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# A virus-derived microRNA-like small RNA serves as a serum biomarker to prioritize the COVID-19 patients at high risk of developing severe disease

Zheng Fu<sup>1</sup>, Jian Wang<sup>2</sup>, Zheng Wang<sup>3</sup>, Ying Sun<sup>1</sup>, Jian Wu<sup>4</sup>, Yongchen Zhang<sup>5</sup>, Xingxiang Liu<sup>6</sup>, Zhen Zhou<sup>1</sup>, Likun Zhou<sup>1</sup>, Chen-Yu Zhang<sup>1</sup>, Yongxiang Yi<sup>5</sup>, Xinyi Xia<sup>4,7,8</sup>, Lin Wang<sup>2</sup> and Xi Chen<sup>1</sup>

Dear Editor,

The COVID-19 outbreak has caused a health crisis and economic hardship across the world, and the sudden deterioration of COVID-19 patients into a severe type of illness is a major cause of the high mortality in current pandemic. Since medical facilities do not have reliable biomarkers to predict likelihood of disease progression and identify high-risk patients that require immediate medical attention, patients can only be treated after the appearance of severe symptoms, thereby missing the best treatment window. Furthermore, because patients cannot be stratified at admission, they have to be quarantined and treated without screening, which often leads to high pressure on healthcare services and overwhelming of medical resources. Therefore, it is urgent to develop a biomarker that can accurately predict the severity and prognosis of COVID-19 patients in their pre-severe stage, thereby improving treatment outcome, reducing mortality rate, and assuring proper use of the limited medical resources. Recent studies by us and others have demonstrated that microRNAs (miRNAs), a group of small, single-stranded, noncoding RNAs produced by eukaryotic

cells and viruses, circulate in human blood in a highly stable, cell-free form<sup>1,2</sup>. Our further studies have demonstrated that RNA viruses (Ebola<sup>3</sup> and H5N1<sup>4</sup>) can encode miRNA-like small RNAs (milRNAs) and that circulating viral milRNAs can be exploited for early diagnosis of viral infection and prediction of prognosis<sup>3</sup>. Here, we identified SARS-CoV-2-encoded milRNAs in patients' sera and evaluated their potential in predicting high-risk individuals before manifestation of severe symptoms.

A total of 159 COVID-19 patients and 51 healthy controls from multiple clinical centers in two provinces of China were enrolled in this study. The baseline characteristics of the COVID-19 patients are shown in Supplementary Table 1. While there was no significant difference between the severe and mild/moderate patients with respect to sex, there were significant differences in age and comorbidity (Supplementary Fig. S1). A multiphase study was designed to identify SARS-CoV-2-encoded milRNAs and to determine their clinical value in distinguishing severe patients from mild/moderate ones (Fig. 1a; detailed descriptions of the methodology are provided in Supplementary Methods). In screening phase, serum samples were sequenced to identify SARS-CoV-2-encoded milRNAs. In validation phase, serum samples in three cohorts were collected from severe patients who had deteriorated to a severe or critically severe condition or from mild/moderate patients who remained at a mild or moderate condition throughout the hospital stay; milRNAs were absolutely quantified by a quantitative RT-PCR (qRT-PCR) assay to confirm their accuracy in discriminating severe patients from mild/moderate patients. In testing phase, 20 patients with mild or moderate symptoms of COVID-19 at admission were monitored, and 9 of them

Correspondence: Chen-Yu Zhang (cyzhang@nju.edu.cn) or Yongxiang Yi (ian0216@126.com) or Xinyi Xia (xiaynju@nju.edu.cn) or Lin Wang (wang@hust.edu.cn) or Xi Chen (xichen@nju.edu.cn)

<sup>1</sup>Nanjing Drum Tower Hospital Center of Molecular Diagnostic and Therapy, Chinese Academy of Medical Sciences Research Unit of Extracellular RNA, State Key Laboratory of Pharmaceutical Biotechnology, Jiangsu Engineering Research Center for MicroRNA Biology and Biotechnology, NJU Advanced Institute of Life Sciences (NAILS), Chemistry and Biomedicine Innovation Center, Institute of Artificial Intelligence Biomedicine, School of Life Sciences, Nanjing University, Nanjing, Jiangsu, China

<sup>2</sup>Department of Clinical Laboratory, Union Hospital, Tongji Medical College, Huazhong University of Science and Technology, Wuhan, Hubei, China

