

# Vericiguat in patients with worsening chronic heart failure and preserved ejection fraction: results of the SOLuble guanylate Cyclase stimulator in heart failure patientS with PRESERVED EF (SOCRATES-PRESERVED) study

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## Aims

To determine tolerability and the optimal dose regimen of the soluble guanylate cyclase stimulator vericiguat in patients with chronic heart failure and preserved ejection fraction (HFpEF).

## Methods and results

SOCRATES-PRESERVED was a prospective, randomized, placebo-controlled double-blind, Phase 2b dose-finding study in patients with HFpEF (ejection fraction  $\geq 45\%$ ). Patients received vericiguat once daily at 1.25 or 2.5 mg fixed doses, or 5 or 10 mg titrated from a 2.5 mg starting dose, or placebo for 12 weeks. The two primary endpoints were change from baseline in log-transformed N-terminal pro-B-type natriuretic peptide (NT-ProBNP) and left atrial volume (LAV) at 12 weeks. Patients ( $N = 477$ ; 48% women; mean age  $73 \pm 10$  years; baseline atrial fibrillation 40%) were randomized within 4 weeks of HF hospitalization (75%) or outpatient treatment with intravenous diuretics for HF (25%) to vericiguat ( $n = 384$ ) or placebo ( $n = 93$ ). In the pooled three highest dose arms change in logNT-proBNP (vericiguat:  $+0.038 \pm 0.782$  log(pg/mL),  $n = 195$ ; placebo:  $-0.098 \pm 0.778$  log(pg/mL),  $n = 73$ ; one-sided  $P = 0.8991$ , two-sided  $P = 0.2017$ ), and change in LAV [vericiguat:  $-1.7 \pm 12.8$  mL ( $n = 194$ ); placebo:  $-3.4 \pm 12.7$  mL ( $n = 67$ ), one-sided  $P = 0.8156$ , two-sided  $P = 0.3688$ ] were not different from placebo. Vericiguat was well tolerated (adverse events: vericiguat 10 mg arm, 69.8%; placebo, 73.1%), with low discontinuation rates in all groups, and no changes in blood pressure at 10 mg compared with placebo. The pre-specified exploratory endpoint of Kansas City Cardiomyopathy Questionnaire Clinical Summary Score improved in the vericiguat 10 mg arm

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by mean  $19.3 \pm 16.3$  points [median 19.8 (interquartile range 10.4–30.7)] from baseline (mean difference from placebo 9.2 points).

## Conclusion

Vericiguat was well tolerated, did not change NT-proBNP and LAV at 12 weeks compared with placebo but was associated with improvements in quality of life in patients with HFpEF. Given the encouraging results on quality of life, the effects of vericiguat in patients with HFpEF warrant further study, possibly with higher doses, longer follow-up and additional endpoints.

## Keywords

Heart failure with preserved ejection fraction • Soluble guanylate cyclase stimulator • Vericiguat

## Introduction

Insufficient generation of cyclic guanosine monophosphate (cGMP) by soluble guanylate cyclase (sGC) may contribute to the pathophysiology of heart failure with preserved ejection fraction (HFpEF) via cardiac, vascular, and peripheral mechanisms.<sup>1,2</sup> Direct stimulators of sGC differ from other agents targeting the cGMP pathway in their nitric oxide (NO)-independent capacity to increase sGC activity,<sup>3</sup> and could be promising therapeutic agents in HFpEF.<sup>2</sup> New agents are particularly important in the setting of worsening chronic heart failure (HF) requiring hospitalization where, after discharge, cardiovascular event rates are high<sup>4–7</sup> and there are no evidence-based therapies for patients with HFpEF.<sup>8</sup>

The novel, once-daily sGC stimulator vericiguat was studied in the SOLuble guanylate Cyclase stimulator in heart failure Studies (SOCRATES) programme, which consisted of two parallel Phase 2 dose-finding studies in patients stabilized after hospitalization or IV diuretic therapy for HF in patients with heart failure with reduced ejection fraction (HFrEF, SOCRATES-REDUCED) and SOCRATES-PRESERVED in patients with HFpEF.<sup>9</sup> In SOCRATES-REDUCED, vericiguat added on top of standard of care showed a clinically significant reduction in N-terminal pro-B-type natriuretic peptide (NT-proBNP) and a trend towards less hospitalizations for HF, supporting further exploration in a Phase 3 study.<sup>10</sup> Conducted in parallel, the SOCRATES-PRESERVED study aimed to characterize the safety, tolerability, and pharmacodynamic effects of vericiguat in patients with HFpEF.<sup>9</sup> In the absence of previous successful clinical outcome trials in HFpEF, no short-term predictors of long-term efficacy have been established.<sup>11</sup> NT-proBNP and left atrial volume (LAV) were chosen as two primary endpoints, combining NT-proBNP as a marker of short-term wall stress and LAV as a measure of chronic elevations in left ventricular filling pressures.<sup>9</sup>

## Methods

### Study design and patient population

SOCRATES-PRESERVED was a multinational, randomized, double-blind, placebo-controlled, dose-finding clinical trial in patients  $\geq 18$  years of age with symptomatic worsening chronic HF and left ventricular ejection fraction (LVEF)  $\geq 45\%$ . Patients were enrolled within 4 weeks of clinical stabilization following HF hospitalization or IV diuretic treatment for worsening HF. The full study design has been described previously.<sup>9</sup> Briefly, patients with HF classified as New York Heart Association (NYHA) class II–IV, with LVEF  $\geq 45\%$ , increased B-type natriuretic

peptide (BNP) levels  $\geq 100$  pg/mL or NT-proBNP levels  $\geq 300$  pg/mL, (or BNP  $\geq 200$  pg/mL or NTproBNP  $\geq 600$  pg/mL if patients were in atrial fibrillation) at randomization, and with left atrial enlargement determined by echocardiography, were randomized 1:1:1:1 to 12 weeks of treatment with one of four vericiguat regimens (1.25, 2.5, 5, or 10 mg target doses) or placebo. Randomization was stratified by sinus rhythm vs. atrial fibrillation from an electrocardiogram (ECG) performed at baseline.

