



## From Sperm Motility to Sperm-Borne microRNA Signatures: New Approaches to Predict Male Fertility Potential

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In addition to the paternal genome, spermatozoa carry several intrinsic factors, including organelles (e.g., centrioles and mitochondria) and molecules (e.g., proteins and RNAs), which are involved in important steps of reproductive biology such as spermatogenesis, sperm maturation, oocyte fertilization and embryo development. These factors constitute potential biomarkers of "viable sperm" and male fertility status and may become major assets for diagnosing instances of idiopathic male infertility in both humans and livestock animals. A better understanding of the mechanism of action of these sperm intrinsic factors in the regulation of reproductive and developmental processes still presents a major challenge that must be addressed. This review assembles the main data regarding morpho-functional and intrinsic sperm features that are associated with male infertility, with a particular focus on microRNA (miRNA) molecules.

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### INTRODUCTION

Male fertility potential relies on several physical, endocrine, and genetic factors, which underlie the production of fully functional spermatozoa as well as their successful arrival at the site of fertilization. Impairment of these male reproductive functions accounts for half of the infertility issues that couples face during their reproductive age (Agarwal et al., 2015; Zegers-Hochschild et al., 2017). While male infertility diagnosis is mainly based on physical characteristics, hormonal analysis, and use of the traditional spermogram, its etiology remains unexplained in more than 30% of patients (Irvine, 1998; Agarwal et al., 2015). Thus, the design of novel customized diagnostic tools to fully assess male fertility potential and male infertility etiology is a necessity. Furthermore, an improved method to assess male fertility potential would be a major asset to the livestock industry, since this parameter constitutes a serious economic challenge in this field. Research performed on sperm samples from humans, livestock animals and rodents recently questioned the definition of the sperm cell as a simple carrier of the paternal genome. From this, it now appears that the spermatozoon is a well-differentiated cell that carries a widely diverse signature of specific organelles and molecules, that could vary according to male fertility potential, and be transferred to the oocyte at the time of fertilization. This contemporary view of the sperm cell opens potential new avenues concerning the development of a novel generation of diagnostic tools. In this review, we will delineate structural, morpho-functional and intrinsic sperm features, and comment on their contribution to the post-fertilization processes. The detection of sperm microRNAs (miRNAs) as a predictor of male fertility potential will be discussed with regard to its possible applications in both clinic and industry.

## "HEALTHY SPERM" CONCEPT: STRUCTURAL, MORPHO-FUNCTIONAL AND INTRINSIC FEATURES

While sperm quality is a key determinant of male fertility potential, the discrimination between a high- and lowquality sperm sample is challenging. Indeed, this relies on a broad spectrum of sperm features (Amann and Hammerstedt, 1993). According to this concept, "healthy spermatozoa" also referred to as "viable spermatozoa" should possess the ability to reach the fertilization site, bind to and fertilize the oocytes, and properly contribute to initiation of early embryo development (Krawetz, 2005). These abilities are strictly dependent on specific structural, morpho-functional, and intrinsic features (**Figure 1**).

#### **Structural Sperm Features**

Spermatozoa are the most differentiated cells of the organism and display particular features related to their main function: the delivery of paternal DNA to the oocyte (Krawetz, 2005). In this regard, spermatozoa are composed of two main parts: a head to carry and protect the genome, and a tail or flagellum to propel the cell to the site of fertilization (**Figure 2**).

Within the sperm head is a limited quantity of cytoplasm, highly condensed DNA, and a well-delimited acrosome. A substantial portion of the cytoplasm is lost during the final steps of spermatogenesis, specifically during spermiogenesis, the process during which round spermatids differentiate into elongated spermatids and then spermatozoa (Gadea et al., 2013). The remaining cytoplasm from intercellular bridges, called the cytoplasmic droplet, is lost during sperm transit through the epididymis (Cooper and Yeung, 2003). During spermiogenesis, the sequential replacement of histones by transitional proteins and then by protamines within sperm chromatin triggers genome condensation (Zini and Agarwal, 2011; Gadea et al., 2013). The acrosome, located at the top of the head, contains specific enzymes that promote specific functions trailing sperm capacitation and acrosome reaction: (1) exposure of acrosome zona pellucida binding proteins during sperm capacitation; (2) sperm ability to cross cumulus cells that surrounds the oocytes, (3) sperm binding to zona pellucida and acrosome reaction, and (4) migration of IZUMO1 protein from the outer acrosomal membrane to the equatorial segment of sperm surface to ensure its binding to JUNO receptor on the oocyte (Satouh et al., 2012; Bianchi et al., 2014). Since acrosome reacted sperm retain the ability to penetrate the

zona pellucida, the previous paradigm supporting the role of enzymes released during acrosome reaction in the digestion of the zona pellucida has been revisited in different species (Hirohashi and Yanagimachi, 2018).

The sperm tail (or flagellum) includes the neck, midpiece, principal piece and terminal piece. The sperm centrioles are important to early embryo development and are localized in the sperm neck (Avidor-Reiss and Fishman, 2019). The axoneme, located internally along the entire flagellum, is composed of nine peripheral doublets and two central single microtubules (9 + 2 structure) integrated by the intraflagellar transport (IFT) system. Surrounding the axoneme, there is the outer dense fibers (ODF) and mitochondria in the sperm midpiece and the fibrous sheath (FS), formed by nine bundles of fibers of different lengths, in the principal piece (Inaba, 2003). Depending on species, approximately 22-75 mitochondria are present in the midpiece to produce enough energy necessary for a spermatozoon to transit along the female reproductive tract and to reach the fertilization site in the oviduct (Song and Lewis, 2008). All of these sperm structural characteristics are essential to ensure the ability of spermatozoa to cross the muco-cervical and uterine barriers and reach the oviduct, where they bind to and penetrate the oocyte to deliver their DNA content.

