

Lymph-circulating tumor cells show distinct properties to blood-circulating tumor cells and are efficient metastatic precursors

Sulma I. Mohammed¹, Odalys Torres-Luquis¹, Elwood Walls² and Frank Lloyd³

1 Department of Comparative Pathobiology and Purdue University Center for Cancer Research, Purdue University, West Lafayette, IN, USA

2 Department of Basic Medical Sciences, Purdue University, West Lafayette, IN, USA

3 Department of Biomedical Engineering, Purdue University, West Lafayette, IN, USA

Keywords

breast; cancer; circulating tumor cells; epithelial-to-mesenchymal transition; lymphatic vessels; metastasis

Correspondence

S. I. Mohammed, Department of Comparative Pathobiology, Purdue University, West Lafayette, IN 47907, USA Fax: +1 765 494 9830 Tel: +1 765 494 9948 E-mail: mohammes@purdue.edu

(Received 7 November 2018, revised 11 March 2019, accepted 25 April 2019, available online 23 May 2019)

doi:10.1002/1878-0261.12494

The leading cause of breast cancer-associated death is metastasis. In 80% of solid tumors, metastasis via the lymphatic system precedes metastasis via the vascular system. However, the molecular properties of tumor cells as they exit the primary tumor into the afferent lymphatics en route to the sentinel lymph nodes (SLNs) are not yet known. Here, we developed an innovative technique that enables the collection of lymph and lymph-circulating tumor cells (LCTCs) en route to the SLN in an immunocompetent animal model of breast cancer metastasis. We found that the gene and protein expression profiles of LCTCs and blood-circulating tumor cells (BCTCs) as they exit the primary tumor are similar, but distinct from those of primary tumors and lymph node metastases (LNMs). LCTCs, but not BCTCs, exist in clusters, display a hybrid epithelial/mesenchymal phenotype and cancer stem cell-like properties, and are efficient metastatic precursors. These results demonstrate that tumor cells that metastasize through the lymphatic system are different from those spread by blood circulation. Understanding the relative contribution of these cells to overall peripheral blood-circulating tumor cells is important for cancer therapy. Whether these two types of cell occur in cancer patients remains to be determined.

1. Introduction

Breast cancer is the most common malignancy in women. The leading cause of breast cancer-associated death is metastasis (Weigelt *et al.*, 2005). Although advances in early diagnosis and systemic adjuvant therapy targeting primary tumors have significantly improved survival in women with breast cancer, treatments for metastatic disease remain less effective. The problem in identifying therapies targeting metastatic disease is our incomplete understanding of tumor biology during the metastatic process. During metastasis, tumor cells detach from the primary tumor and may intravasate into and disseminate through the blood circulation or lymphatic system; either route of dissemination can lead to the venous circulation, as the lymphatics drain into the blood (Wong and Hynes, 2006). In 80% of solid tumors, metastasis via the

Abbreviations

BCTCs, blood-circulating tumor cells; CTLs, CD8+ cytotoxic T lymphocytes; E/M, epithelial/mesenchymal; EMT, epithelial-mesenchymal transition; FACS, fluorescence-activated cell sorting; GRO KC, keratinocyte chemoattractant/human growth-regulated oncogene; IP-10, IFNγ-induced protein 10; IPAD, immuno-paired-antibody detection; LCTCs, lymph-circulating tumor cells; LNMs, lymph node metastases; MHC, major histocompatibility complex; SD, standard deviation; SLNs, sentinel lymph nodes; TNF, tumor necrosis factor; VEGF, vascular endothelial growth factor.

Molecular Oncology **13** (2019) 1400–1418 © 2019 The Authors. Published by FEBS Press and John Wiley & Sons Ltd. This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

lymphatic system precedes metastasis via the vascular system. In many of these tumors, the lymph nodes are the first organ to develop metastasis. As a result, the tumor-draining lymph node, which is the sentinel lymph node (SLN), is accepted universally as the most powerful prognostic tool available for early-stage breast cancer and is often used in disease management (Padera *et al.*, 2002).

Despite the clinical implications of tumor cell lymphatic spread and lymph node metastasis in breast cancer patient care and management, little is known about the cellular and molecular communication that takes place between the primary tumor and the sentinel node. In addition, lymphatically disseminated tumor cells in transit from the primary tumor to the local lymph node have never been characterized and compared to blood-borne tumor cells in the same host. Several studies have examined tumor cells discharged into the tumor venous drainage (Liotta et al., 1974), but to our knowledge, there have been no experimental studies of LCTCs in transit from the primary tumor to the local draining SLN. The major reasons for this lack of knowledge have been the microscopic size of the afferent lymphatic vessels, the fragile nature of these vessels, the loss of pressure that occurs as soon as the vessels are punctured, and the difficulty in identifying and cannulating the lymphatic vessels en route to the SLN (Hansen et al., 2015). The characterization of LCTCs and BCTCs may provide important information about the cascade of metastatic events.

Recently, accumulating evidence suggested that the microenvironment of the SLN is greatly influenced at a distance by the primary tumor, which secretes factors such as cytokines, exosomes, or enzymes that precondition the lymph node microenvironment, making the lymph nodes supportive metastatic niches for disseminating tumor cells (soil and seed hypothesis) (Paget, 1889; Sleeman, 2012). According to this understanding, the lymphatic fluid draining a primary tumor is expected to be rich in these premetastatic conditioning materials and can serve as discriminating indicators of the tumor metastatic potential. The identification and monitoring of these premetastatic niche-inducing materials in situ in lymph draining a primary tumor can provide insights about immune recognition or immune priming in the SLN that are highly relevant to tumor treatment.

Here, we developed a unique microsurgical technique to collect lymph draining from a primary tumor. We have used an approach that is routinely practiced for the identification and mapping of the draining lymph nodes during the SLN dissection procedure in women diagnosed with breast cancer. The SLN concept implies that the tumor cells migrating from a primary tumor metastasize to a single lead draining node in the relevant lymph node basin (Chen et al., 2006). The injection of lymphazurin in the breast tissue around the area of the tumor permits the identification of one or more SLNs in the majority of patients. Taking advantage of this concept, we developed a technique to intercept the migration of tumor cells from the primary tumor to the SLN and collect both the lymph and the tumor cells therein. We collected a large enough volume of afferent lymph for adequate analysis. The sample of lymph provides an in situ molecular portrait of the lymph and the lymph-circulating tumor cells (LCTCs). We were able to dissect the critical properties of LCTCs that orchestrate their dissemination and survival in comparison with those of BCTCs from the same animal as they exit the primary tumor. We found that in contrast to BCTCs, LCTCs exist in clusters, display a hybrid epithelial/ mesenchymal (E/M) phenotype and cancer stem celllike properties, and constitute extraordinarily efficient metastatic precursors. In addition, we found that EGF is the major tumor-derived factor in the lymph from metastatic tumor-bearing animals compared to nonmetastatic tumor-bearing animals and that the receptor for EGF is expressed in LCTCs but not BCTCs.

2. Materials and methods

2.1. Cell lines and culture condition

The cell lines used in this study were rat metastatic MTLn3 and nonmetastatic MTC cells kindly provided by Segall (Albert Einstein College of Medicine, Bronx, NY, USA). MTLn3 cell line was clonally derived from a lung metastasis of the 13762NF rat mammary adenocarcinoma (Neri *et al.*, 1982). Both MTLn3 and MTC cell lines were cultured in Minimal Essential Medium, Alpha (MEM; Sigma, St. Louis, MO, USA), containing nonessential amino acids (Sigma), and supplemented with 5% fetal bovine serum (FBS; HyClone, Logan, UT, USA). LCTCs and BCTCs were established in our laboratory from the lymph or the blood, respectively, from rats with metastatic mammary tumors.

2.2. Animal model

All experiments involving rats were conducted in accordance with National Institutes of Health regulation on the care and use of experimental animals. Purdue University Animal Use and Care Committee approved the study. Immunocompetent syngeneic female Fisher 344 rats (n = 120) were purchased from Harlan (Indianapolis, IN, USA). The rats were housed in the Purdue Animal Facility and received standard rodent chow and water *ad libitum* and kept at a 12-h light–dark cycle.

2.3. Spontaneous metastasis

To develop spontaneous metastases, rats were injected with MTLn3 or MTC cells or only PBS (vehicle control). Briefly, MTLn3 or MTC cells were grown to 70–80% confluence, trypsinized, washed with PBS, and counted. 1 x 106 cells in 0.1 mL PBS or PBS were injected into the two left caudal- and rostral-most mammary fat pads to establish primary (MTLn3 and MTC) and metastatic tumors (MTLn3).

2.4. Lymph fluid and blood collection

Development of the primary tumors followed by the lymph node and lung metastasis was observed after 14 days postcell implant of MTLn3 cells in rats. Tumor metastasis to the draining lymph node is grossly apparent in MTLn3 tumor-bearing rats. MTLn3 tumor-bearing, MTC tumor-bearing, and PBS-injected animals (no tumor) were then anesthetized with Ketamine/Xylazine at 60 mg \cdot kg⁻¹ of Ketamine/HCl and 5-10 mg·kg⁻¹ Xylazine/HCl by I.P. injections. Lymphatic vessels of tumor-bearing animals and non-tumor-bearing animals were visualized by injecting Lymphazurin dye (1%, isosulfan blue) (United States Surgical Corporation, Ben Venue Laboratories Inc., OH, USA). Routinely, we can collect about 80–100 µL of lymph per animal. From each animal, blood was collected from blood vessels exiting the primary tumor as well; in addition, 3 mL of blood was collected by cardiac puncture. The primary tumor and the draining lymph node tissues were collected and processed for histopathology to confirm metastasis. Five microliters of collected lymph (80–100 μ L) from each animal was immediately smeared onto a glass slide and examined under a microscope. A portion of the lymph used to grow LCTCs and another portion was used for other analysis. A portion of the blood was used to grow BCTCs.

