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Prognostic gene expression analysis in a retrospective, multinational cohort of 155 multiple myeloma patients treated outside clinical trials

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Abstract

Objectives: Typically, prognostic capability of gene expression profiling (GEP) is studied in the context of clinical trials, for which 50%-80% of patients are not eligible, possibly limiting the generalizability of findings to routine practice. Here, we evaluate GEP analysis outside clinical trials, aiming to improve clinical risk assessment of multiple myeloma (MM) patients.

Methods: A total of 155 bone marrow samples from MM patients were collected from which RNA was analyzed by microarray. Sixteen previously developed GEPbased markers were evaluated, combined with survival data, and studied using Cox proportional hazard regression.

Results: Gene expression profiling-based markers SKY92 and the PR-cluster were shown to be independent prognostic factors for survival, with hazard ratios and 95% confidence interval of 3.6 [2.0-6.8] (P < .001) and 5.8 [2.7-12.7] (P < .01) for overall survival (OS). A multivariate model proved only SKY92 and the PR-cluster to be independent prognostic factors compared to cytogenetic high-risk patients, the International Staging System (ISS), and revised ISS. A substantial number of high-risk individuals could be further identified when SKY92 was added to the cytogenetic, ISS, or R-ISS. In the cytogenetic standard-risk group, ISS I/II, and R-ISS I/II, 13%, 23%, and 23% of patients with adverse survivals were identified.

Conclusions: For the first time, this study confirmed the prognostic value of GEP markers outside clinical trials. Conventional prognostic models to define high-risk MM are improved by the incorporation of GEP markers.

KEYWORDS

gene expression profiling, multiple myeloma, prognostication

Yan-Ting Chen and Erik T. Valent contributed equally as first co-authors.

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1 | INTRODUCTION

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Multiple myeloma (MM) is a heterogeneous hematologic malignancy which is considered incurable.¹⁻³ Despite the significantly improved survival over the last decades, treatment responses remain diverse and not all patients benefit equally. This is especially the case for the 15%-25% of high-risk patients that suffer from rapid progression and poor survival. Various definitions of high-risk disease are described in literature using different molecular and/or clinical variables, thereby typically identifying different patient subsets. Although most highrisk definitions have been confirmed to correlate with poor outcome, their generalizability toward a "real-world" scenario is often ambiguous, since 50%-80% of the MM patients do not meet the strict trial eligibility criteria.⁴⁻⁶ For this reason, the question arises if the risk stratification markers developed in clinical trials settings can also be validated in MM patients treated outside trials.

Prognostication in MM is subject to change. In current practice, cytogenetic aberrations measured by fluorescence in situ hybridization (FISH) and the international staging system (ISS) are most common for MM prognostication.^{7,8} Patients with at least one of the aberrations del(17p), t(4;14), or t(14;16) are commonly classified as cytogenetic (CA) high-risk.^{8,9} However, other descriptions are used as well.¹⁰ More recently, the revised ISS (R-ISS) has been introduced to estimate risk of MM patients by extending the ISS with CA high-risk and serum lactate dehydrogenase (LDH).¹¹ There are, however, still a fair number of poor-performing patients that are classified into low- or standard-risk groups and vice versa.

Markers based on gene expression profiling (GEP) have shown promising results to predict clinical outcome. For example, SKY92–a biomarker that classifies MM patients into high- or standard-risk based on the expression of 92 genes—has shown to correlate significantly with survival in multiple trial data sets.¹²⁻¹⁷ This association turns out to be additive to conventional markers, because in combination with ISS or R-ISS, SKY92 risk classification is further improved.^{13,18-20} However, it has remained an open question whether these associations generalize to patients treated outside clinical trials.

In this retrospective, multinational study outside of clinical MM trials, we show that GEP-based markers provide an accurate prognostic distinction between risk groups that better reflects survival, as compared to ISS, R-ISS, and cytogenetics. Moreover, by combining the SKY92 classifier with conventional markers, clinical risk assessment of the MM patient can be improved.