### Morpho-Functional Sperm Features

Morpho-functional sperm attributes are capable of modulating male fertility potential and are tightly related to the structural sperm features. Parameters such as sperm motility/kinetics, morphological abnormalities, integrity of plasma and acrosome membranes, mitochondrial activity production of reactive oxygen species (ROS), DNA fragmentation and capacitation status are included in this group and usually are associated with male fertility (Table 1 and Figure 3). Thus, assessment of these parameters is essential to determine male fertility potential: evaluation of a higher number of morpho-functional attributes establishes the highest relationship with male fertility (Amann and Hammerstedt, 1993). A high proportion of morphological abnormalities - referred to as teratozoospermia - including, e.g., spermatozoa with large, small or piriform heads as well as coiled-tails, is associated with reproductive dysfunctions (Gillan et al., 2008). This common cause of male infertility is routinely assessed by light microscopic analysis of semen in fertility clinics.

Several other techniques are currently available to assess sperm morpho-functional attributes. **Sperm motility, kinetics, vigor and hyperactivation levels**, are morphofunctional features classically assessed by light microscopy or computer-assisted sperm analysis (i.e., CASA) (Vincent et al., 2012). In addition, since sperm motility requires a substantial amount of energy produced by **mitochondrial activity** (Piomboni et al., 2012), high fertility samples usually present with high mitochondrial membrane potential. This feature can be assessed by fluorescence microscopy and flow cytometric approaches mainly using the JC-1 fluorescent probe, which forms J-aggregates when mitochondria are active



and polarized; in this situation, more JC-1 monomers enter the spermatozoa and aggregate to generate red fluorescence (Gillan et al., 2005; Celeghini et al., 2007). In addition to energy production, mitochondria also produce large quantities of ROS that promote oxidative stress and damage to sperm membranes and DNA. Therefore, ROS quantification in sperm cells is also an important morpho-functional feature that can be assessed by fluorescence microscopy, flow cytometry and molecular/biochemical assays using fluorescent probes. Such assays include BODIPY, which measures the susceptibility of sperm to lipid peroxidation, CellROX Deep Red®, which stains ROS present in sperm cells, and measurement of malondialdehyde concentration (produced during lipid peroxidation) using the TBARS (Thiobarbituric acid reactive substances) assay (Aitken et al., 2007; Celeghini et al., 2019). In addition, since damage to the sperm membranes and DNA impairs sperm capacitation and early embryo development, both plasma and acrosome membrane as well as DNA integrity should be evaluated by fluorescence microscopy or flow cytometric approaches. Propidium iodide fluorescent probes and fluorescent lectin from Pisum sativum can be used to assess plasma and acrosome membrane damage (Celeghini et al., 2007), respectively, as well as SCSA® (Sperm Chromatin Structure Assay) to detect the susceptibility of sperm to DNA fragmentation (Evenson and Wixon, 2006). Post-sperm capacitation status could be assessed using the combination of Hoechst 33258/chlortetracycline fluorescence probes and

is proposed to predict litter size and fertility success in pigs (Kwon et al., 2015).

While the assessment of these features is essential to determine male fertility potential, based on data from human clinics, about 30% of sperm samples presenting with satisfactory morphofunctional features (**Figure 3**) are still unable to fertilize the oocytes or to trigger early embryo development. Recent advances in analyses of sperm molecular and subcellular content suggested that intrinsic factors may participate to early embryo development and, consequently, could be potential targets for the assessment of male fertility potential.

### **Intrinsic Sperm Features**

In addition to the paternal DNA, the spermatozoon carries numerous molecules and organelles, which constitute a growing focus of interest in fundamental research. Among these intrinsic factors, **sperm centrioles**, **sperm mitochondria**, **sperm proteins**, and **sperm RNAs** are particularly interesting with respect to their potential contribution to the post-fertilization process (**Figure 4**). As shown in **Figure 2**, sperm **centrioles** are localized in the sperm neck while sperm **mitochondria** are arranged in the midpiece. Since mature spermatozoa possess a minimal amount of cytoplasm and a nucleus with highly condensed DNA that prevents transcription, the presence of **proteins** and **RNAs** in sperm cells is limited. However, several proteins and ribonucleic acid molecules are likely acquired by the maturing spermatozoa during spermatogenesis and their transit through the epididymis and post-ejaculatory journey (Boerke et al., 2007).



## RNAS SPECIES ARE MAJOR SPERM INTRINSIC FACTORS

## microRNAs and Other Sperm RNA Species

Sperm RNAs were first described in the 1970s in murine and bovine spermatozoa (Betlach and Erickson, 1973; Paul and Duerksen, 1975). Given the limited quantity of cytoplasm and high level of sperm DNA condensation, this finding has been questioned as RNAs were potentially providing from mitochondria or contamination from somatic cells (Krawetz, 2005). In that regards, the roles and the origin of sperm RNAs remain to be determined (Ostermeier et al., 2004; Krawetz, 2005).

Four different RNA species can be distinguished in spermatozoa (Boerke et al., 2007): (1) mRNAs that are remnants from the spermatogenesis process with no known function in the oocyte (e.g., protamine-2 encoding RNA, Ziyyat, 2001); (2) mRNAs that originate from spermatogenesis with a potential function in the oocytes (e.g., PLC $\zeta$  encoding RNA, Saunders et al., 2002); (3) mRNAs classified as "foreign" RNAs as a

result of their acquisition by sperm during passage through the epididymis and by the contact with the fluids coming from the accessory sexual glands (e.g., clusterin encoding RNA, Hermo et al., 1994); and (4) non-coding RNAs (ncRNAs) that are acquired during the late steps of spermatogenesis or during post-testicular sperm maturation with a potential function at the time of fertilization (e.g., miRNAs and tRNA derived fragments, Sharma et al., 2016; Yuan et al., 2016). Among RNA species present in spermatozoa, ribosomal RNAs and mitochondrial RNAs (mtRNAs) are the most abundant, followed by ncRNAs. In contrast to somatic cells that comprise approximately 10 pg of RNA, mature spermatozoa carry around a 1,000-fold lower RNA content (Odia et al., 2018).