2.5. Tumor histology and assessment of metastasis

The primary tumors, lymph nodes, and lung tissues from metastatic tumor-bearing rats (implanted with MTLn3 cells), nonmetastatic tumor-bearing rats (implanted with MTC cells) or the primary site of inoculation, lymph node, and lung tissues from the control rats (injected only with PBS) were used for histopathological analysis. Tissues were fixed in formalin, embedded in paraffin, and 5-µm sections were stained with H&E.

2.6. Lymph- or blood-circulating tumor cells' isolation and propagation

To isolate and propagate the lymph- or the blood-circulating tumor cells, lymph (~50 µL) was mixed with Stem Cell medium EpiCult (STEMCELL, Seattle, WA, USA) in tissue culture dishes and incubated for 5-7 days. Plates were washed several times with PBS, and a fresh stem cell medium was added. To grow cells in 3D culture, cells were transferred to ultralow attachment plates (Corning, Fisher Scientific, Waltham, MA, USA) and were slowly adapted and cultured in Minimal Essential Medium, Alpha (MEM; Sigma), containnonessential amino ing acids (Sigma), and supplemented with 5% fetal bovine serum (FBS; HyClone, Logan, UT, USA). After which their epithelial nature were determined by staining with cytokeratin (AE1/AE3 + 8/18), and CD45 (BD Pharmingen (554875) from BioCare (Pacheco, CA, USA) to exclude the white blood cells using the rat white blood cells as a positive control (purified from the same rat blood cells using Ficoll gradient). Negative controls were prepared by omitting the primary antibodies.

2.7. Activsignal IPAD assay

The cells from lymph allowed to grow to have enough cell number (first passage) were collected and lysed in PBS + 1%NP40 lysis buffer. The lysates were sent to ActivSignal for further processing (http://www.activsignal.com). ActivSignal IPAD platform is a proprietary technology for analyzing the activity of multiple signaling pathways in one reaction. Activities of more than 20 signaling pathways are monitored simultaneously in a single well through assessing expression or protein phosphorylation of 70 target human proteins. The technology allows detection of targets with high specificity and sensitivity due to combination of two distinct antibodies per each target. Each pathway is covered by multiple targets.

2.8. NanoString nCounter analysis

RNA from cells and tissues was harvested using a RNA Isolation Kit (Roche, Basel, Switzerland) as per the manufacturer's instructions. All RNA was

quantified used the DeNovix DS-11 spectrophotometer. Samples were processed for analysis on the Nano-String nCounter Flex system using the 770 gene PanCancer Pathways Plus panel (606 critical genes from 13 canonical cancer pathways, 124 cancer driver genes, and 40 reference genes) and nCounter PanCancer Immune Profiling Panel from NanoString Technologies (Seattle, WA, USA), as per manufacturer's instructions.

2.9. RNA expression analysis

Resource compiler (RCC) data files were imported into NanoString nSolver 3.0 and further analyzed using the PanCancer Pathways Advanced Analysis Module, which normalizes gene expression to a set of positive and negative control genes built into the platform. Using the NCOUNTER ANALYSIS software, we identified a list of genes with significantly altered expression between LCTC, BCTC, LMNs, and primary tumors. The fold change and P-values were calculated using nCounter default settings. As recommended, genes whose expression levels were at or below the level of the negative controls were removed from the analysis. With the remaining list of genes on the PanCancer panel, a filter cutoff of fold change $\geq \pm 1.5$ or $\geq \pm 2$ and *P* value < 0.05 were used to identify the significant gene expression changes based on the nCounter analysis. A pathway score was calculated using nSolver Advanced Analysis from the expression levels of the relevant genes in 13 canonical pathways using measurements of pathway activity values derived from singular value decompositions. This method uses metagenes to represent pathway activity and aims to capture not only over-represented significantly altered genes but also smaller but cumulatively impactful changes within a pathway.

2.10. Lymph chemokine/cytokine determination

Chemokine/cytokine levels in the lymph collected from metastatic tumor-bearing rats, nonmetastatic tumorbearing rats, and normal control rats (total n = 30) and supernatant from cells cultures were measured using MILLIPLEX Rat Expanded Cytokine/Chemokine Magnetic Bead Premixed 27 Immunology Multiplex Assay (EMD Millipore, Billerica, MA, USA) as per the manufacturer's instructions. Standard curves were generated from known concentrations of each cytokine and then used to determine the quantity of cytokine in each sample based on the level of spectrophotometric absorbance of the sample using regression analysis. Each assay was performed in triplicate, and each value shown in the figures is the mean of the triplicate.

2.11. Quantification of real-time PCR

Total RNAs were extracted from LCTCs and BCTCs, using TRIzol RNA Isolation Reagents as per the manufacturer's instructions (Invitrogen, Carlsbad, CA, USA). RNAs were reverse-transcribed by oligo(dT) primer using Superscript RT-PCR kit from Roche, according to the manufacturer's instructions. PCR was performed under the following conditions: 940 °C for 3 min; 94 °C for 30 s; 58 °C for 30 s; 72 °C for 30 s for 40 cycles; and 72 °C for 10 min, using IQ SYBR Green Supermix Kit from Roche. Results were analyzed by the relative quantification method and expressed as relative RNA levels (Δ CT, difference of cycling threshold). Δ CT values represent CT [gene]-CT [GAPDH]; thus, higher values indicate relatively lower expression levels.

2.12. Western blot analysis

Cells were grown in 3D cultures, and proteins were isolated using RIPA buffer (0.5 M Tris/HCl, pH 7.4, 1.5 M NaCl, 2.5% deoxycholic acid, 10% NP-40, 10 mM EDTA). Protein concentrations were determined using Pierce BCA Protein Assay Kit according to the manufacturer's instructions (Thermo Fisher Scientific). Ten micrograms of each sample protein was subjected to SDS/PAGE and transferred to nitrocellulose paper. The blots were reacted sequentially with primary antibodies: HRP-conjugated goat anti-rabbit IgG or goat anti-mouse IgG, and visualized with diaminobenzidine.

2.13. Immunocytochemistry

Cells were fixed with 1 : 1 methanol: acetone and preblocked with 1% bovine serum albumin in PBS. Cells were then incubated with the following antiprimary antibodies: E-cadherin, N-cadherin, CXCR3, CX3CR1 and CXCR4 (Novus, Littleton, CO) at 4 °C overnight, followed by the secondary antibody conjugated with Alexa Fluor 594 or FITC (Jackson ImmunoResearch, West Grove, PA, USA). The cells were mounted with mounting medium containing 1 μ g·mL⁻¹ DAPI (4',6'diamidino-2-phenylindole; Sigma).

2.14. Statistical analysis

All data are presented as means \pm standard deviation (SD). Statistical calculations were performed with

Microsoft Excel analysis tools. Differences between individual groups were analyzed by paired t test. *P*-values of <0.05 were considered statistically significant.

3. Results

3.1. Visualization and mapping of lymphatic vessels allow the isolation of LCTCs before they reach the regional lymph nodes

We developed a novel microsurgical technique for the collection of lymph draining a primary tumor prior to its entry into the SLN. We used metastatic MTLn3 and nonmetastatic MTC cell lines that were transplanted orthotopically into the mammary fat pads of immunocompetent female Fisher 344 rats for the syngeneic model. These two cell lines were isolated from the same parent tumor, 13762NF mammary adenocarcinoma, but differed in their ability to metastasize (Wyckoff et al., 2000). Approximately 10-14 days after injecting the cells, tumors developed in all MTLn3- and MTC-implanted rats. The PBS injection site was free of tumors (negative controls). To visualize and map the afferent lymphatic vessels prior to entering the draining SLNs to make it possible to collect the lymph, we injected approximately 10 µL of lymphazurin dye around the circumference of the primary tumor or the control injection site. After careful dissection of the skin over the tumor and lymph node area, the SLNs and afferent lymphatic vessels were identified by their green color (Fig. 1A,B) and cannulated. This procedure is similar to the procedure that is routinely performed for SLN dissection in women with breast cancer. Routinely, 20-80 µL of lymph per rat was collected before the lymph vessels collapsed. The outcome of this innovative work showed that the collection of lymph draining a primary tumor prior to its entry into the draining SLN was reliable and reproducible and yielded an adequate volume for analysis.

3.2. Lymph node metastasis was confirmed in MTLn3 tumor-bearing animals

Histologic evaluation was used to verify the presence, number, and size of metastases in the SLNs and lungs. Gross whitish colonies of tumor cells were observed in the lymph nodes and lungs of MTLn3 tumor-bearing rats but not in the lymph nodes or lungs of MTC tumor-bearing rats. The metastatic colonies were confirmed to be malignant tumor cells by histopathology (Fig. 1C).