There were two fixed-dose vericiguat treatment arms (1.25 mg or 2.5 mg) and two up-titrated treatment arms (2.5–5 mg and 2.5–10 mg). The dose was increased by two blinded dose-doublings or sham titration at Week 2 (visit 2) and Week 4 (visit 3), according to blood pressure and tolerability, to reach target doses of 5 mg or 10 mg once a day. Patients received sham titrations when randomized to the 1.25 mg or 2.5 mg fixed dose arms.

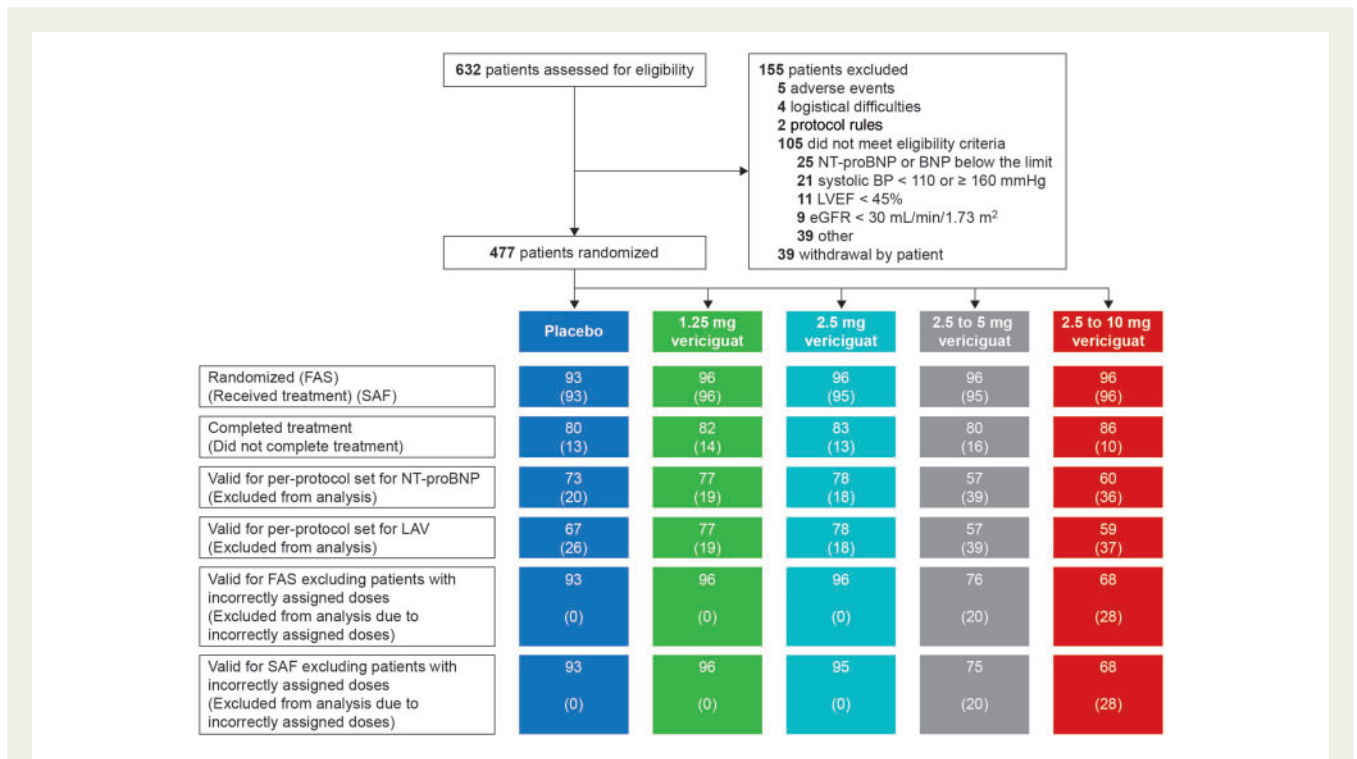
A major protocol violation occurred during the study owing to an erroneous software update of the drug dispensation system (Interactive voice/web response system, IxRS), resulting in assignment of incorrect doses of study drug to patients in the 5 mg and 10 mg target dose groups, who consequently received lower doses than planned. The study complied with the Declaration of Helsinki and the approval for this study was obtained from all required ethical committees and regulatory authorities. All patients provided written informed consent.

### Study endpoints

The two primary endpoints were change from baseline to Week 12 in log-transformed NT-proBNP and change from baseline to Week 12 in LAV. LAV and other echocardiographic parameters were centrally analysed by the cardiac imaging core lab at Charité Berlin (EP-K). Exploratory efficacy endpoints included assessments of patients' health status by the disease-specific Kansas City Cardiomyopathy Questionnaire [KCCQ] and the generic health-related quality of life EQ-5D instrument,<sup>12</sup> mortality and morbidity, and echocardiography at rest.

### Statistical analysis

For the pre-specified analysis of the two primary endpoints, the three highest vericiguat dose arms (2.5 mg, 2.5–5 mg, and 2.5–10 mg) were pooled with the intention of increasing power for the detection of significant differences compared with placebo using pre-specified one-sided two-sample *t*-tests. The 1.25 mg dose arm was assumed to have no or minimal effect and was not included in the pool of presumed effective dose arms. The Hochberg procedure was used in order to determine whether either of the two primary endpoints was significant. The Hochberg procedure is a multiplicity adjustment that allows testing of the two primary endpoints in a way that the study is positive if at least one of the two primary endpoints meets the pre-specified significance level in the primary analysis. Either both tests need to be significant at the one-sided alpha level of 5% (two-sided 10%), or one test needs to be



**Figure 1** Patient flow and validity of analysis sets. Detailed reasons for exclusion from per protocol sets are provided in Supplementary material online, *Table S1*. BNP, B-type natriuretic peptide; eGFR, estimated glomerular filtration rate; FAS, full analysis set; LAV, left atrial volume; LVEF, left ventricular ejection fraction; NT-proBNP, N-terminal pro-B-type natriuretic peptide; SAF, safety analysis set.

significant at the one-sided 2.5% level (two-sided 5%). The study was planned to detect a difference in at least one of the two primary endpoints with 90% power, assuming independence between the two primary endpoints.

