503)	003	1 049 (694–1 584)	.001
FB status (no/ves)	392 (273-562)	< 001	288 (084 - 993)	.02
PB status (no/yes)	458 (319-658)	< 001	852 (406–1 787)	.00
HEB2 status (no/ves)	1 211 ( 823–1 782)	33		.01
Ki-67 expression (%) (low/high)	1 612 (1 094–2 374)	.00	859 (444-1662)	65
Luminal A-like (no/ves)	678 (461–996)	.02	2 445 (511–11 691)	26
Luminal B-like (no/ves)	.597 (.389–.917)	.02	2.067 (460–9.291)	.34
HER2-enriched (no/ves)	2 464 (1.616–3.757)	< .001	1,136 (642-2,009)	.66
Triple-negative (no/ves)	1 425 (903–2.248)	.13		100
LVI (no/ves)	3.670 (2.524–5.338)	<.001	3.022 (1.970-4.637)	<.001
Node-negative disease $(n = 124)$				
Age $(<50/>50 v)$	1.109 (.618-1.988)	.72		
Size (<20/>20 mm)	2.128 (1.134–3.955)	.02	1.731 (.869–3.448)	.12
Grade (  &   /   )	2.299 (1.259–4.197)	.007	1.578 (.763–3.265)	.22
EB status (no/ves)	.357 (.200–.639)	.001	2.024 (.538-7.615)	.29
PR status (no/ves)	.321 (.178–.577)	<.001	.286 (.089–.918)	.04
HER2 status (no/ves)	.967 (.500–1.867)	.92	.200 (.000 .0.0)	101
Ki-67 expression (%) (low/high)	1.140 (.628–2.067)	.67		
Luminal A-like (no/ves)	.869 (.476–1.588)	.65		
Luminal B-like (no/ves)	.331 (.148–.742)	.007	.279 (.105739)	.01
HER2-enriched (no/ves)	2.803 (1.415–5.556)	.003	.590 (.226–1.539)	.28
Triple-negative (no/ves)	1.841 (.934–3.628)	.08	()	
LVI (no/ves)	4.729 (2.392–9.350)	<.001	4.890 (2.279-1.494)	<.001
Node-positive disease $(n = 131)$	()			
Age (<50/>50 v)	.658 (.412-1.049)	.08		
Size (<20/>20 mm)	1.456 (.834–2.543)	.19		
Grade (I & II/III)	2.078 (1.276–3.383)	.003	1.520 (.898-2.574)	.12
Positive lymph node $(1-3/>4)$	1.405 (.871–2.266)	.16		
ER status (no/yes)	.459 (.287–.733)	.001	.623 (.332-1.168)	.14
PR status (no/yes)	.656 (.411–1.047)	.08		
HER2 status (no/yes)	1.269 (.783–2.056)	.33		
Ki-67 expression (%) (low/high)	1.924 (1.143-3.237)	.01	1.121 (.627-2.002)	.70
Luminal A-like (no/yes)	.604 (.364–1.002)	.05		
Luminal B-like (no/yes)	.866 (.517–1.451)	.58		
HER2-enriched (no/yes)	2.260 (1.316-3.880)	.003	1.245 (.621-2.498)	.54
Triple-negative (no/yes)	1.196 (.643-2.224)	.57		
LVI (no/yes)	2.933 (1.828-4.706)	<.001	2.636 (1.626-4.272)	<.001
Luminal A-like patients (n=91)				
Age (≤50/>50 y)	.806 (.429–1.513)	.50		
Size (≤20/>20 mm)	1.836 (.953–3.537)	.07		
Grade (I & II/III)	1.253 (.576-2.727)	.57		
Lymph node status (no/yes)	1.338 (.710-2.521)	.37		
ER status (no/yes)	.171 (.040–.726)	.02	.819 (.177–3.788)	.79
PR status (no/yes)	.831 (.198–3.481)	.80		
Ki-67 expression (%) (low/high)	2.900 (1.199-7.015)	.02	.540 (.195–1.499)	.24
LVI (no/yes)	6.624 (3.441-12.751)	<.001	8.371 (3.868–18.120)	<.001
Luminal B-like patients (n=83)				
Age (≤50/>50 y)	.720 (.334–1.554)	.40		
Size (<20/>20 mm)	1.818 (.730-4.530)	.20		
Grade (I & II/III)	2.988 (1.394-6.405)	.005	1.805 (.786–4.144)	.16
Lymph node status (no/yes)	3.510 (1.480-8.327)	.004	1.630 (.622-4.269)	.32
PR status (no/yes)	.633 (.266–1.506)	.30		
HER2 status (no/yes)	.527 (.234–1.187)	.12		
Ki-67 expression (%) (low/high)	.748 (.177–3.168)	.69		
LVI (no/yes)	8.481 (3.710–19.385)	<.001	6.360 (2.647-15.285)	<.001
HER2-enriched patients $(n=41)$				
Age (≤50/>50 y)	1.388 (.652–2.954)	.40		
Size (<20/>20 mm)	.911 (.347–2.390)	.85		
Grade (I & II/III)	2.927 (.878–9.760)	.08		