3.3. LCTCs existed in clusters and could be reliably harvested in the MTLn3 tumor-draining lymph prior to their entry into the SLN

In this study, we successfully identified, cannulated, and collected the lymph and LCTCs on their way to the SLN. To ensure that the lymph contained tumor cells, we smeared 5 µL of the collected lymph from each animal onto a microscope glass slide for cytopathologic staining and immunohistochemistry. LCTCs were found in clumps (50-75 cells), and a subset of LCTCs was arranged in pseudoacini (Fig. 1D,E). Blood-circulating tumor cells (BCTCs) were collected in a similar way from blood vessels (data not shown). Both cells in the lymph and the blood were cultured first in 2-dimensional (2D) monolayer cell culture plates (to separate them from white blood cells which do not attach to the bottom of the plate or survive for a long period of time), and the attached tumor cells were washed thoroughly and then propagated in ultralow attachment cell culture plates (Fig. 1F). To confirm the epithelial origin of the cells and exclude an immune cell origin of the propagated cells, we used the accepted CTC characteristics, which include the presence of a nucleus, visible cytoplasm, and the expression of cytokeratin and the absence of CD45 expression (Allard et al., 2004), using both hematoxylin and eosin (H&E) and immunostaining. Both LCTCs and BCTCs are large in size, grow well in vitro in 3D cultures, and stain positive for cytokeratin and negative for CD45, confirming their epithelial origin (Fig. 1G). Together, these data confirmed that it is possible to collect LCTCs and BCTCs as they exit the primary tumor and that these cells can be readily propagated and identified. To avoid the effects of in vitro culture, all LCTC characterizations were performed on cells smeared directly from the lymph, and tumor cells were then collected from the slides or by using the first passage of cells before splitting.

3.4. LCTCs and BCTCs share similar gene profiles that are distinct from those of the primary tumor and LNMs

We then determined whether LCTCs, BCTCs, primary tumors, and synchronous LNMs share similar gene expression profiles indicative of the same origin. RNA was collected from cells directly from the lymph. Gene expression analysis was performed using the 770 known cancer genes from 13 canonical cancer-associated pathways that include MAPK, STS, PI3K, RAS, cell cycle, apoptosis, Hedgehog, Wnt, DNA damage

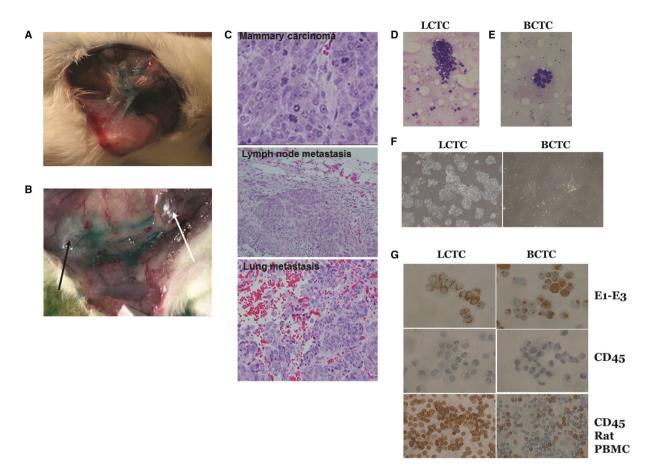


Fig. 1. Lymph vessel visualization, lymph and LCTC collection, identification and growth. (A) Female rats were implanted with metastatic cell line MTLn3, and after tumor formation, Lymphazurin dye was injected around circumference of the tumor, and the skin over the tumor and lymph node area was carefully dissected away to expose the lymphatic vessels. The exposed lymphatic vessels draining from the primary tumor are shown in blue due to staining with the Lymphazurin dye. (B) The exposed primary tumor (white arrow) and the nearest lymph node (black arrow). (C) Representative images of H&E-stained histopathology showing the primary mammary tumor, and tumor cell metastases on the lymph node and lung of MTLn3 tumor-bearing rats (40×). (D) Cluster of LCTCs in directly smeared lymph on glass slides. (E) LCTC acini in directly smeared lymph. (F) LCTC and BCTC growth in culture (10×). (G) LCTCs and BCTCs stained for pancytokeratin (AE1-AE3) and CD45. To confirm their epithelial origin, rat white blood cells were used as a control.

control, transcriptional regulation, chromatin modification, and TGF-B in the NanoString PanCancer Pathways Panel (NanoString Technologies). The principal component analysis was conducted to assess overall gene expression similarity across samples. LCTCs and BCTCs clustered together, while primary tumors and LNMs clustered together, suggesting that gene expression was similar between LCTCs and BCTCs and differed from that of the primary tumor and LNMs despite the same parent cell origin (MTLn3). The differentially expressed genes in LCTCs, BCTCs, and LNMs that exhibited a log2-fold change > 2.0 or < -2.0 and $P \le 0.05$ compared to primary tumors are shown in Fig. 2A-C. In total, 122 and 116 genes exhibited altered expression in LCTCs vs primary tumors and BCTCs vs primary tumors,

respectively. Relative to the primary tumor, LCTCs exhibited an increase in log2-fold expression for GADD55a, BAMBI, STRP4, TSPAN7, DDIT3, IL1a, and CSF3 and a decrease in log2-fold expression for COL1A2, COL5A1, COL5A2, PDGFRB, CARD11, GAQS1, IGF1, SFRP2, and COL3A1. Compared to the primary tumor, BCTCs exhibited increased expression of CSF3, WNT5B, BAMBI, MGMT, MLF1, GADD45A, HSPB1, PLAT, DUSP, and TSPAN7 and decreased expression of COL1A1, PDGFRB, COL3A1, COL5A1, IGF1, COL5A2, CARD11, GAS1, SFRP2, and COL1A2. However, only three genes were upregulated (P < 0.05; $>-2 \log 2$) in BCTCs compared to LCTCs (Fig. 2D,E), given that these cells originated from the same primary tumors, with one cell type found in the blood and the other cell type found in the lymph fluid. These three genes were FUBP1, which plays a role in glucose metabolism, PLAT or tPA, which is a plasminogen activator that maintains blood and lymph fluidity, and FGFR2, which mediates a wide spectrum of cellular responses that are crucial for the development and wound healing. Altered expression of these genes was shown to be associated with cancer progression, survival and death, and migration (Brady *et al.*, 2013; Danø *et al.*, 1985; Li *et al.*, 2016).

To examine our differential gene expression analysis from a pathway perspective rather than the level of individual genes, we performed pathway score analysis, which summarizes the data from the genes in a pathway with a single score. This approach helps in understanding which pathway scores cluster together and which samples exhibit similar pathway scores. A heat map of pathway scores that provides a high-level overview of how the pathway scores change across samples is presented in Fig. 3A. All 13 pathways examined had lower scores in BCTCs and LCTCs than in the primary tumor and LNMs (Fig. 3A). Figure 3B shows box-and-whisker plots comparing the scores of some selected pathways.

We then used gene set analysis to assess the importance of the 13 examined canonical pathway activities in LCTCs, BCTCs, and LNMs relative to primary tumor cells. Global significance statistics were used to analyze cumulative evidence for the differential expression of genes in each pathway. Among the significantly (P < 0.05) altered pathways were JAK/STAT and PI3K, which had the lowest scores in both LCTCs and BCTCs compared to primary tumors (Fig. 3C). In contrast, the TGF- β , PI3K, apoptosis, cell cycle, and

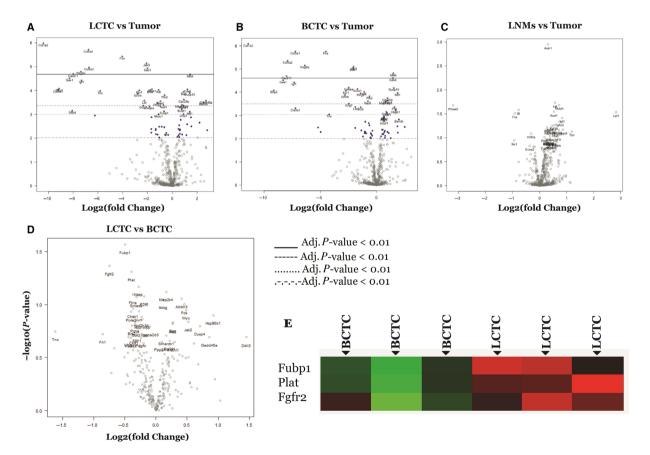


Fig. 2. Volcano plot displaying differentially expressed genes between LCTC, BCTC, and LNMs (using the primary tumor as reference). The y-axis corresponds to the mean expression value of \log^{10} (*P*-value), and *x*-axis displays the log2-fold change value. Highly statistically significant genes appear at the top of the plot above the horizontal lines (various *P*-values threshold indicated): *P* < 0.05, *P*, 01, *P* < 0.5, and highly differentially expressed genes are plotted at either side of zero. Genes were considered significant as indicated in the figure. Only genes in significant range are colored and named. The 40 most statistically significant genes of LCTCs vs. primary tumor are shown in (A), BCTCs vs. primary tumor are shown in (B), LMNs vs. primary tumor are shown in (C), and LCTCs vs. BCTCs are shown in (D) are labeled in the plot. (E) Differentially expressed genes between LCTC and BCTC. Green = overexpressed gene; Red = underexpressed genes; black = no change in expression.

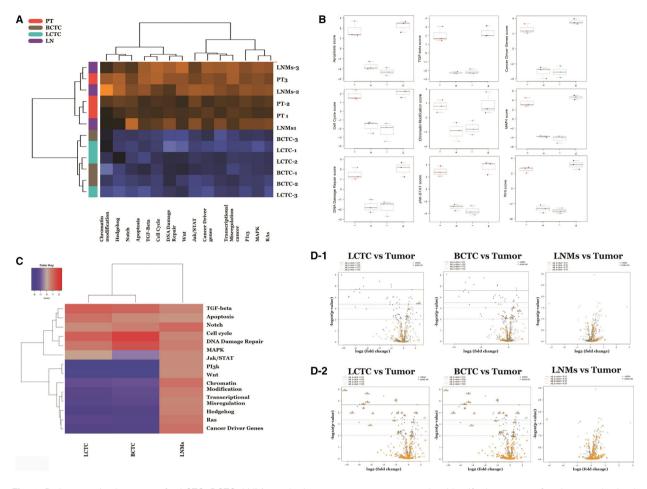


Fig. 3. Pathway activation scores for LCTC, BCTC, LNMs, and primary tumor were compared to identify the pattern of pathway activation in each. (A) LCTC and BCTC exhibit a common profile of pathway activation, while primary tumor and LNMs exhibit a common profile of pathway activation. Pathway activation score was calculated using the cumulative increase or decrease in abundance of all genes which mapped to that functional pathway. Orange indicates high scores; blue indicates low scores. Scores are displayed on the same scale via a Z-transformation. (B) Box-and-whisker plots showing apoptosis pathway score levels in LCTC (I), BCTC (b), primary tumor (t), and LNMs (In). (C) Gene set analysis showing the variations in global significance scores among the gene sets in each sample. (D) Volcano plots displaying each gene's -log10 (*P*-value) and log2-fold change for the selected covariate (Fig. 3D1). Showing volcano blots for TGF-beta and (Fig. 3D2) showing volcano blots for PI3K. Highly statistically significant genes fall at the top of the plot, and highly differentially expressed genes fall to either side. Genes within the selected gene set are highlighted in orange. Horizontal lines indicate various *P*-value thresholds.