The full analysis set (FAS) included all patients randomized to treatment and was used to display baseline characteristics. The safety analysis set (SAF) included all patients in the FAS who received at least one dose of the study drug. For the analyses of exploratory efficacy endpoints, patients with incorrectly assigned doses were excluded from the FAS (*Figure 1*), because the lower doses they received were disconnected from the randomized dose regimen, as well as from dose-titration principles. The per protocol sets (PPS) included all patients randomized to treatment who were alive with valid measurement of NT-proBNP at baseline and Week 12, or of LAV at baseline and Week 12, and had no major protocol deviations. The PPS were used for primary and secondary efficacy analyses of the primary endpoints. All other efficacy analyses were exploratory and confidence intervals are provided as indicated. No multiplicity adjustment was performed for exploratory efficacy analyses. Data are summarized by mean  $\pm$  standard deviation or mean  $\pm$  standard error as indicated, or median (interquartile range) for continuous data, and by frequencies and percentages for categorical data. Between-group comparisons were performed by *t*-tests or Wilcoxon rank-sum tests as indicated. Dose-response was assessed by linear regression models. SAS (version 9.2) was used for all analyses.

## Results

Of 632 patients screened, 477 patients were randomized (*Figure 1*) between November 2013 and May 2015 at 145 sites in Europe, North America, and Asia. Patients were randomized at  $12.9 \pm 9.0$  (mean  $\pm$  SD)

days after clinical stabilization following hospitalization for worsening chronic heart failure ( $n = 358$ ) or based on outpatient treatment with IV diuretics for worsening chronic HF ( $n = 117$ ) (*Table 1*). For primary endpoint analysis, 345 patients (72% of the total randomized population) fulfilled validity criteria for per protocol analysis of NT-proBNP, and 338 patients (71%) fulfilled validity criteria for per protocol analysis of LAV (*Figure 1*, see Supplementary material online, *Table S1*). Owing to the IxRS error, 48 patients (5 mg group,  $n = 20$ ; 10 mg group,  $n = 28$ ) received lower than planned study drug doses and were excluded from analysis in the main results section (*Figure 1*). Actual doses of study drug at, and after, the Week 8 visit in this analysis set are shown in Supplementary material online, *Table S2*. In 8 patients (1.7%), vital status was not known at the end of the study.

Baseline characteristics and medication use in the FAS are shown in *Table 1* (for additional baseline characteristics see Supplementary material online, *Table S3*). At baseline, almost all (92%) patients were receiving diuretics, 40% angiotensin-converting enzyme (ACE) inhibitors, 34% angiotensin II receptor blockers, 80%  $\beta$ -blockers, 37% mineralocorticoid receptor antagonists, and 36% calcium channel blockers. Baseline NT-proBNP was high, with a median (interquartile range) of 1174 pg/mL (433–2576) in the entire group; levels were highest in the 10 mg target dose arm (median 1458 pg/mL), and lowest in the placebo group (median 975 pg/mL). In line with the higher cut-off for patients in atrial fibrillation at baseline, NT-proBNP at baseline [median (IQR)] was 1983 pg/mL (1170–3754) in these patients compared with 650 pg/mL (279–1619) in patients in sinus rhythm. Baseline LAV was large with a mean of  $86 \pm 36$  mL in the entire group. Clinical characteristics were generally well balanced across treatment groups at baseline (*Table 1*, see Supplementary material online, *Table S3*).

**Table 1** Baseline characteristics (full analysis set)

	Placebo	Vericiguat				Total (n = 477)
	(n = 93)	1.25 mg (n = 96)	2.5 mg (n = 96)	2.5–5 mg (n = 96)	2.5–10 mg (n = 96)	
Age (years), mean (SD)	74 (9)	74 (10)	72 (11)	74 (8)	73 (10)	73 (10)
Female gender, N (%)	46 (49.5%)	51 (53.1%)	43 (44.8%)	43 (44.8%)	44 (45.8%)	227 (47.6%)
Baseline body mass index (kg/m <sup>2</sup> ), mean (SD)	30.1 (6.5)	29.6 (6.5)	30.7 (6.3)	30.1 (5.6)	30.4 (5.0)	30.2 (6.0)
LVEF (%), median (IQR)	57 (53–62)	56 (53–60)	57 (52–62)	58 (53–62)	56 (53–60)	57 (53–61)
Initial worsening HF presentation, N (%)						
Hospitalization	72 (77.4%)	73 (76.0%)	68 (70.8%)	75 (78.1%)	70 (72.9%)	358 (75.1%)
Intravenous diuretic	20 (21.5%)	23 (24.0%)	27 (28.1%)	21 (21.9%)	26 (27.1%)	117 (24.5%)
Missing	1 (1.1%)	0	1 (1.0%)	0	0	2 (0.4%)
Time from stabilization to randomization (days), mean (SD)	11.9 (10)	11.9 (8.6)	12.6 (8.0)	14.6 (8.9)	13.7 (9.5)	12.9 (9.0)
NYHA Class III/IV, N (%)	39 (41.9%)	46 (47.9%)	44 (45.8%)	41 (42.7%)	46 (47.9%)	216 (45.3%)
KCCQ-CSS, mean (SD)	54.1 (23.0)	56.0 (22.5)	57.3 (22.3)	55.7 (24.2)	53.1 (21.5)	55.2 (22.6)
EQ-5D US index score, mean (SD)	0.73 (0.21)	0.71 (0.20)	0.72 (0.20)	0.73 (0.21)	0.72 (0.21)	0.72 (0.20)
Systolic blood pressure (mmHg), mean (SD)	133 (15)	133 (14)	132 (15)	131 (14)	134 (13)	133 (14)
Heart rate (bpm), mean (SD)	68 (11)	69 (11)	71 (12)	70 (12)	70 (12)	70 (12)
NT-proBNP (pg/mL), median (IQR)	975 (531–2576)	1161 (401–2568)	1140 (393–2271)	1343 (358–3399)	1458 (470–2653)	1174 (433–2576)
LAV (mL), mean (SD)	88 (47)	88 (44)	87 (31)	84 (30)	85 (26)	86 (36)
Diabetes mellitus, N (%)	47 (50.5%)	48 (50.0%)	46 (47.9%)	47 (49.0%)	44 (45.8%)	232 (48.6%)
eGFR (mL/min/1.73m <sup>2</sup> ), mean (SD)	52.3 (20.6)	52.8 (23.0)	57.4 (20.8)	54.2 (17.3)	57.4 (19.3)	54.8 (20.3)
Atrial fibrillation in baseline ECG, N (%)	35 (37.6%)	41 (42.7%)	40 (41.7%)	38 (39.6%)	36 (37.5%)	190 (39.8%)
Baseline therapies, N (%)						
Diuretics	85 (91.4%)	91 (94.8%)	85 (88.5%)	88 (92.6%)	90 (93.8%)	439 (92.2%)
Beta-Blockers	76 (81.7%)	73 (76.0%)	76 (79.2%)	73 (76.8%)	82 (85.4%)	380 (79.8%)
ACE inhibitor	40 (43.0%)	42 (43.8%)	41 (42.7%)	33 (34.7%)	35 (36.5%)	191 (40.1%)
ARB	32 (34.4%)	32 (33.3%)	33 (34.4%)	31 (32.6%)	34 (35.4%)	162 (34.0%)
MRA	39 (41.9%)	37 (38.5%)	34 (35.4%)	35 (36.8%)	33 (34.4%)	178 (37.4%)
Calcium channel blocker	30 (32.3%)	38 (39.6%)	40 (41.7%)	30 (31.6%)	33 (34.4%)	171 (35.9%)

