A clinical study measuring dental aerosols with and without a high-volume extraction device

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Key points

Without the use of an external high-volume extraction (HVE) device during aerosol generating procedures, there is a significant increase of PM2.5- and PM10-sized particle count from the use of micromotor high-speed, air turbine high-speed, slow-speed and ultrasonic handpieces.

With the use of an external HVE device, PM1-sized particle count, which would pass through an N95 or N99 mask, remained moderately stable throughout the procedures.

The use of an external high-volume suction device reduced the aerosol particle count of all sizes significantly.

Abstract

Introduction External high-volume extraction (HVE) devices may offer a way to reduce any aerosol particulate generated.

Aims The aim of this study was to measure the particle count during dental aerosol generating procedures and compare the results with when a HVE device is used.

Design A comparative clinical study measuring the amount of PM1, PM2.5 and PM10 aerosol particulate with and without the use of an external HVE device was undertaken.

Materials and methods In total, ten restorative procedures were monitored with an industrial Trotec PC220 particle counter. The intervention was an external HVE device.

Main outcome methods The air sampler was placed at the average working distance of the clinicians involved in the study – 420 mm.

Results In the present study, aerosol particulate was recorded at statistically significantly increased levels during dental procedures without an external HVE device *versus* with the device.

Discussion The null hypothesis was rejected, in that significant differences were found between the results of the amount of aerosol particle count with and without a HVE device.

Conclusion If the results of the present study are repeated in an *in vivo* setting, an external high-volume suction device may potentially show a lower risk of transmission of viral particulate.

Introduction

Aerosols and the SARS-CoV-2 virus

In light of the SARS-CoV-2 pandemic, the United States Occupational Safety and Health Administration have classified dentistry as one of the very high-risk occupations for transmission of the disease because of the

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aerosols produced.1 Before investigating the present study, we must discuss how aerosols are generated and what sized particles are present within any potential aerosol that is generated in a dental procedure, in order to understand the significance of reducing the aerosols generated. An aerosol is a dispersion system consisting of solid and liquid particles of various sizes which are suspended in a gas medium.2 While using this definition, and the three important elements of aerosols, we must consider that it is normal for an aerosol to be suspended in the air only if it is less than ten microns in size.^{2,3} This is because as these droplets decrease in size, so does their relative mass. As such, the impact of gravity on these particles reduces and they can be suspended in the air for much longer.4

The size of the particles that can be potentially suspended in the aerosols produced

can range from 0.001-100 microns.5 These particles can be defined according to their size: coarse particles are 2.5-10 microns (PM10 classification), fine particles are under 2.5 microns (PM2.5) and ultra-fine particles are under 0.1 microns (PM1). For human transmission of disease, the infectious dose required is small. Viral and bacterial agents have an affinity for specific components of cells and tissues along with pathogenic factors. 6 The oral-nasal breathing pathway can channel air particles over ten microns in size. This poses risk as particles under ten microns in size can therefore enter the respiratory system and smaller particles under 2.5 microns can enter the alveoli sac. Ultra-fine particles, such as the SARS-CoV-2 virus molecule, which are sub-1 micron can potentially enter the circulatory system through this mechanism directly or through being carried on a larger particle.^{7,8}

The mechanism of breathing in living creatures can also create aerosols in the form of bioaerosols. These droplets can be produced in large numbers; for example, up to 3,000 in just a single cough. High-projectile particles can also be generated in larger numbers (over 40,000) in the action of sneezing. 10

How do airborne infections spread?

Even before specific infectious agents (such as bacteria and viruses) were discovered, the potential of infection by the airborne route was recognised; for example, the bubonic plague, also known as the 'Black Death', which was recorded to be spread via an airborne route.

This is seen as a concern in more recent times in air travel. In one report, the mycobacterium *Bacillus* causing tuberculosis was spread through passengers on an aeroplane.¹¹ Passengers seated closer to the source of the infection were more likely to contract the disease.