DNA damage repair pathways had high scores in both LCTCs and BCTCs compared with those in primary tumors (Fig. 3C). Volcano plots displaying each gene's -log10 (*P*-value) and log2-fold change for the selected covariate were used. Figure 3D1 shows volcano blots for TGF-beta, and Fig. 3D2 shows volcano blots for PI3K signaling pathways.

3.5. LCTC and BCTC protein expression and phosphorylation status

To confirm the expression of the above signaling pathways at the protein level, we used the immuno-pairedantibody detection (IPAD) system provided by ActivSignal, Inc. (Natick, MA, USA). This analysis provides information on the phosphorylation states, protein levels, and cleavage of more than 60 signaling factors that cover more than 20 major signaling pathways. IPAD analysis revealed the significant upregulation of proteins that affect the DNA damage response, the cell cycle, apoptosis, epithelial-mesenchymal transition (EMT), and the TGF β and EGFR pathways in LCTCs and BCTCs (Fig. 4), confirming our gene expression data. Specifically, we show changes in cell cycle progression (the upregulation of p27) (Fig. 4A), the activation of DNA damage repair (decreased phosphorylation of histone H2AX and Chk2) (Fig. 4B), and the activation of apoptosis, EGFR, TGF- β , and JAK/STAT (increased phosphorylation of Smad, Mek-1, and p-44) (Fig. 4C–F) and EMT (the upregulation of Mek-1 and p-44) (Fig. 4G). However, we observed lower expression and phosphorylation of p-27, Mek-1, and p-44 in lymph node metastases (LNMs) than in the other cell types.

In addition, the IPAD analysis revealed other pathways that were not shown to be altered in our gene expression analysis. These pathways include NF- κ B, the heat shock response, and the unfolded protein response, which were similar in all samples, and signaling pathways that were upregulated only in LCTCs and BCTCs, such as mTOR, insulin receptors, and IGF1R. Collectively, the gene and protein expression data indicate the upregulation of signaling pathways involved in cell motility (EGFR, EGF, ErbB2, IGF-IR, and tPA) and signaling pathways involved in angiogenesis (VEGFR and PDGFR) (Fig. 4H), cell proliferation, and DNA repair.

3.6. LCTCs display a hybrid E/M phenotype

Because our transcriptional and translational analyses showed upregulation of the TGF- β pathway and EMT inducer, in LCTCs and BCTCs, we assessed the E/M phenotypes of LCTCs and BCTCs by measuring E/M markers. During the EMT process, cells lose the epithelial markers E-cadherin and cytokeratin and gain the mesenchymal markers vimentin and N-cadherin (Hollier *et al.*, 2009). The loss of these intercellular adhesion molecules allows cells to become motile and enter the bloodstream or lymphatic system (Thiery *et al.*, 2009). BCTCs exclusively expressed the mesenchymal markers N-cadherin and vimentin, whereas LCTCs expressed vimentin and the epithelial

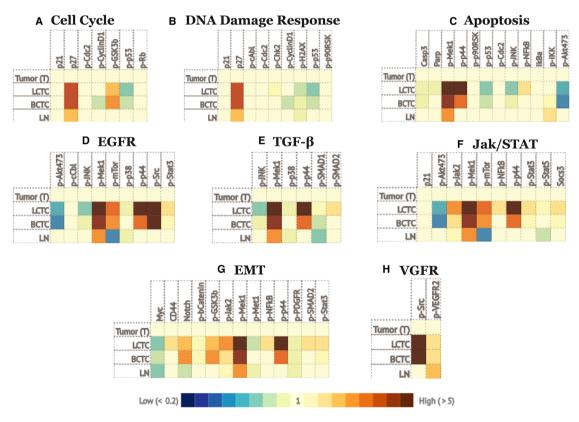


Fig. 4. Signaling pathways alerted in LCTCs, BCTCs, and LNMs relative to primary tumor. Heat maps demonstrating changes of cell cycle (A), DNA damage response (B), apoptosis (C), EGFR (D), TGF- β (E), JAK/STAT (F), EMT (G), VGFR (H) signaling pathways in LCTC, BCTC, and LNMs relative to primary tumor. The analysis was performed using IPAD technology by ActivSignal, Inc. The graph shows the major proteins involved in each pathway. The heat map presents three gradations of color intensities corresponding to 1.2, 1.8, and 2.4 and higher fold increase or decrease in IPAD values over primary tumor. Translation of the IPAD values to actual change in the activity of signaling molecules depends on the target. On average, 1.8-fold change in IPAD values corresponds to threefold change in the target activity. Each heat map represents three samples from three independent animals.

phenotype markers E-cadherin and N-cadherin (Fig. 5A and C). LCTCs and BCTCs expressed similar levels of the EMT transcriptional factors TWIST1, ZEB1, ZEB2, SNAI1, SNAI2, and BMI1 (Fig. 5B), which organize entrance into a mesenchymal state by suppressing the expression of epithelial markers and inducing the expression of other mesenchymal markers (Thiery et al., 2009). These LCTC phenotypic characteristics were consistent with the hybrid E/M state, which represents a partial or intermediate E/M phenotype (Sulaiman et al., 2018). Cells with the hybrid E/M phenotype have both epithelial properties, such as adhesion, and mesenchymal properties, such as migration (Jolly, 2015). These properties allow these cells to move collectively as clusters. Cells in clusters can exit the bloodstream more efficiently, are more resistant to apoptosis, and can be up to 50 times more metastatic than individually migrating cells (Jolly, 2015). Furthermore, EMT has been associated with epithelial and carcinoma stem cell properties (Mani et al., 2008).

3.7. LCTCs display cancer stem cell properties and have a higher propensity than BCTCs to form mammospheres in culture and to form tumors *in vivo*

The induction of EMT in immortalized human mammary epithelial cells results in the acquisition of mesenchymal traits and the expression of stem cell markers, resulting in an increased ability to form mammospheres, a property associated with mammary epithelial stem cells (Mani et al., 2008). Therefore, we reasoned that cancer stem cells (CSCs) or tumor-initiating cells must be a component of LCTCs and BCTCs. Using immunohistochemistry and immunofluorescence, we examined the CSC properties of LCTCs and BCTCs using the accepted breast cancer stem markers CD29, CD44, and CD24 (Al-Hajj et al., 2003; Karsten and Goletz, 2013; Ouhtit et al., 2007; Shackleton et al., 2006). LCTCs were enriched in cells that are CD29⁺, CD44⁺, and CD24⁺, whereas BCTCs were enriched in cells that express CD29⁺ and CD44⁺ but

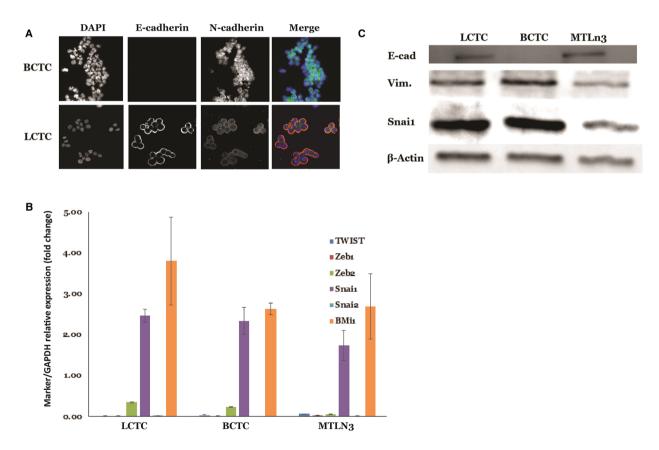


Fig. 5. Epithelial–mesenchymal transition phenotype and markers expressed by LCTCs, BCTCs, and MTLn3. (A) EMT was recorded through immunofluorescence after cells were fixed and stained with anti-E-cadherin (red) and anti-N-cadherin (green) antibody visualization through confocal microscopy with $40 \times$. (B) Fold change of mRNA expression relative to GAPDH of selected EMT markers (mean of three samples from different three animals, error bars denote \pm SD). (C) Immunoblotting analysis of EMT markers. β -actin was used as a loading control.