In addition to mRNAs, other sperm-borne RNA species, including miRNAs have been associated with sperm cell fertility status and early embryo development (Liu et al., 2012). The central dogma of molecular biology supports that genes are transcribed in the form of mRNAs, which in turn are translated into proteins, with the exception of structural RNAs such as ribosomal RNA (Mattick, 2001). Advancements in molecular biology and studies on the human genome have revealed that only 2% of all genes actually encode proteins (Mattick, 2001). In addition, many genomic sequences that were first described as "junk DNA" due to the fact that they did not encode proteins and had no apparent function, now appear to play an important role as post-transcriptional modulators. In this context, a large group of RNAs called non-coding RNAs (mcRNAs) has been identified in spermatozoa, including miRNAs (Wightman et al., 1993).

MicroRNAs are ~18-22-nucleotide sequences that modulate gene expression following their pairing with the untranslated region (3'UTR) of target transcripts (Ambros, 2004; Bartel, 2004). The biosynthesis of miRNAs is performed in the cell nucleus with the generation of primary miRNA transcripts (primiRNA) and then precursor miRNAs (pre-miRNA), which are transported to the cytoplasm. In the cytoplasm, the hairpin structure is cleaved by Dicer, resulting in small double-stranded transcripts. The miRNA double strand is then transferred to Argonaut proteins, which bind to the mature miRNA also called guide-miRNA. This complex promotes gene silencing through translational inhibition or cleavage of mRNA (Ambros, 2004; Bartel, 2004). Such cleavage is common in plants and occurs when the pairing between the miRNA and 3'UTR region is perfect. On the other hand, an imperfect pairing implies the blockade of translation machinery on that particular mRNA without degradation-the most common process in animals (Bartel, 2004, 2018).

Of interest is that miRNAs either act in the cell where they are transcribed or in target cells through an intercellular communication mechanism (Raposo and Stoorvogel, 2013). Extracellular vesicles are important mediators of this communication. Exosomes comprise a population of small (40-160 nm) extracellular vesicles derived from intracellular multivesicular bodies carrying diverse bioactive molecules, including miRNAs, mRNAs and proteins (Raposo and Stoorvogel, 2013). Once exosomes are released into the extracellular environment, they can be internalized by recipient target cells (Raposo and Stoorvogel, 2013).

TABLE 1 | Correlation between sperm morpho-functional attributes and male fertility.

Sperm morpho-functional	Definition	Required equipment	Correlation with fertility	References
attribute				
Sperm subjective motility	Maximum percentage of sperm cells with movement	Light field optical microscope, preferably with phase contrast	r = 0.53 (P < 0.01) ( <sup>1</sup> 60 to 90-day non-return)	Correa et al., 1997
			r = 0.67 (P = 0.03) ( <sup>1</sup> 56-day non-return)	Gillan et al., 2008
Computer assisted sperm analysis (CASA)	Percentage of sperm with motility and measurement of different sperm kinetic features	Light field optical microscope, with phase contrast coupled to a computer	WOB <sup>2</sup> : <i>r</i> = 0.57 ( <i>P</i> < 0.05) ( <sup>1</sup> 56-day non-return)	Morrell et al., 2018
			r = 0.67 (P = 0.03) ( <sup>1</sup> 56-day non-return)	Gillan et al., 2008
			VSL <sup>3</sup> : <i>r</i> = -0.34 ( <i>P</i> < 0.05) ( <sup>1</sup> 56-day non-return)	Sellem et al., 2015
			ALH <sup>4</sup> and progressive motility presented $r^2$ of 0.68	Farrell et al., 1998
			ALH <sup>4</sup> , BCF <sup>5</sup> , LIN <sup>6</sup> , VAP <sup>7</sup> and VSL <sup>3</sup> presented $r^2$ of 0.98	Farrell et al., 1998
Sperm morphological abnormalities	Percentage of cells that present with form defects, often called abnormal cell percentage (Blom, 1973)	Light field optical microscope preferably with differential interference contrast	r = -0.59 (P = 0.01) ( <sup>1</sup> 60 to 90-day non-return)	Correa et al., 1997
			$r = -0.76 (P < 0.05) (^{1}56$ -day non-return)	Gillan et al., 2008
			$r = -0.62 \ (P < 0.05) \ (^{1}56$ -day non-return)	Morrell et al., 2018
IPIAH <sup>8</sup>	Percentage of IPIAH <sup>8</sup> sperm	Fluorescence microscopy or flow cytometry	High IPIAH <sup>8</sup> (44.5%): 64.7% of pregnancy rate <sup>9</sup> Low IPIAH <sup>8</sup> (8.5%): 36.2% of pregnancy rate <sup>9</sup>	Oliveira et al., 2014
ROS	Percentage of sperm cells producing ROS	Fluorescence microscopy or flow cytometry	High ROS (10.63%): low fertility rates Low ROS (6.11%): high fertility rates	Celeghini et al., 2019
DNA fragmentation	Percentage of sperm cells with DNA fragmentation	Fluorescence microscopy or flow cytometry	<i>r</i> = −0.56 ( <i>P</i> < 0.01)	Morrell et al., 2018
Capacitation status	Percentage of acrosome-reacted spermatozoa	Fluorescence microscopy or flow cytometry	$r = 0.37 \ (P < 0.05) \ (^1$ litter size)	Kwon et al., 2015

<sup>1</sup> Fertility measurement; <sup>2</sup>wobble (%); <sup>3</sup>progressive velocity (μm/s); <sup>4</sup>lateral head amplitude (μm); <sup>5</sup>beat cross frequency (Hz); <sup>6</sup>linearity (%); <sup>7</sup>path velocity (μm/s); <sup>8</sup>spermatozoa with intact plasma and acrosome membranes and high mitochondrial membrane potential; <sup>9</sup>ultrassonography exam 30 days after insemination.