The exact mechanisms by which SARS-CoV-2 virus is spread remain under investigation, but current understanding points to transmission through aerosol droplets. ^{12,13,14} Many worldwide governments and healthcare authorities have therefore advised dental practitioners to proceed with only emergency and essential procedures, and to avoid elective dental procedures altogether. ^{15,16}

Dental aerosols

The mechanical action of tools used in the dental clinic can produce suspended particles as aerosols, such as the use of fast and slow dental handpieces, ultrasonic scalers and airwater syringes.¹⁷ These tools use high-powered air or fast-turning mechanical micromotors to work, which can create these aerosols through the kinetic energy acting in the process of dental instrumentation.^{18,19}

As the mouth contains saliva, blood and other substances, microorganisms and viral particles are ever-present.² Studies have shown that the bacterial load around the mouth of the patient during an oral treatment procedure is higher compared to when they are not operated on in a dental procedure.⁹ Dental unit waterlines are another potential source in contributing to the microorganisms carried in the aerosol generation. These waterlines that supply the handpieces and syringes can become contaminated through use as water backflows or from the incoming water used in the dental chair unit.²⁰ The water spray is usually the most visible portion of the aerosol

to the naked eye, and is noticed by the patient and dental staff.²¹

One recent study looked at how ultrasonic scaling can transmit particulate aerosol for up to six feet and, without an air current, this particulate can remain suspended from 35 minutes to several hours.²² If the suspended particulate is over ten microns, gravity can cause these particles to settle on the surrounding surfaces, such as the patient and the immediate clinical area, for up to two meters.^{3,23} During these dental procedures, various dental equipment such as dental handpieces, air-water syringes, ultrasonic scalers and air-polishing units are known to produce colony-forming units via the bioaerosols generated.^{19,24,25}

Can splatter from dental procedures spread disease?

Miller *et al.* have concluded that bio-aerosols can contain millions of bacteria per cubic foot of air.²⁶ King *et al.* have stated that aerosols retrieved from six inches away from a patient demonstrated that the colony-forming units were substantially reduced through aerosol reduction systems.²⁷

During a conventional dental procedure, the patient is required to sit with their mouth open, exposing naturally occurring fluids such as saliva and blood.28 During a dental procedure that uses ultrasonic scalers, airwater syringes or high-speed air turbine or micromotor devices, the mechanical action can spread these bodily fluids through splatter out of the operating site into the surrounding environment. It is this splatter that can take the form of a spray of variously sized droplets.29 The constituents of these droplets and their relative size have been given the dental terms 'splatter' and 'aerosols'.30 Larger particles that are not suspended can be visible where spread occurs outside of the operating field, but particles and droplets under 50 microns are not visible to the naked eye. These smaller particles have a minute mass (under ten microns) and can remain in the air for minutes or even hours³¹ until they are inhaled by humans. Once inhaled, they can travel to the fine lung alveoli, potentially causing respiratory infections.32

These aerosols and nuclei can also enter the ventilation systems of the dental clinic and spread to other operating sites.³³

Thus, the potential spread of the SARS-CoV-2 virus in a dental clinic is characterised via three pathways: direct contact with infected oral fluids; direct contact with contaminated

surfaces; and inhalation of infectious particulate aerosols.³⁴

The aim of this study was to measure the particle count during dental aerosol procedures and compare the results to when a high-volume extraction (HVE) device was used.

The null hypothesis was that no differences would be found in the amount of aerosol particle count with or without a HVE device.

