

ORIGINAL RESEARCH

Patient characteristics, treatment patterns, and health outcomes among COPD phenotypes

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¹Global Health Outcomes, Merck and Co, Inc, West Point, PA, ²Health Outcomes Practice, Kantar Health, Princeton, NJ, ³Health Outcomes Practice, Kantar Health, New York, NY, USA **Background:** Recent literature has suggested that emphysema and chronic bronchitis, traditionally considered to be entities overlapping within chronic obstructive pulmonary disease (COPD), may be distinct disorders. Few studies have examined the differences in patient characteristics and health outcomes between these conditions. This study examined whether COPD phenotypes represent distinct patient populations, in a large nationally representative US sample.

Methods: Data were obtained from the 2010 US National Health and Wellness Survey (NHWS). NHWS respondents (n = 75,000) were categorized as a COPD phenotype based on their self-reported diagnosis of COPD only (n = 970), emphysema only (n = 399), or chronic bronchitis only (n = 2071). Phenotypes were compared on demographics, health characteristics, treatment patterns, health outcomes, work productivity, and resource use. Variables were compared using Chi-square and analysis of variance tests for categorical and continuous outcomes, respectively. Health outcomes were also examined using regression modeling, controlling for demographic and health characteristic covariates.

Results: Patients with chronic bronchitis were significantly younger (51.38 years versus 63.24 years for COPD versus 63.30 years for emphysema, P < 0.05) and more likely to be employed (46.98% versus 23.81% for COPD versus 28.33% for emphysema, P < 0.05). Relative to the other phenotypes, patients with chronic bronchitis were also significantly more likely to be female, nonwhite, and to exercise currently (all P < 0.05), and were significantly less likely to be a current or former smoker (P < 0.05). Controlling for demographic and health characteristics, patients self-identified as having COPD only reported significantly worse physical quality of life (adjusted mean 36.69) and health utilities (adjusted mean 0.65) and significantly more absenteeism (adjusted mean 7.08%), presenteeism (adjusted mean 30.73%), overall work impairment (adjusted mean 34.06%), and activity impairment (adjusted mean 46.59%) than the other phenotypes (all P < 0.05).

Conclusion: These results suggest considerable heterogeneity among different COPD phenotypes with respect to demographics, health characteristics, disease characteristics, treatment patterns, and health outcomes. Research aimed at understanding the differences in patient characteristics and disease presentation of these phenotypes could be used to guide treatment recommendations.

Keywords: chronic obstructive pulmonary disease, emphysema, chronic bronchitis, quality of life, work productivity, health care resource use

Introduction

Chronic obstructive pulmonary disease (COPD) refers to a progressive condition that can result from a number of disease states, most frequently emphysema and chronic bronchitis, along with a subset of asthma sufferers. As observed by Soriano et al,

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there is a substantial, but not complete, overlap among those diagnosed with these disease states, suggesting that a degree of variability exists among patients diagnosed with COPD.²

Recent research has proposed that the heterogeneity associated with the clinical presentation, disease course, and treatment of COPD is sufficiently varied to warrant the identification and classification of phenotypes to guide effective clinical care.^{3–5} Indeed, the most recent Global Initiative for Obstructive Lung Disease (GOLD) guidelines note the historical imprecision with which the terms "emphysema" and "chronic bronchitis" have been used to describe patients with COPD.⁶

Several studies have suggested that the presence of COPD is associated with reduced quality of life, ^{7–11} impaired functioning ^{12,13} and greater direct ^{10,11,14,15} and indirect costs. ^{10,11,16,17} However, these studies have assumed a degree of homogeneity among different COPD phenotypes that may not exist. Consequently, potential differences in patient characteristics and outcomes based on phenotype distinction remain unknown.

The few research studies that have examined specific phenotype characteristics and outcomes have reported significant differences, thus establishing a need for further investigation. Hardin et al compared COPD sufferers with and without asthma. They reported that the patients diagnosed with both conditions had significantly worse quality of life. Similarly, Mapel et al reported differences in health care utilization by COPD patients based on complexity of pulmonary and nonpulmonary comorbidities. Finally, Kanervisto et al examined impairment in activities of daily living between those with COPD and those with chronic bronchitis, reporting that the burden was greater for those with COPD.