2 | MATERIALS AND METHODS

2.1 | Cohort composition

Patients in this study were part of the Horizon 2020 funded MMpredict project, of which this current analysis included the subset of 155 patients treated outside clinical trials: 73 patients from the National University Health System, Singapore (NUHS), 37 from the Munich Leukemia Laboratory, Germany (MLL), and 45 from the University of Turin, Italy (UNITO). Patients aged 18 years or older and diagnosed with MM were included. Informed consent and ethical approval by institutional review boards were obtained, in accordance with the Declaration of Helsinki. Clinical information was obtained from patients' health records, including chromosomal abnormalities detected by interphase FISH for t(4;14), t(11;14), t(14;16)/t(14;20), del(17p), del(13q), and gain(1q) as reported by the local laboratories, and generally reflecting the FISH guidelines.²¹ Overall survival (OS; death of any cause) was collected for all patients. Progression free survival (PFS; disease progression or death from any cause) was available for samples from NUHS and UNITO. Both OS and PFS were truncated at 5 years.

2.2 | Plasma cell purification and RNA isolation

Plasma cells were purified from bone marrow aspirates using CD138+based immunomagnetic bead selection (EasySep[™]; Stem Cell Technologies), stored in RLT buffer, and are only used if ≥80% CD38+ cells. RNA was isolated using a DNA/RNA AllPrep kit (QIAGEN; Hilden) according to the manufacturer's instructions. RNA concentration was measured using the NanoDrop spectrophotometer (Thermo Fisher Scientific), and quality and purity were assessed by the RNA 6000 assay (Agilent 2100 Bioanalyzer; Agilent Technologies). A minimum of 100 ng total RNA was used as test input.

2.3 | Gene expression profiling

Gene expression profiling data were generated at a central laboratory (SkylineDx). RNA processing, target labeling, and hybridization to gene expression arrays were performed on the Human Genome U133 Plus 2.0 platform (Thermo Fisher Scientific) following the MMprofiler[™] protocol (SkylineDx).^{12,22,23} The MMprofiler[™] assay reports the SKY92 risk classification, the MM clusters (CD1, CD2, CTA, HY, LB, MF, MS, Myeloid, NFKB, NP, PRL3, and PR),^{24,25} and GEP-based models to predict chromosomal aberrations commonly reported by interphase FISH (t(4; 14), t(11; 14), and t(14; 16)/t(14; 20)).²⁶

2.4 | Statistical analysis

Univariate and multivariate survival analyses were performed by the Cox proportional hazard model provided that the proportional hazard assumption was met based on weighted residuals ("survival" package v3.1-8 in R-3.6.0). Hazard ratio estimates were expressed relative to the lowest-risk group and assessed by a two-sided Wald test. Analysis of deviance was performed with the "stats" package (v3.6.3). *P*-values below .05 were considered to be significant through this study.

3 | RESULTS

3.1 | GEP-based biomarkers are prognostic for MM patients treated outside of clinical trials

The 155 MM patients, treated outside of clinical trial setting, had a median age of 66 years (Table 1). Most of them received an immunomodulatory drugs (IMiD; 25%), a proteasome inhibitor (PI; 48%), or a combination of both (15%). No correlation was found between treatment and survival (Table S3, overview of treatment analysis is summarized in Supporting Information).

Survival outcomes (median follow up of 31 months, using censored data only) did not correlate with either one of the three sites of inclusion (Figure S1). Compared to FISH, GEP-based t(4;14), t(11;14), and t(14;16)/t(14;20) had both a positive and negative percent agreement (PPA, NPA) above 80% (Table S1) corroborating a previous study²⁷ and therefore were considered equivalent in cases for which FISH was not performed or not available (Table 1).