are CD24⁻ or CD24^{low} (Fig. 6A). Compared to BCTCs and MTLn3 cells, LCTCs also expressed high levels of additional CSC markers, such as NANOG, MMP9, and ALDH1 (Ginestier et al., 2007) (Fig. 6B,C). These data suggest that LCTCs have CSC-like properties, as demonstrated by the expression of CD29⁺/CD44⁺/ CD24⁺ surface markers and high levels of ALDH1, which have been shown to increase in cells with stem/ progenitor properties (Ginestier et al., 2007). By contrast, BCTCs included cells that had the phenotype CD29⁺/CD44⁺/CD24^{low}. To further assess the self-renewal properties of LCTCs and BCTCs, we performed two assays that are routinely used to assess cancer cell stemness, in vitro spherical colony or mammosphere formation (Dontu, 2003) and in vivo tumor formation in immunocompromised mice (Grosse-Wilde et al., 2015). Both LCTCs and BCTCs grew as nonadherent mammospheres in ultralow attachment plates; however, LCTCs formed ten times more mammospheres than BCTCs, showing the unique self-renewal ability of LCTCs (Fig. 6D). Next, we examined the tumorinitiating capacities of LCTCs, BCTCs, and the parent MTLn3 cells. To this end, we transplanted cells, after sorting using fluorescence-activated cell sorting (FACS) in limiting dilutions, into the mammary fat pads of female immunocompetent rats. We observed that 1×10^6 cells were the threshold concentration for successful colonization and tumor formation for MTLn3 cells and BCTCs (Fig. 6E). BCTCs and MTLn3 cells at concentrations of 1×10^3 or 2×10^5 cells, respectively, failed to form tumors during the 6 weeks following implantation. In contrast, the injection of 2×10^3 , 2×10^5 , or 1×10^6 LCTCs resulted in visible, large tumors within 2 weeks in all three rats

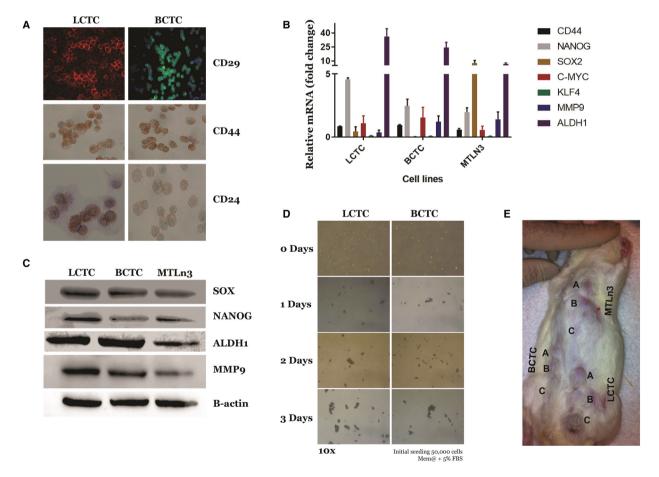


Fig. 6. Cancer stem cell signatures of LCTCs and BCTCs. (A) Immunofluorescence analysis of CSC markers CD29 (20X), CD44, and CD24 (40×) in LCTC and BCTC. (B) RT-qPCR analysis of stem cell transcriptional factor mRNA levels relative to GAPDH (mean of three samples from three different animals, error bars denote \pm SD). (C) Immunoblotting analysis of selected stem cell markers. (D) Representative images of mammospheres at 14 days postseeding of LCTC and BCTC (magnification 10×). (E) Representative image of tumor formation in rat implanted with various numbers A = 1 × 10⁶, B = 2 × 10⁵, C = 1 × 10⁵ of LCTCs, BCTCs, and MTLn3 cells. Three independent samples from three different animals.

(Fig. 6E). These data demonstrated that in contrast to BCTCs, LCTCs possess stem-like properties and have the ability to self-renew and efficiently form tumors.

3.8. LCTCs and BCTCs downregulate antigen presentation pathways to escape the immune response

We next examined the immune profiles of LCTCs, BCTCs, and LNMs compared to that of the primary tumor to understand why these cells are not detected by the immune system, either in the blood or in the lymph. We performed targeted gene expression profiling using a custom 795-gene NanoString Panel composed of immune-related genes and genes pertaining to common cancer signaling pathways (NanoString Technologies). Our undirected and directed global significance analyses (Fig. 7A) showed that the tumor necrosis factor (TNF) superfamily pathway was upregulated in LCTCs and BCTCs but not in LNMs compared with primary tumors. Although TNF is mainly produced by lymphocytes, it is also produced by tumor cells (Balkwill, 2009; Wajant, 2009) and affects cellular processes such as apoptosis, necrosis, angiogenesis, immune cell activation, differentiation, and cell migration (Egberts et al., 2008). On the other hand, the antigen presentation, pathogen response, and major histocompatibility complex (MHC) were among the significantly downregulated immune pathways in LCTCs and BCTCs compared to primary tumors. These data suggest that LCTCs and BCTCs undergo immune escape and become invisible by downregulating the antigen-processing machinery. This work, for the first time, shed light on how circulating tumor cells in the lymph evade the immune system.

3.9. The lymph immune microenvironment

We next examined the cytokines/chemokines and growth factors in the primary tumor-draining lymph (tumor-derived factors) prior to lymph node entry to determine which factors may protect LCTCs, promote their migration to the lymph nodes, and aid in premetastatic niche formation. A multiplex assay consisting of 27 cytokines, chemokines, and growth factors was used to profile these factors in lymph from metastatic tumor-bearing, nonmetastatic tumor-bearing, and non-tumor-bearing control rats. Of the 27 cytokines/ chemokines examined, 19 had > 2-fold increases in the metastatic lymph relative to the normal lymph (Fig. 7B). EGF, TNF- α , IFN- γ -induced protein 10 (IP-10 or CXCL10), vascular endothelial growth factor (VEGF), and fractalkine (CX3CL1) showed > 10-fold

increases, and interleukin 18 (IL-18) showed a > 70fold increase in the metastatic lymph compared to the normal lymph (Fig. 7B). These cytokines/chemokines and growth factors play an important role in stimulating immune responses, immune cell chemotaxis, and tumor cell migration, invasion, and metastasis (Moriai *et al.*, 2009; Ran *et al.*, 2010; Wei *et al.*, 2015; Yang *et al.*, 2015).

Comparing the lymph from animals with tumors and metastases to that of animals with tumors but without metastases, EGF, and keratinocyte chemoattractant/human growth-regulated oncogene (GRO KC or CXCL1) showed > 10-fold increases in the metastatic lymph compared to the nonmetastatic lymph (Fig. 7C). EGF is released by cells and then binds to its receptor (EGFR) on either the cell itself, stimulating its own growth, or on neighboring cells, stimulating the ability of the cells to divide (Goodsell, 2003), while CXCL1 (bind to CXCR2) plays a role in the immune response, attracts CD11b⁺Gr1⁺ myeloid cells into the tumor, and enhances the survival of tumor cells facing the challenge of invading new microenvironments, tipping the balance from immune protection to tumor promotion (Acharyya et al., 2012; Yan et al., 2010). Moreover, IL-12p, which stimulates IFN-γ production and activates both innate (NK cells) and adaptive (cytotoxic T lymphocytes) immunity (Jakóbisiak et al., 2003; Lasek et al., 2014), IFN-y, and other cytokines involved in T-cell stimulation and differentiation, macrophage activation, and class II MHC expression (Borsellino et al., 1999; Lin et al., 2017) were not detected in the metastatic lymph in appreciable amounts relative to the those in the nonmetastatic lymph (Fig. 7C). These data suggest that the metastatic lymph microenvironment is enriched in molecules that stimulate immune responses, immune cell chemotaxis, and tumor cell migration, invasion, and metastasis but is not enriched in molecules that stimulate T lymphocyte activation and gene processing and presentation, favoring the formation of an immunosuppressive microenvironment.

3.10. Primary tumor-derived factors in the lymph are also produced by LCTCs and act in a paracrine manner

To determine whether the primary tumor-derived factors produced in the lymph fluid were also produced by LCTCs and BCTCs, we performed the same multiplex assays using growth medium from LCTCs, BCTCs, and the MTLn3 cell line. The LCTCs produced > 10-fold higher fractalkine (CX3CL1), IP-10 (CXCL10, which binds to CXCR3), macrophage

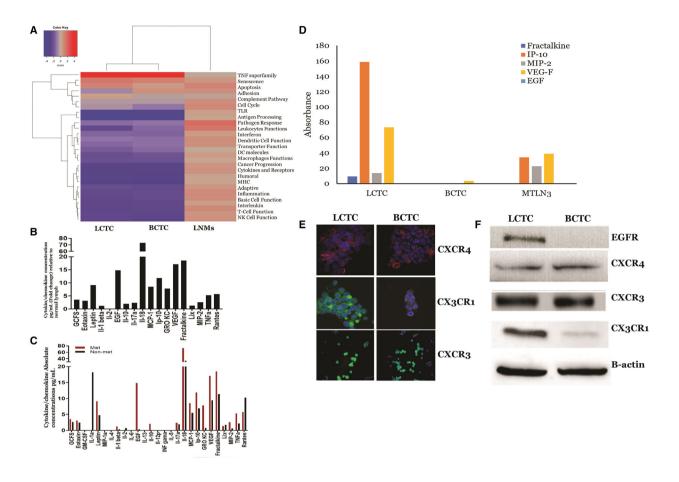


Fig. 7. Heat map plot of directed global significance score and multiplex quantification of cytokines and chemokines using the multiplex map rat cytokine/chemokine magnetic bead 27-plex immunoassays. (A) The map displays the extent to which a gene set's genes are up- or downregulated with the variables showing the significantly expressed immune pathways in LCTCs, BCTCs, and LMNs compared to primary tumors. (B) A panel of cytokines, chemokines, and growth factor were measured in lymph from metastatic tumor-bearing animals relative to that of the lymph from normal animals. (C) Absolute mean concentration $pg \cdot mL^{-1}$ of cytokines, chemokines, and growth factors in the lymph of metastatic tumor-bearing and nonmetastatic tumor-bearing animals. (D) Absolute mean concentration $pg \cdot mL^{-1}$ of cytokines, chemokines and chemokines and chemokines released by LCTCs, BCTCs, and MTLn3 cells detected in 3D culture medium supernatants. (E) CXCR4, CX3CR1, and CXCR3 expression in LCTCs and BCTCs was detected by immunocytochemistry, and the results were visualized by confocal microscopy. (F) Immunoblotting of EGFR, CXCR3, CX3CR1, and CXCR4. β -actin used as loading control. n=three samples from three animals.