ACE, angiotensin-converting enzyme; ARB, angiotensin II receptor blocker; bpm, beats per minute; CSS, Clinical Summary Score; eGFR, estimated glomerular filtration rate; EQ-5D, 5-dimension EuroQol questionnaire; IQR, interquartile range; HF, heart failure; KCCQ, Kansas City Cardiomyopathy Questionnaire; LAV, left atrial volume; LVEF, left ventricular ejection fraction; MRA, mineralocorticoid receptor antagonist; NT-proBNP, N-terminal pro-B-type natriuretic peptide; NYHA, New York Heart Association; SD, standard deviation.

## Primary endpoints

In the respective PPS, the changes in logNT-proBNP and LAV from baseline to 12 weeks were small and did not differ in the primary analysis between the pooled three highest vericiguat dose arms and placebo or in the pre-specified secondary analyses between any vericiguat group and placebo (Table 2).

Adjustment of changes in NT-proBNP and LAV by baseline values did not reveal any treatment effects compared with placebo (see Supplementary material online, Table S4). Exploratory subgroup analyses of both primary endpoints by baseline heart rhythm (atrial fibrillation vs. no atrial fibrillation) showed no major differences in the pooled treatment groups from the placebo group (see Supplementary material online, Table S5).

## Exploratory endpoints

Changes in patient-reported outcomes (PRO) were pre-specified as a key exploratory outcome.<sup>9</sup> Results for the KCCQ Clinical Summary

Score (CSS), which quantifies patients' perceptions of their symptoms and physical limitations, are shown in Table 3. The CSS improved in a time- and dose-dependent fashion, with a clinically meaningful difference of  $\geq 5$  points<sup>13</sup> in the change from baseline to 12 weeks in the 10 mg target dose arm compared with placebo. Changes from week 4 are also reported in Table 3 to account for the initial titration period. These effects were consistent with changes in other scores and subdomains of the KCCQ, the summary score of the EQ-5D and the Euroqol visual analog scale, and trends in NYHA class as well as clinical signs of congestion. For detailed results of KCCQ scores and other PRO variables see parallel publication.<sup>12</sup>

Echocardiographic parameters of cardiac function and structure at rest were pre-specified as exploratory outcomes. Descriptive analysis of more than 80 directly measured or derived variables was considered for hypothesis generation. Variables were selected in line with previous publications of HFpEF study results and are presented in Figure 2 and Supplementary material online, Table S6. General trends observed

**Table 2** Primary endpoints [per protocol analysis (PPS-NT-proBNP and PPS-LAV)]

Primary analysis <sup>a</sup>		n	Baseline	12 weeks (Visit 5)	Treatment comparison			
			Mean (SD)	Mean change from baseline (SD)	Difference (Treat-Plac) [Back-transformed <sup>b</sup> ]	90% Confidence interval [Back-transformed <sup>b</sup> ]	P-value <sup>c</sup>	
							One-sided	Two-sided
LAV (mL)	Placebo	67	89.075 (51.059)	-3.361 (12.654)				
	Pooled	194	87.083 (30.204)	-1.732 (12.808)	1.6291	-1.36 to 4.62	0.8156	0.3688
log(NT-proBNP) [log(pg/mL)]	Placebo	73	6.897 (1.203)	-0.098 (0.778)				
	Pooled	195	6.945 (1.297)	0.038 (0.782)	0.1372 [1.147]	-0.04 to 0.31 [0.96–1.37]	0.8991	0.2017

