


# Does surgically assisted maxillary protraction with skeletal anchorage and Class III elastics affect the pharyngeal airway? A retrospective, long-term study

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**Objective:** Surgically assisted maxillary protraction is an alternative protocol in severe Class III cases or after the adolescent growth spurt involving increased maxillary advancement. Correction of the maxillary deficiency has been suggested to improve pharyngeal airway dimensions. Therefore, this retrospective study aimed to analyze the airway changes cephalometrically following surgically assisted maxillary protraction with skeletal anchorage and Class III elastics. **Methods:** The study population consisted of 15 Class III patients treated with surgically assisted maxillary protraction combined with skeletal anchorage and Class III elastics (mean age:  $12.9 \pm 1.2$  years). Growth changes were initially assessed for a mean of  $5.5 \pm 1.6$  months prior to treatment. Airway and skeletal changes in the control (T0), pre-protraction (T1), post-protraction (T2), and follow-up (T3) periods were monitored and compared using lateral cephalometric radiographs. Statistical significance was set at  $p < 0.05$ . **Results:** The skeletal or airway parameters showed no statistically significant changes during the control period. Sella to nasion angle, N perpendicular to A, Point A to Point B angle, and Frankfort plane to mandibular plane angle increased significantly during the maxillary protraction period ( $p < 0.05$ ), but no significant changes were observed in airway parameters ( $p > 0.05$ ). No statistically significant changes were observed in the airway parameters in the follow-up period either. However, Sella to Gonion distance increased significantly ( $p < 0.05$ ) during the follow-up period. **Conclusions:** No significant changes in pharyngeal airway parameters were found during the control, maxillary protraction, and follow-up periods. Moreover, the significant increases in the skeletal parameters during maxillary protraction were maintained in the long-term.

**Key words:** Class III treatment, Airway, Maxillary protraction, Surgical assistance

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## INTRODUCTION

Increased esthetic expectations together with the need for functional balance of the jaws make orthodontic treatment of skeletal deformities a demanding procedure. Class III malocclusion is clinically characterized by a retrusive upper face and a protrusive lower face, thus creating a concave profile. Two-thirds of skeletal Class III malocclusions are caused by maxillary retrusion or a combination of maxillary retrusion and mandibular prognathism.<sup>1,2</sup> Given the frequency of maxillary retrusion, maxillary protraction has become a major treatment approach for the early correction of Class III malocclusion.<sup>2,3</sup> Traditional maxillary protraction with a facemask (FM) involves both skeletal and dentoalveolar effects, including the forward movement of the maxilla, counter-clockwise rotation of the palatal plane, clockwise rotation of the mandible, labial tipping of the maxillary incisors, and lingual tipping of the mandibular incisors.<sup>4</sup> Although the primary purpose of maxillary protraction therapy is to advance the maxilla, the maxillary forward movement after 6–12 months of treatment is limited to 2–3 mm.<sup>3</sup> Furthermore, the unwanted dentoalveolar effects, relapse due to late mandibular growth, and inability to advance the maxilla after the adolescent growth spurt have led orthodontists to search for other treatment modalities. Bone-anchored maxillary protraction (BAMP) allows maxillary advancement and mandibular growth restriction with minimal dentoalveolar effects.<sup>5</sup> Moreover, after the introduction of distraction osteogenesis of the maxilla, surgically assisted maxillary protraction has become an alternative protocol in severe cases or even after the adolescent growth spurt, with maxillary advancement of 3–12 mm achievable in a shorter amount of time than with the conventional protocols.<sup>6–8</sup>

Previous studies have primarily examined the skeletal and dental effects of different treatment protocols. Although the primary aim of using surgical assistance (modified Le Fort I osteotomy) before maxillary protraction is to increase the amount of maxillary advancement in severe cases or after the adolescent growth spurt, other potential effects of this approach, such as changes in the soft tissue and airway should also be taken into consideration. Recent studies have shown that several factors can contribute to obstructive sleep apnea (OSA) in pediatric patients, including tonsillar or adenoid hypertrophy, maxillary or mandibular deficiency, obesity, and craniofacial anomalies.<sup>9</sup> Thus, in some cases, maxillary protraction treatment can yield improvements in OSA in addition to showing favorable skeletal and dental effects.

Numerous studies have investigated the effects of maxillary protraction on the pharyngeal airway; how-

ever, the results of these studies are conflicting.<sup>10–18</sup> While some authors have found significant changes in both oropharyngeal and nasopharyngeal airway dimensions,<sup>13,14</sup> others found changes only in nasopharyngeal airway dimensions.<sup>15–17</sup> Furthermore, some studies reported no significant differences at all.<sup>10–12</sup> However, comparisons of the results of such studies should be performed while considering methodological differences, sample differences, and treatment times. In most of the abovementioned studies, FM was used as a protraction device for longer periods in different age groups, and the average sella to nasion angle (SNA) changes were between 1.3° and 2.9°.