Materials and methodology

Air sampler device

In total, five different restorative procedures were monitored with an industrial Trotec PC220 particle counter. This sampler is sent with a calibration certificate where the sampler is calibrated with a PC200/220 filter for zero calibration by the manufacturer. The air sampler therefore does not need calibrating before use by the user. This air sampler conforms with ISO 21501-4 - which specifically refers to the light-scattering airborne particle counter - and is accurate to within \pm 95% with a 5% particle coincidence loss. The sampler was used to measure the following sized particulate generated in each procedure: PM1 (particulate sized 1 micrometre [µm] or less), PM2.5 (particulate sized 1–2.5 $\mu m)$ and PM10 (particulate sized $2.5-10 \mu m$). The five different procedures were carried out without a HVE device in place and then repeated separately with the HVE device in place, in order to compare the effect of the HVE on the particle counts recorded. The air sampler uses multi-angle, laser-scattering detection. Scattered light can be collected at a specified angle and, according to the scattering intensity, equivalent particle diameters and the number of suspended particulate matter with different sizes per unit volume can be obtained.

Working distance

For each procedure, the air sampler was placed at the average recorded working distance of the clinicians involved in the study – 420 mm; that is, the working distance from each of the dentists was measured and then this average was used to place the air sampler on a unit the same distance from the model (and therefore the source of the aerosol). Specifically, and spatially, the sampler was placed 420 mm directly to the right of the phantom head unit on an adjacent dental unit. For the purposes of the present study, no measurements were taken at distances closer or further from the source of

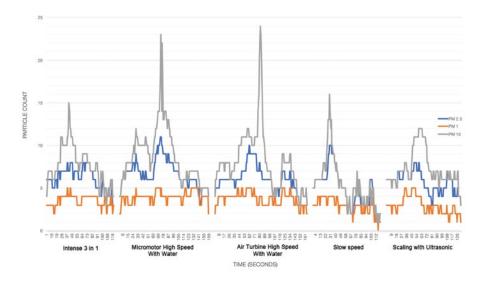


Fig. 1 Aerosol generation without high-volume suction used

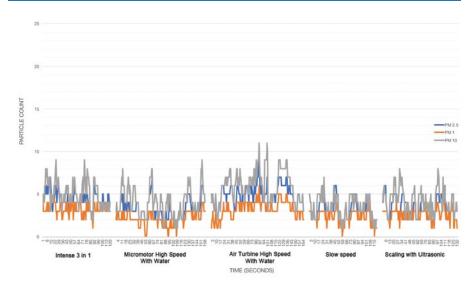


Fig. 2 Aerosol generation with high-volume suction used

the aerosol. The air sampler was taped around the seals and joints to prevent contamination of the unit and provide a wipeable surface between measurements.

HVE

The unit used in this study was a VacStation by Eighteeth. The VacStation uses a multi-level filtration system (HEPA, high-fibre cotton filter, activated carbon, KMnO₄, ceramsite filter, 2nd HEPA 13) and UV-C light. The VacStation was placed with the circular suction orifice placed 300 mm in front of the phantom head. This position would – in an *in vivo* setting – be positioned above the patient's chest and in front of their mouth. The VacStation was turned on to the maximum suction setting. The VacStation is capable of varying the suction setting, but for the purposes of the present

study, the setting was left on maximum for a repeatable effect.

Study setting

Volunteers for the study were four dentists working at a single dental clinic using the same chair unit. To avoid external factors affecting the results, the room pressure of the room was maintained at the same level with windows closed, no air conditioning and no air purifier running.

The sampling was performed on a phantom head model on a dental chair in the position of a patient. Ventilation of the room was turned off and windows closed. All units had the normal equipment functionality running (for example, water cooling, standard saliva ejector) as used on the chair for normal practice.

On the day of the study, the volunteer dentists were instructed to perform normal restorative treatments on the model as described below.

The sampling period for the component of the study without the use of a HVE device was recorded from the start of that specific procedure. The procedure duration was of continuous use for one minute. The sampling measurement then continued for one minute or until the air particulate levels returned to normal levels.