Secondary analysis <sup>d</sup>		n	Baseline	12 weeks (visit 5)	Treatment comparison			Regression <sup>e</sup>	
			Mean (SD)	Mean change from baseline (SD)	Difference (Treat-Plac) [Back-transformed <sup>b</sup> ]	95% Confidence interval [Back-transformed <sup>b</sup> ]	P-value <sup>c</sup>		Slope (one-sided P-value; two-sided P-value <sup>c</sup> )
							One-sided	Two-sided	
LAV (mL)	2.5–10 mg	59	87.741 (27.027)	-1.654 (10.245)	1.7071	-2.39 to 5.80	0.7945	0.4109	0.141
	2.5–5 mg	57	86.662 (32.598)	-1.252 (16.139)	2.1093	-3.01 to 7.23	0.7917	0.4165	(0.7722;
	2.5 mg	78	86.892 (31.033)	-2.142 (11.931)	1.2192	-2.82 to 5.26	0.7241	0.5518	0.4555)
	1.25 mg	77	89.464 (46.805)	-2.163 (7.895)	1.1983	-2.23 to 4.63	0.7546	0.4908	
log(NT-proBNP) [log(pg/mL)]	2.5–10 mg	60	7.170 (1.240)	-0.023 (0.705)	0.0758 [1.079]	-0.18 to 0.33 [0.83–1.40]	0.7194	0.5611	0.006
	2.5–5 mg	57	7.025 (1.372)	0.057 (0.819)	0.1561 [1.169]	-0.12 to 0.43 [0.88–1.54]	0.8653	0.2695	(0.6809;
	2.5 mg	78	6.713 (1.262)	0.071 (0.818)	0.1706 [1.186]	-0.09 to 0.43 [0.92–1.53]	0.9041	0.1917	0.6383)
	1.25 mg	77	6.824 (1.498)	-0.047 (0.788)	0.0519 [1.053]	-0.20 to 0.30 [0.82–1.36]	0.6572	0.6855	

LAV, left atrial volume; NT-proBNP, N-terminal pro-B-type natriuretic peptide; PPS, per-protocol set; SD, standard deviation.

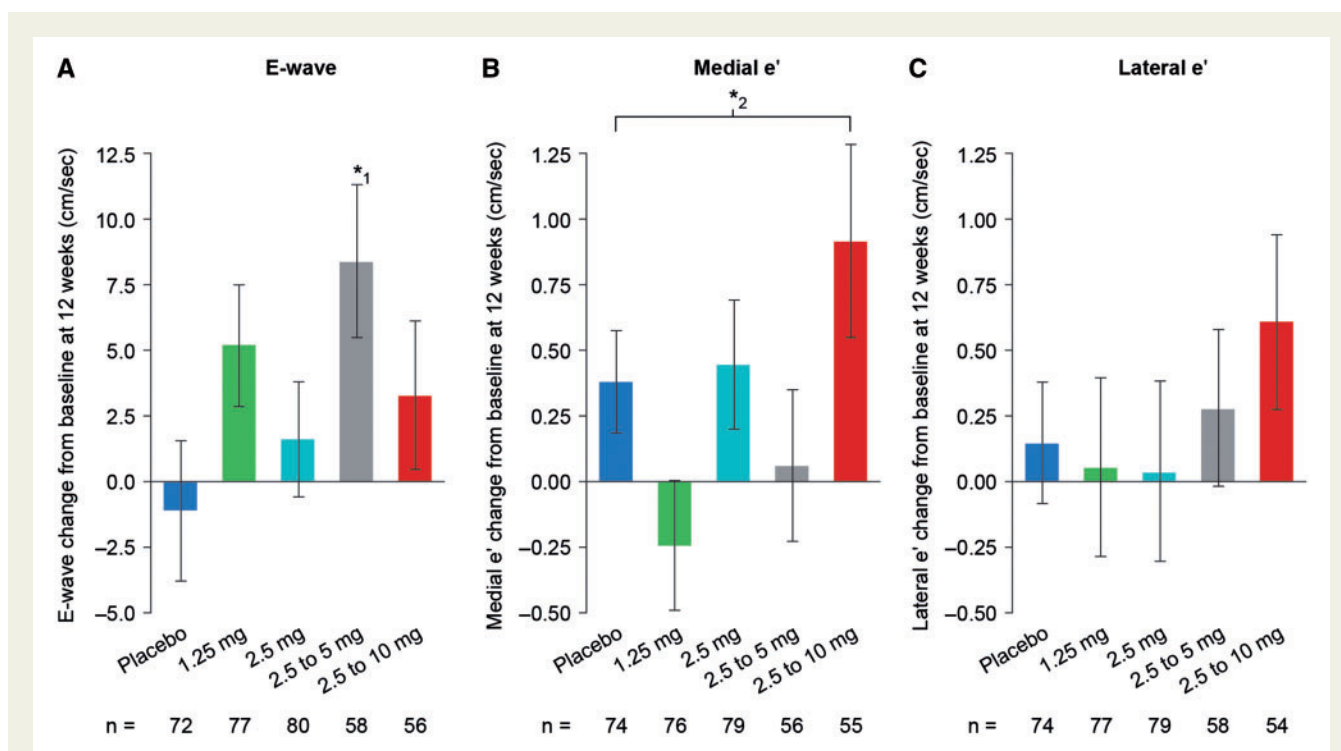
<sup>a</sup>Assessed by Hochberg procedure.<sup>b</sup>Back-transformation from logarithmic to original scale. This is equivalent to the ratio of geometric means on the original scale.<sup>c</sup>t-test<sup>d</sup>Secondary analyses are exploratory only due to non-significance of the primary analysis. All secondary tests were to be assessed at the one-sided 2.5% level (two-sided 5%).<sup>e</sup>Linear regression with dose group as explanatory variable.**Table 3** Kansas City cardiomyopathy questionnaire-clinical summary score (full analysis set excluding patients with incorrectly assigned doses)

	Baseline		12 weeks (Visit 5)		Treatment comparison				Regression		
	Mean (SD)		Mean change from baseline (SD)		Change at 12 weeks from baseline		Change between 4 and 12 weeks		Slope (SD), P-value <sup>b</sup>		
	n	n	n	n	Difference (Treat-Plac)	P-value <sup>a</sup>	Difference (Treat-Plac)	P-value <sup>a</sup>			
2.5–10 mg	68	52.3 (20.4)	61	19.3 (16.3)	60	6.2 (15.7)	9.2	0.016	5.7	0.0465	0.92 (0.29),
2.5–5 mg	75	52.9 (24.0)	61	12.3 (18.9)	60	7.4 (13.6)	2.1	0.5065	6.9	0.0046	P=0.0017
2.5 mg	95	57.3 (22.3)	83	8.7 (18.4)	83	2.6 (15.7)	-1.4	0.2897	2.1	0.4468	
1.25 mg	96	56.0 (22.5)	82	11.4 (19.1)	81	3.4 (15.8)	1.3	0.5802	2.9	0.2445	
Placebo	92	54.1 (23.0)	78	10.2 (20.0)	79	0.5 (14.1)					

SD, standard deviation.