(continued)

# Table 3 (continued).

All patients (n=255)	Univariate analysis		Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Lymph node status (no/yes)	1.461 (.688–3.102)	.32		
Ki-67 expression (%) (low/high)	2.116 (.501-8.942)	.31		
LVI (no/yes)	.800 (.354–1.811)	.59		
Triple-negative patients $(n = 40)$				
Age (≤50/>50 y)	.296 (.128–.685)	.004	.296 (.128–.685)	.004
Size (≤20/>20 mm)	1.977 (.733–5.329)	.18		
Grade (I & II/III)	1.629 (.688-3.857)	.27		
Ki-67 expression (%) (low/high)	1.120 (.150-8.357)	.91		
Lymph node status (no/yes)	1.248 (.549–2.837)	.60		
LVI (no/yes)	2.264 (.817-6.278)	.12		

CI=confidence interval, DFS=disease-free survival, ER=estrogen receptor, HR=hazard ratio, LVI=lymphatic vessel invasion, PR=progesterone receptor.

Meier analysis and Cox regression. Kaplan-Meier curves showed a significantly higher risk of recurrence in the whole cohort (Fig. 2), lymph node-negative cases (Fig. 3A), lymph nodepositive cases (Fig. 3B), luminal A-like cases (Fig. 3C), and luminal B-like cases (Fig. 3D) (all P < .001). By contrast, no correlation was found in HER2-enriched patients (P=.59) (Fig. 3E) and triple-negative patients (P=.11) (Fig. 3F).

Univariate analysis indicated that the present of LVI was significantly associated with DFS in the whole cohort, lymph node-negative, lymph node-positive, luminal A-like, and luminal B-like patients (all P < .001). Additionally, tumor size (P = .003), histological grade (P < .001), lymph node status (P = .003), ER status (P < .001), PR status (P < .001), Ki-67 expression (P = .02), luminal A-like (P=.05), luminal B-like (P=.02), and HER2enriched (P < .001) were significantly associated with DFS in the whole cohort; tumor size (P=.02), histological grade (P=.007), ER status (P = .001), PR status (P < .001), luminal B-like (P=.007), HER2-enriched (P=.003), and LVI (P<.001) were significantly associated with DFS in lymph node-negative patients; histological grade (P=.003), ER status (P=.001), Ki-67 expression (P=.01), HER2-enriched (P=.003), and LVI (P < .001) were significantly associated with DFS in lymph nodepositive patients; ER status (P = .02), Ki-67 expression (P = .02), and LVI (P < .001) were significantly associated with DFS in luminal A-like cases; histological grade (P = .005), lymph node status (P = .004), and LVI (P < .001) were significantly associated



**Figure 2.** Kaplan-Meier survival analysis of DFS depending on LVI status in the whole cohort. LVI+ status exhibited significantly worse DFS compared with LVI– in the whole cohort (P < .001, log-rank test). DFS=disease-free survival, LVI=lymphatic vessel invasion.

with DFS in luminal B-like cases; no variables were significantly associated with DFS in HER2-enriched disease; only patient age (P=.004) was significantly associated with DFS in triple-negative disease (Table 3).

In multivariate analysis for the whole cohort, histological grade (HR = 1.57; P=.004), ER status (HR =.29; P=.05) and LVI (HR = 3.02; P<.001) remained independently associated with DFS. In multivariate survival analysis for lymph node-negative patients, PR status (HR =.29; P=.04), luminal B-like subtype (HR =.28; P=.01), and LVI (HR =4.89; P<.001) remained independent predictors of shorter DFS. In lymph node-positive, luminal A-like, and luminal B-like cases, only LVI was significantly related to a poorer outcome on multivariate analysis (all P<.001). No parameters were found significantly associated with DFS in HER2-enriched and triple-negative subtypes in multivariate analysis (Table 3).