Based on the knowledge that surgically assisted maxillary protraction increases the amount of maxillary protraction within a shorter period than that of the conventional methods, one can assume that this treatment protocol would have a beneficial effect on the pharyngeal airway. To the best of our knowledge, only one study has evaluated the effects of surgically assisted maxillary protraction on airway dimensions, and it found statistically significant changes only in nasopharyngeal airway dimensions.<sup>18</sup> However, the authors of that study also used FM. The present study is the first to two-dimensionally (2D) evaluate changes in pharyngeal airway dimensions during the control period after surgically assisted maxillary protraction with skeletal anchorage and Class III elastics, and during follow-up.

## MATERIALS AND METHODS

The present retrospective study was approved by the Research Ethics Committee of Marmara University, Faculty of Dentistry (21.12.2020, 2020/90).

### Sample size calculation

G\*Power (v3.1.9.2) software was used to calculate the sample size based on a previous study.<sup>18</sup> The calculation indicated that a minimum of 14 patients was required for a power of 0.90 and an alpha of 0.05 to obtain a difference of 2.4 mm in the nasopharyngeal dimensions.

### Eligibility criteria

Fifteen patients (nine female and six male; mean age at control period [T0] = 12.9 ± 1.2 years) who were diagnosed with an Angle Class III dental relationship with anterior crossbite and a skeletal Class III relationship with maxillary retrognathism and treated with surgically assisted maxillary protraction with skeletal anchorage and Class III elastics were selected from the clinical archive. On the basis of the cervical vertebral maturation method, the participants included in the present study were in stage IV, which indicated a deceleration in growth (the peak in mandibular growth had occurred

within 1 or 2 years before this stage).<sup>19</sup> The inclusion criteria implemented for the present study were as follows: (1) maxillary retrognathism (Point A to Point B angle [ANB] < 0°; N perpendicular to A [N⊥A] < 0 mm; maxillary depth < 90°), (2) normal to low angle vertical pattern (sella nasion to mandibular plane angle [SN-MP] < 35°; Björk Sum < 396°), (3) permanent dentition, and (4) no symptoms of respiratory impairment at T0. According to the information obtained from the patient files, the aforementioned individuals were monitored for a mean of  $5.5 \pm 1.6$  months to assess growth changes prior to protraction treatment. Oral hygiene education and the remaining dental treatments such as fillings and calculus removal were performed during this period in order to achieve and maintain good oral hygiene.

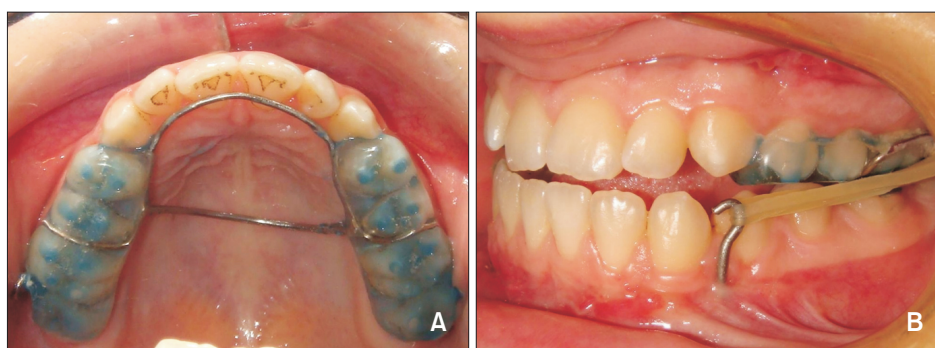
### Treatment procedure

The treatment procedure was the same as that reported in a previous study.<sup>7</sup> After the control period, an acrylic splint with hooks in the maxillary molar area was fabricated and cemented in the maxillary arch (Figure 1A). Miniplates (Multipurpose Implant; Tasarimmed, Istanbul, Turkey) were applied on the anterior wall of the symphysis bilaterally in the region between the canines and first premolars for anchorage purposes under general anesthesia. The miniplates were originally composed of three holes, but two holes were used to fix the miniplates with the miniscrews, which were 2 mm in diameter and 7 mm in length (Mondeal, Tuttlingen, Germany). Moreover, a partial Le Fort I osteotomy was

performed, which included only the maxillary lateral nasal walls, leaving the nasal walls and the nasal septum untouched. Class III elastics were incorporated between the acrylic splint hooks and the miniplates 3 days post-surgically, with a force level of 300 g on each side (Figure 1B). After 10 days, the force level was increased to 600 g on each side. The participants were instructed to use the elastics at all times (excluding meals) and to change them twice a day. The maxillary acrylic splints were removed after a cusp-to-cusp canine relationship was achieved, and the miniplates were removed under local anesthesia. Treatment proceeded with fixed appliances. For retention purposes, Class III bionators were fabricated and provided to the growing subjects for nighttime use until bonding of the teeth, and the fixed orthodontic treatment lasted for  $1.8 \pm 0.58$  years. Written informed consent for the treatment was obtained from the patients or their parents/legal guardians.