SKY92 identified 23% of patients as high-risk with a hazard ratio for OS (HR $_{os}$) and PFS (HR $_{ofs}$), and corresponding 95% confidence interval (CI) of HR_{os} : 3.6 [2.0-6.8] (P < .001) and HR_{ofs} : 2.4 [1.5-4.0] (P < .001; Figure 1A,E) in a univariate analysis. Out of all 12 gene expression clusters, the proliferation (PR) cluster had the strongest association with survival showing a HR_{os} : 5.8 [2.7-12.7] (P < .01) and HR_{nfc} : 3.0 [1.4-6.4] (P < .01), although its sample size of 9 patients was small (Table 2). The CA high-risk definition of at least one of t(4;14), t(14;16), or del(17p)⁸-was positive for 39 out of 134 patients (29%)—and associated significantly for HR_{os} : 2.0 [1.0-3.9] (P = .04), but not for HR_{nfs} : 1.4 [0.87-2.4] (P = .16; Figure 1B,F). ISS staging was available for 101 of 155 patients (ISS I:II:III = 20:32:49). Strikingly, no significant differences were found between stage III versus I with HR_{os} : 1.8 [0.7-4.8] (P = .24) and HR_{ofs} : 1.0 [0.5-2.1] (P = .95; Figure 1C,G). Availability of LDH and CA restricted the data set further to 92 cases for the R-ISS analysis (R-ISS I:II:III = 11:60:21), resulting in a for stage III versus I, HR_{os} : 3.1 [0.66-14.4] (P = .15) and HR_{pfs}: 2.0 [0.66-6.1] (P = .22; Figure 1D,H).

In the subsequent multivariate analysis—using all significant univariate markers as input (SKY92, PR-cluster, and CA high-risk; Table S2), only SKY92 and the PR-cluster remained independently significant with a HR_{os}: 2.9 [1.2-6.9] (P = .02), HR_{pfs}: 2.3 [1.1-4.6] for SKY92, and a HR_{os}: 3.7 [1.5-9.1] (P = .004), HR_{pfs}: 2.5 [1.1-5.8] (P = .04) for the PR-cluster.

In 128 patients with data available for both GEP-based markers (SKY92 + PR) and the three high-risk FISH markers, patients were identified as single (n = 23), double (n = 19), and triple (n = 4) hit disease (Figure 2). Among these 46 (36%) high-risk patients, 13 (10%) were uniquely acknowledged by the GEP markers and were significantly correlated with adverse outcomes compared to those not identified by any of the five markers (HR_{os} : 8.6 [3.5-21.1], HR_{pfs} : 6.3 [3.0-13.3]), highlighting the added prognostic value of GEP markers. SKY92 overarched the classification of the PR-cluster; 8 of 9 PR-cluster patients were in the SKY92 high-risk group, disabling the assessment of association with patients identified as PR-positive and

TABLE 1 Patient demographic and disease characteristics

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IABLE I Patient demographic a	and disease characteristics
Median age, years (range) ≤65, n (%) >65, n (%)	66 (32-90) 77 (50%) 78 (50%)
Sex, n (%) Female Male	68 (44%) 87 (56%)
Ethnicity, n (%) Caucasian Asian Other Not specified	46 (30%) 57 (37%) 15 (10%) 37 (24%)
Disease stage n (%) Newly diagnosed MM Relapsed and refractory MM	138 (89%) 17 (11%)
LDH, n (%) ≤ ULN (upper limit of normal) > ULN Missing	92 (59%) 14 (9%) 49 (32%)
International Staging System stage, ISS I ISS II ISS III Missing	n (%) 20 (13%) 32 (21%) 49 (31%) 54 (35%)
Revised ISS, n (%) R-ISS I R-ISS II R-ISS III Missing	11 (7%) 60 (39%) 21 (13%) 63 (41%)
Cytogenetics, n/N (%) t(4;14) ^a t(11;14) ^a t(14;16)/t(14;20) ^a del(17p) del(13q) gain(1q) CA high-risk	20/155 (13%) 29/155 (19%) 10/155 (6%) 12/128 (9%) 49/136 (36%) 16/56 (29%) 39/134 (29%)
Gene expression profiling, n (%) SKY92 High-risk Standard-risk	35 (23%) 120 (77%)
MM clusters CD1-cluster CD2-cluster CTA-cluster HY-cluster LB-cluster	10 (6%) 17 (11%) 1 (1%) 37 (24%) 12 (8%)