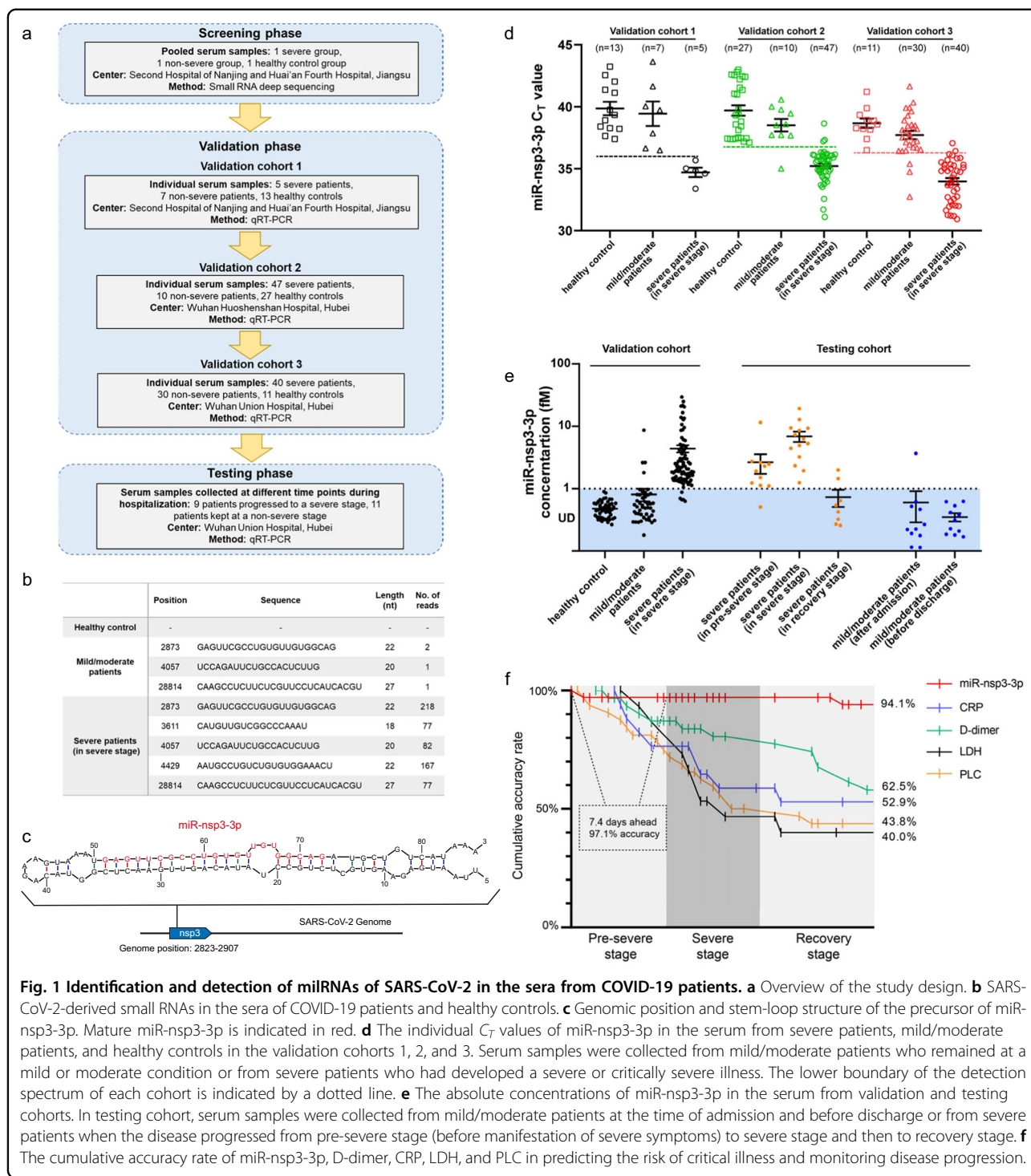
Full list of author information is available at the end of the article

These authors contributed equally: Zheng Fu, Jian Wang, Zheng Wang, Ying Sun, Jian Wu

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showed apparent signs of severe illness during hospitalization and progressed to a severe or critically severe condition, while the other 11 patients remained at a mild or moderate condition throughout their hospital stay; miRNAs were monitored across different time points to examine whether they could predict disease severity ahead of manifestation of severe symptoms.

In screening phase, abundant endogenous miRNAs were detected in the sera from severe or mild/moderate patients and healthy controls by small RNA deep sequencing (Supplementary Fig. S2a). While no small RNA (18–30 nucleotides) from SARS-CoV-2 was detected in healthy controls and only 4 sequencing reads (corresponding to three viral small RNAs) were detected

in mild/moderate patients, 621 reads (corresponding to five viral small RNAs) were detected in severe patients (Fig. 1b). Since miRNA precursor usually folds into a stem-loop hairpin structure and mature miRNA is usually located on a hairpin arm, the SARS-CoV-2 genome was scanned for stem-loop structures that comprised the small RNAs on hairpin arm. Only one small RNA fulfilled the criteria and could be classified as a miRNA. This miRNA was located at nucleotides 2873–2894, on the 3' arm of a hairpin in the nsp3 gene (hereafter, miR-nsp3-3p) (Fig. 1c). MiR-nsp3-3p was conserved among SARS-CoV-2 strains and had at least three mismatches to other Coronaviruses and other types of viruses (Supplementary Fig. S2b).