<sup>a</sup>Non-parametric Wilcoxon rank-sum test.<sup>b</sup>Linear regression with dose group as explanatory variable.





**Figure 2** Echocardiography at rest. Full analysis set excluding patients with incorrectly assigned doses. Data are displayed as mean  $\pm$  standard error. (A) E wave peak velocity antegrade flow (mitral valve early diastolic filling, Doppler echo of LV diastolic inflow pattern),\*<sup>1</sup> difference relative to placebo: 9 cm/s (95% CI 2 to 17 cm/s); (B) medial e' (mitral valve medial annular peak early diastolic tissue velocity, tissue Doppler imaging of LV relaxation),\*<sup>2</sup> baseline-adjusted linear regression slope 0.08 cm/s (95% CI 0.02 to 0.14 cm/s); (C) lateral e' (mitral valve lateral annular peak early diastolic tissue velocity). CI, confidence interval; LV, left ventricular.

included increased transmitral diastolic left ventricular (LV) inflow (mitral E velocity) and increased LV relaxation (mitral e' velocity) from baseline to 12 weeks with treatment.

No trends were observed at 12 weeks in the biomarkers osteopontin, TIMP, cGMP, Procollagen III N-terminal propeptide, GDF-15, sST2 or Gal-3 (see Supplementary material online, Table S7).

In the FAS, the incidence of the composite of HF hospitalization and cardiovascular (CV) death at 12 weeks was 7.5% in the placebo arm, and 6.3% in the vericiguat 1.25 mg, 11.5% in 2.5 mg, 7.3% in the 5 mg, and 5.2% in the 10 mg target dose arms. CV-specific and all-cause mortality were 1.5 and 2.3%, respectively, for the period from baseline to the end of follow-up at 16 weeks in the FAS. Deaths in all treated patients are presented in Table 4. In the SAF, there were two deaths reported due to 'worsening heart failure'. One was in the placebo group and the other was in the 2.5–10 mg arm. In the 10 mg group, a death due to 'pneumonia' was also reported, and no cause of death was reported more than once in the vericiguat arms: no death in the 1.25 mg arm, one death in the 2.5 mg arm ('multi-organ failure'), occurring 35 days after discontinuation of study drug, and seven deaths in the 5 mg arm with different causes ('myocardial infarction', 'cardiogenic shock', 'sudden death', 'acute kidney injury', 'respiratory failure', 'circulatory collapse', and 'suicide'). In all but one patient ('sudden death'), death occurred after previous cessation of study drug for 2–35 days. Considering the similar death rates in the placebo and vericiguat dose groups, and the overall low number of deaths, there is no trend towards an increase in mortality compared with placebo.

## Safety

There was no change in blood pressure in the highest target dose arm and no dose–response relationship for blood pressure was seen. The largest difference in diastolic blood pressure at 12 weeks was between the placebo and 2.5 mg vericiguat groups (-4.1 mmHg, 95% CI -7.6 to -0.6 mmHg; Figure 3). Resting heart rate decreased in the 10 mg group at 12 weeks by  $-2.6 \pm 1.2$  bpm (mean  $\pm$  SE) compared with an increase in the placebo group of  $3.3 \pm 1.5$  bpm [difference relative to placebo  $-5.9$  bpm (95% CI -10.0 to -1.9)]. Although the nominal *P*-value for this difference is *P* = 0.0045, it may reflect the play of chance or the prevention of an increase in resting heart rate by vericiguat, and was driven mainly by patients in sinus rhythm (see Supplementary material online, Table S5). Notably, cardiac output at rest in the 10 mg arm was unchanged at 12 weeks ( $3.4 \pm 1.0$  L/min at baseline and  $3.4 \pm 1.1$  L/min at 12 weeks). No changes from baseline and no differences from placebo were found for eGFR or high-sensitivity troponin (data not shown). Rates of adverse events in the SAF (69.8% in the 10 mg arm, compared with 73.1% in the placebo arm) and serious adverse events (25.0% in the 10 mg arm, compared with 28.0% in the placebo arm) were evenly distributed across all randomized groups (Table 4).

## Discussion

The Phase 2 SOCRATES-PRESERVED study showed that, in patients with a clear diagnosis of HFpEF, elevated NT-proBNP levels, and left

**Table 4** Adverse events (safety analysis set and #, safety analysis set excluding patients with incorrectly assigned doses)