(Continues)

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TABLE 1 (Continued)

MF-cluster	11 (7%)
MS-cluster	18 (12%)
Myeloid-cluster	26 (17%)
NFKB-cluster	7 (4%)
NP-cluster	1 (1%)
PRL3-cluster	6 (4%)
PR-cluster	9 (6%)

^aGEP-based results were used for patients whose chromosomal translocations were not performed or not available, (n = 38 t[4;14], n = 37 t[11;14], and n = 57 t[14;16]/t[14;20]).

SKY92 standard-risk (Figure S2). In the following analyses, we proceed with only SKY92 because it identified a larger proportion of individuals and it overlapped with the majority of PR-cluster patients.

3.2 | GEP-based classifiers identify patients overlooked by other markers

In an analysis of variance, it was shown that adding SKY92 to the OS Cox models significantly improved all stratifications (P = .002, 0.0004, or 0.0003 for CA, ISS, or R-ISS). On the other hand, the addition of CA, ISS, or R-ISS to a model with SKY92 alone was only

significant for ISS (P = .92, .04, and .92, respectively). For PFS, adding SKY92 to any of the existing markers always improved the model (P = .005, .002, and .006 for CA, ISS, and R-ISS), while adding existing markers to SKY92 did not result in significant improvements (P = .54, .86, .46).

SKY92 identified high-risk patients classified as standard-risk by CA (Figure 3A). Among these 95 standard-risk patients, 12 (13%) were identified as SKY92 high-risk with a HR_{os}: 12.3 [4.8-31.9] (P < .001) compared to the SKY92 standard-risk group (n = 83, 87%). Within CA high-risk patients, the 92-gene classifier was not significant with a HR_{os}: 0.94 [0.35-2.5] (P = .90; Figure 3D). Similarly, SKY92 found 12 (23%) high-risk patients out of 52 ISS I/II with a HR_{os}: 4.0 [1.2-13.2] (P = .03; Figure 3B) and 16 (23%) high-risk patients out of 71 R-ISS I/II with a HR_{os}: 5.3 [2.1-13.2] (P < .001; Figure 3C). Moreover, SKY92 was able to detect patients with adverse PFS in CA standardrisk, ISS I/II and R-ISS I/II (Figure S3A-C). Furthermore, within the 49 patients with ISS stage III, only 14 (28%) were identified as SKY92 high-risk with a HR_{os}: 3.8 [1.5-9.1] (P = .003; Figure 3E). Comparable observations were done for PFS (Figure S3).

Since it was shown that SKY92 is useful when added to other markers, we extended the CA high-risk group by the addition of SKY92 and followed the previously proposed stratifications of combining SKY92 with ISS or R-ISS (Figure S4).^{13,20} By comparing the individual risk stratifications (Figure 1B-D,F-H) with those combined with SKY92, it is evident the combined stratifications all resulted in

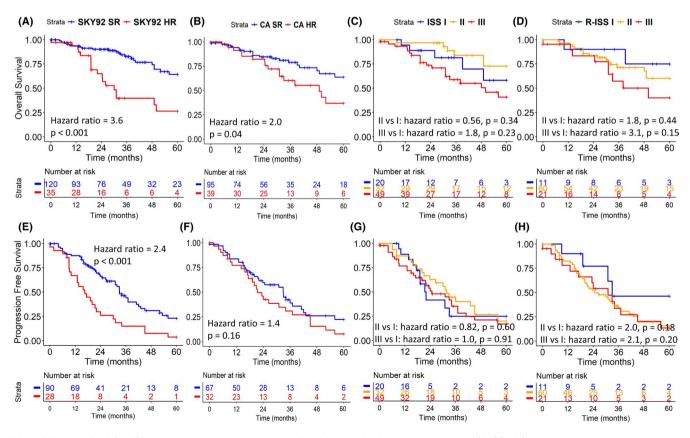


FIGURE 1 SKY92 (A, E) had larger hazard ratios with smaller *P*-values for both overall survival (OS) (A-D) and progression free survival (PFS) (E-H) compared to frequently used stratification markers high-risk cytogenetic aberrations (CA) (del(17p) + t(4;14) + t(14;16))(B, F), ISS (C, G), and R-ISS (D, H)