Procedures tested

- Intense (full-blast) three-in-one air-water syringe (mixed air and water): the threein-one air-water syringe was directed towards the lower anterior region with normal aspirator suction collecting the water produced
- Micromotor high-speed handpiece with water: the micromotor high-speed handpiece was used to drill a lower anterior tooth on the dental model as mesial cavities.
 On the second procedure with the HVE device in place, the same tooth was drilled on the distal surface
- 3. Air turbine high-speed handpiece with water: the air turbine high-speed handpiece was used to drill a lower anterior tooth on the dental model as mesial cavities. On the second procedure with the HVE device in place, the same tooth was drilled on the distal surface
- 4. Slow-speed handpiece with water: the slow-speed handpiece was used to drill a lower anterior tooth on the dental model as mesial cavities. On the second procedure with the HVE device in place, the same tooth was drilled on the distal surface
- Ultrasonic scaling with water: the ultrasonic scaler was used to scale around the gingival margins of the lower anterior teeth on the model.

Validation and background level

The air sampler was used to measure the same room for one hour before and after procedures ended to analyse that there was no natural fluctuation of air particulate. Range:

- PM1 3-6 μg/m³
- PM2.5-68 μg/m³
- PM10 710 μg/m³.

Ethics approval

The sampling was performed using daily routines and complied with current SARS-CoV-2 protective measures, but did not involve

Table 1 Maximum particulate counts measured for PM1 particle size during each procedure (μg/m³)					
PM1 Intense three-in-one maximum μg/m³		Micromotor maximum μg/m³	Air turbine maximum μg/m³	Slow speed maximum μg/m³	Ultrasonic maximum μg/m³
Without HVE	5	5	6	4	5
With HVE	4	4	6	4	4
Background	3–6	3–6	3–6	3–6	3–6
% relative reduction above background	Results within background levels				

Table 2 Maximum particulate counts measured for PM2.5 particle size during each procedure (μg/m³)					
PM2.5	Intense three-in-one maximum μg/m³	Micromotor maximum μg/m³	Air turbine maximum μg/m³	Slow speed maximum μg/m³	Ultrasonic maximum μg/m³
Without HVE	8	11	10	10	8
With HVE	6	6	9	5	6
Background	6–8	6–8	6–8	6–8	6–8
% relative reduction above background	Results within background levels	100	100	100	Results within background levels

Table 3 Maximum particulate counts measured for PM10 particle size during each procedure (μg/m³)					
PM10	Intense three-in-one maximum µg/m³		Air turbine maximum μg/m³	Slow speed maximum µg/m³	Ultrasonic maximum µg/m³
Without HVE	15	23	24	16	12
With HVE	9	9	11	7	8
Background	7–10	7–10	7–10	7–10	7–10
% relative reduction above background	100	100	93	100	100

Procedure	PM1: Turkey non-HVE to HVE significance	PM1: HVE statistically significant?	PM2.5: Turkey non-HVE to HVE significance	PM2.5: HVE statistically significant?	PM10: Turkey non-HVE to HVE significance	PM10: HVE statistically significant?
Intense three-in-one	0.373	No	0.000	Yes	0.000	Yes
Micromotor	0.000	Yes	0.000	Yes	0.000	Yes
Air turbine	0.000	Yes	0.000	Yes	0.000	Yes
Slow speed	0.000	Yes	0.000	Yes	0.000	Yes
Ultrasonic	0.045	Yes	0.000	Yes	0.000	Yes

intervention on human subjects. No personal data of the participants was recorded and therefore there was no requirement for ethical approval.

Statistical analysis

The data captured by the particle counter were tabulated in Excel spreadsheet for Mac 2016 and analysed in SPSS 26 by IBM. Comparisons were made using a one-way analysis of variance (ANOVA) for independent groups, with a Tukey significance level of 0.05.

A comparison of means for each procedure with and without the external HVE device was used to determine significance of each of the means. Significance level was set at P < 0.05 for all analyses.