inflammatory protein 2 (MIP-2, CXCl2/CXCl1), and VEGF levels than the BCTCs (Fig. 7D). However, none of the cells produced detectable EGF levels (Fig. 7D). Finally, we examined LCTCs and BCTCs for the receptors of these factors and found that LCTCs expressed the EGFR protein, while BCTCs did not express EGFR (Fig. 7F). Compared to BCTCs, LCTCs expressed high levels of the CX3CR1 protein (CX3CL1 receptor) but similar protein levels of CXCR3 (CXCL10 receptor) (Fig. 7E,F). Additionally, we compared our data for CXCR4, which is known to be expressed in peripheral blood CTCs from breast cancer patients (Mego *et al.*, 2016). We found that both LCTCs and BCTCs expressed CXCR4 (Fig. 7E,F). These data suggest that the cytokines and chemokines found in the lymph are partly produced by LCTCs and may function in a paracrine manner. In addition, our data may provide some evidence that interactions between LCTCs and the tumor-associated lymph microenvironment could establish a potential positive-feedback loop that contributes to lymph node metastasis. This result also suggests that BCTCs differ from LCTCs and may produce different factors to survive in the blood microenvironment.

4. Discussion

Analyzing the phenotypic and molecular characteristics of LCTCs and BCTCs as they exit the primary tumor and identifying the factors that orchestrate their metastatic potential is an important step for understanding the biology of these cells and the metastasis process. These characteristics may not be evident through an analysis of bulk primary or metastatic tumor populations (Aceto et al., 2014) or even peripheral CTCs (P-CTCs) alone (Alix-Panabières and Pantel, 2014). P-CTCs are derived from many sources that include primary tumors, metastatic lesions in different organs, and tumor cells existing in the lymph nodes; therefore, these cells may have altered phenotypes/genotypes depending on their organ of origin (O'Flaherty et al., 2012). Here, we demonstrated that it is possible to identify the afferent lymphatic vessels and collect the lymph fluid and tumor cells therein before they reach the regional lymph nodes, as well as BCTCs as they exit the primary tumor. Thus, examining the intercellular and extracellular properties and microenvironments of tumor cells (LCTCs and BCTCs) as they exit the primary tumor in comparison with each other, the primary tumor and LNMs may provide critical information about cancer biology and the metastatic process, which has important clinical implications.

To our knowledge, our study is the first to study tumor cells as they exit the primary tumor into the lymph en route to the lymph node. Here, we accurately identified LCTCs and BCTCs and found that LCTCs exist in clusters or clumps of 10-75 cells (Fig. 1C). Given the short distance between the tumor and the SLN and the one-way nature of cell traffic, we believe these clusters originated from the primary tumor and were not a result of multidirectional movement (Kim et al., 2009); therefore, these cells represent pure cells coming from the primary tumor and not a mixture of cells from the primary tumor and metastases, as is the case for P-CTCs. Clumps of tumor cells in the blood were initially observed by Liotta et al. (1974) and were suggested to arise from oligoclonal tumor cell groupings and not from intravascular aggregation (Aceto et al., 2014). We observed that LCTCs exist as clumps along the lymphatic vessels (data not shown), suggesting that they move as cohesive clusters. This observation is supported by intravital imaging studies that showed that cell clusters rather than single cells invaded through the lymphatic system instead of the blood circulation (Giampieri et al., 2009), suggesting that single cell motility is essential for blood-borne metastasis, while cohesive invasion is involved in lymphatic spread (Giampieri et al., 2009). Unlike LCTCs,

Lymph vs. blood tumor cells in metastasis

large P-CTC clusters are rare in the peripheral venous circulation and constitute only approximately 2.6% of the total P-CTC population (Aceto *et al.*, 2014). P-CTC clusters have been known for many years to seed colonies with greater efficiency and were recently reported to have 50 times greater metastatic potential than individual P-CTCs (Aceto *et al.*, 2014; Fidler, 1973). This behavior of cell clusters was reported to be due to a number of factors, including protection against anchorage-dependent apoptosis (Hou *et al.*, 2012) and shielding from assault by immune cells (Hong and Zu, 2013).

We then investigated the molecular characteristics (transcriptome, proteome, and immune landscapes) of these living tumor cell clusters as they exit the primary tumor en route to the lymph node and compared them to those of LNMs, the primary tumor, and BCTCs. Although in our study, all tumor cells (primary tumor, LNMs, LCTCs, and BCTCs) originated from a single parent tumor cell line (MTLn3), we found striking differences in the gene expression and pathway scores of tumor cells engaged in their microenvironments (primary tumor and LNMs) and those of lymph- or blood-circulating cells (LCTCs and BCTCs). Our findings are consistent with those of studies that reported that P-CTCs are biologically different from primary tumors (Babayan et al., 2013; Pestrin et al., 2009). The detachment of cancer cells from primary tumors and their ability to survive outside their natural extracellular matrix niches may lead these circulating cells to undergo dramatic biological changes (Zhong and Rescorla, 2012). These findings have tremendous implications for cancer treatment because primary tumor molecular characterization currently plays an important role in the treatment strategies as well as the prognosis of breast cancer; therefore, reliance on the primary tumor characteristics can be misleading (Aktas et al., 2016; Babayan et al., 2013; Paoletti et al., 2016; Pestrin et al., 2009; Rossi et al., 2015).

Our data also showed that most of the pathways examined were downregulated in LCTCs and BCTCs compared to primary tumors and LNMs, except for pathways that control DNA repair, the cell cycle, apoptosis, and TGF- β . The upregulation of apoptosis, cell cycle, and DNA damage repair pathways may constitute strategies by which LCTCs and BCTCs survive stressful conditions by initiating complex signaling networks to monitor the integrity of the genome during replication and initiate cell cycle arrest, repair, or apoptotic responses if errors are detected (Ashwell and Zabludoff, 2008). Enhanced DNA repair capabilities were reported previously in CTCs from breast cancer compared to primary tumors. This finding is important and has clinical implications, especially when treating cancer patients with DNA-damaging therapies, such as anthracyclines and platinums, which are known DNA-damaging drugs that are routinely used for breast cancer treatment (Gong *et al.*, 2015).

Our data also showed that there were striking differences between LCTCs and BCTCs. LCTCs but not BCTCs exhibited altered TGF- β and EMT pathways and were found in clusters. One of the characteristics of these cell clusters is the coexpression of E/M markers, which is known as hybrid or partial EMT (Yu *et al.*, 2013). In fact, LCTCs but not BCTCs exhibited a hybrid EMT phenotype, which indicates that LCTCs have mixed epithelial and mesenchymal properties, thereby allowing them to move collectively as clusters (Fair, 1972).

As we mentioned earlier, cells in clusters were characterized by a higher metastatic potential than cells that were not in clusters and could predict a poor prognosis in breast cancer patients (Bulfoni et al., 2016). It was also shown that these clusters are more capable of initiating metastatic lesions than cancer cells that are moving individually with a wholly mesenchymal phenotype, having undergone complete EMT (Bulfoni et al., 2016; Fair, 1972). This tumorinitiating capability is an attribute of stemness-like properties that drive metastasis and reoccurrence (Grosse-Wilde et al., 2015). The CSCs were shown to coexpress epithelial markers (CD24 or ALDH1) and mesenchymal markers (CD44) (Al-Hajj et al., 2003; Fillmore and Kuperwasser, 2008), as we have shown that LCTCs coexpress the CSC markers CD24, CD44, and ALDH1 (Fig. 7A), and BCTCs express only CD44 and ALDH1. This result is supported by a few recent studies that suggested that cells in a hybrid or partial EMT state are most likely than cells in a pure epithelial or pure mesenchymal state to exhibit stemness (Grosse-Wilde et al., 2015). Furthermore, the coexpression of both epithelial and mesenchymal genes in the same cell promotes mammosphere formation and stemness (Grosse-Wilde et al., 2015). Collectively, our findings showed that compared to BCTCs, LCTC clusters exhibit hybrid E/M and stemness properties and therefore constitute extraordinarily efficient metastatic precursors in breast cancer. These data comparing LCTCs to BCTCs as they exit the primary tumor allowed for the identification of a specific signature of LCTCs that provides crucial information on their stem cell properties, as well as their ability to initiate and support the formation of LNMs. More studies are needed to further elucidate the characteristics of these cells and investigate the specific molecular mechanisms involved in

breast cancer progression and the development of new drugs to inhibit metastasis.

Despite the immunological power of lymph nodes, tumor cells are able to avoid immune surveillance in the lymph fluid and the lymph node, colonize the lymph node, and then migrate to distant sites. Innate and adaptive immune responses that include macrophages, natural killer cells, interferon-c (IFN-c) secretion, and CD8⁺ cytotoxic T lymphocytes (CTLs) constitute the immunosurveillance mechanisms by which transformed cells are eliminated (Kim et al., 2007). Under this immunosurveillance mechanism, tumor cells in the lymph may develop a phenotype that helps them avoid recognition by the immune system. Consistent with this understanding, we found that LCTCs exhibit a distinct nonimmunogenic phenotype by downregulating gene processing and presentation and MHC pathways, which may significantly impair the ability of $CD8^+$ CTLs to recognize these cells, allowing LCTCs to survive undetected despite the presence of immune cells and supporting progression and the colonization of the lymph node (Vesely and Schreiber, 2013). The evasion of the immune response is a significant event in tumor development and is considered one of the hallmarks of cancer. Therefore, distinct therapeutic strategies, which depend on the biology and mechanism of immune evasion exploited by tumor cells in the lymph, may be required for restoring productive cancer immunosurveillance.