### Data collection and analysis

Lateral cephalometric radiographs were assessed at the control (T0; an average of 5.5 months before maxillary protraction), pre-protraction (T1; before the cementation of the acrylic splint), post-protraction (T2; an average of 5.1 months after maxillary protraction, after debonding of the acrylic splint), and follow-up (T3; over an average of 6.9 years, including the fixed orthodontic treatment and follow-up period) stages. The demographic variables for each stage, and the intervals between time points are shown in Table 1. Nemoceph – NemoStu-



**Figure 1.** A, The occlusal view of the appliance. B, Intraoral elastic usage.

**Table 1.** Descriptive variables for each stage and intervals between time points

Variable	T0	T1	T2	T3
Age (yr)	$12.9 \pm 1.2$	$13.4 \pm 1.2$	$13.8 \pm 1.2$	$20.8 \pm 1.3$
Intervals between time points	T1-T0 (mo)	T2-T1 (mo)	T3-T2 (yr)	
	$5.5 \pm 1.6$	$5.1 \pm 1.5$	$6.9 \pm 0.6$	

Values are presented as mean  $\pm$  standard deviation.

T0, an average of 5.5 months before maxillary protraction; T1, pre-protraction, immediately before cementation of the acrylic splint; T2, post-protraction, after debonding of the acrylic splint; T3, follow-up, including fixed orthodontic treatment and follow-up stages.

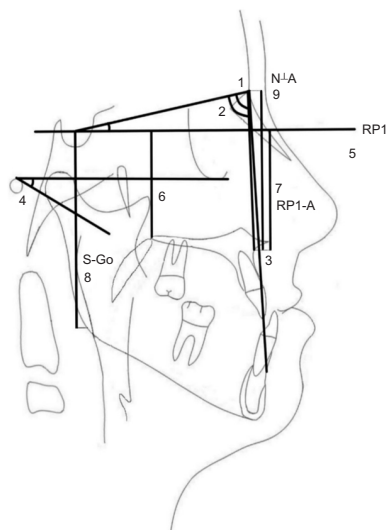
dio 2018 (Nemotec, Madrid, Spain) was used for lateral cephalometric radiograph analysis to assess the skeletal characteristics of the patients (Table 2, Figure 2). For the analysis of pharyngeal airway dimensions, the method described by Mochida et al.<sup>20</sup> was implemented with additional variables for evaluation of the nasopharyngeal region (Table 2, Figure 3).<sup>16</sup> All linear and angular measurements were performed by the same examiner.

**Statistical analysis**

All statistical analyses were performed with SPSS Software for Windows (IBM Corp., Armonk, NY, USA). The conformity of the parameters to the normal distribution was assessed using the Shapiro–Wilk test. For statistical comparisons at T0, T1, T2, and T3, repeated-measures analysis of variance and Friedman test were used for normally and non-normally distributed data, respectively. To compare mean values between the time points, Bonferroni multiple comparison was performed

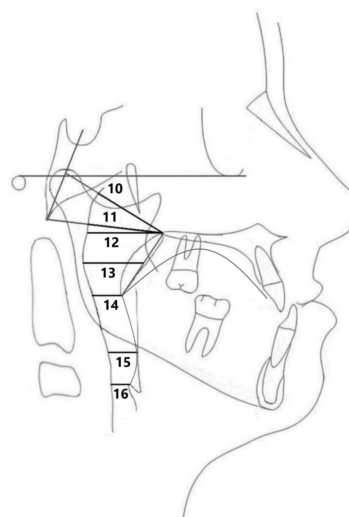
**Table 2.** Definition of the planes and the measurements

Planes and measurements	Definition
<b>Planes</b>	
Sella-Nasion plane	A plane passing through point sella and point nasion
Nasion-A plane	A plane passing through point nasion and point A
Nasion-B plane	A plane passing through point nasion and point B
Mandibular plane	A plane passing through point gonion and point menton
Horizontal reference plane (RP1)	RP1 was drawn with a 7 degree below the sella-nasion plane at point sella
Frankfort horizontal plane (FHP)	A plane passing through orbitale and porion points
Nasion perpendicular (N⊥)	A plane passing through nasion point to chin and perpendicular to FHP
<b>Measurements</b>	
SNA (°)	The angle between sella-nasion and nasion-A point planes
SNB (°)	The angle between sella-nasion and nasion-B point planes
ANB (°)	The angle between nasion-A point and nasion-B point
FMA (°)	The angle between the FHP and mandibular plane
RP1-PNS (mm)	The vertical distance from posterior nasal spine point to RP1
S-Go (mm)	The vertical distance between sella and gonion points
RP1-A (mm)	The vertical distance from A point to RP1
N⊥A	The linear distance from A point to nasion perpendicular
Ad1-PNS (mm)	The linear distance measured between posterior nasal spine and posterior pharyngeal wall along the line of basion point to posterior nasal spine
Ad2-PNS (mm)	The linear distance measured between posterior nasal spine to the adenoid tissue along the line from posterior nasal spine to the midpoint of the line intersecting basion to sella turcica
Posterior airway space (PAS) (mm)	The anteroposterior depth of the pharynx measured between the posterior pharyngeal wall and the posterior nasal spine on a line parallel to the FHP through the posterior nasal spine
Superoposterior airway space (SPAS) (mm)	The linear distance measured between the posterior pharyngeal wall and the dorsum of the soft palate on a line parallel to the FHP through the middle of the line from the posterior nasal spine to the tip of the soft palate
Middle airway space (MAS) (mm)	The linear distance measured between the posterior pharyngeal wall and the dorsum of the tongue on a line parallel to the FHP through the tip of the soft palate
Inferior airway space (IAS) (mm)	The linear distance measured between the posterior pharyngeal wall and the surface of the tongue on a line parallel to the FHP through the most anteroinferior point on the body of the second cervical vertebra
Epiglottic airway space (EAS) (mm)	The linear distance measured between the posterior pharyngeal wall and the surface of the tongue on a line parallel to the FHP through the epiglottis tip