#### 4. Discussion

As a result of early detection and systemic adjuvant therapy, recurrence and distant metastasis, rather than primary tumors, are becoming the leading causes of breast cancer death.<sup>[27]</sup> It is well known that LVI of regional lymph nodes or distant sites occurs early in tumor metastasis, and the presence of LVI in earlier cancer has been used as an indicator for its ability to metastasize out of the breast.<sup>[28]</sup> Such tumors, therefore, receive more intense therapy than tumors with no LVI in the same disease stage.<sup>[29–31]</sup>

In the present study, the proportion of patients with LVI (25.1%) was consistent with most previous studies using a similar approach (21-42%),<sup>[32]</sup> but lower than that of former studies (12.1%) compared with (15-28%) in lymph node-negative patients and (22.5%) compared with (26-41%) in triple-negative cases.<sup>[32,33]</sup> Breast cancer is a heterogeneous disease, encompassing a number of distinct biological characters. The prognosis and treatment strategy vary among different subtypes (luminal A-like, luminal B-like, HER2-enriched, and triple-negative). However, few studies have investigated the prognostic value of LVI in different subtypes. Therefore, we conducted analysis not only in the whole cohort but also in each subgroup.

To standardize the use of LVI in patient management, the method of detection of LVI is the primary issue needed to be addressed. As mentioned earlier, LVI was detected in the past using H&E stain in samples of breast cancer patients in which blood vessel invasion could not be discerned. With advances in



Figure 3. Kaplan-Meier survival analysis of DFS depending on LVI status in lymph node-negative, lymph node-positive, and breast cancer subtypes patients. LVI+ status exhibited significantly worse DFS compared with LVI– in lymph node-negative cases (A), lymph node-positive cases (B), luminal A-like cases (C), and luminal B-like cases (D) (all P < .001, log-rank test). LVI+ status exhibited no significantly worse DFS compared with LVI– in HER2-enriched cases (P = .589, log-rank test) (E) and triple-negative cases (P = .106, log-rank test) (F). DFS = disease-free survival, LVI=lymphatic vessel invasion.

IHC technique, new markers such as D2-40 have been discovered. The straightforward staining technique needed for D2-40 and the excellent staining performance make it a robust marker for the detection of LVI lesions. Numerous studies have concluded that LVI detected by D2-40 was a more reliable approach in predicting outcomes in cases with breast cancer.<sup>[2,18–20]</sup> In a pilot study of 50 breast cancers, D2-40 increased the detection of LVI by 16% in lymph node-positive cases and 20% in lymph node-negative cases compared with that examined by H&E.<sup>[34]</sup> In the present study, we assessed LVI by IHC using D2-40, which could increase the accuracy of LVI detection relative to examined by H&E.<sup>[34]</sup>

The associations between LVI and other well established prognostic factors varied among different studies.<sup>[13,18–20,28,31,32,35–38]</sup> Similar to previous studies, tumor size, lymph node status, histological grade, and Ki-67 expression in our study showed a significant correlation with LVI in the whole cohort.<sup>[2,18–20,32]</sup> In terms of lymph node-negative patients, however, no parameter was significantly associated with LVI, whereas most former studies reported that LVI was independent of tumor size in lymph node-negative cases.<sup>[10,33,35,37,39,40]</sup> This inconsistency could be explained by variations in sample sizes, types of the clone of antibody, positive cells interpretations, and statistical analysis.

In line with the majority of studies reported, <sup>[2,14,33,35,37,41]</sup> a significant correlation between LVI and tumor recurrence was observed in our study. In multivariate analyses, a significant increase in the HR for tumor recurrence was observed in higher histological grade, ER-negative and the presence of LVI in early breast cancer patients. The results were similar with other studies that included both lymph node-negative and lymph node-positive disease. Furthermore, the presence of LVI provided independent prognostic information not only in the whole cohort but also in the subgroup of patients with lymph node-negative, lymph node-positive, luminal A-like, and luminal B-like disease in the present study.