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E 2 Survival analysis of OS and alyzed by Cox proportional hazard				OS (n = 155)		PFS (n = 118)	
		Risk factor	HR (95% CI)	Р	HR (95% CI)	Р	
	GEP markers	SKY92 high-risk	3.6 (2.0-6.8)	<.01**	2.4 (1.5-4.0)	<.01**	
		CD1-cluster	NA	NA ^c	0.91 (0.33-2.5)	.85	
		CD2-cluster	NA	NA^{b}	1.4 (0.60-3.3)	.44	
		CTA-cluster	NA	NA ^a	NA	NA ^a	
		HY-cluster	0.79 (0.39-1.6)	.53	0.99 (0.59-1.7)	.97	
		LB-cluster	0.20 (0.03-1.5)	.11	0.59 (0.25-1.4)	.22	
		MF-cluster	1.6 (0.49-5.2)	.44	1.7 (0.8-4.0)	.20	
		MS-cluster	2.0 (0.97-4.3)	.06	1.2 (0.65-2.2)	.56	
		Myeloid-cluster	0.81 (0.32-2.1)	.66	0.66 (0.30-1.4)	.29	
		NFKB-cluster	0.58 (0.08-4.2)	.59	0.71 (0.10-5.2)	.74	
		NP-cluster	NA	NA ^a	NA	NA^{a}	
		PRL3-cluster	0.51 (0.07-3.7)	.51	NA	NA^b	
		PR-cluster	5.8 (2.7-12.7)	<.01**	3.0 (1.4-6.4)	<.01**	
	Clinical	t(4;14)	NA	NA ^b	1.06 (0.6-1.9)	.84	
	markers/ variables	t(11;14)	0.4 (0.1-1.1)	.08	1.2 (0.6-2.2)	.58	
		t(14;16)/t(14;20)	1.5 (0.47-5.0)	.48	1.5 (0.6-3.4)	.38	
		del(17p)	1.6 (0.6-4.0)	.37	1.8 (0.89-3.7)	.11	
		del(13q)	NA	NA ^b	NA	NA^b	
		gain(1q)	0.46 (0.06-3.7)	.47	1.4 (0.50-4.1)	.50	
		CA high-risk	2.0 (1.0-3.9)	.04*	1.4 (0.87-2.4)	.16	
		ISS II vs I	0.55 (0.17-1.8)	.32	0.82 (0.39-1.7)	.59	
		ISS III vs I	1.8 (0.68-4.8)	.24	1.0 (0.51-2.1)	.95	
		R-ISS II vs I	1.7 (0.40-7.6)	.46	2.0 (0.72-5.7)	.18	
		R-ISS III vs I	3.1 (0.66-14.4)	.15	2.0 (0.66-6.1)	.22	
		LDH >ULN	1.7 (0.71-3.8)	.24	0.97 (0.49-1.9)	.94	
		Age, in year	1.0 (0.97-1.0)	.8	1.0 (0.98-1.0)	.97	

Note: Significant codes: ***P* < .01, **P* < .05.

Abbreviation: NA, Not available; OS, Overall survival; PFS, Progression free survival.

^aCTA- and NP-clusters both only consist of one sample (Table 1).

 $^{\rm b}{\rm Violated}$ the proportional hazard assumption.

^cDid not have any event in the positive group, for which survival analysis could not be performed.