	Placebo	Vericiguat					
	N = 93 (100%)	1.25 mg N = 96 (100%)	2.5 mg N = 95 (100%)	2.5–5 mg N = 95 (100%)	2.5–5 mg N = 75# (100%)	2.5–10 mg N = 96 (100%)	2.5–10 mg N = 68# (100%)
Number of patients with adverse events							
Any AE	68 (73.1%)	67 (69.8%)	65 (68.4%)	73 (76.8%)	61 (81.3%)	67 (69.8%)	46 (67.6%)
Any study drug-related AE	13 (14.0%)	20 (20.8%)	10 (10.5%)	20 (21.1%)	17 (22.7%)	13 (13.5%)	9 (13.2%)
AE with outcome death	1 (1.1%)	0	1 (1.1%)	7 (7.4%)	7 (9.3%)	2 (2.1%)	1 (1.5%)
Any SAE	26 (28.0%)	23 (24.0%)	29 (30.5%)	24 (25.3%)	22 (29.3%)	24 (25.0%)	17 (25.0%)
Any study drug-related SAE	2 (2.2%)	1 (1.0%)	1 (1.1%)	1 (1.1%)	1 (1.3%)	1 (1.0%)	1 (1.5%)
Discontinuation of study drug due to AE	3 (3.2%)	4 (4.2%)	8 (8.4%)	6 (6.3%)	5 (6.7%)	5 (5.2%)	4 (5.9%)
Discontinuation of study drug due to SAE	2 (2.2%)	2 (2.1%)	3 (3.2%)	1 (1.1%)	1 (1.3%)	4 (4.2%)	3 (4.4%)
Number of patients with treatment-emergent protocol-specified AEs of special interest, investigator-assessed							
Cardiac failure <sup>a</sup>	10 (10.8%)	9 (9.4%)	12 (12.6%)	11 (11.6%)	10 (13.3%)	6 (6.3%)	5 (7.4%)
Acute kidney injury <sup>b</sup>	1 (1.1%)	1 (1.0%)	1 (1.1%)	2 (2.1%)	2 (2.7%)	3 (3.1%)	3 (4.4%)
Number of patients with treatment-emergent AEs of special safety interest							
Hypotension <sup>c</sup>	3 (3.2%)	5 (5.2%)	4 (4.2%)	5 (5.3%)	3 (4.0%)	4 (4.2%)	1 (1.5%)
Asymptomatic <sup>d</sup>	0	2 (2.1%)	2 (2.1%)	2 (2.1%)	0	2 (2.1%)	0
Symptomatic <sup>d</sup>	3 (3.2%)	3 (3.1%)	2 (2.1%)	3 (3.2%)	3 (4.0%)	2 (2.1%)	1 (1.5%)
Presyncope <sup>b</sup>	0	0	0	0	0	1 (1.0%)	0
Syncope <sup>b</sup>	2 (2.2%)	1 (1.0%)	1 (1.1%)	0	0	2 (2.1%)	0
Renal and urinary disorders <sup>e</sup>	7 (7.5%)	11 (11.5%)	1 (1.1%)	11 (11.6%)	11 (14.7%)	5 (5.2%)	4 (5.9%)

AEs, adverse events; SAEs, serious adverse events.

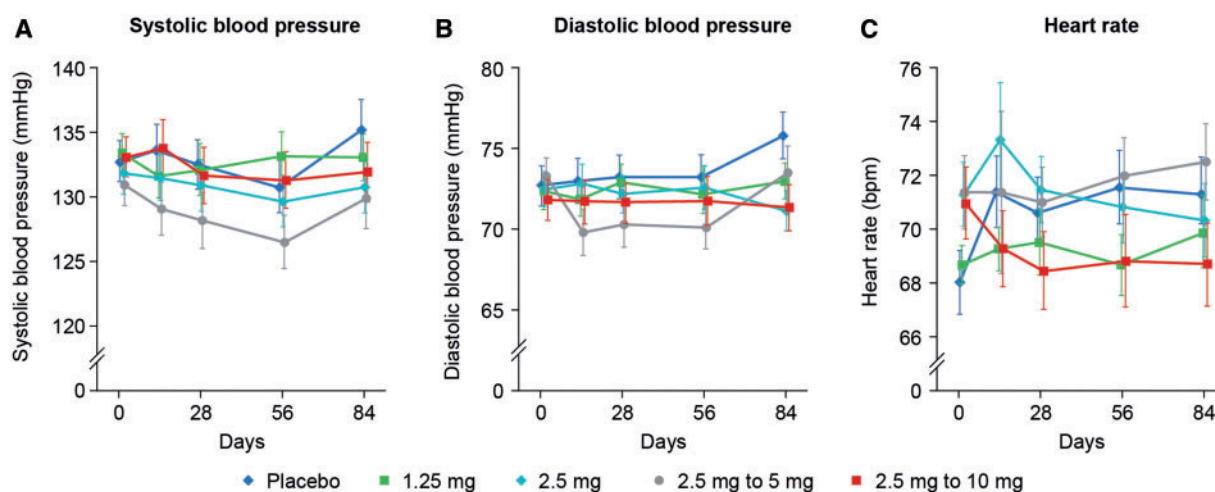
<sup>a</sup>System organ class (SOC) cardiac disorders, includes preferred terms (PT) cardiac failure (acute, chronic, congestive) and right ventricular failure.

<sup>b</sup>Preferred term.

<sup>c</sup>System organ class vascular disorders.

<sup>d</sup>Asymptomatic = lower level terms (LLT) hypotension asymptomatic and low blood pressure, Symptomatic = LLTs hypotension, hypotension orthostatic symptomatic, and hypotension symptomatic.

<sup>e</sup>SOC from standardized MedDRA query (SMQ) acute renal failure, includes PTs acute kidney injury, prerenal failure, renal failure, and renal impairment.



**Figure 3** Blood pressure and heart rate at given time points. Safety analysis set excluding patients with incorrectly assigned doses. Data are displayed as mean  $\pm$  standard error. (A) systolic blood pressure; (B) diastolic blood pressure; (C) heart rate, difference in heart rate between the placebo and 2.5 mg vericiguat groups at 12 weeks was  $-3.7$  bpm (95% CI  $-7.32$  to  $0.00$  bpm), and between the 10 mg and placebo groups  $-5.9$  bpm (95% CI  $-10.0$  to  $-1.9$  bpm). Baseline-adjusted linear regression slope  $-0.34$  bpm (95% CI  $-0.66$  to  $-0.02$  bpm). bpm, beats per minute; CI, confidence interval.

atrial enlargement, 12 weeks of treatment with vericiguat in the studied dose range did not reduce either of the primary endpoints, log-transformed NT-proBNP and LAV, compared with placebo. Despite lack of an effect on these surrogate markers of disease severity, patient reported symptoms and physical limitations, as assessed by the KCCQ, were improved in patients receiving the two higher doses of vericiguat compared with patients receiving placebo.<sup>12</sup> In NEAT-HF, quality of life assessed by the KCCQ did not improve in patients receiving isosorbide mononitrate over 6 weeks, and physical activity decreased.<sup>14</sup> Likewise, KCCQ and Minnesota Living with Heart Failure scores did not improve in response to sildenafil,<sup>15,16</sup> whereas vericiguat may have led to a significant dose-dependent improvement in quality of life, with a clinically meaningful effect size in the vericiguat 10 mg arm despite no change in the primary endpoints. These observations, if confirmed, support the hypothesis that cGMP elevation in response to NO donors or PDE5 inhibition differs from the direct sGC stimulation capacity in the absence of NO by vericiguat. Since during the 4 weeks following randomization patients were both in a heterogeneous period of natural recovery after a recent HF event and a period of dose titration, the additional *post hoc* analysis of Weeks 4–12 allows for separate consideration of the period following the final dose titration step for the 10 mg arm and gives an important insight into the potential difference between the 5 mg and 10 mg doses.