The objective of this study was to extend this prior research to understand better the patient characteristics, treatment patterns, and health outcomes of those diagnosed with chronic bronchitis, emphysema, or COPD. By examining each phenotype in its purest form (ie, excluding those with multiple diagnoses of the above conditions), the true extent of the differences between these phenotypes can be observed.

Methods and materials

Sample source

The current study examined data collected from the 2010 US National Health and Wellness Survey (NHWS). This cross-sectional, self-administered online survey of adults (18+ years; $n=75{,}000$) includes self-reported information on demographics, health care attitudes and behaviors, disease

status, and outcomes. A stratified random sample (by gender, age, and ethnicity) was implemented to ensure that the demographic composition of the sample was representative of the corresponding adult population, as reported by the US Census. Respondents are recruited through the Lightspeed Research Internet panel. Informed consent was obtained from respondents prior to survey completion and respondents were compensated for their participation. The NHWS survey and study protocol were approved by Essex IRB Lebanon, NJ. Comparisons between NHWS data and other governmental sources such as the National Health Interview Survey have been made elsewhere. ^{21–23}

Study sample

All 75,000 respondents were asked if they have ever experienced COPD, emphysema, or chronic bronchitis ("Which of the following conditions have you ever experienced?"). All respondents who reported experiencing COPD, emphysema, or chronic bronchitis were then asked if their condition has been diagnosed by a physician ("Has your condition been diagnosed by a physician?", "yes" versus "no"). Patients who did not report a diagnosis of COPD, emphysema, or chronic bronchitis were removed from the analysis (n = 70,345). Additionally, patients with more than one COPD phenotype (those diagnosed with at least two of the following: emphysema, chronic bronchitis, and COPD) were removed from the analysis (n = 1215). The remaining patients were categorized based on their phenotype (COPD only [n = 970], emphysema only [n = 399], or chronic bronchitis only [n = 2071]) and were included in the analysis (Figure 1).

Study measures

Demographics and health characteristics

Demographic variables assessed included age, gender, ethnicity, education, household income, and employment type. The following health information was also included: body mass index, smoking status, alcohol use, exercise behavior, and Charlson comorbidity index.²⁴ The Charlson comorbidity index is an index score that is calculated by weighting the presence of specific comorbidities based on their association with future mortality and summing the results. Complete details on the scoring algorithm are provided elsewhere.²⁴

Disease characteristics

Disease characteristics included the number of years since diagnosis of the condition, self-reported disease severity (mild, moderate, or severe), current medication use, and frequency (on a five-point Likert-type scale of "never"

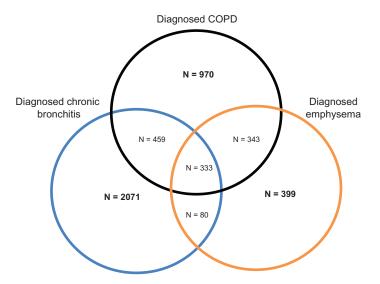


Figure I Venn diagram of sample sizes for patient-reported COPD phenotypes.

to "always") of dyspnea, fits of coughing, infection, mucus production, and wheezing. The main causes of COPD/chronic bronchitis/emphysema episodes (allergies, exercise, illnesses, occupational hazards, pollutants, smoking, and weather) were also examined.

Quality of life

Quality of life was assessed using the Medical Outcomes Study 12-Item Short Form Survey Instrument.²⁵ Physical component summary and mental component summary scores were examined, both of which have a normed mean of 50 and a standard deviation of 10 for the US population. Health utilities, calculated using the Short-Form-6 Dimensions algorithm, were also included. The Short-Form-6 Dimensions index (health state utilities) is a preference-based single index measure for health.