**Figure 2.** Skeletal measurements. 1, SNA ( $^{\circ}$ ); 2, SNB ( $^{\circ}$ ); 3, ANB ( $^{\circ}$ ); 4, FMA ( $^{\circ}$ ); 5, RP1; 6, RP1-PNS (mm); 7, RP1-A (mm); 8, S-Go (mm); 9, N $\perp$ A (mm).

See Table 2 for definition of the planes and the measurements.



**Figure 3.** Pharyngeal airway measurements (mm). 10, Ad1-PNS; 11, Ad2-PNS; 12, PAS; 13, SPAS; 14, MAS; 15, IAS; 16, EAS.

See Table 2 for definition of the planes and the measurements.

for normally distributed data, and Wilcoxon signed-rank test was used for non-normally distributed data. Bonferroni correction for post-hoc evaluations of non-normally distributed data was also applied to decrease the type I error. Statistical significance was established as  $p < 0.05$ .

## RESULTS

All the skeletal and airway measurements were repeated after 10 days by the same examiner, and the intraclass correlation coefficient of all the parameters ranged from 0.8 to 0.9, showing a high level of agreement. No statistically significant changes were observed in the skeletal and airway parameters during the control period (T1–T0) ( $p > 0.05$ , Table 3).

During the maxillary protraction period (T2–T1), although significant increases were observed in SNA, N $\perp$ A, ANB, and Frankfort plane to mandibular plane angle (FMA) ( $2.9^{\circ} \pm 1.1^{\circ}$ ,  $3.7 \pm 1.4$  mm,  $3.9^{\circ} \pm 2.0^{\circ}$ ,  $1.2^{\circ} \pm 1.0^{\circ}$ , respectively,  $p < 0.05$ ), the decrease in the SNB angle and horizontal reference plane to A point (RP1–A) distance from T1 to T2 ( $-1.0^{\circ} \pm 1.5^{\circ}$ ,  $-0.1 \pm 1.1$  mm, respectively,  $p > 0.05$ ) was not statistically significant (Table 3). Among the airway parameters, although the sagittal airway dimensions increased (adenoid tissue 1 to posterior nasal spine [Ad1-PNS]:  $0.2 \pm 3.1$  mm; Adenoid tissue 2 to posterior nasal spine [Ad2-PNS]:  $1.1 \pm 2.2$  mm; posterior airway space [PAS]:  $0.7 \pm 2.7$  mm; superoposterior airway space [SPAS]:  $0.2 \pm 1.7$  mm,  $p > 0.05$ ) and decreased (middle airway space [MAS]:  $-0.4 \pm 2.3$

mm; inferior airway space [IAS]:  $-0.1 \pm 3.4$  mm; epiglottic airway space [EAS]:  $-0.8 \pm 2.2$  mm,  $p > 0.05$ ) during the maxillary protraction period, the changes were not statistically significant (Table 3, Figure 4). During the follow-up period (T3–T2), none of the airway parameters showed statistically significant changes (Ad1-PNS:  $-0.9 \pm 6.3$  mm; Ad2-PNS:  $1.3 \pm 3.1$  mm; PAS:  $-0.7 \pm 3.5$  mm; SPAS:  $-0.6 \pm 2.0$  mm; MAS:  $0.5 \pm 2.1$  mm; IAS:  $-0.7 \pm 3.2$  mm; EAS:  $0.4 \pm 1.1$  mm,  $p > 0.05$ ). Among the skeletal parameters, only the S-Go distance showed a significant increase ( $4.0 \pm 2.5$  mm,  $p < 0.05$ ) (Table 3).

When the entire period from control to follow-up was evaluated (T3–T0), only Ad2-PNS increased significantly ( $3.0 \pm 3.7$  mm,  $p < 0.05$ ) among the airway parameters (Table 3). Moreover, significant increases were seen in RP1-PNS, SNA, N $\perp$ A, ANB, and S-Go ( $1.7 \pm 1.7$  mm,  $3.4^{\circ} \pm 1.5^{\circ}$ ,  $3.8 \pm 2.2$  mm,  $3.8^{\circ} \pm 1.3^{\circ}$ , and  $5.1 \pm 3.0$  mm, respectively,  $p < 0.05$ ) (Table 3).