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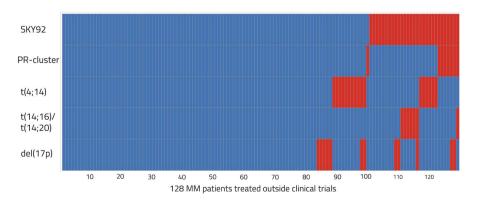


FIGURE 2 Classification and overlap between five prognostic high-risk markers (SKY92, PR-Cluster, t(4;14), t(14;16)/t(14;20), and del(17p)) in MM patients with data available for all five markers (n = 128). Together, a total of 46 individuals (36%) were identified as high-risk and 82 patients (64%) as standard-risk. The red color indicates the positive cases for each of the markers, and blue specifies the negative patients

TABLE PFS ana model

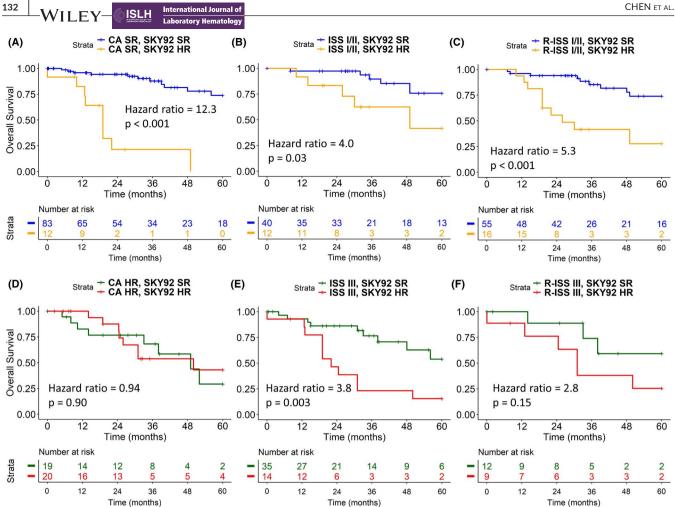


FIGURE 3 SKY92 identified patients with adverse overall survival (OS) in (A) cytogenetic aberrations (CA) standard-risk, (B) ISS I/II, and (C) R-ISS I/II. SKY92 also identified patients with favorable OS in (E) ISS III, but not in (D) CA high-risk nor (F) R-ISS III

more extreme hazard ratios with decreased P-values-confirming the added value of the GEP-based classifier.

DISCUSSION 4

In the current study, we demonstrated that gene expression-based analysis adds value to the prognostication of MM patients treated outside clinical trials. In the multivariate analysis, the SKY92 risk classifier and PR-cluster remained independently associated with survival, in contrast to frequently used clinical risk indicators (ISS, R-ISS, and CA). By incorporating GEP-based classifiers, additional high-risk patients were detected that were not identified by other markers. These highrisk patients had associated adverse outcomes and could potentially be referred to clinical trials focusing on this subpopulation²⁸ or could qualify for better monitoring and a more intense treatment.

Multiple studies have made it apparent that data from randomized controlled studies may not always reflect results for patients undergoing treatment in routine clinical practice.^{5,6,29} Due to a selection of younger and fit patients in clinical studies-reflecting the strict eligibility criteria-the MM population treated outside of clinical trials is enriched with comorbidities, inadequate organ function, and a lower performance status, which is frequently translated into poorer survival outcomes.³⁰ In our cohort, the median PFS was 31 months for all combined treatments. This is longer compared to real-world registries from Denmark and The Netherlands, who reported 18 months^{31,32} and contrasted with recent phase 3 clinical data in which a median PFS over 40 months was described in the newly diagnosed setting.³³⁻³⁵

From the list of evaluated biomarkers, the association of SKY92 high-risk and PR-positive patients with poor OS and PFS had the strongest prognostic effect, demonstrating their accuracy and reliability in this cohort reflecting a "real-world" scenario. Compared to frequently applied clinical risk indicators, both GEP-based markers had more pronounced hazard ratios with smaller P-values, potentially reflecting the new source of information (tumor gene expression) not captured by the other markers focusing on tumor genetics and more systemic host factors. These results support previous findings in patients in clinical trials.¹⁸