Work productivity

Work productivity and activity impairment was assessed using the Work Productivity and Activity Impairment questionnaire, a validated instrument that measures work and activity impairment over the past 7 days due to one's health. It consists of four metrics, ie, absenteeism (the percentage of work time missed), presenteeism (the percentage of impairment experienced while at work), overall work productivity loss (an estimate that is a combination of absenteeism and presenteeism), and activity impairment (the percentage of impairment in daily activities). ²⁶ Only patients who reported being employed provided data for absenteeism, presenteeism, and overall work impairment. All patients provided data for activity impairment.

Resource use

Health care utilization was defined by the number of traditional health care provider visits, number of emergency room visits ("how many times have you been to the emergency room for your own medical condition?"), and number of times hospitalized ("how many times have you been hospitalized for your own medical condition?") in the previous 6 months.

Statistical analyses

Demographic, health characteristic, and disease characteristic variables were compared among the different COPD phenotypes using Chi-square and analysis of variance tests for categorical and continuous variables, respectively. Differences in health outcomes (quality of life, work productivity and activity impairment, and resource use) among the COPD phenotypes were examined using regression modeling. All models controlled for age, gender, ethnicity, education, household income, employment, body mass index, smoking status, alcohol consumption, exercise behavior, and the Charlson comorbidity index. To predict quality of life outcomes, general linear models were used and adjusted means were reported using a leastsquares algorithm. To predict work productivity, activity impairment, and resource use, generalized linear models specifying a log link function and a negative binomial distribution were used because of pronounced positive skew. Adjusted means were reported using a maximum-likelihood algorithm and converted back to the original metric. The a priori cutoff for statistical significance was set at P < 0.05for all statistical tests.

Results

Of the total 2010 US NHWS respondents (n = 75,000) the mean age was 48.17 ± 16.53 years. In total, 48.22% were male, 38.37% were employed full-time, 19.09% were current smokers, 45.15% had a 2009 household income < \$50,000, and 58.92% had less than a college degree.

Of patients reporting only one diagnosis, most patients reported being diagnosed with chronic bronchitis (n = 2071; 60.20%), followed by COPD (n = 970; 28.20%) and emphysema (n = 399; 11.60%). Patients with chronic bronchitis were significantly more likely to be female (63.21% versus 42.37% for COPD versus 37.84% for emphysema, P < 0.05) and nonwhite (20.76% versus 10.72% for COPD versus 13.28% for emphysema, P < 0.05) relative to those with COPD and emphysema (Table 1). Patients with chronic bronchitis were also significantly younger relative to those with COPD and emphysema (51.38 years versus 63.24 years for COPD versus 63.30 years for emphysema, P < 0.05) and more likely to be currently employed (46.98% versus 23.81% for COPD versus 28.33% for emphysema, P < 0.05). Patients with chronic bronchitis also had the least comorbidity burden (Charlson comorbidity index 1.70 versus 2.00 for COPD versus 1.82 for emphysema, P < 0.05), were significantly less likely to be a current or former smoker and more likely to exercise (56.74% versus 43.40% for COPD versus 42.11% for emphysema, P < 0.05) relative to the other COPD phenotypes (Table 1).