In theory, LVI may be predictive of lymph node metastasis. Indeed, the presence of LVI has been correlated with presence of lymph node involvement, local recurrence, and poor survival in breast cancer, and 20% of patients with node-negative breast cancer will experience a recurrence and die of systemic disease.<sup>[32,37,42,43]</sup> There may be some kind of lymphovascular shunt in the primary tumor through which tumor cells can directly pass from the lymphatic circulation to the blood circulation.<sup>[44]</sup> which appears plausible to explain how tumor cells access to the blood circulation can be achieved without lymph node involvement. Therefore, identification of LVI, especially using D2-40, could objectively identify a higher-risk subgroup of node-negative patients who might benefit from adjuvant chemotherapy. The results from our study indicated that LVI is an independent poor prognostic factor for the development of recurrence in lymph node-negative breast cancer, which are consistent with previous studies that assessed LVI objectively using D2-40.[14,36,37,41] A large recent study on 1005 patients found that through the use of D2-40, identification of the presence of any LVI in the primary tumor, even single small lesions, is a powerful independent adverse prognostic factor in patients with lymph node-negative breast cancer.<sup>[37]</sup> But the results differ from those of the Ejlertsen et al<sup>[38]</sup> study, which identified LVI by conventional histological assessment in 16,172 breast cancer patients. They found that the presence of LVI should not be considered sufficient to reclassify breast cancer patients who are at a low risk (older than 35 years, with lymph node-negative disease, tumor size <2 cm, and positive hormonal status) of recurrence into a high-risk category. Another recent substantive study by Gudlaugsson et al<sup>[35]</sup> conducted on 240 lymph node-negative invasive breast cancer patients found that the presence of LVI, identified by D2-40/p63 (which stains myoepithelial cell nuclei), has strong prognostic value only in patients  $\geq$  55 years old. The reasonable explanation for such discrepancy are that the use of different methods in LVI detection and relatively short follow-up period in the aforementioned studies.

The data concerning the prognostic value of LVI in lymph node-positive disease are still limited and controversial in previous studies.<sup>[45,46]</sup> Some recent studies have found a significant prognostic impact for LVI in lymph node-positive disease: however, the methods for routine assessment of LVI and standardization of its use in management still need further assessment.<sup>[31,45,47]</sup> In the present study, the presence of LVI in lymph node-positive disease was significantly associated with poorer DFS using both univariate and multivariate analysis. In a study that examined LVI by D2-40 staining in 557 patients with lymph node-positive breast cancer, it was found to be an independent poor prognostic factor in lymph node-positive breast cancer and associated with increased number of positive lymph nodes.<sup>[31]</sup> However, the study by Ragage and colleagues<sup>[45]</sup> showed that the presence of lymphovascular invasion stained by H&E was not associated with the number of involved lymph nodes, which was consistent with the result in our study.

Luminal A-like patients, who comprise the majority of women diagnosed with breast cancer, are at lower risk relative to those with the HER2-enriched and triple-negative disease. However, not all such patients do well. In the 2009 St. Gallen meeting, the presence of LVI was reported to be one of the parameters that indicate the usage of chemo-endocrine therapy in early cases with ER-positive, HER2-negative breast cancer, and its absence was a relative indication for endocrine therapy alone.<sup>[37,47]</sup> Similarly, in the 2015 St. Gallen meeting, the majority (67.6%) of panelists regarded LVI as a sole indicator for adjuvant chemotherapy.<sup>[48]</sup> We suggest that the presence of LVI is a powerful prognostic factor that could potentially be used for clinical stratification of those patients through identification of a high-risk subgroup, an issue also identified by Mohammed et al.<sup>[37]</sup> These findings suggest that LVI detected by D2-40 might usefully be incorporated into the routine clinical pathological staging of patients with luminal A-like breast cancer.

However, the major limitation existed in the present study is the small number of samples. It is worth noting that the present cohort showed a large discrepancy about the prognostic value of LVI in triple-negative patients between the present study (P=.80) and that reported by Gujam and colleagues (P=.01).<sup>[18]</sup> We suppose that the small number of triple-negative cases (n=40) in our study may account for the difference. Further work is required to confirm the prognostic value of LVI in such cases, which are frequently associated with worse prognosis. Nevertheless, the results are interesting and make a case for further prospective studies, with a larger population and longer follow-up, of routine clinical assessment of LVI by D2-40 stain.

In conclusion, the present study demonstrated that the presence of LVI predicted tumor recurrence in Chinese women with early invasive breast cancer. Furthermore, LVI provides independent prognostic information in the subgroup of patients with lymph node-negative, lymph node-positive, luminal A-like, and luminal B-like diseases. The results of this study suggest that the presence of LVI represents an important criterion for evaluating prognosis of invasive breast cancer patients with early, lymph nodenegative, lymph node-positive, luminal A-like, and luminal B-like diseases, which make a case for routine clinical assessment of LVI using D2-40.

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