Results

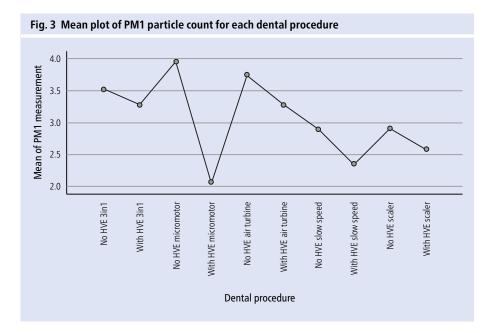
Results are shown for the measurement period of each particle count: PM1, PM2.5 and PM10. In Figures 1 and 2, the data are shown as a graph of particle count measured for each size

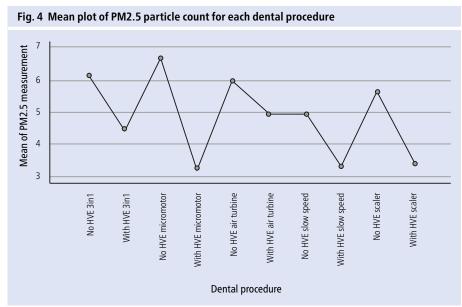
of particulate during the procedure for one minute and for one minute after the procedure.

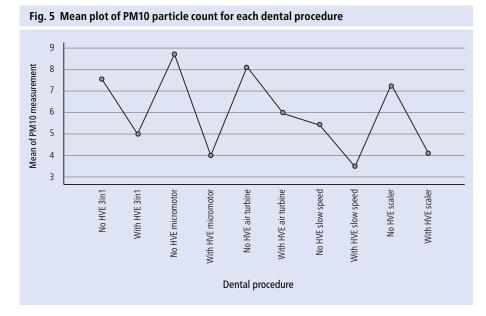
In the present study, there is a clear difference between the results, as shown in the two graphs displaying the data recorded over time.

Tables 1, 2 and 3 show the maximum particle count recorded during each procedure.

We can see from statistical analysis in SPSS 26 that, with a Tukey comparison of means (Table 4), there is a statistically significant difference in the data samples recorded for every dental procedure when an external







HVE device is used. The only exception to this is the PM1 particle count in a three-in-one procedure.

We can further examine this difference with a mean plot for each particulate size, as shown in Figures 3, 4 and 5.

Discussion

The null hypothesis was rejected, in that significant differences were found between the results of the amount of aerosol particle count with and without a HVE device.

The purpose of the present study was to measure the particle count during dental aerosol procedures and to compare the results to when a HVE device was used. The reason why this is of particular importance in current dental practice is due to the risk of transmission of the SARS-CoV-2 virus through aerosol particulate.

SARS-CoV-2 viral diameter and relevance to particulate sizing

Zhu *et al.* have suggested: 'Electron micrographs of negative-stained 2019-nCoV particles were generally spherical with some pleomorphism. Diameter varied from about 60 to 140 nm.'³⁵ Using this diameter and assuming the virus is a sphere, ³⁵ we can therefore assume that, as the virus is approximately a thousandth of the aerosol particulate in this study, any PM1-, PM2.5- or PM10-sized aerosol particulate produced may carry the virus and therefore potentially transmit the disease if inhaled.

Aerosol sizes

PM1-sized particulate generated

In the present study, the PM1-sized particulate generated with all procedures appears to remain within the range sampled within the control measurements with no procedure taking place. However, outside of the three-in-one procedure, there was a statistically significant reduction in particle count when an external HVE device was used.

PM2.5-sized particulate generated

There was a clear increase in PM2.5 particulate generated during the dental procedures. This statistically significant increase was approximately double the normal background sample measurements.

With the use of an external HVE device, the samples taken during the five dental procedures were statistically significantly reduced. There was a slight increase in the measured levels

towards the end of the air turbine procedure, but this was statistically insignificant (11 μ g/m³ with HVE versus 24 μ g/m³ without HVE).

PM10-sized particulate generated

The PM10-sized particulate generated in each of the procedures followed a similar, yet amplified, pattern to the PM2.5-sized particulate generated.

The maximum levels of particulate generated were approximately three times the background levels of PM10.

With the use of an external HVE device, the samples taken during the five dental procedures were statistically significantly reduced.