## DISCUSSION

Maxillary protraction with FM or orthognathic surgery are the most favored treatment protocols for Class III malocclusion. Besides the limited maxillary advancement (2–3 mm in 6–12 months) and need for treatment at young ages with FM,<sup>3</sup> patients have to wait until growth is completed for any orthognathic surgery. However, considering the psychological problems caused by decreased facial esthetics, treatment of severe cases involving young adolescents or those after the growth spurt

Table 3. Skeletal and pharyngeal airway changes during the control, maxillary protraction, and follow-up periods

Stage	T0	T1	T2	T3	p	T1-T0	T2-T0	T3-T0	T2-T1	T3-T1	T3-T2
Ad1-PNS (mm)	23.5 ± 5.3	24.2 ± 5.0	24.5 ± 5.4	23.5 ± 7.0	0.304	0.6 ± 2.2	0.9 ± 3.4	0.0 ± 6.6	0.2 ± 3.1	-0.7 ± 6.6	-0.9 ± 6.3
Ad2-PNS (mm)	18.1 ± 4.4	18.6 ± 3.2	19.8 ± 4.0	21.1 ± 3.8	0.001**†	0.5 ± 2.0	1.6 ± 2.1*†	3.0 ± 3.7*†	1.1 ± 2.2	2.4 ± 3.7	1.3 ± 3.1
PAS (mm)	24.2 ± 3.3	25.2 ± 3.3	25.9 ± 3.7	25.2 ± 3.4	0.304	1.0 ± 3.1	1.7 ± 3.6	0.9 ± 4.3	0.7 ± 2.7	-0.0 ± 3.4	-0.7 ± 3.5
SPAS (mm)	11.8 ± 2.1	12.0 ± 2.5	12.2 ± 1.9	11.5 ± 2.2	0.638	0.2 ± 1.7	0.4 ± 2.1	-0.2 ± 2.1	0.2 ± 1.7	-0.4 ± 2.2	-0.6 ± 2.0
MAS (mm)	11.0 ± 2.9	11.3 ± 2.3	10.8 ± 2.9	11.4 ± 3.9	0.788	0.3 ± 1.8	-0.1 ± 2.7	0.4 ± 2.7	-0.4 ± 2.3	0.1 ± 2.7	0.5 ± 2.1
IAS (mm)	11.6 ± 2.7	11.6 ± 2.2	11.5 ± 4.0	10.8 ± 2.8	0.624	0.0 ± 1.7	-0.1 ± 3.4	-0.8 ± 2.8	-0.1 ± 3.4	-0.8 ± 2.3	-0.7 ± 3.2
EAS (mm)	8.9 ± 1.9	9.2 ± 2.0	8.4 ± 2.0	8.8 ± 2.4	0.361	0.3 ± 1.7	-0.5 ± 1.7	-0.1 ± 2.2	-0.8 ± 2.2	-0.4 ± 2.6	0.4 ± 1.1
RP1-A (mm)	52.6 ± 4.5	52.5 ± 4.4	52.3 ± 4.9	53.6 ± 5.1	0.043*§	-0.1 ± 1.1	-0.2 ± 1.6	0.9 ± 1.9	-0.1 ± 1.1	1.0 ± 1.4	1.2 ± 1.6
RP1-PNS (mm)	44.7 ± 3.0	45.1 ± 3.0	45.9 ± 2.6	46.4 ± 2.5	0.000***†	0.3 ± 1.2	1.2 ± 1.4*†	1.7 ± 1.7*†	0.8 ± 1.0	1.3 ± 1.8	0.5 ± 1.3
SNA (°)	78.2 ± 3.4	78.2 ± 3.2	81.1 ± 3.5	81.6 ± 3.6	0.000***§	0.0 ± 0.45	2.9 ± 1.2**	3.4 ± 1.5**	2.9 ± 1.1**	3.4 ± 1.5**	0.5 ± 1.3
SNB (°)	81.9 ± 3.4	82.0 ± 3.6	81.0 ± 3.3	81.5 ± 4.0	0.063	0.1 ± 0.6	-0.9 ± 1.4	-0.3 ± 1.8	-1.0 ± 1.5	-0.5 ± 1.5	0.5 ± 2.0
ANB (°)	-3.7 ± 2.4	-3.8 ± 2.7	0.1 ± 2.9	0.1 ± 2.1	0.000***§	-0.1 ± 0.6	3.83 ± 2.0**	3.8 ± 1.3**	3.9 ± 2.0**	3.9 ± 1.5**	0.0 ± 2.5
N⊥A (mm)	-2.7 ± 2.5	-2.6 ± 2.6	1.1 ± 3.2	1.1 ± 3.7	0.000***†	0.0 ± 0.5	3.8 ± 1.5***†	3.8 ± 2.2***†	3.7 ± 1.4***†	3.7 ± 2.1***†	0.0 ± 1.2
FMA (°)	24.8 ± 6.7	24.6 ± 7.0	25.8 ± 6.5	24.0 ± 7.2	0.005***§	-0.2 ± 1.0	1.0 ± 1.2	-0.8 ± 2.1	1.2 ± 1.0**	-0.6 ± 2.0	-1.8 ± 2.2
S-Go (mm)	79.1 ± 7.8	79.7 ± 8.1	80.3 ± 8.8	84.3 ± 9.5	0.000***§	0.6 ± 1.2	1.1 ± 1.9	5.1 ± 3.0**	0.6 ± 1.2	4.5 ± 2.8**	4.0 ± 2.5**

Values are presented as mean ± standard deviation.