Exosomes are released from different internal organs of the male reproductive tract, including the epididymis (epididymosomes) and prostate (prostasomes) (Sullivan and Saez, 2013). Epididymosomes play a key role during cellular communication that promotes sperm maturation during spermatozoa passage into the microenvironment of the epididymis (Belleannée, 2015).

In general, miRNAs are post-transcriptional regulators that play important roles in physiological processes and their impairment results in different pathologies. These molecules have been shown to have great importance in the regulation of spermatogenesis (Papaioannou et al., 2009; Kotaja, 2014) and sperm maturation during sperm passage through the epididymis (Björkgren et al., 2012; Jerczynski et al., 2016; Sipilä and Björkgren, 2016) as shown in **Figure 5**. With the knowledge that miRNAs are very stable, conserved bioactive molecules derived from the different internal organs of the male reproductive tract, they could be considered potent fertility biomarkers. In light of these findings, sperm cells have gained the status of gametes that

are not only responsible for transporting genetic material, but also as contributors to the activation of the oocyte and the cellular structure and molecular components of the zygote as shown in **Figure 5**.

### miRNAs and Spermatogenesis

Spermatogenesis is a well-organized process that culminates in the production of sperm cells. Conditional knock-out of the miRNA processing enzyme Dicer1 in Sertoli cells triggers male infertility due to the absence of sperm in the lumen of the seminiferous tubules and spermatogenesis disorders (Papaioannou et al., 2009; Zimmermann et al., 2014), suggesting that the biogenesis of small non-coding RNA is important to spermatogenesis. Indeed, each cell type of the seminiferous tubule has a different miRNA profile that plays an important role in proliferation and differentiation (Hayashi et al., 2008; Smorag et al., 2012). In pigs, miR-26a has been shown to inhibit proliferation and to promote apoptosis in Sertoli cells, thus impairing sperm production (Ran et al., 2018). In mice, miR–221 and



FIGURE 3 | Morpho-functional sperm features. Schematic figure representing the spermatozoa with satisfactory (left) and unsatisfactory (right) morpho-functional features. Sperm acrosome membrane integrity, sperm plasma membrane integrity, sperm DNA integrity, low quantity of ROS, sperm mitochondrial membrane high activity, high sperm motility and normal sperm morphology characterize the satisfactory morpho-functional sperm features. Sperm acrosome membrane damage, sperm plasma membrane damage, sperm plasma membrane damage, sperm motility of ROS, sperm mitochondrial membrane low activity, low sperm motility and abnormal sperm morphology characterize the unsatisfactory morpho-functional sperm features.

miR-290 regulate the proliferation of spermatogonia and primary spermatocytes (Smorag et al., 2012). In addition, miR-203 modulates spermatocyte meiosis and the miR-34 family regulates the formation of spermatids (Smorag et al., 2012). In humans, pigs and mice, the miR-34 family is also important in the regulation of spermatogenesis (Bouhallier et al., 2010; Zimmermann et al., 2014). Thus, miRNAs such as miR-26a, miR-221, miR-290, miR-203, and the miR-34 have been proposed to contribute to spermatozoa production (**Figure 5**), as their dysregulation results in disturbances in spermatogenesis and consequently a decrease in male fertility potential.

## miRNAs and Sperm Maturation

Once produced in the testis, spermatozoa must pass through the epididymis to acquire their motility and oocyte-fertilizing ability. During their passage through the different epididymal segments, spermatozoa come into contact with different repertoires of ncRNAs, transcripts and proteins that are released from the epidielium of the epididymis mostly via epididymosomes (Sullivan et al., 2007; Belleannée et al., 2013; Sullivan, 2015; Reilly et al., 2016; Sharma et al., 2018). The transfer of proteins and other contents from epididymosomes to spermatozoa has been proposed to occur via the dynamin 1 mechanoenzyme following the tethering of epididymosomes to specific sperm







FIGURE 5 | Schematic figure demonstrating the contribution of microRNAs (miRNAs) in the reproductive events. The sperm-related-miRNAs molecules display functions in spermatogenesis (testis), sperm maturation (epididymis *caput*, *corpus*, and *cauda*), sperm and seminal plasma interaction (e.g., epididymosomes) as well as modulating early embryo development.

receptors located in the post-acrosomal region and the fusion of epididymosomes with the sperm membrane (Zhou et al., 2019). In mice, sperm miRNAs present in the sperm are altered along the epididymis (**Figure 5**), showing that the sperm miRNA content is dynamic even following spermatogenesis (Nixon et al., 2015).