Interpretation

We can therefore interpret these results as meaning no, or very little, PM1 particulate is generated during three-in-one dental procedures as the difference was statistically insignificant.

There is a statistically significant increase of between two to three times the background $\mu g/m^3$ levels of PM2.5- and PM10-sized particulate compared to the results recorded during dental procedures without an external HVE used.

Biological relevance

One limitation in the interpretation of these results is the biological relevance with regards to the SARS-CoV-2 virus. This virus is new, and relative infectivity and mechanisms of transmission are currently under investigation.

In the SARS outbreak in 2003, Kan *et al.* studied the relationship between particulate levels with mortality. The study showed that particulate matter with aerodynamic diameter $10~\mu m$ (PM10) were positively associated with the SARS mortality.³⁶

It has also been reported that aerosols associated with highly virulent pathogens like SARS could travel more than two metres.³⁷ While not of dental origin, Feng *et al.* carried out an ecologic analysis that found a positive relationship between PM2.5 particulate count and viral transmission in Beijing.³⁸

Small aerosols have more potential to be inhaled deeply into the lung, which can potentially cause infection in the alveolar tissues of the lower respiratory tract.³⁹

Recent research has been focusing on providing a better understanding on aerosol and droplet transmission, which has provided evidence that aerosols may play a major role in transmitting the SARS-CoV-2 virus. 40,41

Duguid *et al.* studied the number of droplets and aerosols generated sized 1– $100~\mu m$ and found that coughing and sneezing produced from a few to a few hundred droplets and aerosols per cubic metre. ⁴²

However, controversy remains among many researchers as to the modes of transmission via droplet or aerosol and the quantifiable risk associated with levels of either.

In the present study, aerosol particulate was recorded at statistically significantly increased levels during dental procedures without an external HVE device *versus* with the device. These increased levels were around two dozen μ m per cubic metre.

Minimising aerosols and splatter

Recent studies have shown that the SARS-CoV-2 virus can be transmitted via particulate matter.⁴³ Historically, previous studies have shown that there is a positive correlation between PM2.5 and larger particulate sizes and the transmission of viruses such as influenza.⁴⁴ The Centres for Disease Control and Prevention (CDC) carried out a study which showed that the SARS-CoV-2 virus was able to remain viable for up to 72 hours on some surfaces.³¹

One could argue that the risk of transmission in the dental setting via aerosols is minor as the aerosol droplets may be entirely from the waterline. However, when we look at a study on splatter and aerosol generated from an ultrasonic scaler without any coolant water used *in vitro*, there was still a substantial number of aerosol and splatter formed from small amounts of liquid placed at the operating site to simulate blood and saliva.⁴⁵ We therefore have to look at ways to mitigate this risk during the current SARS-CoV-2 crisis.

Harrel *et al.* wrote that 'no single approach or device can minimise the risk of infection to dental personnel and other patients completely. A single step will reduce the risk of infection by a certain amount, another step added to the first step will reduce the remaining risk, until such time as the risk is minimal.'²⁹ This is a sensible approach to provide layered protection for risk mitigation.⁴⁶

Harrel *et al.* also discuss that, in the reduction of dental aerosols, the first layer of defence is personal protection barriers such as masks, gloves, visors, safety goggles and hair nets.⁴⁷ The second layer of defence is the routine use of an antiseptic pre-procedural rinse with a mouthwash such as Peroxyl/povidone-iodine or chlorhexidine.⁴⁸ The third layer of defence

is the regular use of a HVE device either by an assistant or attached to the instrument being used.⁴⁹ An additional layer of defence could also be the employment of a tool to scale back aerosol contamination that escapes the operating area, such as a HEPA filter.⁵⁰ These extra layers of defence are either commonly found or easily implemented in most dental practices.