Next, miR-nsp3-3p was refined by qRT-PCR in three validation cohorts. The dynamic range and sensitivity of the qRT-PCR assay for measuring miR-nsp3-3p was first determined. The lower boundary of the detection spectrum was 0.01 attomole, corresponding to the cutoff  $C_T$  values of 35.90, 36.50, and 36.40 in validation cohort 1, 2, and 3, respectively (Supplementary Fig. S2c). According to the cutoff  $C_T$  values, the  $C_T$  values were consistently within the detection range for severe patients but outside for mild/moderate patients and healthy controls (Fig. 1d). Out of 139 patients and 51 healthy controls, only 5 severe patients and 4 mild/moderate patients were misclassified. By referring to the standard curve, the absolute concentrations of miR-nsp3-3p ranged from 1.05 to 29.40 fM in severe patients' sera (Fig. 1e). When comparing to D-dimer, C-reactive protein (CRP), lactate dehydrogenases (LDH), and peripheral lymphocyte count (PLC), whose levels changed significantly in severe patients (Supplementary Fig. S2e–h), miR-nsp3-3p was superior to these conventional biochemical characteristics for stratification of severe patients from mild/moderate ones and had a larger AUC (0.933) than D-dimer (0.716), CRP (0.788), LDH (0.743), and PLC (0.835) in ROC curve analysis (Supplementary Fig. S2d).

In fact, RNA viruses are not expected to encode miRNAs, because the miRNAs themselves will target the viral genome and inhibit the viral replication. However, recent studies have revealed that some negative- (e.g., H5N1 influenza and Ebola viruses) and positive-stranded (e.g., West Nile and Dengue viruses) RNA viruses are capable of expressing miRNAs<sup>5</sup>. To confirm the presence of miRNAs in COVID-19 patients, SARS-CoV-2 NSP3 and N genes comprising the five viral small RNAs selected by deep sequencing were cloned into a pcDNA6/myc-His B plasmid and transfected into HEK293T cells, respectively. Although these five small RNAs were successfully generated in transfected cells (Supplementary Fig. S3a), only miR-nsp3-3p could be detected in exosomes from cell culture supernatants (Supplementary Fig. S3b). To confirm that the stem-loop structure is indispensable for the

maturation of miR-nsp3-3p, wild-type or mutant precursor of miR-nsp3-3p was cloned into a plasmid and transfected into HEK293T cells. While wild-type precursor could be smoothly processed into mature miR-nsp3-3p in cellular environment, a mutation introduced into the stem-loop structure abolished the detection of miR-nsp3-3p in transfected cells (Supplementary Fig. S3c). Consistent with the results from in vitro assay, qRT-PCR analysis of the five small RNAs followed by TA-cloning and sequencing of the PCR products confirmed correct amplification of miR-nsp3-3p in severe patients' sera (Supplementary Fig. S3d). In contrast, qRT-PCR analysis of the other four viral small RNAs in the sera of severe patients and healthy controls showed no significant difference, suggesting absence of substantial viral small RNAs in patients' sera (Supplementary Fig. S3e). These results indicate that the biogenesis and biodistribution of miR-nsp3-3p are different from the rest viral small RNAs. According to previous reports<sup>4,6</sup>, SARS-CoV-2 may employ RNA endonucleases (e.g., Argonaute 2) to cut the stem-loop structure of miR-nsp3-3p in cytoplasm and exploit the secretory exosomes to spread miR-nsp3-3p to blood circulation.

Finally, miR-nsp3-3p was monitored along with the 20 patients of testing cohort who had undergone follow-up exams. For the 9 patients progressing from mild/moderate to severe symptoms, positive miR-nsp3-3p signals were consistently detected in their sera at the pre-severe stage; then the signals remained positive at the severe stage; until recovery stage, miR-nsp3-3p dropped to undetectable levels (Fig. 1e). In contrast, PLC, LDH, CRP, and D-dimer usually showed a normal distribution at pre-severe stage, and only in a portion of cases they were abnormally altered at severe stage and could be recovered to normal levels at recovery stage (Supplementary Fig. S4). The prediction horizon and accuracy of each index in predicting the risk of critical illness and evaluating disease progression were further analyzed. On average, miR-nsp3-3p could predict the severe type of disease 7.4 days in advance of severe symptoms with an accuracy of 97.1% (Fig. 1f). Furthermore, miR-nsp3-3p could accurately monitor the trend of disease progression during patients' hospital stay, with a cumulative accuracy of 94.1%, which was much higher than the other four indices (Fig. 1f). For the other 11 patients remaining at a mild or moderate condition, only miR-nsp3-3p and PLC showed a normal distribution both at the time of admission and throughout the hospital stay, whereas D-dimer, LDH, and CRP were often misclassified as abnormal in these patients (Supplementary Fig. S5). Overall, miR-nsp3-3p is able to capture the risk of critical illness far ahead of the clinical outcome and is superior over other indices for evaluating the disease development and recovery in COVID-19 patients.