T0, an average of 5.5 months before maxillary protraction; T1, pre-protraction, before cementation of the acrylic splint; T2, post-protraction, after debonding of the acrylic splint; T3, follow-up, including fixed orthodontic treatment and follow-up stages.

\*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001.

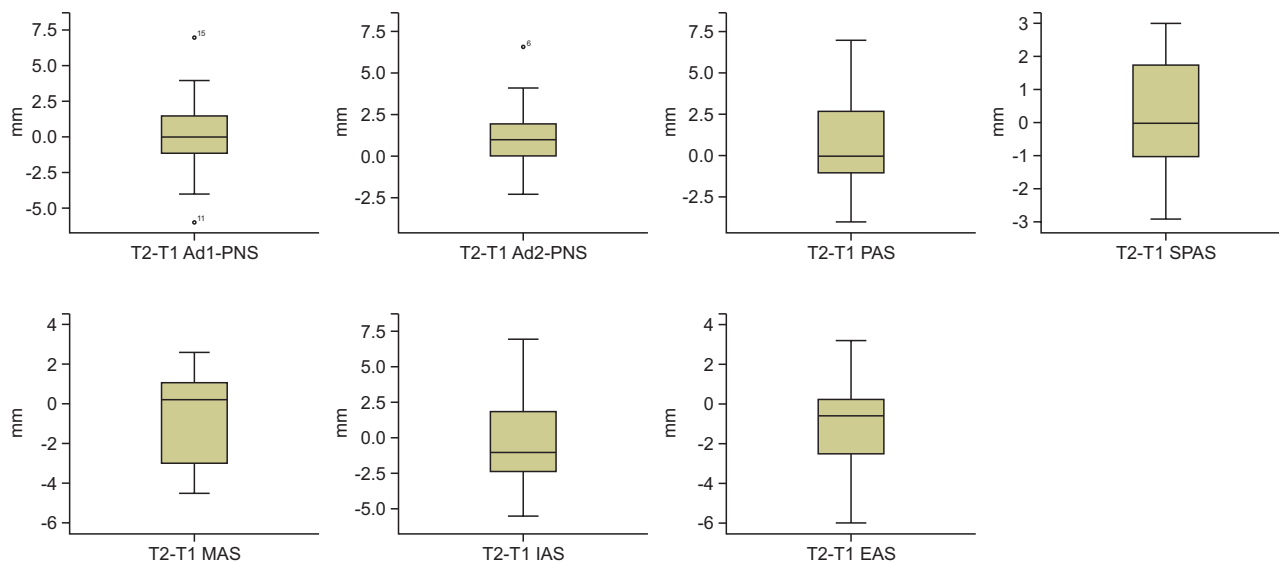
†Repeated-measures ANOVA.

‡Bonferroni multiple comparison.

§Friedman test.

||Wilcoxon signed-rank test (with Bonferroni correction).

See Table 2 for definition of the planes and the measurements.



**Figure 4.** Boxplots of the airway parameters during maxillary protraction period (T2–T1).

T1, pre-protraction, before cementation of the acrylic splint; T2, post-protraction, after debonding of the acrylic splint. See Table 2 for definition of the planes and the measurements.

is very important, instead of waiting until adulthood. Orthognathic surgery at an early age<sup>21</sup> and surgical assistance with modified Le Fort I osteotomy or skeletal anchorage for maxillary protraction<sup>6,8,22,23</sup> in these groups of patients have been reported in the literature. Among these methods, treatment with orthognathic surgery at early ages may inhibit the further growth of the maxilla due to the use of miniplates and miniscrews for fixation and the extended osteotomy lines, and the continued mandibular growth may cause recurrence of the Class III malocclusion.<sup>21</sup> No down fracture and no rigid fixation are performed, and the nasal septum and nasal walls are kept intact in the surgical assistance (with modified Le Fort I osteotomy) for maxillary protraction.<sup>7</sup> Therefore, anteroposterior maxillary growth can be expected in response to future mandibular growth.<sup>21</sup>

The amount of maxillary advancement was around 2.3–4.8 mm in 6–12 months with skeletal anchorage,<sup>22,23</sup> and 3.5–12 mm in 1–5 months with surgical assistance for maxillary protraction.<sup>6–8</sup> This leads to a critical question, “Do such protraction methods assisted by either surgical procedure or skeletal anchorage have any effects on airway dimensions?” Studies have reported conflicting results about the relationship between maxillary protraction and changes in airway dimensions.<sup>10–18</sup> Hiyama et al.<sup>10</sup> showed that greater forward maxillary growth was associated with a greater increase in the upper airway dimensions. Since surgically assisted maxillary protraction with skeletal anchorage would produce more maxillary anterior movement in a shorter time than that of the conventional maxillary protraction, we expected

to find more significant improvements in pharyngeal airway dimensions in the present study.