Those with chronic bronchitis have been diagnosed with their condition the longest (16.23 years versus 7.57 years for COPD versus 9.39 for emphysema, P < 0.05) and were the least likely to report the severity of their condition as "moderate" or "severe" relative to patients with COPD or emphysema (65.38% for mild versus 42.47% for COPD versus 49.87% for emphysema, P < 0.05, Table 2). The most frequent symptoms experienced by patients with COPD were dyspnea (mean 3.74 for COPD versus 3.59 for emphysema versus 2.95 for chronic bronchitis, P < 0.05), wheezing (mean 2.97 for COPD versus 2.71 for emphysema versus 2.76 for chronic bronchitis, P < 0.05), and mucus production (mean 2.97 for COPD versus 2.86 for emphysema versus 3.05 for chronic bronchitis, P < 0.05). Patients with emphysema reported a symptom profile similar to that of patients with COPD, but all symptoms were less frequent, albeit not all significantly so. Patients with chronic bronchitis reported the most frequent mucus production, coughing (mean 2.83 for chronic bronchitis versus 2.78 for COPD versus 2.65 for emphysema, P < 0.05), and infections (mean 2.31 for chronic bronchitis versus 1.99 for COPD versus 1.78 for emphysema, P < 0.05) relative to the other phenotypes. The main causes of a COPD/emphysema/chronic bronchitis episode were smoking (58.56% for COPD versus 67.67% for emphysema versus 31.87% for chronic bronchitis, P < 0.05), illnesses, eg, colds and influenza (24.33% for COPD versus 14.79% for emphysema versus 50.27% for chronic bronchitis, P < 0.05), allergies/allergic reactions (21.13% for COPD versus 18.30% for emphysema versus 46.40% for chronic bronchitis, P < 0.05), weather/temperature (30.52% for COPD versus 26.82% for emphysema versus 36.41% for chronic bronchitis, P < 0.05), pollutants/airborne irritants (25.26% for COPD versus 19.05% for emphysema versus 27.23% for chronic bronchitis, P < 0.05), exercise/physical activity (30.41% for COPD versus 27.57% for emphysema versus 19.12% for chronic bronchitis, P < 0.05), and occupational hazards, eg, paint fumes and car exhaust (18.25% for COPD versus 13.03% for emphysema versus 10.96% for chronic bronchitis, P < 0.05).

The number of patients across all phenotypes who remained untreated varied significantly (24.95% for COPD versus 47.12% for emphysema versus 71.75% for chronic bronchitis, P < 0.05). Short-acting beta-agonist (SABA) use was the most common monotherapy for all phenotypes, but significantly (P < 0.05) more for patients with chronic bronchitis (33.54% versus 11.22% for emphysema versus 12.92% for COPD). Patients with chronic bronchitis were also significantly more likely to use inhaled corticosteroids (ICS) + a long-acting beta agonist (LABA, 13.62%) and ICS + LABA + SABA (19.31%) therapies (P < 0.05). Conversely, triple therapy (ICS + LABA + long-acting or short-acting muscarinic antagonist) was significantly more infrequent for patients with chronic bronchitis whether it was with a SABA (6.30% versus 16.84% for COPD versus 21.46% for emphysema, P < 0.05) or without a SABA (1.02% versus 8.42% for COPD versus 11.22% for emphysema, P < 0.05).

Despite reporting the lowest level of mental component summary scores (chronic bronchitis 43.98 versus 46.95 for COPD versus 48.62 for emphysema, P < 0.05), patients with chronic bronchitis reported the highest levels of physical component summary scores (41.43 for chronic bronchitis versus 34.88 for COPD versus 37.59 for emphysema, P < 0.05), the lowest activity impairment (42.15% for chronic bronchitis versus 50.36% for COPD versus 42.88% for emphysema, P < 0.05), and the fewest hospitalizations (0.22 for chronic bronchitis versus 0.30 for COPD versus 0.30 for emphysema, P < 0.05, Table 3). Patients with COPD reported the lowest physical component summary scores, and the highest levels

Table I Patient characteristics and self-reported health behaviors among COPD phenotypes