Conditional knock-out mice for the Dicer enzyme in principal cells of the epididymis dysregulated the differentiation process (Björkgren et al., 2012). Thus, biogenesis of ncRNAs seems to be important in the regulation of epididymal epithelium, sperm maturation and fertility. While miR-10a/b, -21a, -29c, -196b-5p, -199a, -200b/c, and -208b-3p accumulate in spermatozoa during passage through the epididymis, miR-204b-5p and miR-375-3p are more abundant in spermatozoa from the *caput* and *corpus* of the epididymis (Reilly et al., 2016; Sharma et al., 2018). Epididymosomes also display different miRNA profiles and traffic small RNAs to spermatozoa (Sharma et al., 2018), including miR-143, -145, -199, and -214 that are more abundant in epididymosomes present in the caput of the bovine epididymis, and miR-395, -654, and -1224 that are more abundant in epididymosomes from the cauda (Belleannée et al., 2013). In men with reproductive abnormalities, miRNAs present in seminal plasma (miR-34c-5p, -122, -146b-5p, -181a, -374b, -509-5p, and -513a-5p) decreased in abundance in men presenting with azoospermia (absence of spermatozoa in the ejaculate) and increased in abundance in men presenting with asthenozoospermia (decreased sperm motility) (Wang et al., 2011). Although studies have shown the importance of miRNAs along the epididymis, further work is required to show how miRNAs are able to regulate sperm maturation during passage of spermatozoa through the epididymis and how regulation of motility acquisition and the ability of the sperm to become fertile occur.

## CONTRIBUTION OF SPERM INTRINSIC FACTORS TO POST-FERTILIZATION PROCESSES

For many years it was postulated that sperm cells had the exclusive function of transporting genetic material to the oocyte. However, at the end of the 20th century, this sole contribution was challenged by the fact that specific sperm intrinsic factors, including sperm centrioles, sperm proteins, ribonucleic acids and mitochondrial DNA (mtDNA) could contribute to fertilization and/or early embryo development (**Figure 6**).

## Contribution of the Sperm Centriole to Embryo Development

Centrioles are cytoplasmic structures involved in cell division and in the formation of cilia and flagella (Avidor-Reiss and Fishman, 2019). While spermatozoa present with centrioles arranged in the neck of their tail (**Figure 2**), mature oocytes lack centrioles (Simerly et al., 2018). Therefore, sperm-derived centrioles ensure the formation of sperm aster and centrosome in the fertilized oocyte, which bring the female and male pronuclei into close proximity and promote syngamy (Simerly et al., 1995; Schatten and Sun, 2009), as shown in Figure 4. Thus, centrioles are organelles that ensure proper embryonic development. In addition, the proper formation of the sperm aster is an important factor related to male fertility. For instance, large and well organized sperm aster formations in human spermatozoa correlate with samples that present with high fertility, while small and disorganized sperm aster formations correlate with low fertility samples (Navara et al., 1997). Therefore, beyond the transmission of the centrioles by the sperm cells, the quality of the sperm-borne centrioles is also important to embryo development. It has recently been shown that sperm from non-rodent mammals are responsible for transmitting a second atypical centriole to oocyte (Fishman et al., 2018). This centriole potentially forms the sperm aster and centrosome in the oocytes and, together with the first centrille, supports the fusion between the female and male pronucleus.

## The Questioned Contribution of Sperm Mitochondrial DNA to Embryo Paternal Inheritance

Mitochondria are peculiar organelles that possess a specific DNA type: mtDNA. This organelle is essentially responsible for energy production, which is important to the cell's activity. During spermatogenesis, sperm mitochondria change from a conventional to a condensed form that ensures more efficient energy production (Amaral et al., 2013). While some mitochondria are lost during spermatogenesis, between 22 and 75 mitochondria remain in the midpiece of the mature spermatozoon depending on species (Figure 2) (Bahr and Engler, 1970; Song and Lewis, 2008). Although spermatic mitochondria also contain mtDNA, the current paradigm is that the embryo exclusively possesses maternal mitochondria and, consequently, mitochondrial diseases are only inherited from the mother (Hecht et al., 1984). However, the inheritance of paternal mtDNA was suggested following the discovery of a high level of mtDNA heteroplasmy, i.e., mix of maternal and paternal mitochondrial genome, in mouse (Gyllensten et al., 1991) and human (17 individuals) (Luo et al., 2018) as schematized in Figure 4. These findings open new research avenues to better understand mitochondrial disease inheritance. However, further studies are necessary to confirm this potential paradigm shift.

# Contribution of Sperm Proteins to Oocyte Activation

While mature spermatozoa are transcriptionally inactive upon their entrance to the epididymis, their proteome is dynamic due to the acquisition of proteins from the surrounding fluid, the cleavage of others from the sperm surface, and the rearrangement of acrosomal proteins during sperm capacitation. These proteins ensure diverse functions at different stages including: **sperm maturation**, e.g., the glioma pathogenesis-related 1-like protein 1 (GLiPr1L1) that is acquired by spermatozoa and participates in the binding of sperm cells to zona pellucida (Caballero et al., 2012); **tagging of sperm sub-populations to ensure heterogeneity**, e.g., the sperm binding protein 1 (ELSPBP1) that discriminates dead spermatozoa (D'Amours et al., 2012); and



**sperm ability to bind to the oocyte**, e.g., Spermadhesin AWN (AWN) that promotes sperm binding to oocyte's zona pellucida during fertilization (Kwon et al., 2014).

In addition to these proteins, sperm cells also acquire proteins during the final steps of spermatogenesis that are important to **oocyte activation**, e.g., the sperm-specific WW domain-binding protein (PAWP) and phospholipase C zeta (PLC $\zeta$ ) (Saunders et al., 2002; Wu et al., 2007). Among these spermatic proteins, PLC $\zeta$  is an important player during fertilization, since it triggers intracellular calcium impulses required for oocyte activation (Saunders et al., 2002; Kashir et al., 2010). As a result of these impulses, and once fertilized, the metaphase II mature oocyte is able to resume and progress through meiotic division. This ensures the formation of female and male pronuclei, followed by syngamy (**Figure 4**). The presence of these proteins in spermatozoa positively correlates with male fertility (Saunders et al., 2002; Aarabi et al., 2014).