It is crucial to measure the actual treatment outcome while excluding the individuals’ expected growth. While some studies have reported their results without a control group, some used Class I or Class III control groups. Since the present study is retrospective in nature, the control records of the same individuals that were taken 5.5 months prior to maxillary protraction were used to differentiate the expected growth of the participants. That control duration was determined according to the predicted time for the maxillary protraction period. Therefore, each individual served as a control group for themselves, and no additional control group was created for ethical reasons.

Most of the published studies evaluated changes in the pharyngeal airway using lateral cephalograms. Although three-dimensional (3D) evaluation of complex anatomy, such as the airway, provides more detailed information, the use of cephalograms has also been shown to be adequate and reliable for measuring sagittal airway dimensions.<sup>13–16</sup> Owing to the retrospective design of the present study, lateral cephalometric radiographs of the participants obtained from an archive were used to measure airway changes.

In the present study, a limited number of skeletal variables that were considered to be associated with airway changes were evaluated to avoid distraction and focus on the pharyngeal airway. During the control period (T1–T0), no statistically significant changes were observed in any of the skeletal or airway parameters. However,

during protraction (T2–T1), the maxilla moved forward significantly, resulting in increases in the SNA, N $\perp$ A, ANB, and FMA angles ( $2.9^\circ \pm 1.1^\circ$ ,  $3.7 \pm 1.4$  mm,  $3.9^\circ \pm 2.0^\circ$ ,  $1.2^\circ \pm 1.0^\circ$ , respectively), while the SNB, RP1–A, RP1–PNS, and S–Go did not change significantly. The changes in the skeletal parameters were compatible with previously published findings in which maxillary protraction with surgical assistance and skeletal anchorage showed significant forward movement in the maxilla.<sup>6,8,22,23</sup> No significant changes were observed in the pharyngeal airway dimensions (Ad1–PNS:  $0.2 \pm 3.1$  mm; Ad2–PNS:  $1.1 \pm 2.2$  mm; PAS:  $0.7 \pm 2.7$  mm; SPAS:  $0.2 \pm 1.7$  mm; MAS:  $-0.4 \pm 2.3$  mm; IAS:  $-0.1 \pm 3.4$  mm; EAS:  $-0.8 \pm 2.2$  mm) during the protraction period. The findings of the present study regarding the pharyngeal airway are consistent with those reported by Hiyama et al.<sup>10</sup> (SPAS:  $0.5 \pm 3.2$  mm; MAS:  $-0.3 \pm 4.5$  mm; IAS:  $-0.2 \pm 3.9$  mm), Baccetti et al.<sup>11</sup> (Ad1–PNS:  $2.8 \pm 3.2$  mm; Ad2–PNS:  $3.2 \pm 2.8$  mm; upper pharynx:  $2.0 \pm 2.7$  mm; lower pharynx:  $0.0 \pm 3.5$  mm), and Mucedero et al.<sup>12</sup> (Ad1–PNS:  $2.1 \pm 3.9$  mm; Ad2–PNS:  $1.2 \pm 2.2$  mm; upper pharynx:  $1.0 \pm 3.8$  mm; lower pharynx:  $0.7 \pm 3.1$  mm) who reported statistically insignificant results; however, they contradict the studies that reported significant increases in the dimensions of the nasopharynx and/or oropharynx.<sup>13–18,24,25</sup> The absence of the expected changes in pharyngeal airway dimensions due to greater maxillary advancement in the present study might be attributable to the physiological growth of lymphoid tissue.<sup>26</sup> According to Taylor et al.,<sup>27</sup> posterior pharyngeal wall changes occur at a greater rate between 6 to 9 and 12 to 15 years of age. In the present study, while the average age of the patients when they underwent maxillary protraction was  $13.4 \pm 1.2$  years, the patients in most previous studies were 9–12 years old. Thus, the patients in the present study group were in the age range where reductions in pharyngeal airway dimensions are expected due to normal growth, which might neutralize the effects of maxillary protraction. Only Cakirer et al.<sup>18</sup> evaluated the effects of surgically assisted maxillary protraction and found significant increases in nasopharyngeal airway dimensions (Ad2–PNS:  $2.4 \pm 2.5$  mm); however, the age of the patients, the type and duration of protraction, and the amount of maxillary movement differed in their study, which might be the reason for the differences in relation to the present study.