Variable	COPD only (n = 970)		Emphysema only (n = 399)		Chronic bronchitis only (n = 2071)		P
	n	%	n	%	n	%	
Male	559	57.63	248	62.16	762	36.79	< 0.0001
Female	411	42.37	151	37.84	1309	63.21	< 0.0001
White non-hispanic	866	89.28	346	86.72	1641	79.24	< 0.0001
Black non-hispanic	50	5.15	26	6.52	189	9.13	0.0001
Hispanic	23	2.37	9	2.26	93	4.49	0.0002
Other	31	3.20	18	4.51	148	7.15	< 0.0001
High school graduate or less	245	25.26	109	27.32	486	23.47	0.2140
Some college or higher	725	74.74	290	72.68	1585	76.53	0.2140
Income: ≤\$25,000	278	28.66	120	30.08	538	25.98	0.1239
Income: \$25,000-\$49,999	320	32.99	125	31.33	631	30.47	0.3822
Income: \$50,000-\$74,999	152	15.67	66	16.54	377	18.20	0.1982
Income: ≥\$75,000	158	16.29	64	16.04	391	18.88	0.1284
Income: declined to answer	62	6.39	24	6.02	134	6.47	0.9412
Full-time employed	122	12.58	56	14.04	633	30.56	< 0.0001
Part-time employed	63	6.49	32	8.02	198	9.56	0.0110
Self-employed	46	4.74	25	6.27	142	6.86	0.0551
BMI: underweight	14	1.44	12	3.01	28	1.35	0.1799
BMI: overweight	286	29.48	127	31.83	569	27.47	0.1676
BMI: normal	232	23.92	128	32.08	440	21.25	< 0.0001
BMI: obese	428	44.12	130	32.58	972	46.93	< 0.0001
BMI: decline to answer	10	1.03	2	0.50	62	2.99	< 0.0001
Never smoked	111	11.44	28	7.02	703	33.95	< 0.0001
Former smoker	544	56.08	223	55.89	698	33.70	< 0.0001
Current smoker	315	32.47	148	37.09	670	32.35	0.1875
Currently consume alcohol	529	54.54	247	61.90	1255	60.60	0.0034
Currently exercise	421	43.40	168	42.11	1175	56.74	< 0.0001
	Mean	SD	Mean	SD	Mean	SD	
Age	63.24	10.90	63.30	13.32	51.38	14.80	< 0.0001
Years diagnosed	7.57	7.43	9.39	9.63	16.23	14.80	< 0.0001
CCI*	2.00	1.47	1.82	1.28	1.70	1.24	< 0.0001

Note: *A score calculated by weighting the presence of specific comorbidities based on their association with future mortality and summing the results. **Abbreviations:** CCI, Charlson comorbidity index, COPD, chronic obstructive pulmonary disease; BMI, body mass index; SD, standard deviation.

of activity impairment, hospitalizations, and physician visits (P < 0.05). Patients with emphysema had a worse physical component summary score and more hospitalizations than those with chronic bronchitis. No differences were observed among the phenotypes in terms of work productivity losses or number of emergency room visits.

Adjusting for demographics and health characteristics, patients with COPD reported the lowest mean physical component summary scores (36.69) and health utilities (0.65) relative to other phenotypes (Figure 2). Patients with COPD also reported the highest level of work impairment. With the exception of absenteeism, where there were no differences between patients with COPD (7.1%) and chronic bronchitis (5.6%), both were higher than for patients with emphysema (3.0%). Patients with COPD reported greater levels of work and activity impairment relative to those with chronic bronchitis and those with emphysema (Figure 3). No significant

differences were observed between the groups with respect to resource utilization.

Discussion

Although several studies have reported a considerable burden among patients diagnosed with COPD,^{7–17} these studies often assume a level of homogeneity across various COPD disease states that may not exist. Indeed, recent literature has suggested that emphysema and chronic bronchitis have sufficient differences in their presentation and disease course that considering them to be separate conditions is appropriate.^{1–5} Our findings highlight additional differences in health history, disease characteristics, and impact on patient quality of life. Patient-reported medication use in this study reflects a different approach to treatment across the phenotypes, with ICS-LABA use being most prominent among patients with COPD and chronic bronchitis and triple therapy (both with and without a SABA) being

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Table 2 Disease characteristics and medications used by COPD phenotypes