It has also been recommended that dental practices install negative-pressure airflow to prevent airborne transmission through aerosols.⁵¹ Some, including Harrel *et al.*, have suggested that using a 0.2% chlorhexidine or Listerine mouth rinse pre-operatively could be of benefit as they have been shown to reduce the oral bacterial load in aerosols,²³ but there are no high-quality peer-reviewed studies on the virucidal activity of hydrogen peroxide. Iodine has been hypothesised to be of greater value for this purpose than chlorhexidine.⁵¹

The correctly placed high-volume vacuum suction and evacuator near the handpiece and the mouth can reduce 90% of the output of aerosol. ^{19,52} During conservative practices, use of the rubber dam barrier is also thought to reduce the risk significantly up to 98.5%. ⁵³ The results for the present study confirm these figures while also benefiting the surgeon, in that the extraoral HVE unit does not require an assistant to maintain position.

To prevent the risk of transmission, especially during the SARS-CoV-2 pandemic, high-risk personal protective equipment (PPE) has been advised worldwide to varying degrees. In the United Kingdom, there has also been an advisory in place for a fallow time after aerosol generating procedures (AGPs).⁵⁴ Both the protective equipment and fallow period are a large departure from the clinical norm and could impact the sustainability and running of dental clinics. Reducing the need to depart from the norm could improve patient access through the reduced wait times post-procedure and improve comfort for the operator.

Conclusion

At the time of completing the present study, there have been no studies comparing the use of external HVE devices in dentistry.

The purpose of the present study was to measure the particle count during dental aerosol procedures and compare the results to when a HVE device was used, and the results show potential clinical usefulness in reducing and mitigating some risk of transmission of the SARS-CoV-2 virus.

The aerosols and splatter generated during dental procedures have the potential to spread infection to dental personnel and people within the dental office. While, as with all infection control procedures, it is impossible to completely eliminate the risk posed by dental aerosols, it is important to minimise these risks as far as possible.

The results of the present study show that an external HVE device may reduce aerosol particulate count during dental procedures.

While the results of this specific study do not show a direct link between the increased concentration of PM1, PM2.5 or PM10 particulate generated from dental procedures, we have shown that there is a statistically significant increased concentration of PM2.5 and PM10 particulate during each of the five procedures without the use of an external HVE device. We can therefore interpret from these results that, if the SARS-CoV-2 virus can be held in aerosol droplets and particulate, there is a potentially increased risk of transmission of SARS-CoV-2 from AGPs which generate increased concentrations of these sizes of particulate. However, if further studies show that aerosol particulate is produced in an in vivo clinical setting, it may be possible to effectively reduce and mitigate the associated risk with the use of external HVE devices.

A number of limitations are suggested in our *in vitro* study, namely the *in vivo* effects such as saliva, blood, breathing, coughing, patient interaction etc, which need to be accounted for and may impact the results in an *in vivo* patient setting.

It is therefore proposed that a further expanded study is required to assess the impact of increased aerosol generation duration as well as the cumulative effect of other risk-mitigating factors (such as rubber dam, air purifiers, increased airflow from opening windows etc). It is also envisaged that, in this expanded study, we can compare these results with expiratory events such as coughing or sneezing directly.

Another limitation was the use of only one type of external HVE device. These devices are new and are not inexpensive. Further study should compare various types and brands to investigate their relative ability to reduce aerosol particulate count in an *in vivo* clinical setting.

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Conflict of interest

There is no conflict of interest to declare. The author has no financial interests or connections, direct or indirect, that might compromise the perception of the authors as impartial. There is no financial interest that includes commercial or other sources of funding for the author or associated department(s) or organisation(s), personal relationships, or direct academic competition.

Author contributions

Adam Nulty: contributed to conception, design, data acquisition and interpretation; drafted and critically revised the manuscript. Patrik Zachrisson: contributed to conception and design; drafted and critically revised the manuscript. Chris Lefkaditis: contributed to conception; drafted and critically revised the manuscript. Quintus Van Tonder: contributed to conception; drafted and critically revised the manuscript. Riaz Yar: contributed to drafting and critically revised the manuscript. All authors gave their final approval and agree to be accountable for all aspects of the work.

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