#### Contribution of Sperm RNA Species to Embryo Development Sperm RNAs

The detection of sperm-borne RNAs, including *clusterin* and *AKAP4*, in embryos raised questions regarding their

potential contribution to the molecular regulation of embryonic development (Ostermeier et al., 2004). The discovery of zygote transcripts originating exclusively from spermatozoa opened new avenues into the investigation of sperm RNAs and their importance to embryo development (Ostermeier et al., 2005; Jodar et al., 2013). Most sperm RNAs are transcribed and then stored or inactivated in spermatozoa during spermiogenesis. During this stage of spermatogenesis, histones are replaced by protamines in sperm DNA, changing the shape of nucleosomes to a toroid structure with high sperm nuclear condensation culminating in gene expression silencing. However, 1% of histones in mice and 10-15% of histones in humans and cattle remain in the form of nucleosomes in spermatozoa (Samans et al., 2014). The remaining nucleosomes in human and bovine spermatozoa are located in similar promoter regions, indicating transcriptional conservation of specific genes related to early embryonic development (Samans et al., 2014). Even if the presence of RNAs is now accepted in spermatozoa, there is no evidence showing that RNAs can be transcribed from DNA in mature spermatozoa. Most of the RNAs present in sperm cells are thus potentially acquired during spermatogenesis as well as during passage through the epididymis.

Even though sperm cells possess limited quantities of sperm RNAs, sperm-borne RNAs have been associated with many relevant reproductive processes and several correlate with fertility potential in the bull, including AK1 (adenylate kinase 1;  $R^2 = 0.90$ ), IB (integrin  $\beta 5$ ,  $R^2 = 0.95$ ), NGF ( $R^2 = 0.47$ ), TIMP (tissue inhibitor of metalloproteinases,  $R^2 = 0.39$ ), SNRPN (small nuclear ribonucleoprotein polypeptide N,  $R^2 = 0.71$ ) and PLC $\zeta$  (phospholipase C,  $R^2 = 0.69$ ) (Kasimanickam et al., 2012). Similarly, the presence of 415 transcripts out of 24,000, were found in different proportions between bovine spermatozoa presenting with high or low fertility potential (Feugang et al., 2010). In human sperm cells, ANXA2 (Annexin A2), BRD2 (Bromodomain Containing 2), OAZ3 (Ornithine Decarboxylase Antizyme 3), PRM1 (Protamine 1) and PRM2 (Protamine 2) transcripts were present in lower amounts in sperm cells with low motility (Jodar et al., 2013).

#### Sperm miRNAs

Until embryonic gene activation, i.e., when the embryo begins to transcribe its newly formed genome, embryo development relies on the expression of maternal transcripts originating from the oocyte and, to a lesser extent, from spermatozoa. MicroRNAs are also delivered by gametes to the embryos. Until activation of the embryonic genome the abundance of miRNA transcripts present in the embryo decreases until embryonic gene activation begins (Tang et al., 2007). Murine embryos produced from Dicer enzyme knock-out oocytes fail to undergo the first cell division, demonstrating the importance of the miRNAs or other ncRNAs contributed by the female gamete during early embryonic development (Tang et al., 2007). Similarly, murine embryos generated with normal oocytes and Dicer or Drosha enzyme knock-out spermatozoa showed decreased development rates, which recovered following the replacement of miRNAs (Yuan et al., 2016). In addition, the inhibition of miR-34c, which is exclusively delivered by sperm to the embryo in mice (Liu et al., 2012), but not in cattle (Tscherner et al., 2014), prevents the first cleavage (Liu et al., 2012). Sperm-borne miR-449b overexpression in cloned embryos is associated with enhanced cleavage rates at the 8-cell stage and lower rates of apoptosis in the blastocyst (Wang et al., 2017). Sperm-borne miR-216b was recently shown to be present at higher abundance in spermatozoa from low fertility bulls and is associated with a reduced first cleavage rate as well as a reduced number of cells in blastocysts (Alves et al., 2019). In parallel, the RNAs that are acquired by spermatozoa during epididymal transit were shown to be essential for embryo implantation and development in mice (Conine et al., 2018, 2019). Thus, despite the decrease in miRNA levels until activation of the embryonic genome, proper embryo development potentially relies on miRNAs delivered by the gametes (Figure 5).

## Contribution of Small Non-coding RNAs to Transgenerational Inheritance of Traits

The transgenerational inheritance of traits concerns the transmission of characteristics from the parents to the offspring, i.e., heritable phenotype, without altering the DNA sequence (Weinhold, 2006). Following advances in the field of epigenetics,

i.e., the study of inheritance that is not based on DNA sequence, but on how the DNA sequence is utilized, miRNAs transmitted by spermatozoa at the time of fertilization are considered epigenetic factors that have some effects on the offspring.

Environmental conditions such as toxicant exposure (Schuster et al., 2016), mental stress (Rodgers et al., 2013), alcohol consumption (Rompala et al., 2018), and low protein or high fat diet (Sharma et al., 2016) are considered principal modulators of epigenetic markers by promoting alterations in the sperm "epigenome." Rodgers et al. (2015) identified nine miRNAs that had altered abundance in the sperm cells from mice submitted to chronic stress. Surprisingly, the injection of these nine miRNAs into embryos of non-stressed parents recapitulated the effects observed in parents undergoing chronic stress (Rodgers et al., 2015). In a similar manner, regulation of another group of ncRNAs in sperm cells, the tRNA-derived fragments, was dependent on the diet of the sire and this influenced the offspring phenotype (Sharma et al., 2016). These studies show that spermborne ncRNAs potentially own epigenetic influence. However, further studies are necessary in order to explain the mechanism of action of these molecules in the offspring.