	COPD only (n = 970)		Emphysema only (n = 399)		Chronic bronchitis only (n = 2071)		P
	n	%	n	%	n	%	
Current medication use (for COPD)	728	75.05	211	52.88	585	28.25	<0.0001
Medication*							
SABA only	89	12.92	23	11.22	165	33.54	< 0.0001
LAMA-SAMA only	77	11.18	20	9.76	13	2.64	< 0.0001
ICS only	7	1.02	6	2.93	29	5.89	0.3623
LABA only	5	0.73	2	0.98	1	0.20	< 0.0001
Oxygen only	1	0.15	4	1.95	0	0.00	0.0002
ICS + LABA only	90	13.06	28	13.66	67	13.62	0.5896
LAMA-SAMA + SABA only	78	11.32	21	10.24	36	7.32	0.9528
ICS + SABA only	15	2.18	5	2.44	39	7.93	< 0.0001
LABA + SABA only	9	1.31	1	0.49	2	0.41	0.3919
ICS + LAMA-SAMA only	6	0.87	1	0.49	2	0.41	0.0705
LAMA-SAMA + LABA only	4	0.58	2	0.98	I	0.20	0.2114
ICS + LABA + SABA only	94	13.64	14	6.83	95	19.31	< 0.0001
ICS + LAMA-SAMA + LABA only	58	8.42	23	11.22	5	1.02	0.0213
ICS + LAMA-SAMA + SABA only	27	3.92	7	3.41	6	1.22	< 0.0001
LAMA-SAMA + LABA + SABA only	5	0.73	2	0.98	0	0.00	0.1308
ICS + LAMA-SAMA + LABA + SABA	116	16.84	44	21.46	31	6.30	< 0.0001
Oxygen + other treatments	8	1.16	2	0.98	0	0.00	0.0602
Disease severity							
Mild	412	42.47	199	49.87	1354	65.38	< 0.0001
Moderate	434	44.74	162	40.60	621	29.99	< 0.0001
Severe	124	12.78	38	9.52	96	4.64	< 0.0001
Symptom frequency**							
Dyspnea (mean, SD)	3.74	1.07	3.59	1.16	2.95	1.18	< 0.0001
Coughing (mean, SD)	2.78	1.06	2.65	1.07	2.83	1.02	0.0040
Infection (mean, SD)	1.99	0.93	1.78	0.92	2.31	0.97	< 0.0001
Mucus (mean, SD)	2.97	1.15	2.86	1.23	3.05	1.12	0.0053
Wheezing (mean, SD)	2.97	1.08	2.71	1.16	2.76	1.10	< 0.0001

Notes: *Percentages based only on those using a prescription medication; **symptoms were reported on a five-point Likert-type response scale (I = never to 5 = always). Abbreviations: LAMA-SAMA, ipratropium, tiotropium; LABA + ICS (fixed-dose combination), budesonide-formoterol, fluticasone-salmeterol; LAMA-SAMA + SABA, albuterol-ipratropium; ICS, flunisolide, mometasone, triamcinolone, fluticasone, fluticasone propionate, budesonide; LABA, arformoterol, formoterol, salmeterol; SABA, albuterol, pirbuterol, albuterol sulfate, levalbuterol; ICS, inhaled corticosteroids; LABA, long-acting beta agonist; LAMA-SAMA, long-acting or short-acting muscarinic antagonist; SABA, short-acting beta-agonist; SD, standard deviation.

most commonly prescribed for patients with emphysema. As emphasized in the most recent GOLD guidelines,⁶ these patterns highlight chronic bronchitis and emphysema as distinct from COPD, despite their clinical associations.

A number of significant differences between patients reporting only a diagnosis of COPD, emphysema, or chronic bronchitis were found. Differences were most dramatic when comparing patients with chronic bronchitis relative to the other phenotypes. Specifically, those reporting a diagnosis of only chronic bronchitis were younger, had been diagnosed for longer, and were predominantly female. The severity of their condition was more likely to be mild, and the hallmark symptoms were frequent infections and mucus production. These data may reflect the tendency of younger females to visit their physician and report symptoms earlier in the course of the disease. The longer time since diagnosis for patients with chronic bronchitis may also indicate the need

for treatment of repeat acute episodes. Epidemiological studies have recently shown that approximately 25% of patients with COPD have never smoked.²⁷ Although smoking history was reported by the majority of patients (>80%) with COPD and emphysema, more than a third of patients with chronic bronchitis had never smoked. Agusti and Vesbo have emphasized the potential role and complexities surrounding COPD at the environmental, clinical, biologic, and genetic levels.³ Continued investigation of such factors and their interactions could reveal stronger links to these lung conditions than smoking history for some patients.