In contrast to conventional markers, gene classifiers better capture the global state of a tumor. For example, in a IGH translocation, a gene is juxtaposed the IGH-locus and expected to become overexpressed. There might, however, be mechanisms counteracting the overexpression, such that the actual expression of the translocated (or co-regulated) genes might be more informative. In addition, a single gene classifier is likely to target multiple prognostic mechanisms. It has been shown that the 92 genes in the SKY92 are enriched for the long arm of chromosome 1.¹² A total of nine genes are located on 1q (Figure S6), of which S100A6 has been described in relation to 1q21 amplification in MM and other cancer types.³⁶ Other known and possibly yet undiscovered cancer mechanisms are captured by the SKY92, including cell cycle pathways (BIRC5, TOP2A, and CENPE), cell growth and proliferation (FGFR3), DNA repair (FANCF, FANCI, POLQ), and transcription factor (STAT1). However, it is important to note that the genes within the signature reflect optimal performance of the signature rather than a biological definition of survival in MM.

In contrast to SKY92, which held up in this cohort as compared to its validation in trial patients published previously,¹²⁻¹⁷ the prognostic value of ISS did not reach significance in our current cohort, as ISS I demonstrated intermediate risk relative to ISS II and III. This is unexpected, as several studies have shown ISS to be prognostic in other real-world registries.^{31,32} As we could not find a confounding factor (Table S4) nor registry errors, we assume this is a correct result, which might be biased due to the lack of information on a subset of subjects and/or the lower observed numbers in ISS I.

Because it is unavoidable that some patients with adverse survival will be classified into the SKY92 standard-risk group and/or patients with favorable survival in the SKY92 high-risk group, it proved useful to combine multiple prognostic markers to strengthen their prognostic power. Analysis of variance has shown that adding SKY92 to any of CA, ISS, or R-ISS significantly improved the stratification for OS, while only addition of ISS to a model with SKY92 was significant, confirming one of our previous findings.¹⁸ By doing so, we have observed that cytogenetics with SKY92 could identify more high-risk patients, and with a larger hazard ratio for OS compared to the single markers alone. Especially in situations where ISS was weakly associated with prognosis-like in this cohort for OS stratification-SKY92 helped to significantly distinct the stratification of MM patients in a three-level classification. Moreover, the majority overlapping R-ISS stages II and III were also discriminated when adding SKY92, resulting in more distinguished intermediateand high-risk groups.

To conclude, this multinational study in MM patients treated outside clinical trials recapitulates GEP-based information as strongly prognostic for both OS and PFS. In addition, we found that the prognostic indications of CA, ISS, and R-ISS are improved by the addition of SKY92, indicating the added clinical value of gene-based technology to personalized management in MM patients.

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CONFLICT OF INTEREST

YTC, ETV, EHB, RK, and MHV are employees and option holders of SkylineDx BV. SO: Honoraria: Janssen, Takeda, Amgen. Advisory Board: Adaptive Biotechnologies, Amgen, Takeda, Janssen. TH is part owner of MLL Munich Leukemia Laboratory. WJC has no relevant conflict of interest to this work. PS: Takeda: Honoraria, Research Funding; Janssen: Honoraria, Research Funding; Karyopharm: Honoraria, Research Funding; Skyline: Research Funding; Celgene: Honoraria, Research Funding; Amgen: Honoraria, Research Funding. AL: Amgen: Honoraria, Bristol-Myers Squibb: Honoraria, Membership on an entity's Board of Directors or advisory committees, Celgene: Honoraria, Membership on an entity's Board of Directors or advisory committees, Honoraria, Takeda: Membership on an entity's Board of Directors or advisory committees.

AUTHOR CONTRIBUTIONS

The authors confirm contribution to the paper as follows: EHvB, MHvV, PS, and AL conceived the project and design. SO, TH, W-JC, PS, AL, and the SkylineDx lab contributed to the collection of the data. Y-TC, ETV, EHvB, RK, and MHvV performed the analysis and interpretation of initial results. Y-TC and ETV drafted the manuscript. All authors reviewed and commented on the results and approved the final version of the manuscript.

DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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