## LINKING MIRNAS TO MALE (IN)FERTILITY STATUS

Since miRNAs play an important role in the physiological processes related to spermatogenesis, sperm maturation and early embryonic development (**Figure 5**), these molecules have the potential to modulate sperm morpho-functional features as well as male fertility ability. In that regards, different miRNA profiles have been associated with different sperm quality levels and fertility phenotypes from animal models and human clinical samples as listed in **Table 2**.

Functional studies have been carried out in knock-out mouse models to determine the contribution of individual miRNAs to male fertility (Comazzetto et al., 2014; Wu et al., 2014). For instance, simultaneous deletion of miR34b/c and miR-449 triggered male infertility following the impairment of spermatogenesis, motile ciliogenesis, and sperm maturation (Comazzetto et al., 2014; Wu et al., 2014). While targeted deletion is essential to unravel the function of specific miRNAs *in vivo*, phenotypic effects are rarely observed after invalidation of a single miRNA-encoding gene due to compensatory functions of related miRNAs. These functional approaches were complemented by several correlative studies performed in large mammals and humans.

Within livestock animals, bulls that presented satisfactory sperm quality and history of distinct fertility (high *vs.* low fertility) displayed different profiles of sperm miRNAs that are summarized in **Table 2** (Fagerlind et al., 2015; Alves et al., 2019; Menezes et al., 2019). Similarly, spermatozoa from boar featuring low motility and a high percentage of abnormalities, displayed a higher abundance of the miRNAs: let-7a, let-7d, let-7e, and miR-22; and lower abundance of miR-15b (Curry et al., 2011) compared with spermatozoa presenting with normal features. In addition, Capra et al. (2017) sorted spermatozoa

#### TABLE 2 | Sperm miRNAs associated with different infertility issues in porcine, human, bovine and mouse.

miRNAs	Abundance	Phenotype associate	Specie	References
let-7a	Down-regulated	Low abnormalities	Porcine	Curry et al., 2011
let-7a-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
let-7d	Down-regulated	Low abnormalities/High motility	Porcine	Curry et al., 2011
let-7e	Down-regulated	Low abnormalities/High motility	Porcine	Curry et al., 2011
let-7f-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-9-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-15a	Down-regulated	High fertility	Bovine	Menezes et al., 2019
miR-15b	Up-regulated	Low abnormalities	Porcine	Curry et al., 2011
miR-15b	Down-regulated	Oligoasthenozoospermic	Human	Abu-Halima et al., 2013
miR-16	Down-regulated	Oligoasthenozoospermic	Human	Abu-Halima et al., 2013
miR-17-5p	Up-regulated	High motility sperm	Bovine	Capra et al., 2017
miR-19a	Down-regulated	Oligoasthenozoospermic	Human	Abu-Halima et al., 2013
miR-19b-3p	Down-regulated	High fertility	Bovine	Fagerlind et al., 2015
miR-20a-5p	Up-regulated	High motility sperm	Bovine	Capra et al., 2017
miR-22	Down-regulated	Low abnormalities	Porcine	Curry et al., 2011
miR-22-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-26a-5p	Up-regulated	High motility sperm	Bovine	Capra et al., 2017
miR-27a-5p	Down-regulated	High fertility	Bovine	Fagerlind et al., 2015
miR-29b	Down-regulated	High fertility	Bovine	Menezes et al., 2019
miR-30b-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-30d-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-33b	Up-regulated	High fertility	Bovine	Alves et al., 2019
miR-34a-5p	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-34b	Down-regulated	Oligoasthenozoospermic	Human	Abu-Halima et al., 2013
miR-34c	Up-regulated	High fertility	Mouse	Liu et al., 2012
miR-34c-5p	Down-regulated	Oligoasthenozoospermic	Human	Abu-Halima et al., 2013
miR-34c-3p	Down-regulated	High fertility	Bovine	Fagerlind et al., 2015
miR-93-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-103a-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
, miR-122	Down-regulated	Oligoasthenozoospermic	Human	Abu-Halima et al., 2013
miR-122-5p	Down-regulated	High motility sperm	Bovine	Capra et al., 2017
miR-126-5p	Up-regulated	High fertility	Bovine	Alves et al., 2019
miR-127	Down-regulated	Capacitated sperm	Porcine	Li et al., 2018
miR-130b-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-132-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-145-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-148b-3p	Down-regulated	High fertility	Bovine	Fagerlind et al., 2015
miR-149-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miB-151-3p	Up-regulated	Capacitated sperm	Porcine	Lietal 2018
miR-152	Down-regulated	Capacitated sperm	Porcine	Lietal 2018
miR-181a-3p	Down-regulated	High fertility	Human	Salas-Huetos et al. 2016
miR-184	Down-regulated	High motility sperm	Bovine	Capra et al 2017
miR-193h-5n	Down-regulated	High fertility	Human	Salas-Huetos et al. 2016
miR-205	Lip-regulated	High fertility	Bovine	Alves et al. 2019
miR-208a	Up-regulated	High fertility	Human	Salas-Huetos et al. 2016
miR-212-3n		High fertility	Human	Salas-Huetos et al., 2016
miR-216h	Down-regulated	High fertility	Bovine	Alves et al. 2010
miR-222-5n	Down-regulated	High fertility	Human	Salas-Huetos et al 2016
miR-296-5n	Down-regulated	High fertility	Human	Salas-Huetos et al., 2010
miR-320a	Down-regulated	High fertility	Bovine	Eagerlind et al. 2015
miR-324-3n		High fertility	Human	Salas-Huptos et al. 2010
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(Continued)