In the current study only 28.3%, 52.9%, and 75.1% of patients with chronic bronchitis only, emphysema only, and COPD only, respectively, reported use of any COPD medications. For all phenotypes, bronchodilator use was prominent, whether used alone or in combination with other medications, along with ICS+LABA regimens. LAMA-SAMA

Table 3 Unadjusted health outcome differences among COPD phenotypes

	COPD only (n = 970)		Emphysema only (n = 399)		Chronic bronchitis only (n = 2071)		Р
	Mean	SD	Mean	SD	Mean	SD	
Mental component score	46.95	12.24	48.62	12.14	43.98	12.26	<0.0001
Physical component score	34.88	11.27	37.59	10.86	41.43	12.32	< 0.0001
Health state utilities	0.65	0.14	0.68	0.14	0.66	0.14	0.0017
Absenteeism (%) ^a	6.96	19.37	5.16	15.43	7.40	19.17	0.5136
Presenteeism (%) ^b	28.96	29.30	25.29	26.77	25.50	27.66	0.2393
Overall work impairment (%) ^a	32.23	31.69	27.41	29.44	28.95	30.72	0.2792
Activity impairment (%)	50.36	31.29	42.88	31.19	42.15	32.14	< 0.0001
Number of ER visits	0.44	1.30	0.43	1.05	0.45	1.47	0.9381
Number of hospitalizations	0.30	0.75	0.30	1.01	0.22	0.90	0.0257
Number of physician visits	7.47	7.77	6.24	6.82	6.77	9.31	0.0297

Notes: ^aBecause only those currently employed will have absenteeism and overall work impairment data, these results are based on sample sizes of 227, 104, and 941 for COPD only, emphysema only, and chronic bronchitis, respectively; ^bbecause only those currently employed and who were at work in the past 7 days will have presenteeism data, these results are based on sample sizes of 222, 103, and 925 for COPD only, emphysema only, and chronic bronchitis respectively. **Abbreviations:** COPD, chronic obstructive pulmonary disease; ER, emergency room.

use was generally more frequent than LABA use in our sample, especially among patients with COPD. Recent evidence from the Prevention of Exacerbations with Tiotropium in COPD trial has suggested that LAMA use is superior to LABA use in delaying/reducing exacerbations among patients with moderate-to-severe COPD.²⁸ Other long-term studies of COPD medications have reported no difference in exacerbations for patients using ICS-LABA and LAMAs concurrently compared with ICS-LABA²⁹ or when compared with LAMA alone or LAMA + LABA.³⁰ While the evidence has yielded mixed results for LAMAs, the prominent use of LAMA-SAMAs in this study may reflect the influence of recently published research showing benefits of LAMAs in clinical practice.

To our knowledge, no study has examined the health outcomes among these phenotypes. Therefore, comparisons with existing literature are difficult. Mean scores for each phenotype with respect to quality of life and work productivity are consistent with those observed in prior studies, although these latter studies did not distinguish between phenotypes. 8-11 Also consistent with previous studies, patients with COPD reported significantly worse health outcomes (aside from resource use) than chronic bronchitis. 19 In addition to these findings, and unstudied in previous research, patients with COPD also reported worse outcomes (aside from resource use) relative to patients with emphysema. Similarities in resource use across these groups

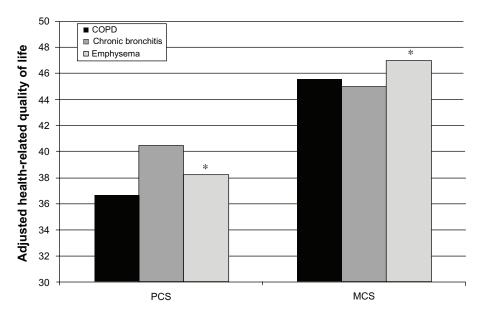


Figure 2 Adjusted differences on physical and mental component summary scores among COPD phenotypes. **Note:** *P < 0.05 emphysema only vs COPD only and chronic bronchitis only. **Abbreviations:** MCS, Mental Component Summar; PCS, Physical Component Summary.