The ability to precisely prioritize the patients that are at a high risk of developing severe disease is vital. At present, it is possible to predict COVID-19 prognosis using symptoms, signs, radiographic abnormalities, and clinical parameters<sup>7</sup>, but these features are often seen in the late course of disease progression and highly variable from region to region and country to country. On the other hand, although some researches have shown that transcriptomics, proteomics, or metabolomics analysis of COVID-19 patients could be used to precisely characterize severity status, these techniques are too complex for rapid and general application in the current pandemic. In this study, we showed that miR-nsp3-3p was superior to D-dimer, CRP, LDH, and PLC for stratification of severe patients from mild/moderate ones and could identify high-risk individuals in advance of the manifestation of severe symptoms. Because miR-nsp3-3p exhibits comparable sensitivity, specificity, and precision to that of multi-omics, and because the quantification protocol is quite simple, this new biomarker can be readily applied in the current COVID-19 pandemic and provide a simple and operable decision tool to prioritize high-risk patients, especially in the places with shortages of medical resources, thereby allowing more effective control of the pandemic and relief of social economic burdens.

#### Acknowledgements

We thank Prof Peihui Wang (Shandong University, Jinan, China) for generously providing plasmids encoding SARS-CoV-2 NSP3 and N genes. This work was supported by grants from the National Natural Science Foundation of China (32022015, 32001077, 31871295, 21877060, 81250044, and 81602697), the National Basic Research Program of China (973 Program) (2014CB542300), the Fundamental Research Funds for the Central Universities (020814380125, 020814380130, 020814380133, and 020814380146), the Chinese Science and Technology Major Project of China (2015ZX09102023-003), the Key Foundation of Wuhan Huoshenshan Hospital (2020[18]), and the Emergency Key Technologies R&D Program of Huazhong University of Science and Technology (2020kfyXGYJ099).

#### Author details

<sup>1</sup>Nanjing Drum Tower Hospital Center of Molecular Diagnostic and Therapy, Chinese Academy of Medical Sciences Research Unit of Extracellular RNA, State Key Laboratory of Pharmaceutical Biotechnology, Jiangsu Engineering Research Center for MicroRNA Biology and Biotechnology, NJU Advanced Institute of Life Sciences (NAILS), Chemistry and Biomedicine Innovation Center, Institute of Artificial Intelligence Biomedicine, School of Life Sciences, Nanjing University, Nanjing, Jiangsu, China. <sup>2</sup>Department of Clinical Laboratory, Union Hospital, Tongji

Medical College, Huazhong University of Science and Technology, Wuhan, Hubei, China. <sup>3</sup>Department of Gastrointestinal Surgery, Union Hospital, Tongji Medical College, Huazhong University of Science and Technology, Wuhan, Hubei, China. <sup>4</sup>COVID-19 Research Center, Institute of Laboratory Medicine, Jinling Hospital, Nanjing University School of Medicine, Nanjing, Jiangsu, China. <sup>5</sup>Department of Laboratory Medicine, The Second Hospital of Nanjing, Nanjing University of Chinese Medicine, Nanjing, Jiangsu, China. <sup>6</sup>Huai'an Fourth Hospital, Huai'an, Jiangsu, China. <sup>7</sup>Department of Laboratory Medicine & Blood Transfusion, Wuhan Huoshenshan Hospital, Wuhan, Hubei, China. <sup>8</sup>Joint Expert Group for COVID-19, Wuhan Huoshenshan Hospital, Wuhan, Hubei, China

#### Author contributions

X.C., C.-Y.Z., and L.W. designed the study. X.C. wrote the manuscript. Z.F., J.W. (Jian Wang), and Z.W. analyzed and interpreted the data. X.X., Z.W., J.W. (Jian Wu), and Y.Y. contributed to clinical management and patient recruitment. J.W. (Jian Wu) contributed to the collection of clinical data. Z.F., J.W., (Jian Wang) and Y.S. performed the sample measurements. Z.F. performed statistical analysis. All authors read and approved the final manuscript.

#### Conflict of interest

C.-Y.Z., X.C., Z.Z., and Z.F. are co-inventors on a patent related to the miRNA and its uses on COVID-19 diagnosis (application number 202010478382.4).

#### Publisher's note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

**Supplementary information** The online version contains supplementary material available at <https://doi.org/10.1038/s41421-021-00289-8>.

Received: 21 January 2021 Accepted: 5 June 2021

Published online: 06 July 2021

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