#### TABLE 2 | Continued

miRNAs	Abundance	Phenotype associate	Specie	References
miR-339a	Down-regulated	High fertility	Bovine	Alves et al., 2019
miR-339-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-340-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-346	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-365a-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-432-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-449a	Down-regulated	Oligoasthenozoospermic	Human	Abu-Halima et al., 2013
miR-483-5p	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-486-5p	Down-regulated	High motility sperm	Bovine	Capra et al., 2017
miR-487a	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-491-5p	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-500	Up-regulated	High fertility	Bovine	Alves et al., 2019
miR-502-5p	Down-regulated	High fertility	Bovine	Fagerlind et al., 2015
miR-505	Up-regulated	High fertility	Bovine	Alves et al., 2019
miR-517-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-518d-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-518f-3p	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-520c-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-520d-3p	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-526b-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-532	Up-regulated	High fertility	Bovine	Alves et al., 2019
miR-542-5p	Up-regulated	High fertility	Bovine	Alves et al., 2019
miR-543	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-552	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-564	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-573	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-596	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-622	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-636	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-644a	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-659-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-708-5p	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-744-5p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-766-3p	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-935	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-942	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-1249	Down-regulated	High fertility	Bovine	Fagerlind et al., 2015
miR-1254	Up-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-1285	Down-regulated	Capacitated sperm	Porcine	Li et al., 2018
miR-1296	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-1298	Down-regulated	High fertility	Human	Salas-Huetos et al., 2016
miR-1973	Up-regulated	Asthenozoospermic	Human	Abu-Halima et al., 2013
miR-1973	Down-regulated	Oligoasthenozoospermic	Human	Abu-Halima et al., 2013

according to their motility and showed that miR-17-5p, -20a-5p, -26a-5p, -122-5p, -184, and -486-5p were differently displayed between the two subpopulations (Capra et al., 2017). Capacitated and non-capacitated spermatozoa also appeared to show different miRNAs profiles. For instance, Li et al. (2018) showed that miR-127, -151-3p, -152, -1285 were differently expressed in *in natura* (fresh) compared to capacitated boar sperm (Li et al., 2018). Based on that sperm miRNAs features, variation in expression of miRNAs associated with economically important infertility issues might

open avenues to the development of selection programs in livestock animals. Targeting seminal miRNAs candidates as noninvasive biomarkers for male infertility could thus have profound economic impact on livestock industry.

In humans, sperm miRNA profiles from fertile and infertile men accompanied or not by morpho-functional sperm alterations have been extensively investigated. In that regards, men with reproductive disorders presented different sperm miRNA profiles compared with normospermic control individuals: *asthenozoospermia* (low sperm motility) was associated with higher abundance of 50 sperm miRNAs, among then hsa-miR-34b, -122, and -1973, and lower abundance of 27 sperm miRNAs; oligoasthenozoospermia (low sperm concentration and low sperm motility) was associated with higher abundance of 42 miRNAs (hsamiR-15b, -16, -19a, -34b, -34c-5p, -122, -449a e -1973 were the most abundant) and lower abundance of 44 miRNAs (Abu-Halima et al., 2013); teratozoospermia (abnormal sperm morphology) was associated with the downregulation of six miRNAs (hsa-miR-151-5p, -935, -125a-3p, -132-5p, -320b, -195-5p) (Salas-Huetos et al., 2015). On the other hand, the sperm miRNA profile of men who presented normal semen quality (normozoospermic), but had a different fertility performance (fertile vs. infertile) showed that 57 miRNAs were presented at a different abundance between the groups. Among these miRNAs miR-15b-5p and 34a-5p, were related to pathways linked to embryonic development and chromatin remodeling (Salas-Huetos et al., 2016). Correlations were also observed between sperm miRNA content and assisted reproductive technology (ART) outcomes. For instance, the study from Cui et al. (2015) indicated that the proportion of good quality embryos obtained after 3 days post-ICSI positively correlated with the amount of hsa-miR-34c found in spermatozoa (Cui et al., 2015). While several confounding factors might be considered before drawing extrapolative conclusions, some spermatic miRNAs may thus become predictive biomarker of defective spermatozoa and ART outcome. Tentative explanations have been proposed regarding the molecular mechanism involved in these correlative observations between sperm miRNA profiles and fertility levels. For instance, hsa-miR-27a that is increased in asthenoteratozoospermic patients regulates the post-transcriptional expression of the Cysteine-Rich Secretory Protein2 (CRISP2), which encodes for a protein playing a role in sperm motility, acrosome reaction and gamete fusion (Zhou et al., 2017).

Overall, the different studies performed on human clinical samples as well as on animal models have shown that different profiles of sperm miRNA content can be observed according to sperm morpho-functional features and fertility status. Extracellular miRNAs correlated with male infertility issues are also retrieved in seminal plasma (Barceló et al., 2018),

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which encompasses secretions from the different internal organs of the male reproductive tract. While both spermatic and extracellular RNA could be used as molecular targets for the noninvasive diagnosis of male infertility and reproductive system diseases, a better understanding of miRNA-mediated regulation of reproductive functions is needed.

#### FINAL CONSIDERATIONS

In summary, sperm cells should display specific factors to fulfill the "healthy sperm" concept, including proper morphofunctional features in addition to intrinsic factors. While sperm cell morpho-functional attributes are not always sufficient to predict male fertility potential, spermatozoa carry different factors, including organelles (centrioles, mitochondria) and molecules (proteins, RNAs, ncRNAs), which are involved in important steps of reproductive biology, e.g., spermatogenesis, sperm maturation, fertilization and embryo development. These factors constitute potential biomarkers of "healthy sperm" and male fertility status and may become major assets for diagnosing instances of idiopathic male infertility in both humans and livestock animals. A better understanding of the mechanism of action of these sperm intrinsic factors in the regulation of reproductive and developmental processes still presents a major challenge that must be addressed.

#### **AUTHOR CONTRIBUTIONS**

CB, MA, and EC wrote the manuscript and designed the figures. All the authors revised the manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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