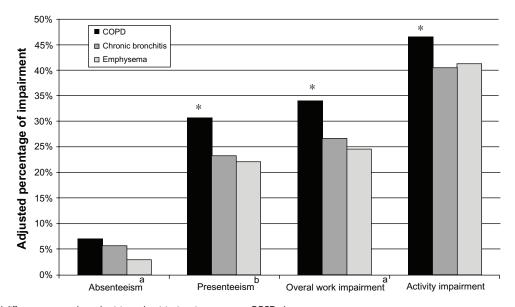


Figure 3 Adjusted differences on work productivity and activity impairment among COPD phenotypes.

Notes: *Because only those currently employed will have absenteeism and overall work impairment data, these results are based on sample sizes of 227, 104, and 941 for COPD only, emphysema only, and chronic bronchitis, respectively; *because only those currently employed and who were at work in the past seven days will have presenteeism data, these results are based on sample sizes of 222, 103, and 925 for COPD only, emphysema only, and chronic bronchitis respectively; *P < 0.05 emphysema only vs COPD only.

may suggest that differences in phenotype presentation do not dramatically influence the frequency and severity of exacerbations or engagement with health care providers. However, these presentations do appear to be related to differences in day-to-day functioning as assessed by quality of life and productivity at work.

Limitations

Data from the NHWS is self-reported, so clinical verification of diagnoses, treatments, and lung function was unavailable. It is possible that patients erroneously recalled the diagnosis they received, and that their perception of disease severity did not coincide with more objective measures, such as forced expiratory volume in one second. Although the GOLD guidelines differentiate between these three phenotypes, the extent to which the clinical community has done so is unclear, which can complicate interpretation of the results. For example, it is possible that a patient could have presented with emphysema and the treating physician could have considered that to be COPD and given that diagnosis to the patient. The same patient may have received a diagnosis of emphysema from another physician. As shown in Figure 1, most patients who reported a diagnosis had been diagnosed with more than one phenotype. As a result, it is unclear the extent to which the differences observed here manifest when multiple diagnoses are present. Of course, the choice to examine pure groups was intentional. Multiple diagnoses may reflect a

more complex condition. Further, multiple diagnoses (eg, asthma, heart failure, other causes of dyspnea) may also represent different diagnoses over time, without any clear indication which is the most appropriate given the current disease state of the respondent.

Differences in work productivity were found in the current study; even though employment status was collected for all respondents, the type of occupation was not available. Smoking status (current smoker, former smoker, never smoked) was available for respondents, but the length of time and number of packs of cigarettes used were not collected. Because NHWS is an Internet-based survey, respondents with extremely severe cases of COPD, chronic bronchitis, or emphysema may be under-represented.

Conclusion

In sum, these results suggest considerable heterogeneity among the different COPD phenotypes with respect to demographics, health characteristics, disease characteristics, treatment patterns, and health outcomes. Future research should be cognizant of the phenotypes within COPD samples, because the disease experience and health outcomes may be radically different. Patients self-reporting only COPD, rather than only chronic bronchitis or emphysema, may be more adversely affected by their condition, as indicated by worse health outcomes. Research aimed at understanding the differences in patient characteristics and disease presentation of these phenotypes could be used to guide treatment recommendations.

Disclosure

MDD and SG are employees of Kantar Health, who conducted the National Health and Wellness Survey and were paid consultants to Merck and Co, Inc, in connection with the development of this manuscript and execution of the study. FA-R is an employee of Merck and Co, Inc, which funded and oversaw the study and manuscript development. The authors also wish to acknowledge the background research and editorial assistance of Errol J Philip who is a paid consultant to Kantar Health.

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