Furthermore, accumulating evidence suggests that the primary tumor releases molecules that influence the microenvironment of the SLN and make them a permissive site, known as the premetastatic niche, for receiving disseminated tumor cells and thus promoting cell proliferation and subsequent metastases (Pereira et al., 2015). We reasoned that the analysis of the tumor-draining lymph may help us identify some of these factors. We showed that the metastatic lymph contains secreted factors that differ in type and expression levels from those found in the normal and nonmetastatic lymph. Specifically, the metastatic lymph had high levels of EGF, while this growth factor was not detected in the nonmetastatic lymph. Moreover, we showed that LCTCs but not BCTCs express EGFR. EGF/EGFR-induced signaling is associated with organ morphogenesis, maintenance, and repair, as well as tumor invasion and metastasis (Rosen and Goldberg, 1989; Wells, 2000). Collectively, our data showed that the activation of EGF/EGFR signaling in the lymph and LCTCs may create a microenvironment that is conducive to metastasis, providing a rationale for efforts to inhibit EGFR signaling in lymph metastases. However, the significance of EGFR signaling in BCTCs may need to be re-evaluated.

We then assessed whether LCTCs contributed to the cytokine/chemokine pool found in lymph fluid. We showed that LCTCs released the IP-10 (CXCL10), VEGF, fractalkine (CX3CL1), and MIP-2 (CXCl2) cytokines, which were produced at high levels in the metastatic lymph (Fig. 7B). In addition, LCTCs expressed the receptors for the cytokines CX3Cl1, CXCl10, CX3CR1, and CXCR3. Our data suggest that cytokines and growth factors released by the tumor microenvironment in the lymph and LCTCs themselves may represent extracellular triggers that control the migration programs of LCTCs (Odenthal et al., 2016). The CX3CR1/CX3CL1 and CXCR3/ CXCL10 axes have been demonstrated to be involved in the proliferation, survival, and metastasis of various malignant tumor types, including breast cancer, and were suggested to predict the site of metastatic relapse (Andre et al., 2006; Zhu et al., 2015). These studies support the continued examination of the CX3CR1/ CX3CL1 and CXCR3/CXCL10 axes as potential therapeutic targets in patients with breast cancer.

5. Conclusions

In conclusion, we now have the capability to routinely characterize the molecular and cellular composition of tumor-derived native lymph in transit to the draining SLN. This approach will provide a new level of information that is highly relevant to our understanding of metastasis. Moreover, the contribution of LCTCs to the overall metastatic process is not fully understood, and the percentage of tumor-draining lymph cells that enter the general hematogenous circulation is unknown. The answers to these questions will provide important insights into the molecular characteristics of metastasis.

Acknowledgements

The work was supported by DOD concept award # W81XWH-04-1-0747 and NIH/NCI grant # 1R21CA199621-01A1. We thank Dr. Chun-Ju Chang and Mi Ran Kim for their help with EMT confocal image and Dr. Segall from Albert Einstein College of Medicine, Bronx, NY, for providing the MTLn3 and MTC cell lines.

Conflict of interest

The authors declare no conflict of interest

Author contributions

SM designed the project, analyzed and interpreted the data, and wrote the paper. OT acquired the data. FL and EW helped with lymph collections.

References

- Aceto N, Bardia A, Miyamoto DT, Donaldson MC, Wittner BS, Spencer JA, Yu M, Pely A, Engstrom A, Zhu H *et al.* (2014) Circulating tumor cell clusters are oligoclonal precursors of breast cancer metastasis. *Cell* **158**, 1110–1122.
- Acharyya S, Oskarsson T, Vanharanta S, Malladi S, Kim J, Morris PG, Manova-Todorova K, Leversha M, Hogg N, Seshan VE *et al.* (2012) A CXCL1 paracrine network links cancer chemoresistance and metastasis. *Cell* **150**, 165–178.
- Aktas B, Kasimir-Bauer S, Müller V, Janni W, Fehm T, Wallwiener D, Pantel K and Tewes M (2016)
 Comparison of the HER2, estrogen and progesterone receptor expression profile of primary tumor, metastases and circulating tumor cells in metastatic breast cancer patients. *BMC Cancer* 16.
- Al-Hajj M, Wicha MS, Benito-Hernandez A, Morrison SJ and Clarke MF (2003) Prospective identification of tumorigenic breast cancer cells. *Proc Natl Acad Sci* USA 100, 3983–3988.
- Alix-Panabières C and Pantel K (2014) Challenges in circulating tumour cell research. *Nat Rev Cancer* 14, 623–631.
- Allard WJ, Matera J, Miller MC, Repollet M, Connelly MC, Rao C, Tibbe AGJ, Uhr JW and Terstappen LWMM (2004) Tumor cells circulate in the peripheral blood of all major carcinomas but not in healthy subjects or patients with nonmalignant diseases. *Clin Cancer Res* 10, 6897–6904.
- Andre F, Cabioglu N, Assi H, Sabourin JC, Delaloge S, Sahin A, Broglio K, Spano JP, Combadiere C, Bucana C et al. (2006) Expression of chemokine receptors predicts the site of metastatic relapse in patients with axillary node positive primary breast cancer. Ann Oncol 17, 945–951.
- Ashwell S and Zabludoff S (2008) DNA damage detection and repair pathways-recent advances with inhibitors of checkpoint kinases in cancer therapy. *Clin Cancer Res* **14**, 4032–4037.
- Babayan A, Hannemann J, Spotter J, Muller V, Pantel K and Joosse SA (2013) Heterogeneity of estrogen receptor expression in circulating tumor cells from metastatic breast cancer patients. *PLoS ONE* **8**, e75038.
- Balkwill F (2009) Tumour necrosis factor and cancer. *Nat Rev Cancer* **9**, 361–371.
- Borsellino N, Bonavida B, Ciliberto G, Toniatti C, Travali S and D'Alessandro N (1999) Blocking signaling

through the Gp130 receptor chain by interleukin-6 and oncostatin M inhibits PC-3 cell growth and sensitizes the tumor cells to etoposide and cisplatin-mediated cytotoxicity. *Cancer* **85**, 134–144.

Brady N, Chuntova P, Bade LK and Schwertfeger KL (2013) The FGF/FGFR axis as a therapeutic target in breast cancer. *Expert Rev Endocrinol Metab* **8**, 391–402.

Bulfoni M, Gerratana L, Del Ben F, Marzinotto S, Sorrentino M, Turetta M, Scoles G, Toffoletto B, Isola M, Beltrami CA *et al.* (2016) In patients with metastatic breast cancer the identification of circulating tumor cells in epithelial-to-mesenchymal transition is associated with a poor prognosis. *Breast Cancer Res* 18, 30.

Chen SL, Iddings DM, Scheri RP and Bilchik AJ (2006) Lymphatic mapping and sentinel node analysis: current concepts and applications. *CA Cancer J Clin* **56**, 292–309.

Danø K, Andreasen PA, Grøndahl-Hansen J, Kristensen P, Nielsen LS and Skriver L (1985) Plasminogen activators, tissue degradation, and cancer. *Adv Cancer Res* 44, 139–266.

Dontu G (2003) In vitro propagation and transcriptional profiling of human mammary stem/progenitor cells. *Genes Dev* **17**, 1253–1270.

Egberts J-H, Cloosters V, Noack A, Schniewind B, Thon L, Klose S, Kettler B, von Forstner C, Kneitz C, Tepel J *et al.* (2008) Anti-tumor necrosis factor therapy inhibits pancreatic tumor growth and metastasis. *Cancer Res* **68**, 1443–1450.

Fair R (1972) Incidence of glaucoma in optometric practice–an eight year evaluation of 6,580 tonograms. *Am J Optom Arch Am Acad Optom* **49**, 754–761.

Fidler IJ (1973) The relationship of embolic homogeneity, number, size and viability to the incidence of experimental metastasis. *Eur J Cancer* **9**, 223–227.

Fillmore CM and Kuperwasser C (2008) Human breast cancer cell lines contain stem-like cells that self-renew, give rise to phenotypically diverse progeny and survive chemotherapy. *Breast Cancer Res* 10, R25.

Giampieri S, Manning C, Hooper S, Jones L, Hill CS and Sahai E (2009) Localized and reversible TGFβ signalling switches breast cancer cells from cohesive to single cell motility. *Nat Cell Biol* **11**, 1287–1296.

Ginestier C, Hur MH, Charafe-Jauffret E, Monville F, Dutcher J, Brown M, Jacquemier J, Viens P, Kleer CG, Liu S *et al.* (2007) ALDH1 is a marker of normal and malignant human mammary stem cells and a predictor of poor clinical outcome. *Cell Stem Cell* **1**, 555–567.

Gong C, Liu B, Yao Y, Qu S, Luo W, Tan W, Liu Q, Yao H, Zou L, Su F *et al.* (2015) Potentiated DNA damage response in circulating breast tumor cells confers resistance to chemotherapy. *J Biol Chem* **290**, 14811–14825.

Goodsell D (2003) The molecular perspective: epidermal growth factor. *Stem Cells* **21**, 702–703.

Grosse-Wilde A, D'Hérouël AF, McIntosh E, Ertaylan G, Skupin A, Kuestner RE, Del Sol A, Walters KA and Huang S (2015) Stemness of the hybrid epithelial/ mesenchymal state in breast cancer and its association with poor survival. *PLoS ONE* **10**.

Hansen KC, D'Alessandro A, Clement CC and Santambrogio L (2015) Lymph formation, composition and circulation: a proteomics perspective. *Int Immunol* 27, 219–227.