In comparison with previous HFpEF trials, patients enrolled in SOCRATES-PRESERVED had higher median NT-proBNP at baseline as well as more severely enlarged left atria with echocardiographic characteristics consistent with the presence of pronounced concentric hypertrophy. In line with this, 40% of patients had atrial fibrillation at baseline.

Several factors deserve consideration in examining the primary endpoints of change in NT-proBNP and LAV. First, the time frame in which NT-proBNP is expected to respond to treatment following worsening chronic HFpEF is uncertain, and the 12-week time point for primary analysis in SOCRATES-PRESERVED may have been too early to observe a reduction. In previous studies of patients with HFrEF, metoprolol<sup>17</sup> and carvedilol treatment<sup>18</sup> caused a transient increase in NT-proBNP before decreases became manifest at time points > 3 months. However, in SOCRATES-REDUCED, vericiguat dose-dependently reduced NTproBNP as early as 12 weeks after treatment initiation in patients with HFrEF,<sup>10</sup> and LCZ696 in the PARAMOUNT study led to an early decrease from week 4, after a 2-week, single-blind, placebo run-in period, with a peak difference from placebo at 12 weeks.<sup>19</sup> Longer duration studies will be needed to clarify whether vericiguat has a potential to decrease NT-proBNP in patients with HFpEF. Similarly, longer treatment duration may be required to observe a potential effect on left atrial (LA) size. In PARAMOUNT, which had less severely elevated LA sizes at baseline, a trend towards decreasing LA size was seen at 12 weeks that became significant only at 36 weeks.<sup>19</sup>

Second, it is possible that, in contrast to patients with HFrEF, the tested doses in SOCRATES-PRESERVED were too low to reduce effectively NT-proBNP,<sup>10</sup> and that the pharmacodynamic response to vericiguat in patients with HFpEF could differ from that in patients with HFrEF.

Third, the studied population had a more severe phenotype than populations in previous HFpEF trials and, thus, may have been more

refractory to treatment. However, underpowered subgroup analyses did not unmask any responder phenotypes.

Analysis of change in echocardiographic measurements apart from LAV was exploratory. Nonetheless, the resting echocardiographic data suggest increased early LV filling with improved early diastolic relaxation (thus without increasing the  $E/e'$  ratio in spite of increased early mitral inflow) with vericiguat. Importantly, the improvement in  $e'$  velocity was not related to a reduction in afterload, since effective arterial elastance and peripheral blood pressure remained unchanged with vericiguat. In contrast, the improvement in  $e'$  velocity with valsartan in patients with hypertension was related to reduction in afterload.<sup>20</sup> This suggests that vericiguat potentially has a direct myocardial effect in patients with HFpEF. It should also be noted that echocardiography was only conducted at rest in SOCRATES-PRESERVED; thus, these data do not address whether echocardiographic measurements under exercise stress conditions may improve to a greater extent with vericiguat. This warrants further study of potential mechanisms underlying the improved patient-reported outcomes with vericiguat. Potential effects of sGC stimulation on cardiac as well as non-cardiac tissues such as skeletal muscle, carotid bodies, or visceral fat could contribute to the observed improvement in patient-reported outcomes.

Resting heart rate decreased in the vericiguat treatment arms, in contrast to an increase in heart rate in placebo-treated patients, even in the context of 80% of patients receiving  $\beta$ -blockers, and in the absence of changes in systolic and diastolic blood pressure in the 5 mg and 10 mg target dose arms. The observed difference in resting heart rate coincided with improvement in patient-reported outcomes with vericiguat, in contrast with the deterioration in peak  $VO_2$  with ivabradine<sup>21</sup> and the lack of an impact on quality of life with nebivolol.<sup>22</sup> Our data do not resolve whether this is a direct cardiac effect, an indirect heart rate reduction secondary to improved diastolic filling, or a non-cardiac effect reflective of improved health status (e.g. lower sympathetic drive).

Limitations of this study include the short treatment duration, assessment of exploratory echocardiography and vital signs only at rest, and the non-confirmatory nature of reported exploratory outcomes in view of the neutral findings for the primary endpoints. Additional limitations apply to the interpretation of echocardiographic and heart rate changes, which may be chance findings in the absence of correction for multiplicity. There was no linear dose-relationship in E wave, and analysis of the A wave was limited to the subgroup of patients in sinus rhythm (60% of the study population). As in previous HFpEF trials, echocardiographic findings are not confirmatory but hypothesis generating, most variables did not show consistent trends across dose arms, and no trend was consistently seen across a full domain. Further analyses including strain measures, and longer treatment in future studies might be required. There was loss of information in 48 out of 477 randomized patients affected by the erroneous software update of the drug dispensation system.

In conclusion, vericiguat did not change the primary endpoints NT-proBNP and LAV at 12 weeks compared with placebo in patients with HFpEF after recent HF decompensation. Vericiguat was well tolerated, and exploratory analyses of pre-defined patient-reported outcomes suggest the potential for vericiguat to improve quality of life in patients with HFpEF requiring confirmatory studies. Further



studies with vericiguat considering longer follow-up, higher doses, and additional prognostic endpoints should be conducted to clarify its potential impact on clinically important outcomes in HFpEF.

## Supplementary material

Supplementary material is available at *European Heart Journal* online.

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