Furthermore, although Kiliç et al.<sup>13</sup> found significant increases in both nasopharyngeal (Ad1–PNS:  $4.6 \pm 5.3$  mm; Ad2–PNS:  $5.6 \pm 1.8$  mm) and oropharyngeal ( $1.4 \pm 4.3$  mm) airway dimensions, they also stated that their findings were not statistically significant due to individual variations when compared to a control group. Ceylan and Oktay<sup>28</sup> reported that the oropharyngeal airway dimension decreases with an increase in the ANB

angle. Akcam et al.<sup>29</sup> reported that the clockwise rotation of the mandible decreases the airway space. In contrast, Hiyama et al.<sup>10</sup> found no significant relationship between SNB and changes in airway dimensions in their multiple-regression analysis. In the present study, while SNB did not change significantly during protraction ( $-1.0^\circ \pm 1.5^\circ$ ), the significant increase in the FMA angle ( $1.2^\circ \pm 1.0^\circ$ ) indicated a slight clockwise rotation of the mandible. However, this slight rotation did not appear to cause a significant change in oropharyngeal airway dimensions, which was consistent with the findings of other studies.<sup>10,15,24</sup>

No significant changes were observed in SNA or ANB angles during the follow-up period (T2–T3), indicating that maxillary protraction was maintained after treatment, as reported elsewhere.<sup>11,16</sup> In contrast to our results, Nevzatoğlu and Küçükkeleş<sup>6</sup> reported that after almost 6 years of follow-up, the maxillary sagittal changes achieved with surgically assisted maxillary protraction were lost, while the changes achieved with conventional FM were stable. Significant increases in S–Go distances ( $4.0 \pm 2.5$  mm) were seen during follow-up in the present study. This continued significant growth in the posterior facial height (S–Go) may have caused clockwise rotation and anterior rotation of the mandible, resulting in an insignificant decrease in the FMA angle.<sup>7</sup> Additionally, the small change in RP1–A may be an indication that the vertical growth of the maxilla was not inhibited by the modified Le Fort I osteotomy, which did not include down fracture or rigid fixation. Furthermore, since the nasal walls and nasal septum were not included in the protocol, no anteroposterior growth restriction was expected.<sup>21</sup> None of the changes in pharyngeal airway measurements (Ad1–PNS:  $-0.9 \pm 6.3$  mm; Ad2–PNS:  $1.3 \pm 3.1$  mm; PAS:  $-0.7 \pm 3.5$  mm; SPAS:  $-0.6 \pm 2.0$  mm; MAS:  $0.5 \pm 2.1$  mm; IAS:  $-0.7 \pm 3.2$  mm; and EAS:  $0.4 \pm 1.1$  mm) were significant during the follow-up period in the present study. The long-term results of the changes in upper airway dimensions are also still controversial. Kaygisiz et al.<sup>16</sup> reported that any significant changes in nasopharyngeal airway dimensions (Ad1–PNS:  $1.4 \pm 2.5$  mm; Ad2–PNS:  $2.9 \pm 3.0$  mm) remained stable in the long-term. However, Baccetti et al.<sup>11</sup> and Hwang et al.<sup>25</sup> showed insignificant results during the follow-up period, which is consistent with the findings of the present study.

The treatment protocol in the present study did not include maxillary expansion since the participants did not require significant maxillary expansion after maxillary protraction. Inclusion of maxillary expansion in this treatment protocol may have raised the question of whether a significant change in the airway could be observed. However, Adobes Martin et al.<sup>30</sup> reported that maxillary protraction alone may cause an increase in the



pharyngeal airway. They also added that maxillary expansion had no effect on sagittal widths in comparison with controls and since it is related to the transversal dimensions of the malocclusion, the effect on the transverse dimension cannot be quantified on a 2D image.

One of the limitations of this retrospective study was the use of a 2D imaging technique for the assessment of a 3D structure such as the pharyngeal airway. However, lateral cephalometric radiographs are frequently used to evaluate pharyngeal structures.<sup>10-18</sup> Although 3D measurements are expected to provide more precise information on the pharyngeal airway, the results of 3D studies in the literature regarding the airway are also contradictory. Nguyen et al.<sup>31</sup> found significant increments in upper airway dimensions following the BAMP protocol in their 3D study; however, when comparing their results with a well-matched control group, the results were similar. Furthermore, some studies have reported no significant changes in airway volumes.<sup>32,33</sup> Other limitations of the present study were the lack of an untreated control group and the retrospective nature of the study. The fact that this treatment protocol can be used in some “selected” Class III cases might also be considered a limitation. Therefore, case selection is of utmost importance when this protocol is used.

Future prospective 3D studies with a larger study sample and a consensus for the demarcation of the pharyngeal airway boundaries would provide more accurate findings and be beneficial for improving the airway for better respiratory function.

## CONCLUSIONS

- No significant changes in skeletal or pharyngeal airway parameters were found during the control period.
- Although significant increases were found in skeletal parameters such as SNA, ANB, and FMA following maxillary protraction, the changes in the pharyngeal airway dimensions were insignificant.
- During the follow-up period, favorable skeletal changes were maintained; however, no significant changes were observed in pharyngeal airway dimensions.

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## AUTHOR CONTRIBUTIONS

Conceptualization: EOO, HNY, NK. Data curation: EOO, PP, HNY. Formal analysis: EOO, PP, HNY. Funding acquisition: None. Methodology: EOO, PP, HNY. Project administration: HNY, NK. Visualization: EOO, PP, HNY.

Writing—original draft: EOO, PP. Writing—review & editing: EOO, PP, HNY, NK.

## CONFLICTS OF INTEREST

No potential conflict of interest relevant to this article was reported.

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