Hollier BG, Evans K and Mani SA (2009) The epithelialto-mesenchymal transition and cancer stem cells: a coalition against cancer therapies. *J Mammary Gland Biol Neoplasia* 14, 29–43.

Hong B, Zu Y (2013) Detecting circulating tumor cells: current challenges and new trends. *Theranostics* **3**, 377–394.

Hou JM, Krebs MG, Lancashire L, Sloane R, Backen A, Swain RK, Priest LJC, Greystoke A, Zhou C, Morris K *et al.* (2012) Clinical significance and molecular characteristics of circulating tumor cells and circulating tumor microemboli in patients with small-cell lung cancer. J Clin Oncol **30**, 525–532.

Jakóbisiak M, Lasek W, Gołąb J (2003) Natural mechanisms protecting against cancer. *Immunol Lett* **90**, 103–122.

Jolly MK (2015) Implications of the hybrid epithelial/ mesenchymal phenotype in metastasis. *Front Oncol* 5.

Karsten U and Goletz S (2013) What makes cancer stem cell markers different? *Springerplus* **2**, 1–8.

Kim R, Emi M and Tanabe K (2007) Cancer immunoediting from immune surveillance to immune escape. *Immunology* **121**, 1–14.

Kim MY, Oskarsson T, Acharyya S, Nguyen DX, Zhang XHF, Norton L and Massagué J (2009) Tumor selfseeding by circulating cancer cells. *Cell* 139, 1315–1326.

Lasek W, Zagożdżon R and Jakobisiak M (2014) Interleukin 12: still a promising candidate for tumor immunotherapy? *Cancer Immunol Immunother* 63, 419– 435.

Li J, Wang Y, Li QG, Xue JJ, Wang Z, Yuan X, Tong JD and Xu LC (2016) Downregulation of FBP1 promotes tumor metastasis and indicates poor prognosis in gastric cancer via regulating epithelial-mesenchymal transition. *PLoS ONE* **11**, e0167857.

Lin CF, Lin CM, Lee KY, Wu SY, Feng PH, Chen KY, Chuang HC, Chen CL, Wang YC, Tseng PC *et al.* (2017) Escape from IFN-γ-dependent immunosurveillance in tumorigenesis. *J Biomed Sci* 24, 10.

Liotta L, Kleinerman J and Saidel GM (1974) Quantitative relationships of intravascular tumor cells, tumor vessels, and pulmonary metastases following tumor implantation. *Cancer Res* **34**, 997–1004. Mani SA, Guo W, Liao MJ, Eaton EN, Ayyanan A, Zhou AY, Brooks M, Reinhard F, Zhang CC, Shipitsin M *et al.* (2008) The epithelial-mesenchymal transition generates cells with properties of stem cells. *Cell* **133**, 704–715.

Mego M, Cholujova D, Minarik G, Sedlackova T, Gronesova P, Karaba M, Benca J, Cingelova S, Cierna Z, Manasova D *et al.* (2016) CXCR4-SDF-1 interaction potentially mediates trafficking of circulating tumor cells in primary breast cancer. *BMC Cancer* 16, 127.

Moriai S, Takahara M, Ogino T, Nagato T, Kishibe K, Ishii H, Katayama A, Shimizu N and Harabuchi Y (2009) Production of interferon- γ -inducible protein-10 and its role as an autocrine invasion factor in nasal natural killer/T-cell lymphoma cells. *Clin Cancer Res* **15**, 6771–6779.

Neri A, Welch D, Kawaguchi T and Nicolson GL (1982) Development and biologic properties of malignant cell sublines and clones of a spontaneously metastasizing rat mammary adenocarcinoma. J Natl Cancer Inst 68, 507–517.

Odenthal J, Takes R, Friedl P (2016) Plasticity of tumor cell invasion: governance by growth factors and cytokines. *Carcinogenesis* **37**, 1117–1128.

O'Flaherty JD, Gray S, Richard D, Fennell D, O'Leary JJ, Blackhall FH and O'Byrne KJ (2012) Circulating tumour cells, their role in metastasis and their clinical utility in lung cancer. *Lung Cancer* **76**, 19–25.

Ouhtit A, Abd Elmageed ZY, Abdraboh ME, Lioe TF and Raj MHG (2007) In vivo evidence for the role of CD44s in promoting breast cancer metastasis to the liver. *Am J Pathol* **171**, 2033–2039.

Padera TP, Kadambi A, Di Tomaso E, Mouta Carreira C, Brown EB, Boucher Y, Choi NC, Mathisen D, Wain J, Mark EJ et al. (2002) Lymphatic metastasis in the absence of functional intratumor lymphatics. Science (80-) 296, 1883–1886.

Paget S (1889) The distribution of secondary growths in cancer of the breast. *Lancet* **133**, 571–573.

Paoletti C, Larios JM, Muñiz MC, Aung K, Cannell EM, Darga EP, Kidwell KM, Thomas DG, Tokudome N, Brown ME et al. (2016) Heterogeneous estrogen receptor expression in circulating tumor cells suggests diverse mechanisms of fulvestrant resistance. *Mol Oncol* 10, 1078–1085.

Pereira ER, Jones D, Jung K and Padera TP (2015) The lymph node microenvironment and its role in the progression of metastatic cancer. *Semin Cell Dev Biol* 38, 98–105.

Pestrin M, Bessi S, Galardi F, Truglia M, Biggeri A, Biagioni C, Cappadona S, Biganzoli L, Giannini A and Di Leo A (2009) Correlation of HER2 status between primary tumors and corresponding circulating tumor cells in advanced breast cancer patients. *Breast Cancer Res Treat* 118, 523–530. Ran S, Volk L, Hall K & Flister MJ (2010) Lymphangiogenesis and lymphatic metastasis in breast cancer. *Pathophysiology* 17, 229–251.

Rosen EM and Goldberg ID (1989) Protein factors which regulate cell motility. *Vitro Cell Dev Biol* **25**, 1079–1087.

Rossi S, Basso M, Strippoli A, Dadduzio V, Cerchiaro E, Barile R, D'Argento E, Cassano A, Schinzari G and Barone C (2015) Hormone receptor status and HER2 expression in primary breast cancer compared with synchronous axillary metastases or recurrent metastatic disease. *Clin Breast Cancer* 15, 307–312.

Shackleton M, Vaillant F, Simpson KJ, Stingl J, Smyth GK, Asselin-Labat ML, Wu L, Lindeman GJ and Visvader JE (2006) Generation of a functional mammary gland from a single stem cell. *Nature* **439**, 84–88.

Sleeman JP (2012) Metastasis: Understanding is the beginning of order in chaos. Semin Cancer Biol 22, 173.

Sulaiman A, Yao Z and Wang L (2018) Re-evaluating the role of epithelial-mesenchymal-transition in cancer progression. J Biomed Res 32, 81–90.

Thiery JP, Acloque H, Huang RYJ and Nieto MA (2009) Epithelial-mesenchymal transitions in development and disease. *Cell* 139, 871–890.

Vesely MD and Schreiber RD (2013) Cancer immunoediting: Antigens, mechanisms, and implications to cancer immunotherapy. Ann N Y Acad Sci 1284, 1–5.

Wajant H (2009) The role of TNF in cancer. *Results Probl Cell Differ* **49**, 1–15.

Wei LM, Cao S, Yu WD, Liu YL and Wang JT (2015) Overexpression of CX3CR59 is associated with cellular metastasis, proliferation and survival in gastric cancer. Oncol Rep 33, 615–624.

Weigelt B, Wessels LFA, Bosma AJ, Glas AM, Nuyten DSA, He YD, Dai H, Peterse JL and Van't Veer LJ (2005) No common denominator for breast cancer lymph node metastasis. *Br J Cancer* **93**, 924–932.

Wells A (2000) Tumor invasion: role of growth factorinduced cell motility. Adv Cancer Res 78, 31–101.

Wong SY, Hynes RO (2006) Lymphatic or hematogenous dissemination: How does a metastatic tumor cell decide? Cell Cycle 5, 812–817.

Wyckoff J, Jones J, Condeelis J and Segall J (2000) A critical step in metastasis: in vivo analysis of intravasation at the primary tumor. *Cancer Res* **60**, 2504–2511.

Yan HH, Pickup M, Pang Y, Gorska AE, Li Z, Chytil A, Geng Y, Gray JW, Moses HL and Yang L (2010) Gr-1 + CD11b+ myeloid cells tip the balance of immune protection to tumor promotion in the premetastatic lung. *Cancer Res* **70**, 6139–6149.

Yang Y, Cheon S, Jung MK, Song SB, Kim D, Kim HJ, Park H, Bang SI and Cho D (2015) Interleukin-18 enhances breast cancer cell migration via downregulation of claudin-12 and induction of the p38 MAPK pathway. *Biochem Biophys Res Commun* 459, 379–386.

- Yu M, Bardia A, Wittner BS, Stott SL, Smas ME, Ting DT, Isakoff SJ, Ciciliano JC, Wells MN, Shah AM *et al.* (2013) Circulating breast tumor cells exhibit dynamic changes in epithelial and mesenchymal composition. *Science* 339, 580–584.
- Zhong X and Rescorla FJ (2012) Cell surface adhesion molecules and adhesion-initiated signaling: understanding of anoikis resistance mechanisms

and therapeutic opportunities. *Cell Signal* 24, 393–401.

Zhu G, Yan HH, Pang Y, Jian J, Achyut BR, Liang X, Weiss JM, Wiltrout RH, Hollander MC and Yang L (2015) CXCR68 as a molecular target in breast cancer metastasis: inhibition of tumor cell migration and promotion of host anti-tumor immunity. *Oncotarget* 6, 43408–43419.